

# Quantum Field Theory

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**Warning:** Lots of possible typos!!!!!! **Notations:**

- $X$ : a smooth manifold, usually a compact manifold.
- $\mathcal{E}$ : the space of fields, usually infinite dimensional.
- $\text{Conn}(P, X)$ : the space of connections on a principal bundle  $P$  over  $X$ .
- $\text{Maps}(\Sigma, X)$ : the space of maps from  $\Sigma$  to  $X$ .
- $\Omega^\bullet(X)$ : the space of differential forms on  $X$ .
- $\Omega_c^\bullet(X)$ : the space of differential forms with compact support on  $X$ .
- $\text{Vect}(M)$ : the space of smooth vector fields on a manifold  $M$ , which is Lie algebra of  $\text{Diff}(M)$ .

## 1 Day I: Overall Discussion and Mathematical Preliminaries

### 1.1 Actions and Path Integrals

Action  $S : \mathcal{E} \rightarrow \mathbf{k}$  where  $\mathcal{E}$  always has infinite dimension, and  $\mathbf{k}$  is a field (usually  $\mathbb{R}$  or  $\mathbb{C}$ ).

QM in Imaginary Time  $\xrightarrow{\text{Brownian Motion}}$  Wiener Measure on Phase Space

Asymptotic Analysis  $\rightarrow$  Perturbative Renormalisation Theory

**Example 1.1.** *Some Examples of Classical Field Theories*

- (a) *Scalar Field Theory*  $\mathcal{E} = C^\infty(X)$
- (b) *Gauge Theory*  $\mathcal{E} = \text{Conn}(P, X)$
- (c)  *$\sigma$  Model*  $\mathcal{E} = \text{Maps}(\Sigma, X)$
- (d) *Gravity*  $\mathcal{E} = \text{Metrics}(X)$  (Better descriptions does not depend on the background)

### 1.2 Observables

Observables are functions on the space of fields, i.e.  $\mathcal{O} \in C^\infty(\mathcal{E})$ .

**Example 1.2** (field theory). (a) Consider  $X = \text{pt}$ , thus  $\mathcal{E} = \mathbb{R}^n$  for example.

(b)  $\dim X > 0$ , the new algebraic structure arise from topological structures of  $X$ .

The Key Point is: Capture the data of open sets of  $X \rightarrow$  Consider the observables supported on open set  $U$  of  $X$  denoted by  $\text{Obs}(U)$  where  $U$  is an open set of  $X$ .

Local data captures the open sets of  $X$ . The relations between open sets captures the global data of  $X \rightarrow$  The algebraic structure of the observables is a sheaf of  $X$ .

$$\bigsqcup_i U_i \rightarrow \bigotimes_i \text{Obs}(U_i)$$

Which implies OPE in physics and factorization algebra in mathematics.

Higher product in QFT: The generalization of products of algebra ('products in any direction instead of left and right') e.g. QM gives only left and right module of an algebra; OPE has products in various directions.

Consider the  $\dim X = 2$  case in detailed

**Example 1.3** (Holomorphic/Chiral Field Theory). Various angle of product  $A(w)B(z)$  could be denoted by the time of  $A(w)$  rotations around  $B(z)$ , which could be captured by the Fourier mode of  $A(w)$ , thus one can have

$$A(w)B(z) = \sum_{m \in \mathbb{Z}} \frac{(A_{(m)}B(z))}{(z-w)^{m+1}}$$

which is the Chiral algebra due to Beilinson and Drinfeld and associated with the Dolbeault cohomology  $H^0_{\bar{\partial}}(\Sigma^2 - \Delta) \cong \mathbb{C}((z^m))$ , where  $\Sigma^2$  is the complex surface and  $\Delta$  is the diagonal of  $\Sigma^2$ . The higher structure could be captured by the higher cohomology  $H^p_{\bar{\partial}}(\Sigma^2 - \Delta)$ , which is the higher chiral algebra associated to the derived holomorphic section.

### 1.3 De Rham Cohomology

Chain of differential forms  $\Omega^\bullet(X)$

$$\Omega^\bullet(X) = \left( \dots \xrightarrow{d} \Omega^{n-1}(X) \xrightarrow{d} \Omega^n(X) \xrightarrow{d} \Omega^{n+1}(X) \xrightarrow{d} \dots \right) \quad (1.1)$$

where  $d$  is the exterior derivative, and  $\Omega^n(X)$  is the space of  $n$ -forms on  $X$ . The general construction of differential forms could be constructed over open set  $U$  by

$$\Omega^n(U) = \bigoplus_{1 \leq i_1 \leq \dots \leq i_n \leq n} C^\infty(U) dx^{i_1} \wedge \dots \wedge dx^{i_n}$$

where one can prove that  $d^2 = 0$  and thus  $(\Omega^\bullet(U), d)$  is a cochain complex. The cohomology of it is called the de Rham cohomology  $H^\bullet(X)$ .

**Proposition 1.1.** The definition of de Rham cohomology does not depend on the choice of the open set  $U$  and the choice of the coordinate system i.e. it is intrinsic  $\rightarrow$  we can define the de Rham cochain complex on smooth manifold  $X$ .

*Proof.* Consider □

**Definition 1.1** (de Rham Cohomology on Compact Support). Let  $X$  be a smooth manifold, then the de Rham cohomology on compact support is defined as

$$H_c^\bullet(X) = H^\bullet(\Omega_c^\bullet(X), d) \quad (1.2)$$

where  $\Omega_c^\bullet(X)$  is the space of differential forms with compact support.

**Theorem 1.2** (Stokes' Theorem). Let  $X$  be a smooth manifold with boundary, then for any  $\omega \in \Omega^n(X)$ , we have

$$\int_X d\omega = \int_{\partial X} \omega$$

which connects the local data  $d\Omega^\bullet(X)$  and the global data  $\partial X$ .

**Theorem 1.3** (Poincaré Lemma).

$$H^p(\mathbb{R}^n) = \begin{cases} \mathbb{R} & p = 0 \\ 0 & p > 0 \end{cases}, \quad H_c^p(\mathbb{R}^n) = \begin{cases} 0 & p < 0 \\ \mathbb{R} & p = n \end{cases}$$

Generator:  $H^p(\mathbb{R}^n) \rightarrow \text{constant function}$ ,  $H_c^p(\mathbb{R}^n) \rightarrow \text{a compact support function } \alpha = f(x)\text{vol}_n$ , and  $\int_{\mathbb{R}^n} \alpha = 1$ .

*Proof.* □

Important: An *Integration* arises from the de Rham cohomology!

*Observation.* (1) If  $\alpha = d\beta$  where  $\beta \in \Omega_c^{n-1}(X)$ , then  $\int_X \alpha = 0$ , thus the generator is  $\alpha$  whose integral is non-zero.

(2) **Dual Site:** Integration could be captured by the cohomology

$$\int_{\mathbb{R}^n} \leftrightarrow H_c^n(\mathbb{R}^n) \cong \mathbb{R}$$

Path integral could be interpreted as the integration over  $\mathcal{E}$ , which leads to consider the cohomology of it. □

## 1.4 Cartan Formula

Vector fields could act on smooth functions via

$$V(f) = V^i \frac{\partial f}{\partial x^i} = \left. \frac{d}{dt} f(\varphi_t(x)) \right|_{t=0} = \left. \frac{d}{dt} \varphi_t^* f(x) \right|_{t=0}$$

Such an action could be extended to differential forms by

$$\text{Vect}(M) \ni V : \alpha \mapsto \mathcal{L}_V \alpha = \left. \frac{d}{dt} \varphi_t^* \alpha \right|_{t=0}$$

which has the property  $\mathcal{L}_V(\alpha \wedge \beta) = \mathcal{L}_V \alpha \wedge \beta + \alpha \wedge \mathcal{L}_V \beta$ , which implies that the Lie derivative is a derivation on the algebra of differential forms with degree 0. And we have contraction  $\iota_V$  which is a derivation of degree  $-1$  on the algebra of differential forms.

$$\mathcal{L}_V = d\iota_V + \iota_V d$$

Lie derivative is homotopy trivial i.e. chain homotopic.

### 1.4.1 Proof of Poincaré Lemma

Use Cartan Formula, one can proof Poincaré Lemma.

*Proof.* Rescaling invariance of  $\mathbb{R}^n$  leads to the Euler vector field  $E = x^i \frac{\partial}{\partial x^i}$ . One can consider the associated diffeomorphism  $\varphi_t$ , where we assume  $\varphi_0 = 1$  and thus  $\varphi_{-\infty}^* \alpha = 0$ , thus the closed form  $\alpha$  could be rewritten as

$$\begin{aligned} \alpha &= \varphi_0^* \alpha - \varphi_{-\infty}^* \alpha \\ &= \int_{-\infty}^0 \frac{d}{dt} \varphi_t^* \alpha dt \\ &= \int_{-\infty}^0 \mathcal{L}_E(\varphi_t^* \alpha) dt \end{aligned}$$

using the Cartan formula and  $d\varphi^* = \varphi^*d$ , we have

$$\alpha = d \int_{-\infty}^0 \varphi_t^* \iota_E \alpha \, dt = d\beta,$$

thus, the closed form  $\alpha$  is exact, which implies that the de Rham cohomology  $H^p(\mathbb{R}^n)$  is trivial for  $p > 0$ . The same idea could be applied to the de Rham cohomology on compact support  $H_c^p(\mathbb{R}^n)$ .  $\square$

## 2 Day II: Classical Field Theory

Assume  $\mathcal{E} = \Gamma(E, X)$  i.e. a section of a bundle  $E \rightarrow X$ , where  $X$  is oriented manifold. And the action would be written as  $S[\phi] = \int_X \mathcal{L}[\phi(x)]$  where  $\phi \in \mathcal{E}$ . Lagrangian  $\mathcal{L}$  satisfies:

- (a) built up by jets of  $\phi$  (locality);
- (b) valued in  $n$  form on  $X$  (oriented).

The solution of Euler-Lagrange equation forms  $\text{Crit}(S)$ , which denote the critical locus of the action  $S$ .

### 2.1 Examples

**Example 2.1** (Phase Space Quantum Mechanics). Consider  $X = \mathbb{R}$ , then  $\mathcal{E} = \mathbb{R}^{2n}$ , and the action is

$$S[\phi] = \int_{\mathbb{R}^{2n}} p dq - H(q, p) dt = \int [p\dot{q} - H] dt$$

where  $H$  is the Hamiltonian. The Euler-Lagrange equation would become  $dH = -\iota_{x_*} \partial \omega$ , where  $x : \mathbb{R} \rightarrow \mathcal{E}$ .

**Example 2.2** (Scalar Field Theory). Consider  $(X, g)$  a Riemann Manifold, then  $\mathcal{E} = C^\infty(X)$ , and the action is

$$S[\phi] = \int_X \left[ \frac{1}{2} |\nabla \phi|^2 + V(\phi) \right] d\text{vol}_g$$

where  $V(\phi)$  is a potential function, and  $d\text{vol}_g = \sqrt{|g|} d^d x$ . Assume  $\partial X = \emptyset$ , then the Euler-Lagrange equation is

$$\Delta \phi = \frac{\partial V}{\partial \phi}$$

where  $\Delta f = \frac{1}{\sqrt{|g|}} \partial_i (\sqrt{|g|} g^{ij} \partial_j f)$ .

