Tiny Compiler Documentation

Tom Van Cutsem tvcutsem@vub.ac.be Vrije Universiteit Brussel

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1 Introduction

The tiny compiler compiles a source language (called "tiny") into so-called Java Virtual Machine assembler statements. These statements, written to the standard output, can then further be processed by a tool called javaa. This tool converts the human-readable JVM instructions into the real java bytecode. The result is saved in a .class file. This file can then be interpreted by a regular java interpreter.

The code generated by javaa causes some problems with newer (\geq version 1.3) versions of the Java interpreter however.

The tiny language is a very compact C-like language. It contains most basic functionalities (if-tests, while loops, blocks, arrays, assignment, ...). It only recognizes two primitive types: char and int. The only type constructor is the array. Note that arrays can be multi-dimensional (with an arbitrary number of dimensions!), which is an important addition to the regular tiny language.

Tiny is a statically typed language, with no libraries or "inclusion" facilities. The read and write primitives can be used for I/O manipulation. Most operations can take both characters and integers, where they will usually operate upon the underlying integral value of a character (eg. their ASCII code).

The Tiny compiler is a regular compiler, converting the input source file (.tiny) to the java assembler file (.jasm). It requires one argument on the command line: the "base" name of the file. For example, if one wants to compile factorial.tiny, the call is as follows: tiny factorial < factorial.tiny. "factorial" is the base name.

The example shows that Tiny reads from the standard input. Any error messages or printouts happen through standard error. Output goes to stdout, so that it can be piped to other programs or redirected to a file.

There is also a script (tinyc.sh) which automatically pipes the input to a .jasm file and calls javaa to generate the .class file.

Tiny also understands the following options (passed in as flags on the command-line, for example: tiny -c -p factorial < factorial.tiny).

- p Prints out the entire parse-tree of the source text.
- **c** Prints out the generated non-optimized intermediate code.
- o Prints out the generated optimized intermediate code.
- **d** Prints out the DAG used during code optimization.

2 Overall Design

The design tried to make heavy use of objects. Therefore, the entire abstract syntax tree, all three address instructions and all operations upon them are modelled using classes. The advantage is that classes offer a good modularization, a conceptually better representation, and easy extendability.

The drawback is mainly a performance penalty. Objects are mostly heap-allocated, which is more difficult to manage, and is slower than stack allocation. It is necessary to support polymorphism in C++ however. Also, it is clear that this "Object-oriented" design takes up way more space than a more straightforward design.

2.1 The Compilation Process

The overall dataflow of a compiler can be conveniently thought of as a pipeline. At each step, data is fed into a "black box", reappearing transformed on the other side, and subsequently passed to yet another "black box" until the results are produced.

The pipeline of the Tiny compiler can be outlined as follows:

- **Parsing** The parser is responsible for converting the raw text-file to a so-called "parse tree" or "abstract syntax tree", which defines the structure of the input file, and gets rid of all syntactic constructs like keywords or punctuation.
- **Typechecking** A typechecker has the responsibility of guaranteeing that the "static semantics" of an input file are correct. The typechecker traverses the abstract syntax tree and checks whether assignments are legal, function calls pass the right actual arguments, array indices are integers, if-conditions are integral (evaluate to a boolean value), etc...
- Code analysis A code analyzer will subsequently traverse the (now statically correct) syntax tree and check for redundant (i.e. unused) variables. It will also make sure (by checking the "flow-of-control") that every used variable will be initialized before it is ever used. These checks are necessary to ensure that the generated code is correct.
- Constant folding A constant folder will also traverse the syntax tree, and has the responsibility of "folding" or "pruning" the tree where possible. This can be the case when an expression like 2+3 is encountered, which can be safely replaced by 5. This means three nodes in the tree are replaced by one node with the same semantics.
- Intermediate code generation The next big step in the compilation process is the mapping of the syntax tree to code. To facilitate machine-independent optimization and to decouple the source language (tiny) from the target language (JVM assembler), the compiler first generates so called "intermediate code". Tiny generates a special form of intermediate code called "three address code", in which each instruction contains at most three addresses of operands.
- **Intermediate code optimization** Generated intermediate code usually contains lots of redundant instructions. It also generates quite a lot of "temporary" registers to store intermediate results. The tiny compiler will optimize the generated code, mainly to reduce the number of temporary registers and to take algebraic identities into account.
- Code generation Using the optimized code as the input, tiny finally maps the three address code to real JVM assembler. This mapping is in itself not difficult, because usually, an instruction corresponds to one or more specific JVM assembler statements. However, tiny will also try to perform some JVM-specific optimizations. The biggest optimization to make here is to use the stack efficiently (java is a stack-based interpreter).

2.2 Proxy Design Pattern

Tiny uses two design patterns which are rather important for the design. The first is the Proxy Design Pattern, in which an object of a certain type also has a "proxy" object,

which is a surrogate for the real object, but will always dispatch to the real underlying object when it is asked for something.

This proxy design pattern is used during the Parsing process, where an abstract syntax tree, built entirely out of objects, is constructed. When the parser encounters an identifier, like x in x = 2+3, it will construct an object representing x. Of course, x can be used numerous times in the source text, and we do not want to instantiate the object each and every time with all its properties (like its static type).

The solution is to generate the x object only once, namely when it is first declared in the source text. Afterwards, whenever the compiler encounters the x (in a valid scope), it will construct a proxy object, a "reference to x". When x is used (syntactically) 15 times in a function body, the compiler will thus construct one x object and 15 proxies to it.

There is another advantage in using the proxy design pattern. The syntax tree may contain lots of circular references, like when a function calls itself recursively. This means that somewhere in the function body, there will be an object referring to the entire function again.

The advantage of the proxy pattern here becomes visible when trying to delete the syntax tree. When deleting a node, the node will delete its children. But imagine what would happen if x would really be *shared* 15 times. It would get deleted 15 times. Since x is not "really" shared (it is shared, but through a proxy reference) we can safely delete the proxy, which will *not* delete its underlying value. Circular references will not cause problems, because the "recursive function object" in the body of the function will be a proxy, and thus not delete the entire function again.

If the parse tree only stores proxy objects, where are the real objects (i.e. the real x) stored then? This will be the task of the Symboltable (section 3.2).

