

# **Today**

- Overview of IBM-2, IBM-3, and phrase-based methods.
- Decoding for SMT.
- Evaluation of MT systems.



# Practical note on programming IBM-1

- If you were to code the EM algorithm for IBM-1, you would **not** initialize  $\theta = P(f|e)$  uniformly over the **entire** vocabulary.
  - Don't make a  $V_F \times V_E$  table with  $P(f|e) = 1/||V_E||$



- This structure would be too large.
  - Probabilities would be too small.
  - It would take too much work to update.
- Rather, initialize a **hash table** over **possible** alignments,  $\mathcal{M}$ . For every English word e, only consider French words f in sentences **aligned** with English sentences containing e.
  - e.g., structure P. e.  $f := P(f|e) = 1/||\mathcal{M}||$



## **Higher IBM models**

IBM Model 1	lexical translation
IBM Model 2	adds absolute <b>re-ordering model</b>
IBM Model 3	adds fertility model
•••	•••

- Only IBM Model 1 training reaches a global maximum
  - Training of each IBM model extends the next lowest model.
- Higher models become computationally expensive.



#### IBM-2

- Unlike IBM Model-1, the placement of a word in, say, Spanish in IBM Model-2 depends on where its equivalent word was in English.
  - IBM-2 captures the intuition that translations should lie roughly "along the diagonal".

	Buenos	dias	,	me	gusta	papas	frías
Good	X						
day		X					
,			X				
1				X			
like					X		
cold							Х
potatoes						X	



#### IBM-2

 IBM Model 2 builds on Model 1 by adding a re-ordering model defined by distortion parameters <u>regardless of actual words</u>.

$$D(i|j, \mathcal{L}_E, \mathcal{L}_F)$$
 = the probability that the  $i^{th}$  English slot is aligned to the  $j^{th}$  French slot, given sentence lengths  $\mathcal{L}_E$  and  $\mathcal{L}_F$ .

In IBM Model 2:

$$P(a|E,\mathcal{L}_{E},\mathcal{L}_{F}) = \prod_{j=1}^{\mathcal{L}_{F}} D(a_{j}|j,\mathcal{L}_{E},\mathcal{L}_{F})$$

Recall that in IBM Model 1,

$$P(\boldsymbol{a}|\boldsymbol{E}, \boldsymbol{\mathcal{L}}_{\boldsymbol{E}}, \boldsymbol{\mathcal{L}}_{\boldsymbol{F}}) = \frac{P(\boldsymbol{\mathcal{L}}_{\boldsymbol{F}})}{(\boldsymbol{\mathcal{L}}_{\boldsymbol{E}} + 1)^{\boldsymbol{\mathcal{L}}_{\boldsymbol{F}}}}$$



# **IBM-2 – Probability of alignment**

- E = And the program has been implemented
- F = Le programme a été mis en application
- $\mathcal{L}_E = 6$
- $\mathcal{L}_F = 7$
- $a = \{2,3,4,5,6,6,6\}$  (i.e.,  $f_1 \leftarrow e_2, f_2 \leftarrow e_3,...$ )

D(2<sup>nd</sup> English word | 1<sup>st</sup> French word,...)

• 
$$P(a|E, \mathcal{L}_E, \mathcal{L}_F) = D(2|1,6,7) \times D(3|2,6,7) \times D(4|3,6,7) \times D(5|4,6,7) \times D(5|4,6,7) \times D(6|5,6,7) \times D(6|6,6,7) \times D(6|7,6,7)$$

This is independent of the actual words.

This cares only about position.



## **IBM-2:** generation

- To generate a French sentence F from English E,
  - Pick an alignment with probability

$$\prod_{j=1}^{\mathcal{L}_F} D(a_j|j,\mathcal{L}_E,\mathcal{L}_F)$$

3. Sample French words with probability

$$P(F|a,E) = \prod_{j=1}^{\mathcal{L}_F} P(f_j|e_{a_j})$$
 This is the same  $P(f|e)$  as in IBM-1.

