

Operating Systems

07. Process Scheduling

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Running more than one process

- **Batch systems**
 - Run one job. When it finishes, run the next one, ...
- **Cooperative multitasking**
 - Run a process until it makes a system call
⇒ transfers control to the OS
 - OS can then decide to context switch and run another process
- **Preemptive multitasking**
 - OS programs a timer to generate an interrupt
 - Interrupt gives control back to the OS
⇒ decides whether to context switch

Process Scheduler

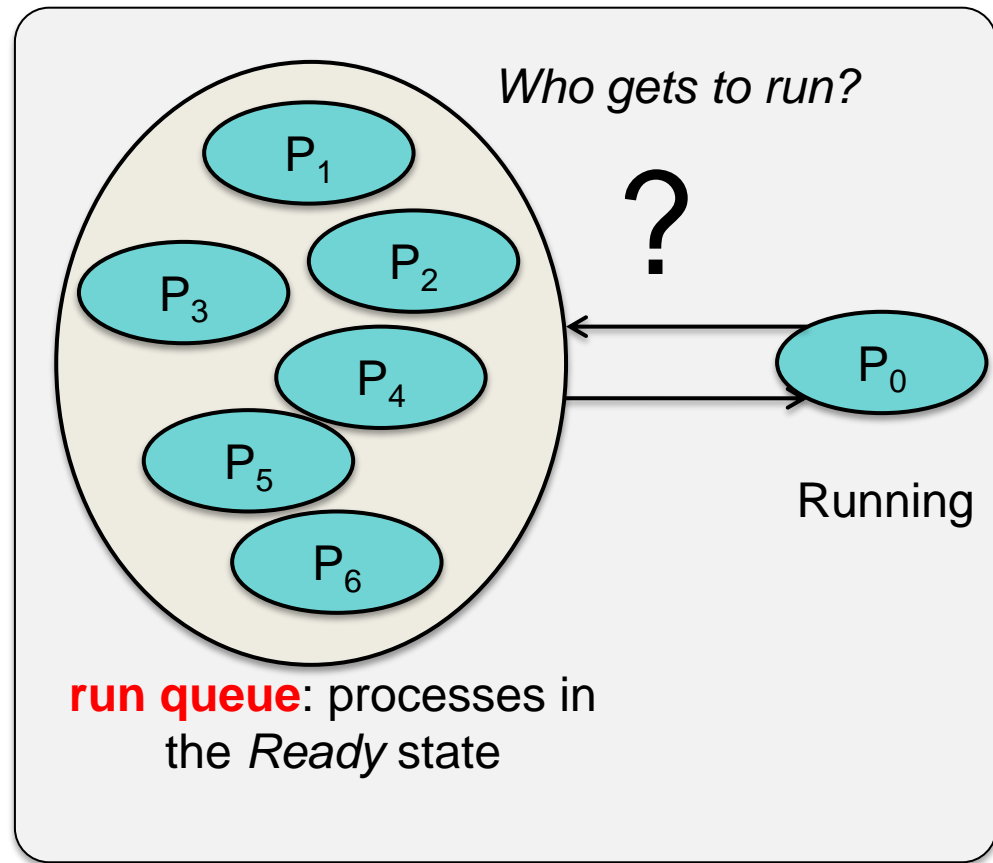
We have multiple tasks *ready* to run.
Which one should get to run?

Scheduling algorithm:

- **Policy:** Makes the decision of who gets to run

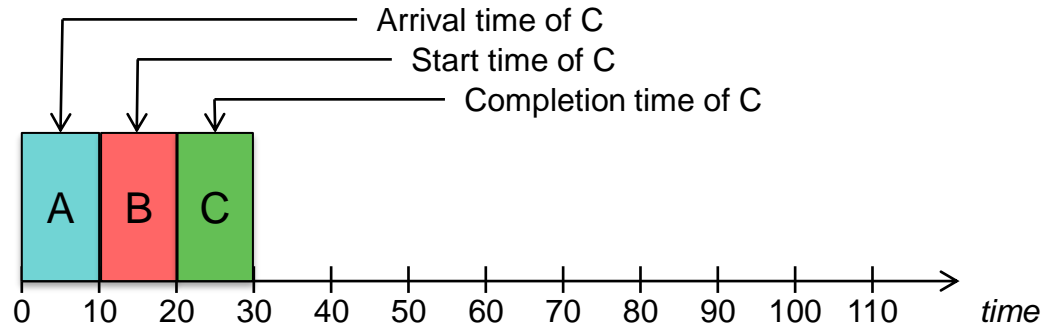
Dispatcher:

- **Mechanism** to do the context switch



First Come, First Served (FCFS)

- Run jobs to completion in the order they arrive
- Sounds fair?



- **Turnaround time:** Time to complete a job since submitting it
- **Turnaround time** = $T_{completion} - T_{arrival}$
- Assume A, B, & C arrive at around the same time

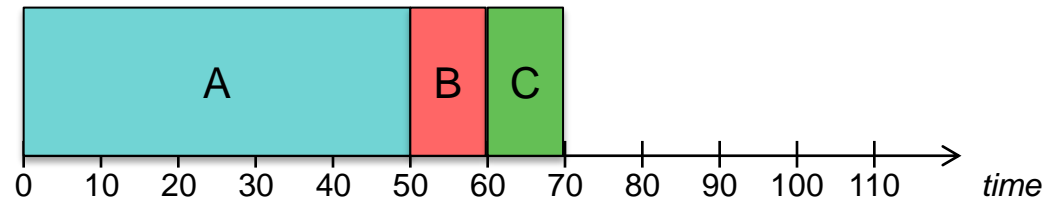
$$T_{turnaround}(A) = 10$$

$$T_{turnaround}(B) = 20$$

$$T_{turnaround}(C) = 30$$

$$T_{turnaround}(average) = (10+20+30) \div 3 = 20$$

First Come, First Served



- What if A was a long-running job?

