

The Number of Minimum k-cuts;
Beating the Karger-Stein Bound

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Joint work with

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CMU NYU

STOC 2019
6/24/2019

Introduction

minimum k-cut: delete min weight edges to cut graph
into $\geq k$ connect components

Setting: exact algorithm, k constant

Q: How fast to compute min k-cut?

Q: How many min k-cuts are there?

Prior Work

Goldschmidt - Hochbaum 1994:	$O(n^{(1/2 - o(1))k^2})$	time deterministic
Karger - Stein 1994:	$\tilde{O}(n^{2(k-1)})$	time randomized
Thorup 2008:	$\tilde{O}(mn^{2k-2})$	time deterministic
Chekuri et al. 2018:	$\tilde{O}(mn^{2k-3})$	time deterministic
This work:	$O_k(n^{(1.981 + o(1))k})$	time randomized

All of these algorithms can enumerate all min k-cuts
⇒ get corresponding extremal bound

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- This work:
All of these algorithms can enumerate all min k-cuts
 \Rightarrow get corresponding extremal bound

Lower bound: as hard as min weight k-clique: $\Omega(n^{(1-o(1))k})$

Our Approach

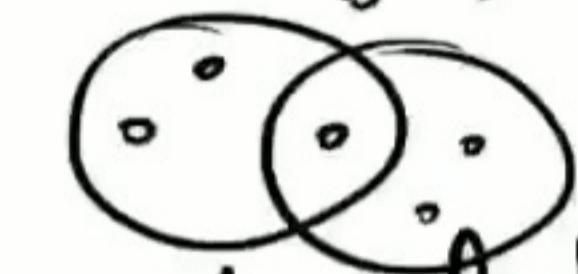
- Combines Karger-Stein's randomized contraction with Thorup's tree packing algorithm
- Makes new connection to **extremal set theory** in context of graph cut algorithms

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$\exists A, B \in \mathcal{A}$ that cross:



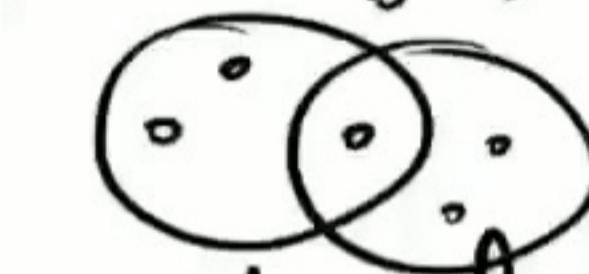
"If $|\mathcal{A}| \geq 2n$, then \mathcal{A} has dual VC dimension ≥ 2 "

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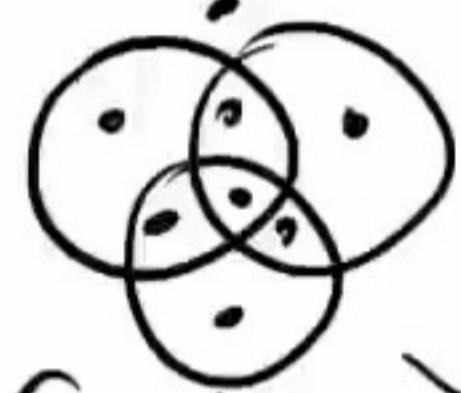
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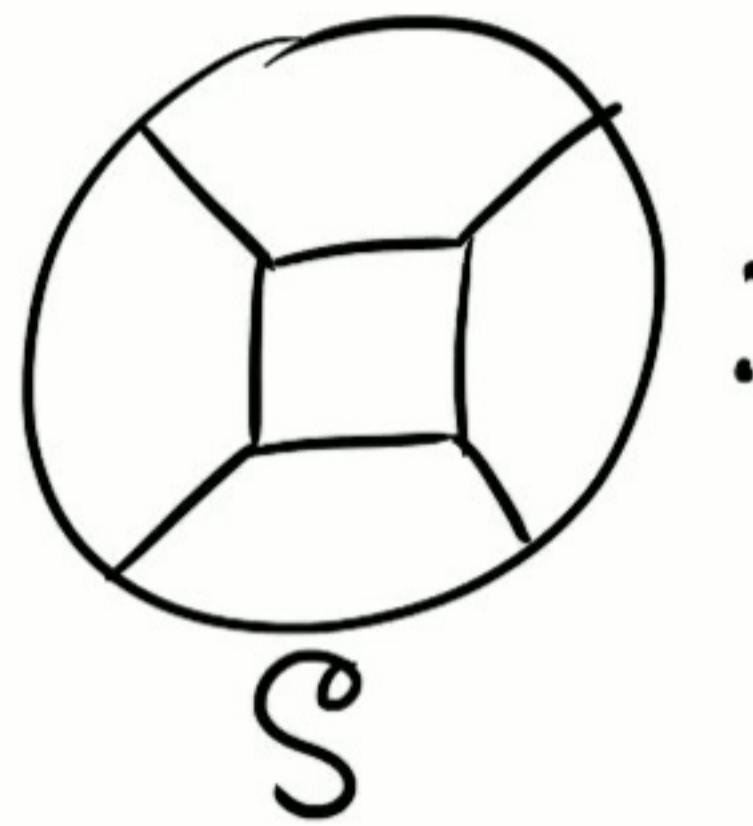
"If $|\mathcal{A}| \geq 2n$, then \mathcal{A} has dual VC dimension ≥ 2 "

[this work] If $|\mathcal{A}| \geq \Omega(n^{3.75})$, then $\exists A, B, C \in \mathcal{A}$:

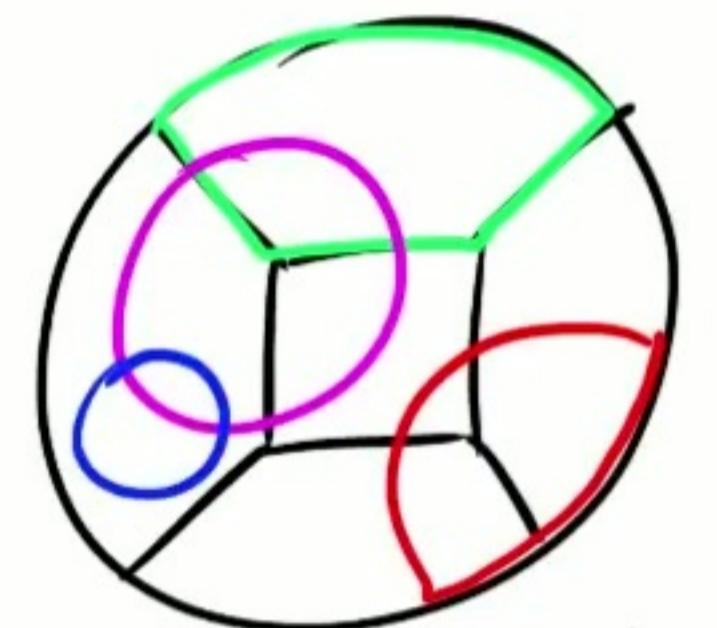
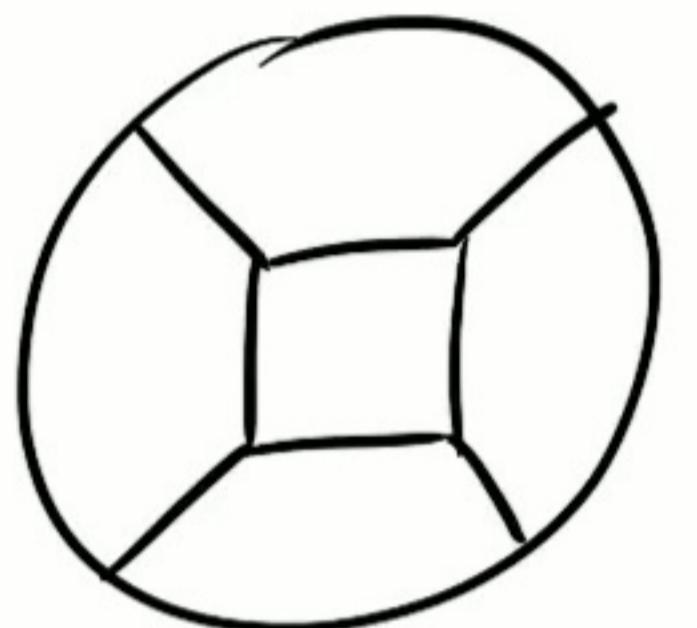


"If $|\mathcal{A}| \geq \Omega(n^{3.75})$, then \mathcal{A} has dual VC dim ≥ 3 "

