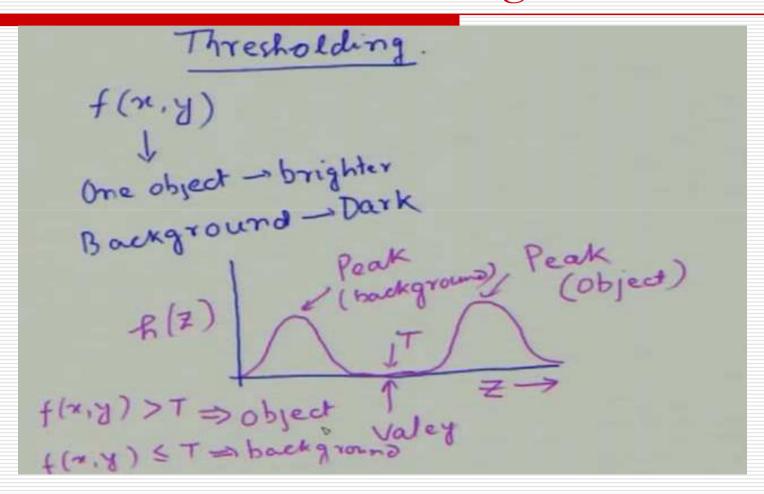
## Segementation by Thresholding

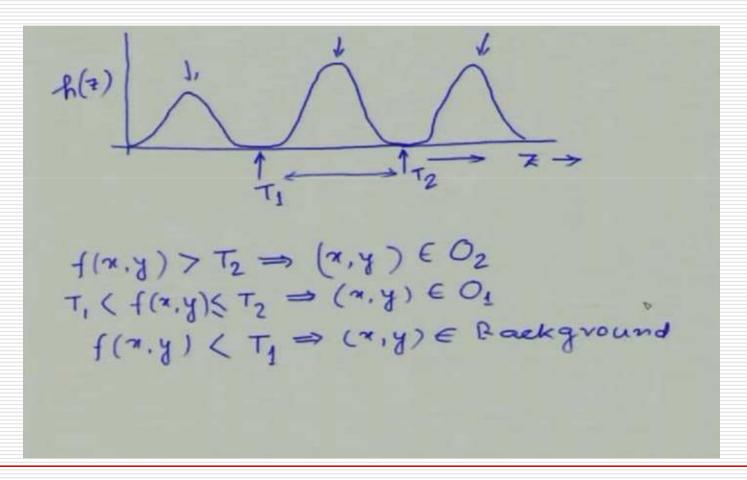
Mirunalini A. CSE

## Thresholding

- ☐ Global threshold
- ☐ Local Threshold
- ☐ Adaptive/Dynamic Threshold
- ☐ Optimum Threshold

## Thresholding





### Thresholding

$$T = T[x,y, \beta(x,y), f(x,y)]$$

$$(x,y) \Rightarrow \beta(x,y) \text{ for a location}$$

$$f(x,y) \Rightarrow \beta(x,y) \Rightarrow \beta(x,y) \text{ in a } \beta(x,y) \Rightarrow \beta(x,y) \Rightarrow \beta(x,y) \text{ in a neighborhood centered}$$

$$\text{at } (x,y)$$

## Thresholding

- ☐ T can be viewed as an operation to test the image pixels against a function T
- $\square$  T = T [x, y, p(x, y) and f (x, y)].
  - (x, y) =the pixel location in the image,
  - $\blacksquare$  f (x, y)=> Pixel intensity at location (x, y)
  - p(x, y) = > some local property in a neighborhood centered at <math>(x, y).

### Thresholding Types

$$T[f(x,y)] \Rightarrow Global$$

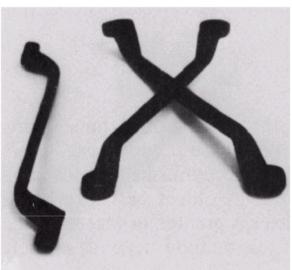
$$T[f(x,y), p(x,y)] \Rightarrow Local$$

$$T[(x,y), f(x,y), p(x,y)]$$

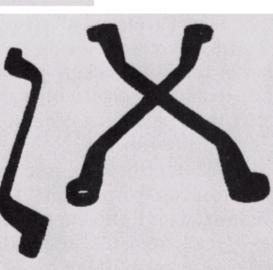
$$\Rightarrow Adaptive/Dynamic$$

$$g(x,y) = \begin{cases} 1 & \text{if } f(x,y) > T \rightarrow object \\ 0 & \text{if } f(x,y) \leq T \rightarrow background \end{cases}$$

### Thresholding Basic Global Thresholding



127





#### **FIGURE 10.28**

(a) Original image. (b) Image histogram. (c) Result of global thresholding with *T* midway between the maximum and minimum gray levels.