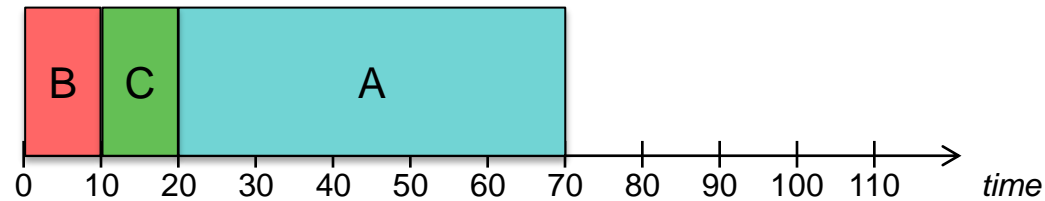
$$T_{\text{turnaround}}(A) = 50$$

$$T_{\text{turnaround}}(B) = 60$$

$$T_{\text{turnaround}}(C) = 70$$

$$T_{\text{turnaround}}(\text{average}) = (50+60+70) \div 3 = 60$$

Shortest Job First (SJF)



- Let shortest jobs run first \Rightarrow optimizes turnaround time

$$T_{\text{turnaround}}(B) = 10$$

$$T_{\text{turnaround}}(C) = 20$$

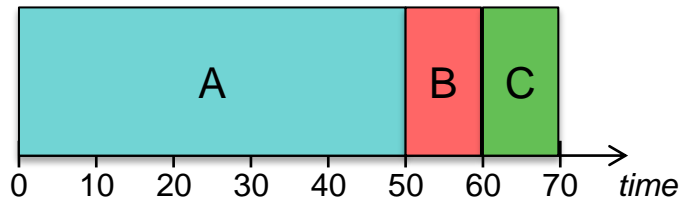
$$T_{\text{turnaround}}(A) = 70$$

$$T_{\text{turnaround}}(\text{average}) = (10+20+70) \div 3 = 33.333 \text{ vs. } 60$$

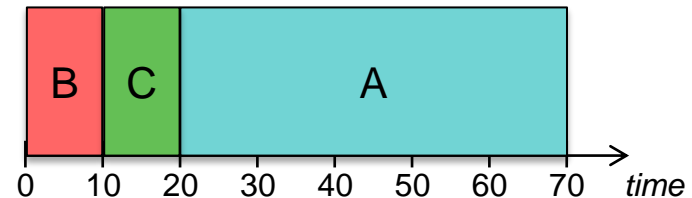
- 1.8x better than FCFS! (in this example)
- But if B and C arrive a bit after A , we're still out of luck

Response time

- FCFS and SJF: non-preemptive schedulers
 - One job hold up all others!
- Let's consider response time
 - **Response time** = delay before a job starts to run
 - *Response time* = $T_{arrival} - T_{run}$



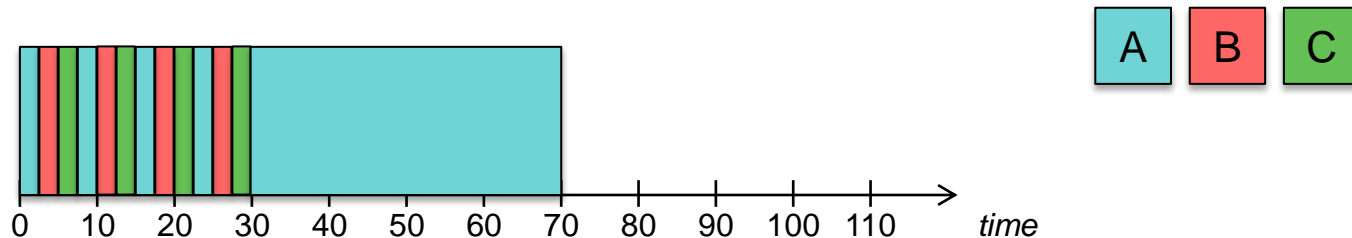
$$\begin{aligned}\text{Average response time} &= \\ &= (0 + 50 + 60) \div 3 = 36.67\end{aligned}$$



$$\begin{aligned}\text{Average response time} &= \\ &= (0 + 10 + 20) \div 3 = 10\end{aligned}$$

Round Robin

- Let's add **preemption**
 - Let a job run for some time (**time slice** = **quantum**)
 - Then context switch and give someone else a turn



- If quantum = 2.5:
 - average response time = $(0+2.5+5) \div 3 = 2.5 \Rightarrow \text{Great!}$
 - average turnaround time = $(70+27.5+30) \div 3 = 42.5$
 - worse than SJF (33.3) but better than worst-case FCFS (60)
 - In general, Round Robin is not good for turnaround time

Time slice (quantum) length

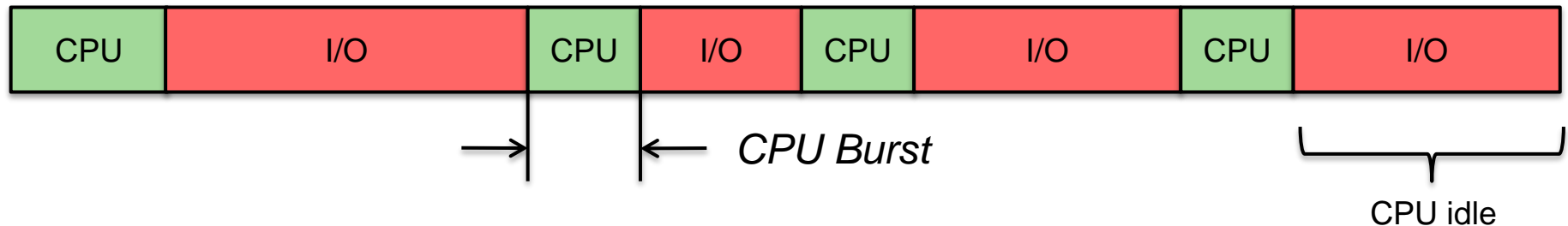
- Short quantum: increases overhead % of context switching
- Long quantum: reduces interactivity
 - Tasks are allowed to run longer before a context switch is forced
 - Amortizes overhead of context switch
- No perfect answer
 - **Servers**: higher emphasis on efficiency
 - Use a longer quantum to reduce overhead of context switches
 - But still need interactivity to schedule I/O and provide decent response
 - **Interactive systems**: higher emphasis on fast user response
 - Use a shorter quantum to have more context switches
- But...
 - Interactive and I/O-bound tasks rarely will use up their time slice

What about I/O?

