# Lecture 5: Scheduling and Binary Search Trees

#### Lecture Overview

- Runway reservation system
  - Definition
  - How to solve with lists
- Binary Search Trees
  - Operations

## Readings

CLRS Chapter 10, 12.1-3

### Runway Reservation System

- Airport with single (very busy) runway (Boston  $6 \rightarrow 1$ )
- "Reservations" for future landings
- When plane lands, it is removed from set of pending events
- Reserve req specify "requested landing time" t
- Add t to the set if no other landings are scheduled within k minutes either way. Assume that k can vary.
  - else error, don't schedule

#### Example



Figure 1: Runway Reservation System Example

Let R denote the reserved landing times: R = (41, 46, 49, 56) and k = 3

```
Request for time: 44 not allowed (46 \in R) 53 OK 20 not allowed (already past) \mid R \mid = n
```

Goal: Run this system efficiently in  $O(\lg n)$  time

#### Algorithm

Keep R as a sorted list.

```
init: R = []
req(t): if t < now: return "error"
for i in range (len(R)):
    if abs(t-R[i]) < k: return "error"
R.append(t)
R = sorted(R)
land: t = R[0]
if (t != now) return error
R = R[1: ] (drop R[0] from R)</pre>
```

#### Can we do better?

- Sorted list: Appending and sorting takes  $\Theta(n \lg n)$  time. However, it is possible to insert new time/plane rather than append and sort but insertion takes  $\Theta(n)$  time. A k minute check can be done in O(1) once the insertion point is found.
- Sorted array: It is possible to do binary search to find place to insert in  $O(\lg n)$  time. Using binary search, we find the smallest i such that  $R[i] \geq t$ , i.e., the next larger element. We then compare R[i] and R[i-1] against t. Actual insertion however requires shifting elements which requires  $\Theta(n)$  time.
- Unsorted list/array: k minute check takes O(n) time.
- Min-Heap: It is possible to insert in  $O(\lg n)$  time. However, the k minute check will require O(n) time.
- Dictionary or Python Set: Insertion is O(1) time. k minute check takes  $\Omega(n)$  time

What if times are in whole minutes?

Large array indexed by time does the trick. This will not work for arbitrary precision time or verifying width slots for landing.

**Key Lesson**: Need fast insertion into sorted list.

# Binary Search Trees (BST)

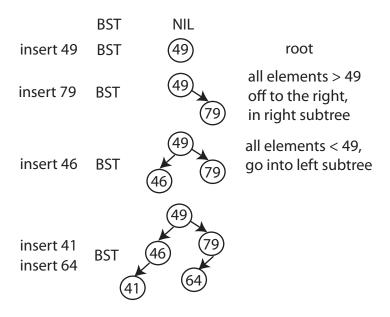


Figure 2: Binary Search Tree

#### Properties

Each node x in the binary tree has a key key(x). Nodes other than the root have a parent p(x). Nodes may have a left child left(x) and/or a right child right(x). These are pointers unlike in a heap.

The invariant is: for any node x, for all nodes y in the left subtree of x,  $key(y) \le key(x)$ . For all nodes y in the right subtree of x  $key(y) \ge key(x)$ .

# Insertion: insert(val)

Follow left and right pointers till you find the position (or see the value), as illustrated in Figure 2. We can do the "within k=3" check for runway reservation during insertion. If you see on the path from the root an element that is within k=3 of what you are inserting, then you interrupt the procedure, and do not insert.

#### Finding a value in the BST if it exists: find(val)

Follow left and right pointers until you find it or hit NIL.

#### Finding the minimum element in a BST: findmin()

Key is to just go left till you cannot go left anymore.

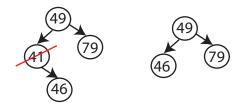


Figure 3: Delete-Min: finds minimum and eliminates it

#### Complexity

All operations are O(h) where h is height of the BST. Finding the next larger element: next-larger(x)

Note that x is a node in the BST, not a value. next-larger(x)

```
if right child not NIL, return minimum(right)
else y = parent(x)
while y not NIL and x = right(y)
    x = y; y = parent(y)
return(y);
```

See Fig. 4 for an example. What would next-larger(find(46)) return?

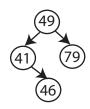


Figure 4: next-larger(x)

#### New Requirement

Rank(t): How many planes are scheduled to land at times  $\leq t$ ? The new requirement necessitates a design amendment.

Cannot solve it efficiently with what we have but can augment the BST structure.

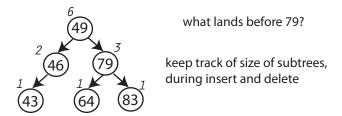


Figure 5: Augmenting the BST Structure

Summarizing from Fig. 5, the algorithm for augmentation is as follows:

1. Walk down tree to find desired time



- 2. Add in nodes that are smaller
- 3. Add in subtree sizes to the left

In total, this takes O(h) time.

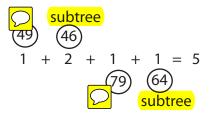


Figure 6: Augmentation Algorithm Example

All the Python code for the Binary Search Trees discussed here are available at this link.

# Have we accomplished anything?

Height h of the tree should be  $O(\lg n)$ .

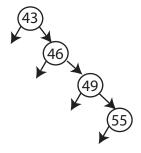


Figure 7: Insert into BST in sorted order

The tree in Fig. 7 looks like a linked list. We have achieved O(n) not  $O(\lg n)!!$ 

Balanced BSTs to the rescue in the next lecture!

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