

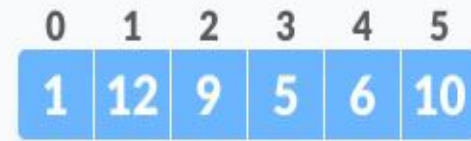
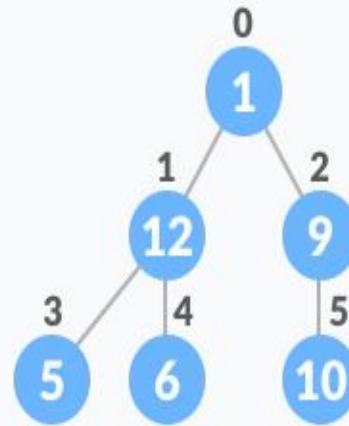
# Heap Sort

# Heap Sort

- Heap Sort is a popular and efficient [sorting algorithm](#) in computer programming.
- The initial set of numbers that we want to sort is stored in an array
- e.g. [10, 3, 76, 34, 23, 32] and after sorting, we get a sorted array [3,10,23,32,34,76].
- Heap sort works by visualizing the elements of the array as a special kind of complete binary tree called a heap.

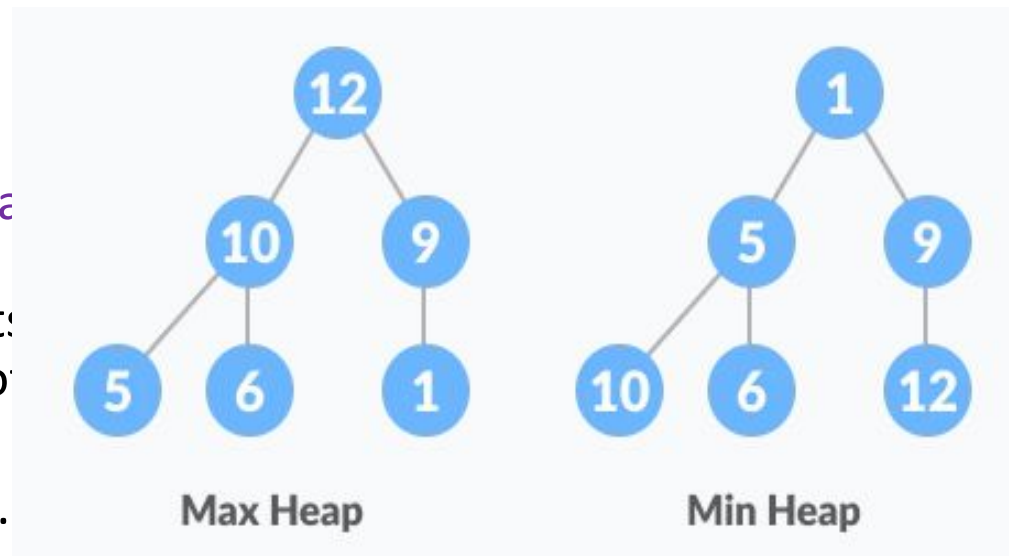
# Complete Binary Tree

- A complete binary tree is a binary tree where all levels are completely filled except for the last level, which is filled from left to right
- A complete binary tree has an interesting property that we can use to find the children and parents of any node.
- If the index of any element in the array is  $i$ ,
  - the element in the index  $2i+1$  will become the left child
  - The element in  $2i+2$  index will become the right child.
  - Also, the parent of any element at index  $i$  is given by the lower bound of  $(i-1)/2$ .



# What is Heap Data Structure?

- Heap is a special tree-based data structure.
- A binary tree is said to follow a heap data structure if
  - it is [a complete binary tree](#)
  - All nodes in the tree follow the property that **they are greater than their children** i.e. the largest element is at the root and both its children and smaller than the root and so on.
  - Such a heap is called a **max-heap**.
  - If instead, all nodes are smaller than their children, it is called a min-heap
- The following example diagram shows Max-Heap and Min-Heap.