Branch and Bound

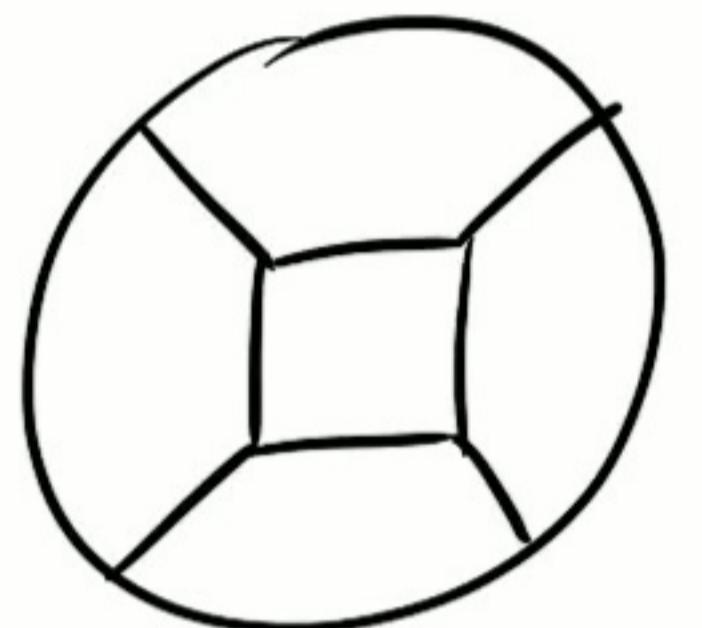


Branch and Bound

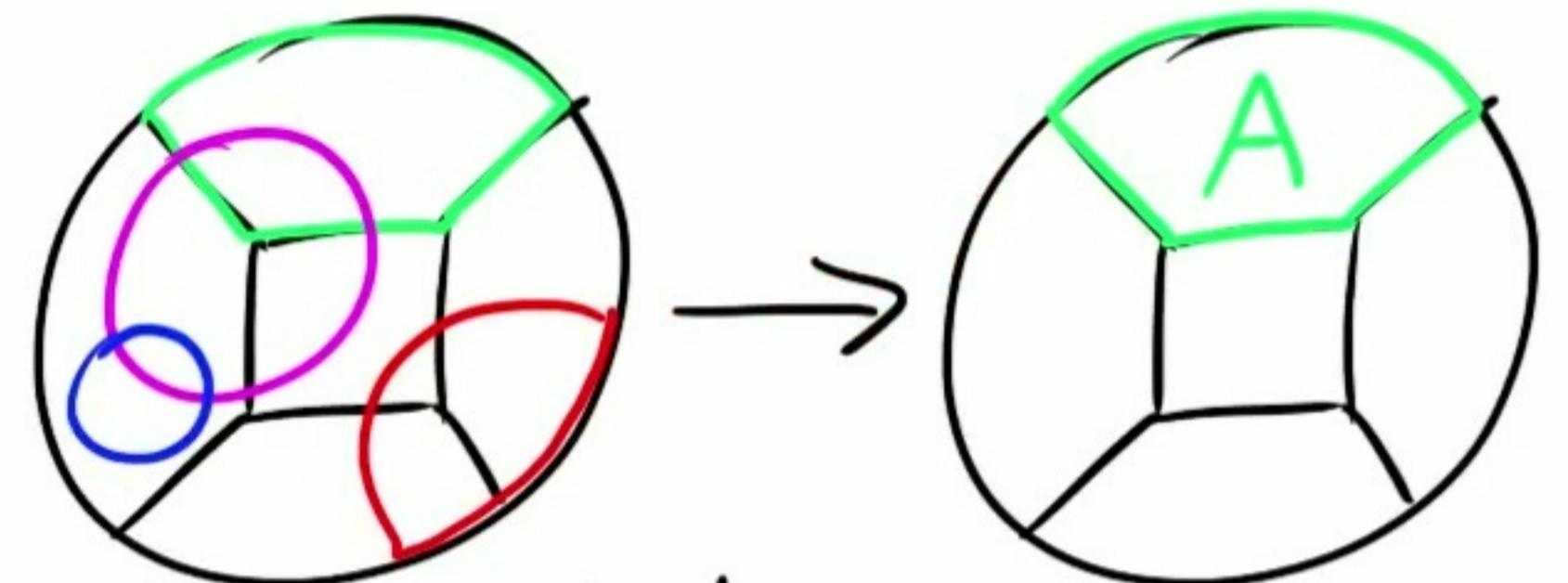


Guess a set A
of subsets of V

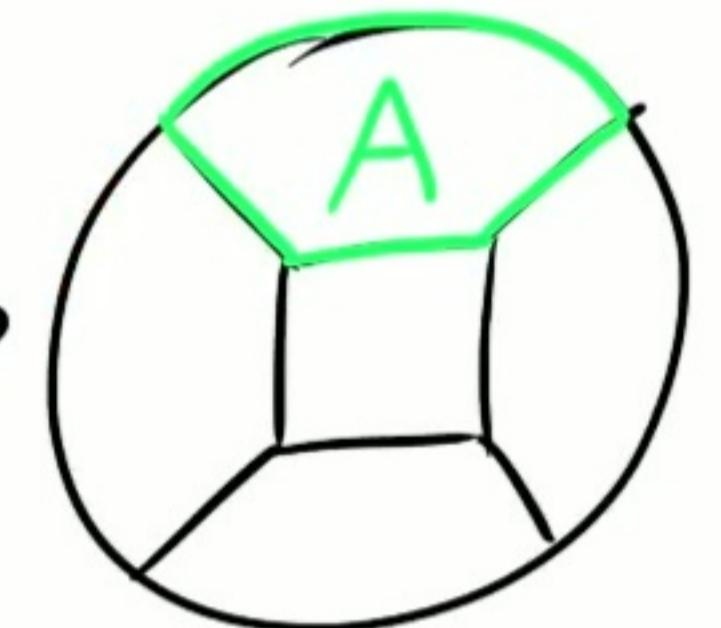
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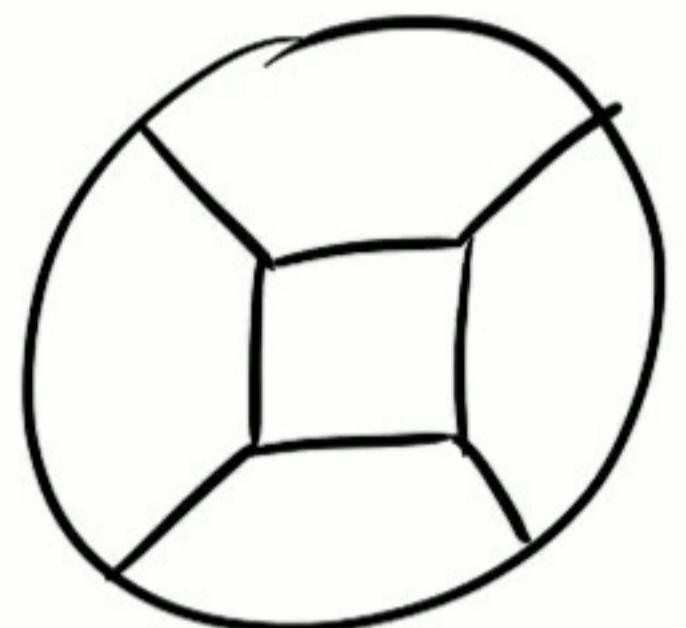


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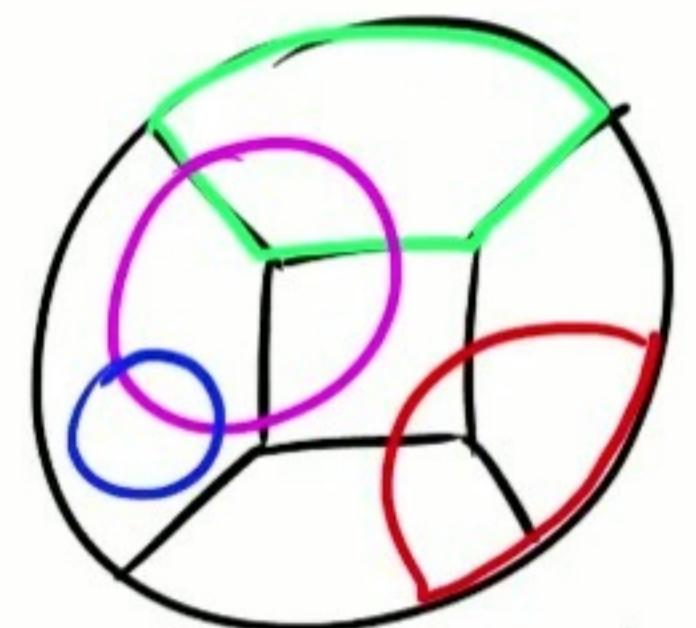


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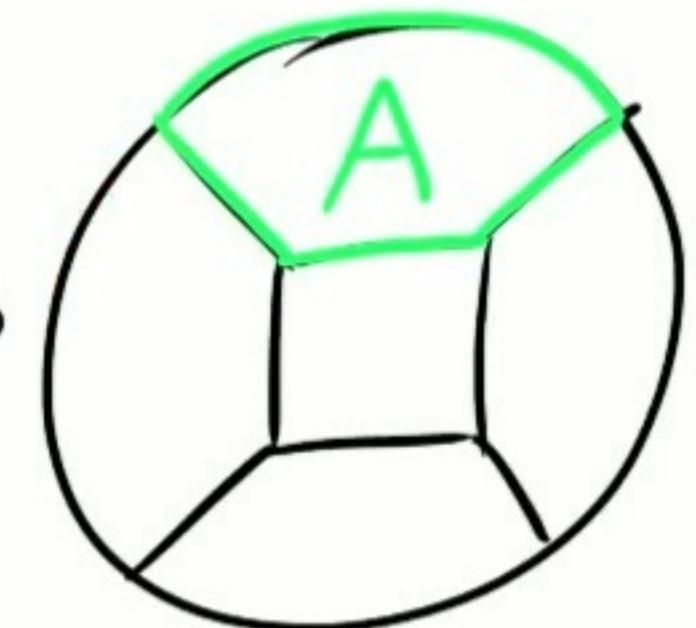
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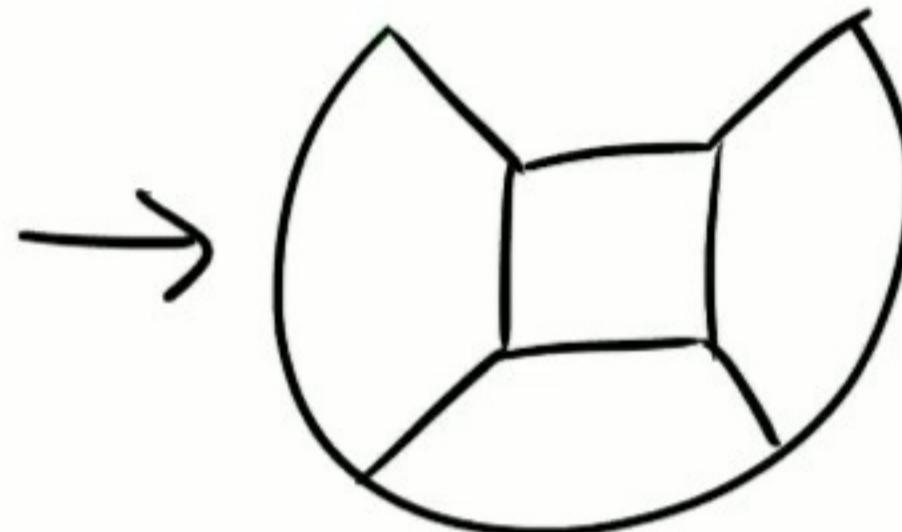
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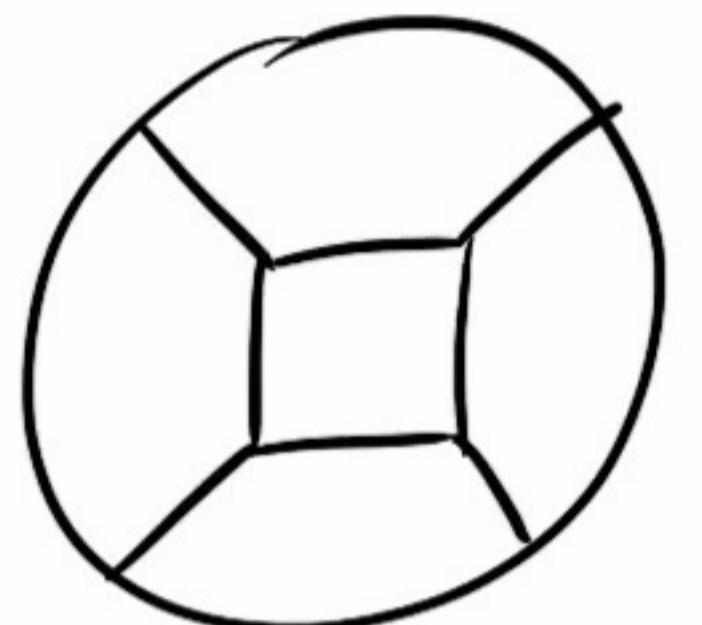


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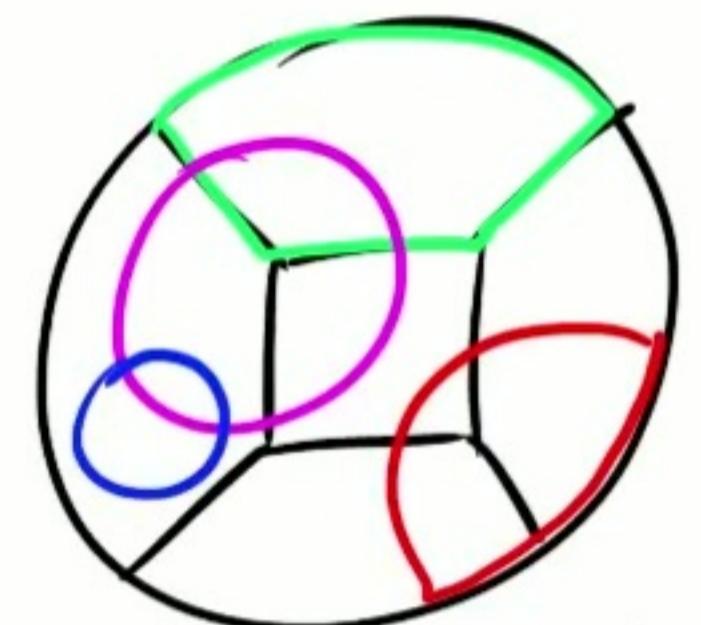