**Example 2.3** (Chern-Simons Theory). Consider  $X$  a 3-manifold and  $\mathfrak{g}$  a semisimple Lie algebra. Denote  $P$  is a principal  $\mathfrak{g}$ -bundle over  $X$ , then the space of fields is  $\mathcal{E} = \text{Conn}(P, X)$ . Assume  $\mathfrak{g}$  is equipped with a non-degenerate invariant bilinear form  $\langle \cdot, \cdot \rangle$  (Killing form), then the action is

$$\text{CS}[A] = \int_X \frac{1}{2} \langle A, F_A \rangle + \frac{1}{6} \langle A, [A, A] \rangle,$$

and the Euler-Lagrange equation encoded by the flat connection  $F_A = 0$ .

### 2.2 Symmetry (1)

#### 2.2.1 Global Symmetry and Noether's Theorem

Consider a classical action  $S : \mathcal{E} \rightarrow \mathbb{R}$  with a group action  $G \curvearrowright \mathcal{E}$  s.t.  $S[g(\phi)] = S[\phi]$ . Then  $G$  would become a global symmetry of the action  $S$ .

Consider the continuous symmetry i.e.  $G$  is a Lie group, then the infinitesimal action of  $G$  on  $\mathcal{E}$  is given by a vector field  $V \in \text{Vect}(\mathcal{E})$ , which satisfies

$$\delta_{V^\alpha} \phi = V^\alpha(\phi),$$

thus the variation of the Lagrangian is

$$\delta_{V^\alpha} \mathcal{L} = dK_\alpha,$$

where  $K_\alpha$  is an  $n - 1$  form. Furthermore, one can use the Euler-Lagrange equation, and it's boundary contribution to obtain

$$\delta_{V^\alpha} \mathcal{L} \xrightarrow{\text{EL}=0} d\iota_{V^\alpha} \Theta = dK_\alpha,$$

thus one have the Noether's current

$$J_\alpha = \iota_{V^\alpha} \Theta - K_\alpha, \quad dJ_\alpha + EL[\phi]V_\alpha = 0, \quad (2.1)$$

which is an  $n - 1$  form on  $X$  and satisfies  $dJ_\alpha|_{\text{Crit}(S)} = 0$  while the Euler-Lagrangian equation is satisfied. If we consider  $Y_1, Y_2 \subset X$  is codimension 1 (hyper)surface, which are homologous by  $\Sigma$ , then we have

$$\int_{Y_1} J_\alpha - \int_{Y_2} J_\alpha = \int_\Sigma dJ_\alpha = 0, \quad \phi \in \text{Crit}(S),$$

and the integration over  $J_\alpha$  is independent of the choice of the hyper surface, thus we can define the Noether charge as the integration over  $J_\alpha$  on a hyper surface  $Y^1$ .

There is an alternative way to define the Noether current, which is more suitable for practical use. In brief, one can consider the 'gauged' symmetry which would promote  $\epsilon$  to become a field  $\epsilon(x)$ , and the variation of the action could be computed by integrating by parts, finally one can obtain

$$\delta_{V^\alpha} S = \int_X -\epsilon(x) d\hat{J}_\alpha,$$

and  $\hat{J}$  would become the Noether current which satisfies (2.1) so that  $\hat{J}_\alpha$  is identical to  $J_\alpha$  up to an exact form.

### 3 Day III: Breaking

### 4 Day IV: Symmetry (2)

First, we will consider finite dimensional case. We consider  $G$  as a finite dimensional Lie group,  $\mathfrak{g}$  is the Lie algebra of  $G$  and  $W$  is finite dimensional representation of  $G$ .

#### 4.1 Chevalley-Eilenberg Cohomology

Consider  $\mathfrak{g}^* \equiv \text{Hom}(\mathfrak{g}, \mathbb{K})$ . Consider the exterior algebra

$$\bigwedge \mathfrak{g}^* = \bigoplus_{p=0}^{\infty} \bigwedge^p \mathfrak{g}^*.$$

Assume the basis of  $\mathfrak{g}$  is  $\{e_1, \dots, e_n\}$  and of  $\mathfrak{g}^*$  is  $\{c^1, \dots, c^n\}$ , which satisfies  $c_\alpha c_\beta = -c_\beta c_\alpha$ . Thus, one could identify the algebra above as a free object in the category of differential graded algebra, which is a ring equipped with anti-commute generators

$$\bigwedge \mathfrak{g}^* = \mathbb{K}[c^1, \dots, c^n].$$

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<sup>1</sup>In physics, one always consider the Noether current which is the Hodge dual of  $J_\alpha$ .

Consider the Lie algebra over  $\mathfrak{g}$ , which equipped with commutator  $[\cdot, \cdot] : \wedge^2 \mathfrak{g} \rightarrow \mathfrak{g}$ . On the dual side, one would introduce a differential operator  $d : \mathfrak{g}^* \rightarrow \mathfrak{g}^*$ , and we can extend it to the exterior algebra  $\wedge \mathfrak{g}^*$  by

- (1) Under the level of generators, we have  $d_{\text{CE}} : \mathfrak{g}^* \rightarrow \wedge^2 \mathfrak{g}^*$ ;
- (2) Using the Leibniz rule, we can extend it to the exterior algebra  $\wedge \mathfrak{g}^*$  by

$$d_{\text{CE}} : a \wedge b \mapsto d_{\text{CE}} a \wedge b + (-1)^{\deg a} a \wedge d_{\text{CE}} b,$$

and thus we have a differential graded algebra  $(\wedge \mathfrak{g}^*, d_{\text{CE}})$ , which is called the Chevalley-Eilenberg complex.

Under the choice of basis above, we have  $[e_\alpha, e_\beta] = f_{\alpha\beta}^\gamma e_\gamma$ , which would lead to the derivation on the dual side

$$d_{\text{CE}} c^\alpha = \frac{1}{2} f_{\beta\gamma}^\alpha c^\beta \wedge c^\gamma \equiv \frac{1}{2} f_{\beta\gamma}^\alpha c^\beta c^\gamma.$$

Using the Leibniz rule, we can extend it to the exterior algebra  $\wedge \mathfrak{g}^*$ . Using the Jacobi identity, one can prove that  $d_{\text{CE}}^2 = 0$  (left as exercise), thus we have a cochain complex  $(\wedge \mathfrak{g}^*, d_{\text{CE}})$  which is a differential graded algebra (dga), where the generator  $c^\alpha$  is called the 'ghost field' in physics, the degree is 'ghost number' and  $d_{\text{CE}}$  is BRST operator.

*Proof.* Consider  $d_{\text{CE}}^2$  acts on  $c^\alpha$ , the higher structure could be derived from Leibniz's rule.

$$\begin{aligned} d_{\text{CE}}^2 c^\alpha &= \frac{1}{2} f_{\beta\gamma}^\alpha \left[ \frac{1}{2} f_{\rho\lambda}^\beta c^\rho c^\lambda c^\gamma - \frac{1}{2} f_{\rho\lambda}^\gamma c^\beta c^\rho c^\lambda \right] \\ &= -\frac{1}{2} f_{\gamma\beta}^\alpha f_{\rho\lambda}^\beta c^\rho c^\lambda c^\gamma \\ &= \frac{1}{12} f_{\beta[\gamma}^\alpha f_{\rho\lambda]}^\beta c^\rho c^\lambda c^\gamma \\ &= 0 \end{aligned}$$

□

Let  $M$  be a  $\mathfrak{g}$  representation where  $\rho : \mathfrak{g} \rightarrow \text{End}(M)$  satisfies

$$\rho(a)\rho(b)m - \rho(b)\rho(a)m = \rho([a, b])m, \quad a, b \in \mathfrak{g}, m \in M.$$

Consider the free  $\wedge^\bullet \mathfrak{g}^*$ -module generated by  $M$ :

$$\wedge^\bullet \mathfrak{g}^* \otimes M,$$

there is a natural extension of the Chevalley-Eilenberg differential  $d_{\text{CE}}$  on it, which is defined by

- (1)  $d_{\text{CE}} : M \rightarrow \mathfrak{g}^* \otimes M$  is dual of  $\mathfrak{g} \otimes M \xrightarrow{\rho} M$ ;
- (2)  $d_{\text{CE}}(a \otimes m) : d_{\text{CE}}(a) \otimes m + (-1)^{|a|} a \wedge d_{\text{CE}} m$

where we can prove that  $d_{\text{CE}}^2 = 0$ , and thus we have a cochain complex  $\wedge^\bullet \mathfrak{g}^* \otimes M$ .

We denote  $\wedge^p \mathfrak{g}^* \otimes M$  be  $C^p(\mathfrak{g}^*, M)$ , then we would find that it is  $C^p(\mathfrak{g}^*)$ -module, i.e.

$$C^p(\mathfrak{g}^*) \otimes C^q(\mathfrak{g}^*, M) \ni a \otimes v \mapsto a \wedge v \in C^{p+q}(\mathfrak{g}^*, M),$$

which is compatible with derivation

$$d_{\text{CE}}(a \wedge v) = d_{\text{CE}} a \wedge v + (-1)^{|a|} a \wedge d_{\text{CE}} v,$$

where  $m \in M$  and  $a \in \wedge^\bullet \mathfrak{g}^*$ . The derivation could be written explicitly with basis  $a_k$  of  $M$ , and its dual basis  $b^k$ :

$$d_{\text{CE}} = (\rho_\alpha)_i^k b^i a_k c^\alpha + \frac{1}{2} f_{\beta\gamma}^\alpha c^\beta c^\gamma a_\alpha,$$

which could be easily verified that  $d_{\text{CE}}^2 = 0$ .

*Proof.* There is a general way to prove  $d_{\text{CE}}^2 = 0$ , which is to note that, under the dual transformation, one have identity  $\langle d_{\text{CE}}\varphi_1, c_1 m_1 \rangle = \langle \varphi_1, \rho(c_1) m_1 \rangle$ ,  $\langle d_{\text{CE}}\varphi_2, c_1 \wedge c_2 \rangle = \langle \varphi_2, [c_1, c_2] \rangle$  and Leibniz's law, so that:

$$\begin{aligned} \langle d_{\text{CE}}^2 \varphi, c_1 \wedge c_2 \otimes m_1 \rangle &= \langle \varphi, \rho([c_1, c_2])m + (-1)(\rho(c_1)\rho(c_2)m + \rho(c_2)\rho(c_1)m) \rangle \xrightarrow{\text{g rep.}} 0, \\ \langle d_{\text{CE}}^2 \varphi, c_1 \wedge c_2 \wedge c_3 \rangle &\xrightarrow{\text{Jacobian}} 0, \end{aligned}$$

where we note that the dual of  $c_1 \wedge c_2$  has degree 1 graded.  $\square$

## 4.2 Differential Graded Lie Algebra

We define a  $\mathbb{Z}$ -graded vector space

$$W = \bigoplus_{n \in \mathbb{Z}} W_n,$$

where  $W_n$  is degree of  $n$  component.