## Thresholding Basic Global Thresholding

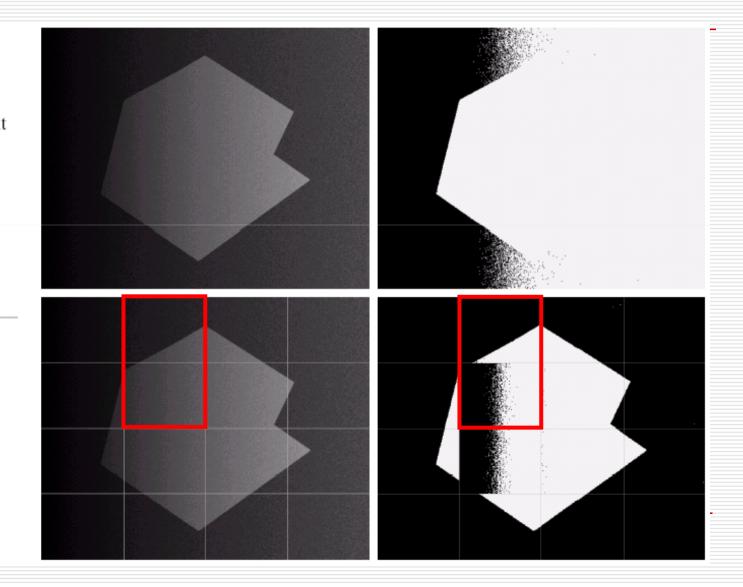
- 1. Choose initial threshold TO
- 2. Define f(x,y) > TO as background and f(x,y) < TO as foreground
- 3. Calculate mean for background  $\mu bg$  and foreground  $\mu fg$
- 4. Set next threshold  $Ti = (\mu bg + \mu fg)/2$
- 5. Repeat 2.-4. until stopping criteria, Ti = Ti-1, is fulfilled

## Thresholding Basic Adaptive Thresholding

a b c d

#### FIGURE 10.30

(a) Original image. (b) Result of global thresholding. (c) Image subdivided into individual subimages. (d) Result of adaptive thresholding.



## Local or Adaptive Thresholding

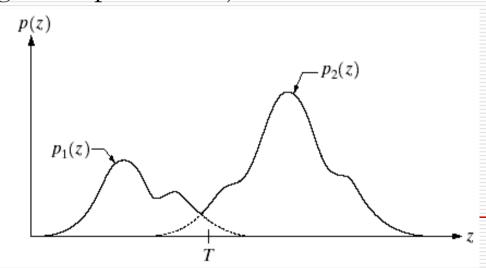
- ☐ Threshold which is position dependent because every sub image has a particular position;
- ☐ Threshold selection is position dependent, it becomes an adaptive thresholding operation

## Thresholding - Optimal

- This method treats pixel values as probability density functions.
- ☐ The goal of this method is to minimize the probability of misclassifying pixels as either object or background.
- There are two kinds of error:
  - mislabeling an object pixel as background, and
  - mislabeling a background pixel as object.

#### **FIGURE 10.32**

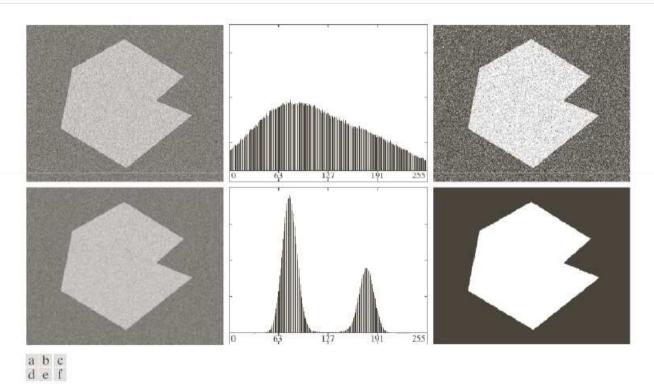
Gray-level probability density functions of two regions in an image.



