

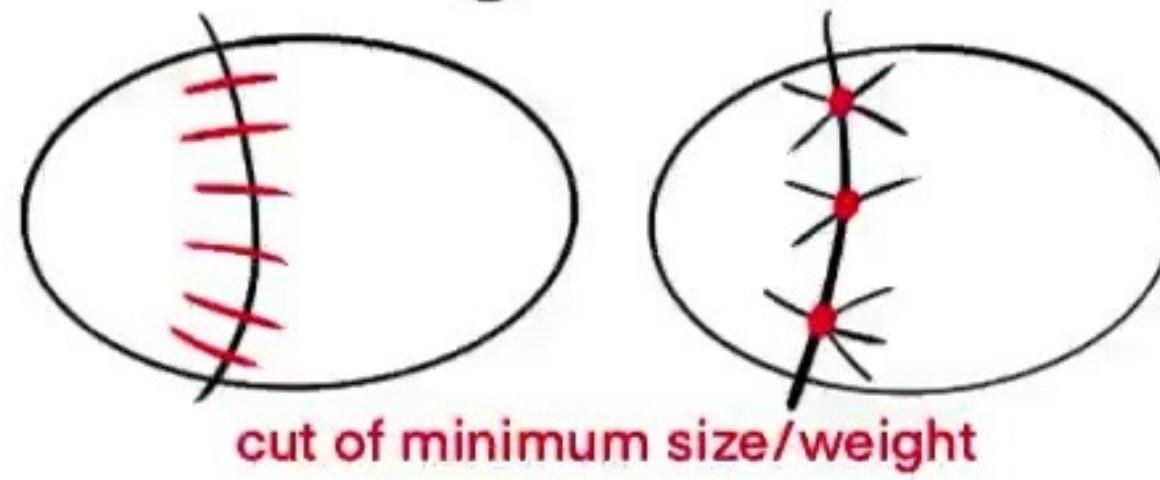
# **Preconditioning and Locality in Algorithm Design**

**Jason Li  
PhD Thesis**

# Problems Studied

## Graph cut problems

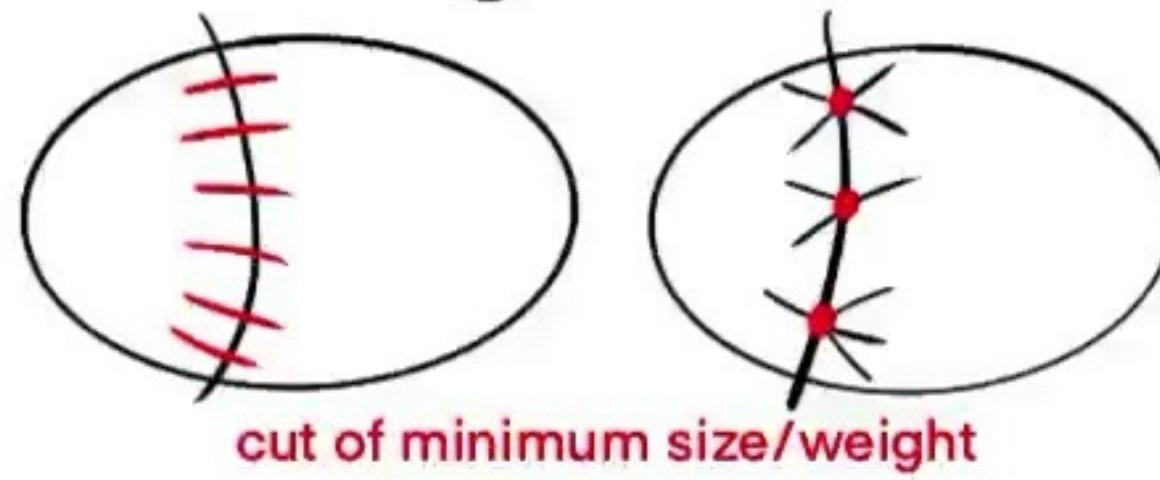
- Mincut: edge/vertex, undirected/directed, global/terminal/all-pairs



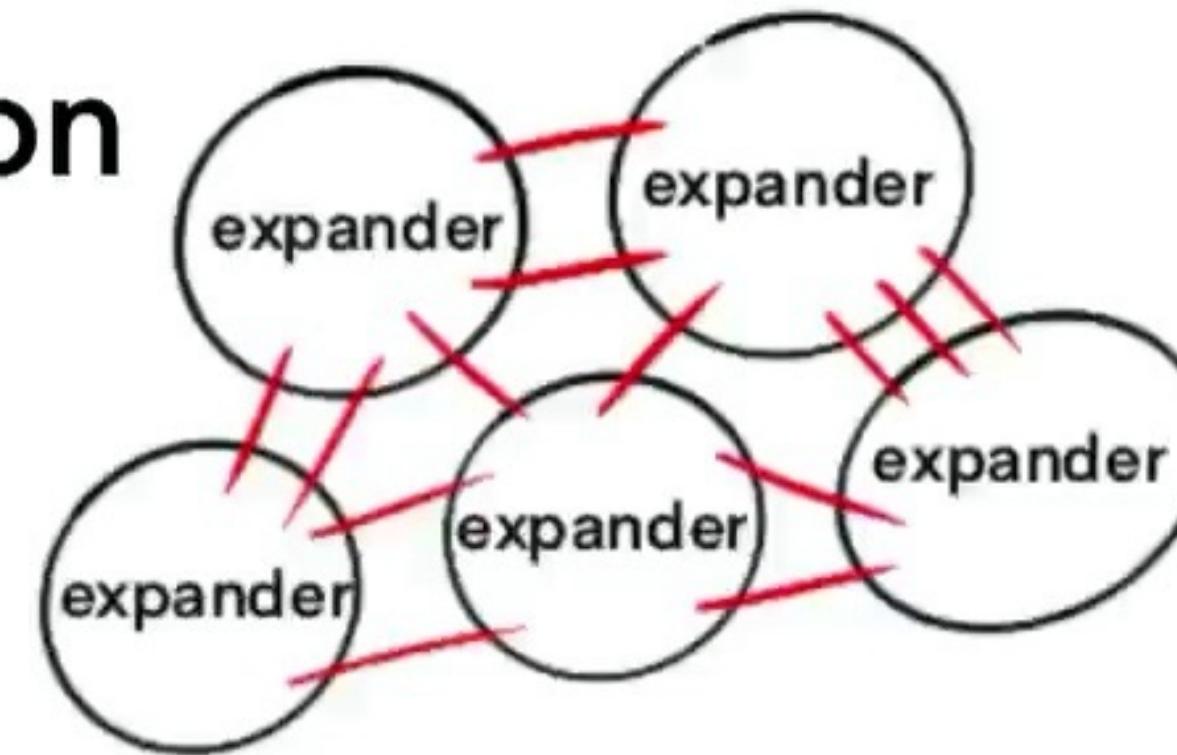
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- Conductance and expander decomposition



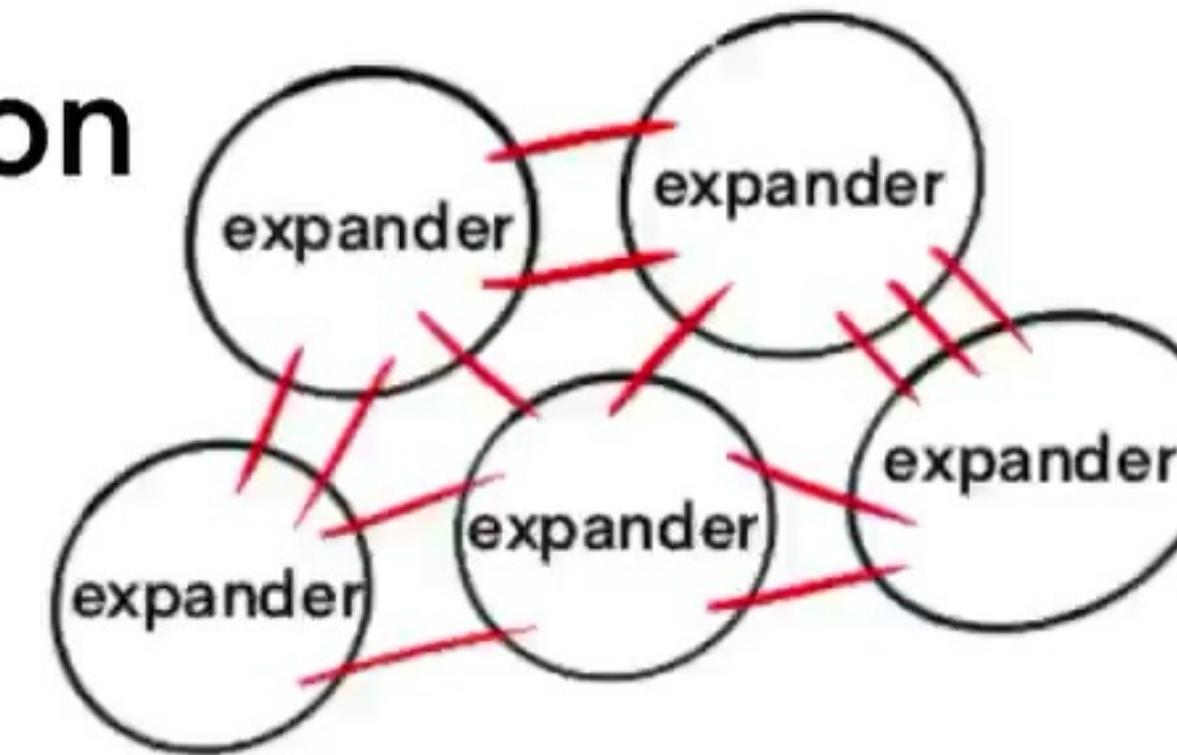
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## Graph distance problems

- Approximate shortest path, transshipment,  $L_1$  embedding (PRAM model)

# Preconditioning and Locality

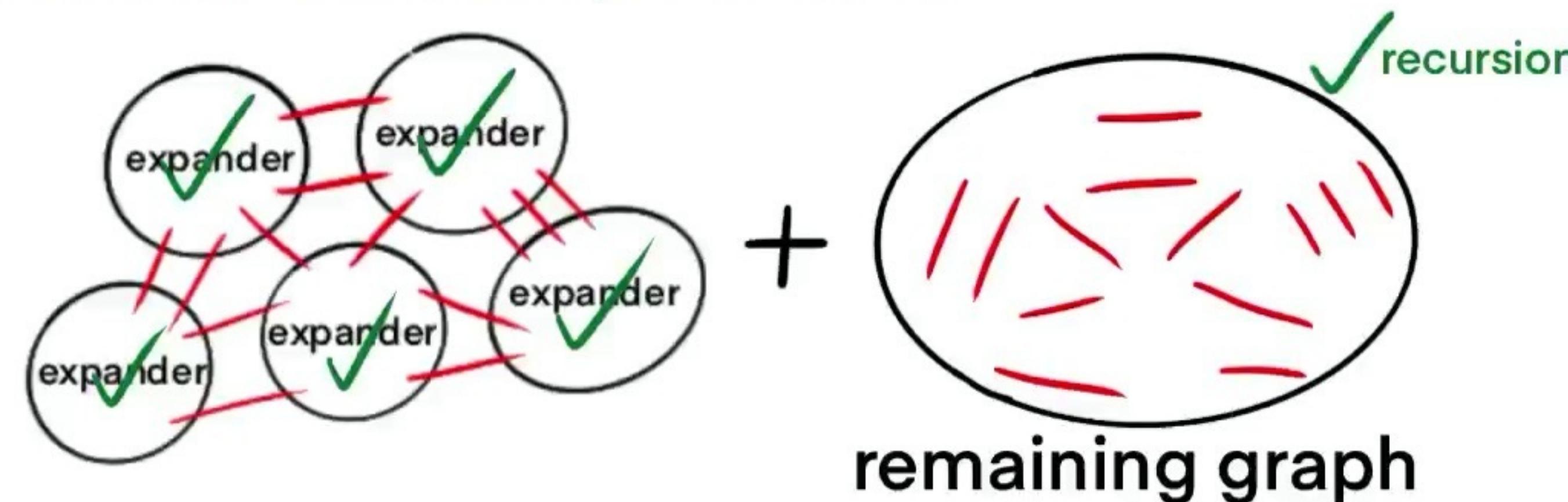
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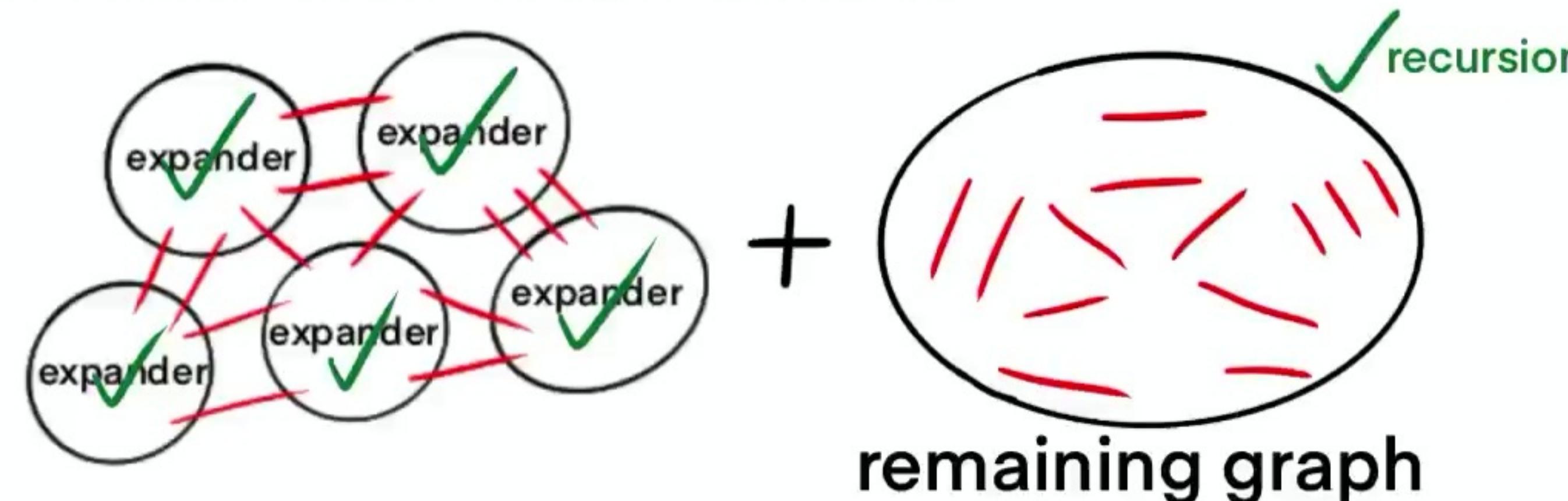
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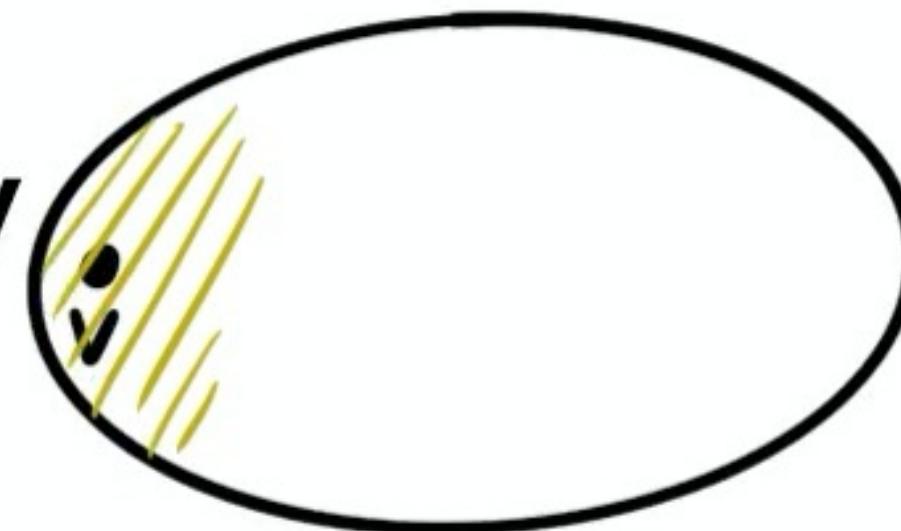
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- Popularized by Spielman and Teng [ST'04] on Laplacian system solvers

# Preconditioning and Locality

- Local algorithms: explore a small neighborhood around  $v$



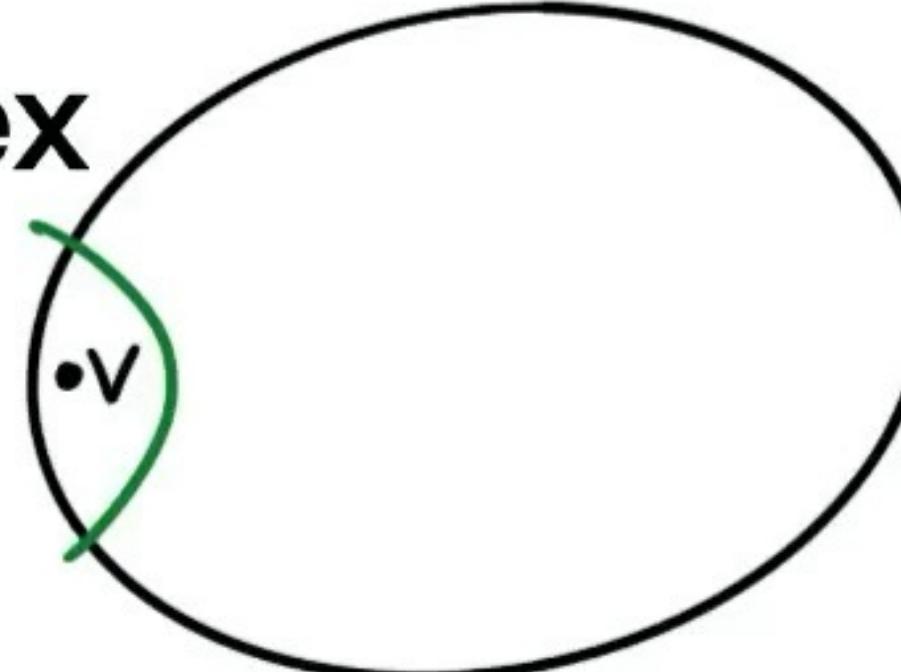
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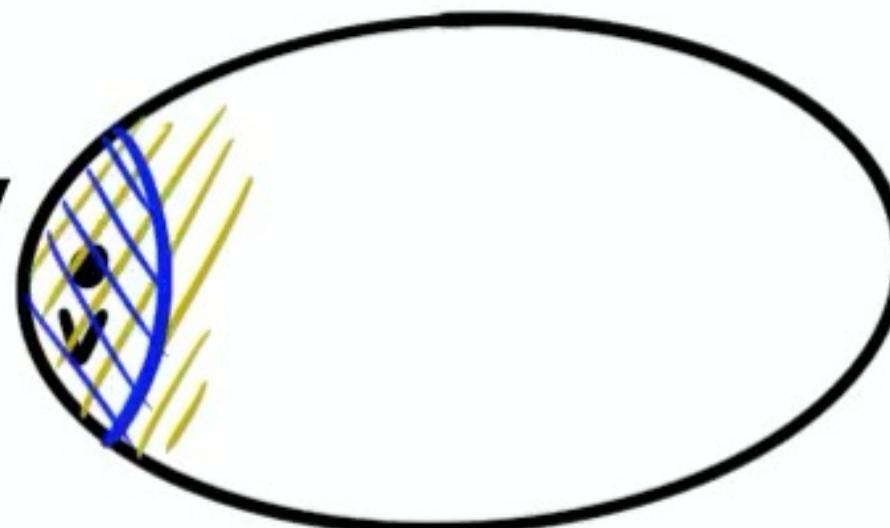
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## Locality: unbalanced vs. balanced

- Assume that the target solution is **local** to some vertex
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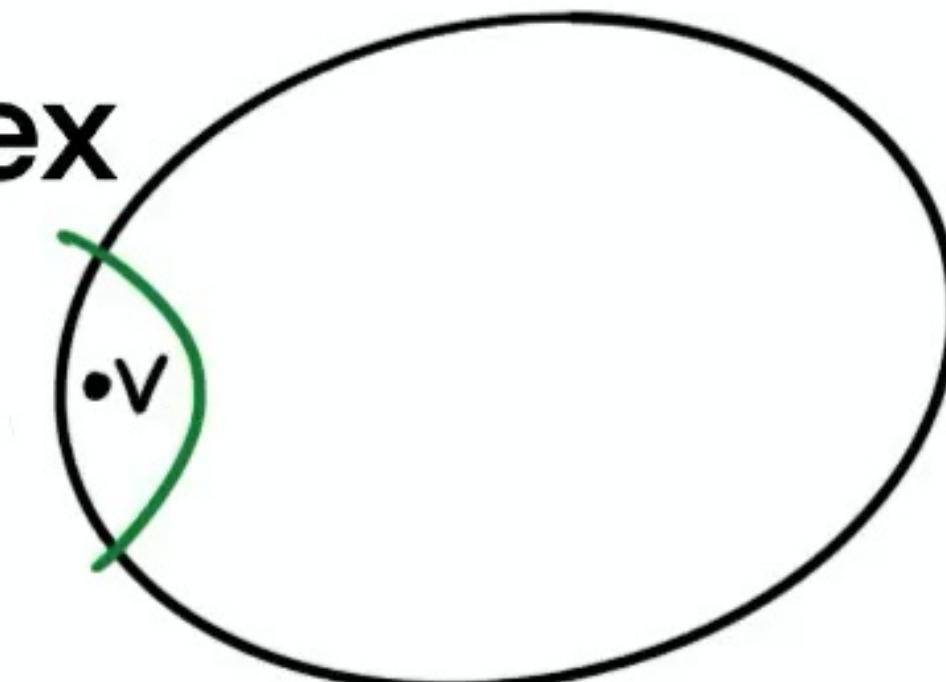
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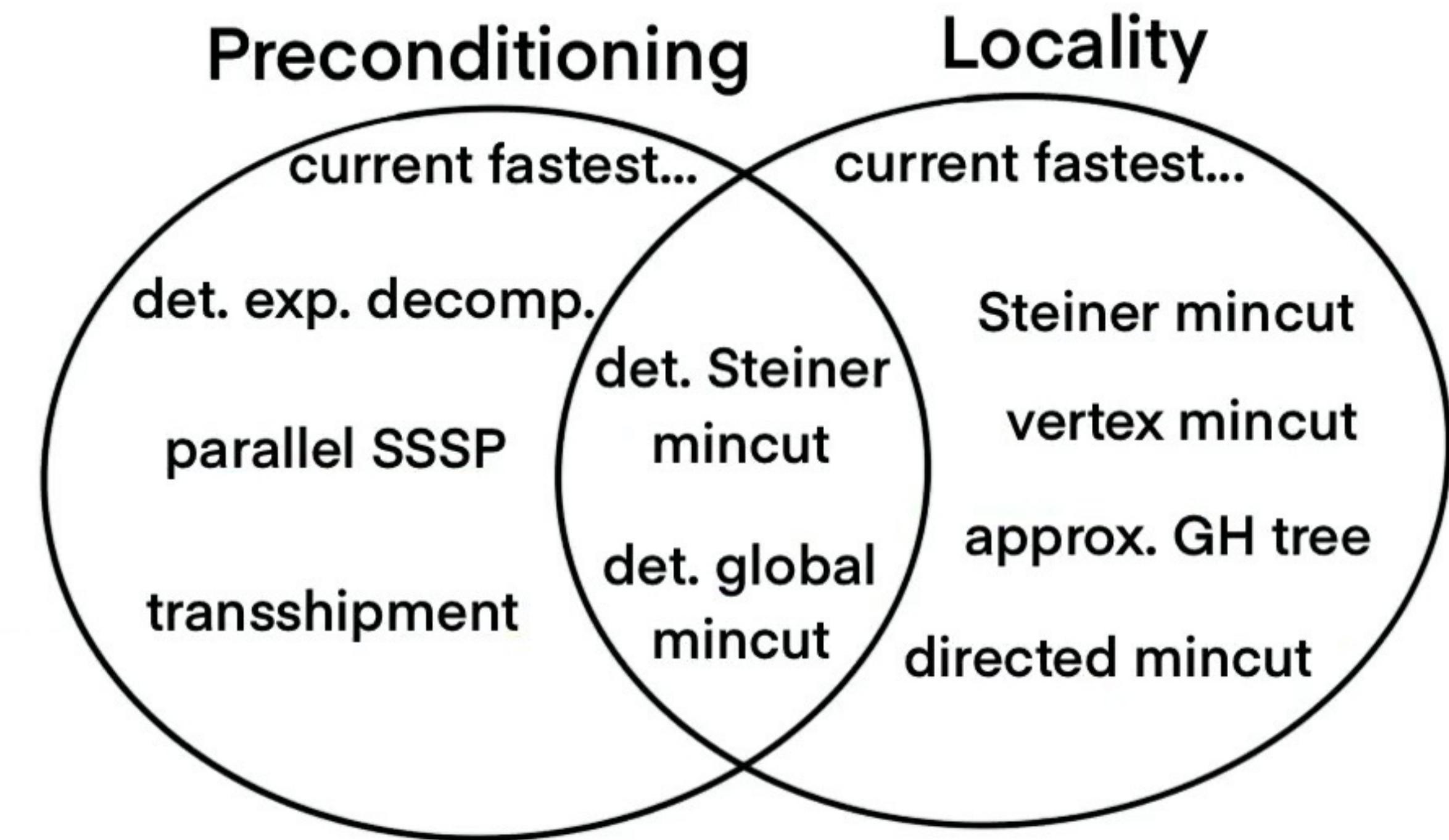
- Reduce to unbalanced instances