So,
$$P(F, a|E) = P(a|E)P(F|a, E) = \prod_{j=1}^{\mathcal{L}_F} D(a_j|j, \mathcal{L}_E, \mathcal{L}_F)P(f_j|e_{a_j})$$

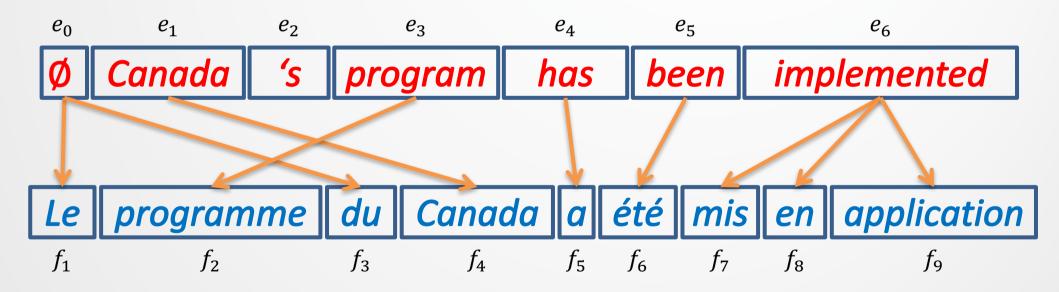


## **IBM-2: training**

- We use EM, as before with IBM-1 except that we need to take the distortion into account when computing the probability of an alignment.
- We also need to learn the distortion function.
- Aren't you glad that you don't need to know how to compute EM for IBM-2?

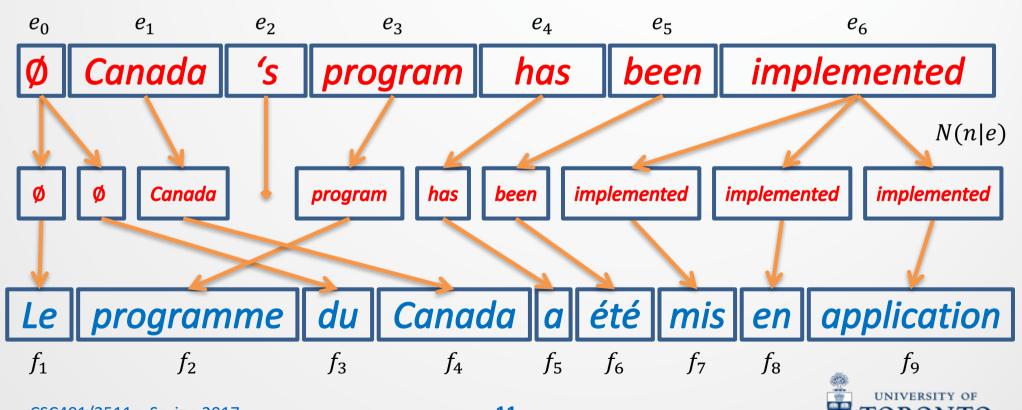
#### IBM-3

- **IBM Model 3** extends Model 2 by adding a **fertility model** that describes how many French words each **English** word can produce.
  - In the example below, implemented appears to be more fertile than program.



### **IBM-3: The generation model**

- First, we **replicate** each word according to a new hidden parameter, N(n|e), which is the **probability that word** e **produces** n **words**.
  - We then re-align (with distortion) and translate as we did in IBM-2.



### **IBM** models

IBM Model 1	lexical translation
IBM Model 2	adds absolute <b>re-ordering model</b>
IBM Model 3	adds fertility model



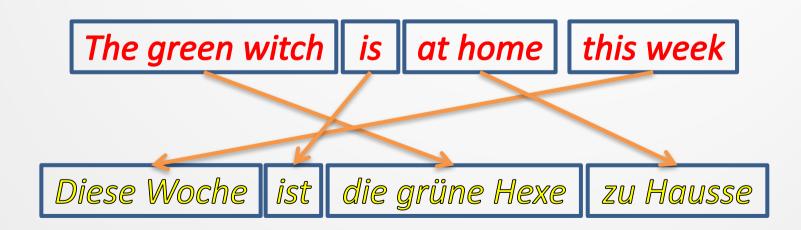






#### Phrase-based statistical MT

- Phrase-based statistical MT involves segmenting sentences into contiguous blocks or segments.
  - Each phrase is probabilistically translated.
     e.g., P(zu Hausse at home)
  - Each phrase is probabilistically re-ordered.