### Otsu's method - steps

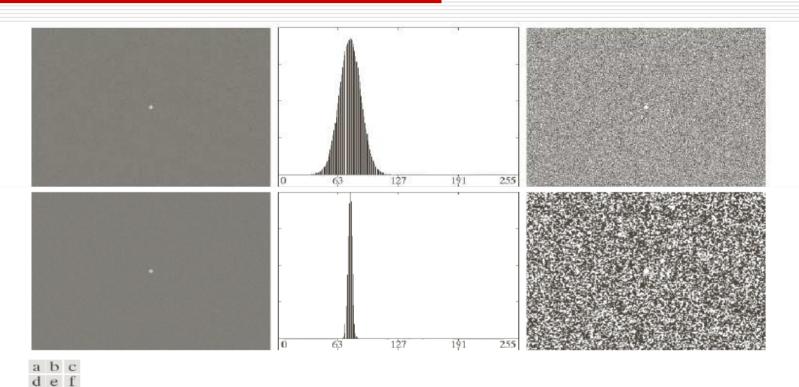
- (1) Compute normalized histogram of the image,  $p_i = \frac{n_i}{MN}, i = 0, \dots, L-1$
- (2) Compute cumulative sums,  $P_1(k) = \sum_{i=0}^k p_i, \ k = 0, \dots, L-1$
- (3) Compute cumulative means,  $m(k) = \sum_{i=0}^{k} i p_i, \ k = 0, \dots, L-1$
- (4) Compute global intensity mean,  $m_G = \sum_{i=0}^{L-1} i p_i$
- (5) Compute between-class variance,  $\sigma_B^2(k) = \frac{[m_G P_1(k) m(k)]^2}{P_1(k)[1 P_1(k)]}, \ k = 0,.,L-1$
- (6) Obtain the Otsu threshold,  $k^*$ , that is the value of k for which  $\sigma_B^2(k^*)$  is a maximum if this maximum is not unique, obtain  $k^*$  by avaraging the values of k that correspond to the various maxima detected
- (7) Obtain the separability measure  $\eta(k^*) = \frac{\sigma_B^2(k^*)}{\sigma_G^2}$

## Smoothing to Improve Thresholding



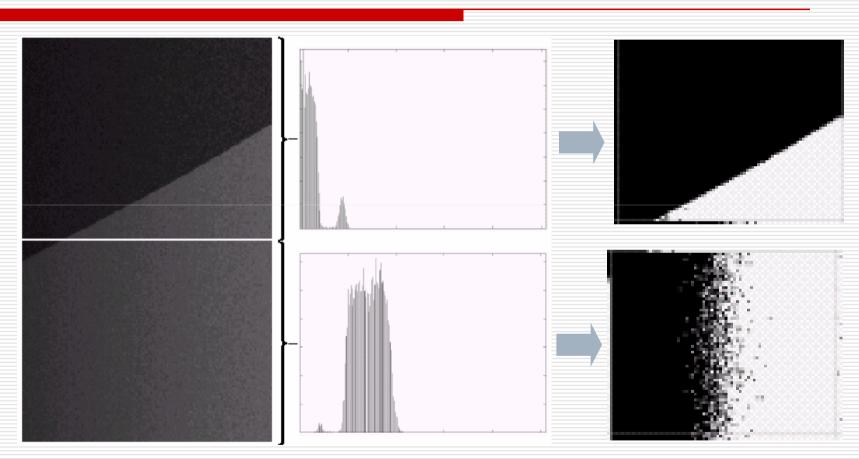
**FIGURE 10.40** (a) Noisy image from Fig. 10.36 and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a  $5 \times 5$  averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method.