1. **Degree Shift:**  $W[n]_m \equiv W_{n+m}$ ;
2. **Dual:**  $W^*$  denote the linear dual of  $W$

$$W_n^* = \text{Hom}(W_{-n}, \mathbb{K});$$

3. **Symmetry and Anti-Symmetry:**  $\text{Sym}^{\otimes n}(V) = V^{\otimes n} / \sim$  where  $a \otimes b \sim (-1)^{|a||b|} b \otimes a$ , and  $\bigwedge^V = V^{\otimes n} / \sim$  where  $a \otimes b \sim (-1)^{|a||b|+1} b \otimes a$ ;

which has a natural isomorphism between  $\bigwedge^m(V[1])$  and  $\text{Sym}^m(V)[m]$

**Proposition 4.1.** *Let  $V$  be a dga, then:*

$$\bigwedge^m(V[1]) \cong \text{Sym}^m(V)[m].$$

*Proof.* Consider the subspace generated by ideals

$$a \otimes b \sim (-1)^{(|a|+1)(|b|+1)+1} b \otimes a = (-1)^{|a||b|+|a|+|b|} b \otimes a, \quad a, b \in V[1],$$

$$a \otimes b \sim (-1)^{|a||b|} b \otimes a, \quad a, b \in V,$$

where  $|a|$  is the degree of  $a$  in  $V$ , thus the total degree in  $V[1]$  is  $|a| + |b| + 2$ . The element in the left-hand side is

$$\frac{1}{n!} \left( a_1 a_2 \cdots a_n + (-1)^{(|a_1|+1)(\sum_{i=2}^n |a_i|+n-1)+n-1} a_2 \cdots a_n a_1 + \cdots \right) \in \bigwedge^n(V[1]),$$

and the element in the right-hand side is

$$\frac{1}{n!} \left( a_1 a_2 \cdots a_n + (-1)^{|a_1| \sum_{i=2}^n |a_i|} a_2 \cdots a_n a_1 + \cdots \right) \in \text{Sym}^n(V)[n].$$

Consider the shuffle map

$$a_1 \otimes a_2 \otimes \cdots \otimes a_n \rightarrow a_n \otimes a_{n-1} \otimes \cdots \otimes a_1,$$

the overall sign in  $\text{Sym}^m(V)[m]$  and  $\bigwedge^m(V[1])$  is the same, which is

$$(-1)^{\sum_{1 \leq i < j \leq n} |a_i||a_j|} = (-1)^{\sum_{1 \leq i < j \leq n} |a_i||a_j| + 2 \sum_{i=1}^n |a_i|},$$

where the first term is the sign of the anti-symmetry monomials and the second term is the sign of the symmetry monomials.  $\square$

**Definition 4.1** (Differential Graded Lie Algebra). *A DGLA is a  $\mathbb{Z}$ -graded space*

$$\mathfrak{g} = \bigoplus_{m \in \mathbb{Z}} \mathfrak{g}_m$$

together with bilinear map  $[\cdot, \cdot] : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying

1. (graded bracket)  $[\mathfrak{g}_\alpha, \mathfrak{g}_\beta] \subset \mathfrak{g}_{\alpha+\beta}$ ,  $[\cdot, \cdot] \in \text{Hom}(\mathfrak{g} \otimes \mathfrak{g}, \mathfrak{g})$ ,
2. (graded skew-symmetry)  $[a, b] = -(-1)^{|a||b|}[b, a]$  ( $[\cdot, \cdot] : \wedge^2 \mathfrak{g} \rightarrow \mathfrak{g}$ ),
3. (graded Jacobi Identity)  $[[a, b], c] = [a, [b, c]] - (-1)^{|a||b|}[b, [a, c]]$ ,

with a degree 1 map  $d : \mathfrak{g} \rightarrow \mathfrak{g}$  (i.e.,  $d : \mathfrak{g}_\alpha \rightarrow \mathfrak{g}_{\alpha+1}$ ) satisfying  $d^2 = 0$  and

4. (graded Leibniz rule)  $d[a, b] = [da, b] + (-1)^{|a|}[a, db]$ .

**Example 4.1** (de-Rham + Lie = DGLA). *Let  $X$  be a manifold,  $\mathfrak{g}$  a Lie algebra.*

- $(\Omega^\bullet(X), d)$  de Rham complex,
- $(\Omega^\bullet(X) \otimes \mathfrak{g}, d, [\cdot, \cdot])$  is DGLA,
- $\Omega^p(X) \otimes \mathfrak{g}$  : degree  $p$  component,
- $d : \Omega^p \otimes \mathfrak{g} \rightarrow \Omega^{p+1} \otimes \mathfrak{g}$  de Rham,  $d(\alpha \otimes h) = d\alpha \otimes h$ ,
- $[\cdot, \cdot]$  induced from  $\mathfrak{g}$ ,
- Let  $\alpha_{1,2} \in \Omega^\bullet(X)$ ,  $h_{1,2} \in \mathfrak{g}$ , then  $[\alpha_1 \otimes h_1, \alpha_2 \otimes h_2] = \alpha_1 \wedge \alpha_2 \otimes [h_1, h_2]$ ,

$\rightsquigarrow$  DGLA in Chern-Simons theory.

**Example 4.2** (Dolbeault + Lie = DGLA). *Let  $X$  be a complex manifold. Let*

- $(\Omega^{0,*}(X), \bar{\partial})$  Dolbeault Complex,
- $(\sum_{\vec{i}_1, \dots, \vec{i}_p} \varphi_{\vec{i}_1 \dots \vec{i}_p} d\bar{z}^{\vec{i}_1} \wedge \dots \wedge d\bar{z}^{\vec{i}_p})$  where  $\bar{\partial} = d\bar{z}^{\vec{i}} \frac{\partial}{\partial \bar{z}^{\vec{i}}}$ ,
- $T_X \otimes_{\mathbb{C}} \mathbb{C} = T_X^{1,0} \oplus T_X^{0,1}$ , where we could choose the basis as

$$\text{Span}\left\{\frac{\partial}{\partial z^i}\right\}, \quad \text{Span}\left\{\frac{\partial}{\partial \bar{z}^i}\right\},$$

which leads that  $(\Omega^{0,*}(X, T_X^{1,0}), \bar{\partial}, [\cdot, \cdot])$  is a DGLA.

Explicitly, let  $\{z^i\}$  be local holomorphic coordinates.  $\alpha \in \Omega^{0,p}(X, T_X^{1,0})$  takes the form

$$\alpha = \sum_{i, \vec{J}} \alpha_{\vec{J}}^i d\bar{z}^{\vec{J}} \otimes \frac{\partial}{\partial z^i}, \quad d\bar{z}^{\vec{J}} = d\bar{z}^{\vec{J}_1} \wedge \dots \wedge d\bar{z}^{\vec{J}_p},$$

$$\bar{\partial}\alpha = \sum_i \bar{\partial}\alpha_{\vec{J}}^i d\bar{z}^{\vec{J}} \otimes \frac{\partial}{\partial z^i} = \sum_i \frac{\partial \alpha_{\vec{J}}^i}{\partial \bar{z}^k} d\bar{z}^k \wedge d\bar{z}^{\vec{J}} \otimes \frac{\partial}{\partial z^i}.$$

Let  $\alpha = \sum_i \alpha_{\vec{J}}^i d\bar{z}^{\vec{J}} \otimes \frac{\partial}{\partial z^i}$  and  $\beta = \sum_m \beta_{\vec{M}}^m d\bar{z}^{\vec{M}} \otimes \frac{\partial}{\partial z^m}$ . The Lie bracket is

$$[\alpha, \beta] = \sum_i \left( \alpha_{\vec{J}}^j \partial_j \beta_{\vec{M}}^i - \beta_{\vec{M}}^j \partial_j \alpha_{\vec{J}}^i \right) d\bar{z}^{\vec{J}} \wedge d\bar{z}^{\vec{M}} \otimes \frac{\partial}{\partial z^i}$$

On  $\text{deg} = 0$  component, this is the standard Lie bracket of  $(1,0)$  vector fields. Finally, one can verify that  $(\Omega^{0,*}(X, T_X^{1,0}), \bar{\partial}, [\cdot, \cdot])$  is a DGLA.  $\rightsquigarrow$  Mathematics: Deformation of complex structures  $\longleftrightarrow$  Physics: B-twisted topological string (Kodaira-Spencer gravity)



We can consider the Chevalley-Eilenberg complex for a DGLA  $(\mathfrak{g}, d, [\cdot, \cdot])$ .

**Definition 4.2** (Chevalley-Eilenberg Complex). *For a DGLA  $(\mathfrak{g}, d, [\cdot, \cdot])$ , the Chevalley-Eilenberg complex is defined as*

$$C^\bullet(\mathfrak{g}) = \text{Sym}^\bullet(\mathfrak{g}^*[-1]) = \bigwedge^\bullet \mathfrak{g}^*[-\bullet],$$

equipped with the CE differential  $d_{\text{CE}} = d_1 + d_2$ , where

- (1)  $d_1 : \mathfrak{g}^*[-1] \rightarrow \mathfrak{g}^*[-1]$  is the dual of  $d : \mathfrak{g} \rightarrow \mathfrak{g}$ ;
- (2)  $d_2 : \mathfrak{g}^*[-1] \rightarrow \text{Sym}^2(\mathfrak{g}^*[-1]) \cong \bigwedge^2 \mathfrak{g}^*[-2]$  is the dual of  $[\cdot, \cdot] : \mathfrak{g}^2 \rightarrow \mathfrak{g}$ ;
- (3) (Graded Leibniz rule) The derivation extends to

$$d_{\text{CE}} : \text{Sym}(\mathfrak{g}^*[-1]) \rightarrow \text{Sym}(\mathfrak{g}[-1])$$

via the graded Leibniz rule

$$d_{\text{CE}}(ab) = d_{\text{CE}}a \cdot b + (-1)^{|a|} a \cdot d_{\text{CE}}b,$$

and satisfies  $d_{\text{CE}}^2 = 0$ .

**Remark 4.1.** If  $\mathfrak{g}$  degenerated to the ordinary Lie algebra, which would be 'bosonic' fields. However, the basic object to build CE complex for ordinary Lie algebra is 'fermionic' fields. So we need to impose  $[-1]$  into the definition of CE complex of DGLA.

**Definition 4.3** (DGLA-module). *Let  $\mathfrak{g}$  be a DGLA. A  $\mathfrak{g}$ -module is a cochain complex  $(M, d_M)$  with bilinear map*

$$\mathfrak{g} \otimes M \rightarrow M$$

where  $C^\bullet(\mathfrak{g}, M) = \text{Sym}(\mathfrak{g}^*[-1]) \otimes M$  satisfying

- (1)  $d_M$  is the dual of  $\rho : \mathfrak{g}_n \otimes M_p \rightarrow M_{n+p}$ ,
- (2)  $d_{\mathfrak{g}}$  is the dual of  $[\cdot, \cdot] : \rho(a)\rho(b)m - (-1)^{|a||b|}\rho(b)\rho(a)m = \rho([a, b]) \cdot m$ ,
- (3) (Chevalley-Eilenberg differential)  $d_{\text{CE}} = d_M + d_{\mathfrak{g}}$ ,
- (4) (Leibniz's law)  $d_{\text{CE}}(a \otimes m) = (d_{\mathfrak{g}}a)m + (-1)^{|a|} ad_M m$ ,

### 4.3 Homotopic Lie Algebra ( $L_\infty$ Algebra)

#### 4.3.1 Coderivation Side

The original definition could be viewed as a homotopic generalization of the Lie algebra, which is a DGLA  $V$  with 'higher brackets'  $\mu_n : V^{\otimes n} \rightarrow V$ , where the first term at the chain level formed a (co)chain complex i.e.  $\mu_1^2 = 0$ . The higher brackets needed to satisfy some self-consistency conditions, which is so called 'homotopic Jacobian identity'. At some low level  $n$ , which could be written explicitly as

$$\begin{aligned} \mu_1\mu_2(a, b) &= -\mu_2(\mu_1a, b) - (-1)^{|a|} \mu_2(a, \mu_1b), \\ \mu_1\mu_3(a, b, c) &+ \mu_3(\mu_1a, b, c) + (-1)^{|a|} \mu_3(a, \mu_1b, c) + (-1)^{|a|+|b|} \mu_3(a, b, \mu_1c) \\ &= -\mu_2(\mu_2(a, b), c) - (-1)^{(|b|+|c|)|a|} \mu_2(\mu_2(b, c), a) - (-1)^{(|a|+|b|)|c|} \mu_2(\mu_2(c, a), b), \end{aligned}$$

where  $a, b, c \in V$  is the element of  $L_\infty$  algebra  $V$ .