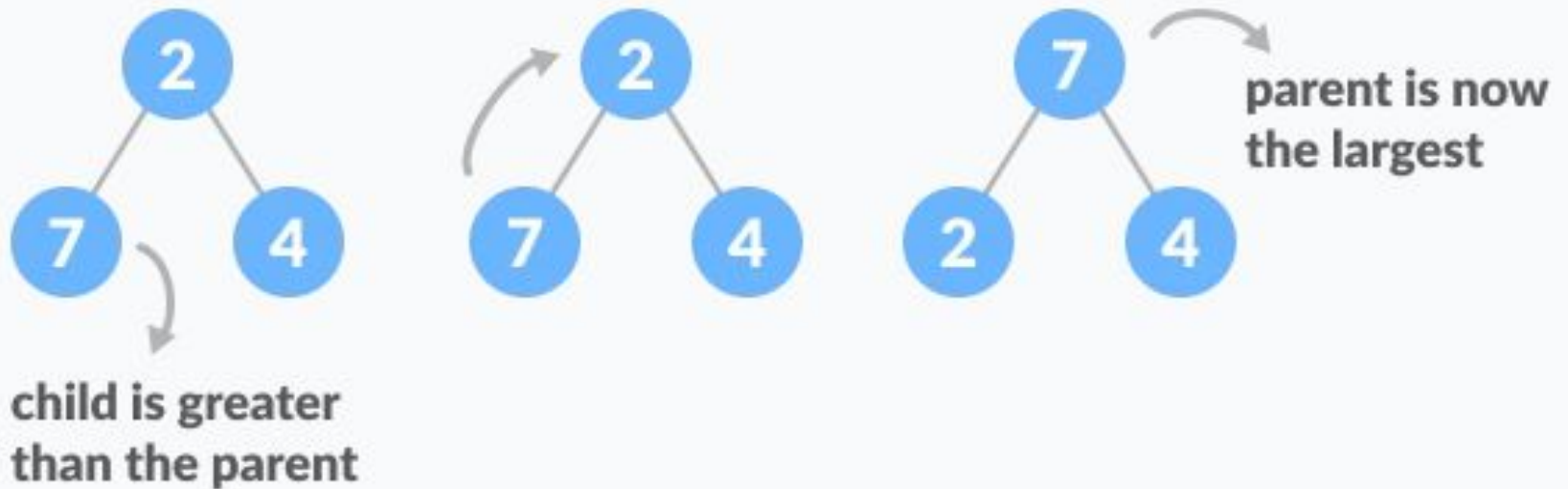
# How to "heapify" a tree

- Starting from a complete binary tree, we can modify it to become a Max-Heap by running a function called heapify on all the non-leaf elements
- `heapify(array)`
  - Root = `array[0]`
  - Largest = `largest( array[0] , array [2*0 + 1].`  
`array[2*0+2])`
  - `if(Root != Largest)`
    - Swap(Root, Largest)of the heap.

