

# Data Modelling/Data Base Systems

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Data Base Design

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FAKULTÄT  
FÜR INFORMATIK

Faculty of Informatics

# Acknowledgements

The slides are based on the slides (in German) of [Sebastian Skritek](#).

The content is based on [Chapter 2](#) of  
(Kemper, Eickler: Datenbanksysteme – Eine Einführung).

For related literature in English see [Chapter 2](#) of  
(Ramakrishnan, Gehrke: Database Management Systems).

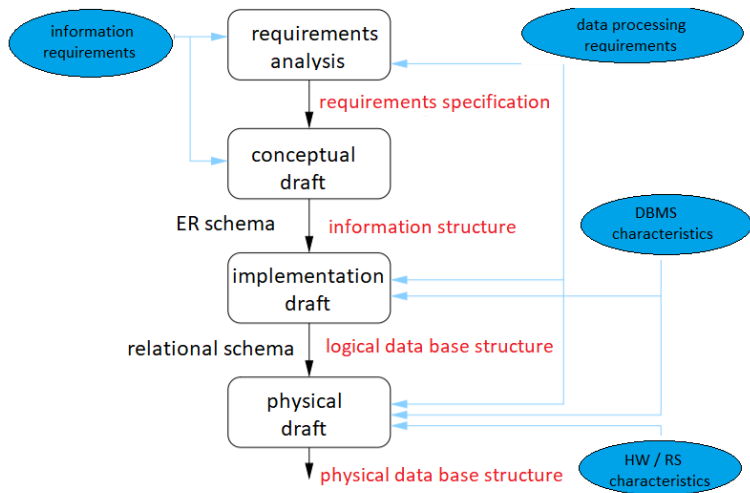
# Overview

1. Data Base Design Steps
2. The Entity-Relationship (ER) Model
3. View Integration and Consolidation

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# Data Base Design Steps



# Requirements Analysis

- 1 identification of organization units
- 2 identification of the tasks to be supported
- 3 requirements plan
- 4 requirements collection
- 5 filtering (checking comprehensibility and uniqueness of information)
- 6 classification (objects, relations, operations, events)
- 7 formalization - construction of a functional specification:
  - information structure requirements: structured information about
    - objects
    - attributes
    - relationships
  - data processing requirements: structured information about process descriptions

# Object and Attribute Description

## objects

- university staff:
  - number: 1000
  - attributes:
    - persNr
    - name
    - salary
    - rank
- students:
  - number: 20.000
  - attributes:
    - matrNr
    - name
    - address

# Object and Attribute Description

## objects

- university staff:
  - number: 1000
  - attributes:
    - persNr
    - name
    - salary
    - rank
- students:
  - number: 20.000
  - attributes:
    - matrNr
    - name
    - address

## attributes

- persNr
  - type: char
  - length: 9
  - domain: 0...999.999
  - number of iterations: 0
  - definedness: 100%
  - identifying: yes
- salary
  - type: decimal
  - length: (8,2)
  - number of iterations: 0
  - definedness: 90%
  - identifying: no



# Relationship Description

## relationship *examine*

- involved objects:
  - professors as examiner
  - students as examinee
  - lecture as assessment load
- attributes of the relationship:
  - date
  - time
  - grade
- number: 100 000 per year

# Process Description

## process: *issuing of a certificate*

- frequency: semiannual
- required data:
  - exams
  - study regulations
  - information about students
  - ...
- priority: high
- amount of data to be processed:
  - 500 students
  - 3000 exams
  - 10 study regulations

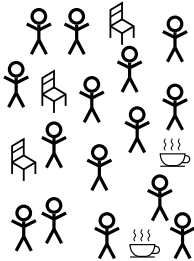
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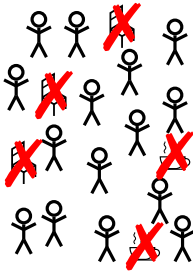
# The Entity-Relationship (ER) Model

- 1 entities and relationships
- 2 roles and attributes
- 3 keys
- 4 cardinalities
- 5 (min,max)-notation
- 6 weak entities
- 7 generalization or specialization (EER)
- 8 aggregation (EER)

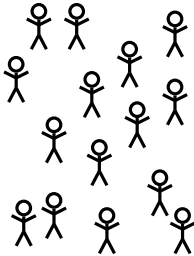
# Conceptual Design Through ER Diagrams



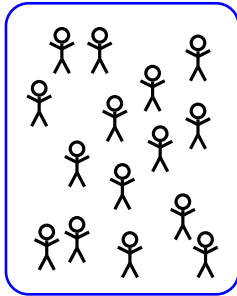
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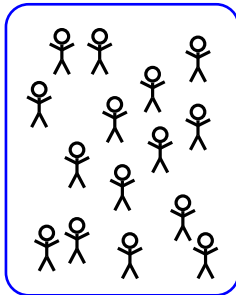
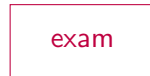


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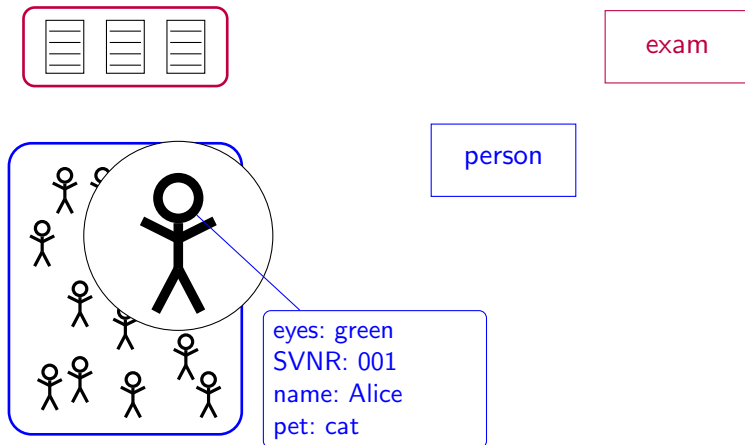




