## Notes MAT4200 Commutative Algebra

Ivar Stangeby

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## 1 Various propositions and claims

**Claim 1.** If  $f: A \to B$  is a ring homomorphism, and suppose  $J \subseteq B$  is an ideal in B, then  $f^{-1}(J)$  is an ideal in A.

**Proposition 1** (Ideal correspondence). There is a one-to-one, order preserving correspondence, between ideals J of A containing I, and the ideals  $\bar{J}$  of A/I, given by  $\bar{J} = f^{-1}(\bar{J})$ .

*Proof.* Let J be an ideal containing I. We want to show that f(J) is an ideal of A/I, that is,  $yf(J) \subseteq f(J)$  for all  $y \in A/I$ . Assume that y = c + I for some  $c \in A$ . Pick any  $j + I \in f(J)$ . Then

$$y(j+I) = (c+I)(j+I) = cj+I$$

with  $c \in A$ ,  $j \in J$ . So  $cj \in J$  since J is an ideal. We therefore have  $cj + I \in f(J)$  and consequently,  $yf(J) \subseteq f(J)$ .

Now let  $\bar{J}$  be an ideal in A/I. By Claim 1,  $f^{-1}(\bar{J})$  is an ideal in A. All ideals contain 0, so  $0_{A/I} \in \bar{J}$ . It then follows that

$$f^{-1}(0_{A/I}) = f = I \subseteq f^{-1}(\bar{J}).$$

So the ideal  $f^{-1}(\bar{J})$  contains the ideal I.

We now show that the map f is a one-to-one correspondence. We do this by showing  $f(f^{-1}(\bar{J})) = \bar{J}$  and  $f^{-1}(f(J)) = J$ . Note that

$$f(f^{-1}(\bar{J})) = f\left(\left\{a \in A \mid f(a) \in \bar{J}\right\}\right) = \bar{J}.$$

Now, let  $a \in J$ . Then  $f(a) = a + I \in f(J)$ , so  $a \in f^{-1}(f(J))$  by definition. For the opposite inclusion, note that

$$f^{-1}(f(J)) = \{ a \in A \mid f(a) \in f(J) \}$$
  
= \{ a \in A \ \ a + I \in f(J) \}.

Chose  $a + I \in f(J)$ . Then a + I = a' + I for some  $a' \in J$ . We do not yet know whether a = a', just that they represent the same coset. By the definition of

coset equality we have  $a - a' = c \in I$ . Therefore, a = c + a'. Since  $c \in I \subseteq J$  and  $a' \in J$ , we have  $a \in J$  since J is abelian group under addition. We have therefore shown the inclusion  $f^{-1}f(J) \subseteq J$ . Consequently, f is a one-to-one correspondence between ideals in A containing I and ideals in A/I.

## 2 Exercises

## Chapter 1

**Exercise** (1). Assume that x is nilpotent, and that 1+x is *not* a unit in A. Hence, 1+x is contained in a maximal ideal  $\mathfrak{m}$ . Since any maximal ideal is prime, and x is nilpotent, we have  $x^n = 0 \in \mathfrak{m} \implies x \in \mathfrak{m}$ . Any ideal is an additive subgroup, so  $1 \in \mathfrak{m}$  which contradicts the fact that  $\mathfrak{m}$  is maximal.

Now assume u a unit and x nilpotent. Assume for the sake of contradiction that u+x is not a unit in A. Then u+x is contained in a maximal ideal  $\mathfrak{m}$ . Since x is nilpotent we have  $x \in \mathfrak{m}$ , hence  $u \in \mathfrak{m}$  so  $\mathfrak{m} = (1)$ , again contradicting the fact that  $\mathfrak{m}$  is maximal.

**Exercise** (4). We want to show that in A[x] we have  $\mathfrak{N} = \mathfrak{R}$ . We have trivially that  $\mathfrak{N} \subseteq \mathfrak{R}$ , so we only need to show the opposite inclusion.

Let  $f \in \mathfrak{R}$  with  $f = \sum_{i=0}^{n} a_i x^i$ , so by proposition 1.9 we have 1 - fg a unit for all  $g \in A[x]$ . Let g = x be an element in A[x]. Then the function

$$1 - a_0 x - a_1 x^2 - \ldots - a_n x^{n+1}$$

is a unit in A[x]. By exercise 1.2.(i) we have that  $a_0, \ldots, a_n$  are nilpotent in A. By exercise 1.2.(ii) we have that f is nilpotent, so  $f \in \mathfrak{N}$ . Hence  $\mathfrak{N} = \mathfrak{R}$ .

**Exercise** (6). Let A be a ring such that any ideal not contained in  $\mathfrak N$  contains a non-zero idempotent element. We want to show that the nilradical and the Jacobson radical coincide in this case. We have the inclusion  $\mathfrak N \subseteq \mathfrak R$  trivially. For the opposite inclusion we argue contrapositively. Let  $c \notin \mathfrak N$ . Then  $(c) \not\subseteq \mathfrak N$ . By assumption, (c) contains an idempotent element a=cx for some  $x \in A$ . We wish to use proposition 1.9 again. Consider the element 1-a, and note that a(1-a)=a-a=0, so 1-a is not a unit in A since it is a zero divisor. By proposition 1.9 we have  $a \notin \mathfrak N$ , so  $(c) \not\subseteq \mathfrak N$ . Consequently,  $\mathfrak R \subseteq \mathfrak N$ .