#### Phrase-based statistical MT

- Phrase-based SMT allows many-to-many word mappings.
- Larger context allows for some disambiguation that is not possible in word-based alignment.
  - E.g.,

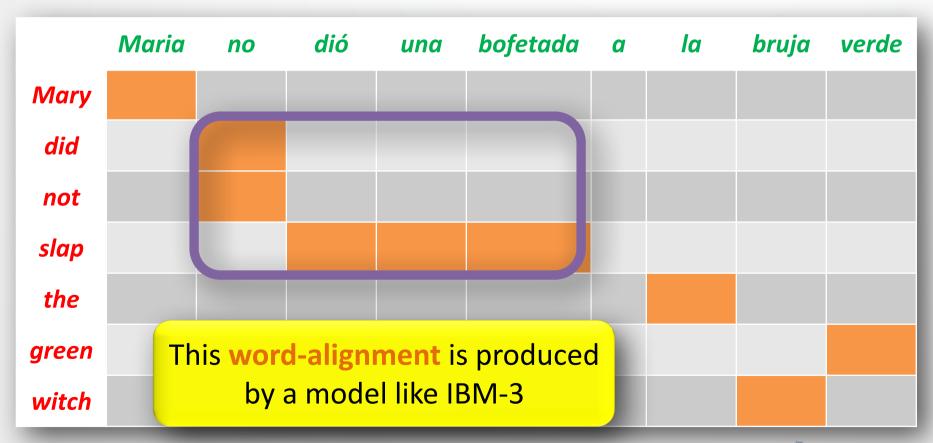


A tiny amount of context ©



### Learning phrase-translations

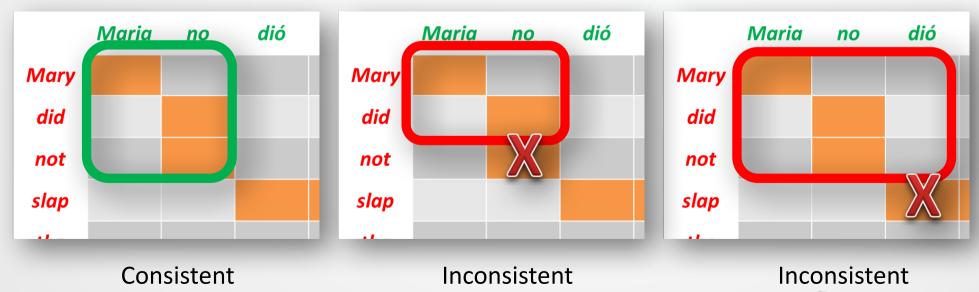
- Typically, we use alignment templates (Och et al., 1999).
  - Start with a word-alignment, then build phrases.





### Learning phrase-translations

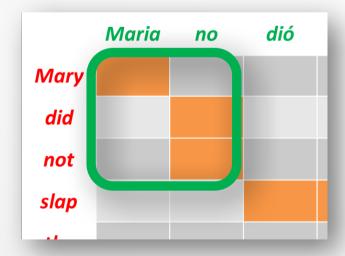
- A phrase alignment must contain all word alignments for each of its rows and columns.
  - Collect all phrase alignments that are consistent with the word alignment, e.g.