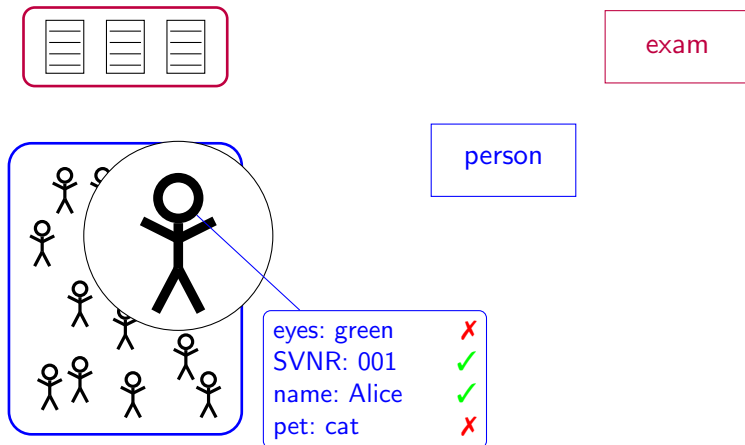
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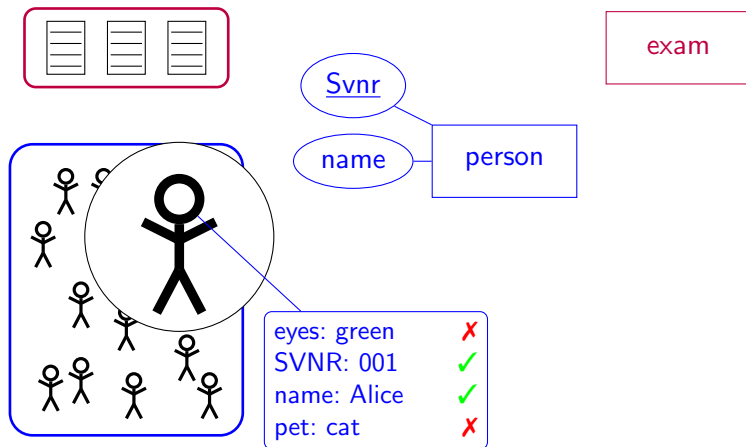
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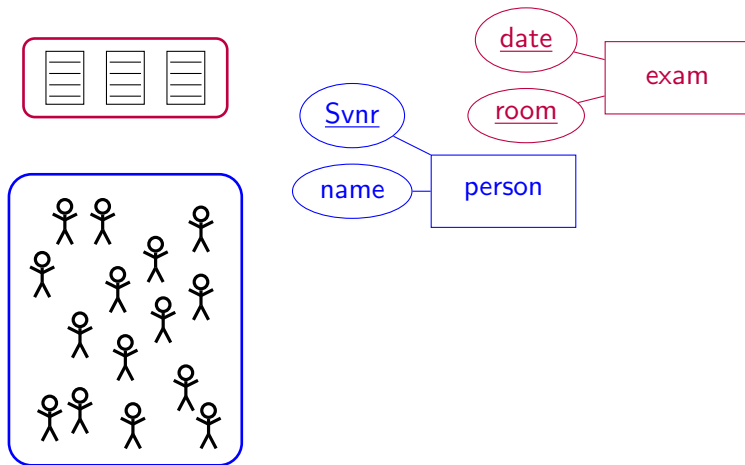
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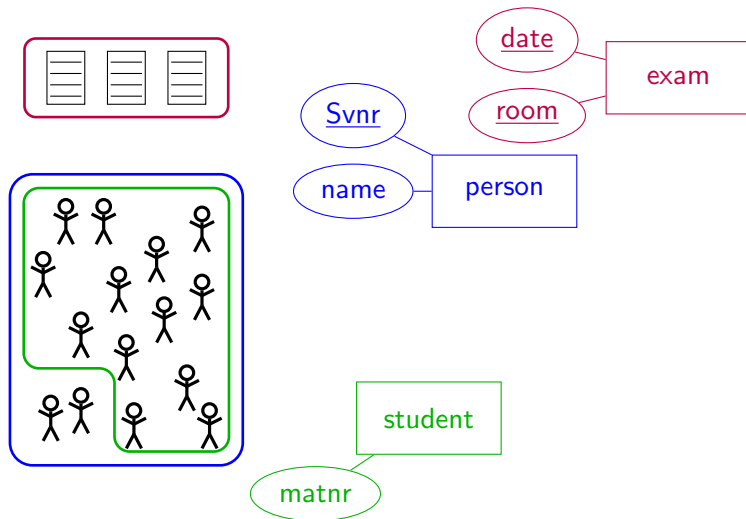
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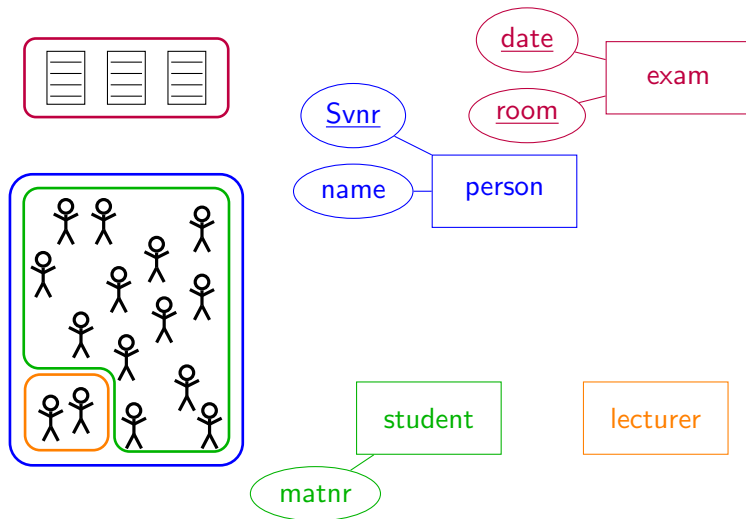
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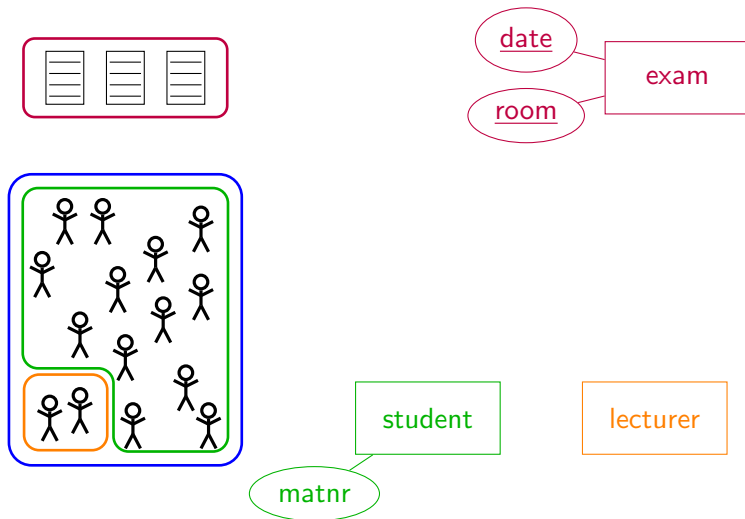
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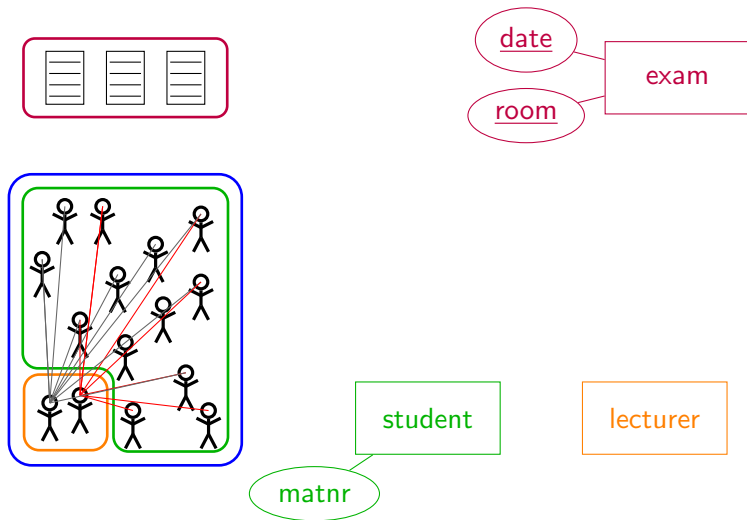


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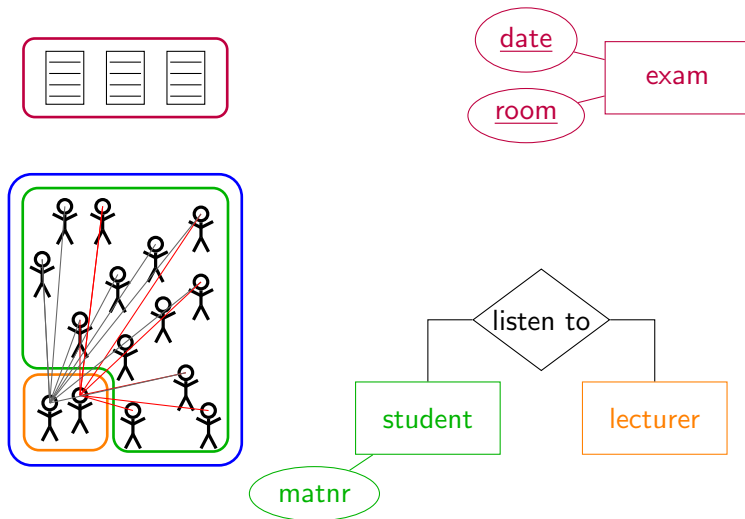