## Smoothing –Fails for smaller objects



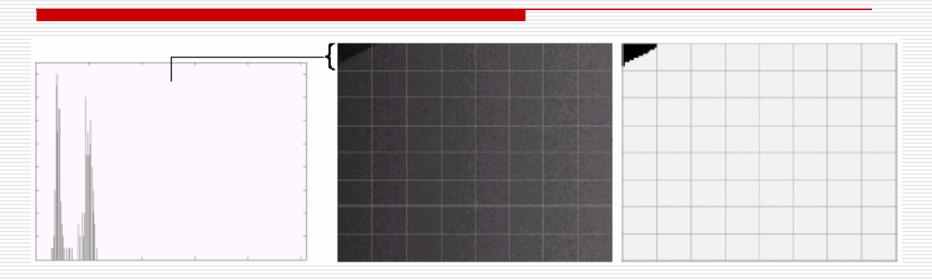
**FIGURE 10.41** (a) Noisy image and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a  $5 \times 5$  averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method. Thresholding failed in both cases.

### Thresholding Basic Adaptive Thresholding



How to solve this problem?

## Thresholding Basic Adaptive Thresholding



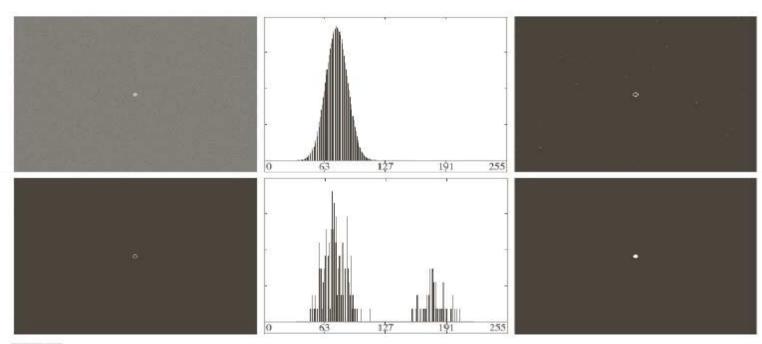


Answer: subdivision

**FIGURE 10.31** (a) Properly and improperly segmented subimages from Fig. 10.30. (b)–(c) Corresponding histograms. (d) Further subdivision of the improperly segmented subimage. (e) Histogram of small subimage at top, left. (f) Result of adaptively segmenting (d).

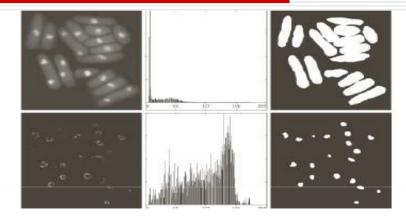
- "Good" Threshold is obtained when histogram peaks tall, narrow, symmetric and separated by deep valleys
- Image of small object on a large background, lead to failure of thresholding
  - Dominated by large peak because of one type of pixels
  - Solution: consider pixels only at edges between object and background
  - Histogram will have peaks of approximately same height
  - Probability of the pixels belonging to object is equal to probability belonging to background
  - Improves the symmetry of histogram modes

- (1) Compute an edge image as either the magnitude of the gradient, or the absolute value of the Laplacian, of f(x,y)
- (2) Specify a threshold value, T
- (3) Threshold the image from step (1) using the threshold from step (2) to produce a binary image,  $g_T(x,y)$ , which is used as a mask image in the following step to select pixels from f(x,y) corresponding to "strong" edge pixels
- (4) Compute a histogram using only the pixels in f(x,y) that correspond to the locations of the 1-valued pixels in  $g_T(x,y)$
- (5) Use the histogram from step (4) to segment f(x,y) globally using, for example, Otsu's method



a b c d e f

**FIGURE 10.42** (a) Noisy image from Fig. 10.41(a) and (b) its histogram. (c) Gradient magnitude image thresholded at the 99.7 percentile. (d) Image formed as the product of (a) and (c). (e) Histogram of the nonzero pixels in the image in (d). (f) Result of segmenting image (a) with the Otsu threshold based on the histogram in (e). The threshold was 134, which is approximately midway between the peaks in this histogram.



abcdef

FIGURE 10.43 (a) Image of yeast cells. (b) Histogram of (a). (c) Segmentation of (a) with Otsu's method using the histogram in (b). (d) Thresholded absolute Laplacian. (e) Histogram of the nonzero pixels in the product of (a) and (d). (f) Original image thresholded using Otsu's method based on the histogram in (e). (Original image courtesy of Professor Susan L. Forsburg, University of Southern California.)