We ignored I/O so far

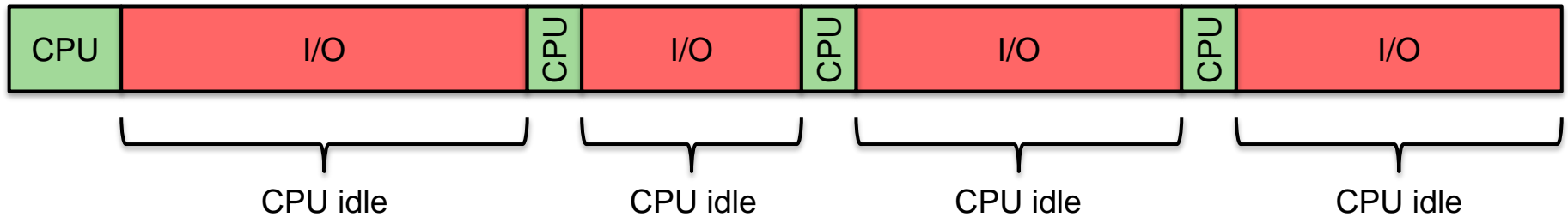
Most tasks fall into one of two categories:

1. Large # of short CPU bursts between I/O requests
2. Small # of long CPU bursts between I/O requests



Task Behavior

Interactive task: mostly short CPU bursts



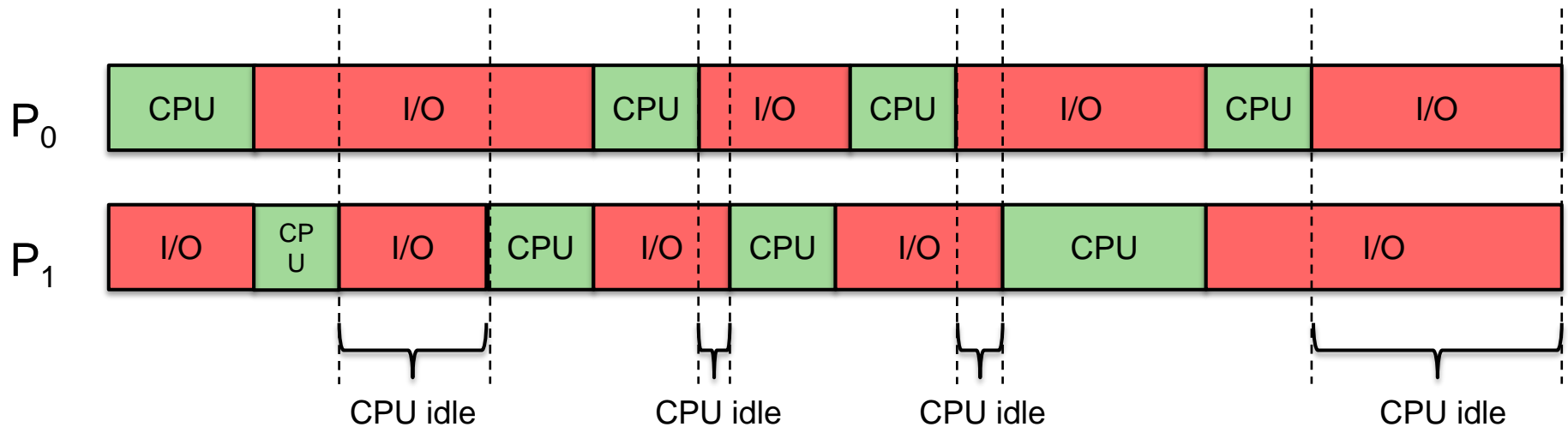
Compute task: mostly long CPU bursts



Task Scheduling With I/O

Goal:

- Maximize use of CPU & improve throughput
- Let another task run when the current one is waiting on I/O

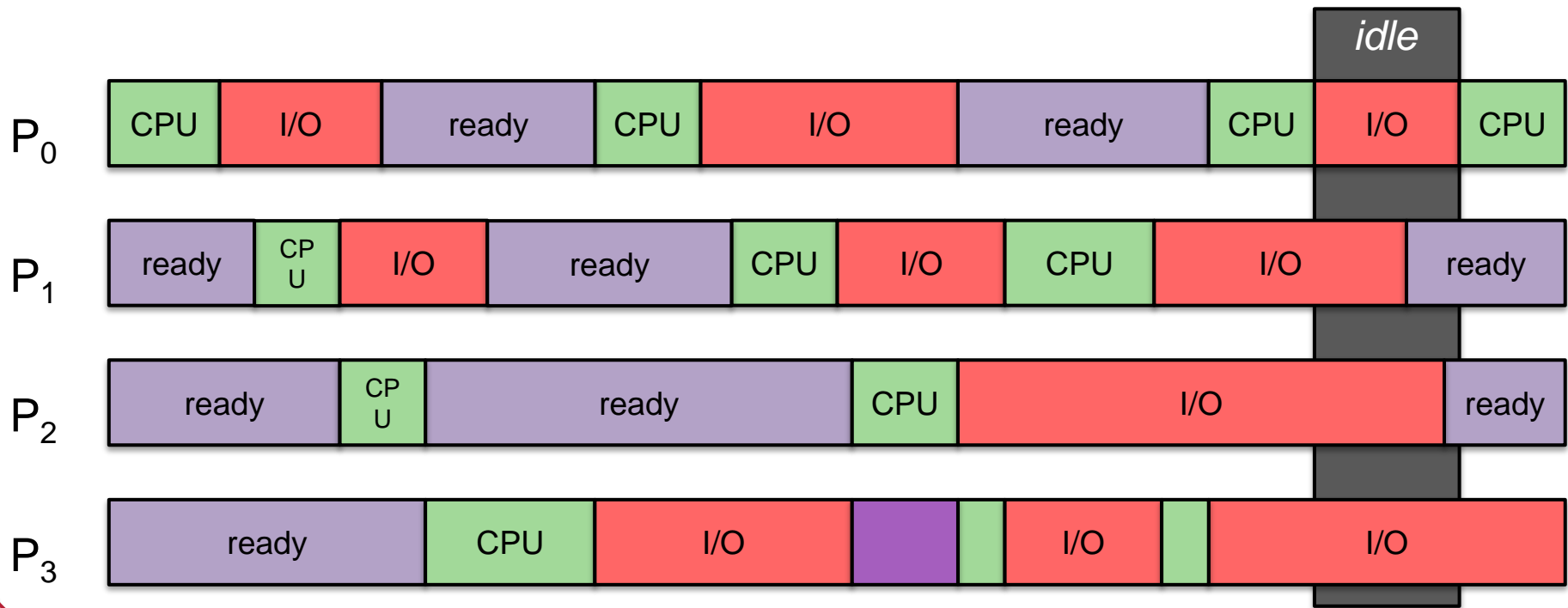


Think of each CPU burst as an individual job that needs to be scheduled

Process Scheduling With a Mix of Processes

Improve CPU utilization (increase chance of CPU being busy)

- Some processes will use long stretches of CPU time
 - Preempt them periodically and let another process run
- More processes than CPUs: keep them in the *ready* list
- Perhaps all processes are waiting on I/O: nothing to run!



When does the scheduler make decisions?