2.3 Visitor Design Pattern

A more well-known design pattern is the visitor design pattern. The goal of this pattern is to (literally) "extract" the operations on classes from the classes themselves. This means that code corresponding to a single operation can be modularized into a separate class, a so called "visitor".

To find out what piece of code it has to execute for a given object, the visitor will perform a "double dispatch" on the object. The object "accepts" the visitor, and calls the right method, passing itself as an argument. This way, code can be executed without downcasting and explicit type testing.

Visitors are used throughout the entire project. The advantage is that code for a single operation (like typechecking or code generation) is stored in a single class (or file), as opposed to every Syntax tree or instruction object having a typecheck() or generateCode() method. Another advantage (and a good reason to use the pattern) is that adding operations can be done very easily, because the object structure on which the visitor operates does not have to change, and thus does not even have to recompile.

The drawbacks of using the visitor pattern are twofold. First, adding new kinds of objects to the object structure means adapting all visitors because there is now a new kind of object to be visited. This is not a big drawback since, if you add a new object, you'll likely want to add code for their operations anyway.

The second drawback is that, since the operations on the classes are now "extracted", the visitor needs access to the "internals" of each object. This means that each object must provide so-called "getters" (or accessors) and "setters" (or mutators) to retrieve or change instance variables.

There are numerous visitors implemented in tiny. Each "large" operation except for parsing is implemented as a visitor. Moreover, there are a few "helper" visitors, needed by other visitors.

3 Parsing

Parsing is the first step in the compilation pipeline. There are two important classes playing a role in this process: the lexer and the parser. The lexer needs to scan the raw text and converts them into a sequence of tokens. The parser is fed the tokens and constructs an object structure representing the abstract syntax tree.

3.1 Parser generators

Tiny is built using a parser generator. This means that we only have to specify the grammar of the Tiny source language, and the parser generator will use the grammar as a specification to generate the parser automatically. Using bisonpp (based upon bison), it is even possible to "wrap" the parser function in a class.

The lexical analyzer (or scanner) is produced in a similar manner, using flex (actually the flex++ variant, also generating a class).

Both flex and bison allow execution of arbitrary code when part of the grammar (or a regular expression in the case of the scanner) is matched. This allows for a really clean construction of the syntax tree.

The following is an excerpt from tiny.y, the bison input grammar, to illustrate the power of the parser generator and the syntax tree construction.

```
statement : ...
| WHILE LPAR exp RPAR statement
{ $$ = new WhileStatement($3,$5); }
| ...
```

When encountering a WHILE statement, we generate the corresponding syntax tree object (heap-allocated). A While consists of a condition (an expression) and a body (a statement). Both are available, and can be conveniently passed to the constructor. Note that the \$\$ stands for the result, which needs to be a statement. Since WhileStatement is a subclass of Statement, the assignment is ok.

A more complicated example is the parsing of an argument list. This could for example be the argument list of a function: f(x,y,z+3,g()).

```
i exp
{
    //the list is built in reverse, this is the last
    //element, but reduced first, so create the list now
    Expressions* exps = new Expressions;
    exps->push_front($1);
    $$ = exps;
}
| exp COMMA pars { $3->push_front($1); $$ = $3; }
;
```

This piece of grammar represents a number of expressions, separated by COMMA tokens. The type of pars is Expressions, which is just a typedef for a list<Expression* >. Since bison will reduce the last expression first, a new expression list is created, and the last expression is added.

While backtracking, we encounter all other expressions, which we add to the front of the expression list. Note that the COMMA is not used in the abstract syntax tree. All syntax is thus discarded during the parsing process.

3.2 Stringpool and Symboltable

The Symboltable can be regarded as a mapping from symbols to semantic information about those symbols. Semantic information can include a static type (for a variable), number of dimensions (for an array), number and types of arguments (for a function), etc.... It is used throughout the entire compiler.

The symboltable is represented by a class, SymbolTable. It is filled up at parsetime, for example, when parsing a variable declaration:

The Symboltable is then queried each time an identifier is scanned in the source text:

```
var : NAME
{ $$ = currentScope->lookupIdentifier($1); }
```

Note that symboltables represent in a sense *scopes*. The currentScope variable is a pointer to the "current" symbol table, ie. a pointer to the most closely nested scope. When starting to parse a block for example, the currentScope is replaced by a new scope (SymbolTable), which has as its parent the old currentScope. When the block is closed, the current scope is replaced by its parent.

The child-parent relationship is important: when a variable is looked up, and not found in the current scope, the SymbolTable will ask its parent to lookup the variable. This pattern continues until we reach the "global" scope. If the variable is still not found in that scope, an error is raised. The child-parent relationship is just another way of modelling scopes through singly-linked lists.

When an identifier is used many times throughout the source text, it would become redundant to store several strings all representing the same character sequence. This is where the stringpool comes in. In essence, the StringPool class is just a wrapper around a set<string* >. When the scanner scans a string, it will be allocated in the pool and a pointer to the string will be returned. If the string was already present, the pool will return a pointer to the present string, so that it becomes shared.

I must admit that a stringpool is not really necessary for the tiny compiler. It just helps reduce a bit of space for the compiler itself, but the generated code will not benefit from the string pool. This is because the <code>javaa</code> tool will create a so called *constant pool* itself. It's not the responsibility of the tiny compiler to do this, so the stringpool is not an essential part. Of course, if one would retarget the compiler, the stringpool might come in handy after all.

3.3 Abstract Syntax Tree

The abstract syntax tree is actually a mirroring of the abstract grammar. For example, in the grammar, we use the general terms "statement" and "expression". These are conveniently modeled as abstract classes.

Every statement, like an if-statement, a while, an assignment, is then modeled through a separate class. An Assignment for example, is an object, itself containing an LExpression and an Expression. The LExpression is an abstract superclass representing both a variable and an array access.

An ArrayAccess object, for example, consists of the array accessed, and the index expressions used to access the array. For example, the syntax a[2][3+x] gives rise to an ArrayAccess object, containing a proxy reference to the array a, and a list of expressions used to index the (two-dimensional) array.