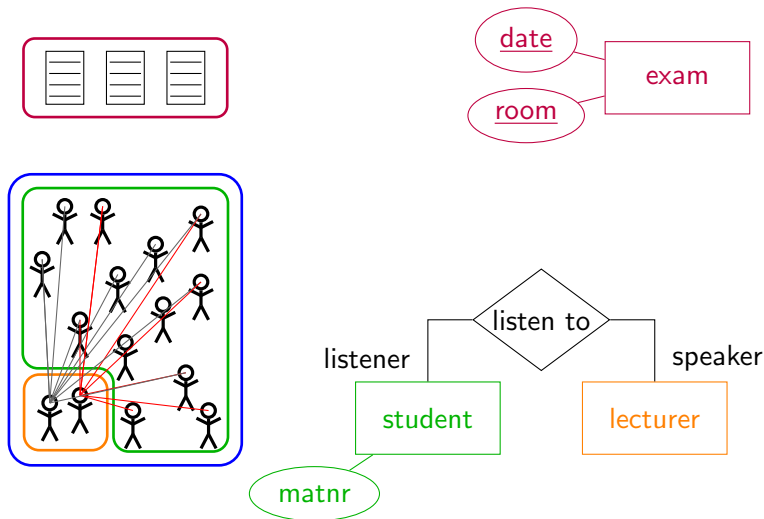
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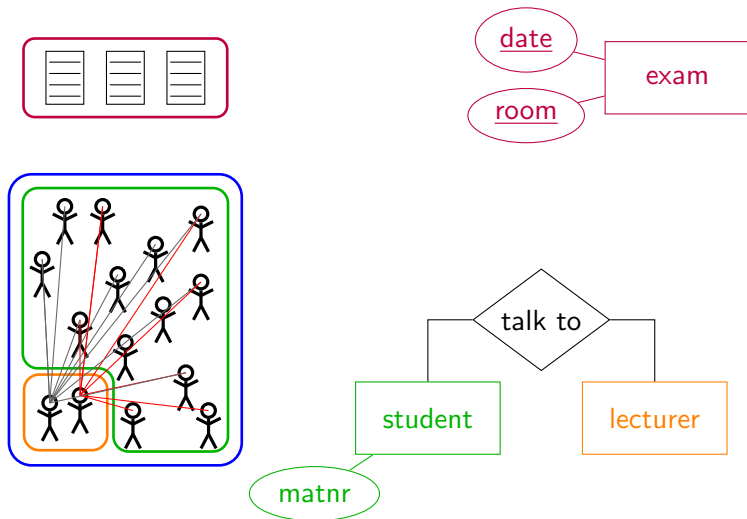
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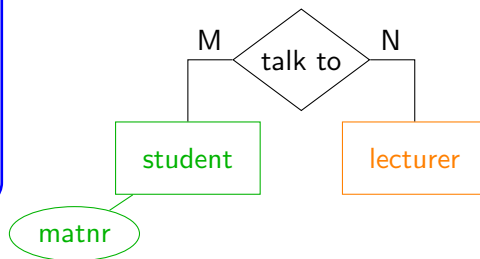
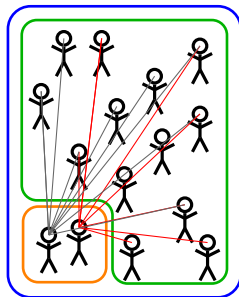
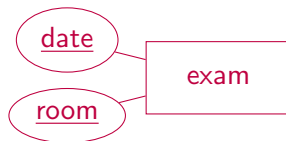
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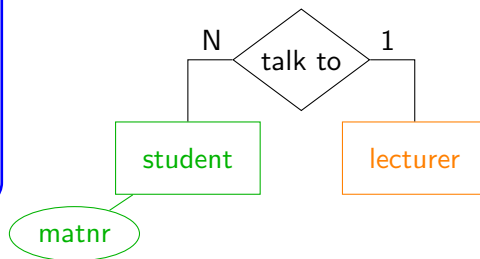
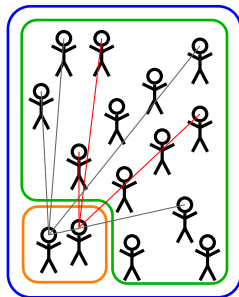
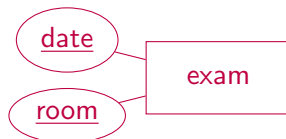
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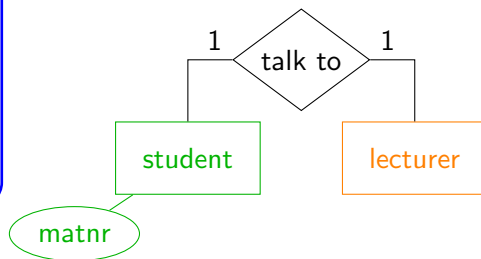
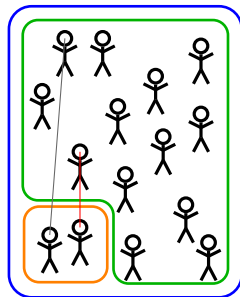
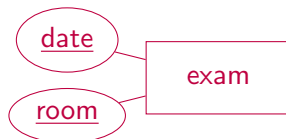
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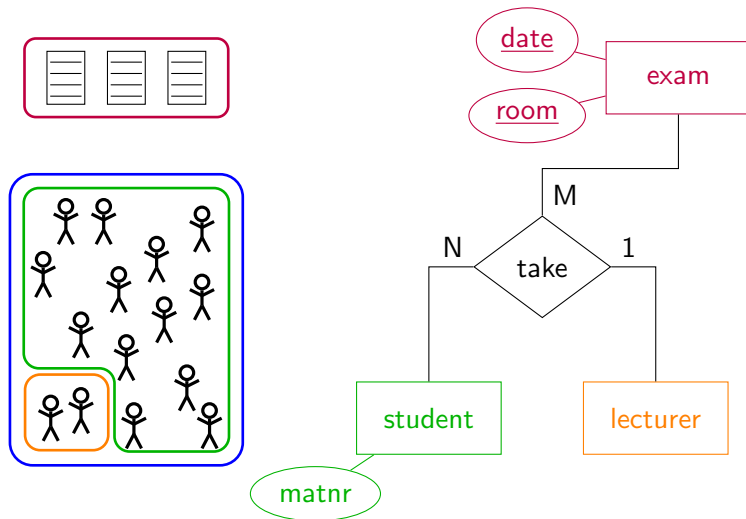
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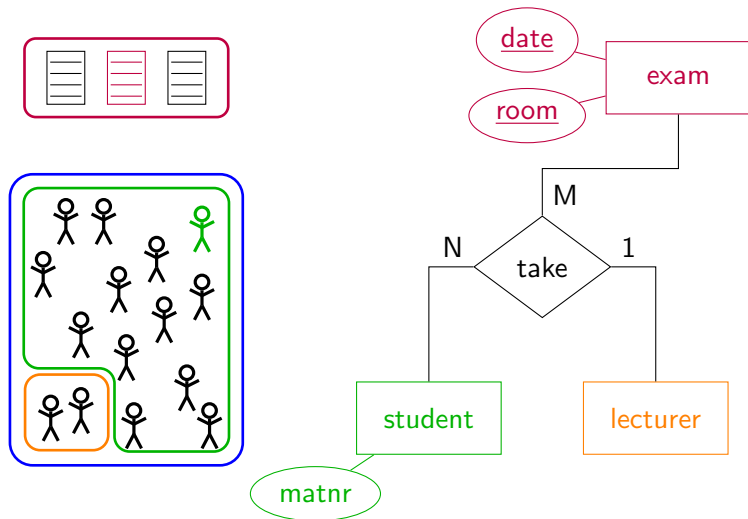


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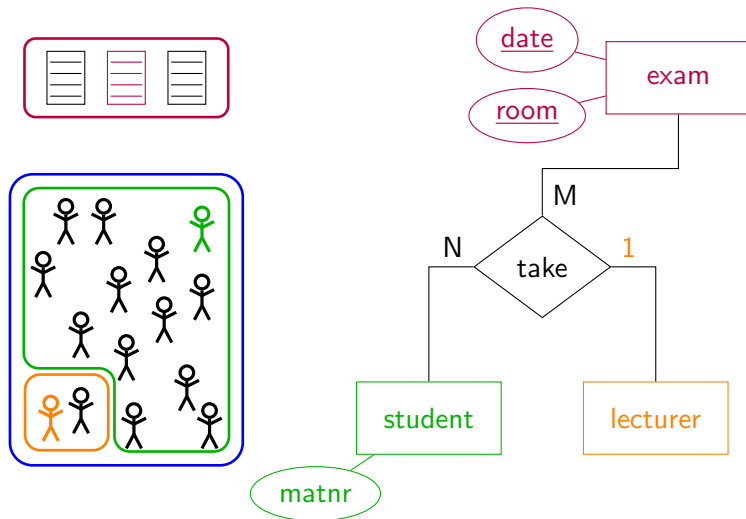