Four events may cause the scheduler to get called:

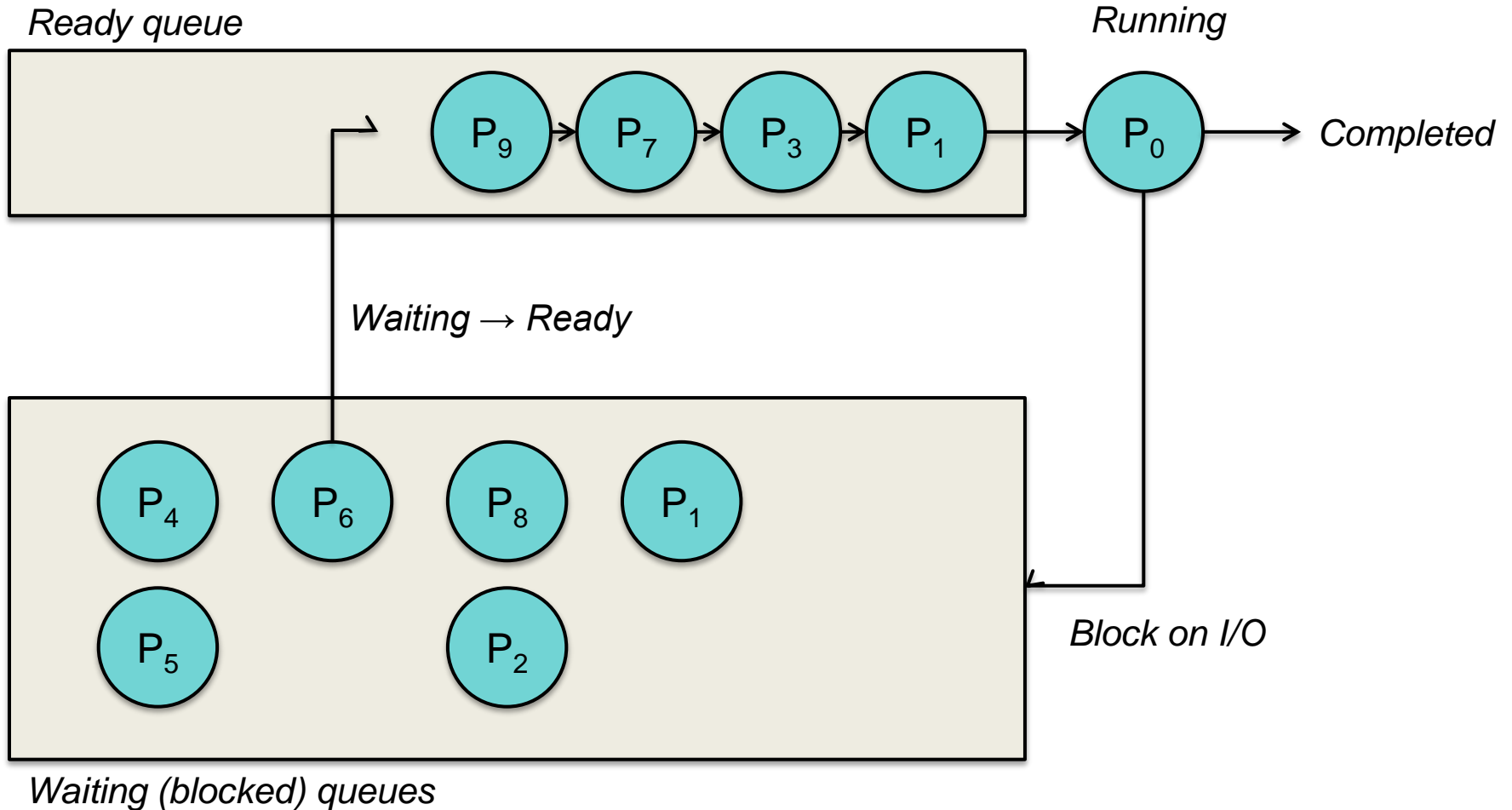
1. Current process goes from *running* to *blocked* state
2. Current process terminates
3. *Interrupt* gives the scheduler a chance to move a process from *running* to *ready*: *scheduler decides it's time for someone else to run*
4. Current process goes from *blocked* to *ready*
I/O is complete (including blocking events, such as semaphores)
This does not necessarily mean the currently running process will change

- *Preemptive* scheduler
- *Cooperative (non-preemptive)* scheduler
 - CPU cannot be taken away unless a system call takes place or process exits
- *Run-to-completion* scheduler (old batch systems)

Scheduling algorithm goals

Be fair	(to processes? To users?)
Be efficient	Keep CPU busy ... and don't spend a lot of time deciding!
Maximize throughput	Get as many processes to complete as quickly as possible
Minimize response time	Minimize time users must wait
Be predictable	Tasks should take about the same time to run & responsiveness should be similar when run multiple times
Minimize overhead	
Maximize resource use	Try to keep devices busy!
Avoid starvation	
Enforce priorities	
Degrade gracefully	

First-Come, First-Served (FCFS)



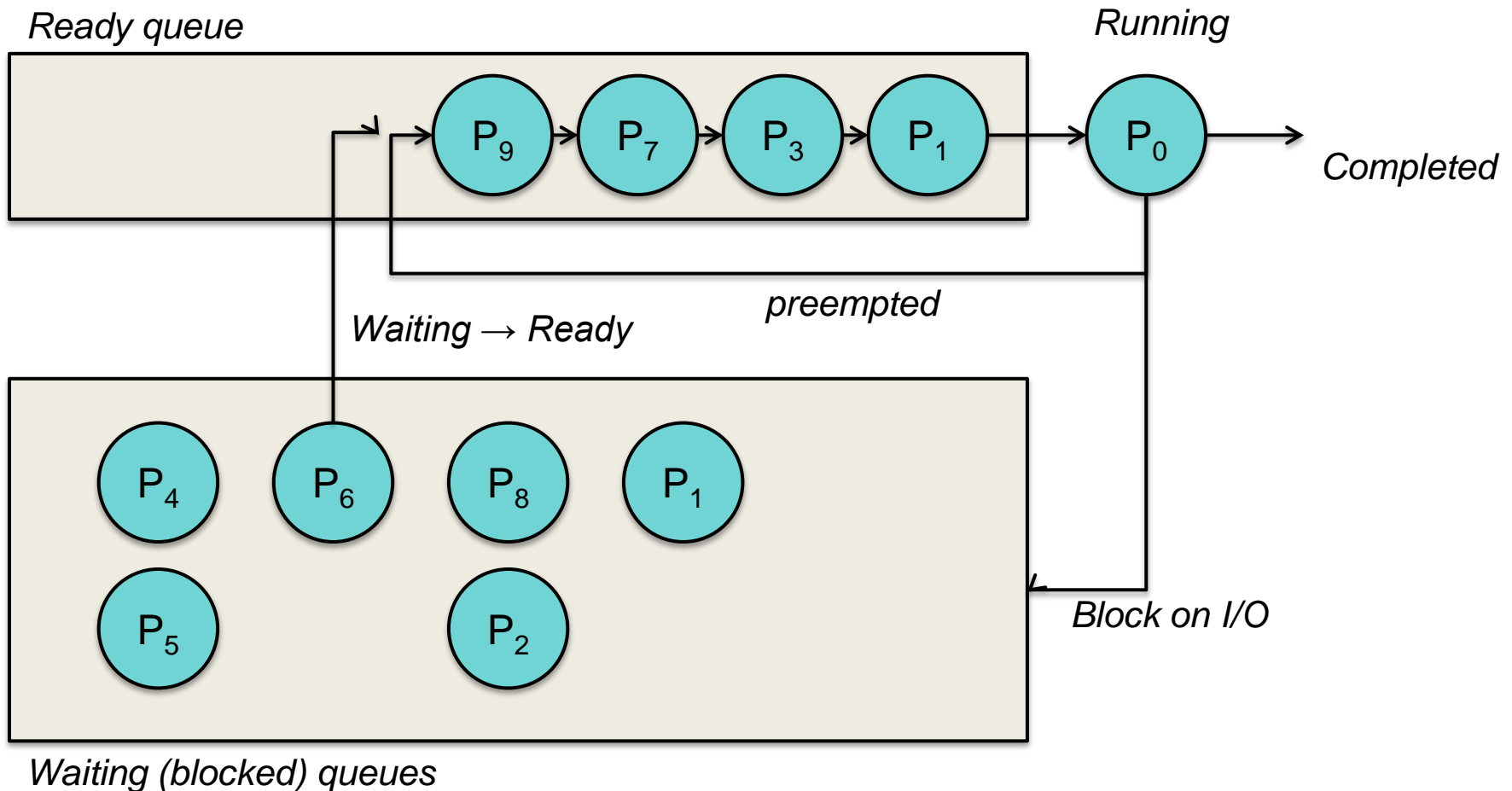
First-Come, First-Served (FCFS)