### Learning phrase-translations

 Given word-alignments (produced automatically or otherwise), we do not need to do EM training. E.g.,

• 
$$P(f_1f_2|e_1e_2e_3) = \frac{Count(f_1f_2,e_1e_2e_3)}{Count(e_1e_2e_3)}$$

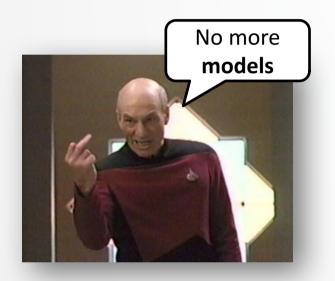




## Phrase-based translation in practice











### Decoding

- Decoding is the act of translating a 'foreign' language into your native language.
  - Decoding is an NP-complete problem (Knight, 1999).
- IBM Models often decoded with stack decoding or A\* search.
- Seminal paper: U. Germann, M. Jahr, K. Knight, D. Marcu, K. Yamada (2001) Fast Decoding and Optimal Decoding for Machine Translation. In: ACL-2001.
  - Introduces greedy decoding start with a solution and incrementally try to improve it.



# First stage of greedy method

• For each French word  $f_j$ , pick the English word  $e^*$  such that

$$e^* = \underset{e}{\operatorname{argmax}} P(f_j|e)$$

This gives an initial alignment, e.g.,

Bien	entendu	,	il	parle	ď	une	belle	victoire
Well	heard	,	it	talking	Ø	а	beautiful	victory

(Better: quite naturally, he talks about a great victory)



#### Some transformations

- Change(j, e): sets translation of  $f_j$  to e
  - Usually we only consider English words e that are in the top N ranked translations for  $f_i$ .
- $Change2(j_1, e1, j_2, e2)$ : sets translation of  $f_{j_1}$  to e1 and translation of  $f_{j_2}$  to e2
  - Like performing two Change transformations in sequence, but without evaluating the intermediate string.
- ChangeAndInsert(j, e1, e2): sets translation of  $f_j$  to e1 and inserts e2 at its most likely position.



#### Some more transformations

• RemoveInfertile(i): Removes  $e_i$  if  $e_i$  is aligned with no French words.

•  $SwapSeg(i_1, i_2, j_1, j_2)$ : Swaps segment  $e_{i_1:i_2}$  with segment  $e_{j_1:j_2}$  such that segments do not overlap.

•  $JoinWords(i_1, i_2)$ : Removes  $e_{i_1}$  and aligns all French words that were aligned to  $e_{i_1}$  to  $e_{i_2}$ .



# **Iterating greedily**

- We have an initial pair  $(E^{(0)}, a^{(0)})$ .
- Use local **transformations** to map (E, a) to new pairs, (E', a').
- At each iteration, k, take the highest probability pair from all possible transformations
  - i.e., if  $\mathcal{R}(E^{(k)}, a^{(k)})$  is the set of all (E, a) 'reachable' from  $(E^{(k)}, a^{(k)})$ , then at each iteration:

$$(E^{(k+1)}, a^{(k+1)}) = \underset{(E,a) \in \mathcal{R}(E^{(k)}, a^{(k)})}{\operatorname{argmax}} P(E)P(F, a|E)$$



Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	heard	,	it	<u>talking</u>	Ø	a	<u>beautiful</u>	victory



Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	heard	,	it	<u>talks</u>	Ø	а	great	victory



Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	<u>heard</u>	,	it	talks	Ø	а	great	victory



Change2(2, understood, 6, about)

Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	understood	,	it	talks	<u>about</u>	a	great	victory



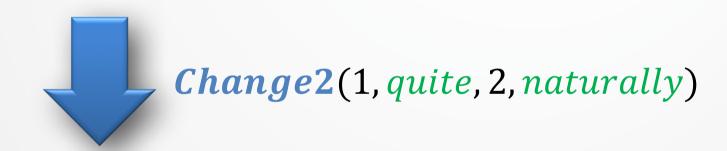
Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	understood	,	<u>it</u>	talks	about	а	great	victory



Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	understood	,	<u>he</u>	talks	about	а	great	victory



Bien	intendu	,	il	parle	ď	une	belle	victoire
Well	understood	,	he	talks	about	а	great	victory



Bien	intendu	,	il	parle	ď	une	belle	victoire
<u>Quite</u>	<u>naturally</u>	,	he	talks	about	а	great	victory



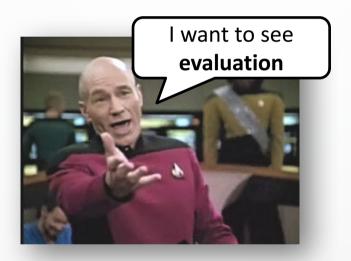
## **Greedy transformations**

- At each iteration, we try each possible transformation.
- For each possible transformation, we evaluate

 We choose the transformation that gives the highest probability, and iterate until some stopping condition.