A is one component;
recurse by calling
($k-1$)-cut on $G-A$

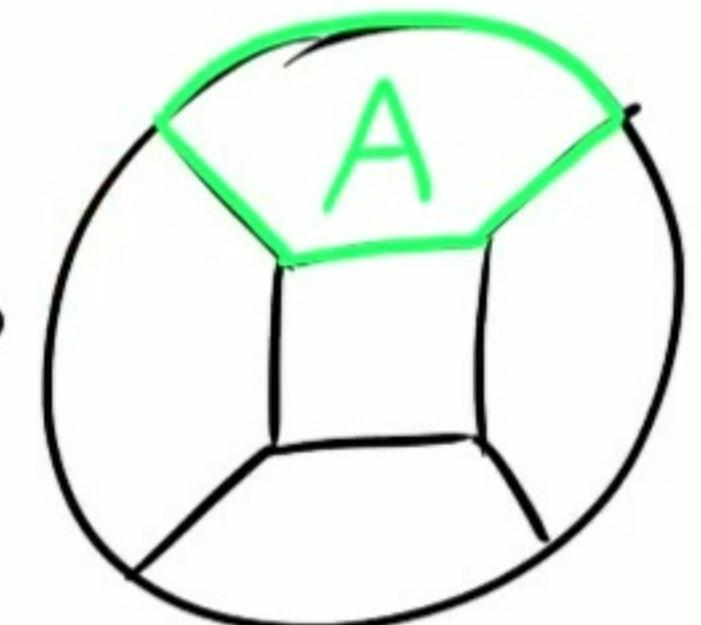
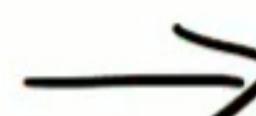
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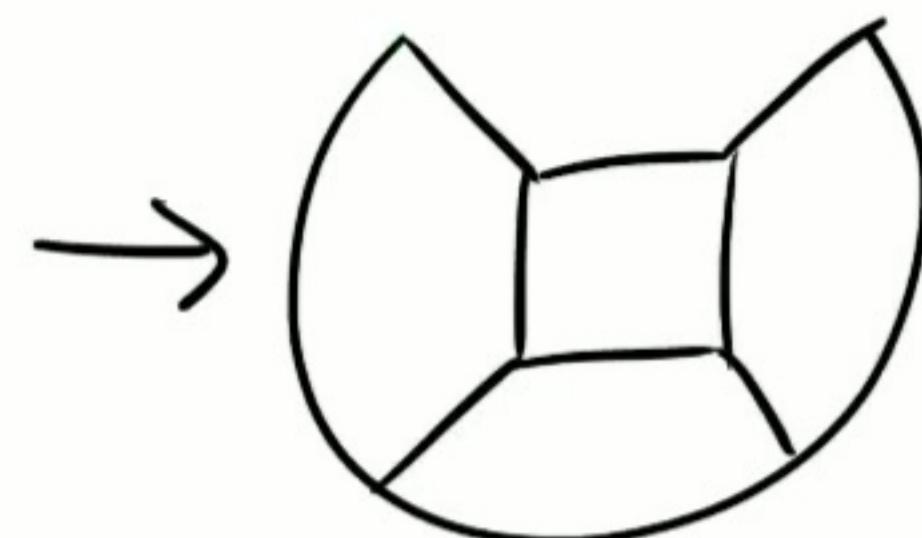
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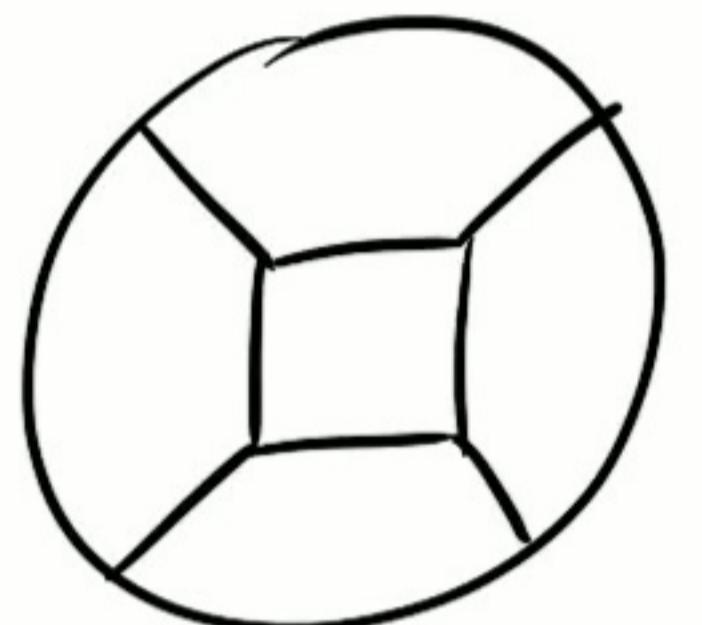
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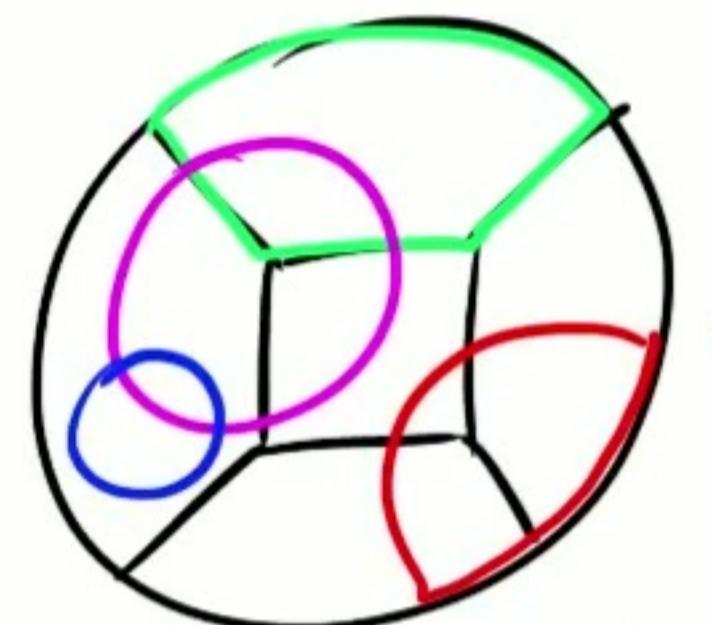
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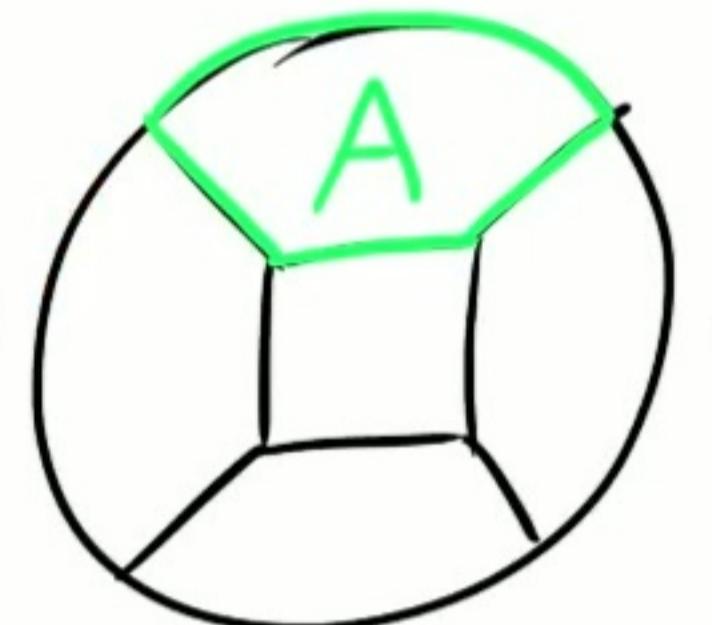
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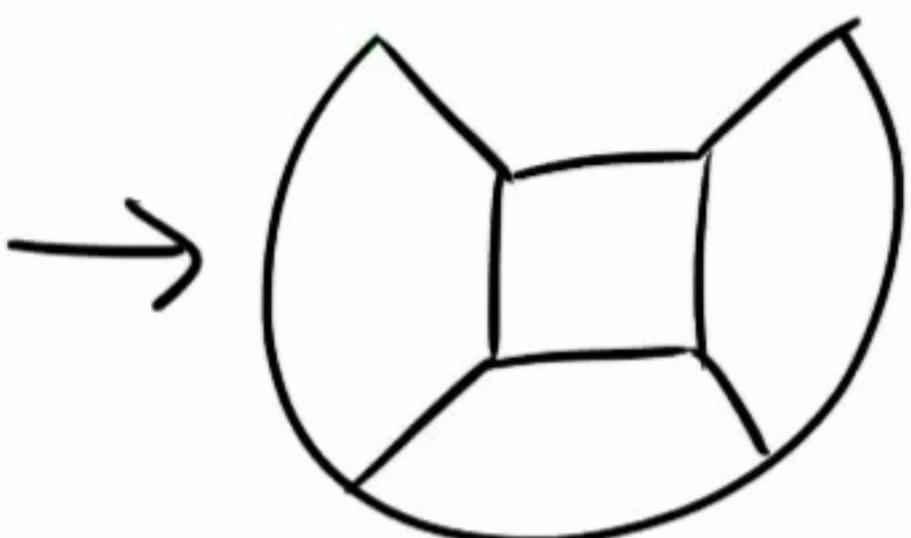
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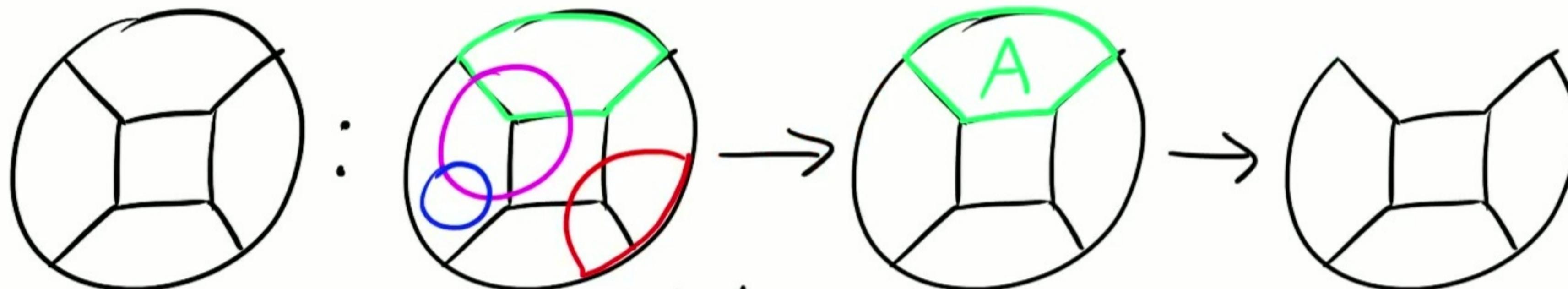
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Branch and Bound