The most general type of node in the syntaxtree is the Node. The Node class specifies the interface to which every node has to adhere. It defines, for example, the pure virtual member functions prettyPrint and toString, which can then be called on any syntax tree object to print itself. This can be used to display the parse tree of the program. Any concrete (i.e. non-abstract) node in the syntaxtree can also accept a TreeVisitor, the abstract superclass of all visitors who will traverse the tree (like the TypeChecker, the intermediate code generator, etc...).

Figure 1 shows a class diagram of the most important classes making up the syntax tree. Note that a function call is both a statement and an expression.

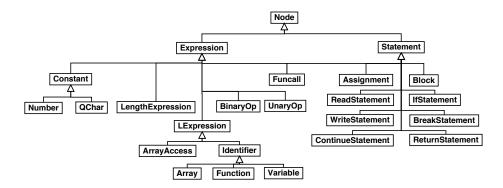


Figure 1: The Abstract Syntax Tree Nodes

4 Code verification

This part of the compilation process will use visitors to traverse the syntax tree constructed by the parser.

4.1 Typechecking

In a first step, the compiler will perform a static typecheck. This is accomplished using the TypeChecker class, which is a subclass of a TreeVisitor, and can thus traverse the syntax tree.

Whenever an error is encountered in the source text, the TypeChecker will construct an error object, and append it to a list of errors. This errorlist is the result of the typechecking process. If it is empty, no errors occured, otherwise, all errors are printed (nicely formatted, with a reference to the line number on which they occured) and the compilation process is aborted.

As an illustration, let us review a number of typecheck functions.

Typechecking if statements

First, the typechecker checks the condition and both branches of the if statement. This is done through the "double dispatch" explained earlier. When the typechecker has finished checking the branches, it checks to see whether the expression is actually a conditional expression, i.e. it's type must be "compatible" with the primitive integer type (booleans are integers in tiny). If this is not the case, the typechecker will append a ConditionError to the errorlist.

Typecheking a Return statement

A Return Statement has one field, which is an expression to return. First, the type-checker will check this expression. Afterwards, it asks for the type of the expression (every expression has a type), and compares this with the returntype of the function it is currently typechecking. If the types are not compatble, the typechecker will append an appropriate error to the errorlist.

Other nodes are typechecked in a similar manner. When processing a Read statement, for example, we must make sure we are not "reading" into an identifier denoting

an array or a function. When processing a function call, we must make sure the arity matches (ie. that there are an equal number of actual and formal arguments).

During the typechecking process, there will also be a check whether the function with the signature void tiny() is well-defined. This function is obligatory, since it is the "main entry point" of the program.

4.2 Code analysis

Code analysis is performed by the LivenessChecker visitor. This visitor performs three checks:

- Check whether there are variables which are never used.
- Check whether there are variables which are used before they might have been initialized.
- Check whether we reach the end of a non-void function.

This visitor will also traverse the abstract syntax tree to perform these tasks. Let us illustrate the workings of the visitor by considering the following example source code:

```
{
  int x;
  int y;
  int z;
  y = ...
  if(...) {
    x = ...
  } else {
    ...
}
```

Whenever the code analyzer processes a block, it will first scan all declarations in the block and add them to a VariableSet (a simple wrapper class around a set of variables). Thus, when starting, the variableset is $\{x,y,z\}$. When the analyzer next processes the assignment to y, it will remove y from the set of "uninitialized" variables, which is then down to $\{x,z\}$.

Whenever the analyzer processes a variable in an expression or statement, it will just lookup the variable in the "uninitialized" set. If this variable is found, this means a variable is used before it might have been initialized (more about the "might" part later). If the variable was not found, this is correct and the analyzer proceeds.

When checking an if-statement, we must be a little more careful: when visiting the then-branch of an if-statement, we might encounter an assignment to x, thus, the set is down to $\{z\}$. However, imagine (as in the example), that the else-branch does not "define" x. This means that, after processing the if-statement, the set must remain $\{x,z\}$. This is solved as follows.

When processing an if-statement, the variableset is duplicated, thus we have two sets: $\{x,z\}_{then}$ and $\{x,z\}_{else}$. When processing the definition of x, we get $\{z\}_{then}$ but

the other set remains unchanged. After processing the if statement, we just take the union of both sets, i.e. $\{z\}_{then} \cup \{x,z\}_{else} = \{x,z\}$. If x is thus subsequently used after the if, it still *might* not have been initialized.

A similar reasoning is applied for a while-statement: the condition of a while might immediately fail, thus, there is a chance that the body of the while statement will never be executed. Therefore, after visiting the while body, just discard that variableset and continue using the variableset we had before we started visiting the while, because no assignment in the while body can be of importance afterwards (for this visitor).

When processing a read statement, we also remove the variable that is read to from the "uninitialized set. Thus, for this checker, y = ... is equivalent to read v.

Apart from the "uninitialized" set, we also keep track of all "used" variables, in a similar manner. We start out with $\{x,y,z\}$, remove y when assiging to it, and also remove x when using it. In the end, the set will be down to $\{z\}$. This means z was never encountered, but it was declared. z is an unused variable. At the end of the process, raise an error for each variable still in the "unused" set.

We now know how the analyzer finds uninitialized and unused variables. What about checking whether we can reach the end of a non-void function? This appears to be extremely easy. When starting to check a function whose return type is non-void, insert a "dummy" RETURN variable. Thus, in our example, the variable set starts out as $\{x,y,z,RETURN\}$.

Whenever we are then processing a return instruction, we will just remove the dummy RETURN variable from the set of "uninitialized" variables. All we have to do now is checking, after we have analyzed the function body, whether the RETURN variable is still in the set. If it is, then this means there is a path in the code which can lead to the end of the function without having performed a return.

Note that, when visiting an if or while statement, we have to "save" our previous variablesets, because if and while statements may be arbitrarily nested! This is taken care of by saving the variablesets on the runtime stack before visiting the branches of an if or the body of a while statement.

4.3 Constant folding

Constant folding is done by the ConstantFolder treevisitor. The folder traverses the syntax tree and will examine each node. When a node can be folded, it will be replaced by a semantically equivalent node. An archetypical example of constant folding is an expression like x=2+3, which is transformed to an assignment node, containing a reference to x, and a binary expression on the right hand side. This binary expression itself has as its children 2 and 3, both wrapped in a Number node class.