- Straight reduction, or handle balanced case separately

# The Case For Preconditioning and Locality

**Powerful**

- Resolves fundamental open problems



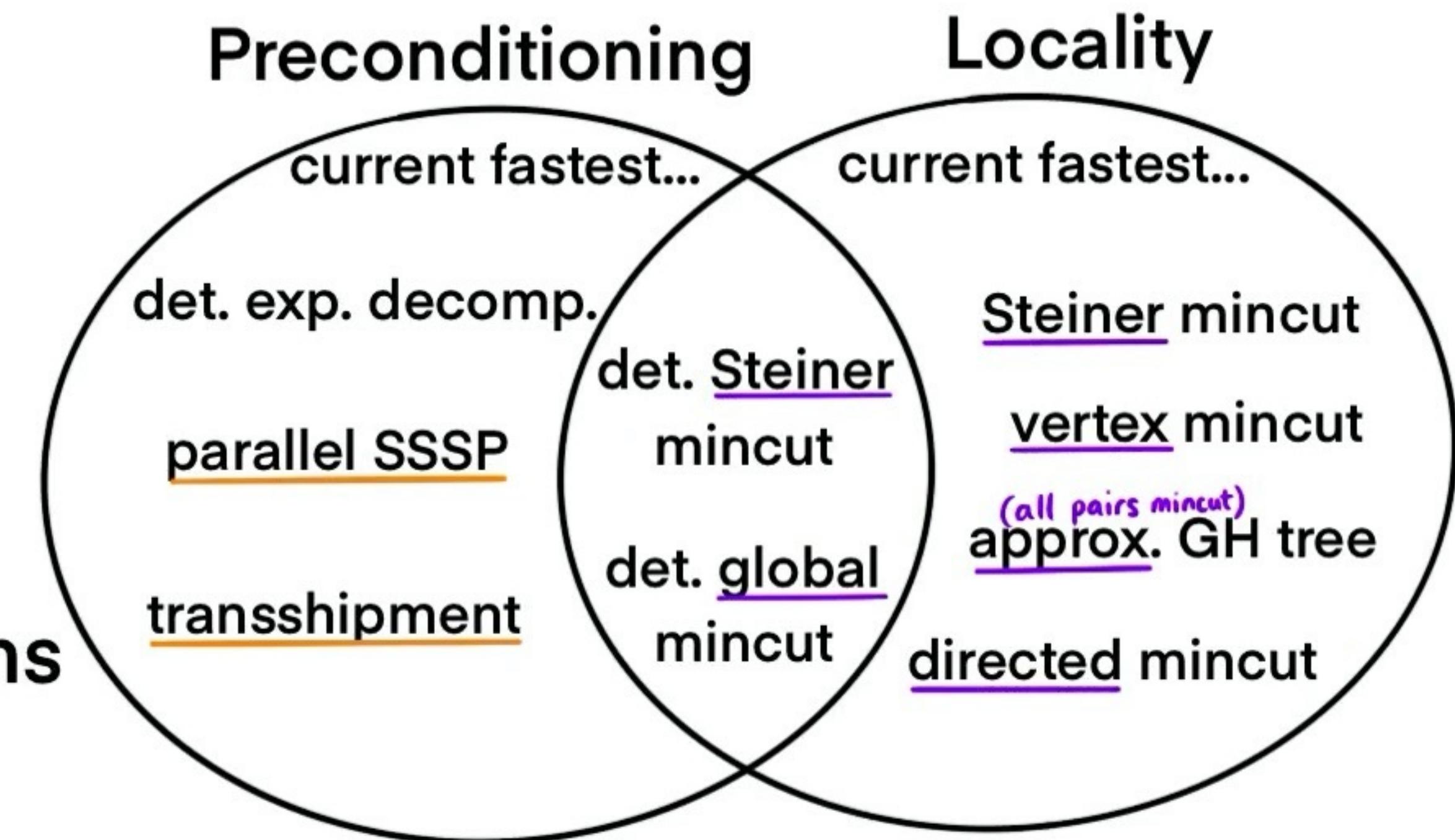
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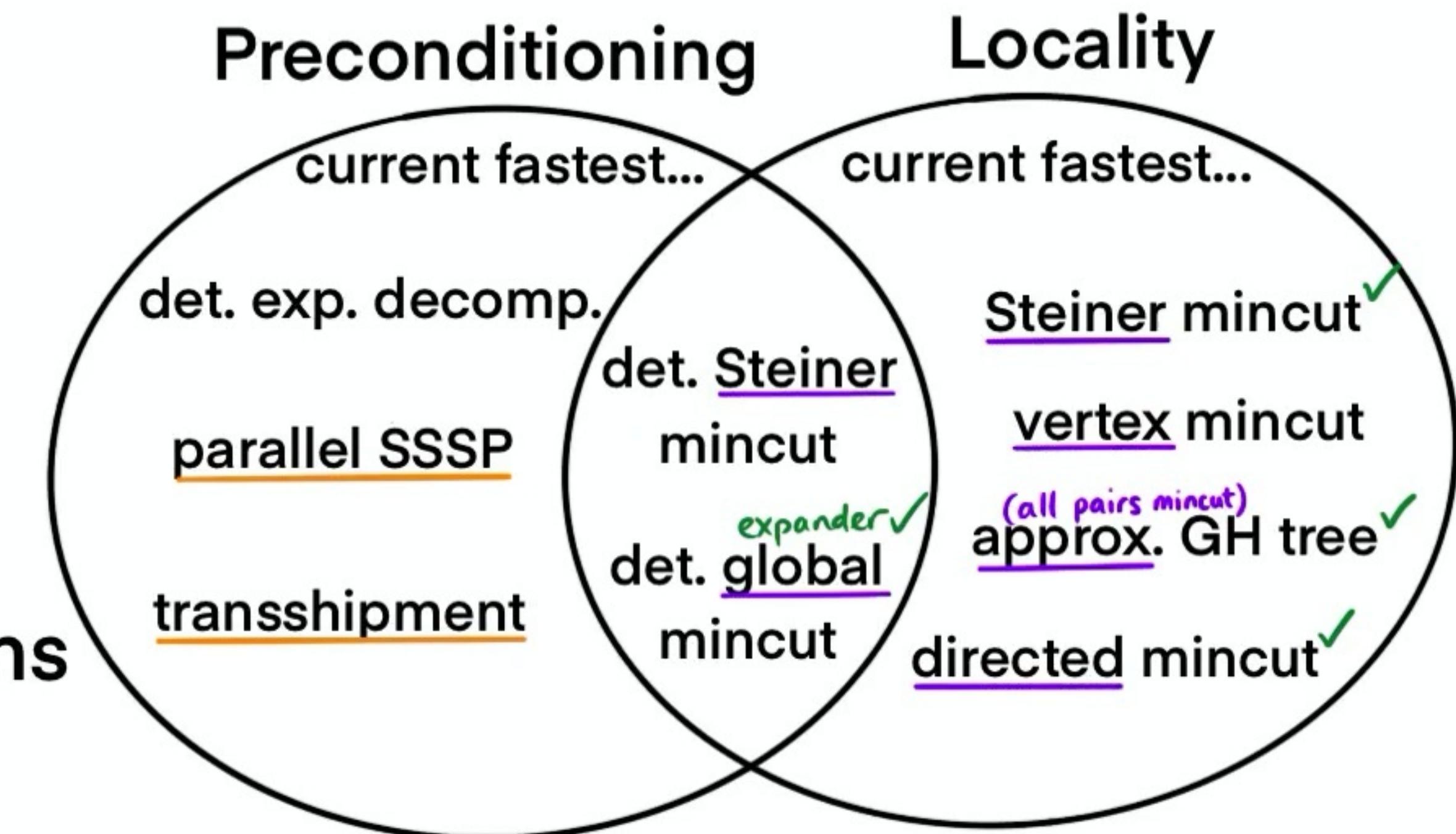
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## Cutting-edge

- Mostly unexplored in the past => **future potential**
- Some results are remarkably **simple**
  - All tools were around 40+ years ago, was only missing **perspective**



# Problems Studied in Talk

## Locality:

- Minimum Isolating Cuts problem
  - ⇒ simple, fastest Steiner mincut algorithm
  - ⇒ simple, fastest single-source mincut algorithm

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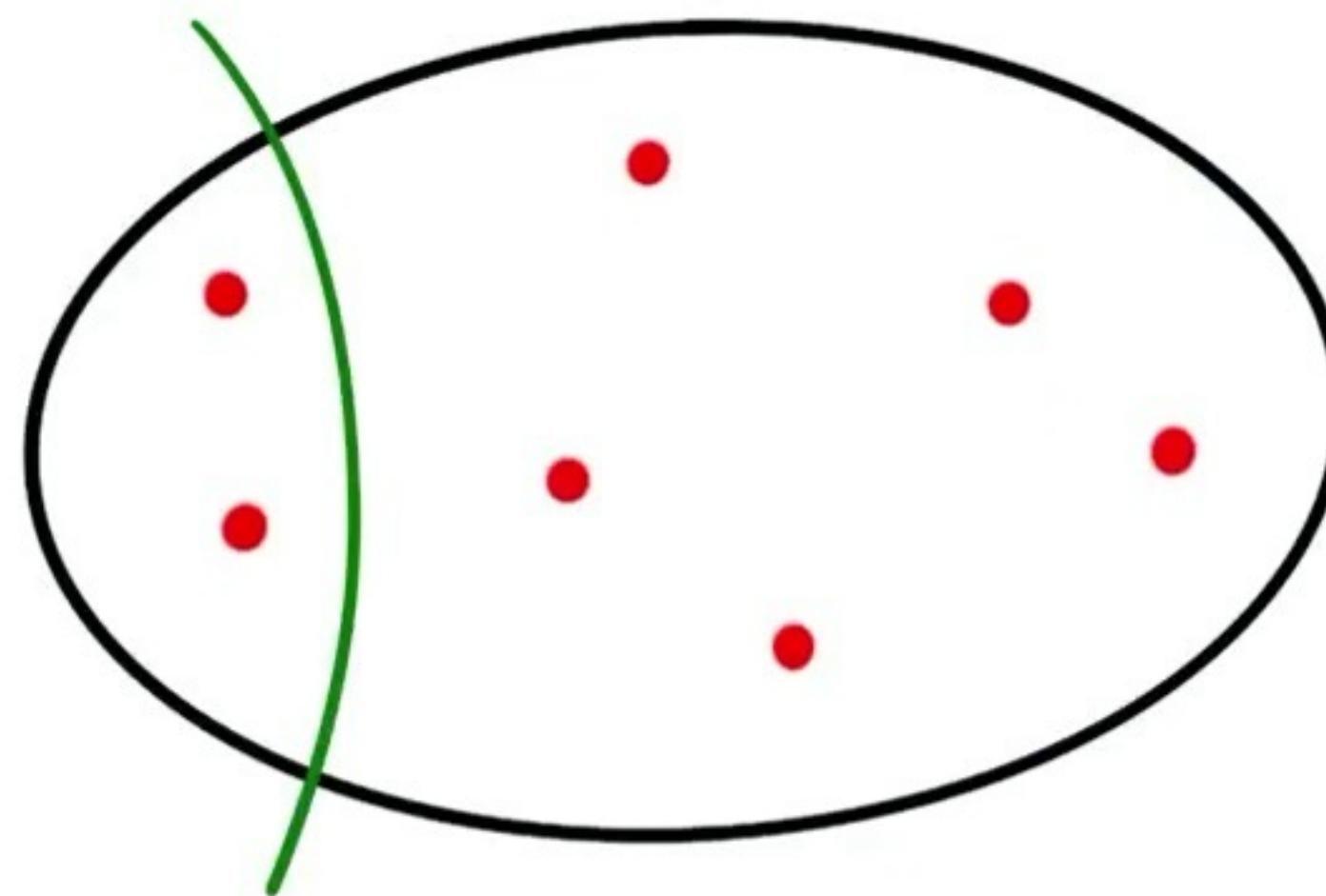
- Deterministic mincut: first almost-linear time algorithm
  - simple on expanders

# **Part I: Locality**

- 1. Steiner mincut**
- 2. Directed mincut**

# Steiner Mincut

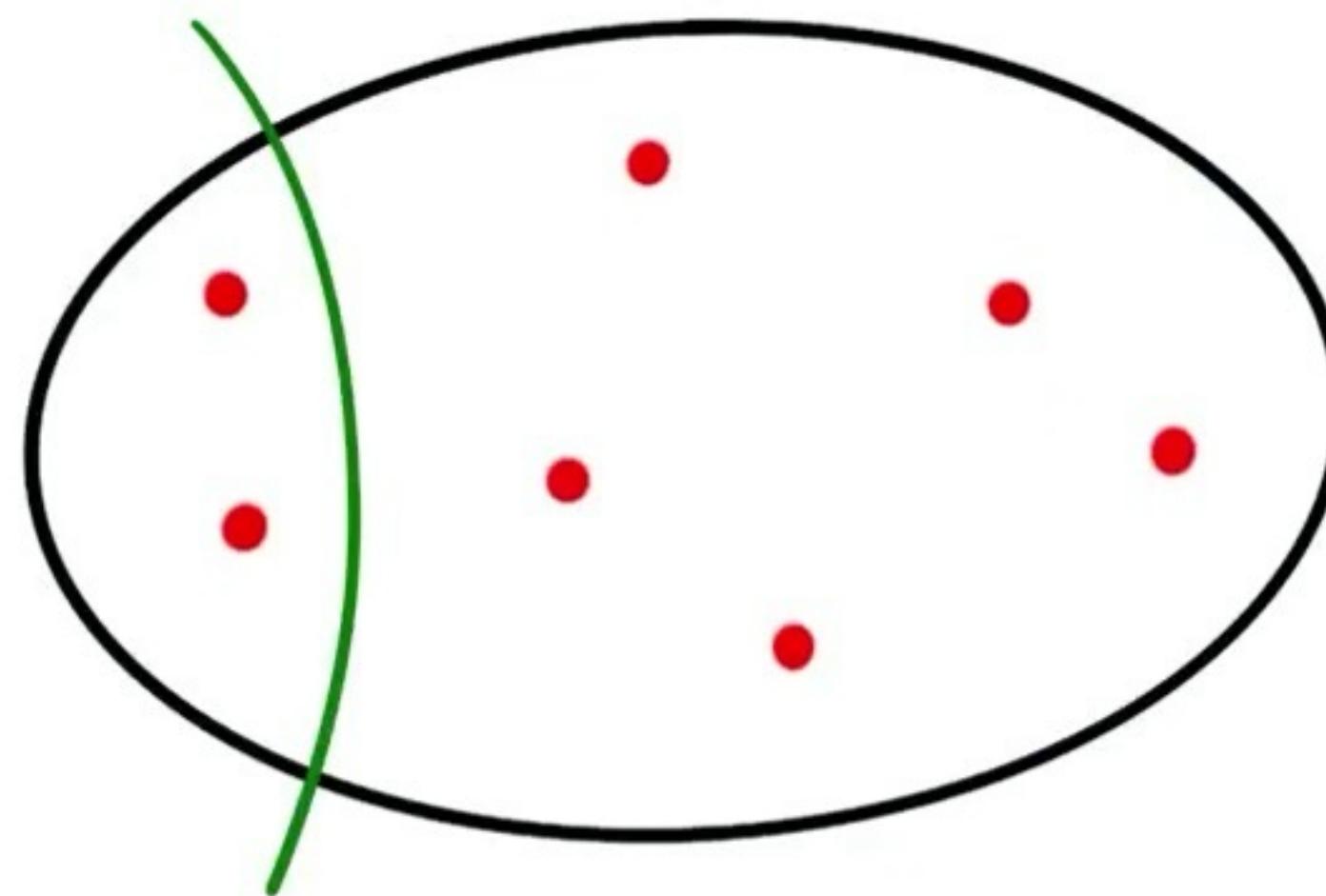
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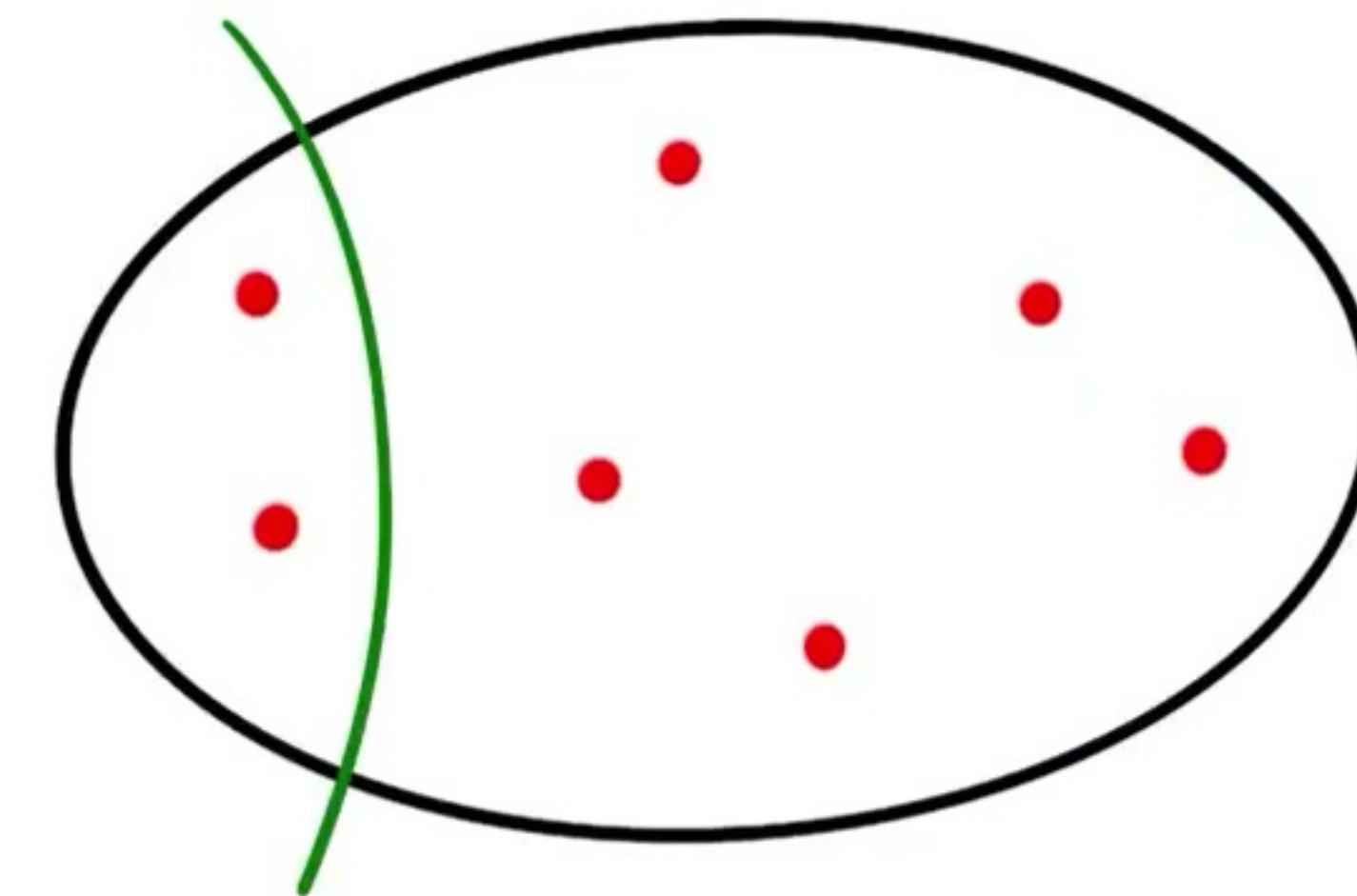
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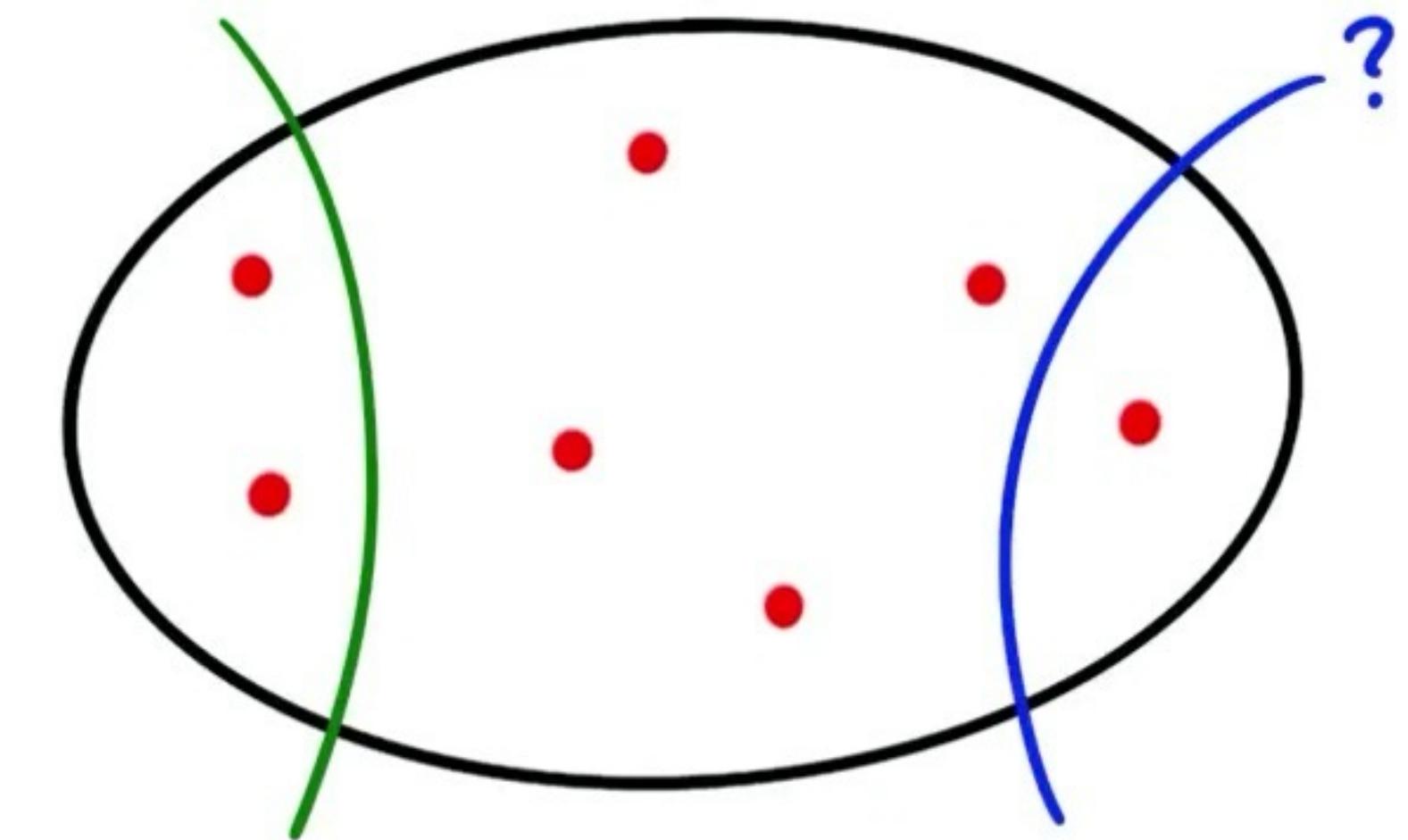
- Generalizes s-t mincut:  $R = \{s,t\}$
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- Useful subroutine for GH tree,  
 $\tilde{O}(m+nc^2)$  algorithm [Bhalgat-Cole-Hariharan-Panigrahi '07]