# **Evaluation of MT systems**

对外经济贸易合作部今天提供的数据表明,今年至十一月中国实际利用外资四百六十九点五九亿美元,其中包括外商直接投资四百点零七亿美元。

Hu	ıman	According to the data provided today by the Ministry of Foreign Trade and Economic Cooperation, as of November this year, China has actually utilized 46.959B US dollars of foreign capital, including 40.007B US dollars of direct investment from foreign businessmen.
IBI	M4	The Ministry of Foreign Trade and Economic Cooperation, including foreign direct investment 40.007B US dollars today provide data include that year to November China actually using foreign 46.959B US dollars and
	mada/ night	Today's available data of the Ministry of Foreign Trade and Economic Cooperation shows that China's actual utilization of November this year will include 40.007B US dollars for the foreign direct investment among 46.959B US dollars in foreign capital.

How can we objectively compare the quality of two translations?



#### **Automatic evaluation**

- We want an automatic and effective method to objectively rank competing translations.
  - Word Error Rate (WER) measures the number of erroneous word insertions, deletions, substitutions in a translation.
    - E.g., Reference: how to recognize speech
       Translation: how understand a speech
    - **Problem**: There are many possible valid translations. (There's no need for an exact match)



# Challenges of evaluation

• Human judges:

expensive, slow, non-reproducible (different judges – different biases).

Multiple valid translations, e.g.:

• Source: Il s'agit d'un guide qui assure que l'armée

sera toujours fidèle au Parti

• **T1**: It is a guide to action that ensures that the

military will forever heed Party commands

• **T2**: It is the guiding principle which guarantees

the military forces always being under

command of the Party



#### **BLEU** evaluation

- BLEU (BiLingual Evaluation Understudy) is an automatic and popular method for evaluating MT.
  - It uses multiple human reference translations, and looks for local matches, allowing for phrase movement.
  - Candidate: n. a translation produced by a machine.
- There are a few parts to a BLEU score...



### **Example of BLEU evaluation**

- Reference 1: It is a guide to action that ensures that the military will forever heed Party commands
- Reference 2: It is the guiding principle which guarantees the military forces always being under command of the Party
- Reference 3: It is the practical guide for the army always to heed the directions of the party
- Candidate 1: It is a guide to action which ensures that the military always obeys the commands of the party
- Candidate 2: It is to insure the troops forever hearing the activity guidebook that party direct



## **BLEU: Unigram precision**

The unigram precision of a candidate is

 $\frac{C}{N}$ 

where *N* is the number of words in the **candidate** and *C* is the number of words in the **candidate** which are in **at least one reference**.

- e.g., **Candidate 1**: It is a guide to action which ensures that the military always obeys the commands of the party
  - Unigram precision =  $\frac{17}{18}$ (obeys appears in none of the three references).



## **BLEU: Modified unigram precision**

Reference 1: The lunatic is on the grass

• **Reference 2**: There is a lunatic upon the grass

• Candidate: The the the the the the

• Unigram precision =  $\frac{7}{7} = 1$ 



Capped unigram precision:

A candidate word type w can only be correct a maximum of cap(w) times.