The infinite number of brackets could be rewritten into a more compact form via coalgebra, and it's coderivation. For this need, we introduce the graded algebra

$$S^c V = \bigoplus_{n=0}^{\infty} V^{\wedge n}[-n],$$

where we note that the monomial  $a_1 a_2 \cdots a_n \in V^{\wedge n}$  satisfied

$$a_1 a_2 \cdots a_n = (-1)^{|a_i||a_{i+1}|} a_1 a_2 \cdots a_{i+1} a_i \cdots a_n.$$

We introduce the coproduct  $\Delta : S^c V \rightarrow S^c V \otimes S^c V$ , which is defined by

$$\Delta : a_1 \cdots a_n \mapsto \sum_{i=1}^n \sum_{\sigma \in \text{Sh}(i, n)} (-1)^\sigma a_{\sigma(1)} \cdots a_{\sigma(i)} \otimes a_{\sigma(i+1)} \cdots a_{\sigma(n)},$$

where the shuffle map  $\text{Sh}(i, n)$  is the set of all possible ways of permutations which satisfies  $\sigma(1) < \cdots < \sigma(i)$  and  $\sigma(i+1) < \cdots < \sigma(n)$ , and the sign  $(-1)^\sigma$  is the sign of the permutation  $\sigma$ . The coproduct is coassociative, i.e.

$$(\Delta \otimes \text{Id})\Delta = (\text{Id} \otimes \Delta)\Delta.$$

#### 4.3.2 Derivation Side

## 5 Day V: Perturbation Theory

## 6 Day VI: UV Divergence

### 6.1 Perturbative Quantum Field Theory

We would consider the perturbative theory of a scalar field theory, where  $\mathcal{E} = C^\infty(X)$ ,  $X = \mathbb{R}^d$  and the action is given by

$$S[\phi] = \int_X \left( \frac{1}{2} \phi \square \phi + \frac{1}{2} m^2 \phi^2 \right) d^d x,$$

where the observables could be defined as correlators, which could be defined as the expectation value of the product of fields

$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}[\phi] \mathcal{O} \exp \left[ -\frac{1}{\hbar} \int_X d^d x \left( \frac{1}{2} \phi \square \phi + \frac{1}{2} m^2 \phi^2 \right) \right]}{\int \mathcal{D}[\phi] \exp \left[ -\frac{1}{\hbar} \int_X d^d x \left( \frac{1}{2} \phi \square \phi + \frac{1}{2} m^2 \phi^2 \right) \right]},$$

which could be computed by Wick's contraction and Green's function

$$(\square + m^2) G(x, y) = \hbar \delta(x - y),$$

thus the observable  $\langle \phi(x_1) \phi(x_2) \cdots \phi(x_n) \rangle$  could be computed by

$$\langle \phi(x_1) \phi(x_2) \cdots \phi(x_{2n}) \rangle = \hbar^n \sum_{\sigma \in S_{2n}} G(x_{\sigma(1)}, x_{\sigma(2)}) G(x_{\sigma(3)}, x_{\sigma(4)}) \cdots G(x_{\sigma(2n-1)}, x_{\sigma(2n)}),$$

which has asymptotic expansion in the limit  $x - y \rightarrow \infty$ :

$$G(x, y) \sim \frac{1}{|x - y|^{d-2}},$$

for  $d > 2$ . Such an asymptotic expansion would lead to the divergence of the observable, which is called ultraviolet (UV) divergence.

Consider the interaction term

$$I_3(\phi) = \int_X d^d x \frac{\lambda_3}{3!} \phi^3, \quad I_4(\phi) = \int_X d^d x \frac{\lambda_4}{4!} \phi^4,$$

which would twist the observables to a new form which could be also computed by Feynman diagrams.

## 6.2 Canonical Quantization

In classical mechanics, one would consider the phase space  $(M, \omega)$ , where  $\omega$  is the symplectic form, which defined a symplectic structure on the phase space  $M$

$$\omega(V_f, V_g) = \{f, g\},$$

where  $\iota_{V_f}\omega = df$ . The deformation quantization would lead to a non-commutative, where the commutative product in  $C^\infty(M)$  is replaced by the Moyal product:

$$(\mathcal{A} = \mathbb{R}[x, y], \cdot, \{, \}) \xrightarrow{\text{Deformation Quantization}} (\mathcal{A}[[\hbar]], \star, [, ]),$$

where the Moyal product is defined by

$$f \star g = f(x, p) \exp\left(\frac{i\hbar}{2} \left(\overleftarrow{\partial}_x \overrightarrow{\partial}_p - \overleftarrow{\partial}_p \overrightarrow{\partial}_x\right)\right) g(x, p),$$

which is a non-commutative and associative product on the algebra  $\mathcal{A}[[\hbar]]$ .

*The Associativity of Moyal Product.* TBD. □

We can derive the Moyal product from the path integral, where the action is

$$S[x, p] = -i \int_{\mathbb{R}} \mathbb{P} d\mathbb{X},$$

which is called *topological quantum mechanics*, where the path integral is defined as:

$$\langle \mathcal{O} \rangle = \frac{\int \mathcal{D}[\mathbb{X}, \mathbb{P}] \mathcal{O} \exp\left(-\frac{1}{\hbar} S[\mathbb{X}, \mathbb{P}]\right)}{\int \mathcal{D}[\mathbb{X}, \mathbb{P}] \exp\left(-\frac{1}{\hbar} S[\mathbb{X}, \mathbb{P}]\right)},$$

where the green's function could be defined as:

$$G(t_1, t_2) = \frac{1}{2} \text{sgn}(t_1 - t_2) = \begin{cases} \frac{1}{2}, & t_1 > t_2, \\ 0, & t_1 = t_2, \\ -\frac{1}{2}, & t_1 < t_2, \end{cases}$$

thus  $\langle \mathbb{X}(t_1) \mathbb{P}(t_2) \rangle = -i\hbar G(t_1, t_2)$  and  $\langle \mathbb{P}(t_1) \mathbb{X}(t_2) \rangle = i\hbar G(t_1, t_2)$ .

We can use the Moyal product to construct the algebra of observables, whose product could be interpreted as the OPE and derived from the path integral. Consider  $f, g \in \mathbb{R}[x, p]$ , thus, near the classical solution  $(x, p)$  for each function, one can express the path integral as

$$\langle f(x + \mathbb{X}(t_1), p + \mathbb{P}(t_1)) g(x + \mathbb{X}(t_2), p + \mathbb{P}(t_2)) \rangle = f \star g.$$

*Proof.* The process of Wick contraction could be realized by the operator

$$\left(\frac{\partial}{\partial P_0^L}\right)_{ij} = \sum_{klpq} i\hbar G_{kl}(t_i, t_j) \eta_{pq} \left(\frac{\partial}{\partial (\partial^k \phi_p \otimes \partial^l \phi_q)}\right)_{ij} \xrightarrow{f, g \in \mathbb{R}[x, p]} i\hbar \sum_{pq} G(t_i, t_j) \omega_{pq} \left(\frac{\partial}{\partial (\phi_p \otimes \phi_q)}\right)_{ij},$$

where  $\phi = (\mathbb{X}, \mathbb{P})$  and  $\eta \equiv \omega$  gives the contribution of the correct sign in the Moyal product. The  $n$  links contraction would lead to the operator

$$n \text{ Links Wick Contraction} \rightsquigarrow \frac{1}{n!} \left(\frac{\partial}{\partial P_0^L}\right)_{ij}^n,$$

thus the Feynman diagram could be rewritten as

$$\langle f(x + \mathbb{X}(t_1), p + \mathbb{P}(t_1)) g(x + \mathbb{X}(t_2), p + \mathbb{P}(t_2)) \rangle = \text{Mult} \left( e^{\frac{\partial}{\partial p_0^L}} (f(\phi(t_1)) \otimes g(\phi(t_2))) \right),$$

where the Mult is the multiplication of the algebra  $\mathcal{A}[[\hbar]]$ . Write the definition of the contraction operator, we obtain OPE

$$f(x, p) \star g(x, p) \sim f(x, p) \exp \left( \frac{i\hbar}{2} \left( \overleftarrow{\partial}_x \overrightarrow{\partial}_p - \overleftarrow{\partial}_p \overrightarrow{\partial}_x \right) \right) g(x, p) + \cdots,$$

where the  $\cdots$  is the correction term in  $\hbar$  while  $f, g$  is not polynomial. If  $f, g \in \mathbb{R}[x, y]$  is polynomial, then the correction term is zero, and we have the Moyal product.  $\square$

### 6.3 Counter Term in Perturbative $\phi^4$

If one consider the tree level Feynman diagram, we could proof that there is no UV divergence. However, if one consider the loop level Feynman diagram, some problems would occur. For example, consider the 1-loop Feynman diagram of the scalar field theory, which is given by

$$\hbar^2 \lambda^2 \int_{(\mathbb{R}^4)^2} dx_1 dx_2 \phi^2(x_1) \phi^2(x_2) \frac{1}{|x_1 - x_2|^4},$$

where we assume  $d = 4$ , such an integral would be divergent  $\sim \log r$ . The physical interpreter is considering the cutting off and set the coupling constants depending on the cutting of scaling.

After introducing the cutting off, the propagator would become

$$G(x, y) = \int d^4k \frac{e^{ik(x-y)}}{k^2} \xrightarrow{\text{Cutting Off}} \int_{\Lambda_0 \leq k \leq \Lambda_1} d^4k \frac{e^{ik(x-y)}}{k^2},$$

another way to interpret the cutting off is to consider the heat kernel cutting off, which is given by

$$P_\epsilon^L = \int_\epsilon^L dt e^{-t\Box} = \int_\epsilon^L \frac{dt}{(2\pi t)^2} e^{-|x-y|^2/4t}.$$

Thus, using the heat kernel cutting off, we can rewrite the Feynman diagram with propagator as

$$\begin{aligned} & \hbar \lambda^2 \int_{(\mathbb{R}^4)^2} d^4x_1 d^4x_2 \phi^2(x_1) \phi^2(x_2) \int_{[\epsilon, L]^2} \frac{dt_1}{(2\pi t_1)^2} \frac{dt_2}{(2\pi t_2)^2} e^{-|x_1 - x_2|^2/4t_1} e^{-|x_1 - x_2|^2/4t_2} \\ & = -\hbar \lambda^2 \frac{\ln \epsilon}{\pi^2} \int_{\mathbb{R}^4} d^4x \phi^4(x) + \text{Smooth Terms}. \end{aligned}$$

To cancel the divergence, we need to introduce a counter term into action  $S[\phi]$ , which is given by

$$S \rightarrow S + I_1^{\text{ct}}, \quad I_1^{\text{ct}} = \frac{\hbar \lambda^2}{4! \pi^2} \ln \epsilon \int_{\mathbb{R}^4} d^4x \phi^4(x),$$

which could be interpreted as the renormalization of the coupling constant  $\lambda$ :

$$\lambda \rightarrow \lambda + \frac{\hbar \lambda^2}{\pi^2} \ln \epsilon, \quad \frac{d\lambda}{d \ln \epsilon} = \frac{\hbar \lambda^2}{\pi^2}.$$

## 7 Day VII: BV-BRST Formalism

### 7.1 A Toy Model

First, we consider a finite dimensional gauge theory equipped with a Lagrangian

$$f : V \rightarrow \mathbb{C},$$

where  $V$  is a finite dimensional vector space. The gauge redundancy is given by the action of the Lie group  $G$  on  $V$  such that  $f$  is  $G$  invariant.