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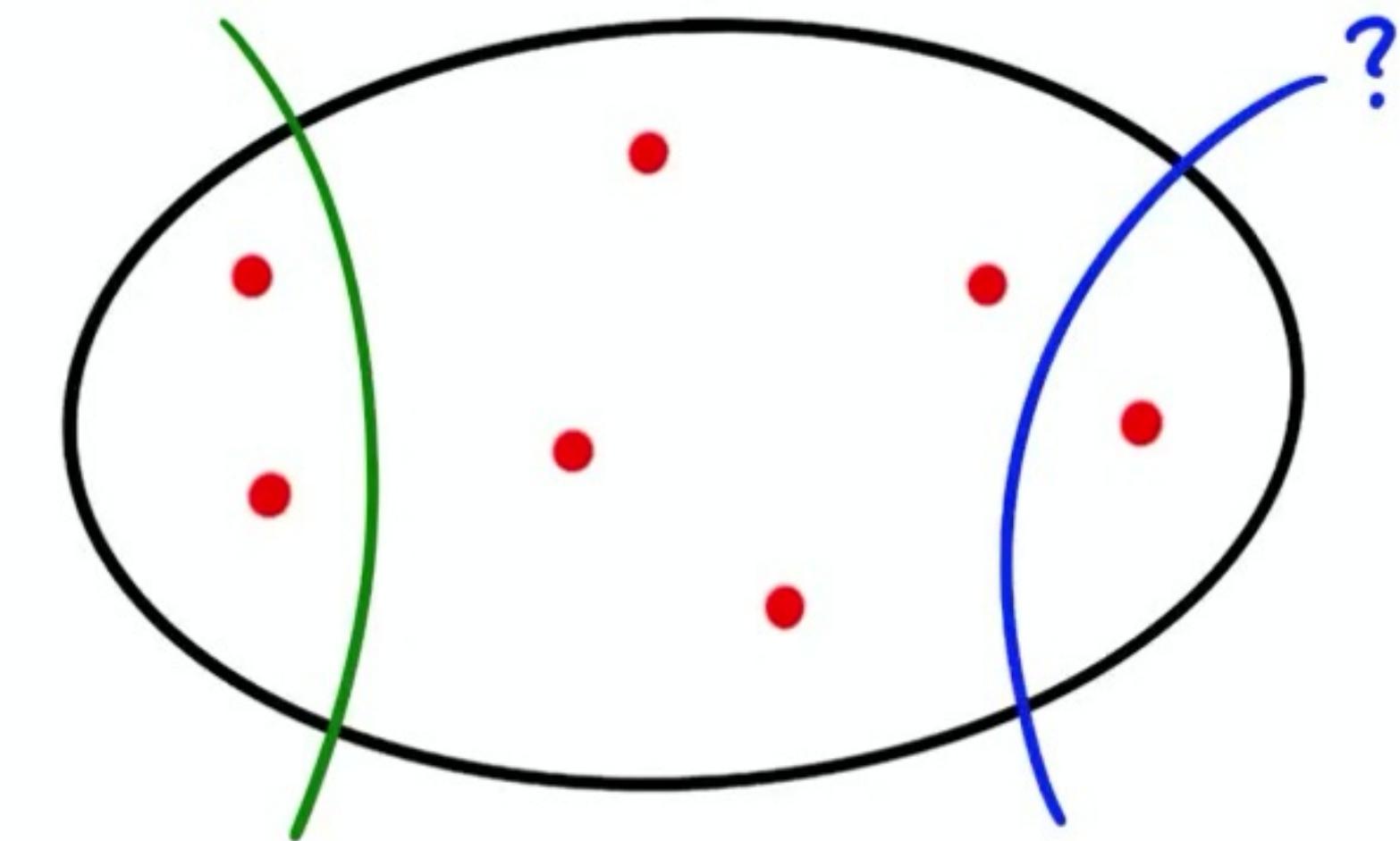
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Can be reduced to this case! (random sampling)

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Theorem: **unbalanced** Steiner mincut can be solved in  
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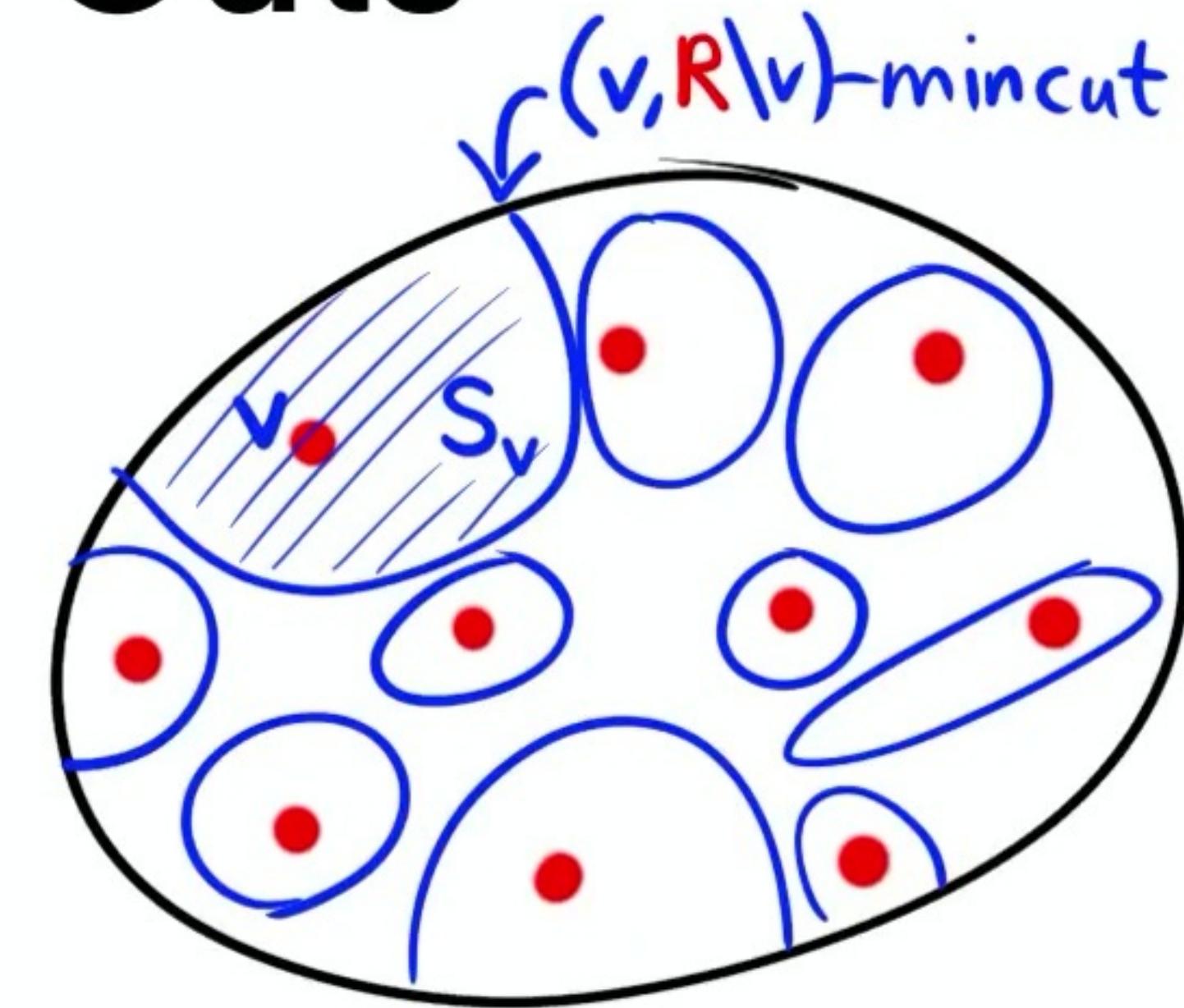
- **Minimum Isolating Cuts**: new problem capturing the locality assumption
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Theorem: (general) Steiner mincut can be solved in  
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- **Simple** random sampling: reduce to unbalanced!

# Minimum Isolating Cuts

Given a graph and a set  $\mathbf{R}$  of terminals,  
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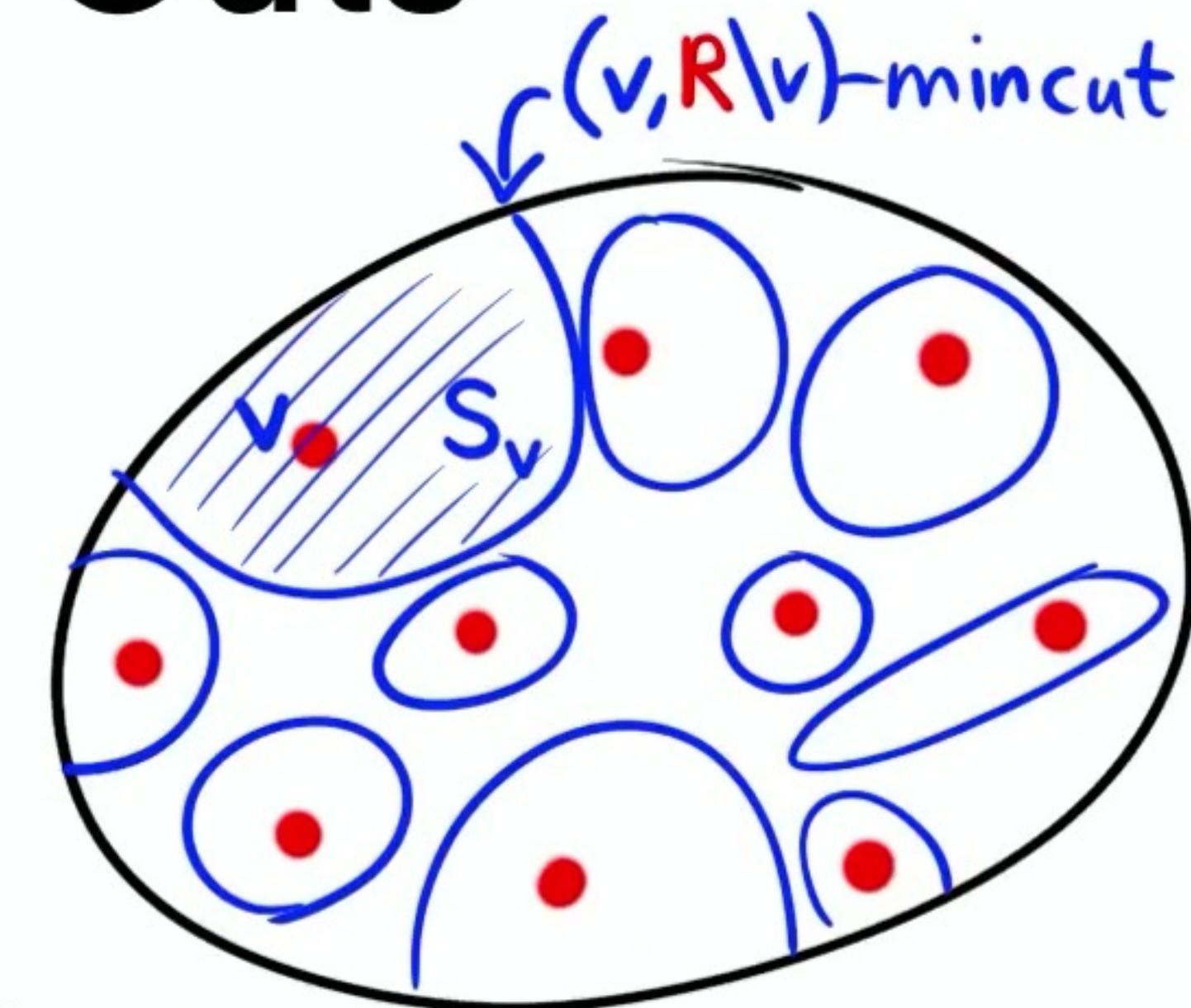