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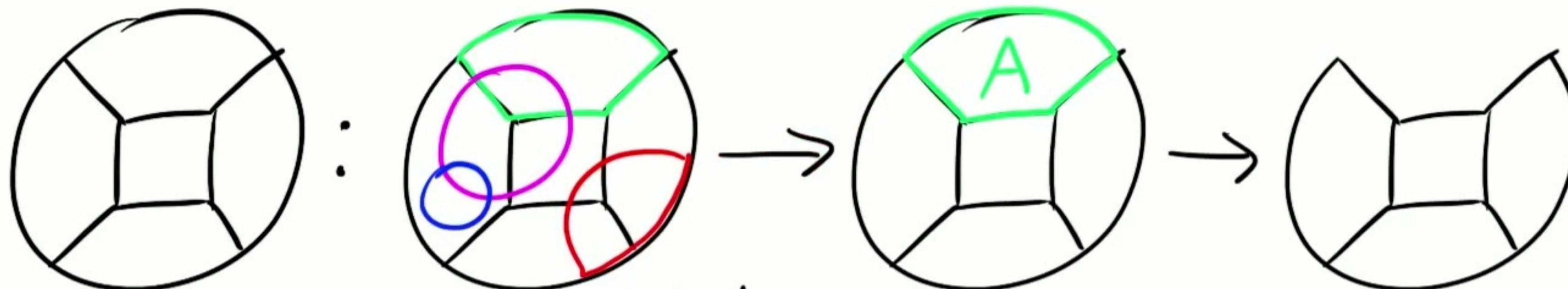
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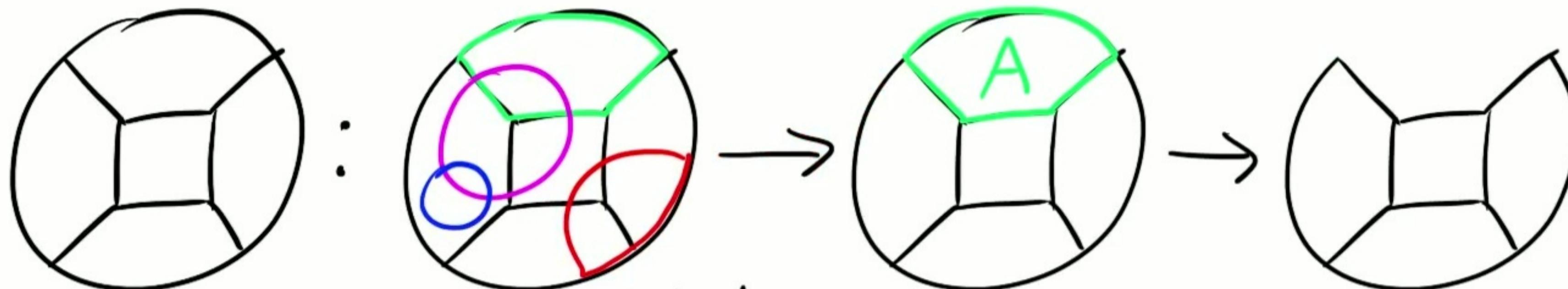
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- $T(n, k) = (\text{time to compute } A)$
 $+ |\mathcal{A}| \cdot T(n, k-1)$

Branch and Bound



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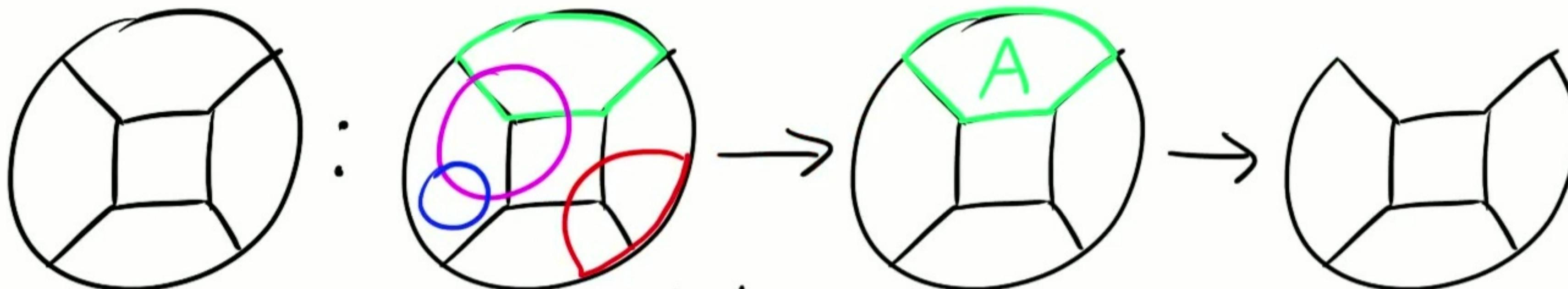
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Illustrative Example

Branch and Bound



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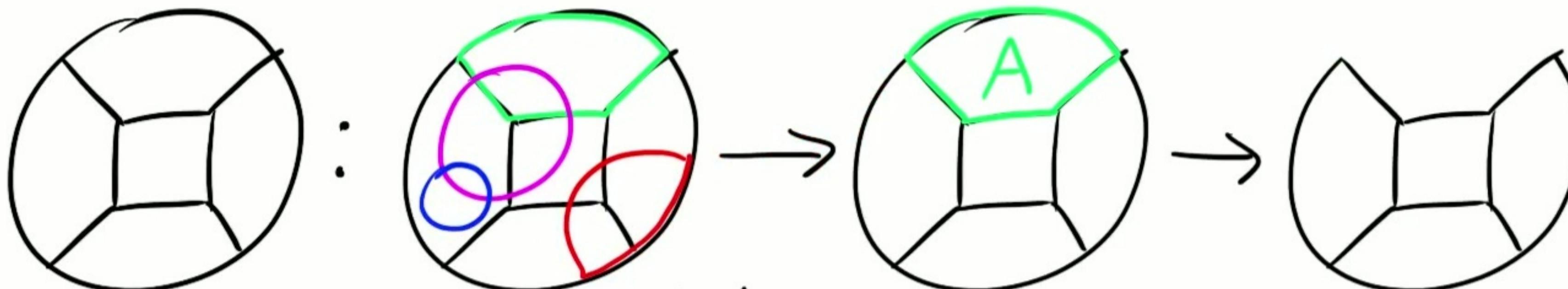
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Let $\mathcal{A}' := \left\{ A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} \text{OPT} \right\}$

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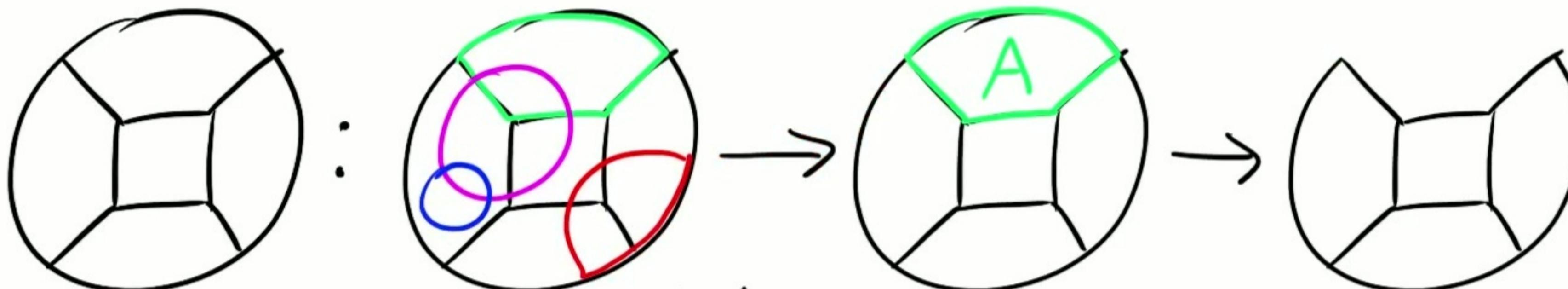
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"much smaller than average"

Note: average SES is $\frac{2}{k} \text{OPT}$, since $\sum_{S \in \text{SES}} w(\partial_G S) = 2 \text{OPT}$.

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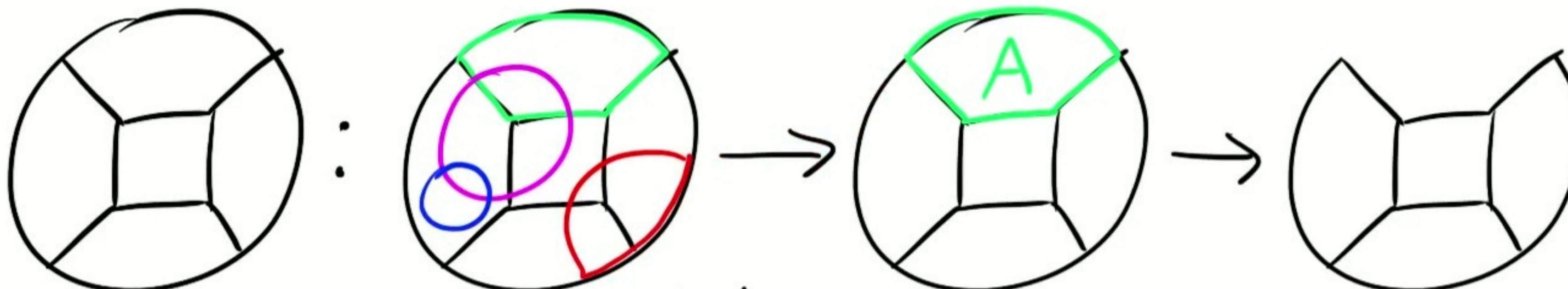
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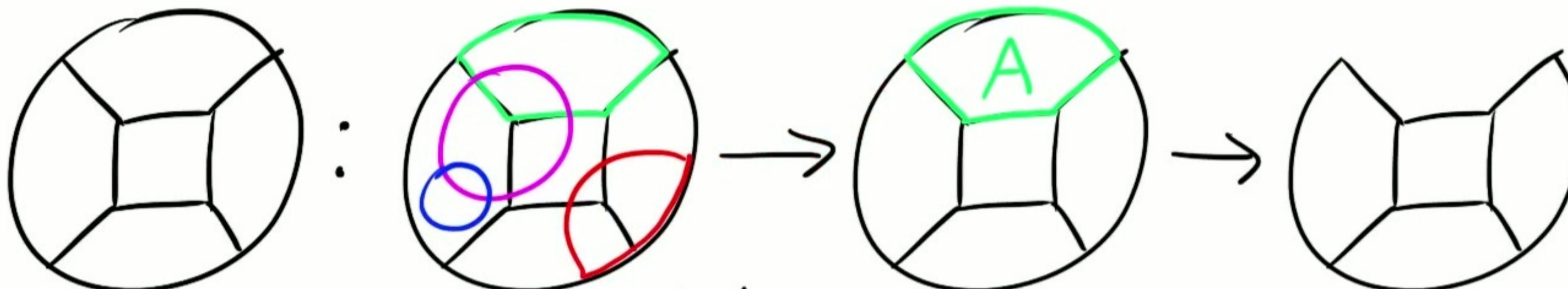
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Claim (bound):
 $|\mathcal{A}'| = O_k(n)$.

Branch and Bound



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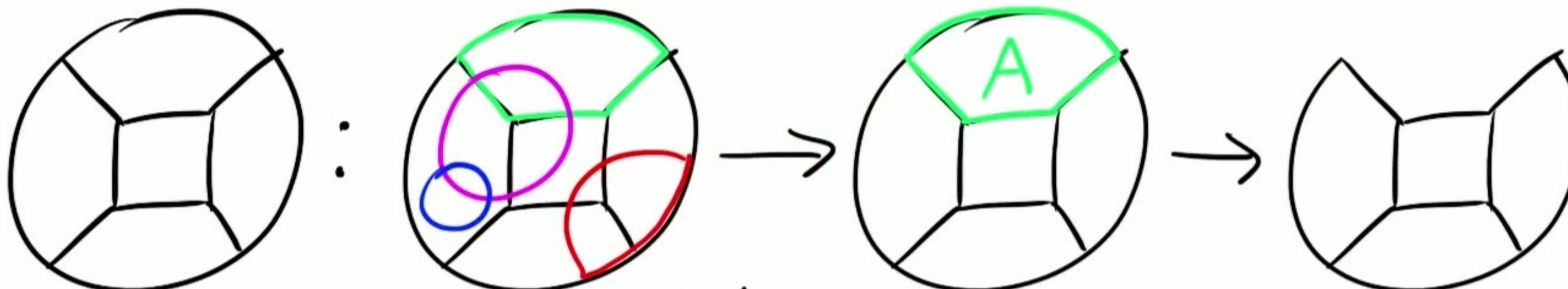
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Claim (bound): $|\mathcal{A}'| = O_k(n)$.

Claim (time): \mathcal{A}' can be computed in $\text{poly}(n)$.

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- $T(n, k) = (\text{time } \text{poly}(n) \text{ to compute } \mathcal{A}) + |\mathcal{A}| \cdot T(n, k-1)$
 $\Rightarrow O_k(n^{k+O(1)})$!

