

# A Deep Dive into Fairness in Machine Learning using Fairlearn

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# Agenda

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- Algorithmic Fairness
- Introduction to Tutorial

# Introduction

## Algorithmic Fairness

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# Algorithmic Fairness

The idea that **algorithmic systems** should behave or treat people **without unjust or prejudicial treatment** on the grounds of **sensitive characteristics**.

## Hiring

RETAIL OCTOBER 11, 2018 / 1:04 AM / UPDATED 2 YEARS AGO

### Amazon scraps secret AI recruiting tool that showed bias against women

By Jeffrey Dastin

8 MIN READ



SAN FRANCISCO (Reuters) - Amazon.com Inc's AMZN.O machine-learning specialists uncovered a big problem: their new recruiting engine did not like women.

Source: <https://www.reuters.com/article/us-amazon-com-jobs-automation-insight-idUSKCN1MK08G>

## Fraud Detection

### XENOPHOBIC MACHINES

DISCRIMINATION THROUGH UNREGULATED USE OF ALGORITHMS IN THE DUTCH CHILDCARE BENEFITS SCANDAL



Source: [https://www.amnesty.nl/content/uploads/2021/10/20211014\\_FINAL\\_Xenophobic-Machines.pdf?x42580](https://www.amnesty.nl/content/uploads/2021/10/20211014_FINAL_Xenophobic-Machines.pdf?x42580)

## Translation

Turkish - detected	English
o bir aşçı	she is a cook
o bir mühendis	he is an engineer
o bir doktor	he is a doctor
o bir hemşire	she is a nurse
o bir temizlikçi	he is a cleaner
o bir polis	He-she is a police
o bir asker	he is a soldier
o bir öğretmen	She's a teacher
o bir sekreter	he is a secretary

Source: <https://qz.com/1141122/google-translates-gender-bias-pairs-he-with-hardworking-and-she-with-lazy-and-other-examples/>

# Types of Harm

Majority of fairness research  
focuses on these two harms

## Allocation

The system extends or withholds opportunities, resources, or information.

## Quality-of-Service

The system does not work equally well for all groups.

## Representation

The development/usage of the system overrepresents or underrepresents certain groups.

## Stereotyping

The system reinforces stereotypes.

## Denigration

The system is actively derogatory or offensive.

## Procedural

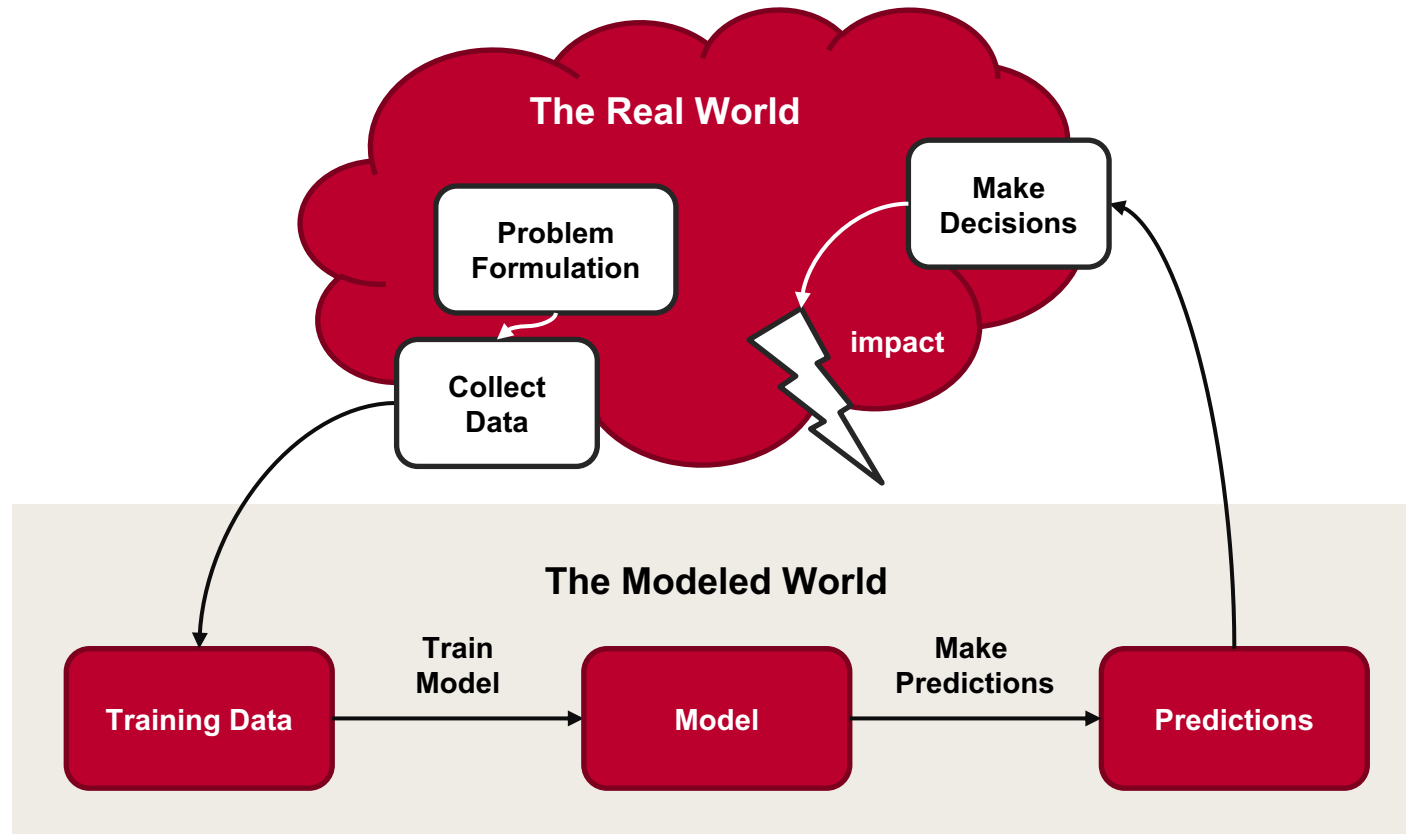
The system makes decisions in a way that violates social norms.