- Non-preemptive
- A process with a long CPU burst will hold up other processes
 - I/O bound tasks may have completed I/O and are ready to run: poor device utilization
 - Poor average response time

Round-Robin Scheduling

Preemptive Scheduling:

A Process can not run for longer than its assigned **quantum** (time slice)



Round-Robin Scheduling

- Behavior depends on the quantum
 - Long quantum makes this similar to FCFS
 - Short quantum increases interactivity but increases the overhead % of context switching
- **Advantages**
 - Every process gets an equal share of the CPU
 - Easy to implement
 - Easy to compute average response time: $f(\# \text{ processes on list})$
- **Disadvantage**
 - Giving every process an equal share isn't necessarily good
 - Highly interactive processes will get scheduled the same as CPU-bound processes

Shortest Remaining Time First Scheduling

- Sort tasks by anticipated CPU burst time
- Schedule shortest ones first
- Optimize average response time

<i>Burst time</i>	2	2	10	3	8	Total time = 25
<i>Process queue</i>	<i>E</i>	<i>D</i>	<i>C</i>	<i>B</i>	<i>A</i>	
<i>Total run time</i>	25	23	21	11	8	Mean time = 17.6
<div><div>last</div><div>←</div><div>first</div></div>						
<i>Burst time</i>	10	8	3	2	2	Total time = 25
<i>Process queue</i>	<i>C</i>	<i>A</i>	<i>B</i>	<i>D</i>	<i>E</i>	
<i>Total run time</i>	25	15	7	4	2	Mean time = 10.6

Mean completion time for a process falls by almost 40%!

Shortest Remaining Time First Scheduling

- Biggest problem: we're optimizing with data we don't have!
- All we can do is estimate
- Exponential average – estimate of next CPU burst:

$$e_{n+1} = \alpha t_n + (1 - \alpha)e_n$$

average of all previous CPU bursts
time of current CPU burst

α is a weight factor to balance the weight of the last burst period vs. historic periods ($0 \leq \alpha \leq 1$)

If $\alpha = 0$: $e_{n+1} = e_n$ (recent history has no effect)

If $\alpha = 1$: $e_{n+1} = \alpha t_n$ (use only the last burst time)

Shortest Remaining Time First Scheduling

- **Advantage**
 - Short-burst tasks run fast
- **Disadvantages**
 - Long-burst (CPU intensive) tasks get a long mean waiting time
 - Starvation risk!
 - Need to rely on ability to estimate CPU burst length

Priority Scheduling

Round Robin assumes all processes are equally important

- Not true
 - Interactive tasks need high priority for good response
 - We might want non-interactive tasks to get the CPU less frequently:
this goal led us to SRTF
 - Some tasks might be time critical
 - Users may have different status (e.g., administrator)
- **Priority scheduling** algorithm:
 - Each process has a priority number assigned to it
 - Pick the process with the highest priority
 - Processes with the same priority are scheduled round-robin

Priority Scheduling – Assigning Priorities

- Priority assignments:
 - **Internal**: time limits, memory requirements, I/O:CPU ratio, ...
 - **External**: assigned by administrators
- Static & dynamic priorities
 - **Static priority**: priority never changes
 - **Dynamic priority**: scheduler changes the priority during execution
 - Increase priority if it's I/O bound for better interactive performance or to increase device utilization
 - Decrease a priority to let lower-priority processes run
 - Example: use priorities to drive SJF/SRTF scheduling