Illustrative Example

Let $\mathcal{A}' := \{A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} \text{OPT}\}$

Note: average SES is $\frac{2}{k} \text{OPT}$, since $\sum w(\partial_G S) = 2 \text{OPT}$.

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Extremal Bound

- Strategy: if $|\mathcal{A}'| \gg n$, i.e. too many cheap cuts, then can construct a k -cut solution $< OPT$, contradiction.

$$\mathcal{A}' := \left\{ A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} OPT \right\}$$

$\rightarrow |\mathcal{A}'| = O_k(n)$

\mathcal{A}' computed in polytime

Extremal Bound

- Strategy: if $|\mathcal{A}'| >> n$, i.e. too many cheap cuts, then can construct a k -cut solution $< \text{OPT}$, contradiction.
- Fact: if a set system $|\mathcal{A}| > 2n$, then there are two sets $A, B \in \mathcal{A}$ that cross.

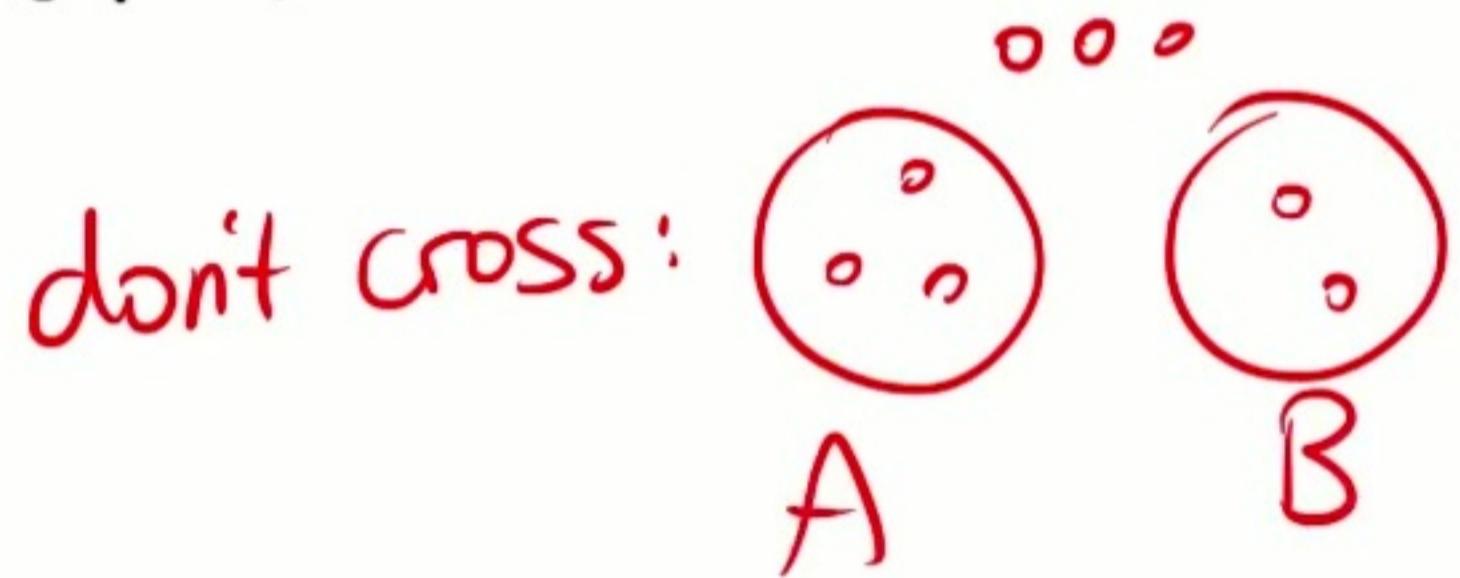
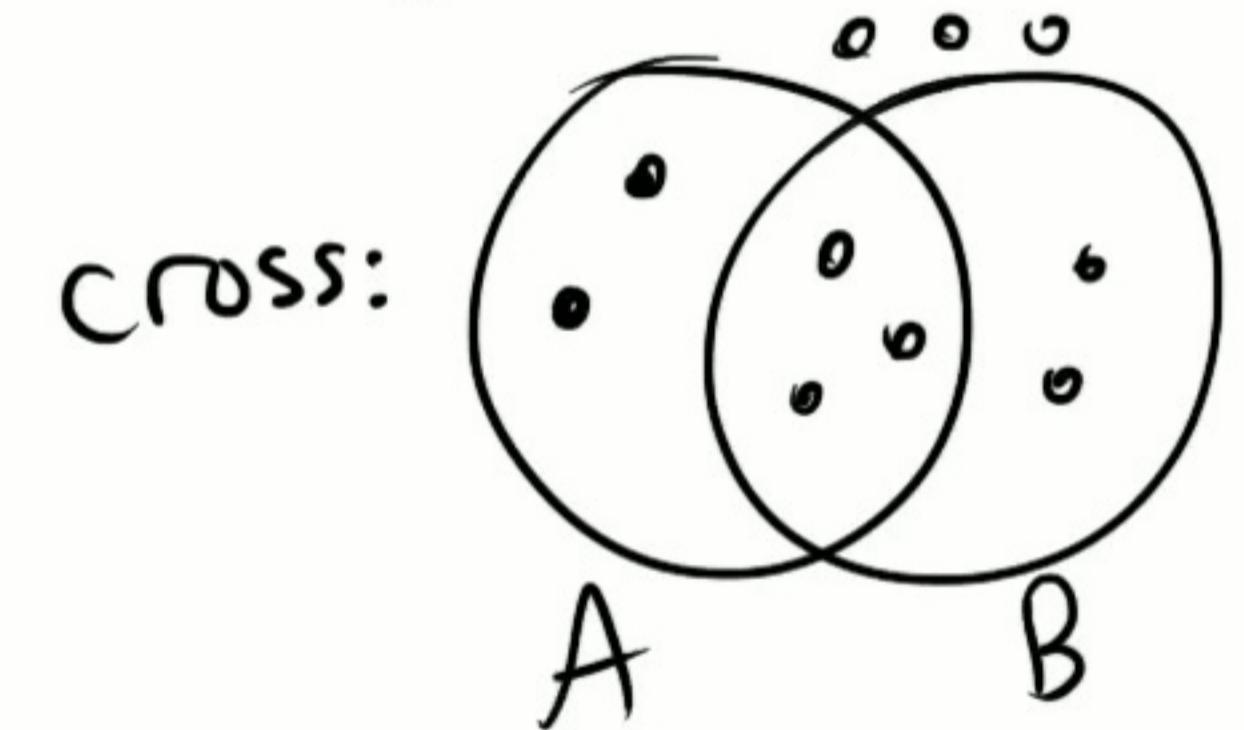
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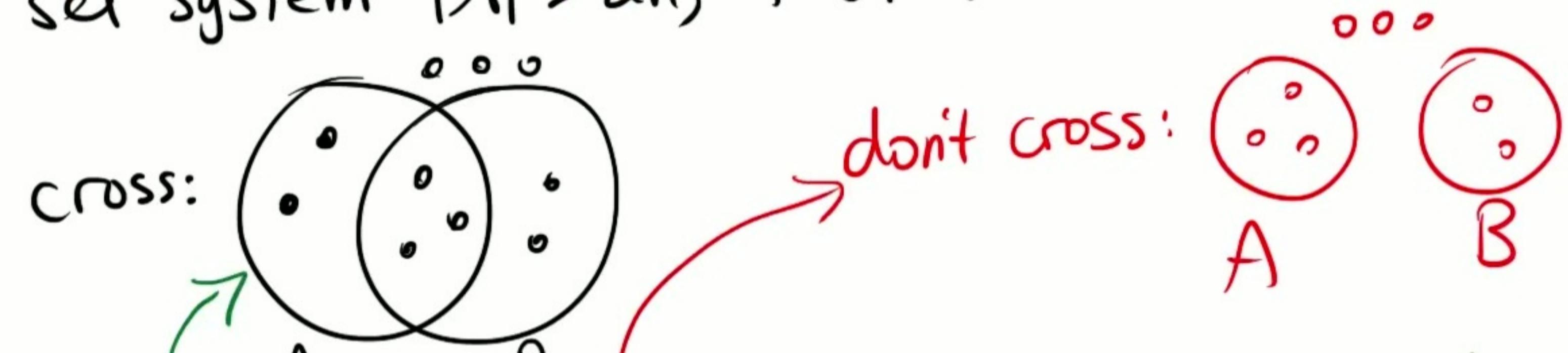
$$\begin{aligned}\mathcal{A}' &:= \left\{ A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} OPT \right\} \\ \rightarrow |\mathcal{A}'| &= O_k(n) \\ \mathcal{A}' &\text{ computed in polytime}\end{aligned}$$

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- Suppose we "cut out" A and B. (not a 3-cut) since A and B cross.
We get a 4-cut.

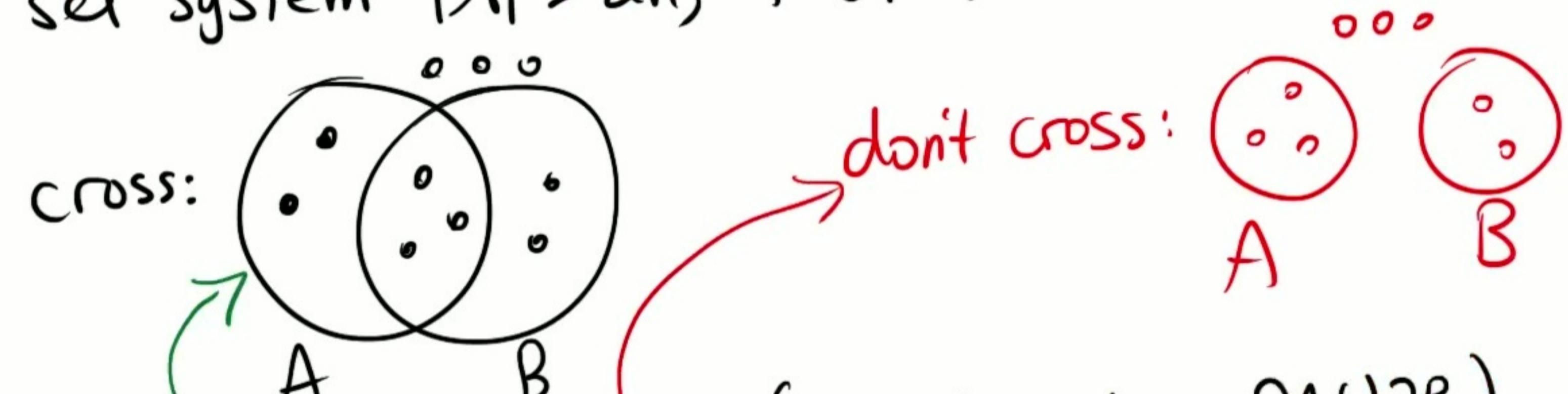
B. (cut the edges $\partial A \cup \partial B$)

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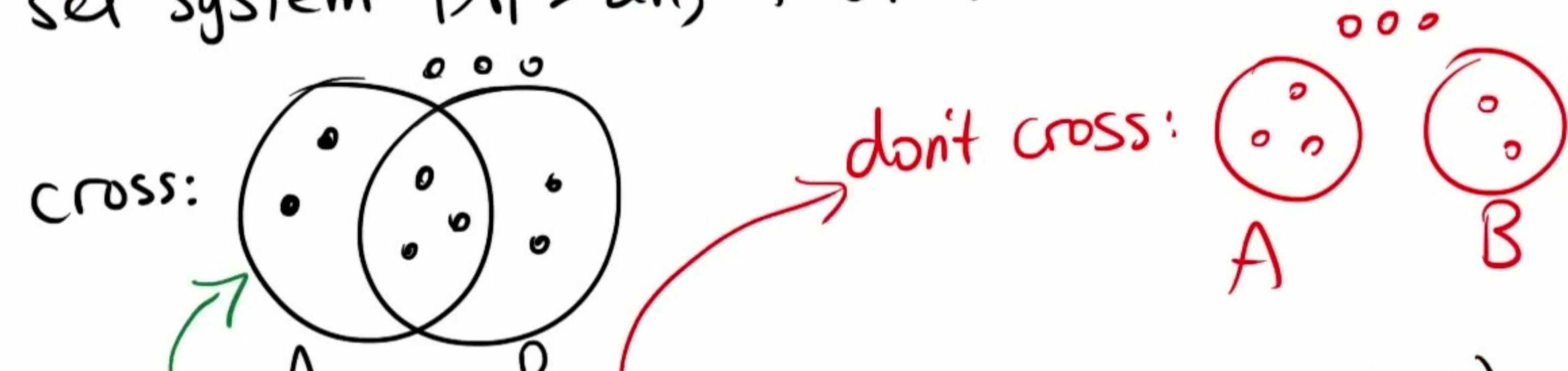
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- Suppose we "cut out" A and B. (not a 3-cut) since A and B cross. We get a 4-cut since A and B cross. B. (cut the edges $\partial A \cup \partial B$.)
- Think of it as +3 additional components for price of $\leq 2 \cdot \frac{1.49}{k} \text{OPT}$
- $\Rightarrow \frac{1-\varepsilon}{k} \text{OPT}$ price per +1 component.