#### 7.1.1 Field Space and BRST

We consider the 'path integral' of this theory, which is given by

$$\int_{V/G} d\mu e^{if/\hbar}.$$

There are two approaches to compute this integral:

- (1) **Faddeev-Popov Method:** Find a subspace  $W \subset V$  such that points of  $W$  represents the gauge orbits of  $V$ , i.e.  $V/G \cong W$ , and construct a form  $\zeta_W$  associate to  $W$  such that

$$\int_{V/G} d\mu e^{if/\hbar} = \int_V d\mu \delta(W) \zeta_W e^{if/\hbar},$$

which is associated with Faddeev-Popov method, where  $W$  is the gauge fixing condition and  $\zeta_W$  is the action of Faddeev-Popov ghost field. This method is very useful in the case of usual gauge theory e.g. Maxwell theory.

However, some issues would occur while one consider the gauge theory with some singular gauge orbits. So we need to introduce the second method, to overcome the issue of singular gauge orbits.

In mathematics, we would consider the quotient space by replacing it with the 'derived quotient', which would lead to BV-BRST formalism.

- (2) **BV-BRST Formalism:** Consider the function ring over  $V/G$

$$\mathcal{O}(V/G) = \mathcal{O}(V)^G.$$

Assume  $G$  is a compact Lie group with Lie algebra  $\mathfrak{g}$ , which has a fundamental vector field over  $V$  given by  $X_u \in V$ , where  $u \in \mathfrak{g}$ . Thus, the  $G$  invariant function ring could be written as

$$\mathcal{O}(V)^G = \{f \in \mathcal{O}(V) \mid X_u f = 0, \forall u \in \mathfrak{g}\}.$$

Let  $\{e_i\}$  be a basis of  $V$ , and  $\{c^i\}$  be the dual basis of  $V^*$ , then we can define the differential operator

$$d_{CE} : \phi \mapsto c^i \otimes X_{e_i} \phi \equiv c^i X_i \phi,$$

where  $X_i = X_{e_i}$ . Thus, the resolution could be written as a complex

$$0 \rightarrow \mathcal{O}(V)^G \hookrightarrow \mathcal{O}(V) \xrightarrow{d_{CE}} \mathcal{O}(V) \otimes \mathfrak{g}^* \xrightarrow{d_{CE}} \mathcal{O}(V) \otimes \bigwedge^2 \mathfrak{g}^* \xrightarrow{d_{CE}} \dots,$$

thus, the derived object could be

$$C^\bullet(\mathfrak{g}, \mathcal{O}(V)) = \left( \bigwedge^2 \mathfrak{g} \otimes \mathcal{O}(V), d_{CE} \right) = \text{Sym}((\mathfrak{g}[1])^*) \oplus \text{Sym}(V^*),$$

which implied that the derived quotient space  $V/G$  could be understood as the function ring over  $\mathfrak{g}^* \oplus V$ . Thus, the path integral over  $V/G$  could be rewritten as

$$\int_{V/G} d\mu e^{if/\hbar} \rightsquigarrow \int_{\mathfrak{g}[1] \oplus V} d\mu e^{if/\hbar},$$

where  $H^0(\mathfrak{g}, \mathcal{O}(V)) = \mathcal{O}(V/G)$ . Moreover, if  $G$  acts on  $V$  freely,  $\mathcal{O}(V/G) \cong^q C^\bullet(\mathfrak{g}, \mathcal{O}(V))$  is a quasi-isomorphism. In physics, this is the field space of BV-BRST formalism, where the derived quotient space  $V/G$  is replaced by the derived field space  $\mathfrak{g}[1] \oplus V$ , and  $\mathfrak{g}[1]$  is called BRST ghost.

### 7.1.2 Integration and BV

After identify the 'derived field space'  $\mathfrak{g}[1] \oplus V$ , we want to consider the integration over it. However, the highest volume form is not an ideal object to study infinite dimensional integration. The dual of it would be better, which is called the polyvector field

$$\text{PV}^\bullet(V) = \bigoplus_{p=0}^{\infty} \Gamma(V, \wedge^p TV),$$

equipped with Wedge Product:  $\wedge : \text{PV}^p \times \text{PV}^q \rightarrow \text{PV}^{p+q}$ , and the isomorphism between the polyvector field and the de-Rham complex could be written as. which leads to the BV operator, which is the generated divergence operator  $\Delta_\omega$ , where  $\omega$  is a volume form on  $V$ .

**Example 7.1** (Path Integral over  $V$ ). Consider  $\omega = e^{\rho(x)} dx_1 \wedge \cdots \wedge dx_n$ , the dual of polyvector field is given by

$$\text{PV}^\bullet(V) = C^\infty(V)[\partial_1, \dots, \partial_n],$$

equipped with the wedge product  $\partial_i \wedge \partial_j = -\partial_j \wedge \partial_i$ . For convenience, we denote  $\partial_i$  with wedge product as Grassmann variable  $\theta_i$ , thus the polyvector field could be written as

$$\text{PV}^\bullet(V) \ni \mu = \sum_I \mu_{I_1, \dots, I_k}(x) \theta_{I_1} \cdots \theta_{I_k}.$$

Under the notation above,  $\Delta$  could be written explicitly as

$$\Delta_\rho = \frac{\partial}{\partial x^i} \frac{\partial}{\partial \theta_i} + \partial_i \rho(x) \frac{\partial}{\partial \theta_i},$$

satisfying  $\Delta^2 = 0$ .

The integration over  $V$  could be identified with the linear homomorphism

$$H_{\text{dR}}^d(V) \rightsquigarrow \int : \Omega^d(V) \rightarrow \mathbb{C},$$

at the dual side, we can use the language of polyvector field to define the integration over  $V$  as

$$H_\Delta^0(V) \rightsquigarrow \int : \text{PV}^0(V) \rightarrow \mathbb{C},$$

which is much better in the context of quantum field theory, since it is isolated from the (mostly infinite) dimension of the field space.

## 7.2 BV Algebra

**Definition 7.1** (BV Algebra). A BV algebra is a graded commutative algebra  $(A, \cdot, \Delta, \{ \cdot \})$ , where

- (1)  $A$  is a graded commutative algebra with  $a \cdot b = (-1)^{|a||b|} b \cdot a$ ;
- (2) (BV Operator)  $\Delta : A \rightarrow A$  is a degree 1 operator satisfied  $\Delta^2 = 0$ ;
- (3) (BV Bracket)  $\{ \cdot \} : A \otimes A \rightarrow A$  is a degree 1 bilinear map

$$\{a, b\} = \Delta(a \cdot b) - \Delta(a) \cdot b - (-1)^{|a|} a \cdot \Delta(b),$$

**Proposition 7.1.** BV bracket satisfies the following properties:

- (1) (Graded Symmetry)  $\{a, b\} = (-1)^{|a||b|} \{b, a\}$ ,
- (2) (Graded Leibniz Rule)  $\{a, bc\} = \{a, b\} c + (-1)^{(|a|+1)|b|} b \{a, c\}$ ,
- (3) (Compatible with BV Operator)  $\Delta \{a, b\} = -\{\Delta a, b\} - (-1)^{|a|} \{a, \Delta b\}$ .

**Example 7.2** (Polyvector Field as BV Algebra).  $A = (\text{PV}^{-\bullet}(V), \Delta)$ , where  $A^{-k} = \text{PV}^k(V)$ , and BV bracket is Schouten-Nijenhuis bracket (up to sign)

$$\{\alpha, \beta\} = (-1)^{|\alpha|+1} [\alpha, \beta]_{\text{SN}}.$$

As we all know, the Schouten-Nijenhuis bracket is associated with the Poisson structure of function ring, thus the process to find the BV operator is the process of quantization of the Poisson algebra, which is associated with deformation quantization.

If we add a derivation  $Q : A \rightarrow A$  satisfies  $Q^2 = 0$ ,  $\deg Q = 1$  and  $Q\Delta + \Delta Q = 0$ , then we can define the BV algebra with BRST operator, which is called differential graded BV (DGBV) algebra.

**Example 7.3** (Calabi-Yau Manifold). Let  $(X, \Omega)$  is a Calabi-Yau manifold, where  $\Omega$  is the holomorphic volume form. The algebra of polyvector field

$$\text{PV}^{k,l}(X) = \Omega_{\bar{\partial}}^{0,l}(X, \wedge^k T^{1,0} X),$$

where  $\Omega$  and  $\partial$  leads to the BV operator  $\Delta$ , and the Dolbeault operator  $\bar{\partial}$  leads to the BRST operator  $Q$ , then  $(\text{PV}^{\bullet, -\bullet}, \bar{\partial}, \Delta)$  is a DGBV algebra.

**Example 7.4** (BRST Ghost and Anti-Field Formalism). Consider the gauge theory with gauge group  $G$  and field space  $V$ , the algebra of polyvector field is given by

$$A = \text{Sym}^{\bullet}(\mathfrak{g}^*[-1]) \otimes \text{Sym}^{\bullet}(\mathfrak{g}[2]) = \mathbb{R}[c^{\alpha}, e_{\alpha}],$$

where  $c^{\alpha}$  is degree 1 basis of  $\mathfrak{g}^*$  and  $e_{\alpha}$  is degree  $-2$  basis of  $\mathfrak{g}$ . The BV operator is given by

$$\Delta = \frac{\partial}{\partial c^{\alpha}} \frac{\partial}{\partial e_{\alpha}}, \quad \Delta^2 = 0, \quad \deg \Delta = 1,$$

thus we can define the BV bracket and form a BV algebra  $A = \text{PV}^{\bullet}(\mathfrak{g}[1])$ . This BV algebra over  $(c^{\alpha}, e_{\alpha})$  is an odd version of the BV algebra over  $(x^i, \theta_i)$ . Which is associated with the anti-field formalism.

Using the BV algebra, we could refactor the field space  $\mathfrak{g}[1] \otimes V$  into a BV algebra  $\text{PV}(\mathfrak{g}[1]) \otimes \text{PV}(V)$ , which ensure us to define the integration over the field space i.e. the path integral.

## 8 Day VIII: Master Equation

We would begin with a toy model, which is a BV algebra  $(A, \Delta, \{, \})$  with a degree 0 element  $S_0 \in A$ , which is called the action functional, and satisfies the classical master equation

$$\{S_0, S_0\} = 0.$$

This classical master equation induced a degree 1 derivation  $\delta = \{S_0, \}$  satisfied  $\delta^2 = 0$ . Thus,  $(A, \delta)$  formed a cochain complex.