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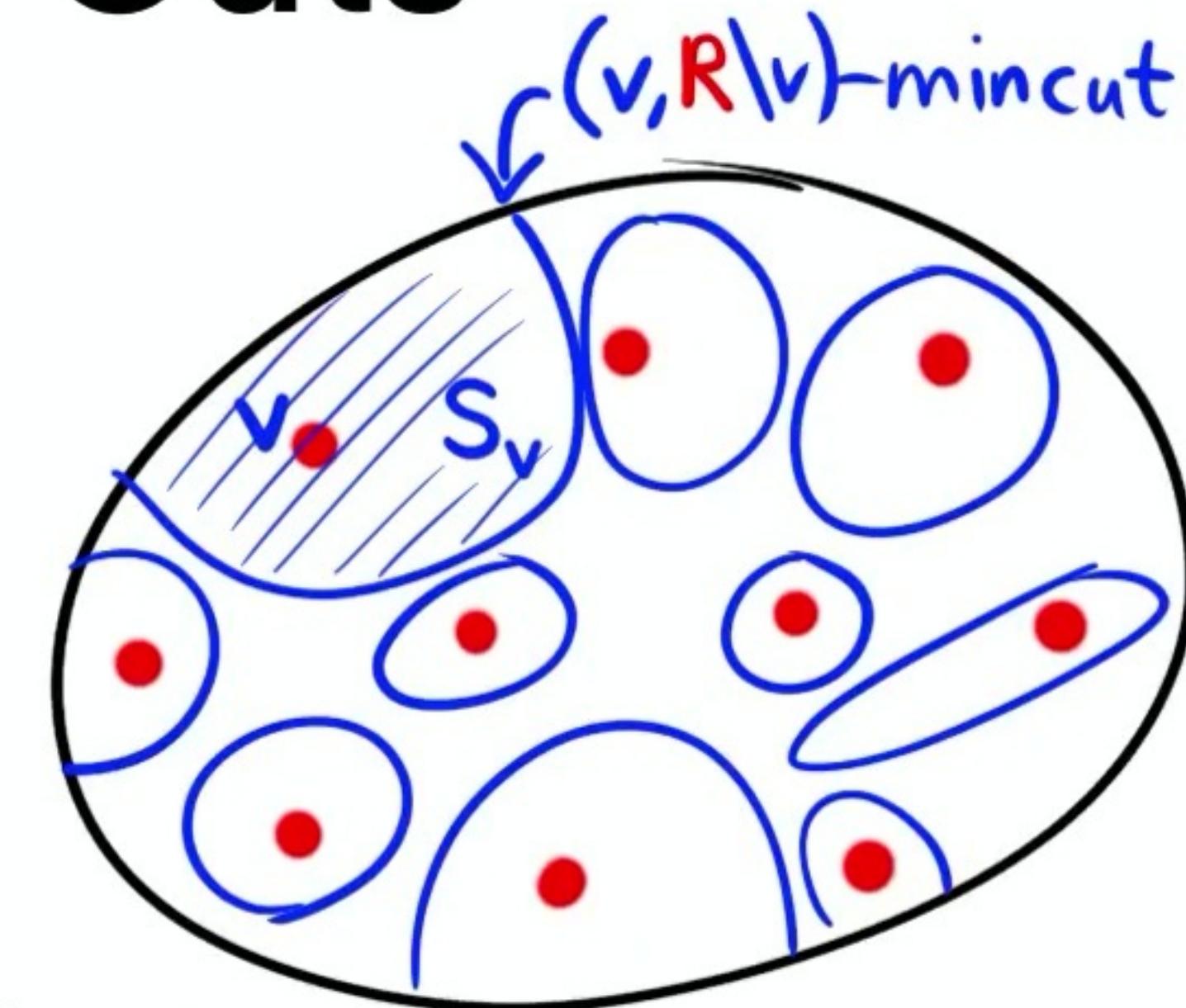
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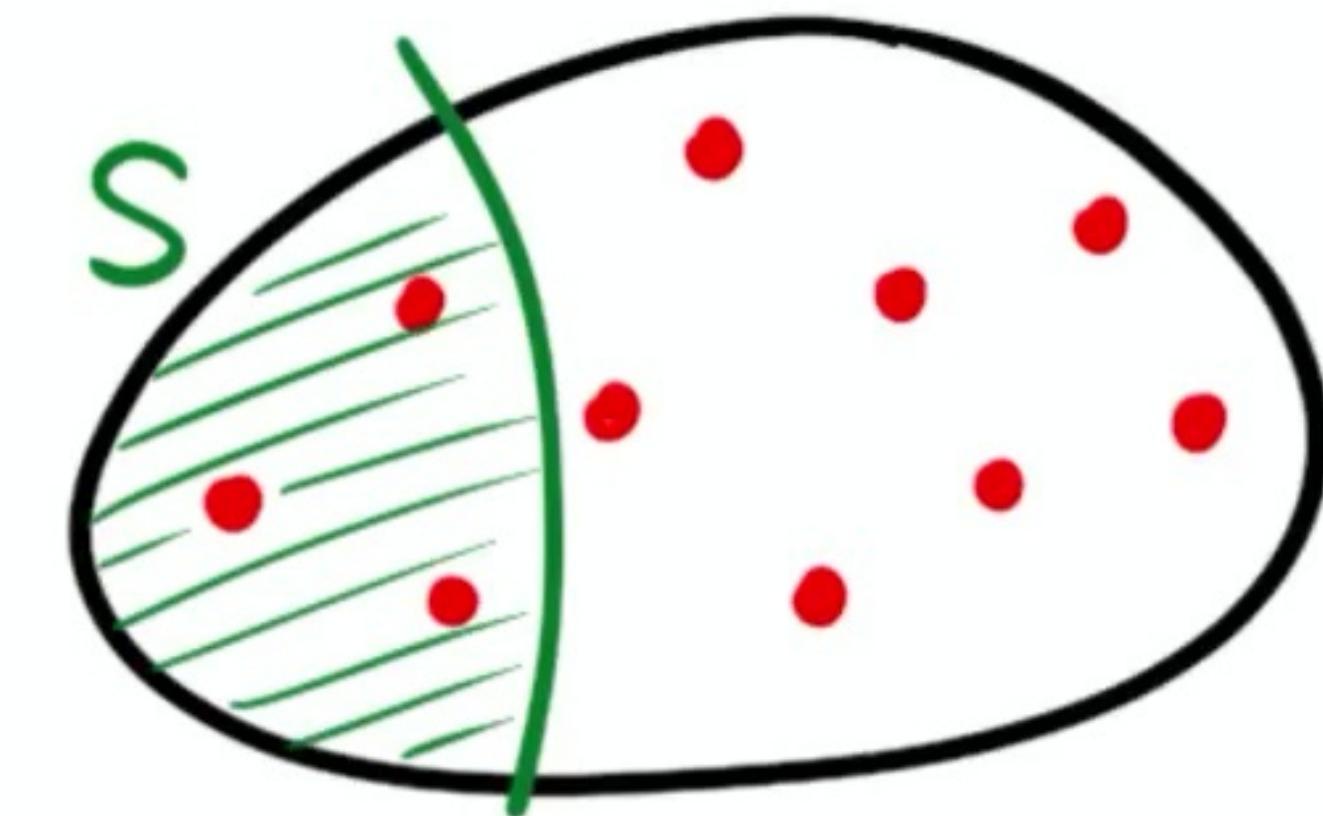
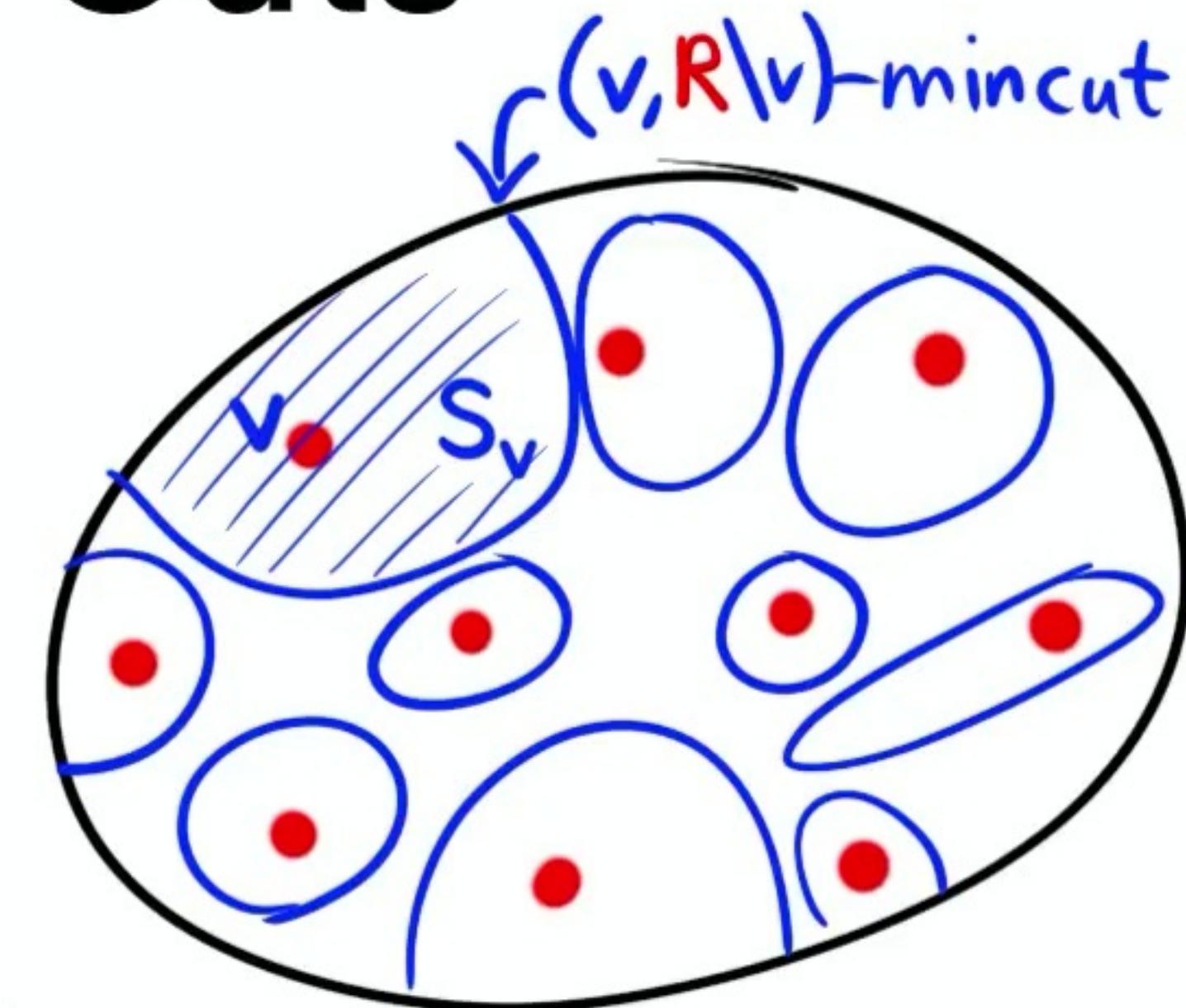
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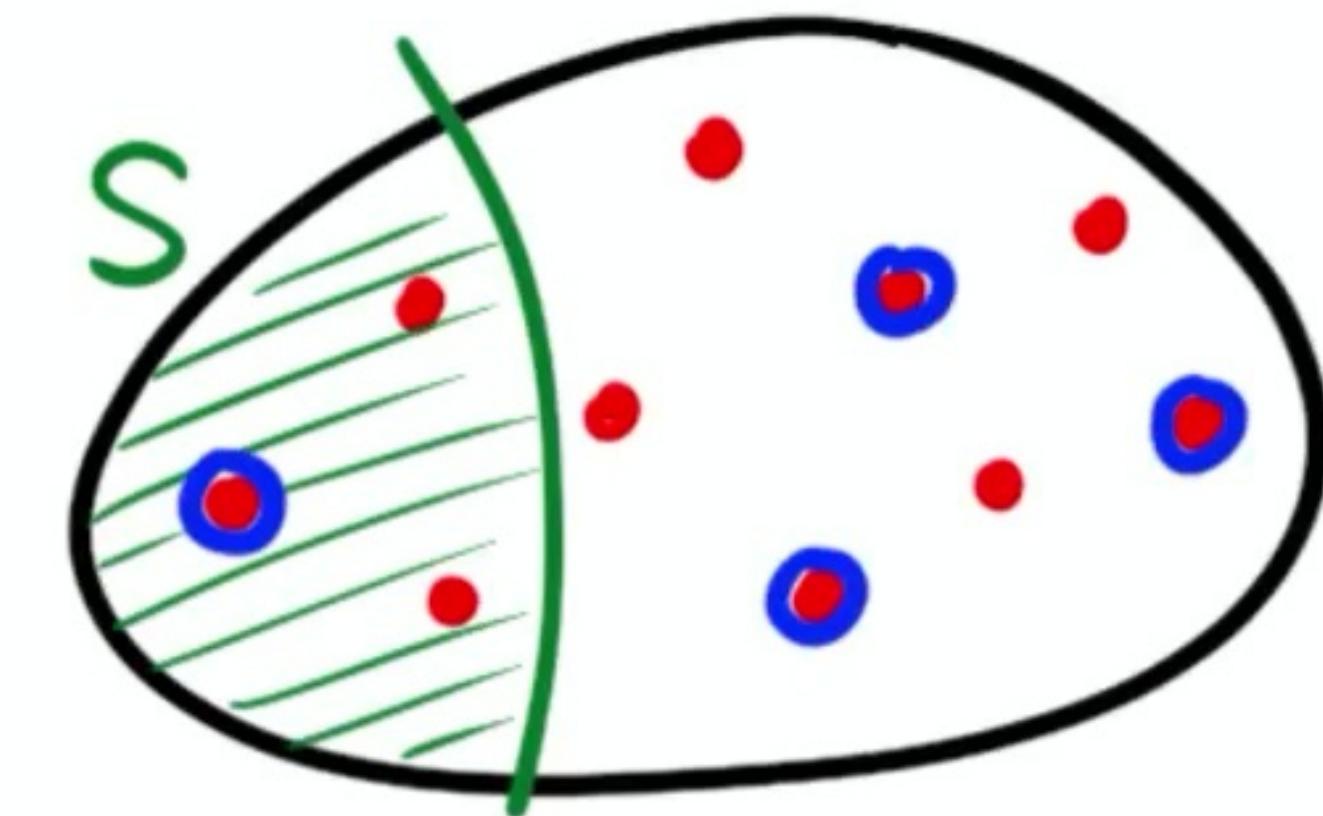
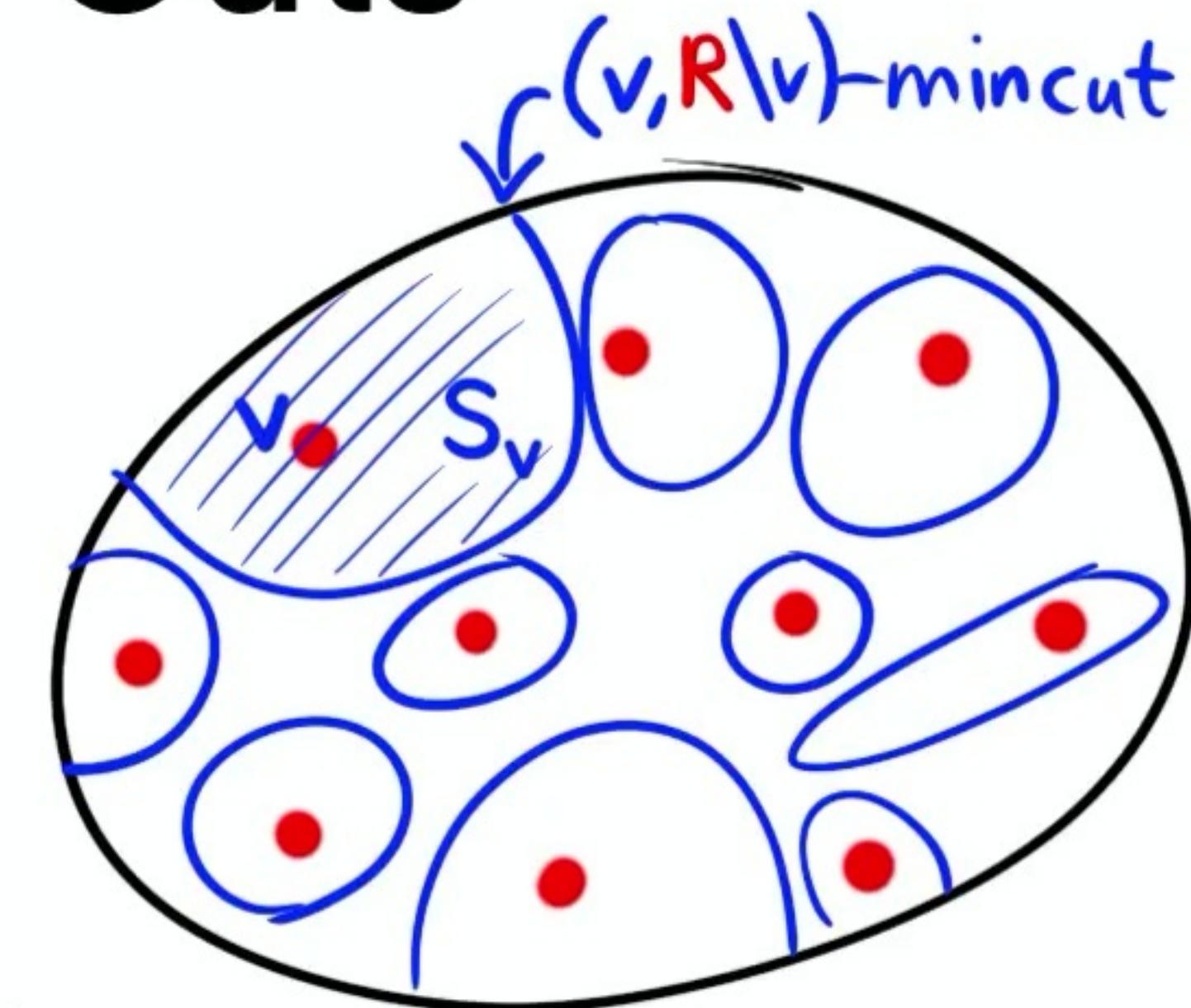
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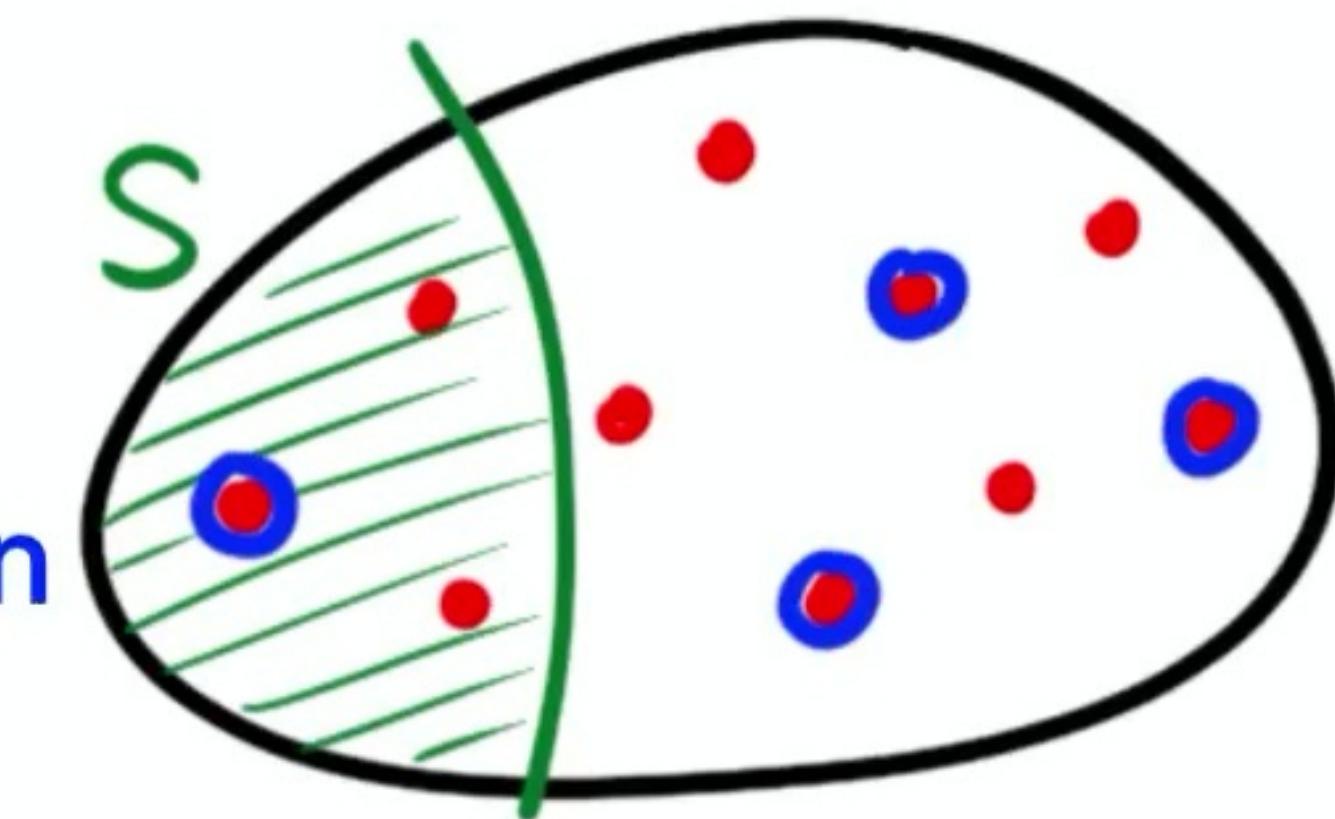
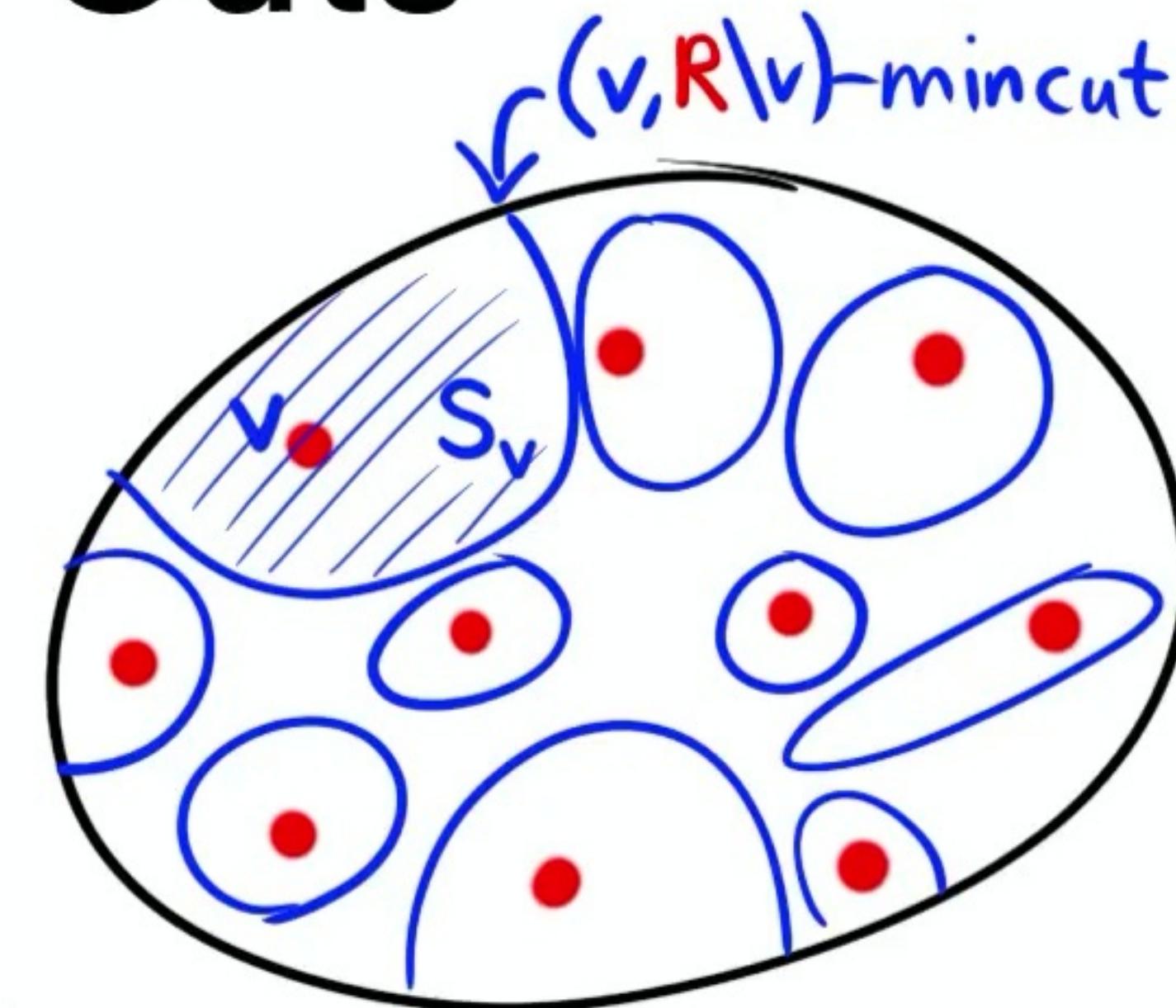
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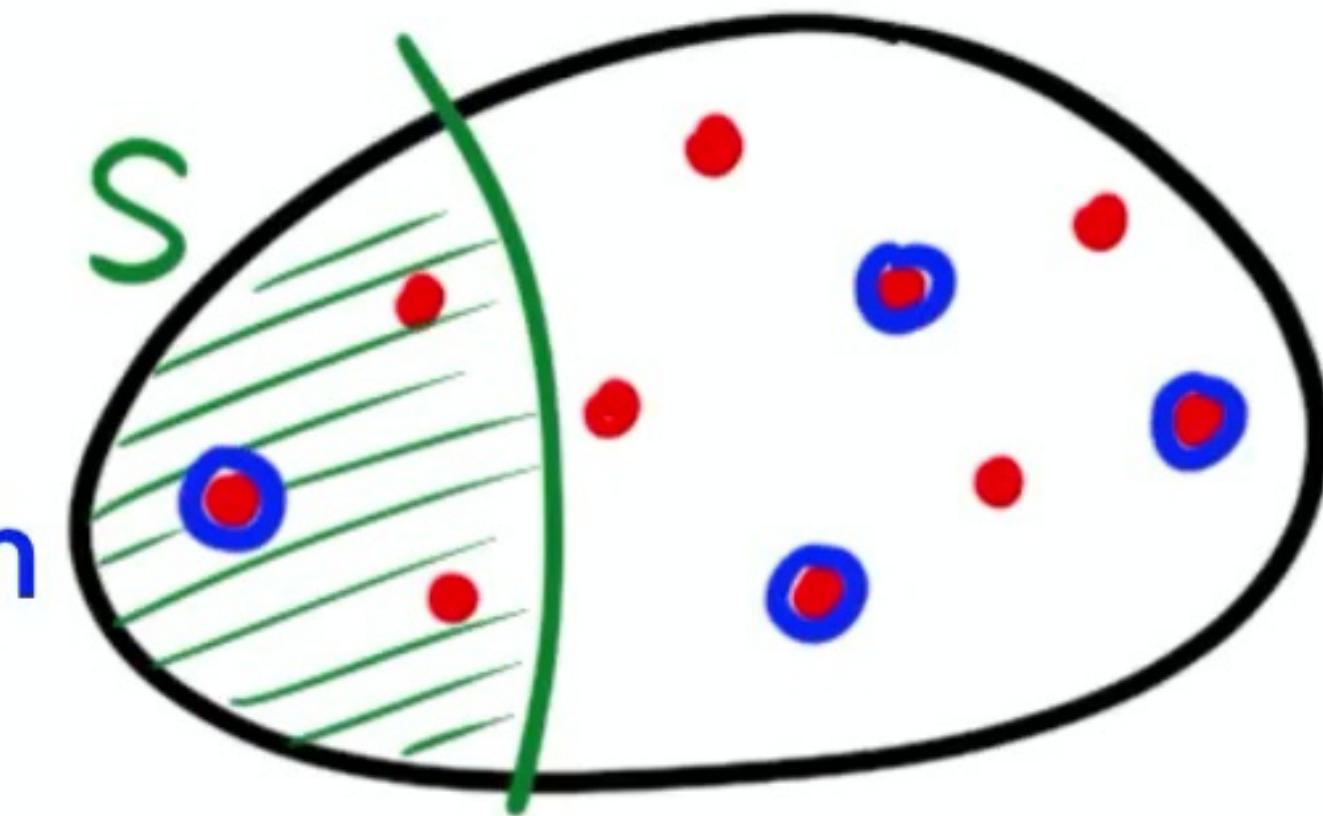
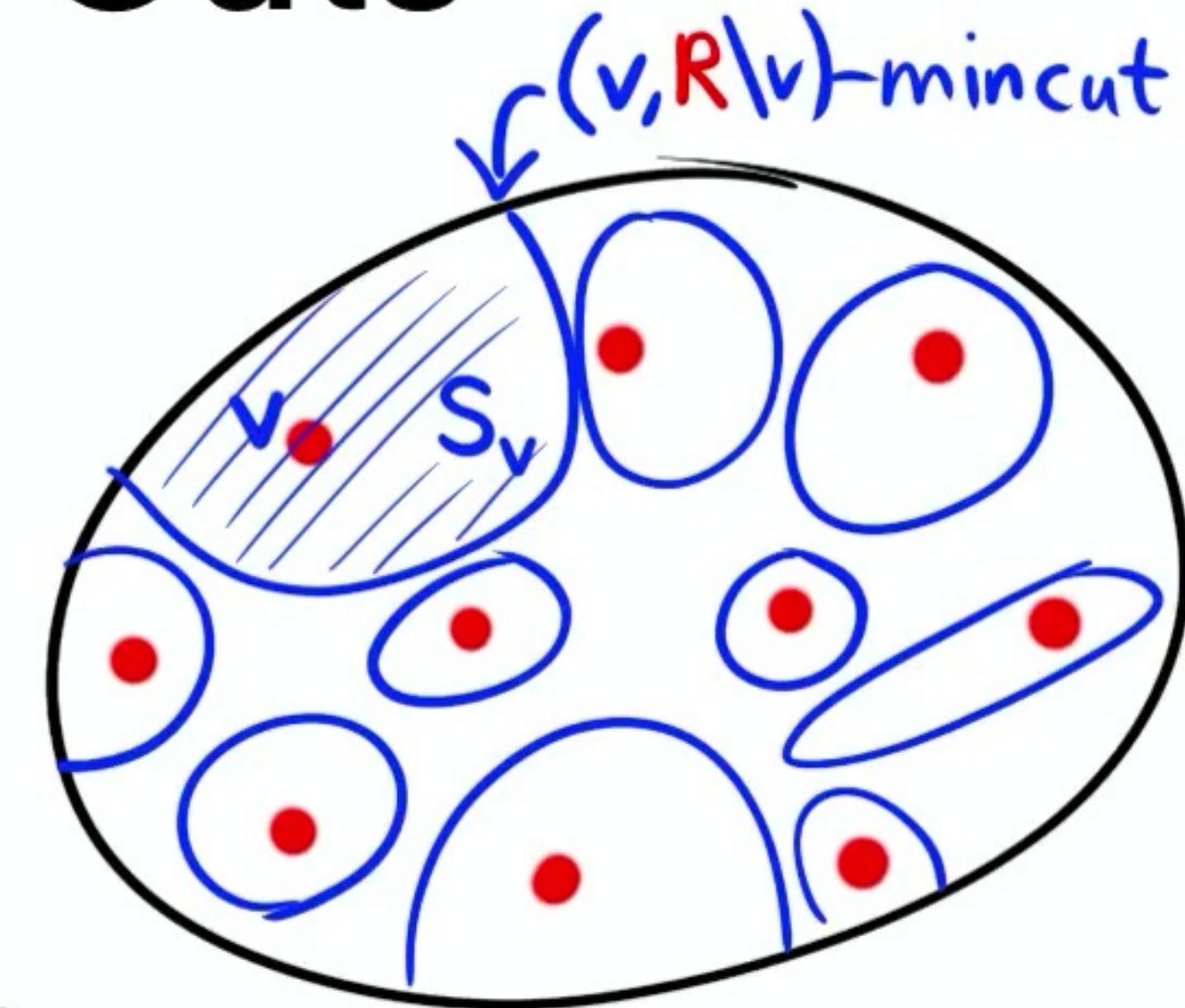
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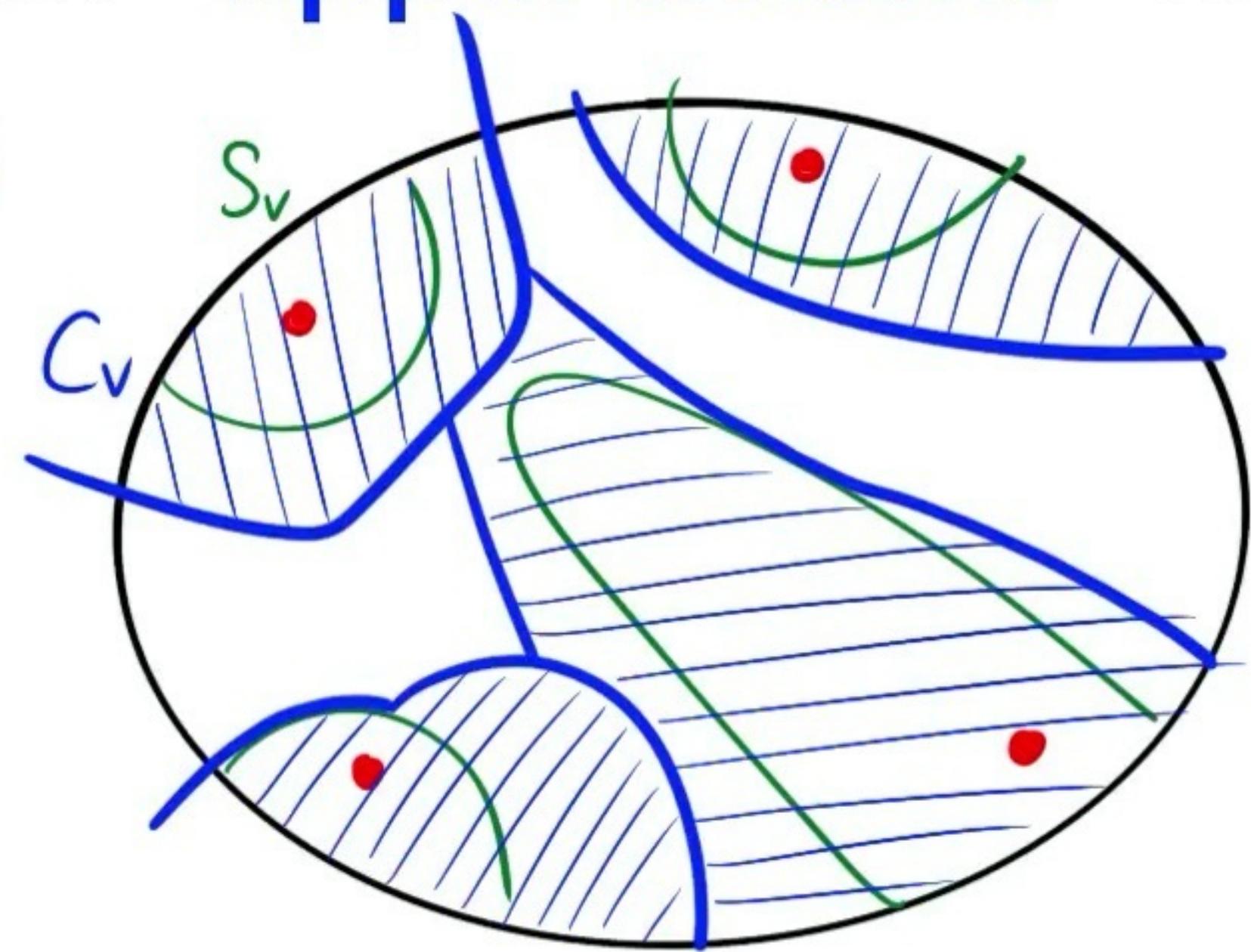
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Idea: compute an “**upper bound**” for each isolating cut