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 Repeat until k comps?
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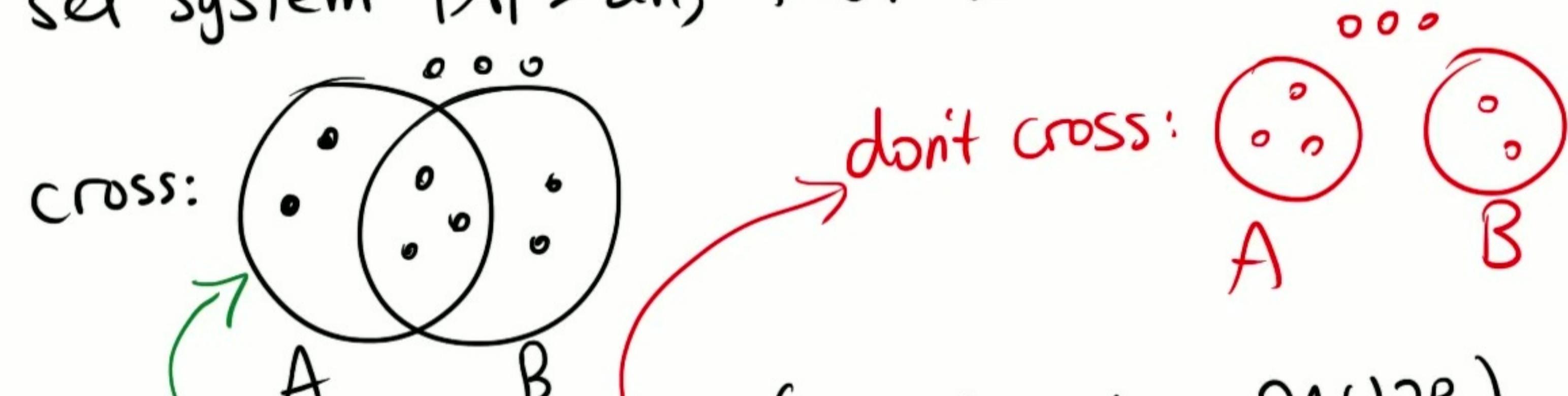
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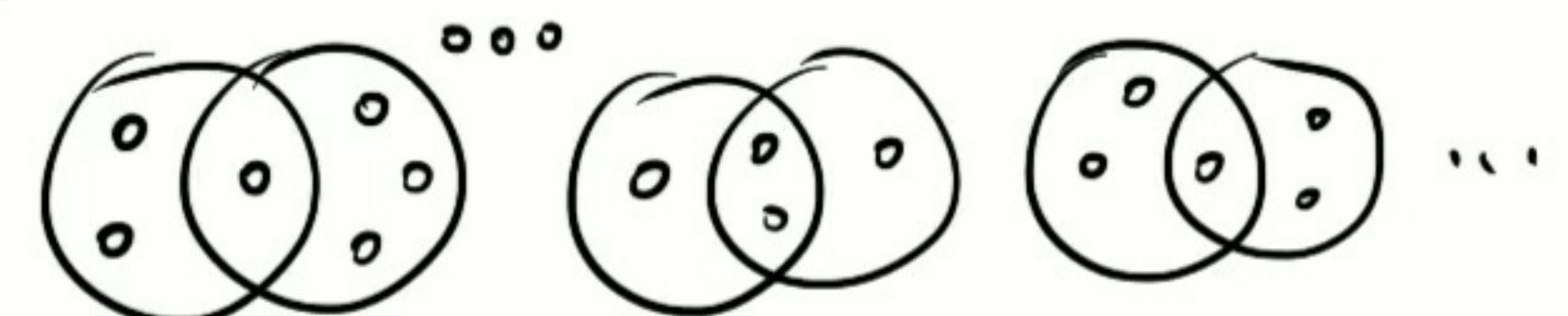
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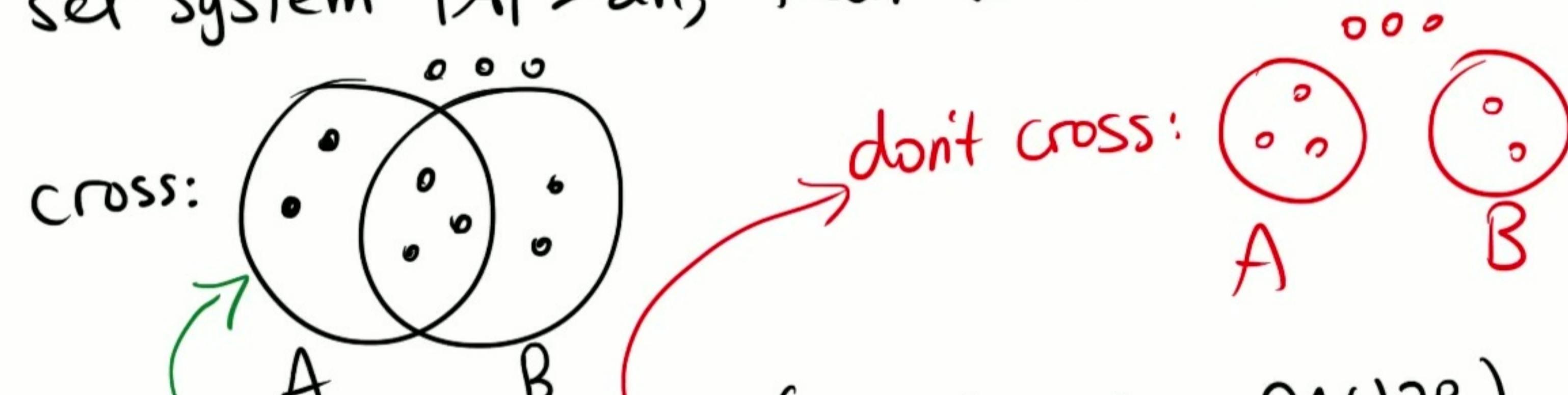
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Computing \mathcal{A}'

- Idea: modified Karger-Stein algorithm.

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$\Pr[\text{contract edge in } \partial A] = \frac{\sum_{e \in \partial A} w_e}{\sum_{e \in E} w_e}$

$$(\min k\text{-cut}) \leq (k-1) \cdot (\text{avg degree}) = (k-1) \cdot \frac{2 \sum w_e}{r}$$

$\mathcal{A}' := \{A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} \text{OPT}\}$

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$$\Pr[\text{failure: contract edge}] = \frac{w(\partial_G A)}{\sum_e w_e} \leq \frac{\frac{1.49}{k} \text{OPT}}{\sum_e w_e}$$

$$\begin{aligned} \mathcal{A}' &:= \left\{ A \subseteq V : w(\partial_G A) \leq \frac{1.49}{k} \text{OPT} \right\} \\ |\mathcal{A}'| &= O_k(n) \\ \rightarrow \mathcal{A}' &\text{ computed in polytime} \end{aligned}$$

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$$\Pr[\text{failure: contract edge}] = \frac{w(\partial_G A)}{\sum_e w_e} \leq \frac{\frac{1.49}{k} \text{OPT}}{\sum_e w_e} \leq \frac{2.98}{r}$$

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$$\Rightarrow \Pr[\text{success}] = \left(1 - \frac{2.98}{n}\right) \left(1 - \frac{2.98}{n-1}\right) \dots \geq \frac{1}{n^{2.98}}$$

- Repeat $\Theta(n^{2.98} \log n)$ times. Output the $\Theta(2^k n)$ sets with smallest boundaries found.

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What if S "balanced"?

Example: S is "perfectly balanced": $w(\partial_G S_i) = \frac{2}{k} \text{OPT}$ $\forall i$

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 solves to n^{2k} , no good!

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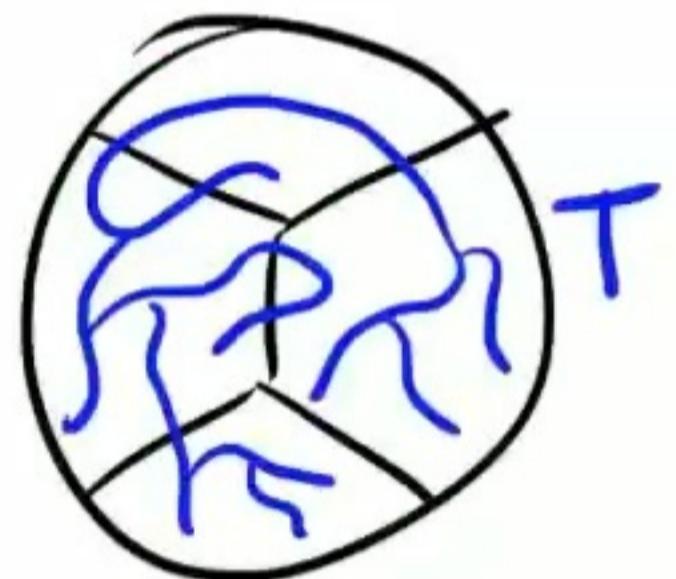
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- Progress is based on tree packing [Thorup 2008].

Thorup's Tree Packing

Thm [Thorup'08] Can compute in $\text{poly}(n)$ time a set \mathcal{T} of $\text{poly}(n)$ spanning trees of G , s.t. for any min k-cut S , there exists $T \in \mathcal{T}$ that crosses $S \leq 2k-2$ times.