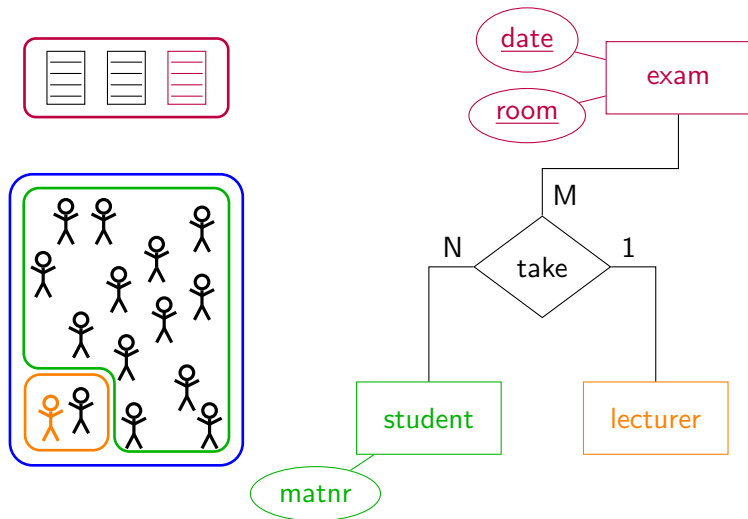
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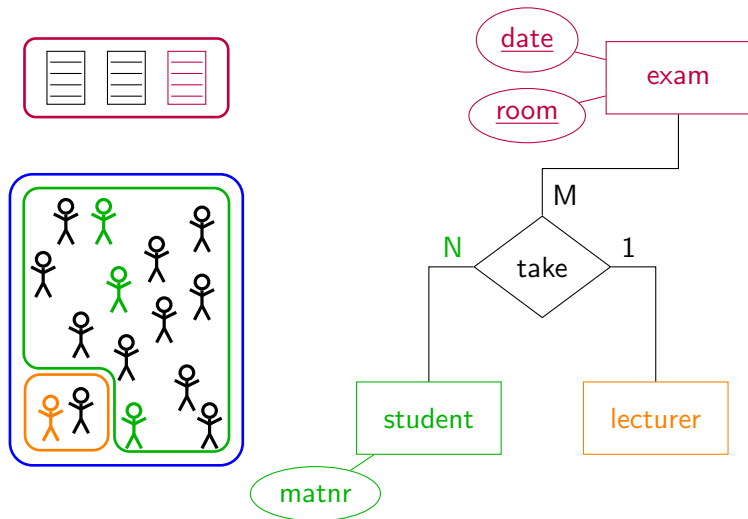
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# Conceptual Design Through ER Diagrams



# Entities and Relationships

**entities:** clearly distinguishable concepts of the world to be modelled

**relationships:** link several entities

## Example

- entities:**
- student Müller, Maier, Alice, Bob
  - lectures Data Modelling, Data Base Systems
  - exams DM 2.2.2016, DBS 12.12.2015

- relationships:**
- Müller attends Data Modelling, Maier attends Data Base Systems
  - Alice takes exam DBS 12.12.2015

# Entity Types and Relationship Types

entities and relationships are abstracted to **homogeneous types**

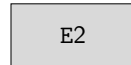
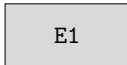
→ describe types and their relationships in the ER diagram

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**entity types**: rectangles



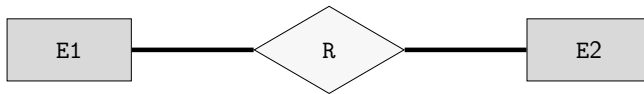
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**entity types:** rectangles

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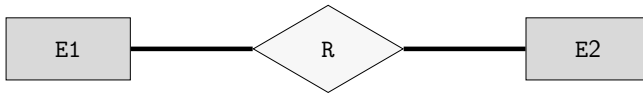
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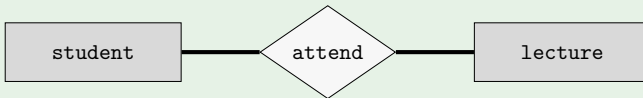
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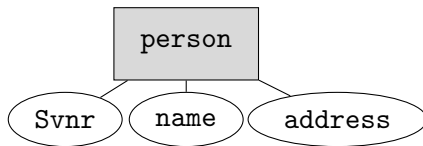


## Example



# Attributes and Keys

**attributes** characterize entity and relationship types

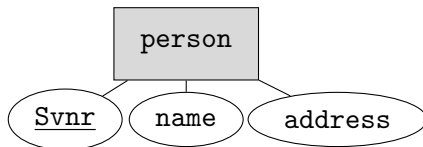


# Attributes and Keys

**attributes** characterize entity and relationship types

**keys** are a (subset-) **minimal set of attributes**, whose values **identify a unique entity** among the entities of a type

- in the ER diagram: a **key** per entity type
- attributes contained in the key are **underlined**
- in case of several candidates: **pick one key**

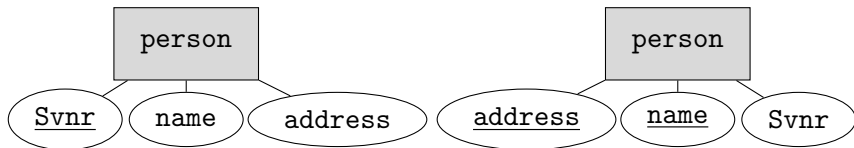


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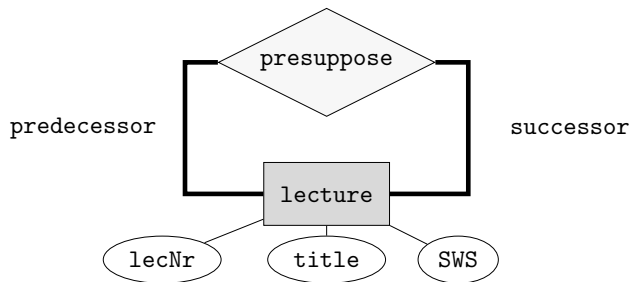
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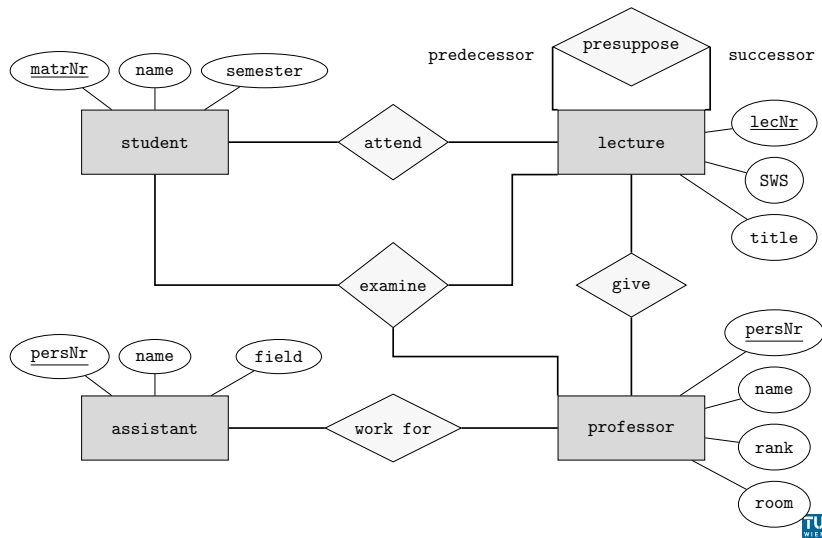


# Roles

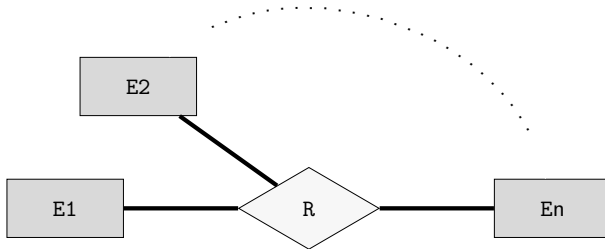
**roles** can be used to describe how entity types involved in a relationship behave



# Example: University Schema

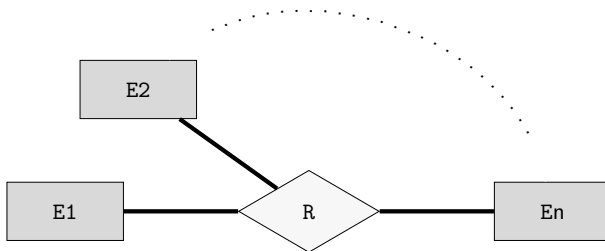


# Relationships and Relationship Types – Formally



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*n*-ary relationship: *n*-tuple of entities



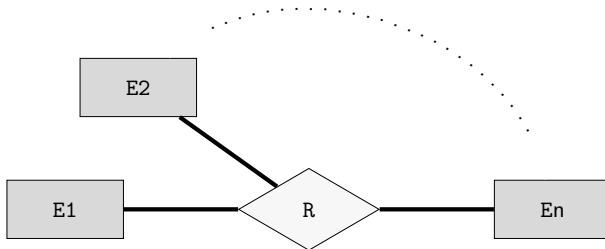


# Relationships and Relationship Types – Formally

*n*-ary relationship: *n*-tuple of entities

*n*-ary relationship type: describes a set of *n*-ary relationships

$$R \subseteq E_1 \times E_2 \times \cdots \times E_n$$



# Relationships and Relationship Types – Formally

## Example

### **relationship:**

- Alice attends Data Modelling
- Bob attends Data Base Systems
- Eve attends Data Modelling 2