**Exercise** (7). Let A be a ring in which every element satisfies  $x^n = x$  for some  $n \ge 2$  dependent on x. We want to show that the nilradical  $\mathfrak{N}$  and the Jacobson radical  $\mathfrak{R}$  coincide. The inclusion  $\mathfrak{N} \subseteq \mathfrak{R}$  is trivial as any maximal ideal is prime. We show the opposite inclusion by a contrapositive argument.

Assume that  $x \notin \mathfrak{N}$ . Our plan is to show that 1 - xg is *not* a unit for any  $g \in A$ . Consider the element  $1 - x \cdot x^{n-2}$ . This is a zero divisor as shown by multiplying by x from the left. Hence 1 - xg is *not* a unit with  $g = x^{n-2}$ . By proposition 1.9 we then have  $x \notin \mathfrak{R}$ . This shows contrapositively that  $\mathfrak{R} = \mathfrak{N}$ .

Note that I did not prove that every prime ideal  $\mathfrak p$  is also a maximal ideal as the exercise requested. Trying again below.

We seek to show that any prime ideal  $\mathfrak p$  of A is maximal. Let  $\varphi:A\to A/\mathfrak p$  be the canonical homomorphism. Let  $x\in A$  be any element. We know that  $x^n=x$  for some n. Let  $\bar x=\varphi(x)\in A/\mathfrak p$ . Note that  $\bar x=\bar x^n$ . Since  $A/\mathfrak p$  is an integral domain due to  $\mathfrak p$  being prime, we know that the cancellation law for multiplication holds. So  $\bar x^n=1x$  implies that  $\bar x^{n-1}=1$ . So,  $\bar x$  has inverse  $\bar x^{n-2}$ . Hence,  $A/\mathfrak p$  is a field, which implies that  $\mathfrak p$  is a maximal ideal.

Exercise (8). Let A be a non-zero ring. We wish to show that the set of prime ideals of A has a minimal element with respect to set inclusion. This can be solved by an application of Zorn's Lemma. Let  $\Sigma$  denote the set of all prime ideals of A. Let  $\mathfrak{q} \leq \mathfrak{p}$  if  $\mathfrak{p} \subseteq \mathfrak{q}$  (note the reverse inclusion). This set is partially ordered with respect to this relation. We need to show that any chain  $\Gamma$  in  $\Sigma$  has an upper bound in  $\Sigma$ . Let  $\mathfrak{P} = \bigcap_{\alpha} \mathfrak{p}_{\alpha}$ . We claim that the intersection of all prime ideals  $\mathfrak{P}$  is an element of  $\Sigma$  and an upper bound for  $\Gamma$ .

Let  $xy \in \mathfrak{P}$ . Then xy is an element of every prime ideal  $\mathfrak{p}_{\alpha}$  in the chain  $\Gamma$ . Assume now that  $x \notin \mathfrak{P}$ . In this case we need to show that  $y \in \mathfrak{P}$ . Let  $\mathfrak{p}_i$  be a prime ideal not containing x. It therefore contains y instead. Since  $\Gamma$  is totally ordered, we can consider all the elements  $\mathfrak{p}_i \leq \mathfrak{p}'$ , i.e.,  $\mathfrak{p}' \subseteq \mathfrak{p}_i$ . Since  $x \notin \mathfrak{p}_i$  we have  $x \notin \mathfrak{p}'$ . It then follows that all such  $\mathfrak{p}'$  must contain y.

Consider now the elements  $\mathfrak{p}' \geq \mathfrak{p}_i$ , that is  $\mathfrak{p}_i \subseteq \mathfrak{p}'$ . Since  $y \in \mathfrak{p}_i$  we have  $y \in \mathfrak{p}'$ . Consequently, we have y in all prime ideals, hence also in  $\mathfrak{P}$ . This shows that  $\mathfrak{P}$  is an element of  $\Sigma$ .

To show that  $\mathfrak{P}$  is an upper bound for  $\Gamma$ , let  $I \in \Gamma$  be a prime ideal. Then by definition of  $\mathfrak{P}$  we have  $\mathfrak{P} \subseteq I$ , hence  $I \leq \mathfrak{P}$ . Zorn's Lemma then guarantees the existence of a maximal element with respect the order  $\leq$ , and consequently we have shown the existence of a minimal element with respect to set inclusion.

**Exercise** (9). Assume that  $\mathfrak{a} = r(\mathfrak{a})$ . By proposition 1.14 the radical of any ideal  $\mathfrak{a}$  is the intersection of the prime ideals containing  $\mathfrak{a}$ . It therefore directly follows from our assumption that  $\mathfrak{a}$  is an intersection of prime ideals. Assume now that  $\mathfrak{a}$  is *not* an intersection of prime ideals. Then it cannot be equal to the radical, as the radical *is* an intersection of prime ideals.