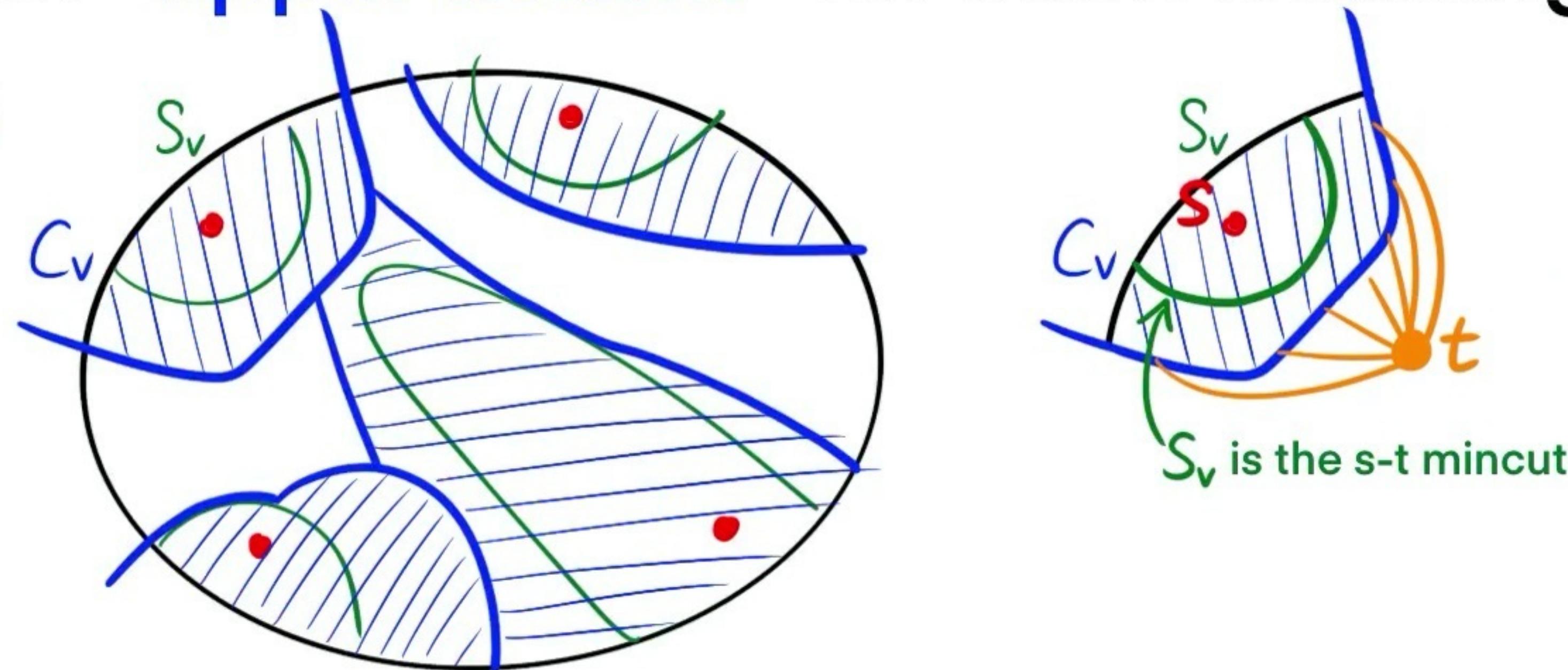
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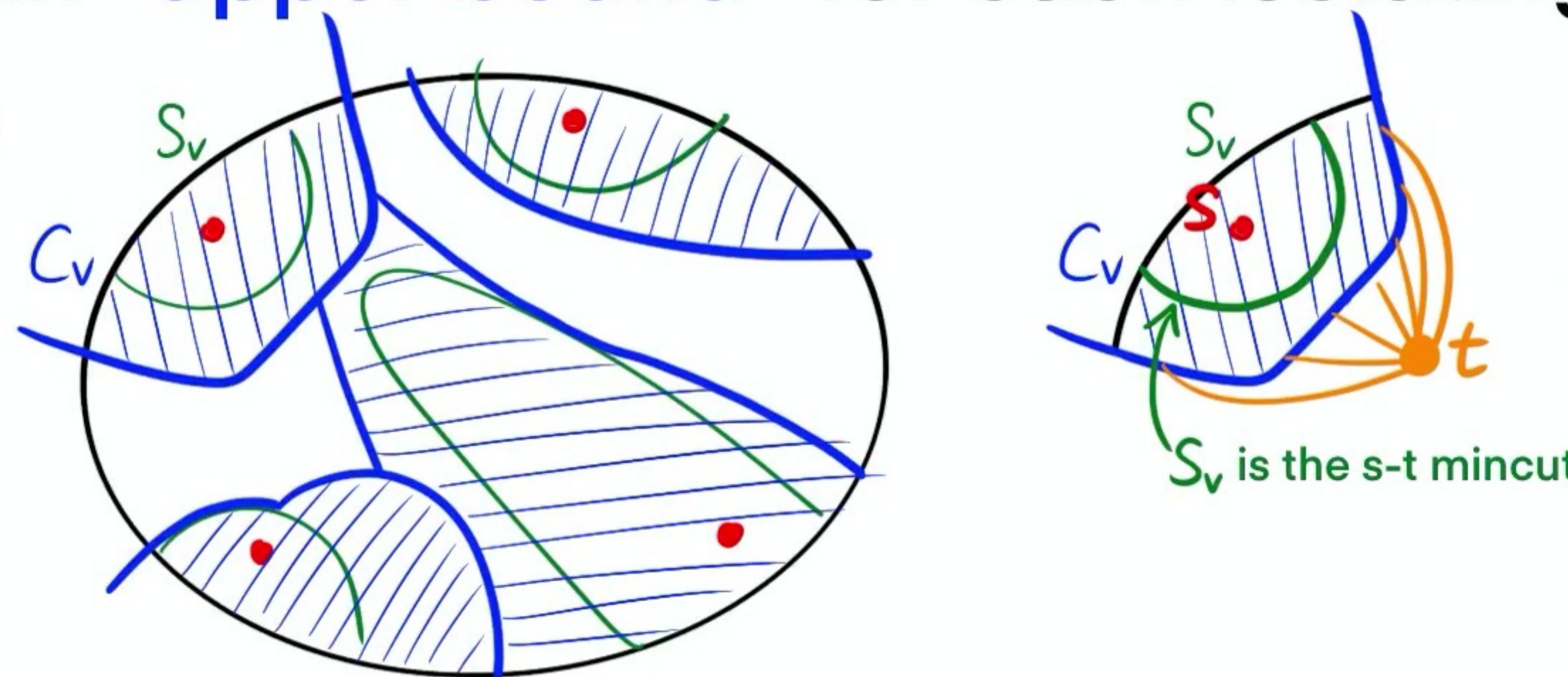


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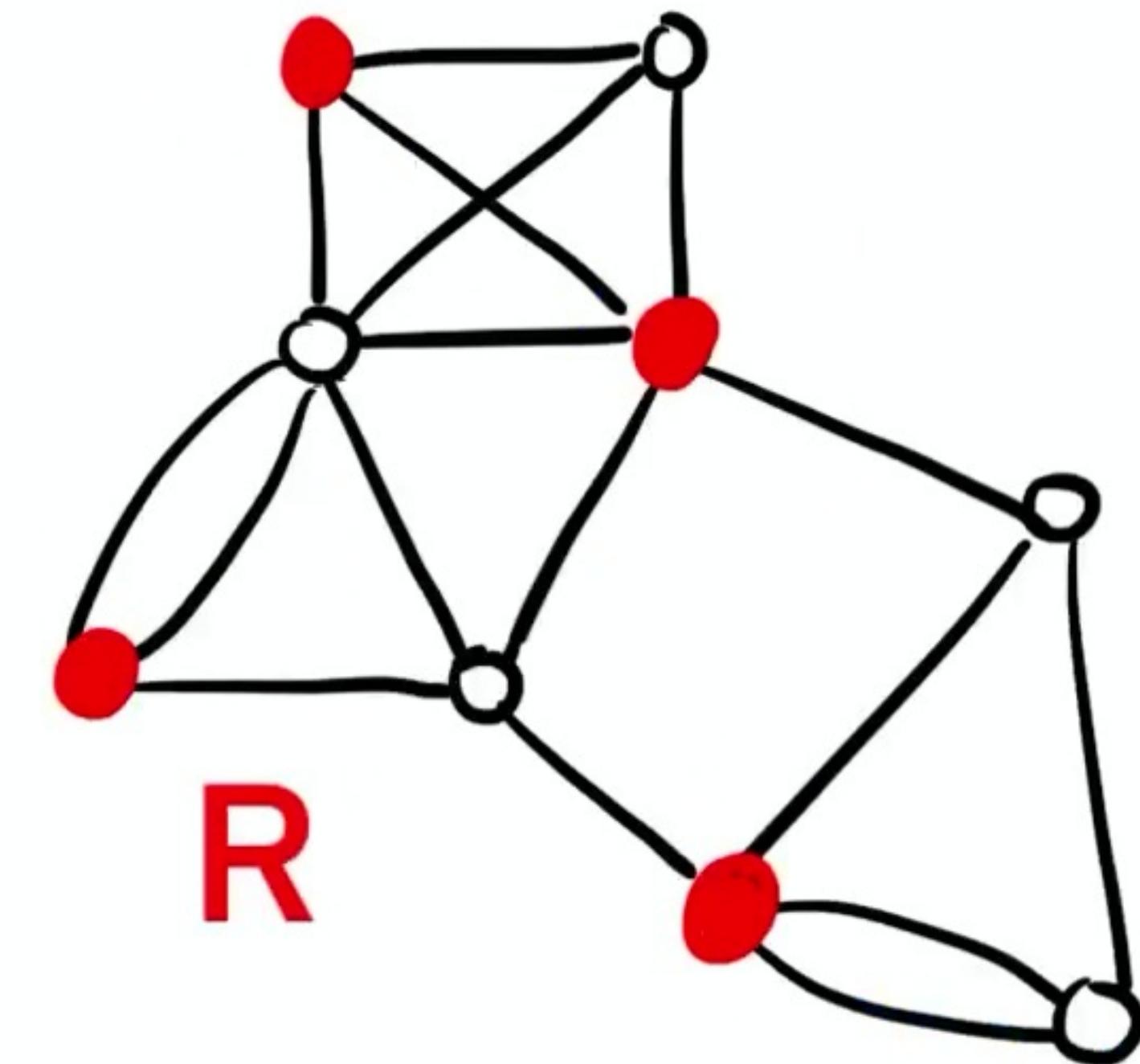


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Each edge in at most 2 such graphs  $\Rightarrow$  total size  $\leq 2m$   
 $\Rightarrow$  max-flow time on  $O(n)$  vertices,  $O(m)$  edges