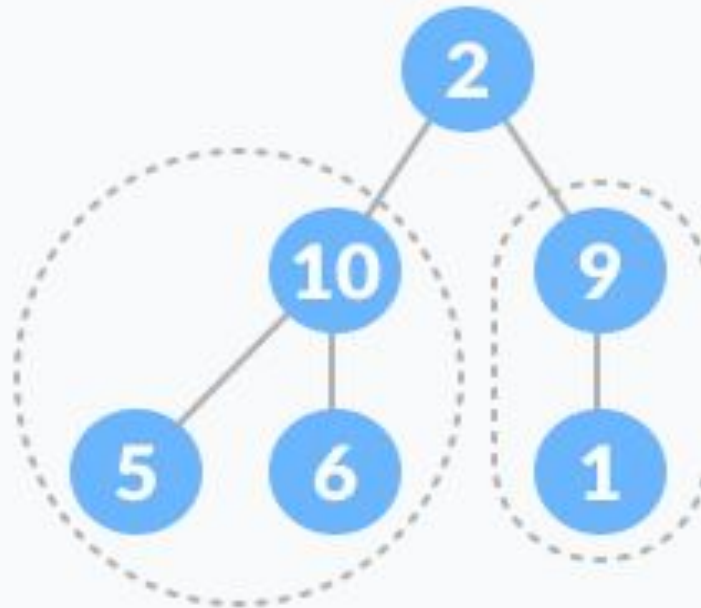
### Scenario-1



### Scenario-2

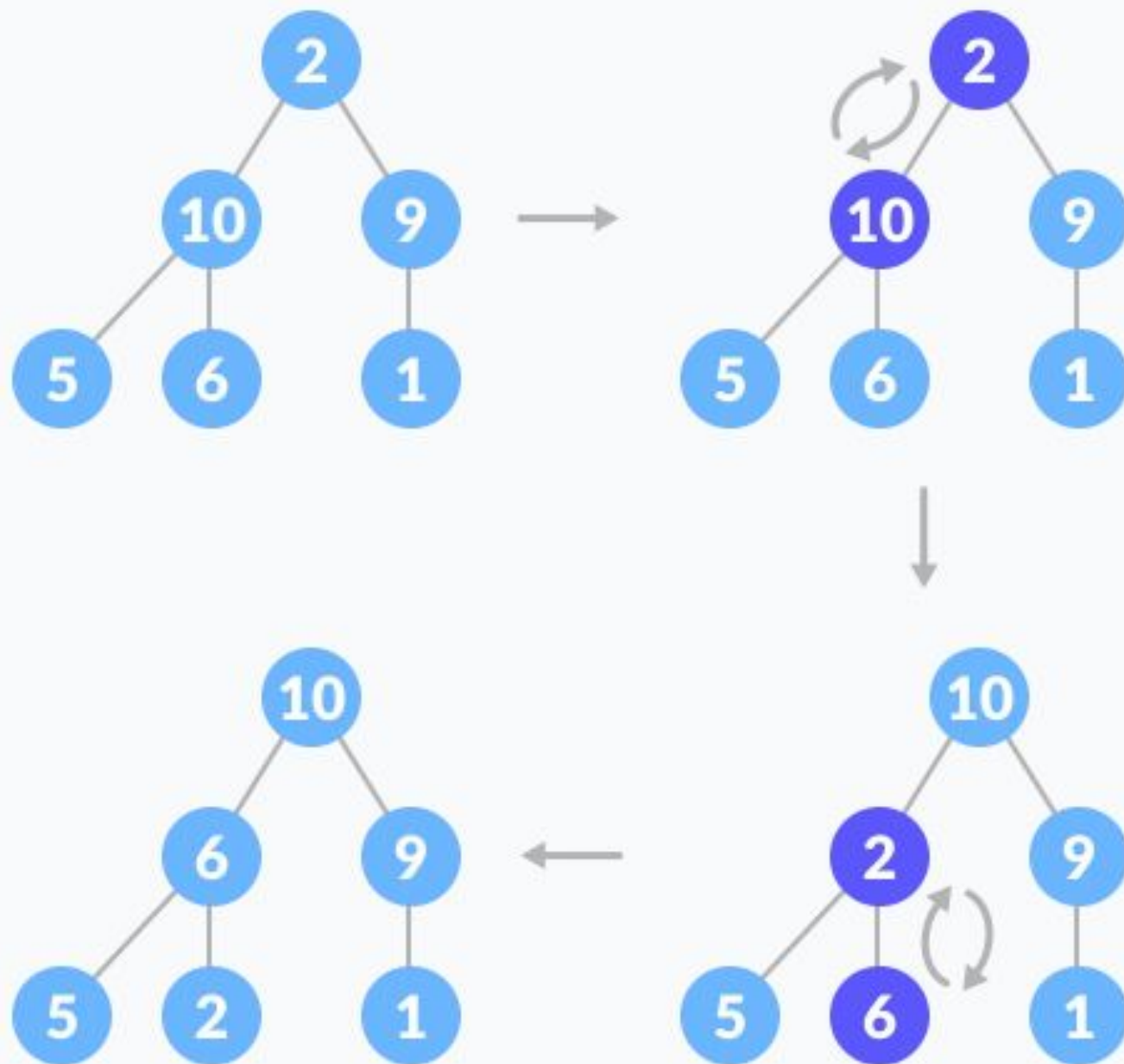


# How to heapify root element when its subtrees are already max heaps



**both subtrees of the root  
are already max-heaps**

How to heapify root element when its subtrees are already max heaps



How to heapify root element when its subtrees are max-heaps



- Thus, to maintain the max-heap property in a tree where both sub-trees are max-heaps, we need to run heapify on the root element repeatedly until it is larger than its children or it becomes a leaf node.

# Build max-heap

- To build a max-heap from any tree, we can thus start heapifying each sub-tree from the bottom up and end up with a max-heap after the function is applied to all the elements including the root element.
- In the case of a complete tree, the first index of a non-leaf node is given by  $n/2 - 1$ .
- All other nodes after that are leaf-nodes and thus don't need to be heapified.

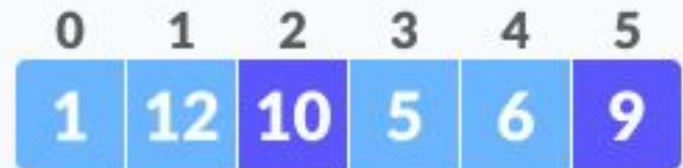
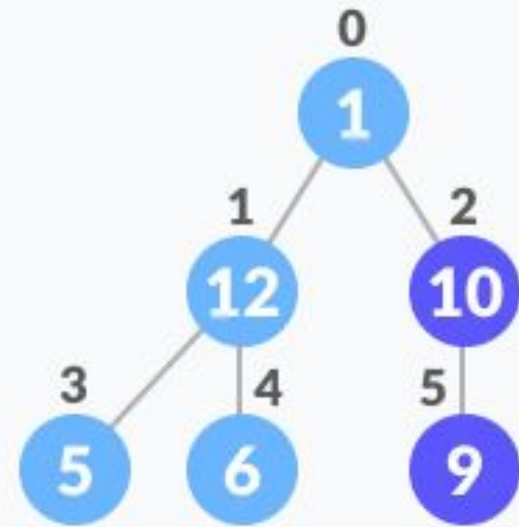
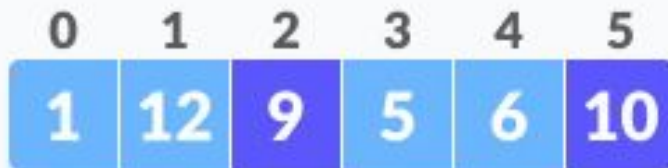
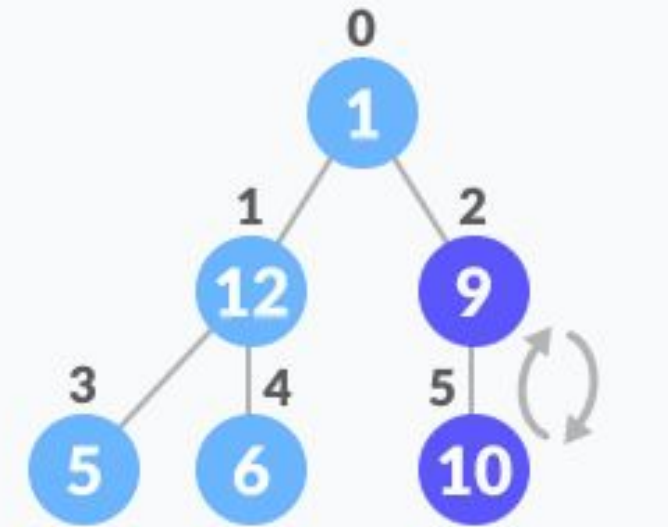
	0	1	2	3	4	5
arr	1	12	9	5	6	10