Most prevalent in  
unstructured data

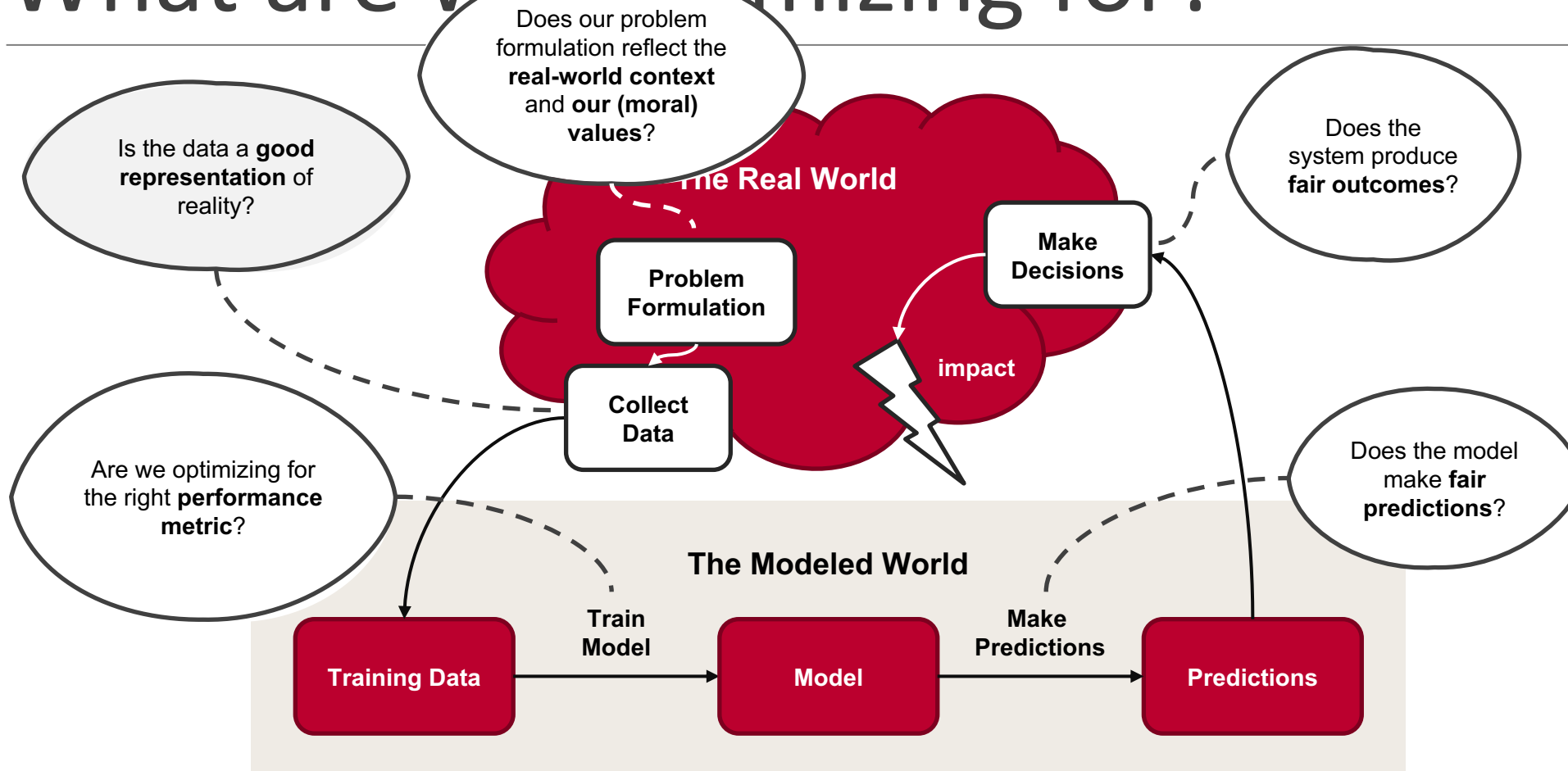
Closely related to interpretable  
machine learning