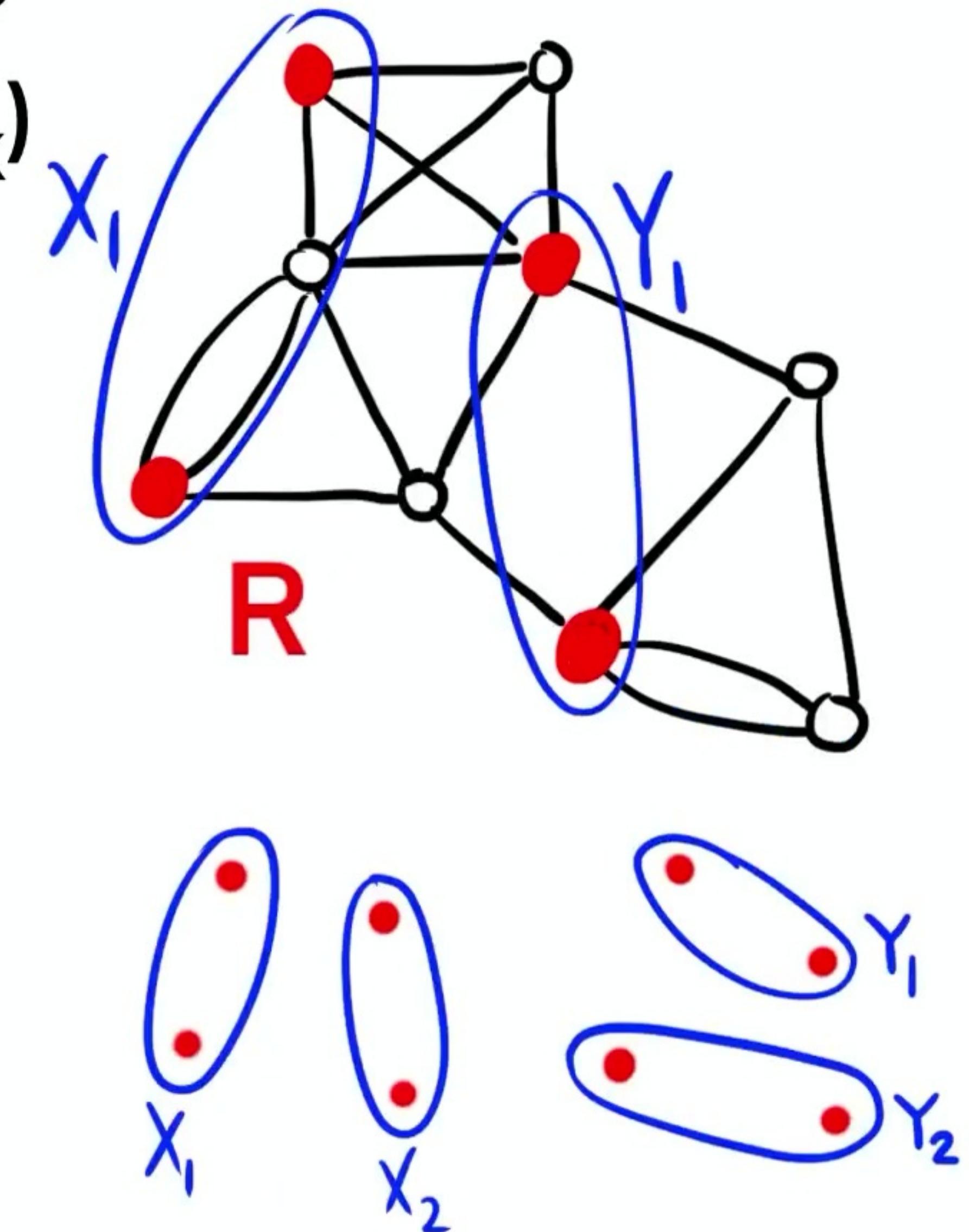
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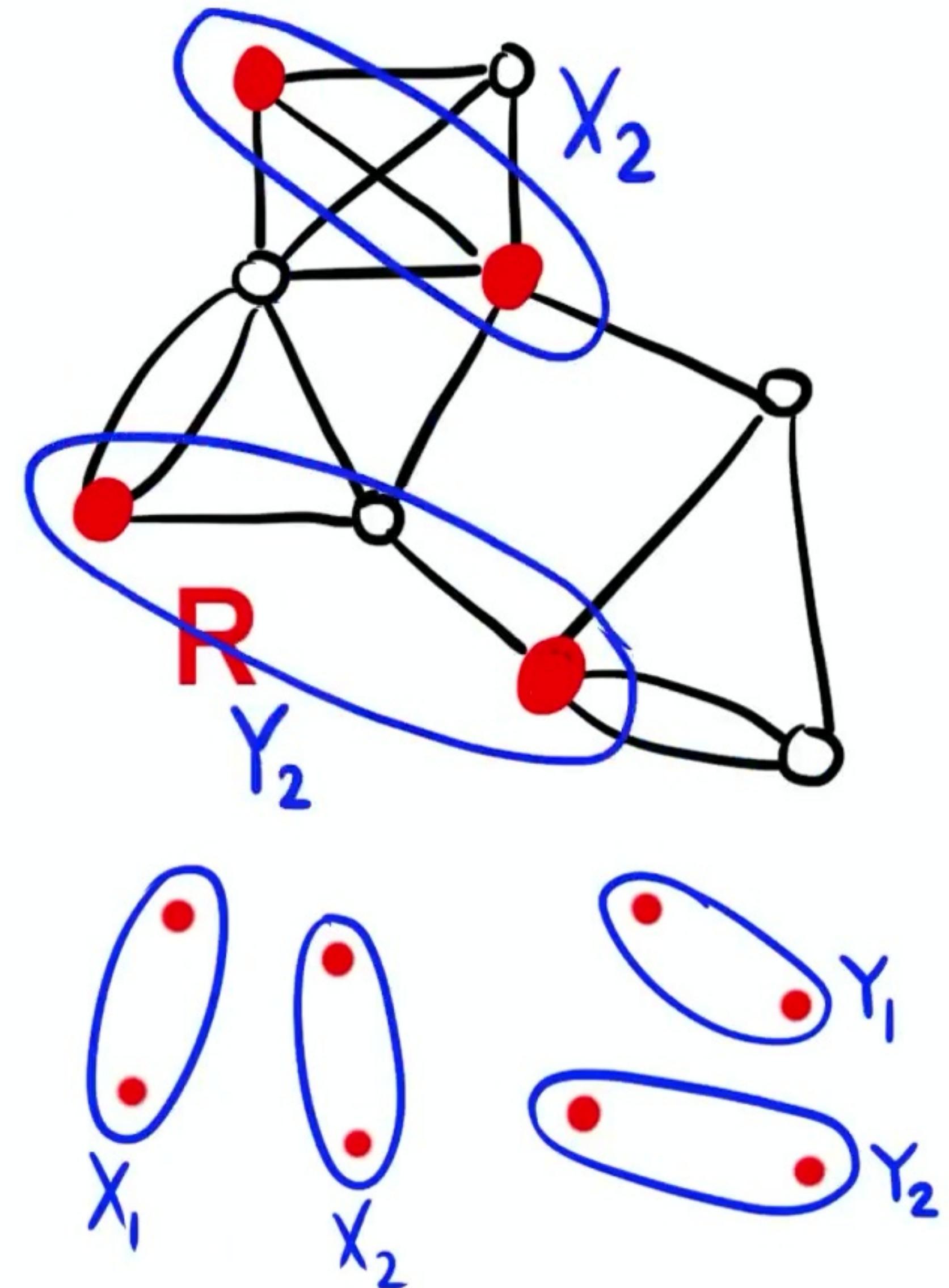
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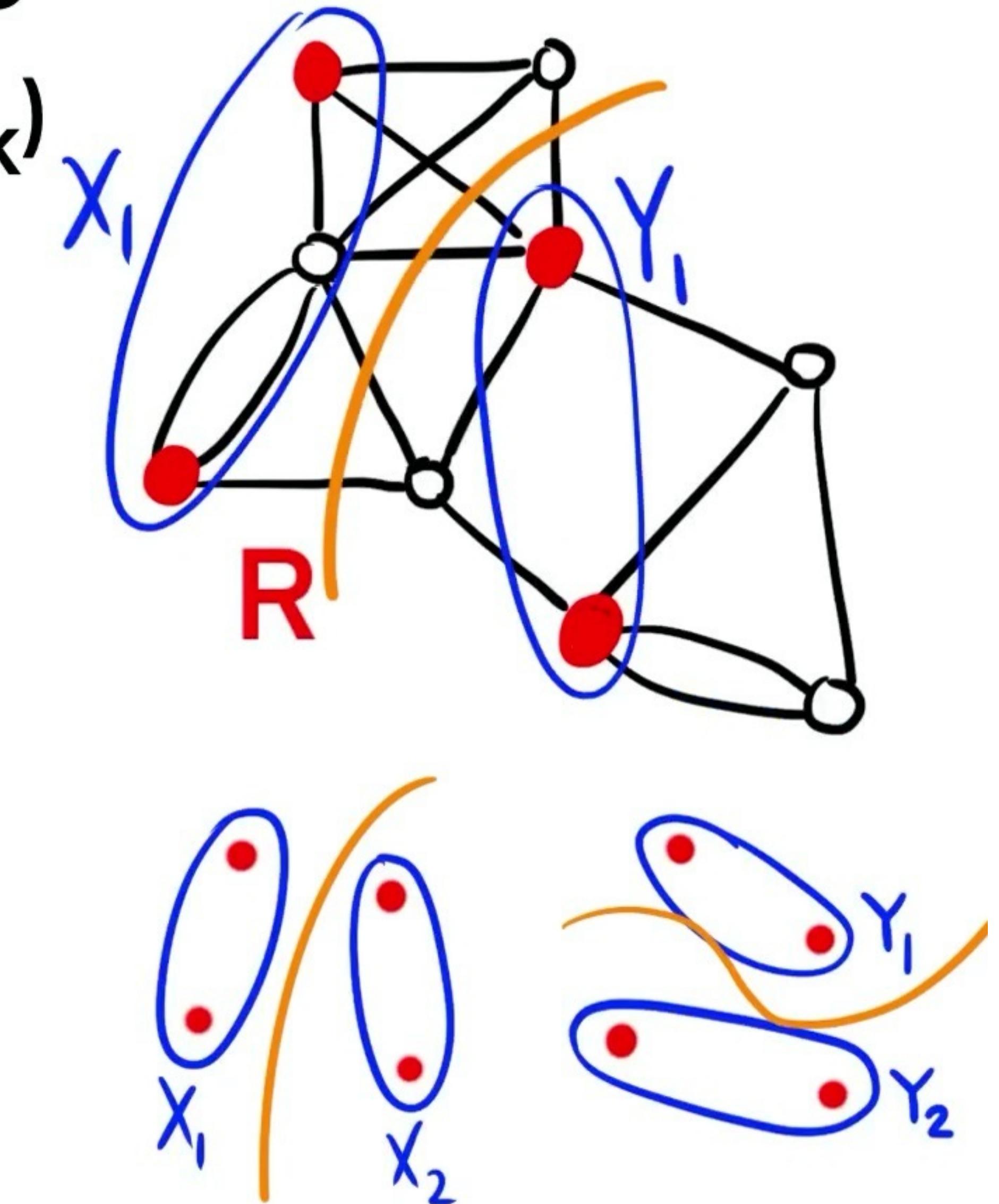
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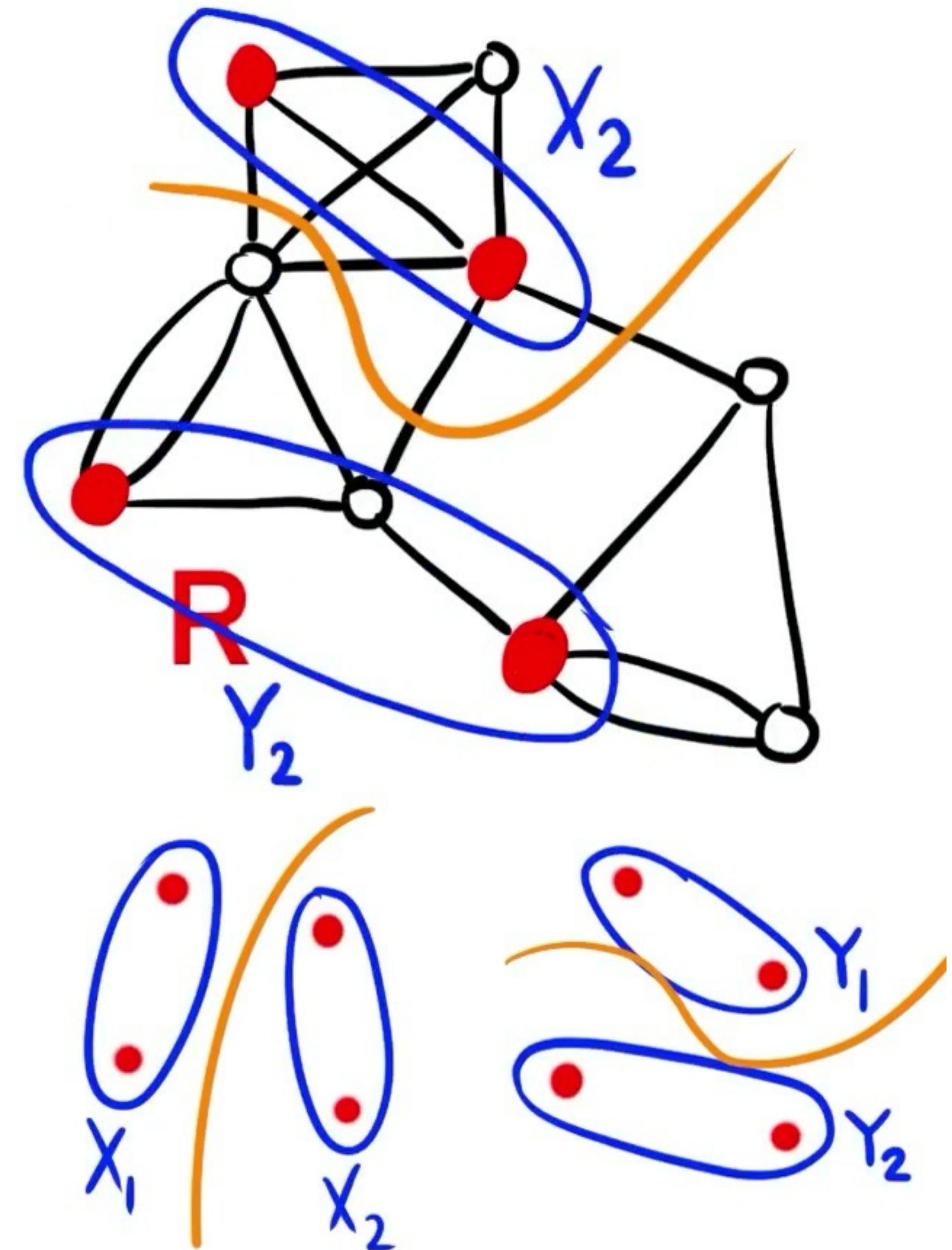
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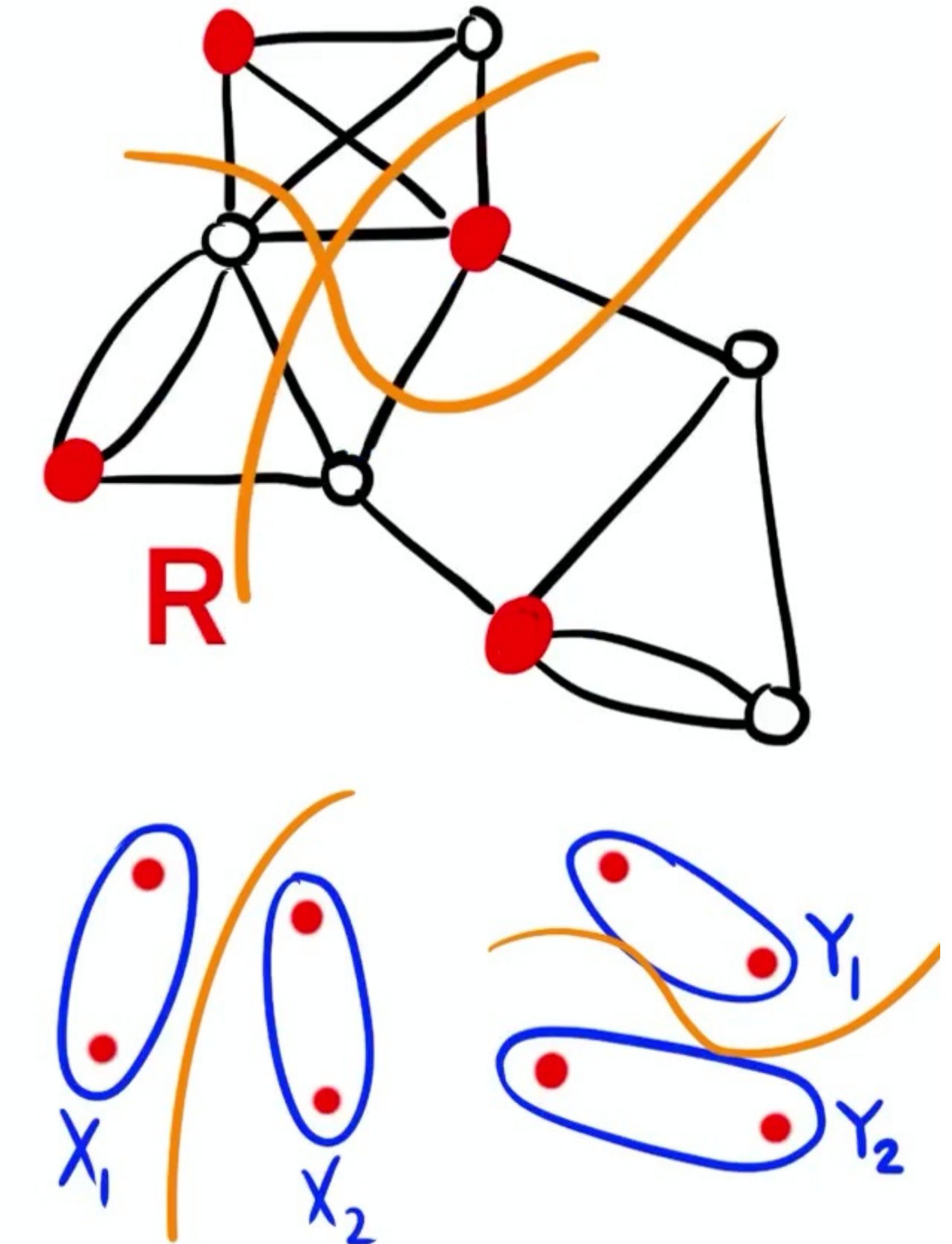
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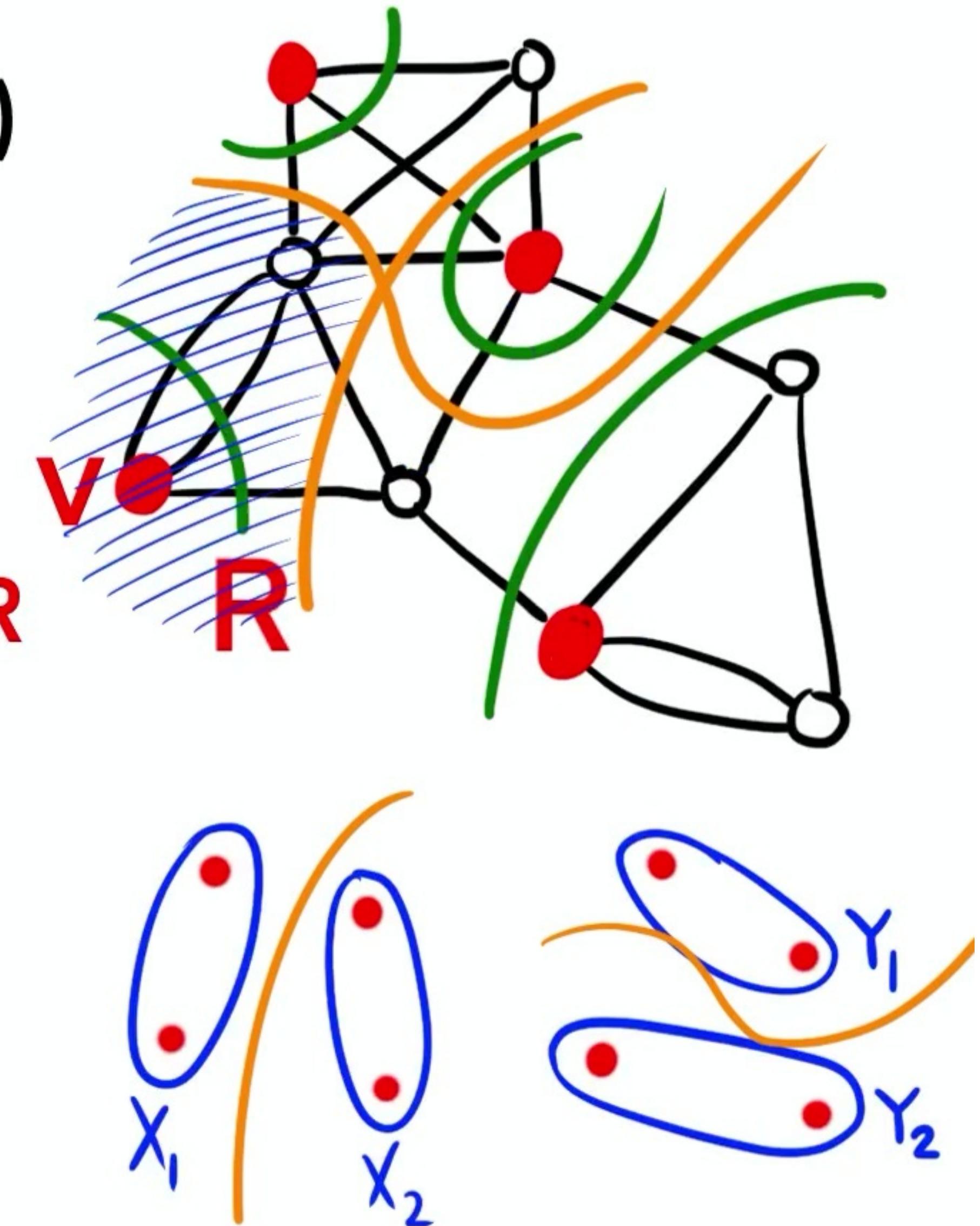
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## Upper Bound Lemma:

In  $G \setminus (\text{union of mincuts})$ ,  $v$ 's connected component contains  $(v, R \setminus v)$ -mincut



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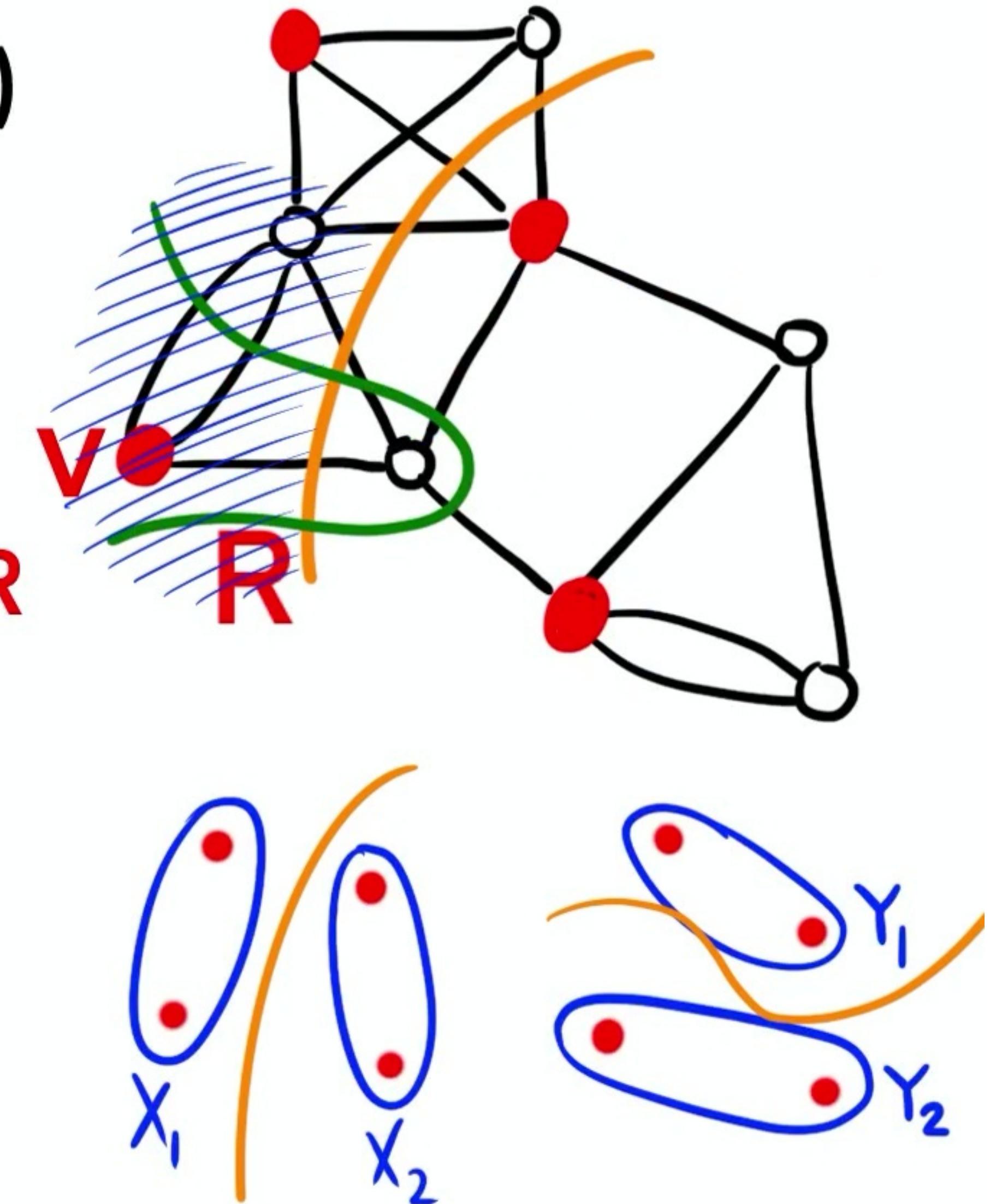
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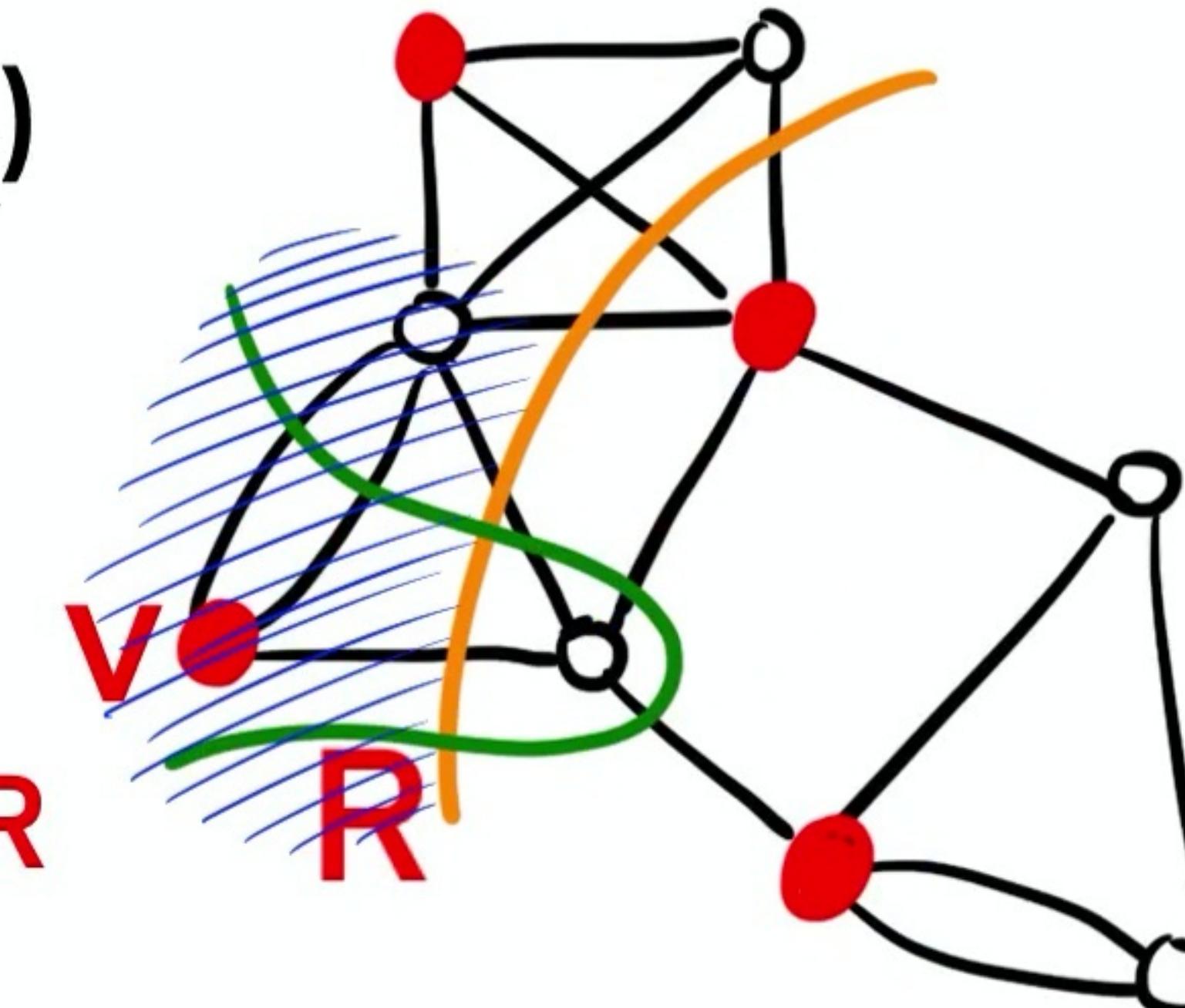
$$\nearrow + \searrow \geq \nearrow \nearrow + \searrow \searrow$$



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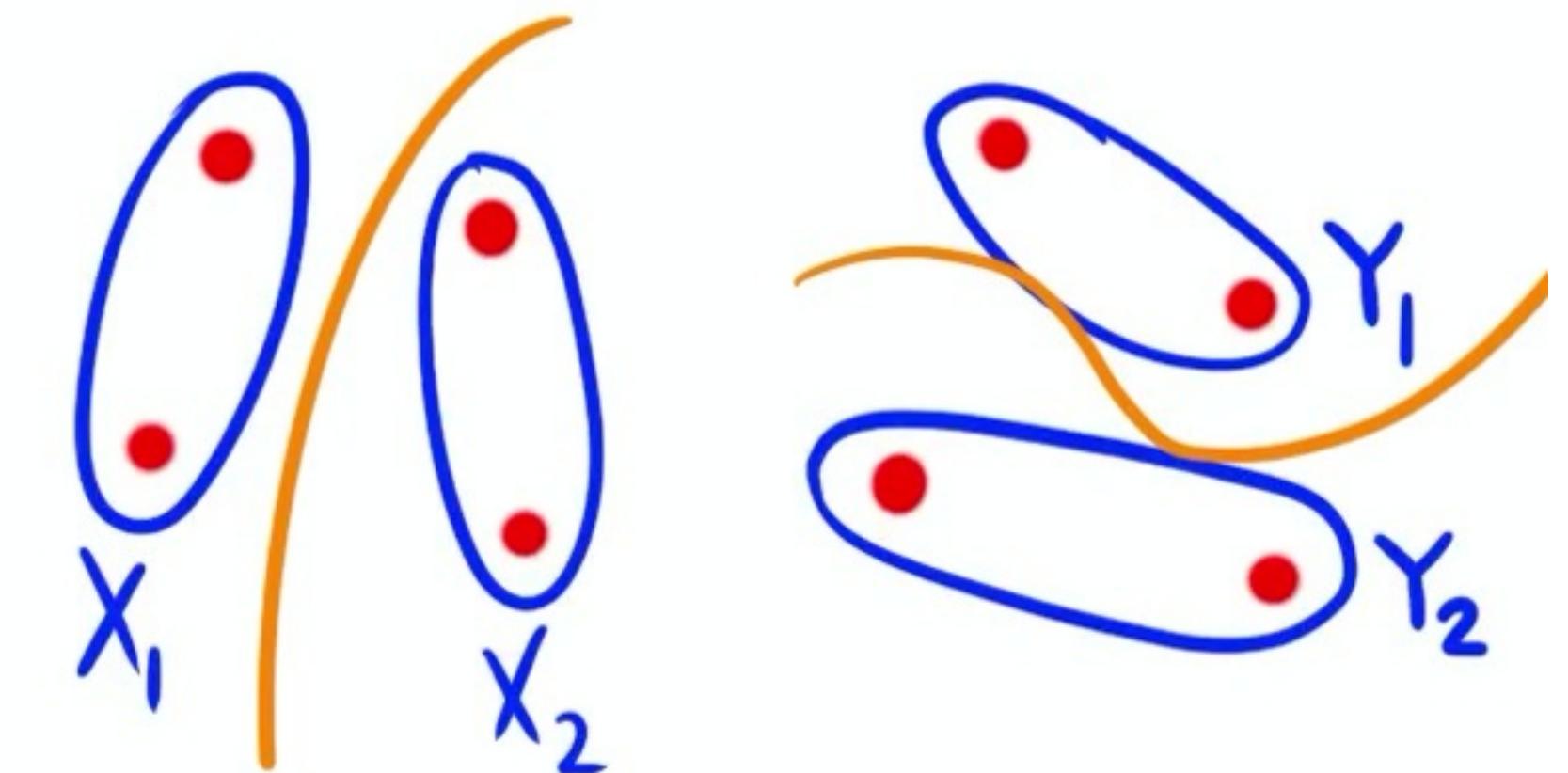


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$$\begin{array}{c} \text{Diagram showing two mincuts, one orange and one green, crossing.} \\ + \\ \geq \\ \leq \end{array} \quad \text{also } (X_1, Y_1)\text{-mincut}$$