# Relationships and Relationship Types – Formally

## Example

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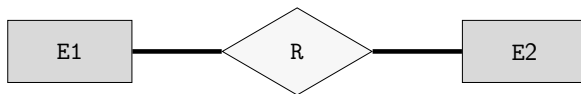
### relationship type:



$$\text{attend} \subseteq \text{student} \times \text{lecture}$$

# Cardinality of Binary Relationship Types

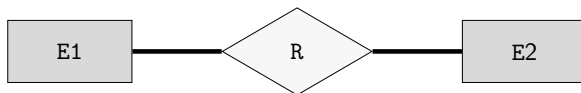
**cardinalities** describe the number of entities that can be in relationship with a specific entity



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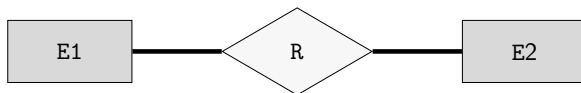


possible values:

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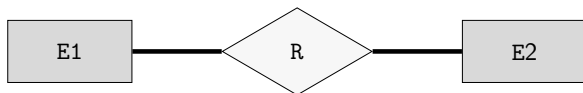


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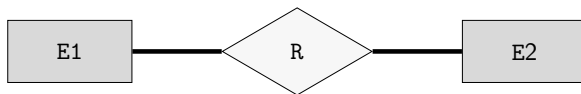


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- 1:N** each entity of type E2 **with at most one** entity of type E1, no restriction for E1

# Cardinality of Binary Relationship Types

**cardinalities** describe the number of entities that can be in relationship with a specific entity



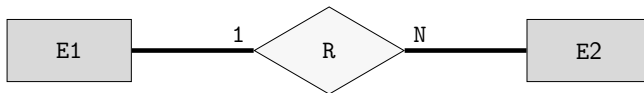
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- 1:N** each entity of type E2 **with at most one** entity of type E1, no restriction for E1
- N:M** no restrictions



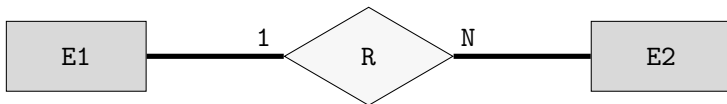
# Cardinality of Binary Relationship Types

- notation: to each entity type we add (write) a **value** (1 or M,N,...) of the cardinality of the relationship type



- **intuitive meaning:** Fix the entity of a type. The value on the side of the other entity type determines the maximal number of entities of this type that can be in relationship with the fixed entity (M,N, ... : any number).

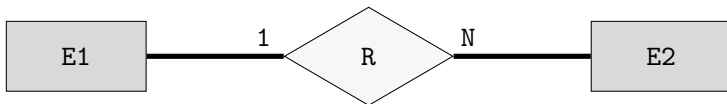
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## Example

- If we fix an entity of type  $E2$ , then it can be in relationship with at most **one** entity of type  $E1$ .

# Cardinality of Binary Relationship Types



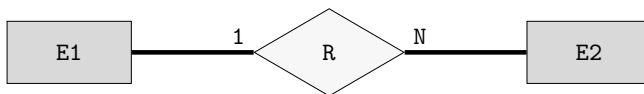
## Example

- If we fix an entity of type  $E2$ , then it can be in relationship with at most **one** entity of type  $E1$ .
- If we fix an entity of type  $E1$ , then it can be in relationship with at most **N** (any number) entities of type  $E2$ .

# Cardinalities – Formally

for cardinalities 1:1, N:1, and 1:N:

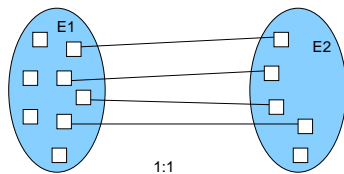
relationship type describes **partial functions** between entities



**partial:** not every entity has to be in relationship with another entity

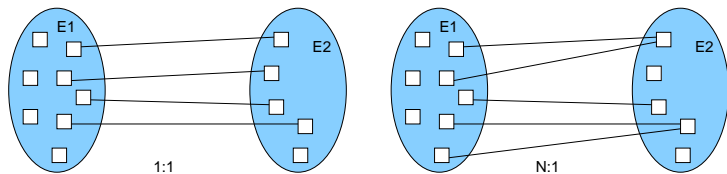
**function:** on the side “opposite of 1” every entity involved in the relationship is assigned to exactly one entity of the other type

# Partial Functions Defined by Cardinalities



$$R : E1 \rightarrow E2 \text{ resp. } R^{-1} : E2 \rightarrow E1$$

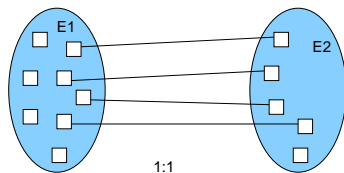
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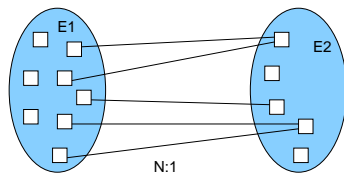
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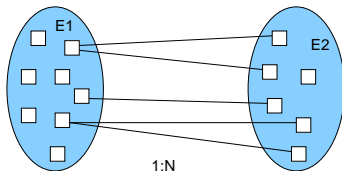
1:1

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N:1

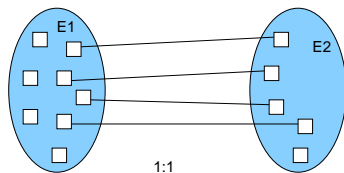
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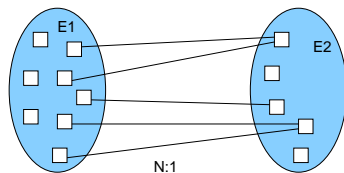
1:N

$$R : E2 \rightarrow E1$$

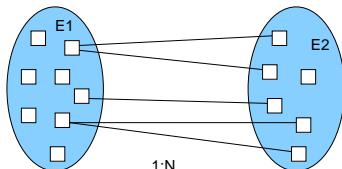
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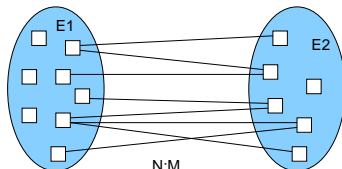
1:1

 $R : E1 \rightarrow E2 \text{ resp. } R^{-1} : E2 \rightarrow E1$ 


N:1

 $R : E1 \rightarrow E2$ 


1:N

 $R : E2 \rightarrow E1$ 


N:M

no partial function



# Cardinalities for n-ary Relationship Types

generalization of binary relationship types

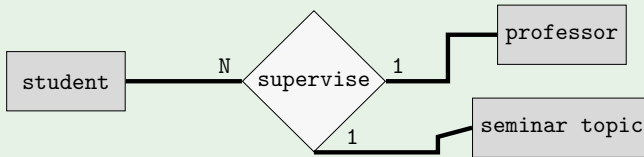
- **intuitive meaning:** if we fix an entity of **all but one** involved types, then the value on the side of the missing type determines the **maximal** number of entities of this type that can be in relationship with the  $n - 1$ -tuple of entities.
- **formally:** Let  $R$  be a relationship between several entities  $E_1, \dots, E_n$ , where the cardinality of the entity  $E_k, 1 \leq k \leq n$  is specified with “1”, then  $R$  determines the **following partial function**:

$$R : E_1 \times E_2 \times \dots \times E_{k-1} \times E_{k+1} \times \dots \times E_n \rightarrow E_k$$

# Cardinalities for n-ary Relationship Types

## Example

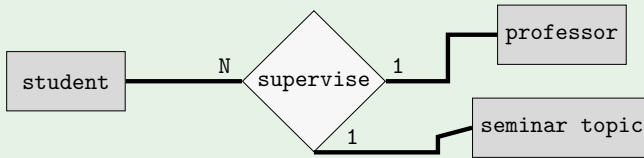
Consider the relationship type supervise between the entity types student, professor, seminar topic.



# Cardinalities for n-ary Relationship Types

## Example

Consider the relationship type `supervise` between the entity types `student`, `professor`, `seminar topic`.