# What are we optimizing for?

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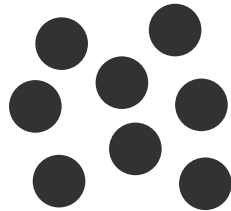
# What are we optimizing for?



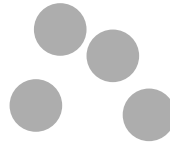
# Group Fairness

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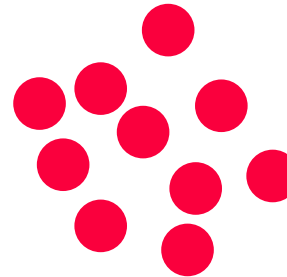
Notions of fairness that **compare** the behavior or performance of a model across **sensitive groups**.



Group A



Group B



Group C

A **group fairness metric** measures the extent to which a particular **group statistic** differs across groups (e.g., *maximum difference between groups*).



# Demographic Parity

*Fairness criterion:* **equal selection rates**

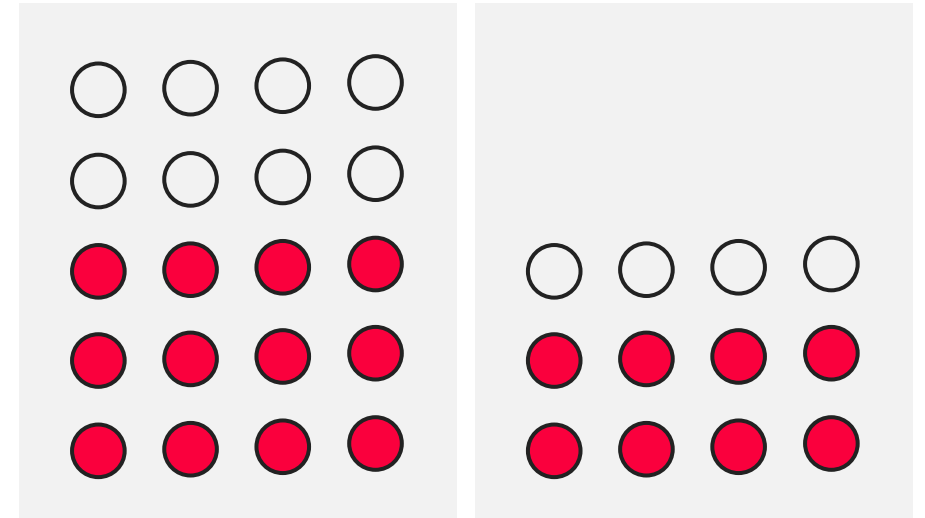
$$P(\hat{Y} = y | A = a) = P(\hat{Y} = y | A = a')$$

*Measures:* **allocation harm**

*Main assumption:* the target variable is not a good representation of reality and/or what we want reality to look like.