The goal of the constantfolder, then, is to replace such a tree, consisting of three nodes, by one node, namely a Number representing 5. The constant folder checks a binary operation as follows: first, visit (and possibly fold) both arguments of the binary operation. Next, check whether both arguments are constants (i.e. numbers or characters). If this is the case, we can perform a compile-time computation, and replace the binary operation by the result of this computation.

To support this compile-time computation, and to easily allow new operations in the source language, all operators are also represented as classes. Thus, there is a Plus class, a Divides class, etc...

The constant folder can then ask the operator to compute its result given both arguments. If we decide to add a new operator to the tiny language, we only have to

subclass the Operator class, fill in its interface, and the constantfolder will immediately be able to fold the new operator. This proves the extendability you get when working with objects.

As a small "extra", the constantfolder will also check whether the conditional expression of an if or while statement is a constant, if it is, it will evaluate the if or while. For example, if we know at compile time the if-condition evaluates to true, just replace the if with the then-branch. These foldings are of course rather unnecessary, who would write an if-statement if the result of the test is already known?

5 Intermediate code generation

Tiny generates a special form of intermediate code generally known as "three address code" because an instruction constains at most three operand "addresses".

5.1 Instruction overview

This section describes all possible three-address instructions generated by the compiler. In what follows, a is assumed to be an array, x, y and z can be variables or constants and f represents a function.

It is important to note that instructions take as arguments not the abstract syntax tree nodes Variable, Array, Number, etc..., but their instruction counterparts: VarRegister, ArrayRegister, NumRegister, This clearly decouples the instruction code from the abstract syntaxtree, which is now no longer needed.

Array Access

$$x = a[y]$$

An instruction representing the accessing of an array. y must be an integer constant or variable. x is a variable with as type the base type of the array. Note that x can also be a "temporary" variable. Temporaries can be declared using the symboltable. The symboltable will ensure the declared temporary is unique. Temporaries are given a number as a name, to print out a temporary, a "t" is prepended, thus, the first temporary generated is t0 (unless t0 is a "real" variable of course).

A second, important note about these instructions is that multi-dimensional arrays in tiny are represented as **one-dimensional** arrays in the intermediate (and generated) code! This means that statements of the form x = a[2][3] will have to be translated to a one-dimensional access of the form x = a[offset*2+3].

Thus, if t4 would contain the value of offset*2+3, then one could generate the instruction t5 = a[t4]. Usually, the target of this instruction will be a temporary, this will generate redundancies, which are removed during optimization.

Array Assignment



An instruction representing assignment into an array. Here, x is also a "folded" index in the case of a multi-dimensional array.

Array Allocation

a = ARRAY x, y

Creates a new array of length x, with y dimensions. Store the result in a. Note that x denotes the length of a one-dimensional array. For example, the array declared as int[2][3] a, gives rise to the following code:

$$t0 = 1$$
 $t0 = t0 * 2$
 $t0 = t0 * 3$
 $a = ARRAY t0,2$

First, code is generated for the one-dimensional length of the multi-dimensional array, which is $length_{dimension_1} \cdot \ldots \cdot length_{dimension_n}$. Next, space for a is allocated. If the dimension is larger than one, extra code for handling the dimensions will have to be generated.

Array Parameter

ARRAYPARAM a

Passes an array by reference to a function. The instruction requires just a reference to the array to pass.

Simple Assignment

$$x = y$$

Simply assigns the value of y to x.

Binary Operation

$$x = y op z$$

The binary operation instruction takes two argument registers, a target register, and an operator $op \in \{+,-,\times,\div\}$.

Function Call

Calls the given function, with as arguments the arguments loaded "on top of stack" by the PARAM instructions. The number of arguments are also passed to the instruction.

Dimension Length

x = DIMLENGTH a, y

Stores the length of dimension y of the multi-dimensional array a in x. These instructions are necessary to retrieve the length of only one specific dimension of a multi-dimensional array.

The LENGTH instruction only returns the **entire** length of the array. Since multidimensional arrays are represented as one-dimensional arrays, the length of such a multidimensional array is actually the product of all its dimension lengths. However, we need the length of a dimension to calculate offsets for *Array Assignment* and *Array Access* instructions. Thus, the target of this instruction represents the mysterious of fset variable in the description of the *Array Access*.

Unconditional jump

GOTO label

A GOTO instruction jumps to the specified label. Labels are represented by integers. Three address code is "indexed" code. A series of three address instructions is represented as an instance of the class Instructions. This class also provides some operators that come in handly, like operator[](Label). Thus, a GOTO instruction's destination instruction can be retrieved by indexing the instruction "stream": Instruction destination = instructions[goto.label];

Conditional jump

IF x op y GOTO label

This instruction only jumps to the destination if the test succeeds, otherwise, the next instruction is executed. $op \in \{<,>,=,\neq\}$.

Array Length

x = LENGTH a

Retrieves the length of a and stores it in x. Note that this returns the one-dimensional length of a, not the length of any of its dimensions (use DIMLENGTH instructions for that).

Multidimensional array declaration

MULTIARRAY a

Declares a to be a multi-dimensional array. This instruction will call upon the runtime environment (section 7.4) to use the MULTIDIM (see below) instructions to store the dimension lengths of a. Afterwards, they can be retrieved by DIMLENGTH instructions.

Multidimensional length declaration

MULTIDIM a,x,y

Declares that dimension x of array a has length y. For example, when declaring an array (in the source code) as int[2][3] a, this will give rise to the following instructions:

MULTIDIM a,0,2 MULTIDIM a,1,3

The reason why these instructions were added to the instructionset will become clear in section 7.4. For one-dimensional arrays, there is no MULTIDIM instruction generated.

Parameter

PARAM x

Conceptually pushes x onto the stack to pass it as a parameter to the function called by the next CALL instruction. This instruction cannot be used for passing arrays.

Reading input

READ x

Reads from standard input and stores the result in x. The read value is converted to an integer or character appropriately. x cannot be an array, statements like read a[x] are transformed to:

READ t0
$$a[x] = t0$$

Return

RETURN x

Returns from the function immediately and passes x as the return value to the caller.

