

# The Verb Phrase & Subcategorization



Presentation by:  
B. Senthil Kumar  
Dept. of CSE

# Overview

- The Verb phrase [*VP*] and Subcategorization
- Auxiliaries
- Grammar Equivalence
- Chomsky Normal Form [CNF]
- Finite-State and Context-Free Grammars

# The Verb Phrase

- The VP consists of the verb and a number of other constituents.

$VP \rightarrow Verb$             *disappear*  
 $VP \rightarrow Verb\ NP$         *prefer a morning flight*  
 $VP \rightarrow Verb\ NP\ PP$     *leave Boston in the morning*  
 $VP \rightarrow Verb\ PP$         *leaving on Thursday*

- An entire embedded sentence, called **sentential complement**, can follow the verb.

You [<sub>VP</sub> [<sub>V</sub> **said** [<sub>S</sub> there were two flights that were the cheapest]]]  
You [<sub>VP</sub> [<sub>V</sub> **said** [<sub>S</sub> you had a two hundred sixty six dollar fare]]]  
[<sub>VP</sub> [<sub>V</sub> **Tell**] [<sub>NP</sub> me] [<sub>S</sub> how to get from the airport in Philadelphia to downtown]]  
I [<sub>VP</sub> [<sub>V</sub> **think** [<sub>S</sub> I would like to take the nine thirty flight]]]

–  $VP \rightarrow Verb\ S$

# The Verb Phrase

- Another potential constituent of the VP is another VP
  - Often the case for verbs like *want*, *would like*, *try*, *intent*, *need*

• I *want* [<sub>VP</sub> to fly from Chennai to Singapore]

Hi, I *want* [<sub>VP</sub> to arrange three flights]

Hello, I'm *trying* [<sub>VP</sub> to find a flight that goes from Pittsburgh to Denver after two p.m.]

- Verbs can also be followed by *particles*, word that resemble a preposition but that combine with the verb to form a *phrasal verb*, like *take off*.
  - These particles are integral part of the verb in a way that other post-verbal elements are not;
  - Phrasal verbs are treated as individual verbs composed of two words.

# Subcategorization

- A VP can have many possible kinds of constituents, not every verb is compatible with every VP.
  - *I want a flight ...* [a verb with an NP complement]
  - *I want to fly to ...* [a verb with an infinitive VP complement]
  - *\*I found to fly to Dallas.*
- The idea that verbs are compatible with different kinds of complements
  - Traditional grammar **subcategorize** verbs into two categories (transitive and intransitive).
  - **Transitive verbs** take a direct object NP (*I found a flight*) while **intransitive verbs** do not ( *\*I disappeared a flight* )
  - Modern grammars distinguish as many as 100 subcategories

# Subcategorization

- The idea that verbs are compatible with different kinds of complements:
  - A verb like *find* subcategorizes for an *NP*, verb like *want* subcategorizes for either an *NP* or a *infinitive VP*
  - These constituents are called the *complements* of the verb
  - These possible set of complements are called the *subcategorization frame*

# The Verb Phrase & Subcategorization

- Some subcategorization frames and example verbs:

Frame	Verb	Example
$\phi$	eat, sleep	I want to eat
<i>NP</i>	prefer, <b>find</b> , leave	<b>Find</b> [ <sub>NP</sub> the flight from Pittsburgh to Boston]
<i>NP NP</i>	show, give, find	<b>Show</b> [ <sub>NP</sub> me] [ <sub>NP</sub> airlines with flights from Denver]
<i>PP<sub>from</sub> PP<sub>to</sub></i>	fly, travel	I would like to <b>fly</b> [ <sub>PP</sub> from Boston] [ <sub>PP</sub> to New York]
<i>NP PP<sub>with</sub></i>	help, load	Can you <b>help</b> [ <sub>NP</sub> me] [ <sub>PP</sub> with a flight]
<i>VP<sub>to</sub></i>	prefer, want, need	I would <b>prefer</b> [ <sub>VP<sub>to</sub></sub> <b>to</b> go by United airlines]
<i>VP<sub>brst</sub></i>	can, would, might	I <b>can</b> [ <sub>VP<sub>brst</sub></sub> <b>go</b> from Boston]
<i>S</i>	mean	Does this <b>mean</b> [ <sub>S</sub> AA has a hub in Boston?]