**n** = 6

**i** =  $6/2 - 1 = 2$  # loop runs from 2 to 0

Create array and calculate i

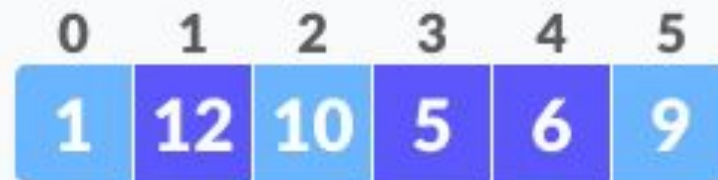
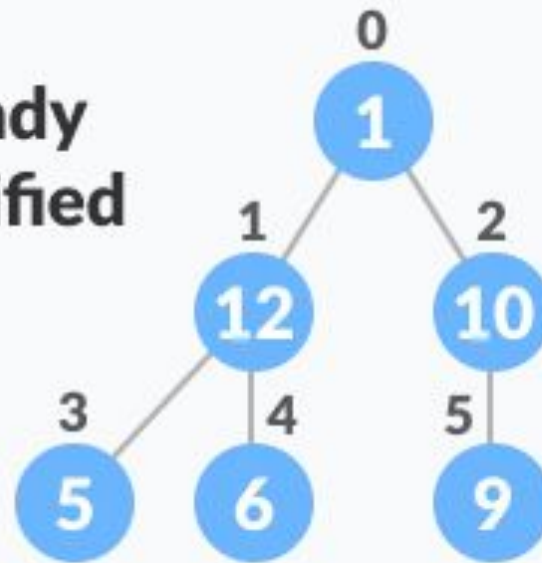
$i = 2 \rightarrow \text{heapify}(\text{arr}, 6, 2)$



Steps to build max heap for heap sort

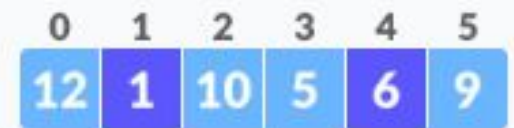
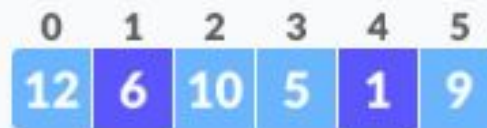
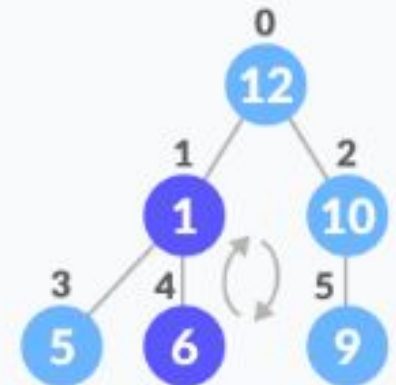
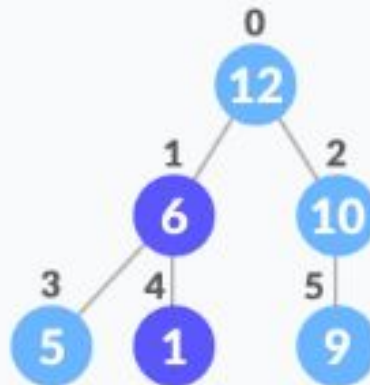
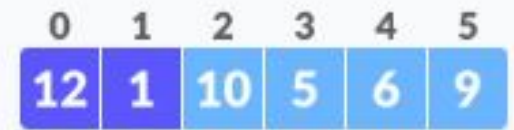
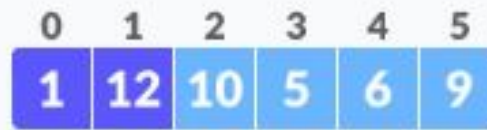
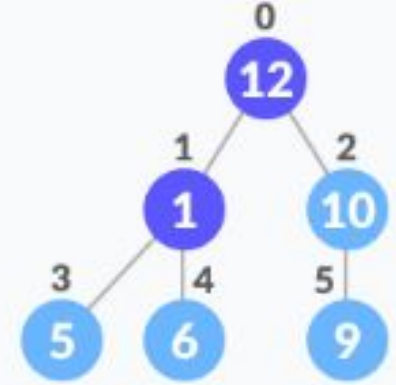
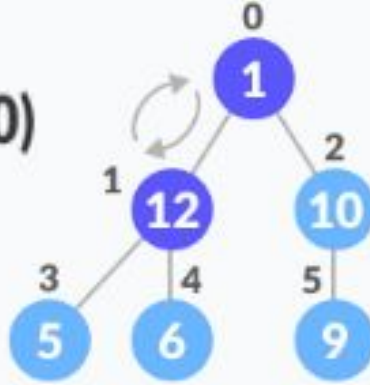
**$i = 1 \rightarrow \text{heapify}(\text{arr}, 6, 1)$**