• e.g., with cap(the) = 2, the above gives

$$p_1 = \frac{2}{7}$$



# **BLEU: Generalizing to N-grams**

- Generalizes to higher-order N-grams.
  - Reference 1: It is a guide to action that ensures that the military will forever heed Party commands
  - Reference 2: It is the guiding principle which guarantees the military forces always being under command of the Party
  - Reference 3: It is the practical guide for the army always to heed the directions of the party
  - <u>Candidate 1</u>: *It is* a guide to action which ensures that the military always obeys the commands of the party
  - <u>Candidate 2</u>: It is to insure the troops forever hearing the activity guidebook that party direct

Bigram precision,  $p_2$ 

$$p_2 = 10/17$$

$$p_2 = 1/13$$



## **BLEU: Precision is not enough**

- Reference 1: It is a guide to action that ensures that the military will forever heed Party commands
- Reference 2: It is the guiding principle which guarantees the military forces always being under command of the Party
- Reference 3: It is the practical guide for the army always to heed the directions of the party
- Candidate 1: of the

Unigram precision,  $p_1 = \frac{2}{2} = 1$  Bigram precision,  $p_2 = \frac{1}{1} = 1$ 



### **BLEU: Brevity**

- Solution: Penalize brevity.
- Step 1: for each candidate, find the reference most similar in length.
- Step 2:  $c_i$  is the length of the  $i^{th}$  candidate, and  $r_i$  is the nearest length among the references,

$$brevity_i = \frac{r_i}{c_i}$$
 Bigger = too brief

• **Step 3**: multiply precision by the (0..1) **brevity penalty**:

$$BP = \begin{cases} 1 & \text{if } brevity < 1\\ e^{1-brevity} & \text{if } brevity \ge 1 \end{cases}$$



 $(r_i < c_i)$ 

 $(r_i \geq c_i)$ 

#### **BLEU: Final score**

• On slide 39,  $r_1=16, r_2=17, r_3=16,$  and  $c_1=18$  and  $c_2=14,$   $brevity_1=\frac{17}{18}$   $BP_1=1$   $BP_2=e^{1-\left(\frac{8}{7}\right)}=0.8669$ 

• **Final score** of candidate *C*:

$$BLEU = BP_C \times (p_1 p_2 \dots p_n)^{1/n}$$

where  $p_n$  is the n-gram precision. (You can set n empirically)



# **Example: Final BLEU score**

• Reference 1: I am afraid Dave

**Reference 2:** I am scared Dave

**Reference 3:** I have fear David

**Candidate:** I fear David

•  $brevity = \frac{4}{3} \ge 1 \text{ so } BP = e^{1 - (\frac{4}{3})}$ 

- $p_1 = \frac{1+1+1}{3} = 1$
- $p_2 = \frac{1}{2}$

•  $BLEU = BP(p_1p_2)^{\frac{1}{2}} = e^{1-\left(\frac{4}{3}\right)} \left(\frac{1}{2}\right)^{\frac{1}{2}} \approx 0.5067$ 

Assume  $cap(\cdot) = 2$  for all *N*-grams

Also assume BLEU order n = 2



#### **BLEU: summary**

- BLEU is a geometric mean over n-gram precisions.
  - These precisions are capped to avoid strange cases.
    - E.g., the translation "the the the the" is not favoured.
  - This geometric mean is weighted so as not to favour unrealistically short translations, e.g., "the"
- Initially, evaluations showed that BLEU predicted human judgements very well, but:
  - People started optimizing MT systems to maximize BLEU.
     Correlations between BLEU and humans decreased.



# Reading

- Entirely optional: Vogel, S., Ney, H., and Tillman, C. (1996).
   HMM-based Word Alignment in Statistical Translation. In:
   Proceedings of the 16th International Conference on Computational Linguistics, pp. 836-841, Copenhagen.
- Useful reading on IBM Model-1: Section 25.5 of the 2<sup>nd</sup> edition of the Jurafsky & Martin text.
  - 1<sup>st</sup> edition available at Robarts library.
- Other: Manning & Schütze Sections 13.1.2
   (Gale&Church), 13.1.3 (Church), 13.3, 14.2.2



#### **Announcements**

- Assignment 1 marks/comments will be emailed individually.
- Not-for-marks midterm on Monday 6 March.