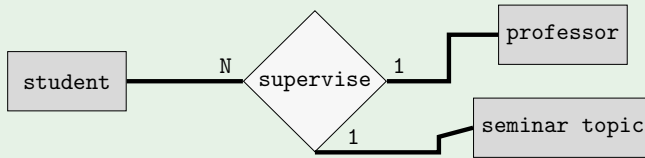


`supervise`:  $\text{professor} \times \text{student} \rightarrow \text{seminar topic}$

# Cardinalities for n-ary Relationship Types

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Consider the relationship type `supervise` between the entity types `student`, `professor`, `seminar topic`.



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# Cardinalities for n-ary Relationship Types

## Example (ctd.)

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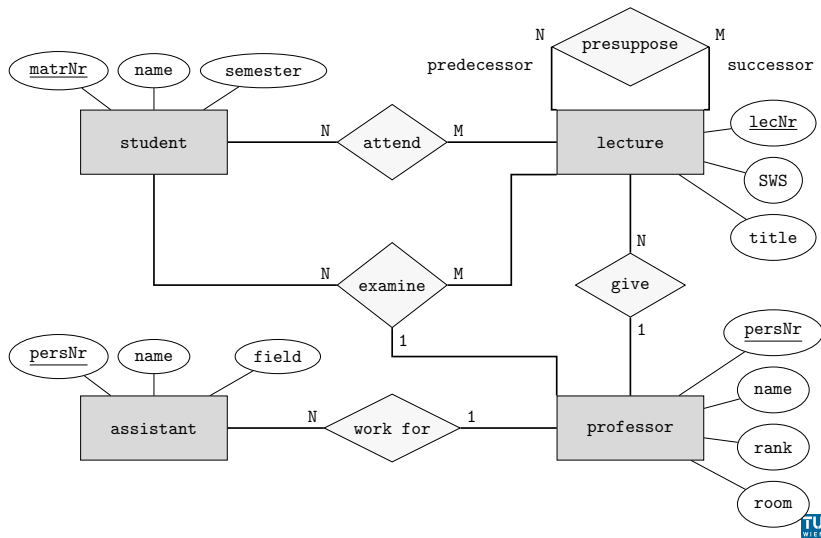
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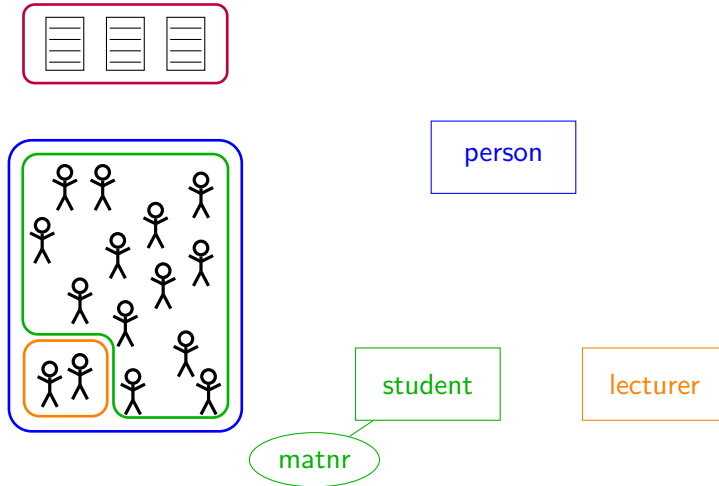
still possible:

- professors are allowed to assign the same seminar topic to several students
- a seminar topic can be assigned by several professors - but only to different students

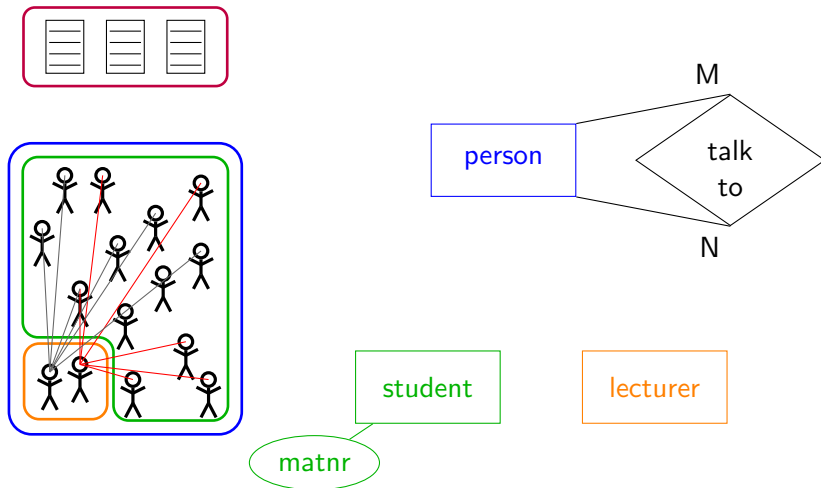
# Example: University Schema with Cardinalities



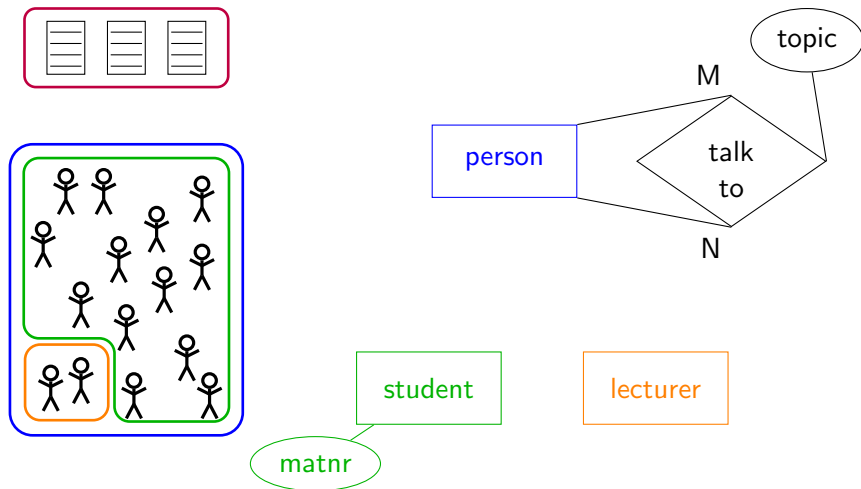
# Conceptual Design Through ER Diagrams



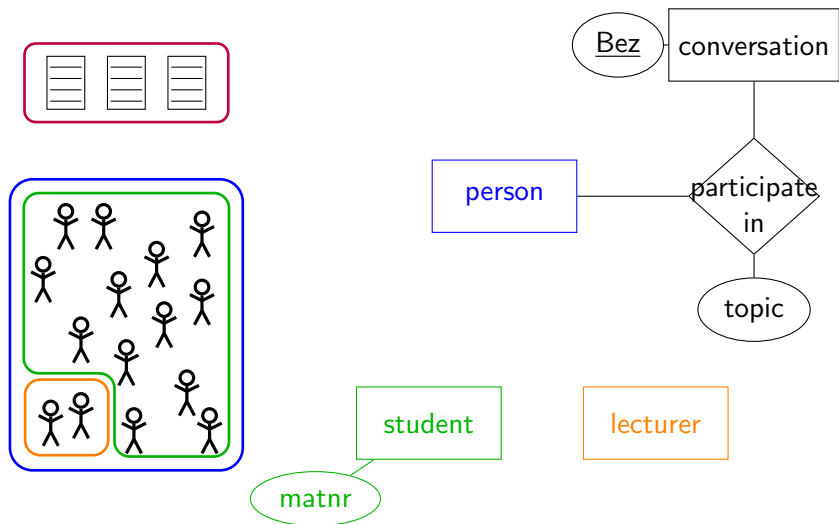
# Conceptual Design Through ER Diagrams



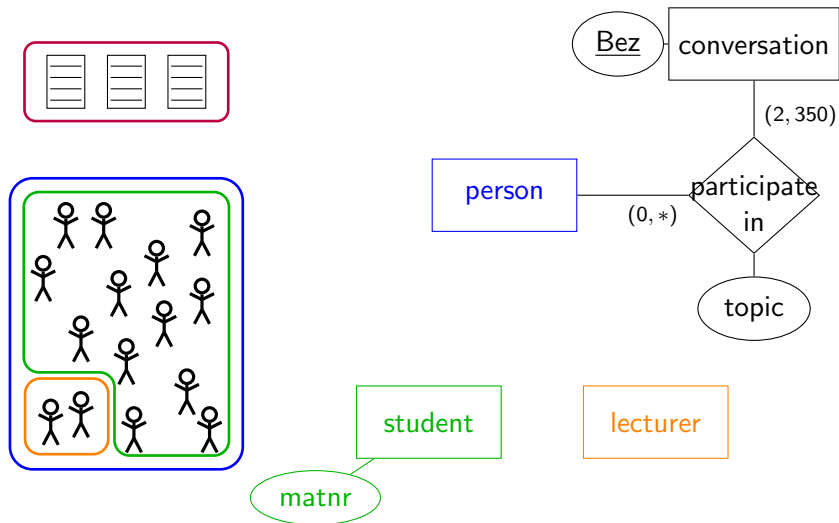
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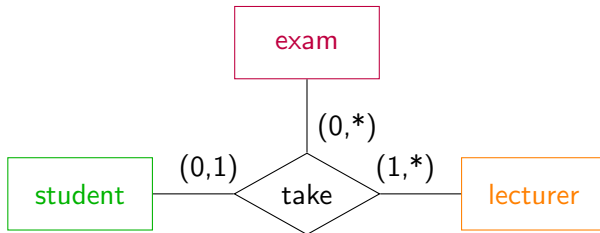