Return Value

RETVAL x

This instruction is used to retrieve the return value from the callee. It is a separate instruction because some function calls do not return a value, and some functions can be called as a "statement" rather than as an expression. In that case, there is no need to generate a RETVAL instruction.

Unary Assignment

```
x = unop y
```

Perform a unary operation on y and store the result in x. Currently, unop can only be -.

Writing output

WRITE x

Writes the value of x to standard output. If x denotes a character, the character will be printed, otherwise, a number will be printed.

5.2 Generating instructions

Generating code from the abstract syntax tree is done using yet another visitor: the ICTranslator. Most of this code generation is quite straightforward and usually boils down to creating the right instruction and appending it to the instructionstream.

Usually, temporary variables will have to be created to store results. This is mostly the case with expressions. Expressions like 2+x/4*y cannot directly be translated using an instruction in the instructionset. Instead, the expression must be translated to code like:

$$t0 = 2 + x$$

 $t1 = 4 * y$
 $t2 = t0 / t1$

The result of the expression is generated in t2, and can then be further used in another expression or statement. Like this, the entire syntaxtree is traversed and converted to three address code.

5.2.1 Backpatching goto's

The trickiest part of generating three address code is the generation of if and while statements. These statements cause the generation of GOTO instructions. Sometimes, these GOTO instructions do not know where to jump to yet, because the code where they jump to is not yet generated. The following is part of the code which translates if-statements.

When the ICTranslator visits an If statement. It will first let a BExpTranslator visit the If condition. A BExpTranslator is a specialised code generator for boolean expressions. It will set up the code for the boolean expression, including GOTO's, but will leave their destination blank.

```
void ICTranslator::visitIfStatement(IfStatement* ifstmt) {
   BexpTranslator bexpvisitor(this);

//generate code for the boolean expression
   ifstmt->condition()->accept(&bexpvisitor);
```

Just before the ICTranslator starts generating code for the then-branche, it will save the label of the next instruction. After generating the then-branche code, it will generate a GOTO which is meant to jump over the else-branch. It's destination is at this point unknown.

```
//the true exit for bexp should be rerouted to here
Label trueExit = codestream_.nextInstructionLabel();
ifstmt->truebranch()->accept(this);

//generate an escape for stmt1 to jump over stmt2 when done
GotoI* gotoEnd = new GotoI(0);
codestream_ << gotoEnd;</pre>
```

Next, the translator generates code for the else-branch, saving the first label of that branch first. After visiting the else-branch, the translator now knows the address to which the "escape" GOTO should be rerouted.

```
//the false exit of bexp should be rerouted to here
Label falseExit = codestream_.nextInstructionLabel();
ifstmt->falsebranch()->accept(this);

//the escape goto should be rerouted to here
Label endExit = codestream_.nextInstructionLabel();
gotoEnd->setDestination(endExit);
```

It remains to backpatch the GOTO's that the BExpTranslator generated. This is done as follows: whenever the BExpTranslator generates a GOTO with an unknown destination, it adds it to a set of instructions. The instruction is added to the trueSet if it is meant to jump to the then-branch, and added to the falseSet if it is meant to jump to the else-branch.

All the translator has to do is to set the destination of all instructions in the trueSet to the saved label of the then-branch, and the destination of all instructions in the falseSet to the saved label of the else-branch. A similar reasoning is applied to generate code for the condition of a while statement: the trueSet instructions jump to the while body, the falseSet instructions jump over the while.

```
//backpatch the boolean expression
backpatch(bexpvisitor.trueSet(),codestream_,trueExit);
backpatch(bexpvisitor.falseSet(),codestream_,falseExit);
}
```

The tiny compiler also adds two new statements to the tiny specification: a break and a continue statement. These statements can only be used in the body of a while (or for) loop. A break is translated to a GOTO to the instruction following the while. A continue statement is translated to a GOTO to the beginning of the evaluation of the while condition.

A final note on the BExpTranslator: this translator will translate the logical NOT (!) merely by switching the true and false sets. A similar reasoning can be applied for logical AND and OR, but these are not implemented. Note that a BexpTranslator will translate, for example, x < y as

```
IF x < y GOTO true exit
```

An ICTranslator will translate x < y as

- 1. IF x < y GOTO 4
- 2. t0 = 0
- 3. GOTO 5
- 4. t0 = 1

In other words: a BExpTranslator translates boolean expressions by flow of control, while an ICTranslator translates boolean expressions by value. The translation by value is necessary when evaluating code like $\mathbf{x} = (\mathbf{y} < \mathbf{z})$. In that case, we want a boolean value stored in \mathbf{x} . In the case of if $(\mathbf{y} < \mathbf{z})$. . . it would be stupid to evaluate y < z by value, and then jumping according to the test $t0 \neq 0$.

6 Code optimization

When the ICTranslator has generated the code, there are usually lots of redundant temporary variables generated. Moreover, it might be the case that code for the same expression is generated multiple times.

The optimization algorithm is designed to remove these redundancies. The algorithm will first partition the generated code into so called "basic blocks". Each basic block is then transformed into a DAG which allows local "common subexpression elimination".

6.1 Basic Blocks

A basic block is a piece of code that has to be executed in its entirety (i.e. it cannot contain GOTO's that exit the block somewhere in the middle, if there is a GOTO instruction, it must be last). No instruction except for the first can be the target of a goto instruction (otherwise it could also be that only part of the block is executed).

Basic blocks can be easily identified by marking the targets of goto's and instructions after goto's. These "marked" instructions identify the beginning of a basic block and are called "leaders".

A basic block is represented by the compiler as a vector of Instructions. Before the optimization algorithm is applied, the generated intermediate code for a function body is partitioned into these basic blocks.

Each basic block is then fed to the optimization algorithm. Usually, an optimized block will contain less instructions, which means the labels of the instructions have changed. Therefore, when each basic block is optimized, the labels are "relocated" so that the destination of a GOTO before optimization is adapted to the new location.

Since destination instructions of GOTOs are by definition leaders, it suffices to associate the old and new labels (through a mapping) of the leaders, to be able to relocate the GOTO labels.

6.2 DAG optimization

The DAG optimization is performed by two large visitor classes: BB2DagMapper and Optimizer.