#### FIGURE 10.44

Image in
Fig. 10.43(a)
segmented using
the same
procedure as
explained in
Figs. 10.43(d)—(f),
but using a lower
value to threshold
the absolute
Laplacian image.

- Otsu's method can be extended to a
- multiple thresholding method
- Between-class variance can be reformulated as

$$\sigma_B^2 = \sum_{k=1}^K P_k (m_k - m_G)^2$$
 (10.3-21)

$$P_k = \sum_{i \in C_k} p_i \tag{10.3-22}$$

$$m_k = \frac{1}{P_k} \sum_{i \in C_k} i p_i \tag{10.3-23}$$

☐ The K classes are separated by K-1 thresholds and these optimal thresholds can be solved by maximizing

$$\sigma_B^2(k_1^*, k_2^*, ..., k_{K-1}^*) = \max_{0 \le k_1 \le k_2 \le ... k_{n-1} \le L-1} \sigma_B^2(k_1, k_2, ..., k_{K-1})$$

$$\sigma_{B}^{2} = P_{1}(m_{1} - m_{G})^{2} + P_{2}(m_{2} - m_{G})^{2} + P_{3}(m_{3} - m_{G})^{2}$$

$$P_{1} = \sum_{i=0}^{k_{1}} p_{i}, \quad P_{2} = \sum_{i=k_{1}+1}^{k_{2}} p_{i}, \quad P_{3} = \sum_{i=k_{2}+1}^{L-1} p_{i}$$

$$m_{1} = \frac{1}{P_{1}} \sum_{i=0}^{k_{1}} i p_{i}, \quad m_{2} = \frac{1}{P_{2}} \sum_{i=k_{1}+1}^{k_{2}} i p_{i}, \quad m_{3} = \frac{1}{P_{3}} \sum_{i=k_{2}+1}^{L-1} i p_{i}$$

$$(10.3-27)$$

☐ The following relationships hold:

$$P_1 m_1 + P_2 m_2 + P_3 m_3 = m_G$$
, where  $P_1 + P_2 + P_3 = 1$  (10.3-28)

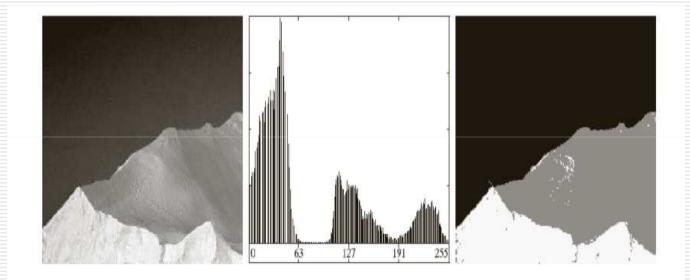
The optimum thresholds can be found by:

$$\sigma_B^2(k_1^*, k_2^*) = \max_{0 \le k_1 \le k_2 \le L-1} \sigma_B^2(k_1, k_2)$$
 (10.3-30)

$$g(x,y) = \begin{cases} a, & \text{if } f(x,y) \le k_1^* \\ b, & \text{if } k_1^* < f(x,y) \le k_2^* \\ c, & \text{if } f(x,y) > k_2^* \end{cases} \qquad \eta(k_1^*, k_2^*) = \frac{\sigma_B^2(k_1^*, k_2^*)}{\sigma_G^2}$$

$$(10.3-32)$$

### **Multiple Thresholds**



abc

FIGURE 10.45 (a) Image of iceberg. (b) Histogram. (c) Image segmented into three regions using dual Otsu thresholds. (Original image courtesy of NOAA.)

### Region-Based Segmentation

- Edges and thresholds sometimes do not give good results for segmentation.
- ☐ Region-based segmentation is based on the connectivity of similar pixels in a region.
  - Each region must be uniform.
  - Connectivity of the pixels within the region is very important.
- ☐ There are two main approaches to region-based segmentation: region growing and region splitting.