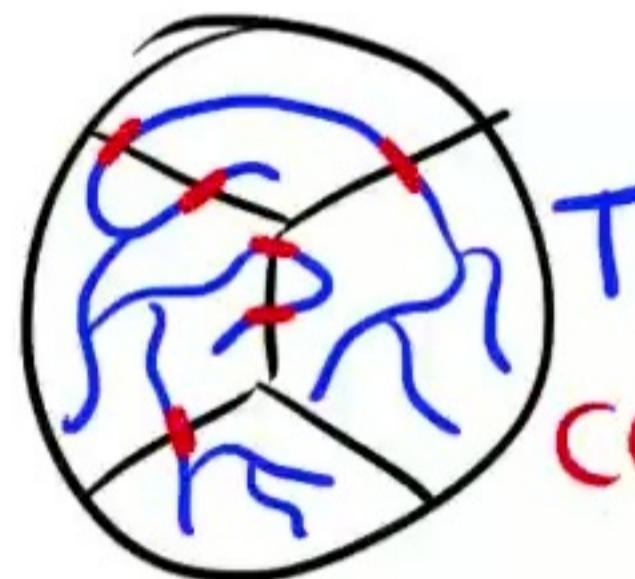
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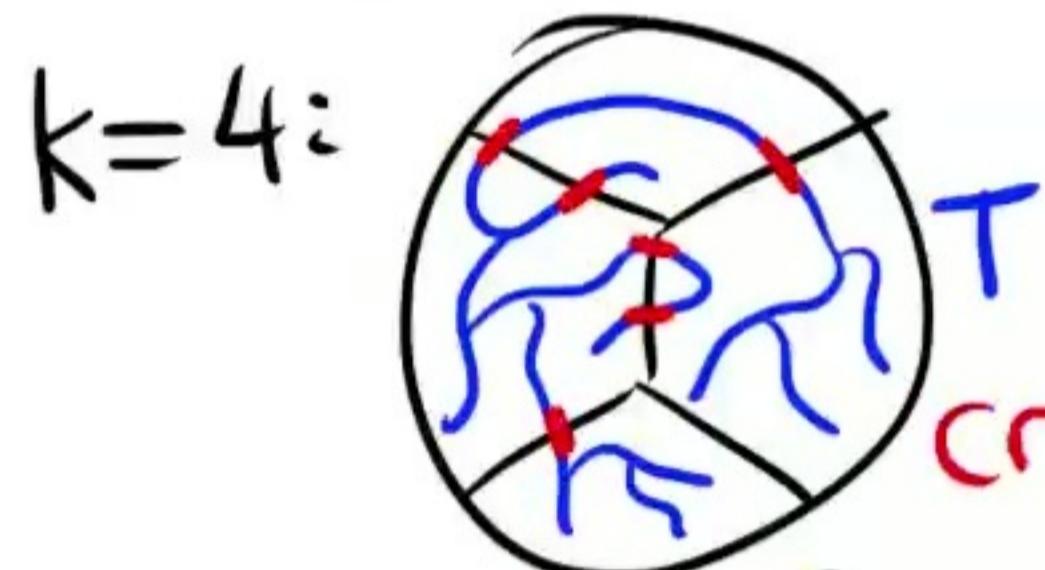


T

crosses $6 = 2k-2$ times

Thorup's Tree Packing

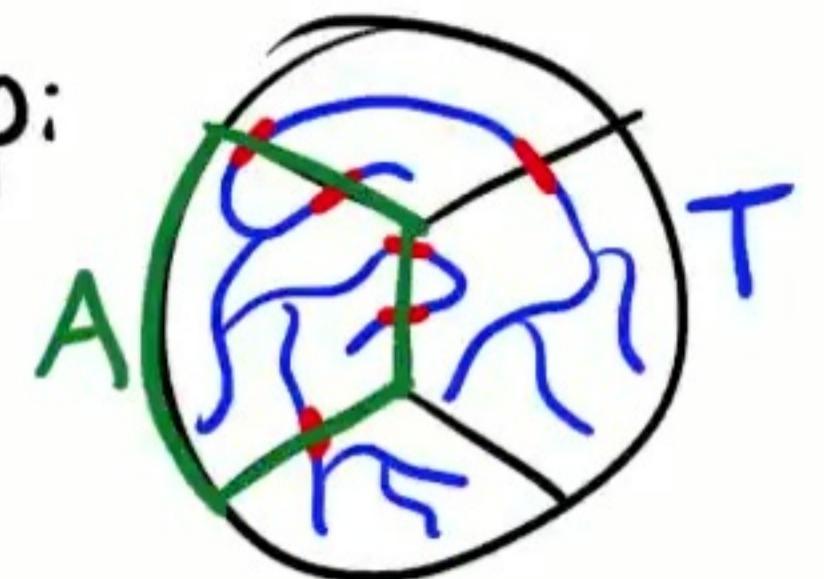
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Thorup's min k -cut algo: for each $T \in \mathcal{T}$, for every way to delete $\leq 2k-2$ edges of T and merge the components into k components, compute the min k -cut value.

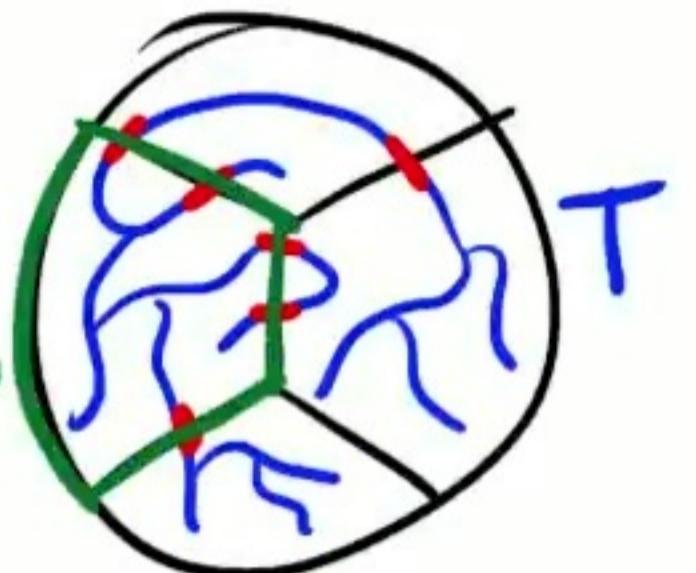
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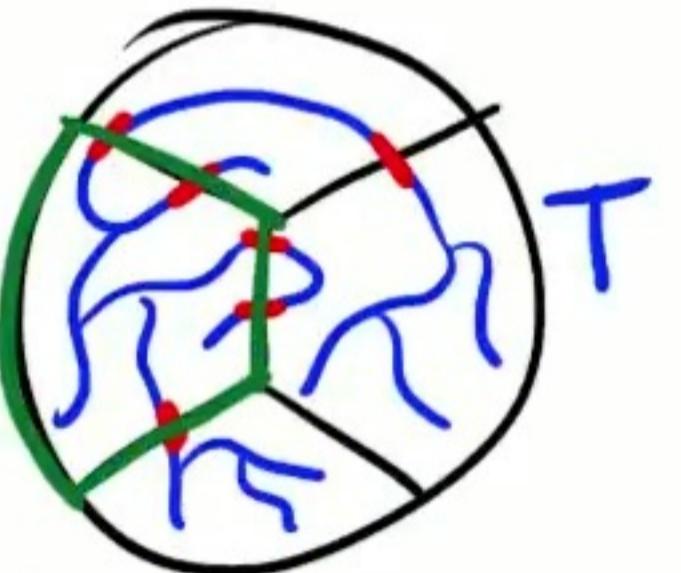
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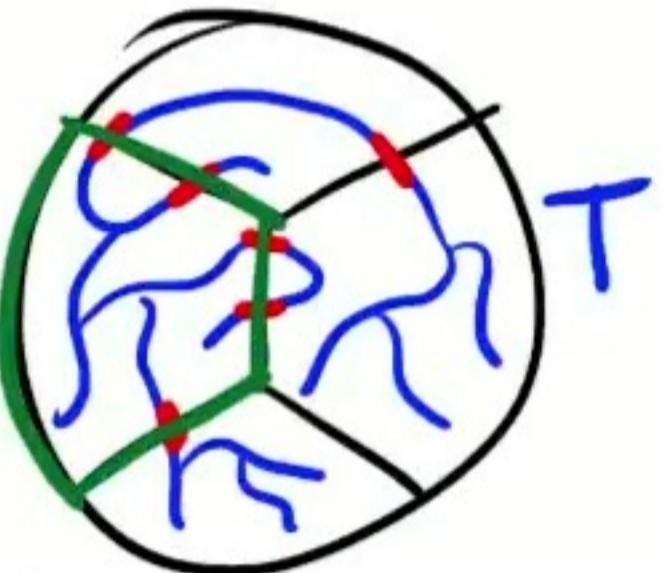
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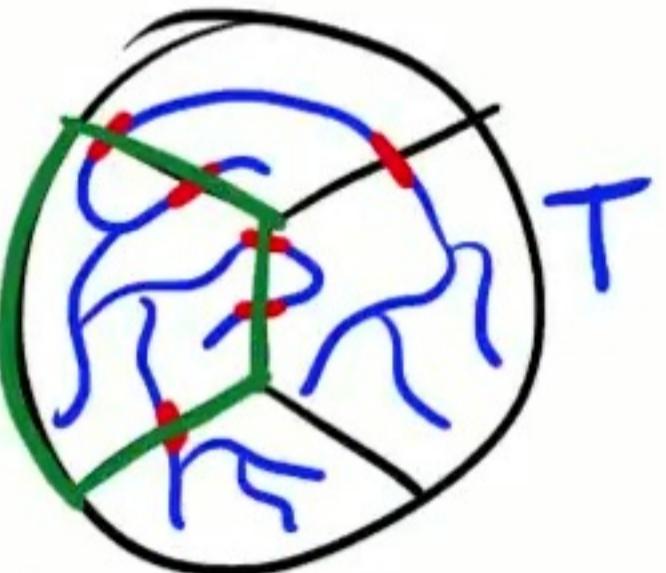
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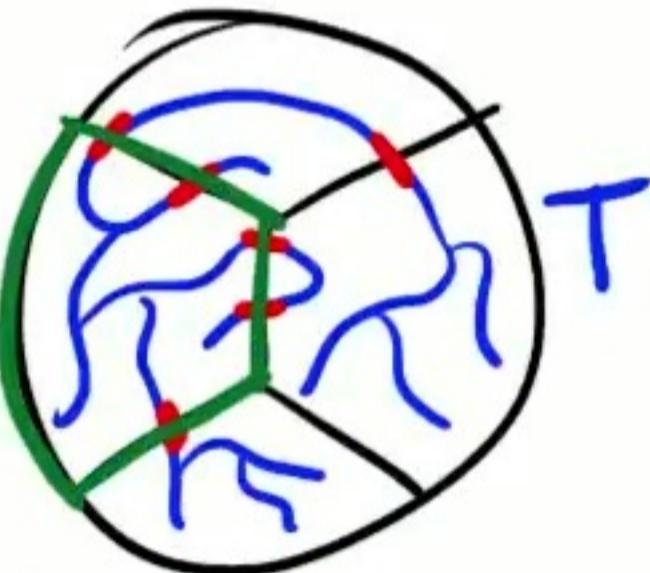
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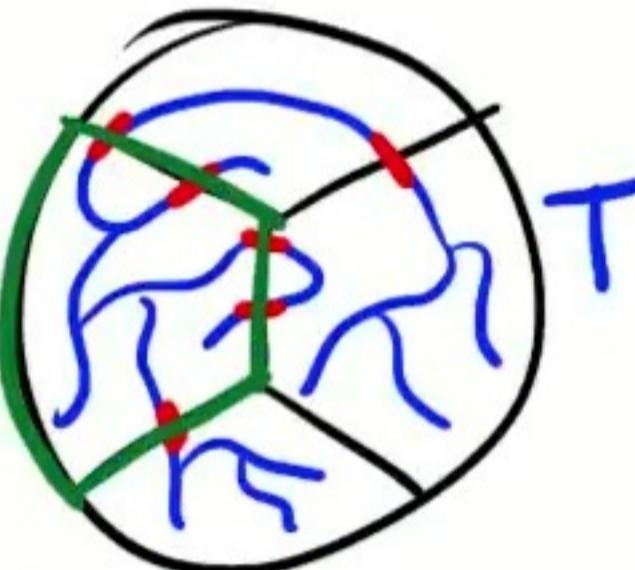
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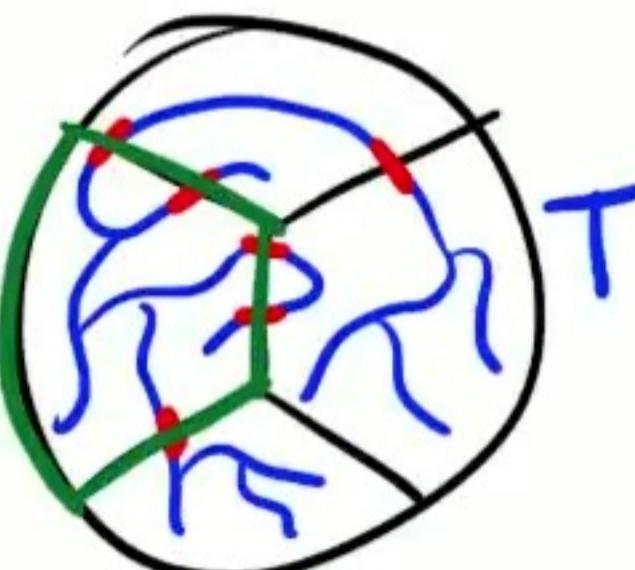
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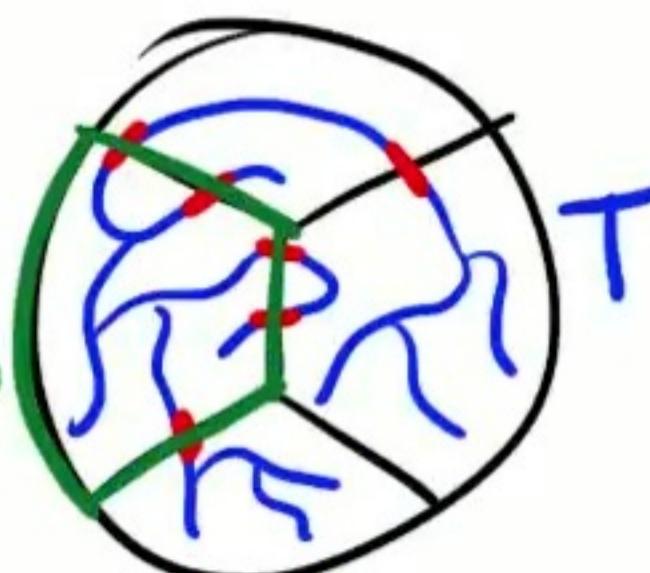
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E.g. $|\mathcal{A}'| = O_k(n)$, so if $\exists S_i \in \mathcal{A}'$ cutting ≥ 2 edges of T , then branch on \mathcal{A}' .