The BB2DagMapper takes a basic block as input, and will transform this block to a DAG or *directed acyclic graph*. The goal here is to remove redundant computations (i.e. calculating something whose value is already calculated in another register), and to reduce the number of temporary registers.

6.2.1 Optimizing binary operations

To see how this mapping from code to a graph is done, let us examine the case of a binary operation: x = y + z, for example. The mapper will visit each three address code instruction, and map it to a series of nodes.

First, the mapper will check whether there already exists a node for y and z. A lookup is performed and a node is returned. This returned node might be a nullpointer, or it might be that the node was "killed" by another instruction. In that case, isValid returns false, and a new leaf is made. Leafs contain the register they represent.

```
void BB2DagMapper::visitBinaryAssInstruction(BinAssignmentI* bas) {
   //retrieve both child nodes (or create new ones)
   DagNode* ynode = lookupNode(bas->getFirstArg());
   if (!isValid(ynode))
      ynode = makeLeaf(bas->getFirstArg());
   DagNode* znode = lookupNode(bas->getSecondArg());
   if (!isValid(znode))
      znode = makeLeaf(bas->getSecondArg());
```

The mapper now has two child nodes for y and z, either previously created or newly made. Note that a DagLeaf is a subclass of DagNode, so we do not know (or care) if the nodes found are really nodes or leaves.

Next, we have to create a node which represents the y+z code. We do this by first searching the DAG for an already existing y+z node. The match algorithm also handles algebraic identities and commutativity for operators, thus it would match the node z+y if it existed.

If no match is found, we have to insert a new node into the DAG. Every DagNode has three children (note that a three-address instruction can contain at most three operands), but this node will require only two children (y and z), thus the third child is null.

```
//look for an already existing 'y op z' node (with algebraic matching)
DagNode* existingNode = match(*bas->getOperator(),ynode,znode);
if (!isValid(existingNode)) {
   string opcode(bas->getOperator()->symbol());
   existingNode = makeNode(bas,ynode,znode,0);
   addToDAG(opcode,existingNode);
}
```

If a match is found, we already have an y+z node in the DAG. This is the basis of the "common subexpression elimination": instead of adding a new node, we just add x as a "target" of the existing node. When the optimized code is generated, it will calculate the value of y+z only once, and assign the result to each of its "targets".

```
(*nodes_)[bas->getTarget()] = existingNode;
addTarget(existingNode, bas->getTarget());
}
```

We also keep a mapping from variable(registers) to nodes. The nodes $_$ mapping returns, for a given variable, the node containing the current "value" for that variable. Since, we just assigned a value to x (namely y+z), we should set the current "valuenode" of x to the y+z node.

6.2.2 Peculiarities

There are a number of cases in which translating code to a DAG is not so straightforward. These cases are caught by the DAG algorithm. A brief overview:

- Sometimes, a variable's "value" is used by another node (i.e. the node is dependent on that variable), but there is another node which assigns to that variable. In that case, optimized code must be arranged to generate code for the depending node first, before generating the code which causes the assignment.
 - The DAG algorithm solves this by adding extra edges from nodes to other nodes which represent the "value" of the variable the node depends on. The importance of these edges will be discussed when mapping the DAG back to code (see section 6.2.3).
- When processing *Array Assignment* instructions, one has to be careful to not rearrange the code in arbitrary ways. A simple example illustrates the case:

$$x = a[y]$$

 $a[u] = v$
 $z = a[y]$

A naive common subexpression elimination algorithm would translate this to

$$x = a[y]$$

 $z = x$
 $a[u] = v$

which may be incorrect! z is different from x if u = y and $v \neq x$. The solution here is to have the *Array Assignment* instruction a[u] = v kill all nodes in the DAG so far, representing *Array Access* instructions accessing a. In this way, z will get its own *Array Access* node, and there will be no sharing with x's node.

Even with this sharing removed, we must remain careful. The following code is also incorrect:

$$x = a[y]$$

 $z = a[y]$
 $a[u] = v$

It is clear that the z = a[y] instruction must be generated *after* the array assignment, while the x = a[y] instruction must be generated *before* it. This is solved in a similar manner as the case outlined above, by adding extra "edges" between the nodes.

• Another, very similar problem arises with function calls. Imagine the following code:

$$x = y + z$$

$$CALL f$$
 $u = y + z$

Clearly, we can perform common subexpression elimination and remove one redundant addition. This is also what the algorithm does, provided however, that all these variables are *local* variables. If any of them are global however, then we cannot just perform the common subexpression elimination. If x would be global, and f modifies x, then we cannot just add u = x.

This is handled similarly by having a CALL instruction kill every node in the DAG which depends upon, or modifies a global variable. Just as with arrays, we also cannot arbitrarily change the order of the statements in this block: f might use u for example, so we cannot generate the assignment to u until after the CALL. This is, again, solved through extra "edges" in the DAG.

6.2.3 Generating the optimized code

Generating the code is a matter of mapping the constructed DAG back to three address code (to a basic block). This is done by a very simple algorithm, which uses the edges between the nodes to create an ordening between the code fragments.

In the previous paragraph, it was explained, that to "force" the generation of some node's code before some other node's code, it suffices to add a "constraining" edge between them. Next to its maximum of three operand children, a node also contains a number of edges to nodes whose code needs to be generated before the originating node's code.

The code generating algorithm now works as follows: starting from the "greatest" elements in the DAG (these are the nodes without a parent, and thus the nodes which are "highest" in the DAG), generate code for the children and referred nodes first. This is done by a recursive algorithm which will first generate code for the references:

Note that a node contains a boolean denoting whether or not code for the node has already been generated. If a node's code is already generated, we immediately return from the call. This is necessary since a node can be referred to by more than one node (a node can have more than one parent, that's why it's a graph and not a tree).

Next, we still need to generate code for the children, which is evident because the children should be "initialized" before they can be used by another instruction. A check is necessary since a child can be a nullpointer (some nodes have less than three children).

```
//generate code for the children
if (node->firstChild())
   optimizeNode(node->firstChild());
if (node->secondChild())
   optimizeNode(node->secondChild());
if (node->thirdChild())
   optimizeNode(node->thirdChild());
node->setGenerated();
optimizer_->accept(node);
}
```

When code for the children is generated, all we need to do is generate code for the current node itself, and to mark that node's code as being generated. Optimizing the code is done by another visitor, the Optimizer. An optimizer will examine the original instruction, and create a new instruction which usually takes new operands.