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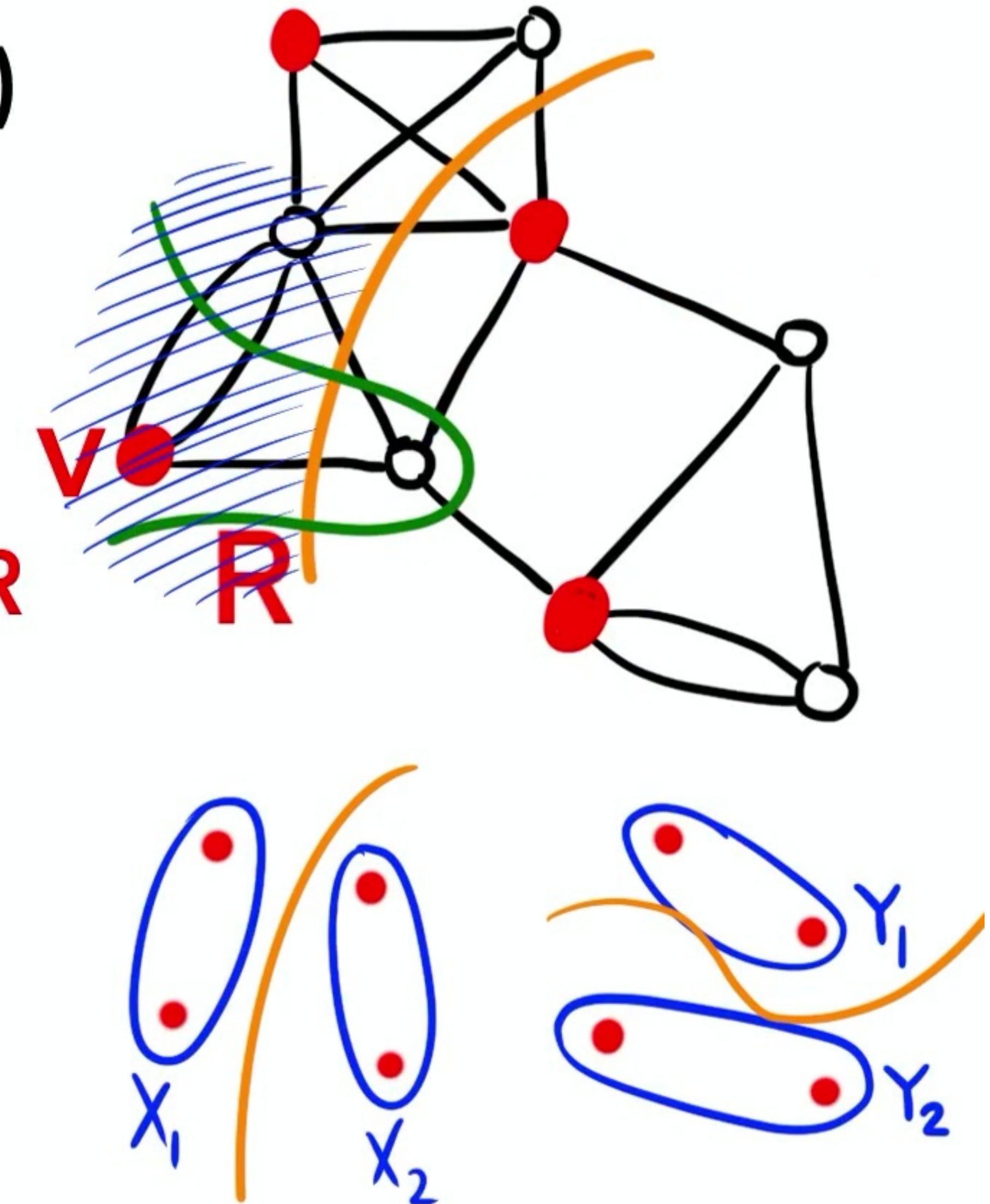
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# Recap: Steiner mincut

Thm: Steiner mincut in polylog(n) max-flows

Assumption inspired by **locality**: Steiner mincut is **unbalanced** (1 terminal on one side)

- Reduces to **Minimum Isolating Cuts**

**Simple** algorithm for Min. Iso. Cuts

**Simple** reduction from general Steiner mincut to unbalanced: random sampling

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Thm: Steiner mincut in polylog(n) max-flows

Assumption inspired by **locality**: Steiner mincut is **unbalanced** (1 terminal on one side)

- Reduces to **Minimum Isolating Cuts**



**Simple** algorithm for Min. Iso. Cuts

**Simple** reduction from general Steiner mincut to unbalanced: random sampling

# Minimum Isolating Cuts: Applications

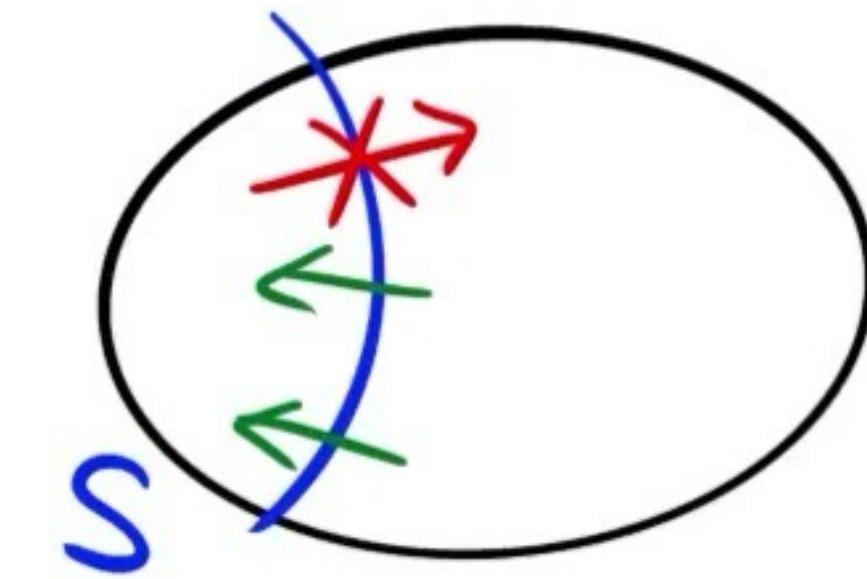
[L.-Panigrahi '21]: All-pairs mincut and Gomory-Hu tree:  
 $(1+\varepsilon)$ -approximation in  $\text{polylog}(n)$  exact max-flows

[L.-Nanongkai-Panigrahi-Saranurak-Yingchareonthawornchai '21]  
vertex connectivity in  $\text{polylog}(n)$  max-flows

[Chekuri-Quanrud, Mukhopadhyay-Nanongkai '21]  
Symmetric bisubmodular function minimization,  
hypergraph connectivity, element connectivity

# Directed Mincut

Directed mincut: partition  $(S, V \setminus S)$  s.t. no directed edge from  $S$  to  $V \setminus S$

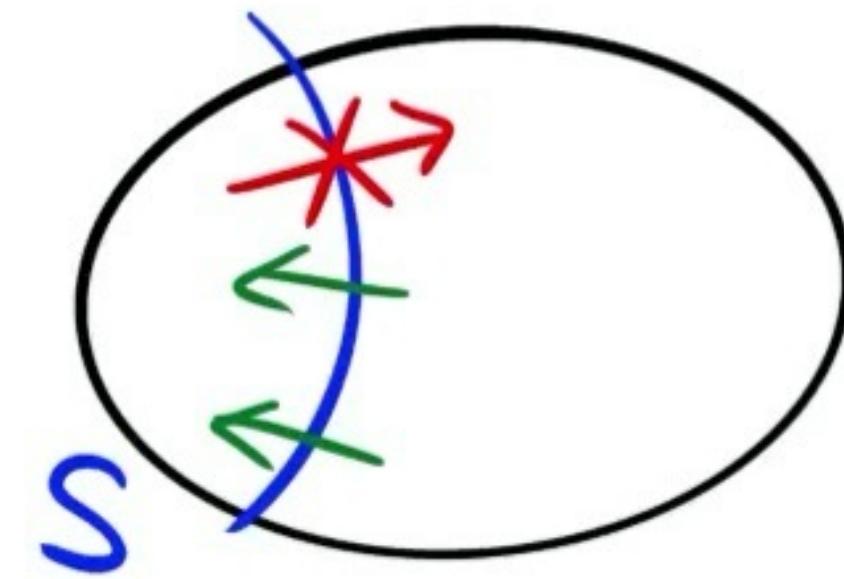


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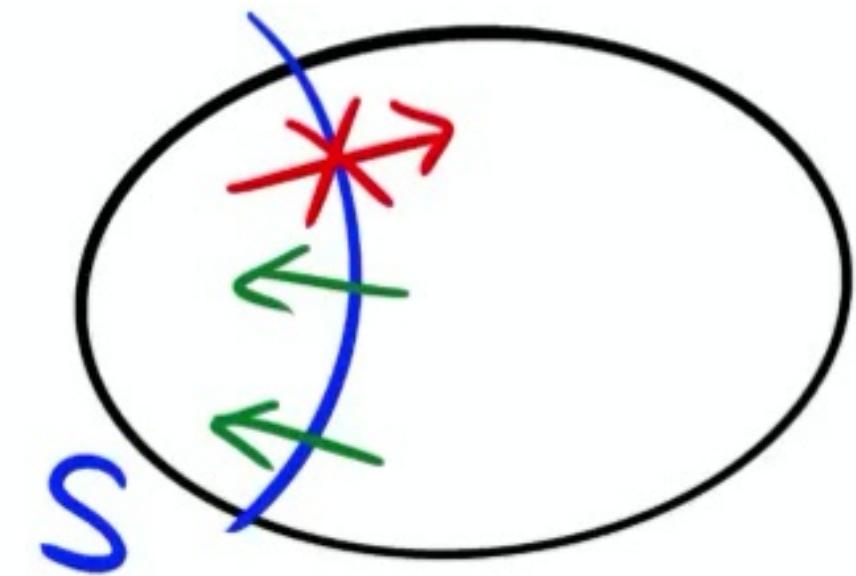


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Previous best:  $\tilde{O}(mn)$  [Hao-Orlin'94]

[Cen-L.-Nanongkai-Panigrahi-Saranurak]  $\sqrt{n}$  max-flows  $\Rightarrow O(m\sqrt{n} + n^2)$

This talk:  $(1+\varepsilon)$ -approximation

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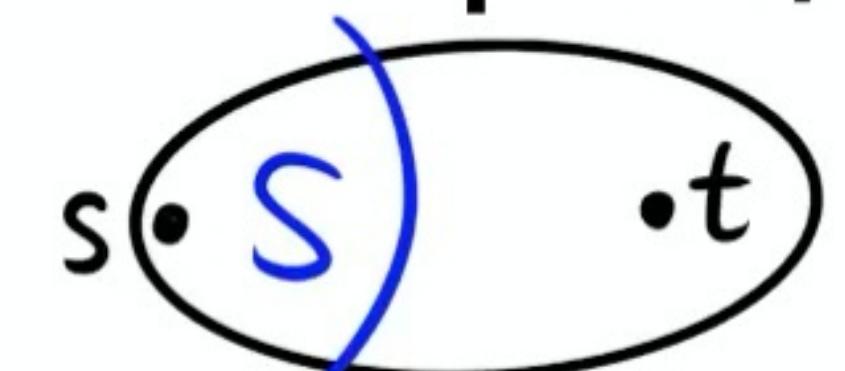
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**Partial sparsification:** preserve only **k-unbalanced** cuts ( $\leq n^k$  of them)

Balanced case: sample s,t at random and compute s-t mincut



occurs w.p.  $\gtrsim k/n \Rightarrow$  repeat  $\sim n/k$  times

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Algorithm: compute **partial sparsifier  $H$** , then find directed mincut  
 $\partial_H S$  in sparsifier. Output  $\partial_G S$

Assumption: directed mincut is  **$k$ -unbalanced**

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Thm: suppose sampled graph  $\mathbf{H}$  satisfies (for some  $p$ )

- all k-unbalanced cuts have  $(1 \pm \varepsilon)p$  fraction edges sampled
- all k-balanced cuts have size  $\gg p\lambda$  ( $\lambda = \text{mincut}$ )

then mincut in  $\mathbf{H}$  is  $(1 + \varepsilon)$ -mincut in  $G$

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- $\frac{k}{\varepsilon}$ -balanced cut increases by  $\geq 2\lambda$
- $k$ -unbalanced cut increases by  $\leq 2\varepsilon\lambda$

(including mincut)

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Arborescence packing + minimum 1-respecting cut:  
 $\sim k$  max flows unbalanced, exact  
 $k=\sqrt{n} : \sim \sqrt{n}$  max flows

# Recap: directed mincut

Thm: directed mincut in  $\sqrt{n}$  max-flows

**Directed sparsification is hard**

Locality: partial sparsification of only **unbalanced** cuts

Balanced case: different strategy this time

⇒ **simple**  $(1+\varepsilon)$ -approximate directed mincut  
few extra steps for exact

# **Part II: Preconditioning**

## **1. Deterministic mincut**

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- Kawarabayashi-Thorup '15:  $\tilde{O}(m)$  deterministic for **simple** graphs
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**Preconditioning assumption:** assume input is an **expander**

- Expander case: **simple** algorithm following [Karger '96]
- General case: expander decomposition (**technical**)

# Mincut by Sparsification + Tree Packing

Thm [Karger '96]: Suppose given a **skeleton** graph  $H$  s.t.

- $H$  has  $O(m)$  edges
- The mincut of  $H$  is  $\lambda_H \geq p\lambda$
- For the mincut  $\partial_G S^*$  in  $G$ ,  
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Then, can compute exact mincut in  $G$  in  $m\lambda_H$  additional  
**deterministic** time

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suffices: sample  $(1\pm\varepsilon)p$  fraction of  
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This talk: **deterministic** skeleton for expander

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mincut is unbalanced for expander

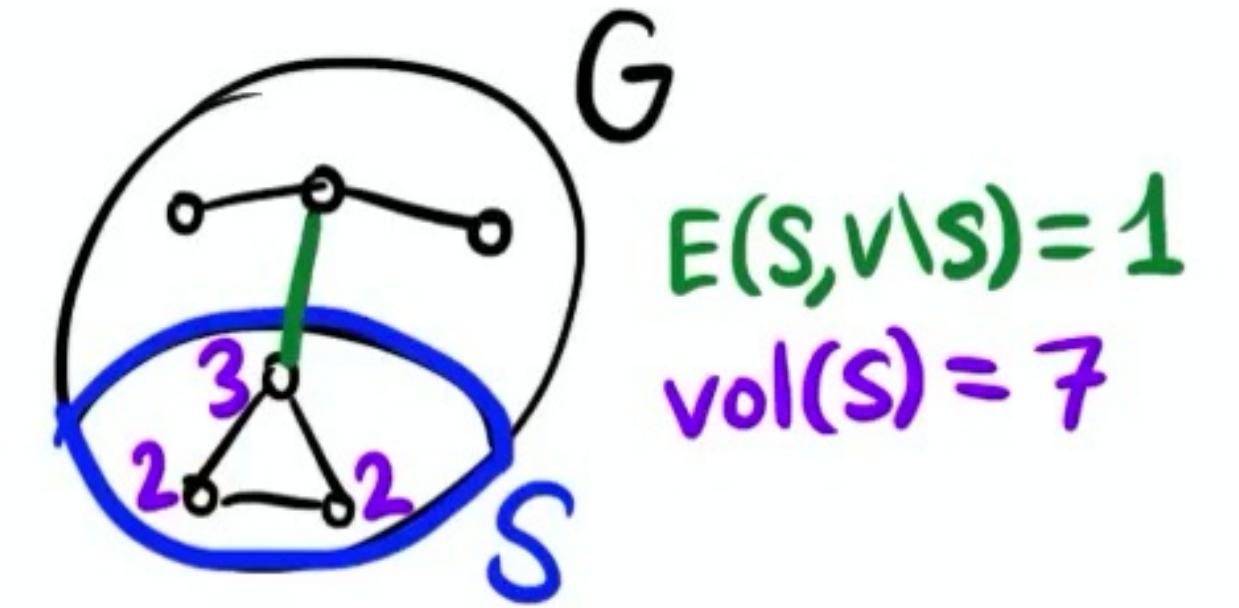
Balanced cuts: overlay expander (same as before)

# Expanders

Conductance of a graph:  $\Phi(G) = \min_{\substack{S \subseteq V \\ \text{vol}(S) \leq \text{vol}(V \setminus S)}} \frac{|E(S, V \setminus S)|}{\text{vol}(S)}$

$G$  is a  $\phi$ -expander if  $\Phi(G) \geq \phi$

"volume" of  $S$ :  
sum of degrees in  $S$



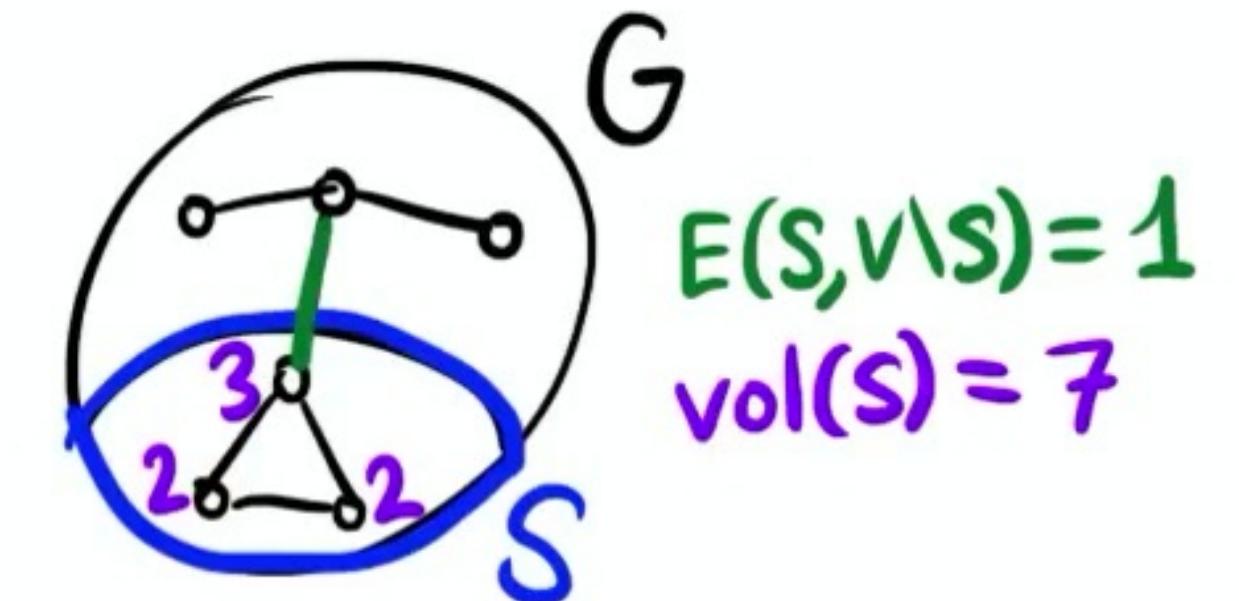
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Why expanders? [KT'15]

Claim: in a  $\phi$ -expander, any  $\alpha$ -approx mincut  $\partial S$  ( $|\partial S| \leq \alpha \lambda$ ) must have  $|S| \leq \alpha/\phi$



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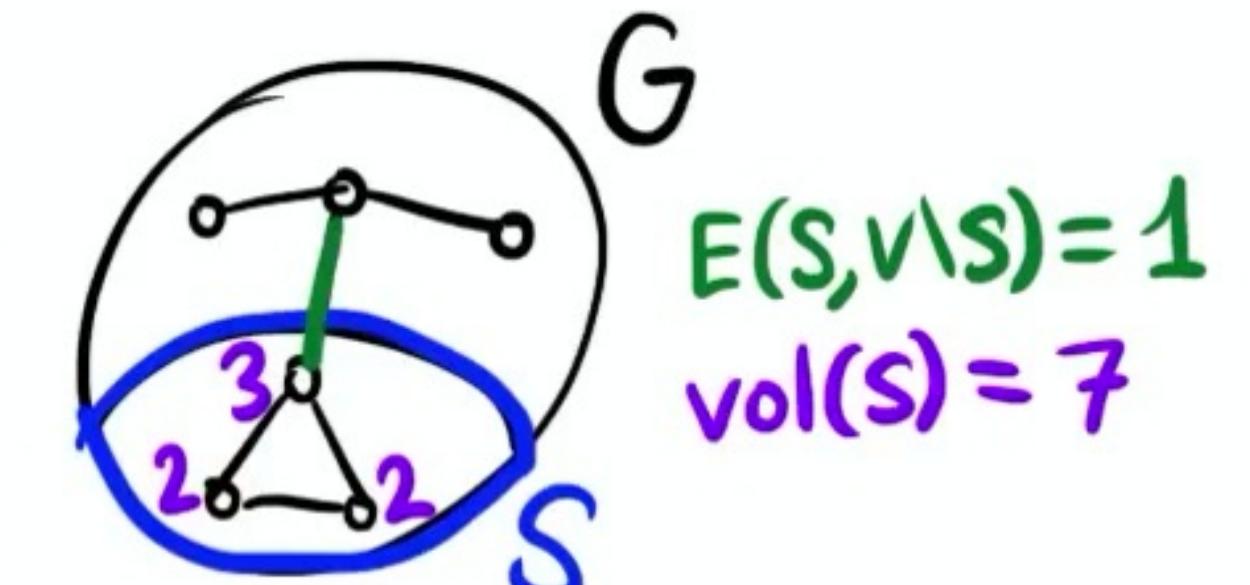
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Proof: All degrees  $\geq \lambda$  [ $\lambda = \text{mincut}$ ]