Priority Scheduling – Problems

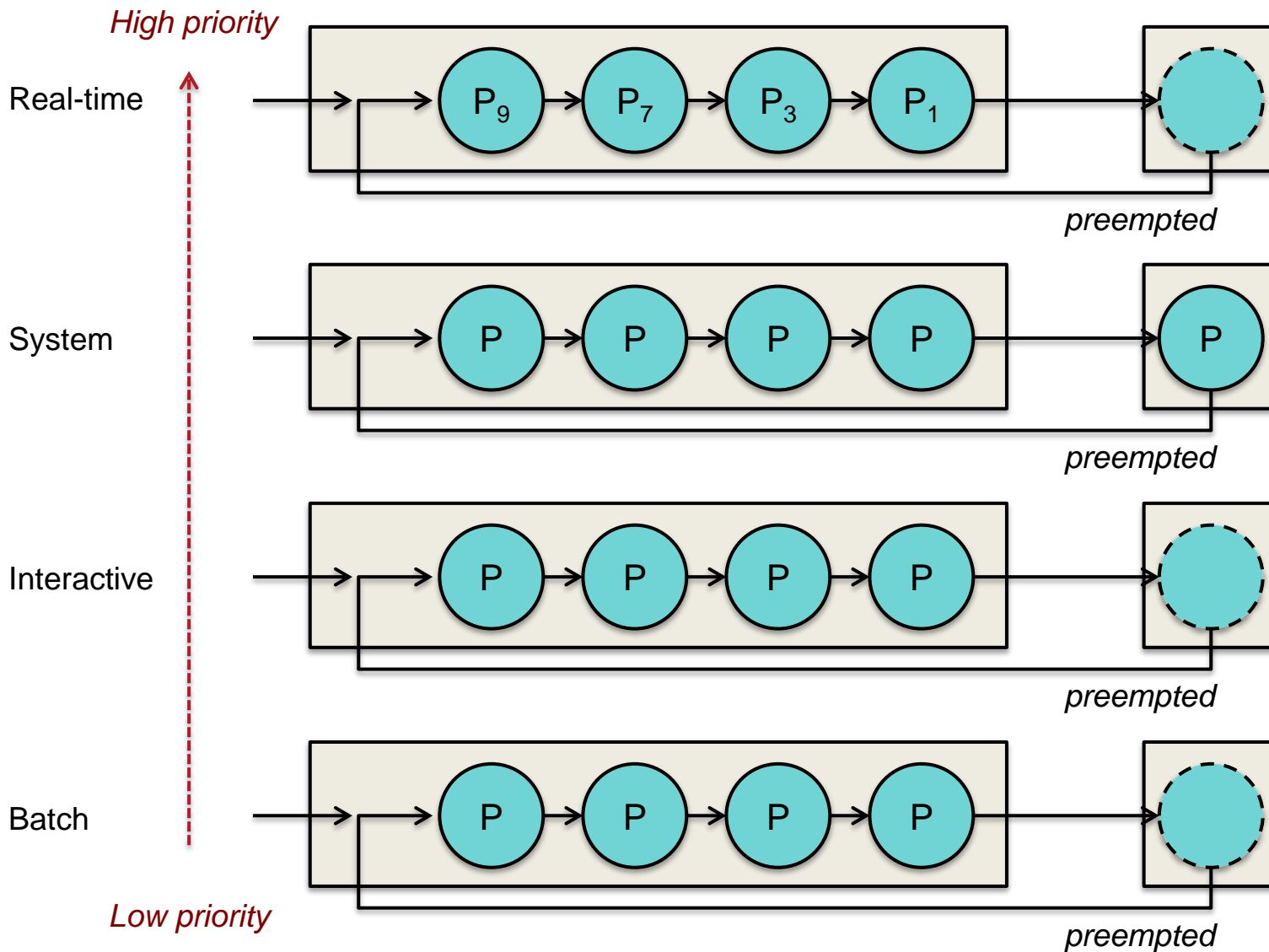
- **Priority Inversion**
 - A low-priority thread may not get scheduled, thereby preventing a high-priority thread that is holding a resource from making progress
- **Starvation**
 - A low priority thread may *never* get scheduled if there is always a high-priority thread ready to run

Multilevel Queues

Does each task need to have a unique priority level?

- **Priority classes:** a ready queues for each priority level
 - Each priority class gets its own queue
 - Processes are permanently assigned to a specific queue
 - Examples: System processes, interactive processes, slow interactive processes, background non-interactive processes
- **Implementation**
 - Priority scheduler with queues per priority level
 - Each queue may have a different scheduling algorithm (usually **round-robin**)
 - Quantum may be increased at each lower priority level
 - Lower-priority processes tend to be compute bound

Multilevel Queues



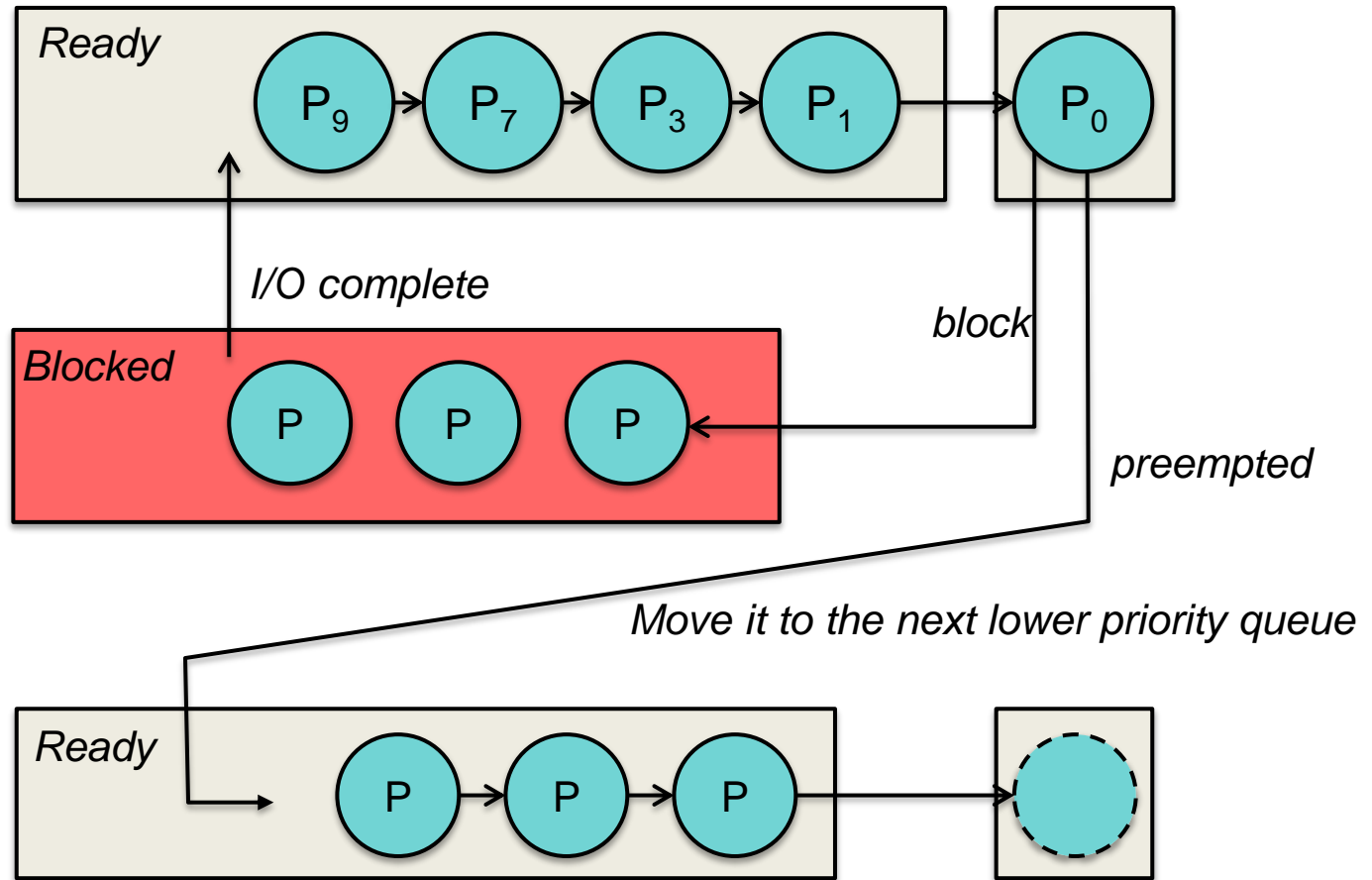
Multilevel Feedback Queues

- Goals
 - Allow processes to move between priority queues based on feedback
 - Have the scheduler learn the behavior of each task and adjust priorities
- Separate processes based on CPU burst behavior
 - I/O-bound processes will end up on higher-priority queues
- Rules
 1. A new process gets the highest priority
 2. If a process does not finish its quantum (blocks on I/O) then it will stay at the same priority level (round robin) otherwise it moves to the next lower priority level