**Example 8.1** (Polyvector on Lie Algebra). Consider  $A = \text{PV}^{-\bullet}(\mathfrak{g}[1]) = \text{Sym}^{\bullet}(\mathfrak{g}^*[-1]) \otimes \text{Sym}^{\bullet}(\mathfrak{g}[2]) \equiv \mathbb{R}[c^\alpha, e_\alpha]$ . The BV operator is given by  $\Delta = \partial_{e_\alpha} \partial_{c^\alpha}$ . Consider the action functional

$$I[c^\alpha, e_\alpha] = \frac{1}{2} f_{\alpha\beta}^\gamma c^\alpha c^\beta e_\gamma,$$

the master equation  $\{I_0, I_0\} = 0$  induced Jacobi identity. Thus, the bracket could be computed as

$$\delta(c^\alpha) = \{I, c^\alpha\} = \frac{1}{2} f_{\alpha\beta}^\gamma c^\alpha c^\beta, \quad \delta(e_\alpha) = f_{\alpha\beta}^\gamma c^\beta e_\gamma,$$

thus the derivation  $\delta$  could be written as

$$\delta = \frac{1}{2} f_{\alpha\beta}^\gamma c^\alpha c^\beta \frac{\partial}{\partial e_\gamma} + f_{\alpha\beta}^\gamma c^\beta e_\gamma \frac{\partial}{\partial c^\alpha},$$

restrict  $\delta$  to the  $\text{Sym}(\mathfrak{g}^*[-1])$  part, we obtain a Chevalley-Eilenberg complex, and the full algebra formed a Chevalley-Eilenberg complex with module  $\text{Sym}(\mathfrak{g}[2])$ .

**Example 8.2** (Symmetry with Matter). Consider Lie group acts on field space  $\mathcal{E}$ , the infinitesimal version leads to the fundamental vector field  $V(X)$  where  $X \in \mathfrak{g}$  and  $V(X) \in \text{Vect}(\mathcal{E})$ . Consider this theory with action function  $f : \mathcal{E} \rightarrow \mathbb{C}$ .

Considering BV algebra  $A = \text{PV}^{-\bullet}(\mathcal{E}) \otimes \text{PV}^{-\bullet}(\mathfrak{g}[1]) = \mathbb{C}[x^i, \theta_i, c^\alpha, e_\alpha]$ , the action

$$S_0 = f(x) + c^\alpha V_\alpha^i(x) \theta_i + \frac{1}{2} f_{\alpha\beta}^\gamma c^\alpha c^\beta e_\gamma,$$

satisfies the classical master equation.

Proof. TBD. □

Using the definition of  $S_0$  above, the derivation  $\delta = \{S_0, \}$  is given by

$$\delta = \partial_i f \frac{\partial}{\partial \theta_i} + \{J, \},$$

the first term encodes the variation of the action i.e., the Euler-Lagrange equation, and the second term encodes the gauge symmetry of the theory.

### 8.1 Quantum Master Equation

**Definition 8.1** (Quantum Master Equation). Let  $(A, \Delta, \{, \})$  be a BV algebra, the quantum action  $S \in A$  with degree 0 satisfies the quantum master equation

$$\{S, S\} + 2\hbar \Delta S = 0,$$

where  $S = S_0 + \hbar S_1 + \hbar^2 S_2 + \mathcal{O}(\hbar^3)$ . While  $\hbar \rightarrow 0$  leads to the classical master equation.



The quantum master equation could be refactored as

$$\Delta e^{S/\hbar} = 0,$$

which implied that the exponential of the action functional is a closed form in the BV algebra. This is the key point of the quantum master equation, which leads to the algebraic description of path integral formulation in quantum field theory.

After choosing a gauge, the integration over the BV field space equipped with shifted  $-1$  degree symplectic form would reduce to the Lagrangian submanifold, thus the path integral could be written as

$$\int_{\mathcal{L}}^{\text{BV}} e^{S/\hbar} = \int_{\mathcal{L}'}^{\text{BV}} e^{S/\hbar},$$

where  $\mathcal{L}$  and  $\mathcal{L}'$  are two Lagrangian submanifolds of the BV field space, which are related by a gauge transformation and not change the path integral due to the quantum master equation.

Assume  $S$  satisfies the quantum master equation, we can define the degree 1 operator

$$\delta^{\hbar} = \hbar \Delta + \{S, \},$$

which satisfies  $(\delta^{\hbar})^2 = 0$ .

*Proof.* TBD. □

The operator  $\delta^{\hbar}$  is called quantum BRST operator, which is a deformation of the classical BRST operator  $\delta$ . However, this operator is not a derivation of the BV algebra, since it does not satisfy the Leibniz rule, i.e.  $\delta^{\hbar}(a \cdot b) \neq \delta^{\hbar}a \cdot b + (-1)^{|a|}a \cdot \delta^{\hbar}b$ .

**Definition 8.2** (Observables). *A classical observable is an element of  $H^{\bullet}(A, \delta)$ . A quantum observable is an element of  $H^{\bullet}(A[[\hbar]], \delta^{\hbar})$ , where  $A[[\hbar]]$  is the formal deformation of the BV algebra  $A$  with respect to  $\hbar$ .*

**Example 8.3** (Landau-Ginzberg B-Model). *Let  $f : \mathbb{C}^n \rightarrow \mathbb{C}$  be a polynomial with an isolated critical point at  $0 \in \mathbb{C}^n$ , the Landau-Ginzberg B-model is a topological field theory with the BV algebra*

$$A = \mathbb{C}[z^i, \theta_i], \quad \theta_i \theta_j = -\theta_j \theta_i, \quad \deg z^i = 0, \quad \deg \theta_i = 1,$$

where  $e^{f/\hbar}$  solved the quantum master equation. The classical observables are given by the cohomology of the BV algebra, where the classical BRST operator is given by  $\delta = \frac{\partial f}{\partial z^i} \frac{\partial}{\partial \theta_i}$ , thus, the classical observables would be given by

$$\text{Obs}^c = H^{\bullet}(A, \{f, \}) \cong \mathbb{C}[z^i] / (\partial_{z^i} f).$$

The quantum BRST operator is given by  $\delta^{\hbar} = \hbar \Delta + \{f, \}$ , thus the quantum observables are given by

$$\text{Obs}^q = H^{\bullet}(A[[\hbar]], \delta^{\hbar}) \cong \Omega_0^n[[\hbar]] / (\hbar d + df \wedge) \Omega^{n-1}[[\hbar]],$$

which formally completes the Brieskorn lattice. The  $\hbar$  filtration provides the Hodge filtration, and integration over the Lefschetz thimble gives the BV integration, where the thimble forms a Lagrangian submanifold of the BV field space.

## 8.2 Deformation Obstruction Theory

We now focus on the deformation process of the BV action, which would derive the quantum BV action  $S = S_0 + \hbar S_1 + \dots$  from the classical BV action  $S_0$ .

Consider the first order deformation of the BV action  $S = S_0 + \hbar S_1$ , we have the quantum master equation in first order

$$\Delta S_0 + \delta S_1 = 0,$$

which is easy to verify that this equation is consistent with  $\delta^2 = 0$ . This consistency condition implied that  $\Delta S_0 \in H^1(A, \delta)$ . If  $H^1(A, \delta) = 0$ , then we can find a solution  $S_1$  such that the quantum master equation holds.

Now we assume  $[\Delta S_0] = 0$  lies in the trivial class of  $H^1(A, \delta)$ , then we can find a class of solutions (where  $\phi$  is the contribution from ghost)

$$S'_1 = \delta\phi_1 + S_1,$$

thus, the one loop ( $\hbar$  order) solution of the quantum master equation is not unique, lying in the cohomology class  $H^0(A, \delta)$  while  $H^1(A, \delta)$  trivial. If  $H^1(A, \delta) \neq 0$ , then the solution vanished.

Consider the higher order deformation, we have the quantum master equation in  $k-1$  order

$$S_{<k} = S_0 + \hbar S_1 + \cdots + \hbar^{k-1} S_{k-1},$$

and the quantum master equation could be written as

$$\hbar \Delta S_{<k} + \frac{1}{2} \{S_{<k}, S_{<k}\} = \hbar \mathcal{O}_k + \mathcal{O}(\hbar^{k+1}), \quad \Delta \left( \left[ \hbar^{k-2} \mathcal{O}_k + \mathcal{O}(\hbar^{k-1}) \right] e^{S_{<k}/\hbar} \right) = 0$$

where  $\mathcal{O}_k$  satisfied  $\delta \mathcal{O}_k = 0$ .

*Proof.* After applying  $\Delta$ , the definition equation above could be written as □

Using  $S_{<k}$ , we could solve the expression of  $S_k$ , which satisfies

$$\hbar \Delta (S_{<k} + \hbar^k S_k) + \frac{1}{2} \{S_{<k} + \hbar^k S_k, S_{<k} + \hbar^k S_k\} = \mathcal{O}(\hbar^{k+1}),$$

consider the  $\hbar^k$  order term, which by definition is  $\mathcal{O}_k$ , the expression of it is

$$\frac{1}{\hbar^k} \left( \hbar \Delta S_{<k} + \frac{1}{2} \{S_{<k}, S_{<k}\} \right)_k = \mathcal{O}_k = -\delta S_k.$$

This equation has the same form in the discussion at  $\hbar$  order, thus the result has no change i.e., while  $H^1(A, \delta)$  is trivial, the solution lies in  $H^0(A, \delta)$ , otherwise there is no solution for the quantum master equation i.e., there is a quantum anomaly.

## 9 Day IX: Effective Theory of Quantization

### 9.1 Shifted Symplectic Form

Consider  $(V, Q, \omega)$  be a (finite dimensional) symplectic vector space with (in classical mechanics,  $V = T^*[-1]W \equiv W \oplus W^*[-1]$ ):

- (1)  $Q : V \rightarrow V$  a degree 1 operator, which is a derivation of the algebra of functions on  $V$  satisfying  $Q^2 = 0$ ;
- (2)  $\omega : \wedge^2 V \rightarrow \mathbb{R}$  is a degree  $-1$  non-degenerate pairing i.e.,  $\omega(a, b) = 0$  unless  $|a| + |b| = 1$ .
- (3) (Compatibility)  $\omega$  is compatible with  $Q$ , i.e.  $\omega(Qx, y) + (-1)^{|x|} \omega(x, Qy) = 0$  for all  $x, y \in V$ .

The non-degeneracy of  $\omega$  implies the isomorphism

$$\omega : V^* \xrightarrow{\sim} V[1],$$

which implies  $\wedge^2 V^* \xrightarrow{\sim} \wedge^2(V[1]) = \text{Sym}^2(V)[2]$ , where the element in right-hand side is defined as Poisson kernel.

**Example 9.1.** Consider the cotangent space  $T^*[-1]W \equiv W \oplus W^*[-1]$ , the symplectic form is given by

$$\omega = dx^i \wedge d\theta_i,$$

where  $(x^i, \theta_i)$  are the coordinates of  $W$  and  $W^*$  respectively. The Poisson kernel is given by

$$\omega^{-1} = \frac{\partial}{\partial x^i} \otimes \frac{\partial}{\partial \theta_i} + \frac{\partial}{\partial \theta_i} \otimes \frac{\partial}{\partial x^i},$$

which is a degree 1 element. Such an element is exactly the BV operator. In fact, there is a natural DGBV structure  $(A, Q, \Delta)$ , where

- (1)  $A = \mathcal{O}(V) = \prod_{m \geq 0} \text{Sym}^m(V^*)$  which is the formal power series on  $V$ ;
- (2)  $Q : A \rightarrow A$  is a degree 1 derivation induced by  $Q : V \rightarrow V$ ;
- (3) BV operator  $\Delta : A \rightarrow A$  is given by contracting with the Poisson kernel  $\omega^{-1}$ , which is a degree 1 operator, satisfying

$$\Delta(\alpha_1 \otimes \cdots \otimes \alpha_n) = \sum_{i < j} \pm \langle K, \alpha_i \otimes \alpha_j \rangle \alpha_1 \otimes \cdots \otimes \hat{\alpha}_i \otimes \cdots \otimes \hat{\alpha}_j \otimes \cdots \otimes \alpha_n,$$

- (4) (Consistency)  $Q\Delta + \Delta Q = 0$ .