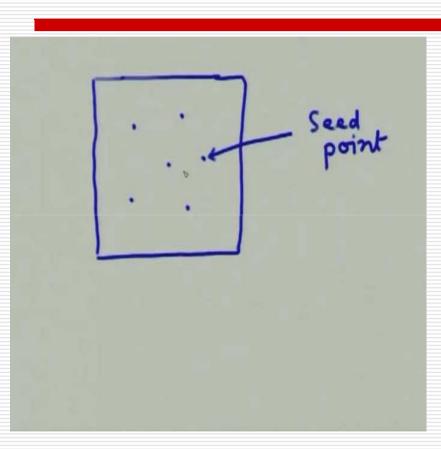
### Region-Based Segmentation Basic Formulation

- Let R represent the entire image region.
- Segmentation is a process that partitions R into subregions,  $R_1, R_2, ..., R_n$ , such that
  - (a)  $\bigcup_{i=1}^{n} R_i = R$
  - (b)  $R_i$  is a connected region, i = 1, 2, ..., n
  - (c)  $R_i \cap R_j = \phi$  for all i and  $j, i \neq j$
  - (d)  $P(R_i) = \text{TRUE for } i = 1, 2, ..., n$
- (e)  $P(R_i \cup R_j) = \text{FALSE}$  for any adjacent regions  $R_i$  and  $R_j$  where  $P(R_k)$ : a logical predicate defined over the points in set  $R_k$
- For example:  $P(R_k)$ =TRUE if all pixels in  $R_k$  have the same gray level.

### Region-Based Segmentation Basic Formulation

- Region growing is a procedure that groups pixels or subregions into larger regions.
- □ The simplest of these approaches is pixel aggregation, which starts with a set of "seed" points and from these grows regions by appending to each seed points those neighboring pixels that have similar properties (such as gray level, texture, color, shape).
- Region growing based techniques are better than the edge-based techniques in noisy images where edges are difficult to detect.

### Region-Based Segmentation Basic Formulation



- Select a seed point
- •Group pixels based on predefined criteria
- Append to each seed neighboring pixels that have predefined properties
- •Problems in region growing:
  - Descriptors misleading use connectivity properties
  - ·Stopping criteria
  - •Non trivial to find good starting points,
  - •difficult to automate and needs good criteria for similarity

# Region Growing - Algorithm

```
f(x, y): input image array
```

S(x, y): seed array containing 1s (seeds) and 0s

Q(x, y): predicate

## Region Growing - Algorithm

- •Find all connected components in S(x,y) and erode each connected components to one pixel
  - ·Label all such pixels found as 1.
  - ·All other pixels in s labeled as O
- •Form an image fq, let fq(x,y)=1 if the input satisfies the predicate Q other wise fq=0
- •Let'g be an image formed by appending to each seed point in S all the 1-values points in fq are 8-connected to that seed point
- •Label each connected component in g with a different region label thus the segmented image obtained by region growing

## Region Growing - Algorithm

| 10 | 10 | 10 | 10        | 10 | 10 | 10 |
|----|----|----|-----------|----|----|----|
| 10 | 10 | 10 | 69        | 70 | 10 | 10 |
| 59 | 10 | 60 | 64        | 59 | 56 | 60 |
| 10 | 59 | 10 | <u>60</u> | 70 | 10 | 62 |
| 10 | 60 | 59 | 65        | 67 | 10 | 65 |
| 10 | 10 | 10 | 10        | 10 | 10 | 10 |
| 10 | 10 | 10 | 10        | 10 | 10 | 10 |

| 10 | 10 | 10 | 10        | 10 | 10 | 10 |
|----|----|----|-----------|----|----|----|
| 10 | 10 | 10 | 10        | 10 | 10 | 10 |
| 10 | 60 | 59 | 65        | 67 | 10 | 65 |
| 10 | 59 | 10 | <u>60</u> | 70 | 10 | 62 |
| 59 | 10 | 60 | 64        | 59 | 56 | 60 |
| 10 | 10 | 10 | 69        | 70 | 10 | 10 |
| 10 | 10 | 10 | 10        | 10 | 10 | 10 |