Multilevel Feedback Queues

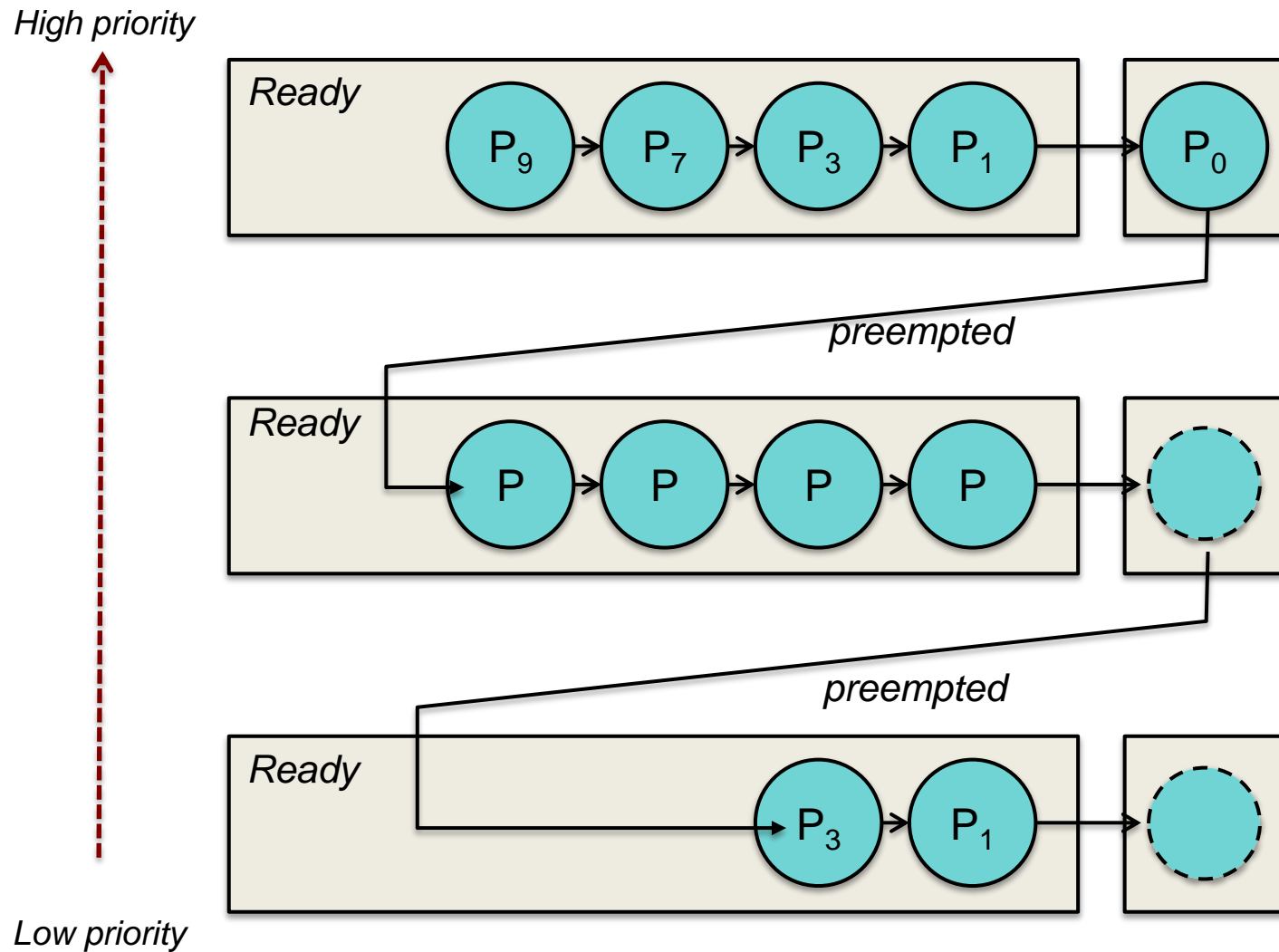
Pick the process from the head of the highest priority queue