Consider a DGBV, given a degree 0 element  $I_0 \in A$ , which satisfying the classical master equation (with DG structure)

$$QI_0 + \frac{1}{2} \{I_0, I_0\} = 0,$$

where the differential could be written as  $\delta = Q + \{I_0, \}$ . Moreover, the quantum master equation in DGBV would be rephrased as

$$(Q + \hbar \Delta) e^{I/\hbar} = 0,$$

where  $I = I_0 + \hbar I_1 + \cdots \in A[[\hbar]]$ , where  $\delta^\hbar = Q + \hbar \Delta + \{I, \}$ .

## 9.2 UV Divergence and BV Formalism

### 9.2.1 Classical BV Formalism

The quantization of a classical field theory could be described in the language of BV formalism

$$\text{Classical Filed Theory } (\mathcal{E}, Q, \omega) \xrightarrow{\text{Quantization}} \infty\text{-Dimensional BV Algebra } (A, Q, \Delta),$$

where

- (1)  $\mathcal{E} = \Gamma(X, E)$ , here  $E \rightarrow X$  is a graded vector bundle;
- (2)  $(\mathcal{E}, Q)$  is an elliptic complex;
- (3)  $\omega$  is a local  $-1$  symplectic pairing, where

$$\omega(\alpha, \beta) = \int_X \alpha \wedge \beta,$$

which is compatible with  $Q$ .

We would firstly consider the classical BV formalism.

**Example 9.2** (Chern-Simons Theory Revisit). After gauge fixing, the field space of Chern-Simons theory could be written as <sup>2</sup>

$$\mathcal{E} = \Omega^\bullet(M, \mathfrak{g})[1],$$

the differential  $Q$  is given by the de-Rham differential, which is an elliptic degree 1 operator, and the symplectic pairing is given by

$$\omega(\alpha, \beta) = \int_M \langle \alpha \wedge \beta \rangle,$$

where  $\langle \cdot, \cdot \rangle$  is the Killing form on  $\mathfrak{g}$ . The topological quantum field theory could also be rephrased as a BV Chern-Simons (BF) theory in 1 dimension.

**Example 9.3** (Scalar Field Theory). Consider the scalar field theory with field space  $\mathcal{E} = \Gamma(X, \mathbb{R})[1]$ , the derivation  $Q$  is given by Laplacian  $\Delta$ , and the symplectic pairing is given by

$$\omega(\psi, \phi^*) = \int_X \sqrt{|g|} d^d x \psi \phi^*,$$

where the complex could be therefore written as

$$\psi \in C^\infty(X) \xrightarrow{\Delta} C^\infty(X) \ni \psi^*,$$

where  $\psi$  is the field and  $\psi^*$  is the anti field, which is a degree 1 element.

After identifying the field space  $\mathcal{E}$ , we need to introduce the notion of 'function', which in the toy model is  $\mathcal{O}(V)$ . Using the continuous dual, the linear function on  $\mathcal{E}$  could be identified as

$$\mathcal{E}^* = \text{Hom}(E, \mathbb{R}),$$

and the tensor product of the field space and the dual space is given by completed tensor product and its dual space

$$\mathcal{E}^{\otimes n} = \Gamma(X \times \cdots \times X, E^{\boxtimes n}), \quad (\mathcal{E}^*)^{\otimes m} = \text{Hom}_{X \times \cdots \times X}(\mathcal{E}^{\otimes n}, \mathbb{R})$$

which would lead to the function ring  $\mathcal{O}(\mathcal{E}) = \prod_{n \geq 0} \text{Sym}^n(\mathcal{E}^*)$ , where  $\text{Sym}^n(\mathcal{E}^*) = (\mathcal{E}^*)^{\otimes n} / \sim$ . We could define the derivation  $Q : \mathcal{O}(\mathcal{E}) \rightarrow \mathcal{O}(\mathcal{E})$  from  $Q : \mathcal{E} \rightarrow \mathcal{E}$ , as what we have done in the finite dimensional case, and the symplectic pairing  $\omega$  could be extended to the field space as

$$\omega(\alpha, \beta) = \int_X \langle \alpha, \beta \rangle,$$

and thus the Poisson kernel  $K$  is given by a delta function distribution<sup>3</sup>. Since  $K$  is a distribution, there is no natural way to define BV operator  $\Delta : \mathcal{O}(\mathcal{E}) \rightarrow \mathcal{O}(\mathcal{E})$  since there is no natural way to define the contraction with a distribution. Which is the problem underlying the infinitely dimensional field space in quantum field theory.

In quantum field theory, there is no such problem, since we just need to consider the so-called 'local field space'  $\mathcal{O}_{loc}(\mathcal{E}) \subset \mathcal{O}(\mathcal{E})$ , whose elements would look like

$$\int_X \mathcal{L}(\cdot) : \mathcal{E} \rightarrow \mathbb{R},$$

and thus the classical master equation  $\{ \cdot, \cdot \} : \mathcal{O}_{loc}(\mathcal{E}) \times \mathcal{O}_{loc}(\mathcal{E}) \rightarrow \mathcal{O}_{loc}(\mathcal{E})$  defined on the local field space is make sense.

<sup>2</sup>This is the manifold generalization of the finite dimensional example.

<sup>3</sup>Since the 'inverse' of the integration is the Delta function.

**Example 9.4** (Chern-Simons Theory as BV Action). *After using BV formalism, the Chern-Simons theory could be written as a BV action*

$$\text{CS}[\mathcal{A}] = \int_X \frac{1}{2} \langle \mathcal{A}, dA \rangle + \frac{1}{6} \langle \mathcal{A}, [\mathcal{A}, \mathcal{A}] \rangle,$$

where  $\mathcal{A} \in \Omega^\bullet(X, \mathfrak{g})[1]$ . The action functional could be rephrased as the solution of the classical master equation, where  $\text{Ad}\mathcal{A}$  part could be viewed as 'free part' of Chern-Simons field theory, denoted by  $S_0$ , and thus the master equation could be written as

$$QI + \frac{1}{2} \{I, I\} = 0,$$

where  $Q = \{S_0, \} = d$ , the condition of  $Q^2 = 0$  is equivalent to the fact that  $\Omega^\bullet(X, \mathfrak{g})[1]$  is DGLA.

### 9.2.2 Quantum BV Formalism: Homotopic Renormalization

The quantization of the classical field theory would lead to define BV differential  $\Delta$  and its quantum master equation

$$QI_0 + \frac{1}{2} \{I_0, I_0\} = 0 \rightsquigarrow QI_h + \hbar \Delta I_h + \frac{1}{2} \{I_h, I_h\}_k = 0,$$

where  $I_h \in \mathcal{O}(\mathcal{E})[[\hbar]]$ . However, as we described above, the definition of BV operator is not natural in the context of quantum field theory, since there is no natural way to define the contraction between two distributions.

Remember the quantum BV formalism in finite dimension is well-defined. Costello's homotopic renormalization theory suggested that, one could construct a BV algebra by homotopically deforming Poisson kernel  $K_0$  from the known BV algebra to another unknown BV algebra, which is called homotopic renormalization.

Consider a change of  $K_0$  by chain homotopy. Let  $P \in \text{Sym}^2(V)$ , where  $\deg P = 0$ . The deformation of the Poisson kernel  $K_0$  is given by

$$K_P = K_0 + QP = K_0 + (Q \otimes I + I \otimes Q) P,$$

thus, we defined a new BV operator  $\Delta_P$  by contracting with the new Poisson kernel  $K_P$ .  $(\mathcal{O}(V), Q, \Delta_P)$  become a new DGBV.

We define  $\partial_P : \text{Sym}^m(V^*) \rightarrow \text{Sym}^{m-2}(V^*)$ , which is a degree 0 second order operator acting on the algebra of functions on  $V$  by contracting with  $P$  i.e., computing the Feynman diagram with  $P$  as the propagator.

**Proposition 9.1** (Chain Homotopy). *Operator  $e^{\hbar \partial_P}$  induced a chain homotopy between  $(\mathcal{O}(V)[[\hbar]], Q, \Delta_0)$  and  $(\mathcal{O}(V)[[\hbar]], Q, \Delta_P)$ .*

The Chain homotopy implied that, if  $I \in \mathcal{O}(V)[[\hbar]]$  satisfies

$$(Q + \hbar \Delta_0) e^{I/\hbar} = 0,$$

then  $I_P = e^{\hbar \partial_P} I \in \mathcal{O}(V)[[\hbar]]$  satisfying

$$(Q + \hbar \Delta_P) e^{I_P/\hbar} = 0,$$

where  $e^{\hbar \partial_P}$  represents the process of computing the Feynman diagram i.e., 'integrate out' the high energy modes. Thus, this process is the mathematical description of the renormalization process in quantum field theory (in finite dimensional toy model).

Now we consider BV algebra in quantum field theory, where  $\mathcal{E} = \Gamma(X, E)$ . In order to define the BV operator smoothly. In this case, Costello's homotopic renormalization theory suggested that we

could deform the Poisson kernel  $K_0$  by a chain homotopy, to give a new smooth Poisson kernel  $K_r$ , such that

$$H^\bullet(\text{Distribution}, Q) = H^\bullet(\text{Smooth Functions}, Q),$$

where

$$K_0 = K_r + Q(P_r),$$

thus  $\Delta_r$  is the BV operator defined by contracting with  $K_r$ , which is a smooth Poisson kernel, so that we could define  $(\mathcal{O}(V), Q, \Delta_r)$  as a 'effective' BV algebra. Let  $r'$  be another regularization, we have a chain homotopy

$$K_0 = K_{r'} + Q(P_{r'}), \quad K_{r'} = K_r + Q(P_r'),$$

where  $\partial_{P_r'} : \mathcal{O}(\mathcal{E}) \rightarrow \mathcal{O}(\mathcal{E})$  is contraction with the propagator  $P_r'$ . Thus, the Feynman diagram  $e^{\hbar \partial_{P_r'}}$  is a chain homotopy between the two BV algebras  $(\mathcal{O}(V), Q, \Delta_r)$  and  $(\mathcal{O}(V), Q, \Delta_{r'})$ . Which defines a homotopic RG flow between the two BV algebras labeled by  $r$  and  $r'$ , defined by different regularization.

**Definition 9.1** (Costello's Effective Solution). *An effective solution of perturbative quantization of  $I_0$  (which satisfies CME) is a family*

$$I[r] \in \mathcal{O}(\mathcal{E})[[\hbar]]$$

for each choice of regularization  $r$  such that:

- (1) (Effective QME)  $(Q + \hbar \Delta_r) e^{I[r]/\hbar} = 0$ ;
- (2) (Homotopy RG)  $e^{I[r]/\hbar} = e^{\hbar \partial_{P_r}} e^{I[r']/\hbar}$ ;
- (3)  $I[r]$  is asymptotic local when  $r \rightarrow 0$  and  $\lim_{r \rightarrow 0} I_0[r] = I_0$ .

**Proposition 9.2** (Constructing Effective Solution). (1) *Construct the counter-term  $I^\epsilon \in \hbar \mathcal{O}_{loc}(\mathcal{E})[[\hbar]]$  such that*

$$e^{I[r]^{Naive}/\hbar} = \lim_{\epsilon \rightarrow 0} e^{\hbar \partial_{P_\epsilon}} e^{(I_0 + I_\epsilon)/\hbar},$$

thus,  $I[r]^{Naive}$  satisfies homotopy RG flow, and the limit  $\epsilon \rightarrow 0$  is the regularization parameter.