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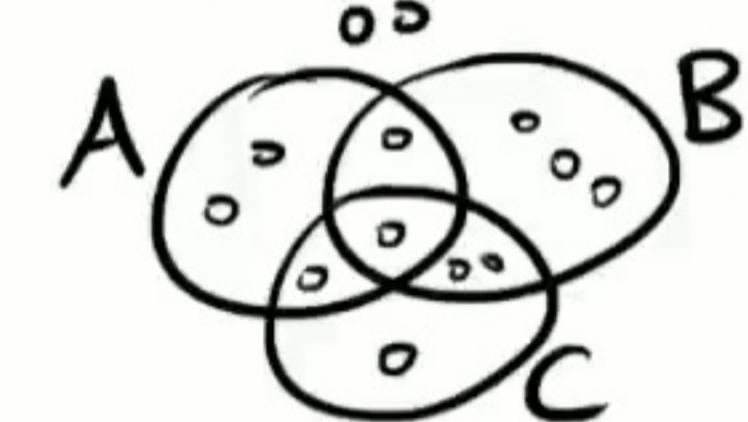
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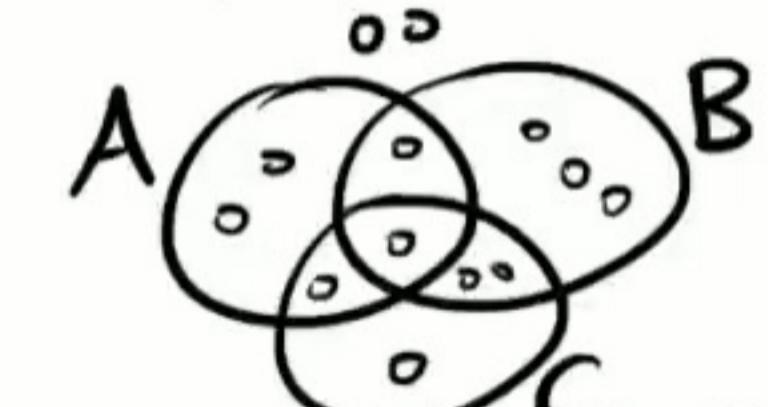
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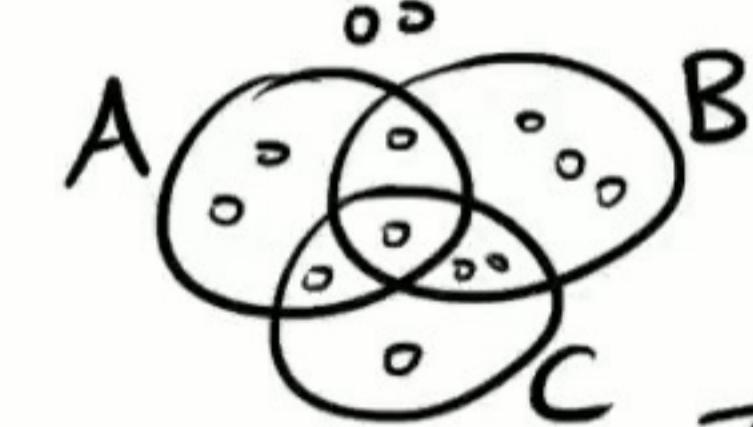


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- Cut out A, B, C : pay $3 \cdot \frac{2.3}{k} \text{OPT} = \frac{7-\varepsilon}{k} \text{OPT}$ for +7 components.

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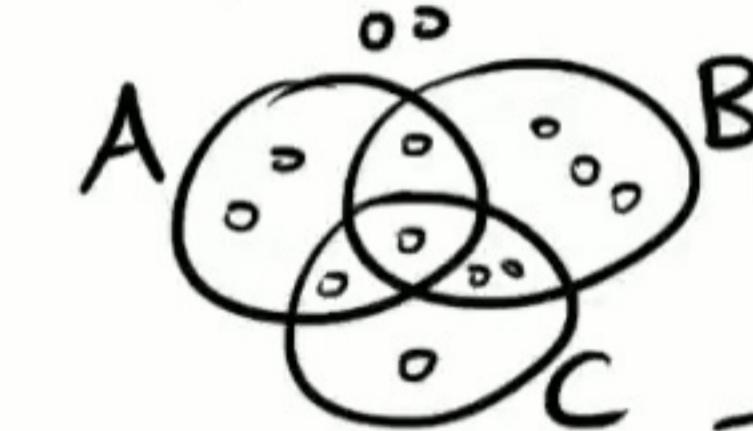
Construct cut iteratively, cost < OPT, contradiction.

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Cut out A, B, C : pay $3 \cdot \frac{2.3}{k} \text{OPT} = \frac{7-\varepsilon}{k} \text{OPT}$ for +7 components.

Construct cut iteratively, cost < OPT, contradiction.

To find \mathcal{A} : run modified Karger-Stein again, output smallest $\Theta(n^{3.75})$ cuts.

Balanced Example

- Suppose G is "balanced": $w(\partial_G S_i) = \frac{2}{k} \text{OPT}$ for all S_i .

- To make progress, find \mathcal{A} , $|\mathcal{A}| = O_k(n^{4-\epsilon})$, s.t.

$\exists S_i \in \mathcal{A}$ cutting ≥ 4 edges of T : $|\partial_T S_i| \geq 4$

- Such S_i exists because $\sum |\partial_T S_i| = 2(2k-2) \Rightarrow \text{avg } |\partial_T S_i| \text{ is } \frac{4k-4}{k} \approx 4$

Thm: $1 + d - S \propto \dots (2.3) - 2.3 - 2 - 1 - 1 - 1 < 3.75$

Pf: Recursion?

A, B, C:

ponents.

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Pf: Recursion?

If can do this $\Omega(k)$ times, then save $n^{\Omega(k)}$ runtime
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A, B, C:
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Balanced Example ($k' < k$)

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$\exists S_i \in \mathcal{A}$ cutting ≥ 4 edges of T : $|\partial_T S_i| \geq 4$

- Such S_i exists because $\sum |\partial_T S_i| = 2(2k-2) > 3k$ \Rightarrow avg $|\partial_T S_i|$ is $\frac{4k-4}{k} \geq 3$

Thm: $1 + d - S \propto n \cdot (2.3)^{-2.3} \approx 1.11 < 3.75$

Pf:

Recursion?

If can do this $\Omega(k)$ times, then save $n^{\Omega(k)}$ runtime
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Suppose $> 3k$ edges left.

A, B, C:

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Pf:

Recursion?

If can do this $\Omega(k)$ times, then save $n^{\Omega(k)}$ runtime
 $\Rightarrow n^{(2-\varepsilon)k}$ time.

Suppose $> 3k$ edges left.
 Then, can still pay $n^{3.75}$ to cut 4 edges of T .

A, B, C:

ponents.

To find \mathcal{A} : run modified Karger-Stein again, output smallest $\Theta(n^{3.75})$ cuts.

General Case

- Morally, have solved two extreme cases

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① Unbalanced: $\exists S_i$ with $w(\partial_G S_i) < \frac{1.49}{k} \text{OPT}$

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General Case

- Morally, have solved two extreme cases
 - ① Unbalanced: $\exists S_i$ with $w(\partial_G S_i) < \frac{1.49}{k} OPT$
 - ② Balanced: all S_i satisfy $w(\partial_G S_i) = \frac{2}{k} OPT$
- "Interpolate" between the two cases
(technical; is where we get 1.98 factor)

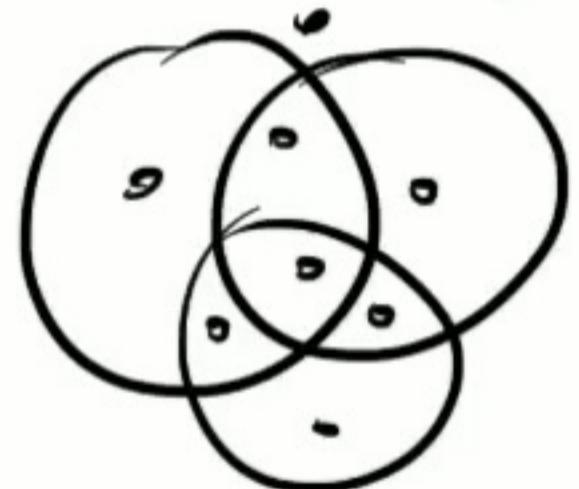
Open Questions

WIP: Beating factor 1.98

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Conjecture: If $|A| \geq \Theta(n^3)$, then $\exists A, B, C$:

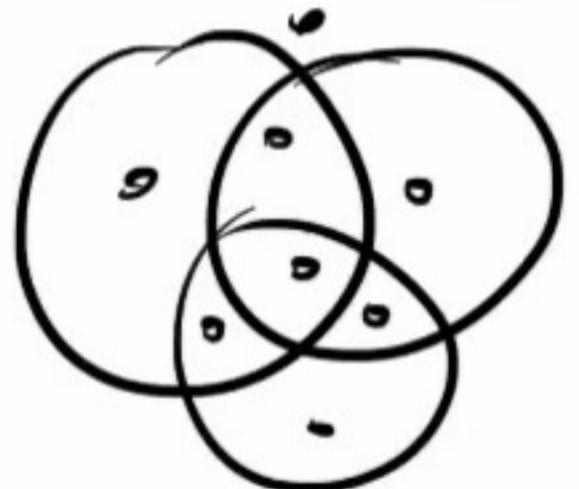


(easy lower bound of $\Omega(n^3)$)

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WIP: Beating factor 1.98

Conjecture: If $|A| \geq \Theta(n^3)$, then $\exists A, B, C$:



(easy lower bound of $\Omega(n^3)$)

k-cut for hypergraphs?