High priority



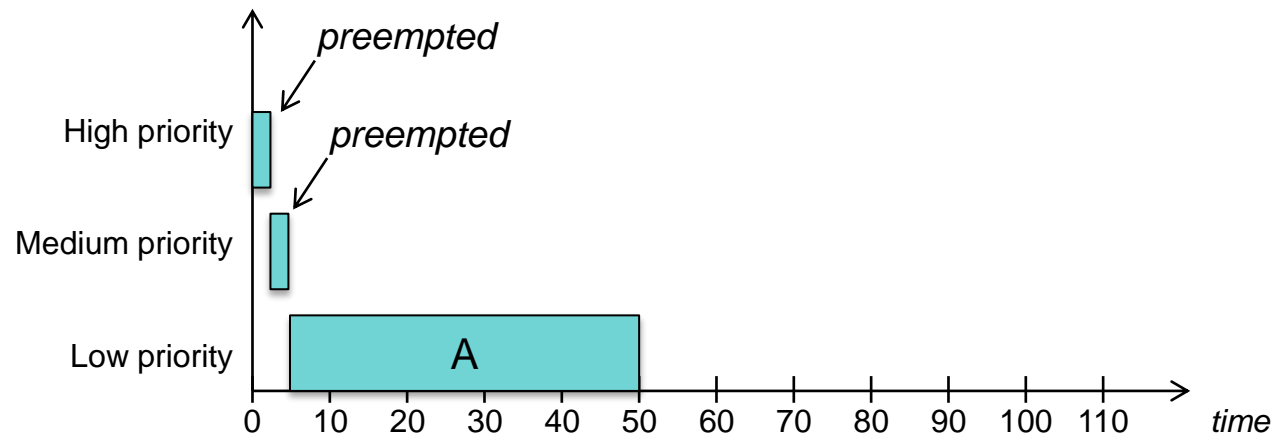
Low priority

Multilevel Feedback Queues



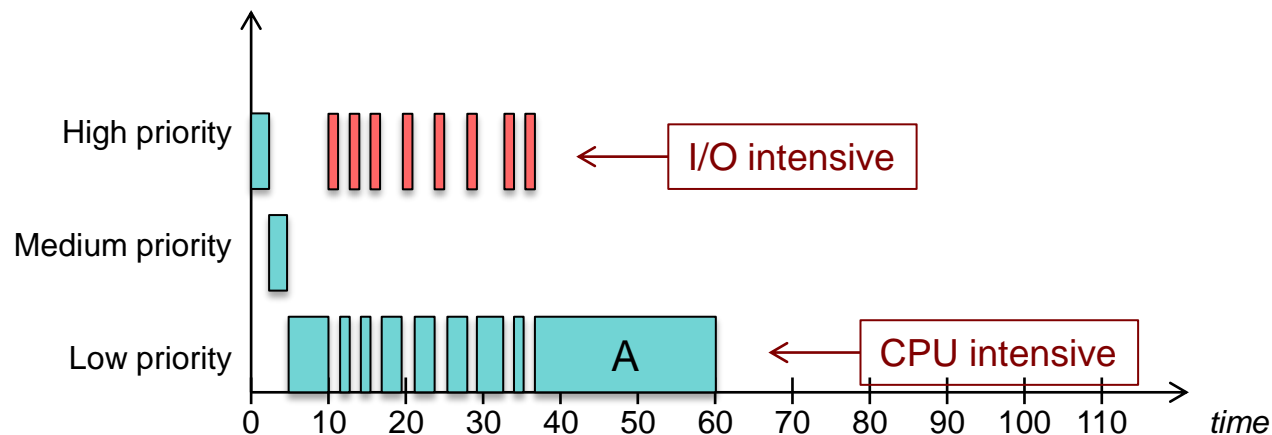
Example

One long-running process



Example

- Suppose a highly interactive process, B (■), starts at $T=10$
- It never uses up its quantum
 - B gets priority but spends a lot of its time in the blocked state
 - A (■) gets to run only when B is blocked



Starvation & aging

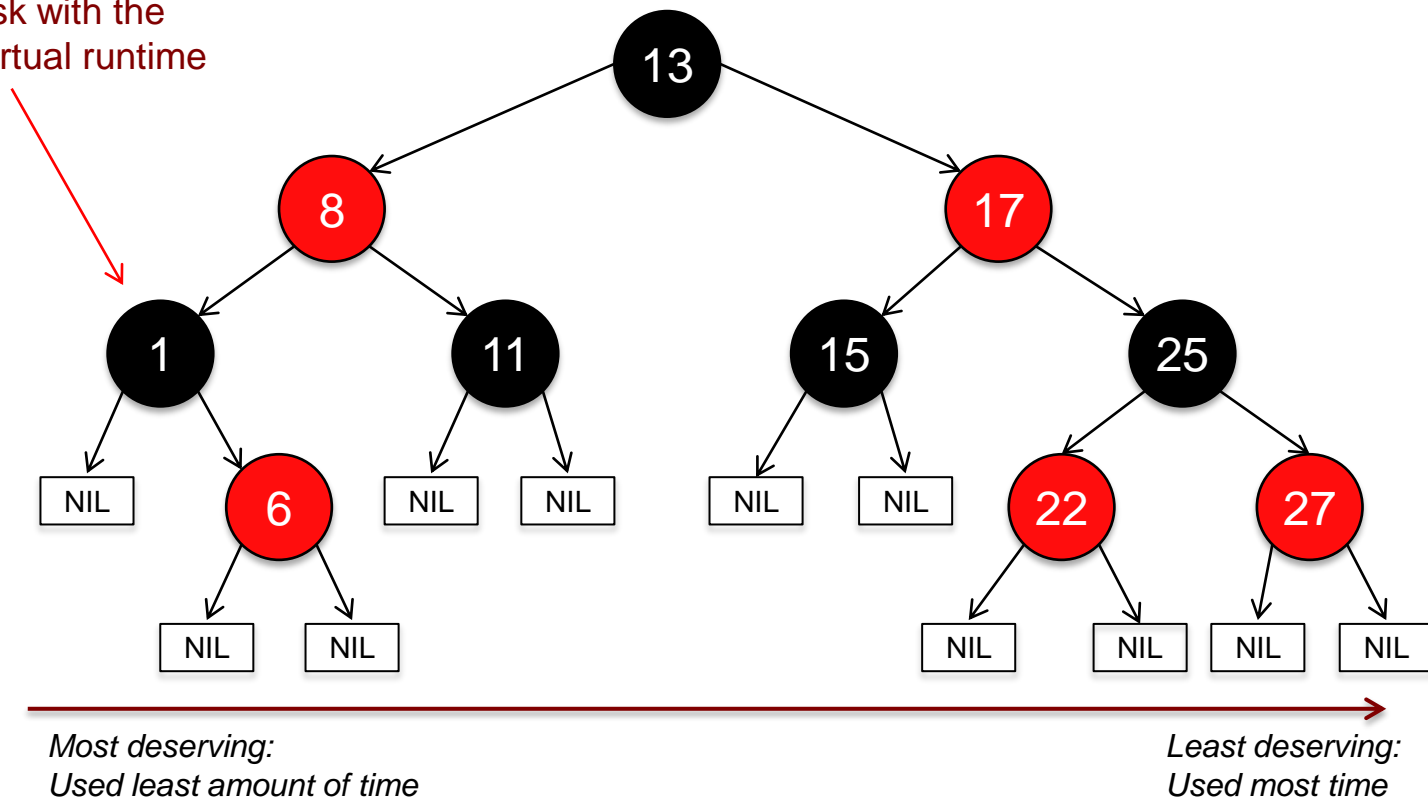
- Two problems
 - **Starvation:**
If there are a lot of interactive processes, the CPU-bound processes will never get to run
 - **Interactive process ending up at a low priority:**
If a process was CPU intensive (e.g., initializing a game) but then became interactive, it is forever doomed to a low priority
- Solve these **process aging**
 - Increase the priority of a so it will be scheduled to run
 - Simplest approach: periodically, set *all* processes to the highest priority
 - If it remains CPU-intensive, its priority will quickly fall again

Linux Completely Fair Scheduler

No run queues: virtual runtime sorted red-black tree used instead

- Self-balancing binary tree: search, insert, & delete in $O(\log n)$

Left-most node always
has the task with the
smallest virtual runtime



From: http://en.wikipedia.org/wiki/File:Red-black_tree_example.svg

The End