(2) *Further, correct  $I^\epsilon$  such that  $I[r]$  satisfies the effective quantum master equation, i.e.,*

$$(Q + \hbar \Delta_r) e^{I[r]/\hbar} = 0,$$

thus,  $I[r]$  is the effective solution of perturbative quantization of  $I_0$ .

Step (1) is the so-called 'naive' solution, which is not a solution of the effective quantum master equation, but it satisfies the homotopy RG flow. Such a step is always possible. Step (2) is quantization by perturbation, however, due to the existence of gauge anomaly, such a step is not always possible. Costello proved that the gauge anomaly is classified by  $H^1(\mathcal{O}_{loc}(\mathcal{E}), Q + \{I_0, \})$ .

In practice, we choose a matrix on  $E \rightarrow X$ , and define  $Q^*$  as an adjoint operator of  $Q$ . We could thus define the Laplacian  $\Delta = Q^*Q + QQ^*$  and the heat kernel  $K_r = e^{-r\Delta}$ , which could be used to define Poisson kernel as kernel of the operator

$$P_0^L = \int_0^L Q^* e^{-t\Delta} dt, \quad Q(P_0^L) = \int_0^L \Delta e^{-t\Delta} dt = K_0 - K_L,$$

thus, we would obtain a smooth Poisson kernel  $K_r$  for each regularization  $r$

$$K_0 = K_r + QP_r, \quad P_r = \int_0^r Q^* e^{-t\Delta} dt,$$

and the homotopic RG flow is given by  $P_\epsilon^L = \int_\epsilon^L Q^* e^{-t\Delta} dt$ . After taking the limit  $L \rightarrow \infty$ , we 'integrate out' all the high energy modes, in field space, this means we isolate harmonic space  $H$  from  $\mathcal{E} = H \oplus \text{im } Q \oplus \text{im } Q^*$ , which is a finite dimensional space.

## 10 Day X: UV Finite Theory

Assume the theory is UV finite, the after homotopy RG, the action  $I[r]$ ,

$$e^{I[r]/\hbar} = e^{\hbar \partial_{p_r}} e^{I_0/\hbar},$$

would be interesting while  $r \rightarrow 0$  i.e., the canceling of the regularization. Thus, the renormalized quantum master equation would become

$$QI[r] + \hbar \Delta_r I[r] + \frac{1}{2} \{I[r], I[r]\} = 0 \xrightarrow{r \rightarrow 0} QI + \frac{1}{2} \{I, I\} + \dots = 0,$$

which would have a local expression  $l_1^\hbar(I) + \frac{1}{2} l_2^\hbar(I, I) + \frac{1}{3!} l_3^\hbar(I, I, I) \dots = 0$ , where  $\{l_i^\hbar\}_{i \geq 0}$  would form a  $L_\infty$  algebra.

**Example 10.1** (Example of UV Finite Theory). (1) *Topological Theory (Chern-Simons type theory), where BV field space would become  $\mathcal{E} = \Omega_{\text{dR}}^\bullet(X, E)$ ;*

(2) *Holomorphic field theory, where the BV field space would become  $\mathcal{E} = \Omega_g^{0,\bullet}(X, E)$ .*

### 10.1 Topological Quantum Mechanics

#### 10.1.1 BV Field Space

Consider the field space as a ordinary (no degree shift) symplectic vector space  $\mathcal{E} = V$ , the symplectic form is given by  $\langle , \rangle : \wedge^2 V \rightarrow \mathbb{R}$ . Consider the sigma model

$$\varphi : S_{\text{dR}}^1 \rightarrow V,$$

where  $S_{\text{dR}}^1 = (S^1, \Omega_{\text{dR}}^\bullet(S^1))$  i.e., a  $S^1$  topology with local function ring  $\Omega_{\text{dR}}^\bullet(S^1) \cong T[1]S^1$ . This map could be written as  $\varphi \in \Omega_{\text{dR}}^\bullet(S^1) \otimes V$  i.e., given a function  $f(x) \in \mathcal{O}(V)$ ,  $\varphi^*(f) = f(\varphi)$ .

Such a field space  $(\Omega_{\text{dR}}^\bullet(S^1) \otimes V, d)$  is a BV field space, where the  $-1$  shifted symplectic form is given by

$$\omega(\alpha, \beta) = \int_{S^1} \langle \alpha, \beta \rangle, \quad \alpha, \beta \in \mathcal{E}.$$

Treating  $\Omega^\bullet(S^1)$  as ring of Grassmannian variables, we have a natural map

$$\mathcal{O}(V)[[\hbar]] \ni I \mapsto \hat{I} : \varphi \mapsto \int_{S^1} I(\varphi) \in \mathcal{O}(\mathcal{E})[[\hbar]],$$

where  $\deg I = \deg \hat{I} + 1$ . Thus, consider the deformed action

$$S = \int_{S^1} \left( \frac{1}{2} \langle \varphi, d\varphi \rangle + I(\varphi) \right),$$

**Theorem 10.1.** *After quantization, the deformed action would satisfy*

(1) *The following limit exists*

$$e^{\hat{I}[L]/\hbar} = \lim_{\epsilon \rightarrow 0} e^{\hbar \partial_{p_\epsilon}} e^{\hat{I}_0/\hbar},$$

(2)  $\{I[L]\}_L$  satisfies the normalized quantum master equation  $[I, I]_\star = 0$ , where  $I \in \mathcal{O}(V)[[\hbar]]$  and  $\star$  is the Moyal product on  $\mathcal{O}(V)[[\hbar]]$ .

### 10.1.2 Application: Index Theorem

There is a natural generalization of the construction above. Let  $(R, d_R)$  be a DG communicative algebra. Consider the vector space  $V_R = R \otimes_R V$  with a connection  $\nabla : V_R \rightarrow V_R$  satisfying  $\nabla(a \otimes v) = (d_R a) \otimes v + (-1)^a a \otimes \nabla b$ . There is a natural symplectic structure  $\omega \in \wedge^2 V^*$ . Thus, one could define the space of fields

$$\mathcal{E}_R = \Omega^\bullet(S^1) \otimes V_R,$$

where the deformation of the action is given by

$$\mathcal{O}(V_R) \ni I \mapsto \hat{I} : \varphi \mapsto \int_{S^1} I(\varphi) \in \mathcal{O}(\mathcal{E}_R),$$

where  $\deg I = \deg \hat{I} + 1$  and  $\hat{I}(\varphi) \in R$ . The BV quantization process would define a flat connection  $\nabla_I = \nabla + \frac{1}{\hbar}[I, \ ]_\star$ , which defined a Fedosov's connection. Here, renormalized quantum master equation gives a Fedosov's connection on Weyl bundle, and local quantum observables  $(C^\infty(X)[[\hbar]], \star)$  gives a deformation quantization.

Now we want to consider the index theorem in topological quantum mechanics, note that in a classical discussion of SUSY localization, one have

$$\text{Path Integral} \xrightarrow{\text{SUSY Localization}} \text{Ground Space},$$

thus, one could only consider the localized effective theory. After counting the localized part of the partition function, one would obtain the algebraic index theorem

$$\text{tr}(1) = \int_X e^{-\omega_\hbar/\hbar} \hat{A}(X),$$

where  $\omega_\hbar = -\omega_0 + \hbar\omega_1 + \hbar^2\omega_2 + \dots$ , and  $\{\omega_i\}$  are the moduli of the star product, which is characterized by  $\hbar H^2(X)_{\text{dR}}[[\hbar]]$  i.e., deformed symplectic form.

## 10.2 Chiral Field Theory

Consider Riemannian manifold  $(\Sigma, g)$ , where  $\Sigma$  is a Riemann surface, the chiral field theory is an important object as a generalization of the topological quantum mechanics.

**Example 10.2** (Free Chiral CFT). (1) *Chiral Boson*  $\int_\Sigma \partial\phi\bar{\partial}\phi$ ;

(2)  *$\beta\gamma$  - system*  $\int_\Sigma \beta\bar{\partial}\gamma$ ;

(3)  *$bc$  - system*  $\int_\Sigma b\bar{\partial}c$ .

Now consider the  $\beta\gamma$  -  $bc$  system, where  $\beta, \gamma \in \Omega^{0,\bullet}(E) \otimes V$ . Consider the deformed action

$$S = S_{\text{free}} + \int_\Sigma \mathcal{L}^{\text{hol}}(\partial\phi, \beta, \gamma, b, c),$$

which is a holomorphic function of the fields, where  $S_{\text{free}}$  is the free part of the action. We would prove that, the action above is UV finite and the master equation have some important properties.

**Definition 10.1** (Vertex Algebra). Consider a vertex algebra  $\mathcal{V}$ , which have

(1) *State-field correspondence*

$$\mathcal{V} \ni A \mapsto \sum_{n \in \mathbb{Z}} A_n z^{-n-1} \in \text{End}(\mathcal{V})[[z, z^{-1}]];$$

(2) *Vacuum state*  $|0\rangle \mapsto 1$ ;



(3) Operator product expansion (OPE) for  $A, B \in \mathcal{V}$ ,  $A(z)B(w)$  is a formal Laurent series

$$A(z)B(w) = \sum_{n \in \mathbb{Z}} \frac{(A_{(n)}B)(w)}{(z-w)^{n+1}},$$

where  $C_n(A, B, w)$  is a local operator in the vertex algebra.

(4) Translation operator, locality...

**Example 10.3.** (1) (Chiral Boson)  $\partial\phi(z)\partial\phi(w) \sim \frac{\hbar^2}{(z-w)^2} + \dots$ , where  $\mathcal{V} = \mathbb{C}[\partial\phi, \dots]$ ;

(2) ( $\beta\gamma$  - system)  $\beta(z)\gamma(w) \sim \frac{\hbar}{z-w} + \dots$ , where  $\mathcal{V} = \mathbb{C}[\beta, \gamma, \dots]$ ;

(3) ( $bc$  - system)  $b(z)c(w) \sim \frac{1}{z-w} + \dots$ , where  $\mathcal{V} = \mathbb{C}[b, c, \dots]$ .

All the vertex algebra above would be generated by their primary fields and their holomorphic derivations.

The chiral deformed theory is UV finite i.e.,  $e^{I[L]/\hbar} = \lim_{\epsilon \rightarrow 0} e^{\hbar \partial_{P_\epsilon^L}} e^{I/\hbar}$  exists, where the normalized quantum master equation implies

$$[\oint \mathcal{L}^{\text{hol}}, \oint \mathcal{L}^{\text{hol}}] = 0,$$

which formed a flat bundle  $\nabla + \frac{1}{\hbar}[\oint \mathcal{L}^{\text{hol}}, \cdot]$  on the vertex algebra  $\mathcal{V}$ . Thus, we could form a vertex algebra bundle  $\text{VOA} \rightarrow X$ , where  $\phi: \Sigma \rightarrow X$ .

**Example 10.4** (Dijkgraaf Chiral Deformation). Consider the chiral deformation of the free chiral boson, where the deformed action is given by

$$S = \int_{E_\tau} \frac{1}{2} \partial\phi \bar{\partial}\phi + \frac{1}{3!} \int_{E_\tau} \frac{d^2z}{\text{Im } \tau} (\partial_z \phi)^3,$$

where the free correlation function is given by

$$\langle \partial\phi(z) \partial\phi(w) \rangle = P(z, w) = \mathcal{P}(z - w, \tau) + \frac{\pi^2}{3} E_3^*(\tau, \bar{\tau}),$$

where  $\mathcal{P}$  is Weierstrass function.