**Exercise** (10). Let A be a ring, and  $\mathfrak{N}$  its nilradical. We wish to show that the following are equivalent:

- (i) A has exactly one prime ideal;
- (ii) every element of A is either a unit or nilpotent;
- (iii)  $A/\mathfrak{N}$  is a field.

We first show (i)  $\Longrightarrow$  (ii). Assume that A has exactly one prime ideal. Let x be an element in  $\mathfrak{N}$ . In that case it is nilpotent. Assume therefore that x is not an element in  $\mathfrak{N}$ . If we further assume that x is not a unit, then it is contained in a maximal ideal  $\mathfrak{m}$ . Since A has exactly one prime ideal, and any maximal ideal is prime, we must have  $\mathfrak{m} = \mathfrak{N}$ . This contradicts the fact that  $x \notin \mathfrak{N}$ . Consequently, x must be a unit. So any element x in A is either nilpotent, or a unit.

We now consider the implication (ii)  $\Longrightarrow$  (iii). Assume that every element of A is either a unit or nilpotent. We seek to show that  $A/\mathfrak{N}$  is a field. In principle, we only need to show that  $\mathfrak{N}$  is in fact a maximal ideal. Let  $\mathfrak{a}$  be an ideal containing  $\mathfrak{N}$ . We need to show that  $\mathfrak{a} = \mathfrak{N}$ , or  $\mathfrak{a} = A$ .

If  $\mathfrak{a} = \mathfrak{N}$ , then we are done. Assume therefore that  $\mathfrak{a} \neq \mathfrak{N}$ . Then there is an element  $x \in \mathfrak{N}$  that is not in  $\mathfrak{a}$ . Then x is not a nilpotent element, so by assumption it must be a unit. Since  $\mathfrak{a}$  is an ideal containing a unit, we must have  $\mathfrak{a} = A$ . Hence  $\mathfrak{N}$  is maximal and  $A/\mathfrak{N}$  is a field.

We now show the final implication (iii)  $\Longrightarrow$  (i). Assume that  $A/\mathfrak{N}$  is a field. Then  $\mathfrak{N}$  is a maximal ideal in A. Let  $\mathfrak{p}$  be a prime ideal of A. Then  $\mathfrak{N} \subseteq \mathfrak{p}$ . If  $\mathfrak{N} = \mathfrak{p}$  we are done. If not, since  $\mathfrak{N}$  is maximal, we must have  $\mathfrak{p} = A$ , hence A contains *exactly* one prime ideal.

**Exercise** (11). Let A be a boolean ring (i.e.,  $x^2 = x$  for all  $x \in A$ ). We want to show that the following properties hold:

- (i) 2x = 0 for all  $x \in A$ ;
- (ii) every prime ideal  $\mathfrak p$  in A is maximal, and  $A/\mathfrak p$  is a field with two elements; and
- (iii) every finitely generated ideal in A is principal.

For (i), let x be an element in A and let a be the additive inverse of x. That is a + x = x + a = 0. Multiplying both sides by x - a yield

$$x^2 - a^2 = 0 \iff x - a = 0 \iff x = a$$
.

It then follows that 2x = x + x = x + a = 0.

For (ii), note that this is just a special case of exercise 7 with n=2 for every  $x \in A$ , hence any prime ideal  $\mathfrak p$  is also maximal. It remains to show that  $A/\mathfrak p$  has two elements. Let x be an element of A and assume that  $x \in \mathfrak p$ . Then  $\varphi(x) = 0$  in  $A/\mathfrak p$ . If  $x \notin \mathfrak p$  then  $\varphi x$  has an inverse, so it makes sense to look at  $\varphi(x)\varphi(x^{-1}) = 1$ . Multiplying by  $\varphi(x)$  on both sides yields

$$\varphi(x^2)\varphi(x^{-1}) = \varphi(x)\varphi(x^{-1}) = 1 = \varphi(x).$$

So  $\varphi(x)=1$  in  $A/\mathfrak{p}$ . Hence,  $A/\mathfrak{p}=\{0,1\}$ , namely the additive and the multiplicative identities.

**Exercise.** 12 We wish to show that a local ring A has no idempotent element not equal to 0 or 1. So, let  $x \in A$  be idempotent with  $x \neq 0, 1$ . We consider two cases — assume first that x is a unit. But then, we have  $x = x^{-1}x^2 = x^{-1}x = 1$  which contradicts our initial assumption.

Assume therefore that x is not a unit. By proposition 1.5, we must have x contained in some maximal ideal  $\mathfrak{m}$ . Since A is local, there is only one maximal ideal, hence  $x \in \mathfrak{m} \implies x \in \mathfrak{R}$ . Since x is in the Jacobson radical, we know that 1-xy is a unit in A for all y in A. Consider the fact that x is idempotent,

$$x^2 = x \implies x(1-x) = 0.$$

Since  $x \neq 0, 1$  we have that (1-x) is a zero divisor in A, contradicting the fact that it is also a unit.

Consequently, if x is idempotent, it must be either 0 or 1.