Imagine the unoptimized code being as follows:

$$t0 = x + y$$

 $z = t0 + 3$
 $t1 = x + y$
 $u = t1 * 2$

It is clear that $\mathbf{x} + \mathbf{y}$ is a common subexpression which can be eliminated. We could for example just ignore the third instruction, but then we must make sure that t1 is "replaced" by t0 in the fourth instruction:

$$t0 = x + y$$

 $z = t0 + 3$
 $u = t0 * 2$

This is taken care of by the optimizer. Another important function of the optimizer is to decrease the number of used temporary registers. A common pattern of generated code is:

$$t0 = a[x]$$
$$y = t0$$

This kind of code is typical for the generated code of expressions, where parts of the expression's value are stored in temporaries. The optimizer will notice the redundancy and transform this code to:

$$y = a[x]$$

7 Code generation

The "back end" of the compiler consists of transforming the optimized three address code to the target language. In this case, this is JVM assembler, more specifically a dialect of the language, suitable for processing by javaa.

The generated JVM syntax is quite straightforward when it comes to method declaration and instruction generation. The code is still quite readable. The greatest difference between the three address code and the JVM assembler code is that three address code works with assignment instructions operating on "virtual registers", whereas JVM instructions usually operate on operands on top of the runtime stack.

7.1 Generated JVM Class Layout

Tiny generates a JVM assembler source text which has the following layout (in Java syntax):

```
//basename is the name passed in through the command-line
public class basename {
  public static int a_global;
  public static int[] a_global_array;
  public static void main(String[] args) {
      //code for initialization of globals,
      //code which allocates space for a_global_array
      //comes here
      //call 'void tiny()'
      invokestatic void tiny()
      return
   }
  public static void tiny() {
      //code for tiny
  public static int a_function(int[] a) {
      //code for a_function, local variables
      //are also declared locally here
   }
}
```

What is important is that each global variable is modelled as a static class variable, and each function is modelled as a static (class) method. These methods do not require an object to be passed when it is called.

Initialization code for globals is executed in the main function, and is thus always executed first, before the tiny program runs. The tiny program itself is then started by a call to the tiny function, which is obligatory for each tiny program. From then on, the tiny program can call other implemented tiny functions, and it can use local variables, which are also declared as local variables in the JVM assembler.

Since assembler does not know the concept of "blocks" or scopes, we need to solve the problem that multiple variables with the same name may be declared in different scopes, for example:

```
void f() {
  int x;
  { int x;
    ...
  }
  ...
}
```

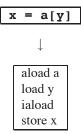
This is done by adjusting the name of identifiers according to their scope level. The outer x is renamed to f_x , the inner x to f_block_x . In the JVM assembler, the code can then work with both variables in the same scope:

```
int f_x;
int f_block_x;
```

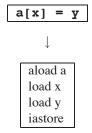
7.2 JVM Code generation

This section explains how each intermediate code instruction is translated to JVM assembler instructions.

Array Access



Array Assignment



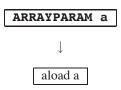
Array Allocation



load x
newarray a.basetype
store a
int[]_a_dimensions_
ldc y
newarray int
astore_a_dimensions_

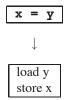
For one-dimensional arrays, the last four statements are not generated. These statements are needed when declaring multi-dimensional arrays. Their purpose will be explained in section 7.4.

Array Parameter



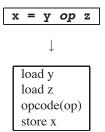
In Java, parameters are passed by just pushing them on the stack. A function requiring n arguments will use the n topmost arguments on the runtime stack.

Simple Assignment



It must be noted that integers and characters are actually loaded using the iload command. However, the load command is also allowed, and javaa would also transform this to an iload command automatically.

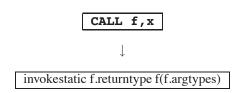
Binary Operation



The opcode depends, of course, on the kind of operator. The table below lists the opcodes of each binary operator:

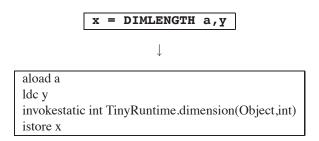
Operator	Opcode
+	iadd
_	isub
×	imul
÷	idiv

Function Call



Since every tiny function is modeled as a static member function, just use the invokestatic command. Arguments are taken from the top of stack.

Dimension Length



To retrieve the length of a specific dimension, a call is made to a runtime function which returns just this dimension length. The returned length is stored in x.

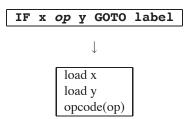
Unconditional jump



GOTO's are conveniently mapped to JVM goto's. The most important part is, of course, the representation of the labels. Remember that three address code was indexed code, and labels were represented as indices into this code sequence.

These indices are translated to JVM labels in a very straightforward way: label n is represented as the string Ln in JVM syntax. Labels are only generated in front of "leader" instructions, since these are the only instructions that can be jumped to.

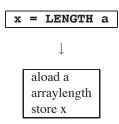
Conditional jump



Again, the opcode depends on the type of relational operator. The possibilities are listed below:

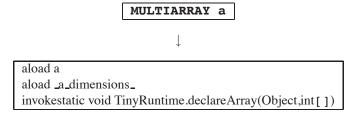
Operator	Opcode
<	if_icmplt
>	if_icmpgt
=	if_icmpeq
\neq	if_icmpne

Array Length



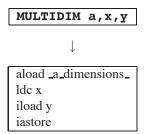
arraylength retrieves the length of a Java array. Since all tiny arrays (including multi-dimensional arrays) are modeled by one-dimensional Java arrays, this length returns the product of the dimension lengths for multi-dimensional arrays.

Multidimensional array declaration



This instruction calls upon a runtime function to store the dimension lengths (themselves stored in an array of integers) so that they can be retrieved by DIMLENGTH instructions.

Multidimensional length declaration



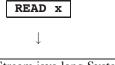
Sets the x'th dimension of array a to y. This can be interpreted as an instruction equivalent to a-dimensions [x] = y.