so  $\text{vol}(S) \geq \lambda |S|$

$\phi$ -expander:  $|\partial S| \geq \phi \text{vol}(S) \geq \phi \lambda |S|$



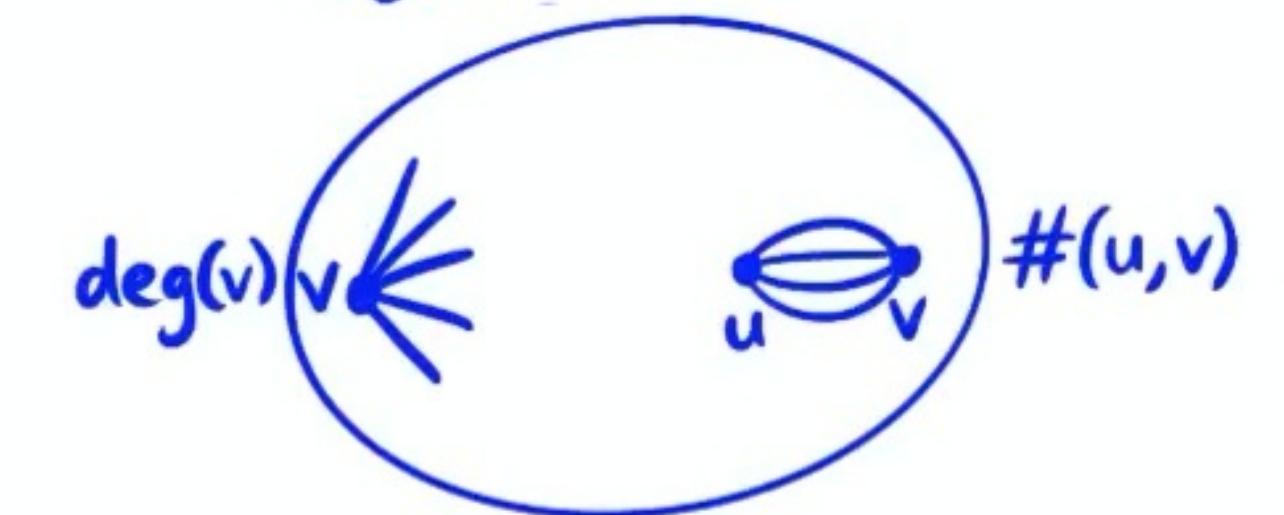
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First goal: ensure that sample  $(l \pm \varepsilon)p$  for all unbal. cuts  $\partial S: |S| \leq \frac{\alpha}{\phi}$   
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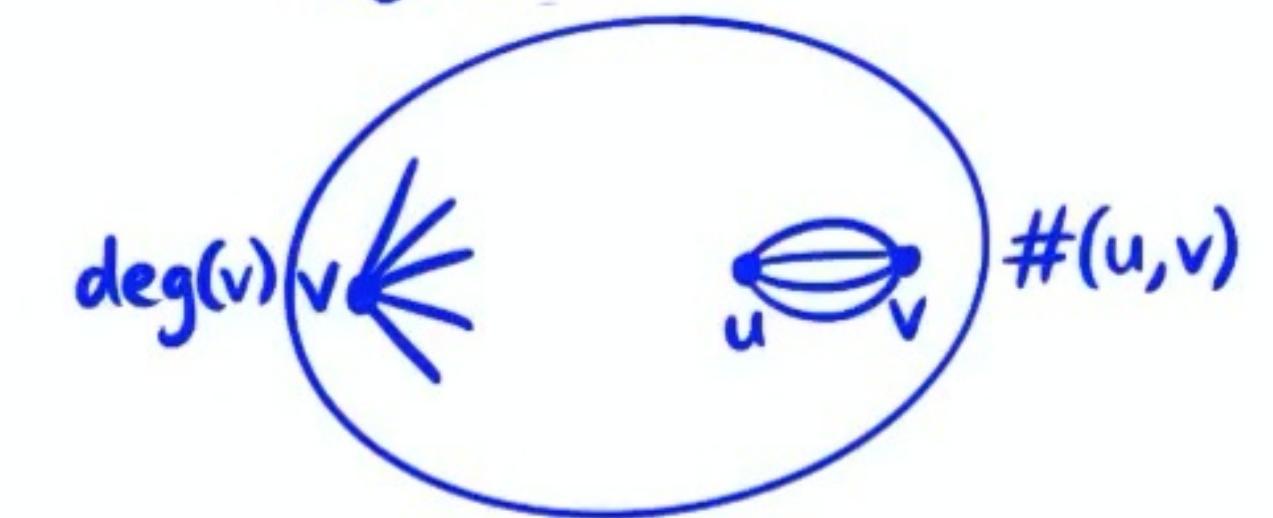
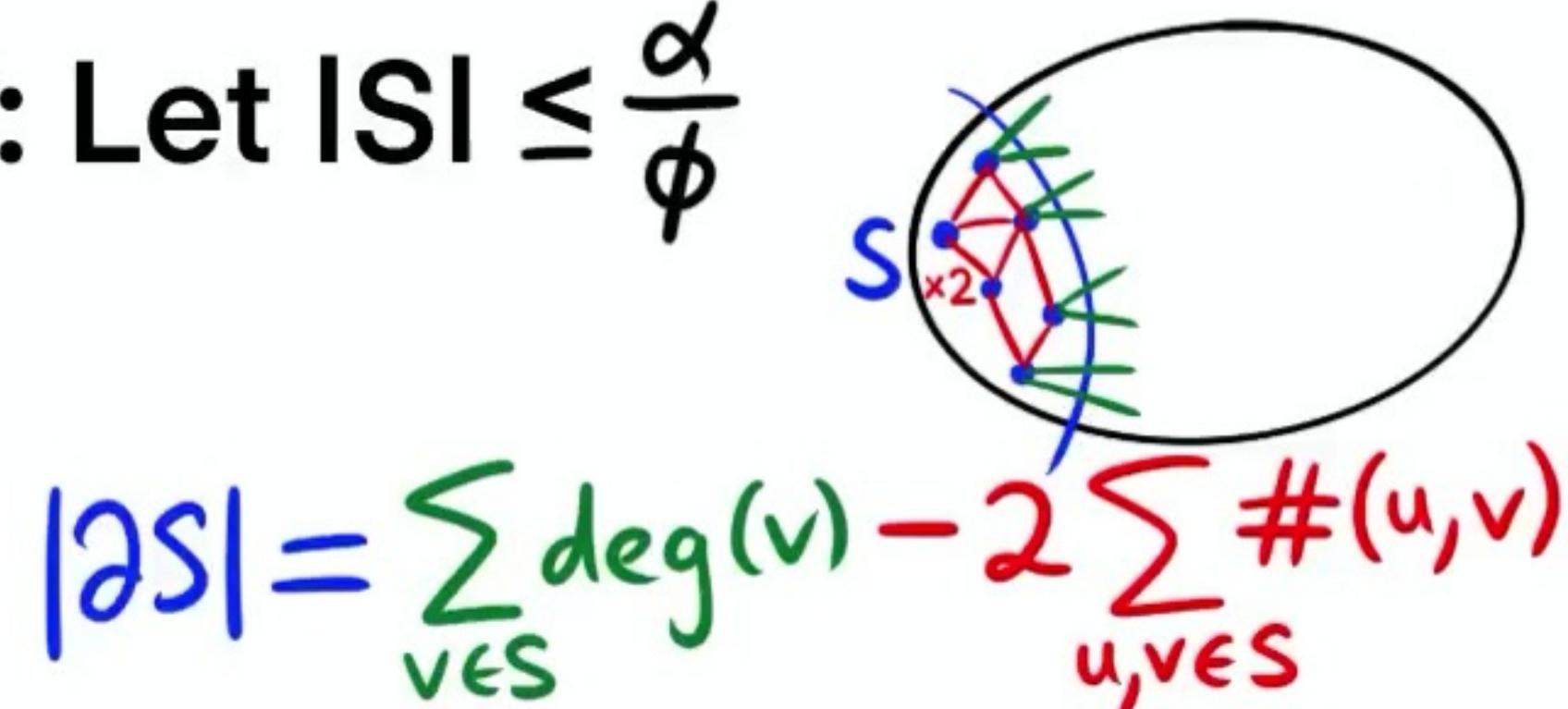
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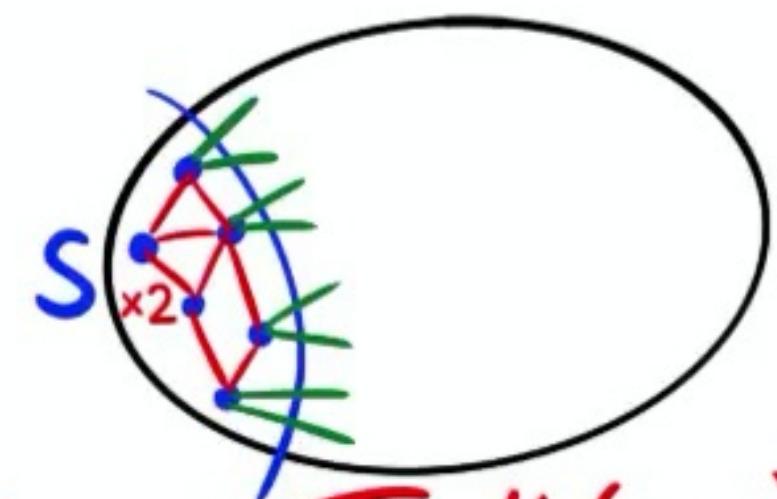
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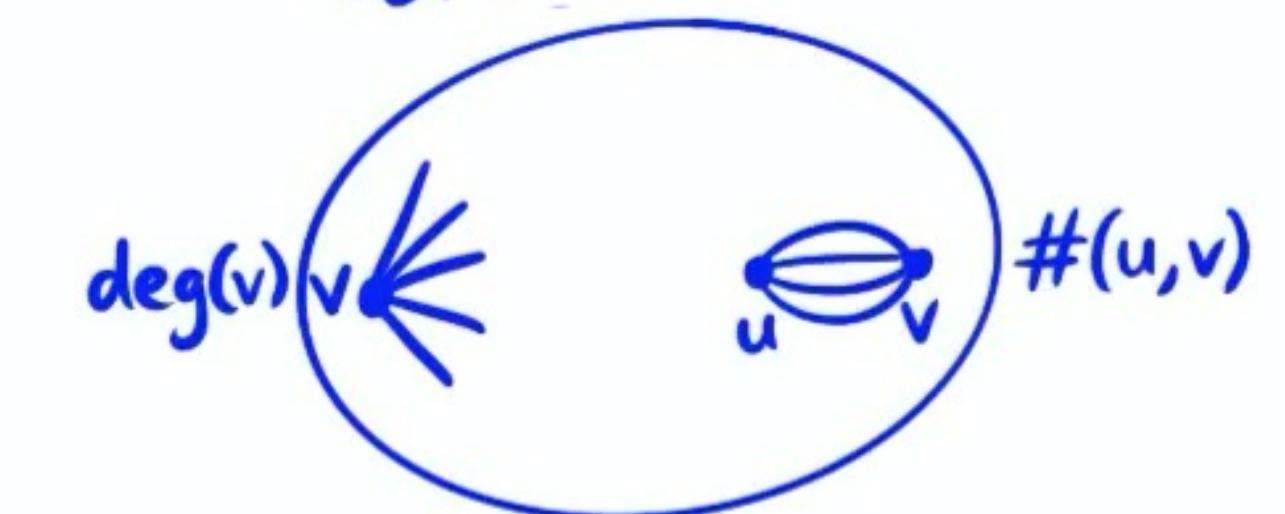
$$|\partial S| = \sum_{v \in S} \deg(v) - 2 \sum_{u, v \in S} \#(u, v)$$

$|S|^2 \leq \left(\frac{\alpha}{\phi}\right)^2$  terms, each with

$\leq \varepsilon \left(\frac{\phi}{\alpha}\right)^2 \lambda$  additive error

$\Rightarrow \varepsilon \lambda$  total additive error

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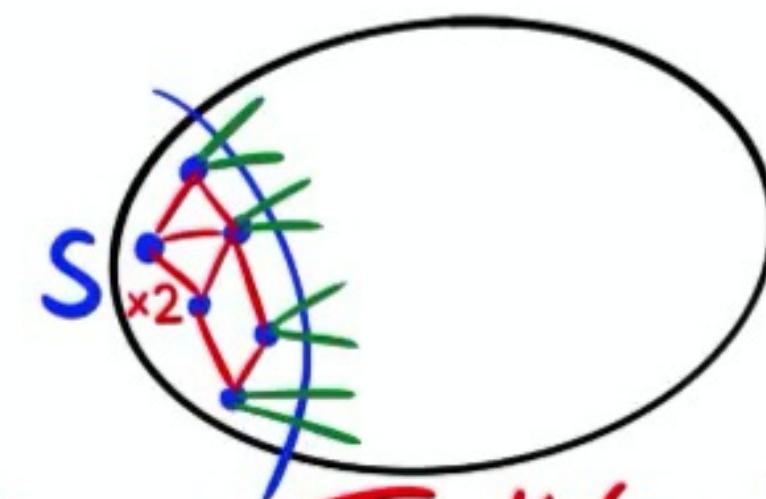
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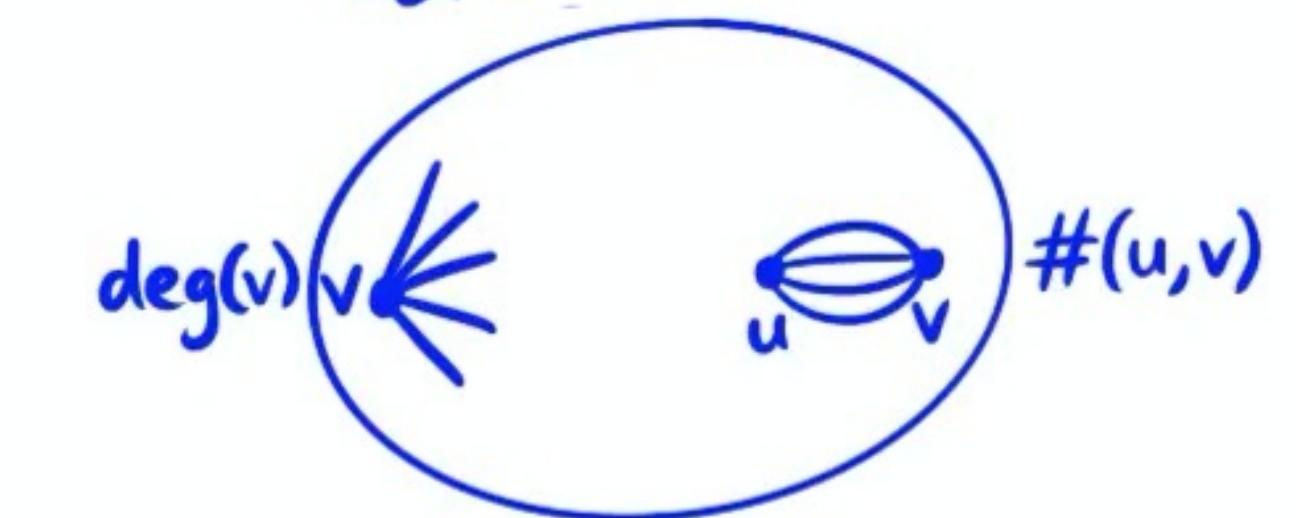
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Pessimistic estimators method:  $\tilde{O}(m)$  time

# Recap: Deterministic Mincut

Thm: deterministic mincut in  $m^{1+o(1)}$  time

Karger: reduces to computing mincut sparsifier

**Deterministic sparsifier is hard:  $2^n$  many cuts to preserve**

**Preconditioning assumption:** input is expander

**Locality assumption:** mincut is unbalanced

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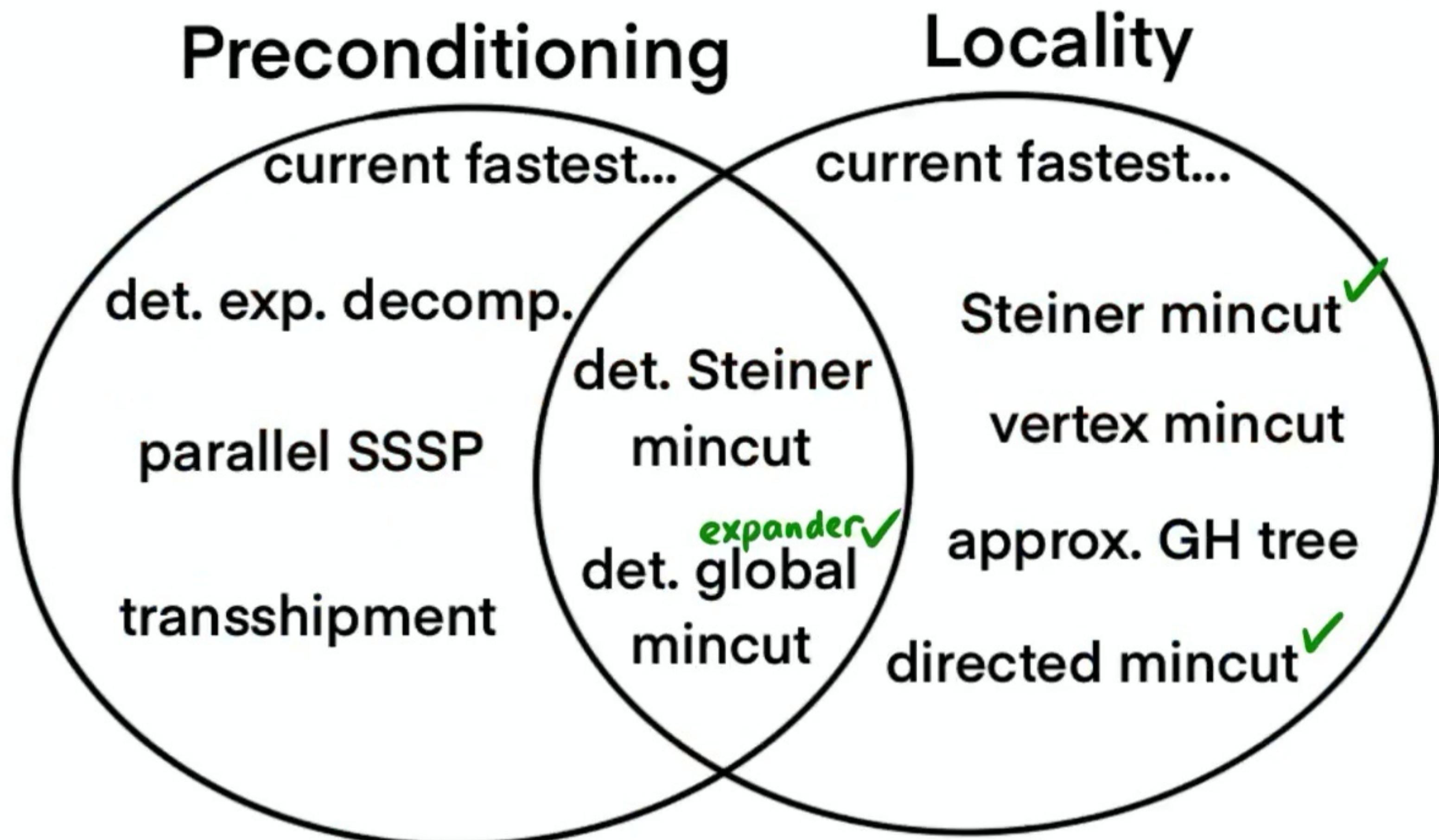
**Locality assumption:** mincut is unbalanced

- Unbalanced cuts: only need to preserve  $\deg(v)$  and  $\#(u,v)$
- Balanced cuts: overlay expander

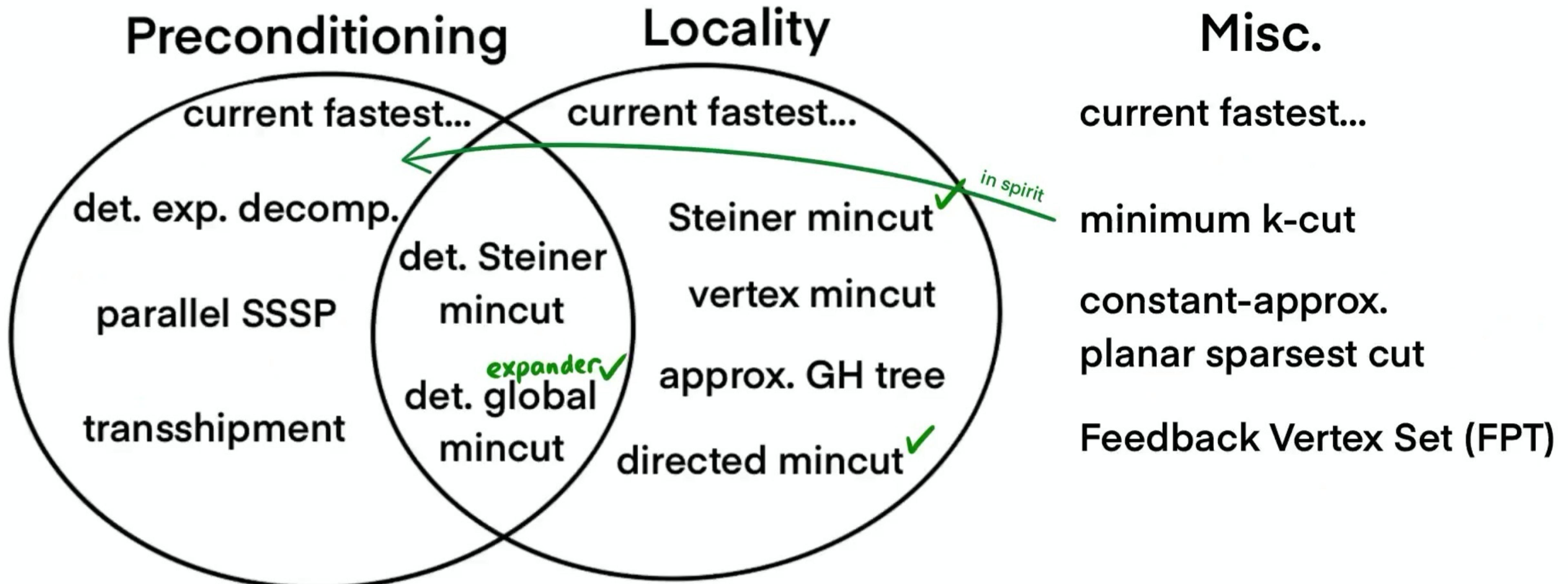
⇒ simple mincut sparsifier for expander

General graphs: expander decomposition

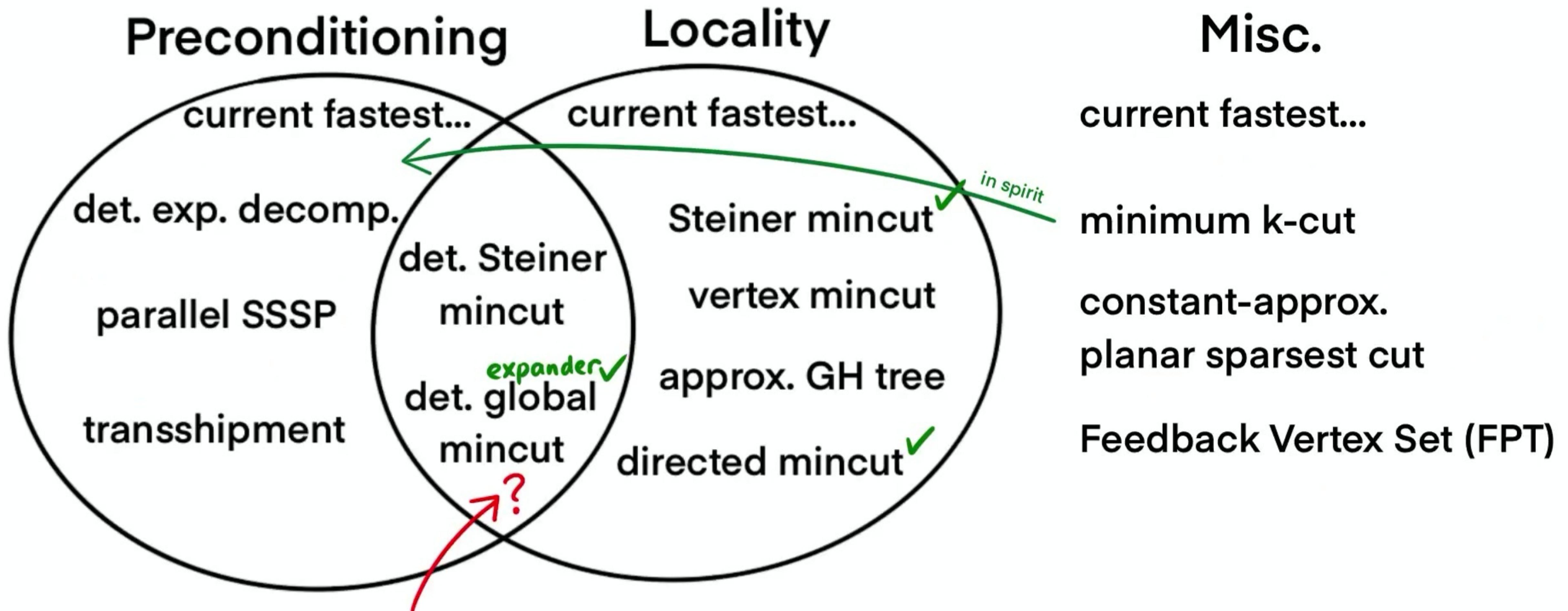
# Summary



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Future work: Gomory-Hu tree in  $\text{polylog}(n)$  max-flows?

Know: GH tree for expanders in  $\text{polylog}(n)$  max-flows (**Min. Iso. Cuts**)

Don't know general case  $\Rightarrow$  expander case reduction!