**already  
heapified**



Steps to build max heap for heap sort

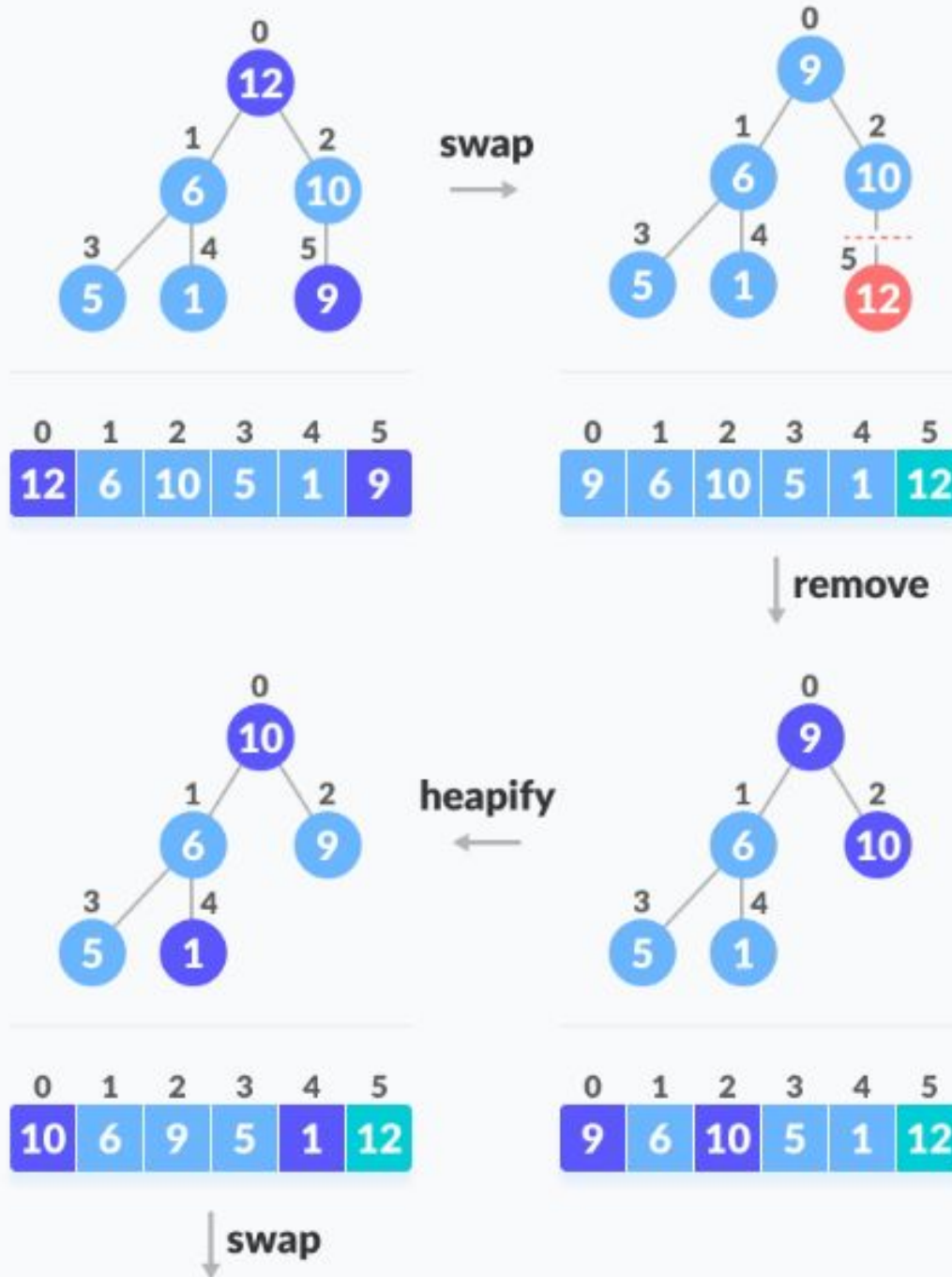
$i = 0 \rightarrow \text{heapify}(\text{arr}, 6, 0)$



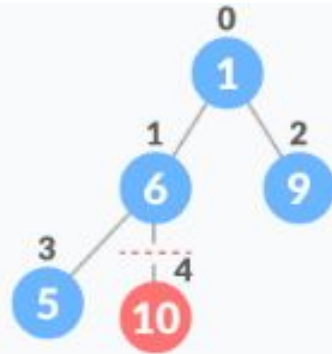
As shown in the above diagram, we start by heapifying the lowest smallest trees and gradually move up until we reach the root element.