Parameter



Just as with ARRAYPARAM instructions, the argument is just loaded on top of stack.

Reading input



getstatic java.io.InputStream java.lang.System.in invokevirtual int java.io.InputStream.read() i2c invokestatic int java.lang.Character.getNumericValue(char) store x

Reads a character read from standard input into x. This is done using the Java standard input object System.in and the InputStream.read method. When reading into an integer however, we need to convert the read character into an integer. This is done using the class method Character.getNumericValue.

When reading into a character, the fourth statement is, of course, not generated. Note the use of i2c which means casting an int to a char. read returns an integer, but this integer is actually the representation of a character (ie an int between 0 and 255).

Return



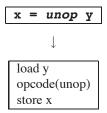
Java returns the integer or character currently on top of stack, so just push the value to return on top of stack, then return from the function.

Return Value



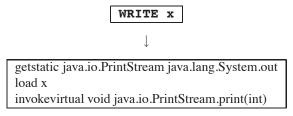
When a function returns, it leaves the value on top of the runtime stack. This value can then be accessed by the caller. We just have to store this variable in x so that it can be used afterwards.

Unary Assignment



The only operand currently passed to this kind of instruction is the unary negation, represented by the JVM instruction ineg. The negated value of y is left on top of stack, and subsequently saved into x.

Writing output



Writing to standard output is done via the equivalent of the typical java syntax System.out.println(...). If the value written is a character, then java.io.PrintStream.print(char) is called instead.

7.3 JVM Optimizations

The following list gives an overview of all optimizations performed on the target language. Some of these optimisations are better known as "peephole optimization" because they check the code for a certain pattern and replace it with a more efficient one.

Efficient use of the runtime stack Unquestionably the most important optimization is the efficient usage of the runtime java stack. The reason why this is so important is that is can save us **many** uses of temporary variables.

Imagine the code

$$t0 = x + y$$
$$u = t0 + z$$

Which is the intermediate code counterpart of the tiny code u = x + y + z. We can translate this code fragment in two ways: optimized or unoptimized (the tiny compiler uses the optimized version):

Unoptimized	Optimized
iload x	iload x
iload y	iload y
iadd	iadd
istore t0	
iload t0	
iload z	iload z
iadd	iadd
istore u	istore u

At first sight, this optimization might seem easy to perform. For some cases, as in the example, this is true. There are, however, exceptions. If the intermediate code is:

$$t0 = x + y$$
$$u = z + t0$$

This requires us to do more checking, because now we no longer have the easily matched code fragment

istore t0
iload t0
but the fragment
istore t0
iload z
iload t0

There is another culprit: because of common subexpression elimination, it might very well be that the variable t0 is used somewhere else in the code (further down below). In that case, it is important that we generate the otherwise redundant save and load instructions, because otherwise t0 will be uninitialized! The compiler also handles these cases.

The optimization goes further than this. It will also try to optimize array access and assignment instructions. Take an array access statement for example:

$$t1 = \dots$$

 $a[t1] = x$

Where t1 is a temporary. To generate code for the array assignment instruction, the <code>iastore</code> instruction expects the arguments to be on the runtime stack in the following order:

However, in our example, the index, t0 is already on top of stack, but it should come "between" the array and the value. Instead of storing t1 in a local variable and loading it in the right order, the compiler will use swap instructions to swap the contents of the top of stack until they are in the right place, in our example, the following code would be generated (t1 is currently on top of stack):

aload a //a is now on TOS, followed by t1 swap //swaps a and t1, t1 now on top of stack iload x //lload the value iastore //sstore x in a at position t0

This saves us a save t0 and load t0 instruction.

Specialised instructions for constants The Java virtual machine has specialised instructions for loading small constants. These instructions are usually faster, and take up less space in the generated bytecode. Loading an integer on top of stack is done as follows by the tiny compiler:

Value	Instruction
-1	iconst_m1
$0 \le n \le 5$	iconst_n
$-128 \le n \le 127$	bipush n
any other integer	ldc n

Specialised increment instruction The compiler will efficiently generate code for instructions of the form x = x + C, x = x - C and x = C + x, as long as $-127 \le C \le 128$. The generated instruction is then iinc x C, or iinc x

Temporary variable declarations The compiler will not generate declarations for temporary variables which are discarded using the runtime stack.

7.4 Runtime environment

The instructions DIMLENGTH and MULTIARRAY use the two static methods:

```
void declareArray(Object array, int[] dimensions)
int dimension(Object array, int dim)
```

These methods are static member functions of the class TinyRuntime. The methods of this class provide runtime support for multi-dimensional arrays. To see why this is necessary, behold the following tiny code:

```
void tiny() {
  int[2][3] a;
  f(a)
}

void f(int[0][0] b) {
  int x;
  x = b[1][2];
}
```

Note the type signature of f: the function cannot possibly know the size of the array passed as an argument, whether one-dimensional or not. For one-dimensional arrays, this is not much of a problem, the length operator can be used to retrieve the length of the array.

It was already explained that tiny represents multi-dimensional arrays as one-dimensional arrays. Thus, a is internally declared as the java array int[6] a. This implies that any access into a must also be translated to a "one-dimensional" address. Thus, the array access in f is encoded as: x = b[1 * 3 + 2], where f is the second dimension of the array.

The only problem here is: how is f to know, at compile time what the dimensions of its formal parameter are? It is impossible to figure this out at compile time, yet we have to generate the offset code at compile time!

This is solved by generating, at the beginning of a function taking a multi-dimensional array as a formal parameter, a DIMLENGTH instruction. This instruction queries the runtime environment for the dimension length (through a call to dimension), which is then stored in a temporary, say t1. From then on, any array access to the formal is relative to t1.

Of course, if DIMLENGTH can retrieve the length of a given dimension, that information must first have been given to the runtime environment (we cannot insert this at compile time, because arrays may have arbitrary length, only determinable at runtime).

The MULTIDIM and MULTIARRAY instructions will allocate, at runtime an array containing the dimension lengths of the newly allocated multi-dimensional array. This array will then be stored in the runtime environment (through a call to declareArray).

The TinyRuntime class itself is nothing more than a class containing a mapping which maps an array to its dimension information.