

# Overview

- Introduction
- Constituency
- Context-Free Rules and Trees
- Sentence-Level Constructions

# Introduction

- Syntax – setting out together or arrangement (Greek)
- **Syntax:** the way words are arranged together
- Main ideas of syntax:
  - *Constituency*
  - *Grammatical relations*
  - *Subcategorization and dependencies*

# Introduction

- *Constituency*
  - Groups of words may behave as a single unit or phrase, called **constituent**, e.g., NP  
*she, Rama Krishnan, the house, Raisina Hill, a well-weathered three-storey structure*
  - CFG – a formalism allowing us to model the constituency facts
- *Grammatical relations*
  - A formalization of ideas from traditional grammar: **SUBJECT** and **OBJECT**  
*She ate a mammoth breakfast*

# Introduction

- *Subcategorization and dependencies*
  - Referring to certain kinds of relations between words and phrases
  - e.g., the verb *want* can be followed by an infinitive or a noun phrase  
*I want to fly to Delhi*      *I want a flight to Delhi*
  - But the verb *find* can not be followed by an infinitive  
*I found to fly to Mumbai*
  - Called facts about the *subcategorization* of the verb

# Introduction

- All of the kinds of syntactic knowledge can be modelled by various kinds of CFG-based grammars.
  - CFGs are thus backbone of many models of the syntax of NL.
  - Integral to computer applications: grammar checking, semantic interpretation, dialogue understanding, machine translation

# Constituency

- **Noun Phrase:**
  - A sequence of words surrounding at least one noun, e.g.,
    - three parties from Brooklyn *arrive*...
    - a high-class spot such as Mindy's *attracts*...
    - the Broadway coppers *love*...
    - They *sit*
- Evidences of constituency
  - The NPs can all appear in similar syntactic environment, e.g., before, a verb – *Noun Phrases can occur before verbs*
  - **Preposed** or **postposed** constructions, e.g., the PP, *on September seventeenth*, can be placed in a number of different locations
    - On September seventeenth, I'd like to fly from Atlanta to Denver.
    - I'd like to fly on September seventeenth from Atlanta to Denver.
    - I'd like to fly from Atlanta to Denver on September seventeenth.

# Context-Free Rules and Trees

- CFG (or Phrase-Structure Grammar):
  - The most commonly used mathematical system for modeling constituent structure in English and other NLS
  - A context-free grammar consists of:
    - a set of *rules* or productions
      - » Rules expresses the ways that symbols of the language can be grouped and ordered together
    - and a *lexicon* of words and symbols

# Context-Free Rules and Trees

- CFG (or Phrase-Structure Grammar):
  - The following productions express that:
    - a NP can be composed of either a *ProperNoun* or a determiner (*Det*) followed by a *Nominal*; a *Nominal* can be one or more *Nouns*  
 $NP \rightarrow Det\ Nominal$   
 $NP \rightarrow ProperNoun$   
 $Nominal \rightarrow Noun \mid Noun\ Nominal$
    - Lexicon:  $Det \rightarrow a \mid the$   
 $Noun \rightarrow flight$

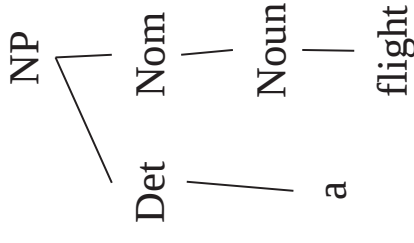


# Context-Free Rules and Trees

- **CFG (or Phrase-Structure Grammar):**
  - The symbols used in a CFG are divided into two classes:
    - *Terminal symbols*: the symbols that correspond to words in the language
    - *Non-terminals*: the symbols that express clusters or generalizations of these
  - In each context-free rule:
    - The item to the right of the arrow ( $\rightarrow$ ) is ordered list of one or more terminals and non-terminals
    - Left of the arrow is a single non-terminal symbol expressing some cluster or generalization

# Context-Free Rules and Trees

- CFG (or Phrase-Structure Grammar):
  - $NP \rightarrow Det\ Nominal \rightarrow Det\ Noun \rightarrow a\ flight$
  - The string *a flight* can be derived from the non-terminal *NP*
  - This sequence of rule expansions is called a **derivation** of the string of words
  - Derivation is represented by a **parse tree**
  - The set of strings that are derivable from the **start symbol** is often called *S*



# Context-Free Rules and Trees

Noun → flight | breeze | trip | morning | ...

*Verb* → *is* | *prefer* | *like* | *need* | *want* | *fly* ...

Adjective → cheapest	non-stop	first	latest	other	direct	...
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Pronoun  $\rightarrow$  me | I | you | it | ...

Proper-Noun  $\rightarrow$  Alaska | Baltimore | Los Angeles | Chicago | United | American | ...

Determiner → the | a | an | this | these | that | ...

Preposition  $\rightarrow$  from | to | on | near | ...

Conjunction  $\rightarrow$  and | or | but | ...