# Working of Heap Sort

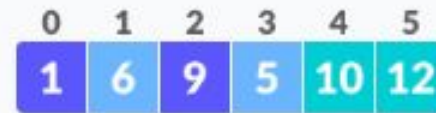
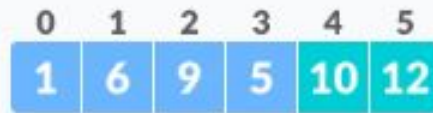
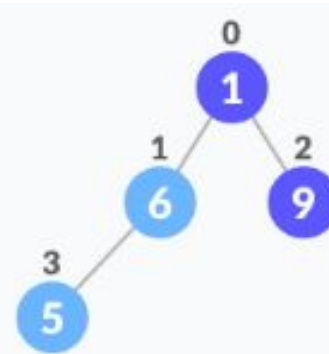
- Since the tree satisfies Max-Heap property, then the largest item is stored at the root node.
- **Swap:** Remove the root element and put at the end of the array (nth position) Put the last item of the tree (heap) at the vacant place.
- **Remove:** Reduce the size of the heap by 1.
- **Heapify:** Heapify the root element again so that we have the highest element at root.
- The process is repeated until all the items of the list are sorted.



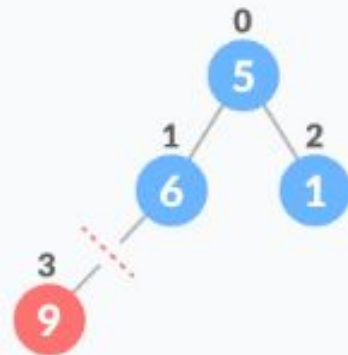




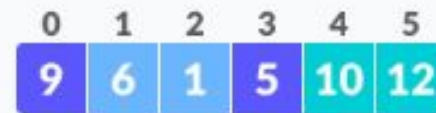
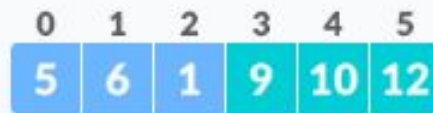
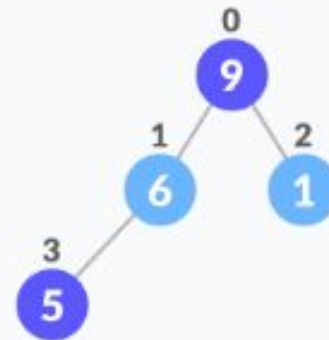
remove



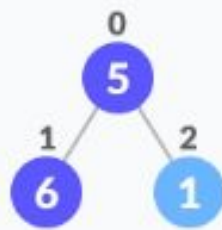
heapify



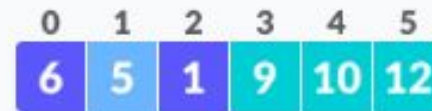
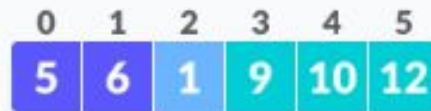
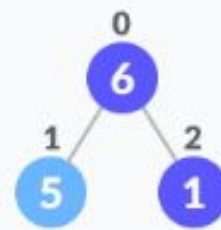
swap



remove



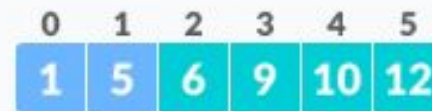
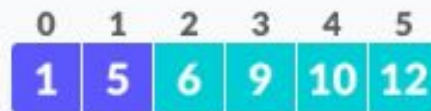
heapify



swap



remove



heapify



swap



0	1	2	3	4	5
5	1	6	9	10	12

0	1	2	3	4	5
1	5	6	9	10	12

↓ remove

0  
1



0	1	2	3	4	5
1	5	6	9	10	12

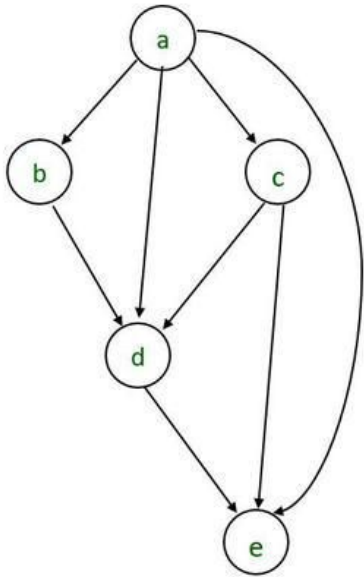
0	1	2	3	4	5
1	5	6	9	10	12

- Heap Sort has  $O(n \log n)$  time complexities for all the cases ( best case, average case, and worst case).