# The Verb Phrase & Subcategorization

- To relate verbs and their complements use agreement features:
  - Make separate subtypes of the Verb class

*Verb-with-NP-complement* → *find* | *leave* | *repeat* | ...

*Verb-with-S-complement* → *think* | *believe* | *say* | ...

*Verb-with-Inf-VP-complement* → *want* | *try* | *need* | ...

VP Rules with subtype:

*VP* → *Verb-with-no-complement*      **disappear**

*VP* → *Verb-with-NP-complement NP*      **prefer** a morning flight

*VP* → *Verb-with-S-complement S*      **said** there were two flights

- Problem: a vast explosion in the number of rules
- Solution: **feature structure**



# Auxiliaries

- ***Auxiliaries or helping verbs***
  - A subclass of verbs
  - Having particular syntactic constraints which can be viewed as a kind of subcategorization
  - Including the **modal** verbs *can, could, may, might, must, ought to, will, would, shall, and should*
  - The **perfect** auxiliary – *have*
  - The **progressive** auxiliary – *be (am, is, are)*
  - The **passive** auxiliary – *be (was, were, being, been)*

# Auxiliaries

- Modal verbs subcategorize for a VP whose head verb is a bare stem.
  - *can go in the morning, will try to find a flight*
- The perfect verb *have* subcategorizes for a VP whose head verb is the past participle form:
  - *have booked 3 flights*
- The progressive verb *be* subcategorizes for a VP whose head verb is the gerundive participle:
  - *am going from Atlanta*
- The passive verb *be* subcategorizes for a VP whose head verb is the past participle:
  - *was delayed by inclement weather*

# Auxiliaries

- A sentence may have multiple auxiliary verbs, but they must occur in a particular order.
  - modal < perfect < progressive < passive

*modal perfect*

could have been a contender

*modal passive*

will be married

*perfect progressive*

have been feasting

*modal perfect passive*

might have been prevented

# Grammar Equivalence

- Two grammars are equivalent if they generate the same set of strings.
- Two kinds of equivalence
  - *Strong equivalence*
    - If two grammars generate the same set of strings *and* if they assign the same phrase structure to each sentence
  - *Weak equivalence*
    - Two grammars generate the same set of strings but *do not* assign the same phrase structure to each sentence.

# Chomsky Normal Form [CNF]

- It is useful to have a **normal form** for grammars.
  - A CFG is in **Chomsky normal form** (CNF) if it is  $\varepsilon$ -free and each production is either of the form  $A \rightarrow B C$  or  $A \rightarrow a$
- Any grammar can be converted into a weakly-equivalent CNF grammar.
  - For ex:  $A \rightarrow B C D$  can be converted into the following two CNF rules:  
 $A \rightarrow B X$   
 $X \rightarrow C D$

# FSA and CFG

- Recursion problem with finite-state grammars
  - Recursion **cannot be handled** in finite automata
  - Recursion is quite common in a complete model of NP

*Nominal*  $\rightarrow$  *Nominal PP*      -- recursion  
Noun Phrase [NP]  
(Det)(Card)(Ord)(Quant)(AP)Nominal  
(Det)(Card)(Ord)(Quant)(AP)Nominal (PP)\*  
(Det)(Card)(Ord)(Quant)(AP)Nominal (P **NP**)\*  
(Det)(Card)(Ord)(Quant)(AP) Nominal (RelClause|GerundVP|PP)\*

- Chomsky (1959) proved that a context-free language  $L$  can generated by a finite automaton if and only if:
  - there is a CFG that generates  $L$  that does not have any center-embedded recursions (of the form  $A \rightarrow \alpha A \beta$  )
- An augmented version of the FSA: the *recursive transition network* [RTN]

# References

- Speech and Language Processing, Daniel Jurafsky and James H. Martin  
[Chapter 9. Context-Free Grammars for English]



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