## The lexicon for $L_0$

$$S \rightarrow NP VP$$

I + want a morning flight

$$NP \rightarrow \text{Pronoun}$$

*Proper-Noun*

Los Angeles

*Det Nominal*

# a + flight

# Nominal $\rightarrow$ Noun Nominal

morning + flight

**Noun**

flights

$$VP \rightarrow Verb$$

do

| *Verb NP*

want + a flight

| *Verb NP PP*

leave + Boston + in the morning

| Verb PP

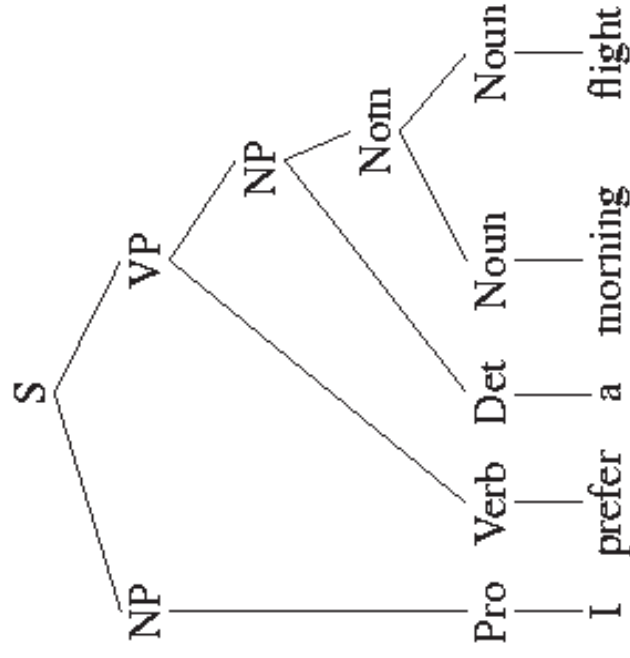
leaving + on Thursday

$$PP \rightarrow \textit{Preposition NP}$$

from + Los Angeles

## The grammar for $L_0$

# Context-Free Rules and Trees



The parse tree for “*I prefer a morning flight*” according to grammar  $L_0$

# Context-Free Rules and Trees

- Bracket notation of parse tree

$[S [_{NP} [_{Pro} I]] [_{VP} [_{V} prefer] [_{NP} [_{Det} a] [_{Nom} [_{N} morning]] [_{Nom} [_{N} flight]]]]]$

- Grammatical vs. ungrammatical sentences
  - Sentences that can be derived by a grammar are in the formal language defined by that grammar are called **grammatical** sentences
  - Sentences that cannot be derived by a given formal grammar are not in the language defined by that grammar are referred to as **ungrammatical**
- The use of formal languages to model NLS is called **generative grammar**, since the language is defined by the set of possible sentences “generated” by the grammar.

# Context-Free Rules

- The formal definition of a CFG is a 4-tuple.
  - a set of non-terminal symbols  $N$
  - a set of terminal symbols  $\Sigma$
  - a set of productions  $P$ , each of form  $A \rightarrow \alpha$ , where  $A$  is a non-terminal and  $\alpha$  is a string of symbols from the infinite set of strings
  - a designated start symbol  $S$

# Context-Free Rules

$S \rightarrow NP VP$	$Det \rightarrow that   this   a$
$S \rightarrow Aux NP VP$	$Noun \rightarrow book   flight   meal   money$
$S \rightarrow VP$	$Verb \rightarrow book   include   prefer$
$NP \rightarrow Pronoun$	$Pronoun \rightarrow I   she   me$
$NP \rightarrow Proper-Noun$	$Proper-Noun \rightarrow Houston   TWA$
$NP \rightarrow Det Nominal$	$Aux \rightarrow does$
$Nominal \rightarrow Noun$	$Preposition \rightarrow from   to   on   near   through$
$Nominal \rightarrow Nominal Noun$	
$Nominal \rightarrow Nominal PP$	
$VP \rightarrow Verb$	
$VP \rightarrow Verb NP$	
$VP \rightarrow Verb NP PP$	
$VP \rightarrow Verb PP$	
$VP \rightarrow VP PP$	
$PP \rightarrow Preposition NP$	

**Figure 13.1** The  $\mathcal{L}_1$  miniature English grammar and lexicon.

# Sentence-Level Constructions

- There are a great number of possible overall sentences structures
- Four are particularly common and important:
  - *Declarative structure, imperative structure, yes-no-question structure, and wh-question structure.*
- Sentences with **declarative** structure:
  - A subject NP followed by a VP
    - *The flight should be eleven a.m. tomorrow.*
    - *The return flight should leave at around seven p.m.*
    - *I want a flight from Ontario to Chicago.*
    - *I plan to leave on July first around six thirty in the evening.*



# Sentence-Level Constructions

- Sentence with **imperative** structure
  - Begin with a VP and have no subject
  - Always used for commands and suggestions
    - *Show the lowest fare.*
    - *Show me the cheapest fare that has lunch.*
    - *Give me Sunday's flights arriving in Las Vegas from  
Memphis and New York City.*
    - *List all flights between five and seven p.m.*
- $S \rightarrow VP$

# Sentence-Level Constructions

- Sentences with **yes-no-question** structure
  - Begin with auxiliary, followed by a subject *NP*, followed by a *VP*.
    - *Do any of these flights have stops?*
    - *Does American's flight eighteen twenty five serve dinner?*
    - *Can you give me the same information for United?*
- $S \rightarrow \text{Aux NP VP}$

# Sentence-Level Constructions

- The **wh-subject-question** structure
  - Wh-word – *who, where, what, which, how, why*
  - Identical to the declarative structure, except that the first NP contains some wh-word.
    - *What airlines fly from Burbank to Denver?*
    - *Which flights depart Burbank after noon and arrive in Denver by six p.m.?*
    - *Whose flights serve breakfast?*
- $S \rightarrow Wh-NP VP$

# Sentence-Level Constructions

- The **wh-non-subject-question** structure
  - Auxiliary appears before the subject *NP*, just as in the yes-no-question
    - *What flights do you have from Burbank to Tacoma Washington?*
  - $S \rightarrow Wh-NP \text{ Aux } NP \text{ VP}$

# References

- Speech and Language Processing, Daniel Jurafsky and James H. Martin



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