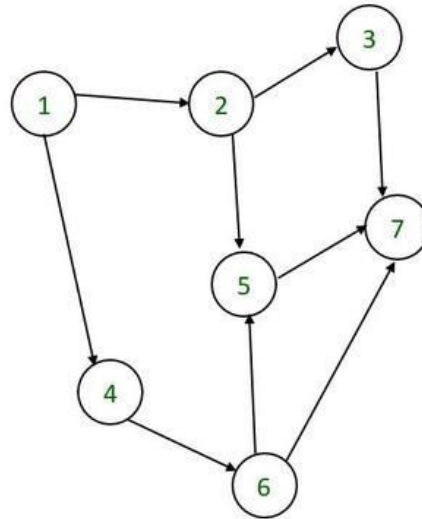
# Topological Sort:

- A topological sort of a dag  $G=(V, E)$  is a linear ordering of all its vertices such that if  $G$  contains an edge  $(u,v)$  , then  $u$  appears before  $v$  in the ordering.
- Topological Sorting is possible if and only if the graph is a Directed Acyclic Graph.
- There may exist multiple different topological orderings for a given directed acyclic graph.

# DAG examples



(A)

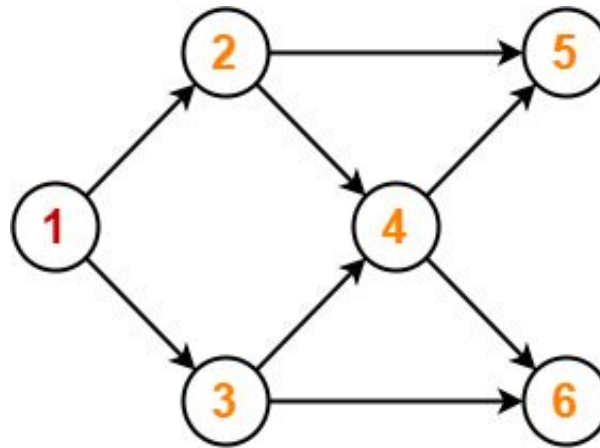


(B)

- A **Directed Acyclic Graph (DAG)** is a directed graph that does not contain any cycles.

# Topological Sort Example

- Consider the following directed acyclic graph-



Topological Sort Example

For this graph, following 4 different topological orderings are possible

- 1 2 3 4 5 6
- 1 2 3 4 6 5
- 1 3 2 4 5 6
- 1 3 2 4 6 5

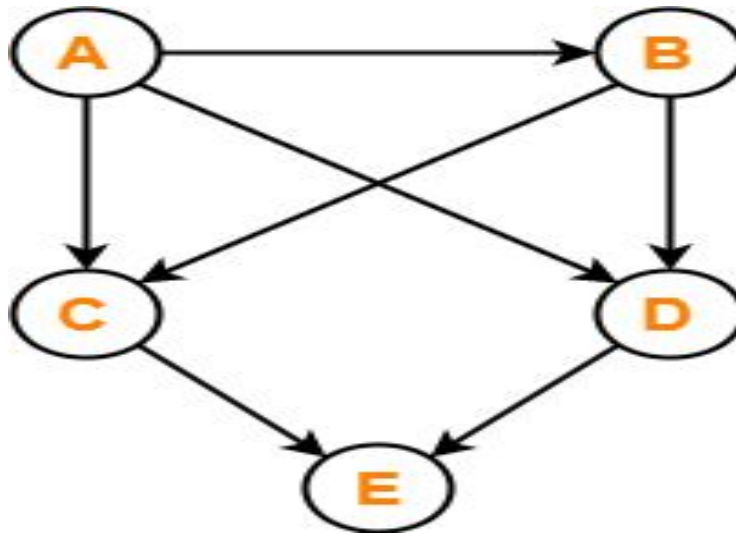
# Applications of Topological Sort

- Few important applications of topological sort are-
  - Scheduling jobs from the given dependencies among jobs
  - Instruction Scheduling
  - Determining the order of compilation tasks to perform in makefiles
  - Data Serialization



## PRACTICE PROBLEMS BASED ON TOPOLOGICAL SORT-

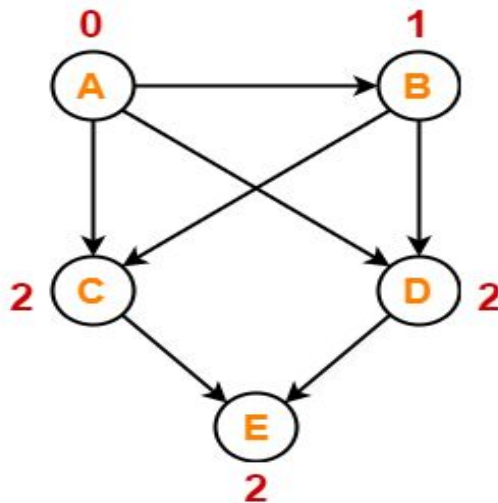
- Find the number of different topological orderings possible for the given graph-