**Group 1**  
SR = 3/5 = 0.6

**Group 2**  
SR = 2/3 = 0.67



● predicted positive  
○ predicted negative

# Equalized Odds

**Group 1**  
FPR =  $3 / (3+7) = 0.3$   
FNR =  $1 / (1+9) = 0.1$

**Group 2**  
FPR =  $3 / (3+4) = 0.43$   
FNR =  $0 / (0+5) = 0$

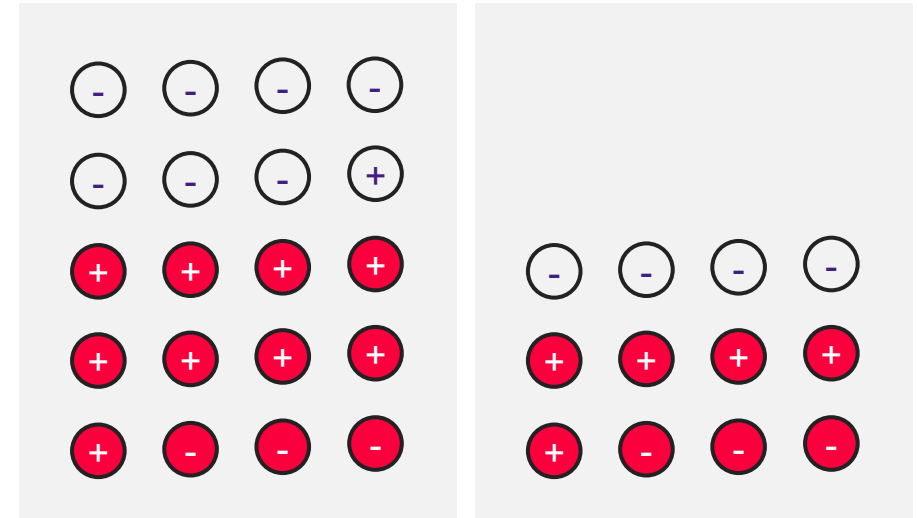
*Fairness criterion: equal FPR and FNR*


$$P(\hat{Y} = y | A = a, Y = y) = P(\hat{Y} = y | A = a', Y = y)$$


*Measures: quality-of-service harm or allocation harm*


*Main assumptions:*


- the target variable is a good representation of reality
- the data distribution may differ across groups, which could cause differences in predictive performance



 true positive

 true negative

 false negative

 false positive

# Fairness-Aware Machine Learning

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**Fairness as an optimization problem:** technical approaches towards optimizing for fairness.

- **Preprocessing.** *Change the data* such that the sensitive feature cannot be deduced from the data, but all other information is preserved as best as possible.
- **Constrained learning ('in-processing').** Incorporate *explicit fairness constraints* in the learning algorithm.
- **Post-processing.** *Adjust* an existing machine learning model or its predictions such that it adheres to fairness constraints.

# Conclusion

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- Machine learning systems can reproduce, amplify, and introduce **unfairness**.
- There are different types of **fairness-related harms**, today we will focus on:
  - **allocation harm**
  - **quality-of-service harm**.
- Fairness-related harms can arise due to a **mismatch** between what we **optimize** for and what we actually **value**.
- **Fairness metrics** can be used to measure potential fairness-related harms.
- **Fair-ml algorithms** can be used to optimize for fairness metrics.



```
if questions:
    try:
        answer()
    except RuntimeError:
        pass
else:
    print("Thank You.")
```

# Tutorial

## Pre-Trial Risk Assessment

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# Tutorial

## Measuring Group Fairness in Pre-trial Risk Assessment

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### Tutorial.