4-connectivity

8-connectivity

August 16

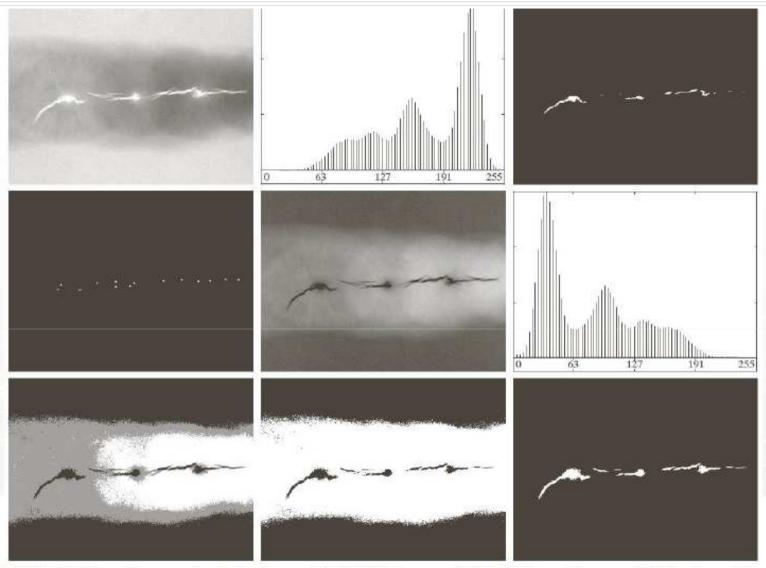
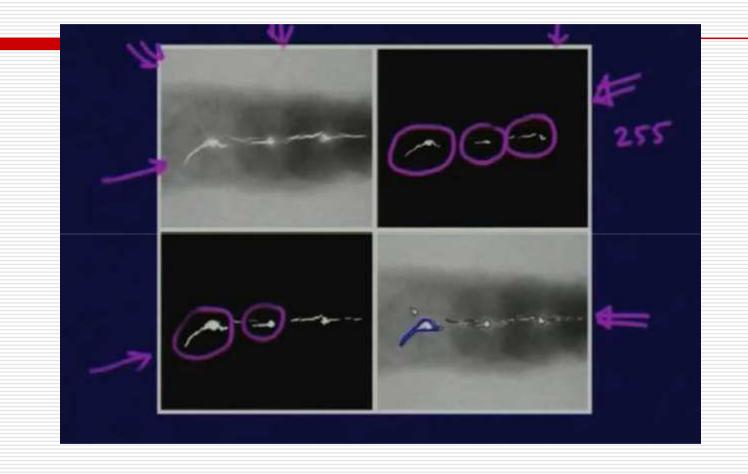


FIGURE 10.51 (a) X-ray image of a defective weld. (b) Histogram. (c) Initial seed image. (d) Final seed image (the points were enlarged for clarity). (e) Absolute value of the difference between (a) and (c). (f) Histogram of (e). (g) Difference image thresholded using dual thresholds. (h) Difference image thresholded with the 2012 smallest of the dual thresholds. (i) Segmentation result obtained by region growing. (Original image courtesy of X-TEK Systems, Ltd.)

abc def ghi

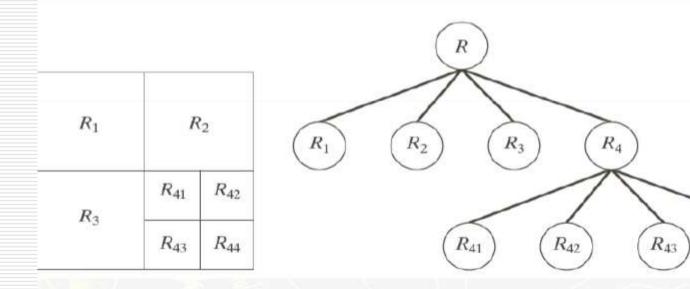


## Region splitting and merging

Set up criteria for what is a uniform area (e.g. mean, variance, bimodality

- of histogram, texture, etc.).
- 2. Start with the full image and split it into four sub-images.
- 3. Check each sub-image. If it is not uniform, split it again into four subimages.
- 4. Repeat 3. until no more splitting is performed.
- 5. Compare sub-images with the neighboring regions and merge, if they are uniform.
- 6. Repeat 5. until no more merging is performed. The method is also called quad-tree division

## Region splitting and merging



 $R_{44}$ 

## Region splitting and merging