# Solution

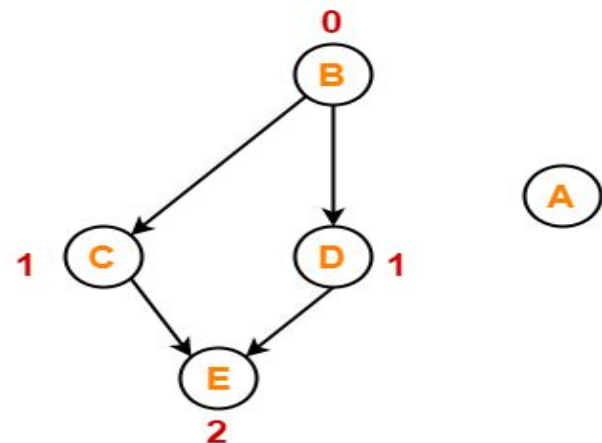
## Step-01:

- Write in-degree of each vertex-



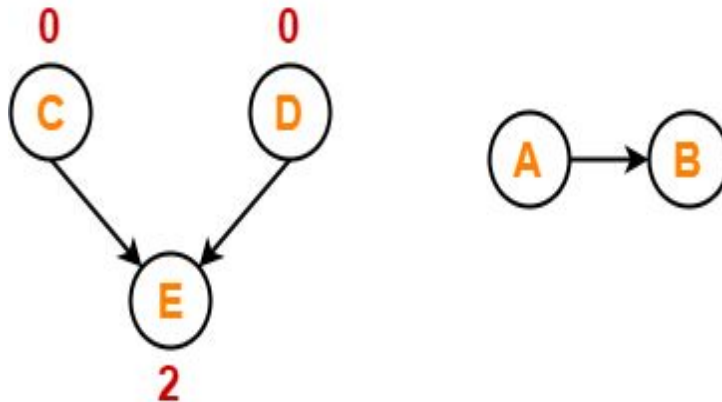
## Step-02:

- Vertex-A has the least in-degree.
- So, remove vertex-A and its associated edges.
- Now, update the in-degree of other vertices.



### Step-03:

- Vertex-B has the least in-degree.
- So, remove vertex-B and its associated edges.
- Now, update the in-degree of other vertices.



#### Step-04:

- There are two vertices with the least in-degree. So, following 2 cases are possible-

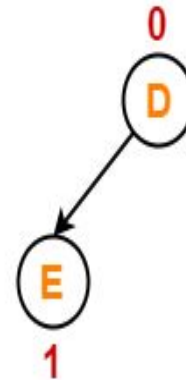
##### **In case-01,**

- Remove vertex-C and its associated edges.
- Then, update the in-degree of other vertices.

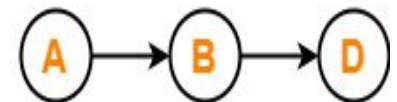
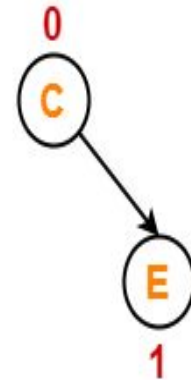
##### **In case-02,**

- Remove vertex-D and its associated edges.
- Then, update the in-degree of other vertices.

Case-01



Case-02

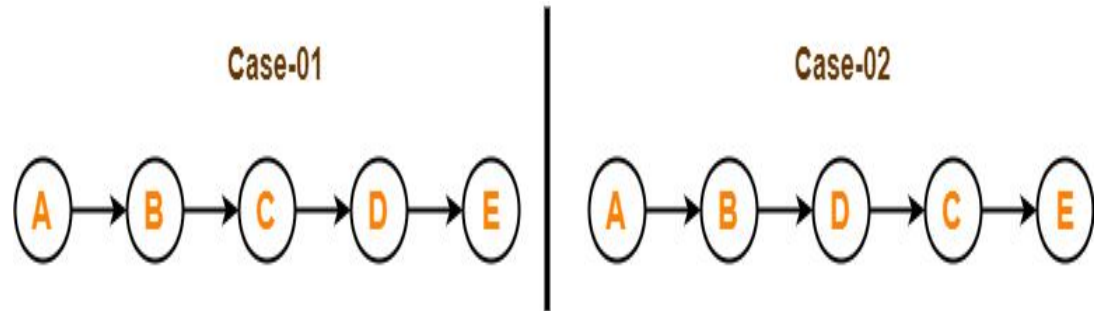


### Step-05:

- Now, the above two cases are continued separately in the similar manner.

#### In case-01,

- Remove vertex-D since it has
- the least in-degree.
- Then, remove the remaining vertex-E.



#### In case-02,

- Remove vertex-C since it has the least in-degree.
- Then, remove the remaining vertex-E.

## Conclusion-

- For the given graph, following **2** different topological orderings are possible-
  - **A B C D E**
  - **A B D C E**

## Example 2

- Find the number of different topological orderings possible for the given graph-