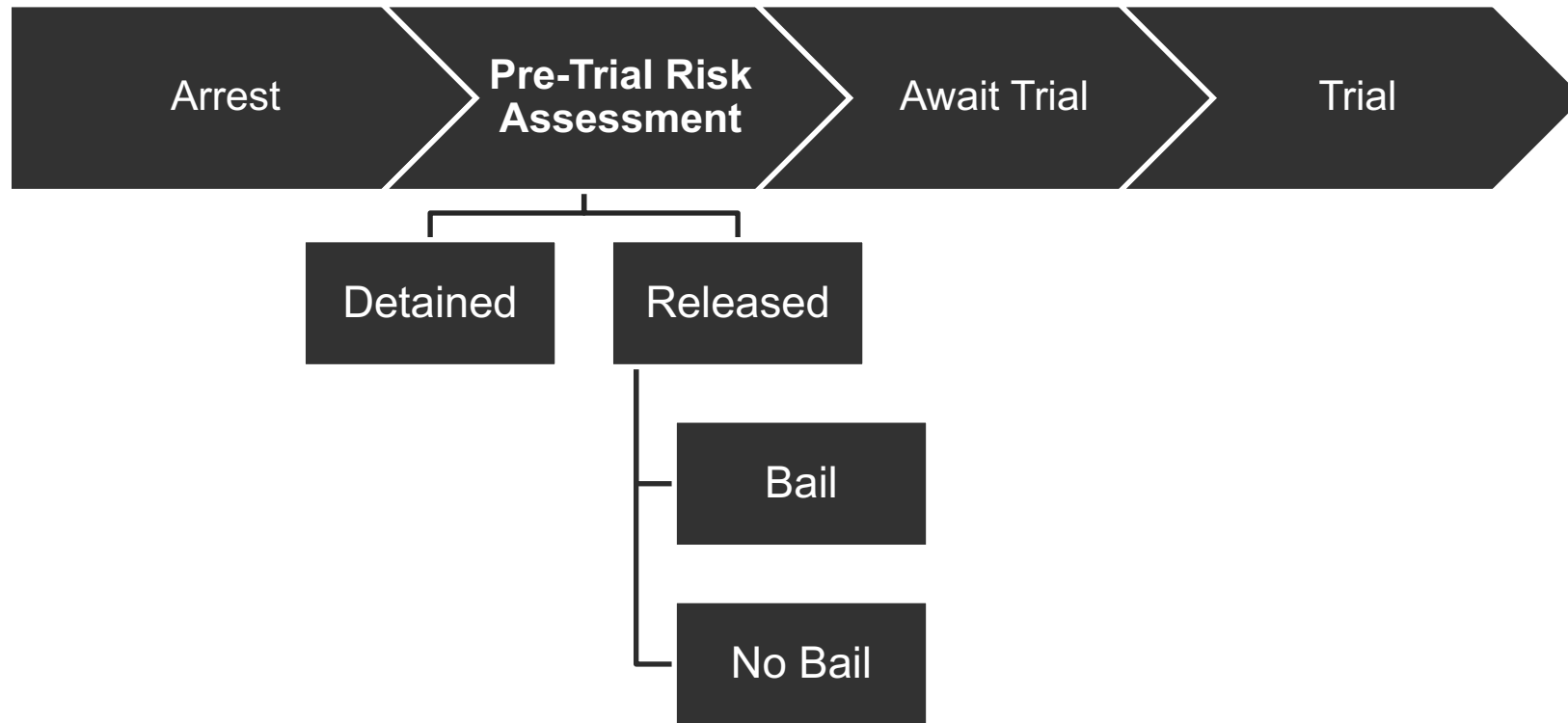
- **Goal:** Measure notions of fairness using Fairlearn
- **Case study:** COMPAS recidivism prediction

**Learning Objectives.** After completing this tutorial, you will be able to:

- apply **group fairness metrics** in Python;
- explain several **trade-offs** between different group fairness criteria;
- explain how threats to **construct validity** may impact downstream **fairness-related harms**;

# Context Pre-trial Risk Assessment in the United States

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# Propublica's Analysis of COMPAS

In 2017, Propublica found that **COMPAS**, a recidivism prediction algorithm used by judges in the United States, failed differently for African-American defendants compared to white Americans.

	White	African-American
False Positive	23.5%	44.9%
False Negative	47.7%	28.0%

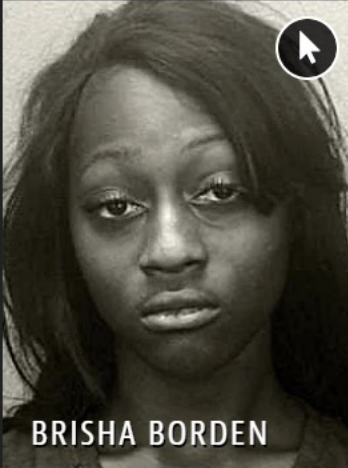
Source: <https://www.propublica.org/article/machine-bias-risk-assessments-in-criminal-sentencing>

### Two Petty Theft Arrests



VERNON PRATER

LOW RISK 3



BRISHA BORDEN

HIGH RISK 8

*Borden was rated high risk for future crime after she and a friend took a kid's bike and scooter that were sitting outside. She did not reoffend.*