## Example: The (min,max)-Notation

*"all students take at most one exam"*

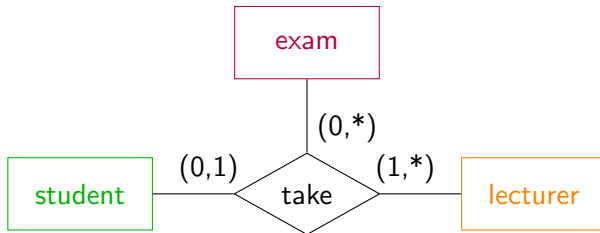
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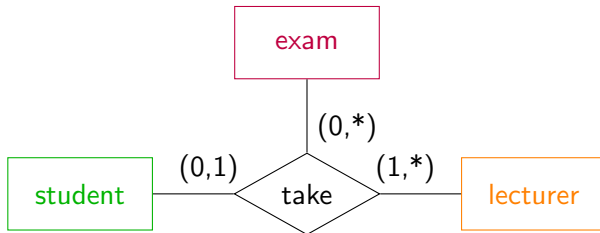
ok:

$\{ ( \text{02} \text{ stick figure}, \text{DM1 document icon}, \text{Alice stick figure} ), ( \text{42} \text{ stick figure}, \text{DM1 document icon}, \text{Bob stick figure} ), ( \text{17} \text{ stick figure}, \text{DM2 document icon}, \text{Alice stick figure} ) \}$

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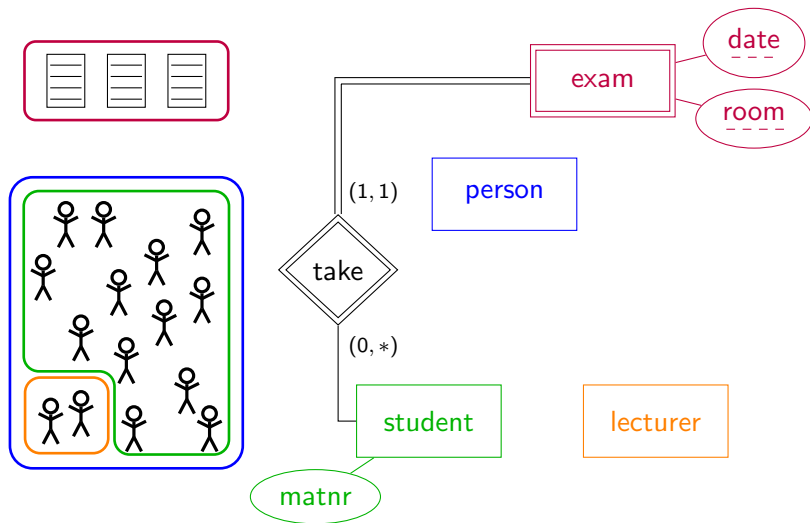
ok:



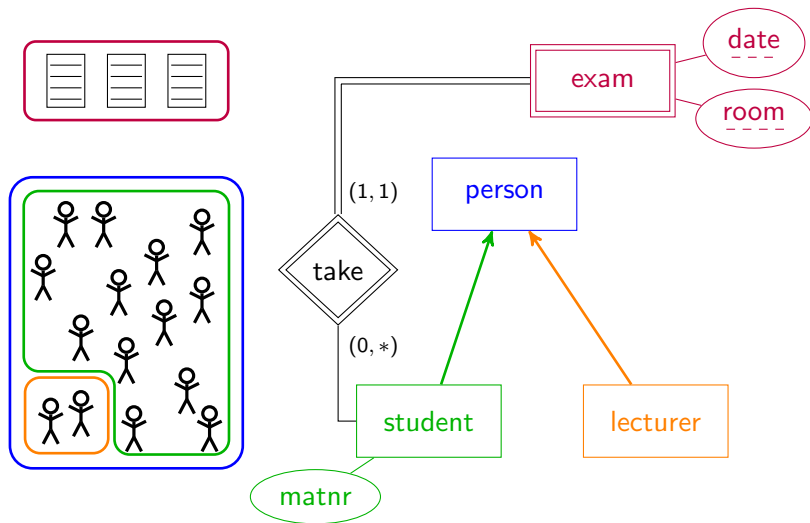
not ok:



# Conceptual Design Through EER Diagrams



# Conceptual Design Through EER Diagrams



## (min,max)-Notation

alternative notation of cardinalities and relationship types

given:

$n$ -ary relationship type  $R$  between the entity types  $E_1, \dots, E_n$

$R$  defines the relationship  $R \subseteq E_1 \times \dots \times E_i \times \dots \times E_n$

**(min,max)-notation:** determines for all entities of type  $E_i$  the **minimal/maximal** number of times they are allowed to occur in  $R$

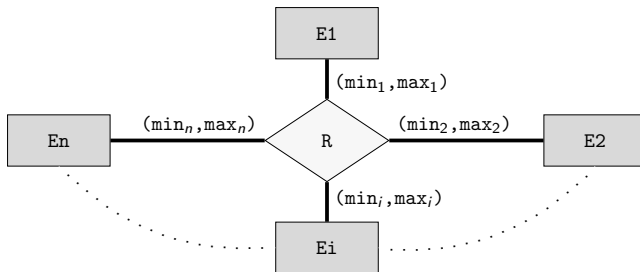
**“1:N”-notation:** determines for a specific  $n - 1$  tuple of entities the **maximal** number of entities of the remaining type it is allowed to be in relationship with

Expressive power is not comparable!

## (min,max)-Notation

given:

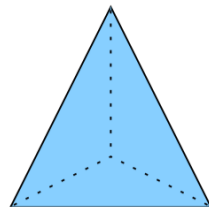
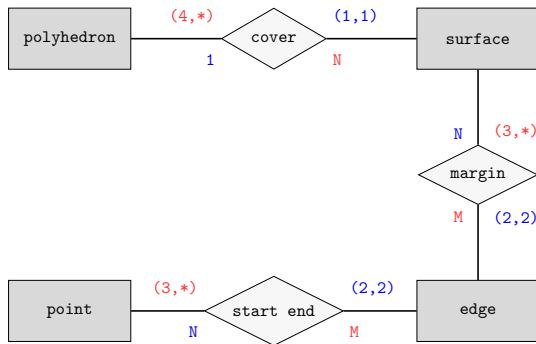
$n$ -ary relationship type  $R$  for the entity types  $E_1, \dots, E_n$ .



for every entity  $e_i$  of type  $E_i$  there is

- at least  $\min_i$  tuple of the form  $(\dots, e_i, \dots) \in R$
- at most  $\max_i$  tuple of the form  $(\dots, e_i, \dots) \in R$

## Ex: (min,max)-Notation



minimal polyhedron



# Weak Entities

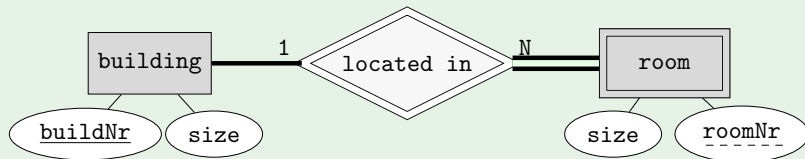
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## Example

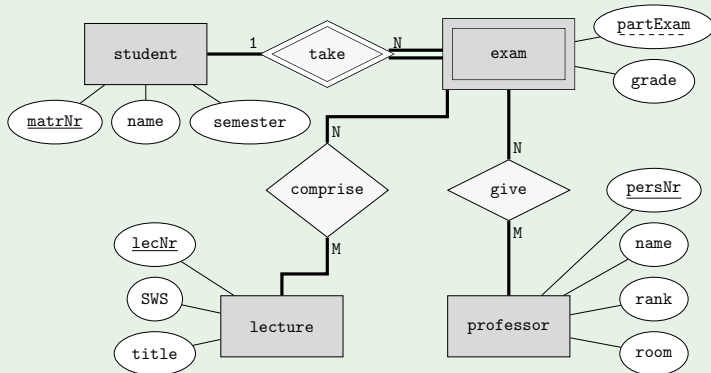
Consider a building with rooms and let the room number be unique only within the building. Then the key of the rooms is a combination of room and building number.



# Weak Entities

## Example

A diploma examination consists of several lectures, each of which is evaluated in partial exams by professors. An exam depends on the student, who takes the exam.



# Generalization or Specialization (EER)

generalization is used to classify the entity types

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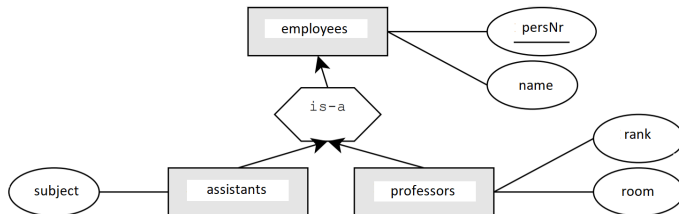
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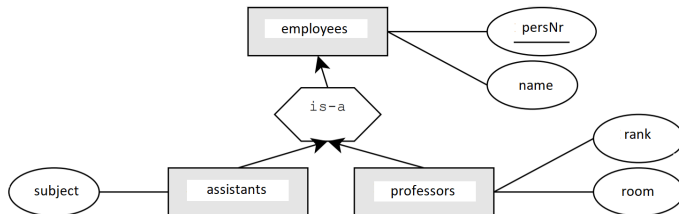
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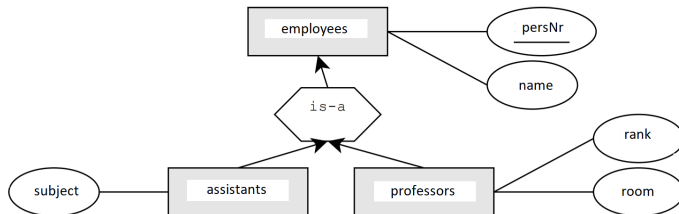
- disjoint generalization:  $U_1 \cap U_2 = \emptyset$



# Generalization or Specialization (EER)

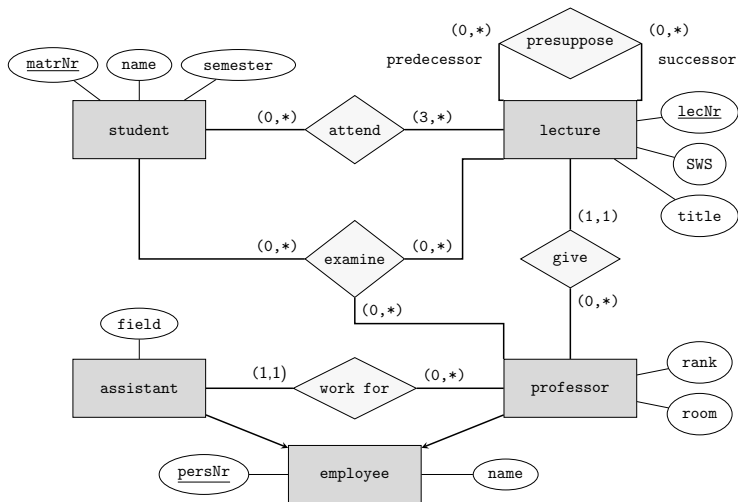
**generalization** is used to classify the entity types

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- disjoint generalization:  $U_1 \cap U_2 = \emptyset$
- complete generalization:  $U_1 \cup U_2 = P$

# Ex: University Schema with (min, max)-Notation and Generalization

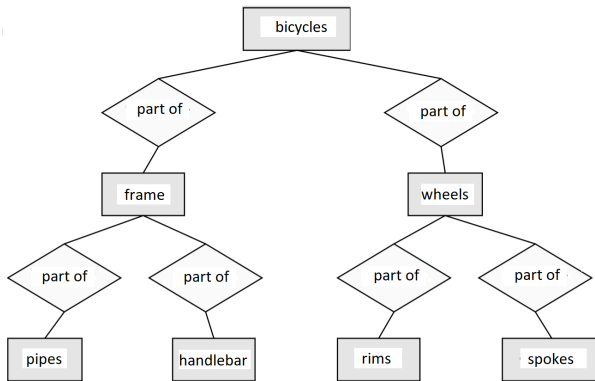


# Aggregation (EER)

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2. The Entity-Relationship (ER) Model
3. View Integration and Consolidation

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  - 3.1 Consolidation Tree
  - 3.2 Ex: Consolidation

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huge applications: requirements analysis is split in different views

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## Example (university)

possible different views:

- lecturer view
- student view
- administration view
- ....



# View Integration and Consolidation

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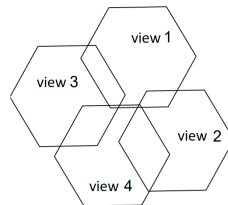
## Example (university)

possible different views:

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**consolidation:** construction of a global schema, which is redundancy-free, consistent and free from synonyms and homonyms

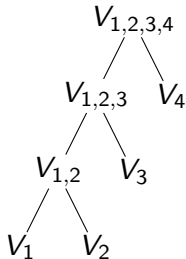
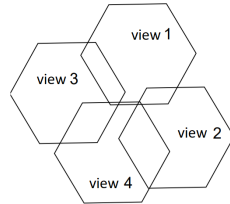
# Consolidation Tree



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possible consolidation trees are:

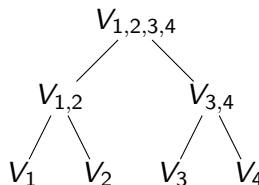
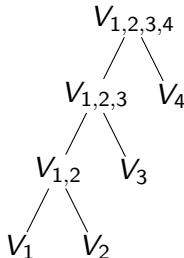
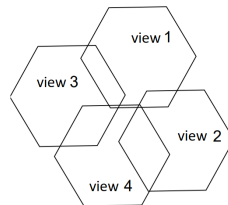
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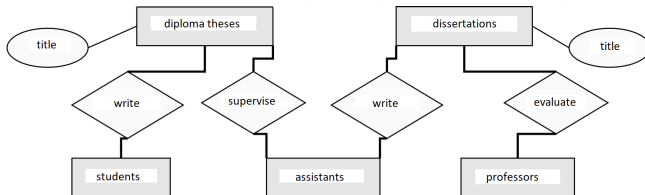
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# Ex: Consolidation

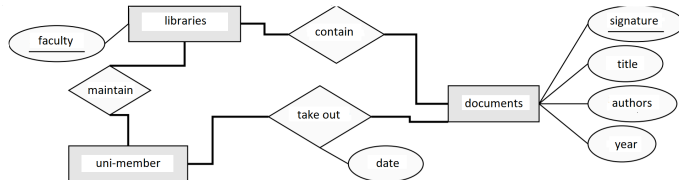
given are 3 views ...

view 1: document creation as an examination



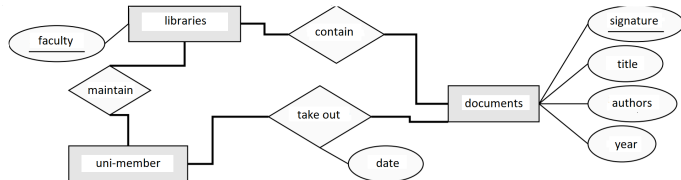
# Ex: Consolidation

## view 2: library management

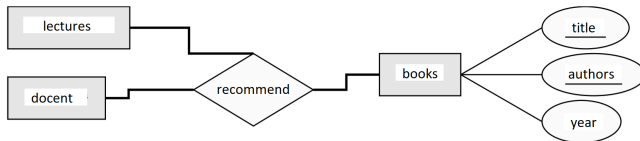


# Ex: Consolidation

## view 2: library management



## view 3: book recommendations for lectures



# Ex: Consolidation

... and the following information

- dissertations, diploma theses and books are specializations of documents of the library



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- relationships write and write in view 1 correspond to the authors of books in view 3

# Ex: Consolidation

