

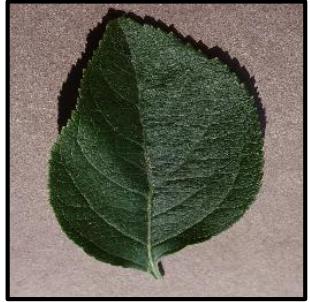
# CAp 2021

## Conférence sur l'Apprentissage Automatique

**VERS UNE MEILLEURE COMPREHENSION DES MÉTHODES DE MÉTA-APPRENTISSAGE À  
TRAVERS LA THÉORIE DE L'APPRENTISSAGE DE REPRESENTATIONS MULTI-TÂCHES**

Quentin BOUNIOT





Apple



Blueberry



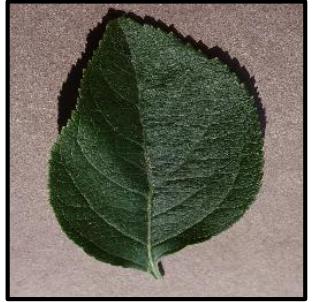
Apple



Blueberry



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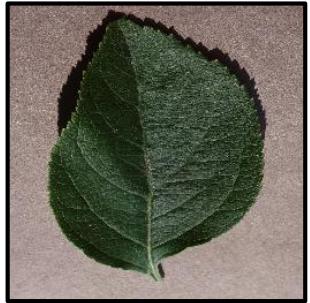
Apple



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Blueberry



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Da Vinci



Botero



Apple



Blueberry



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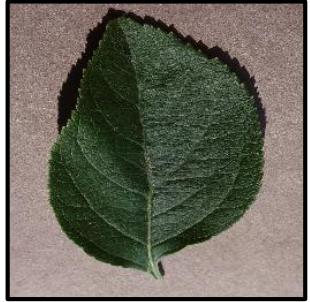
Da Vinci



Botero



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Apple



Blueberry



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Da Vinci



Botero



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## Meta-learning = Learning to Learn

- Meta-learning 101
- Multi-task Representation Learning Theory
- From Theory to Practice
- Take Home Message



# META-LEARNING 101





- **What is Meta-learning ?**

- ▶ A meta-learner trained on multiple tasks.
- ▶ For each task, the meta-learner trains a learner.
- ▶ The meta-learner is evaluated on new unseen tasks.

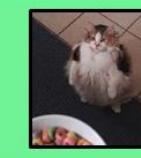
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- ▶ **Meta-Learning can be used for a lot of problems (classification, regression, RL, ...)**
- **How is it related to Few-shot Learning ?**
  - ▶ The meta-learner *learns to learn* a new task with few shots.

## INTRODUCING EPISODES

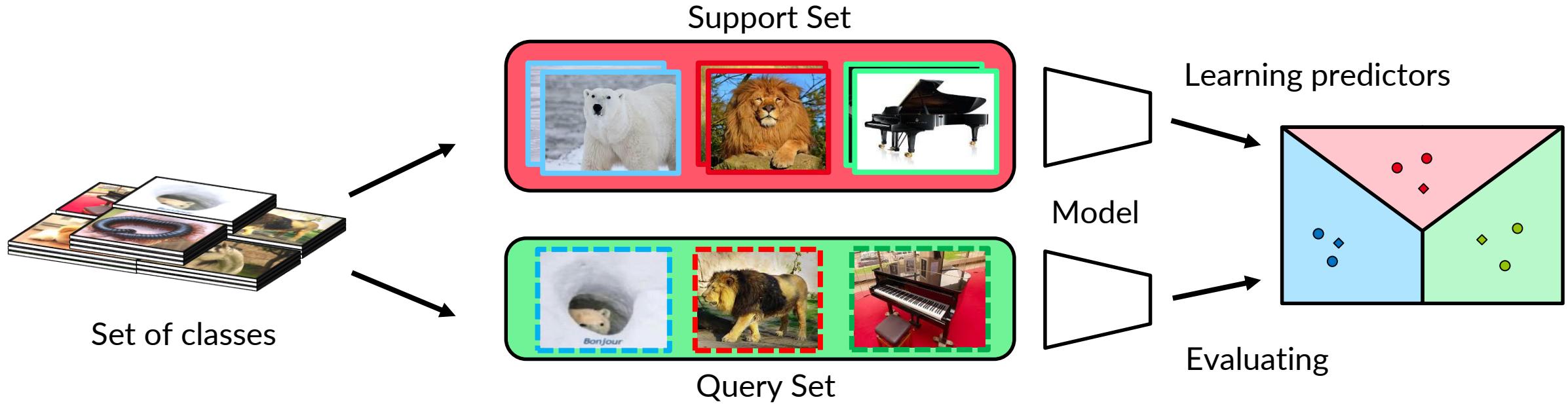
Training  
Support SetTesting  
Query Set

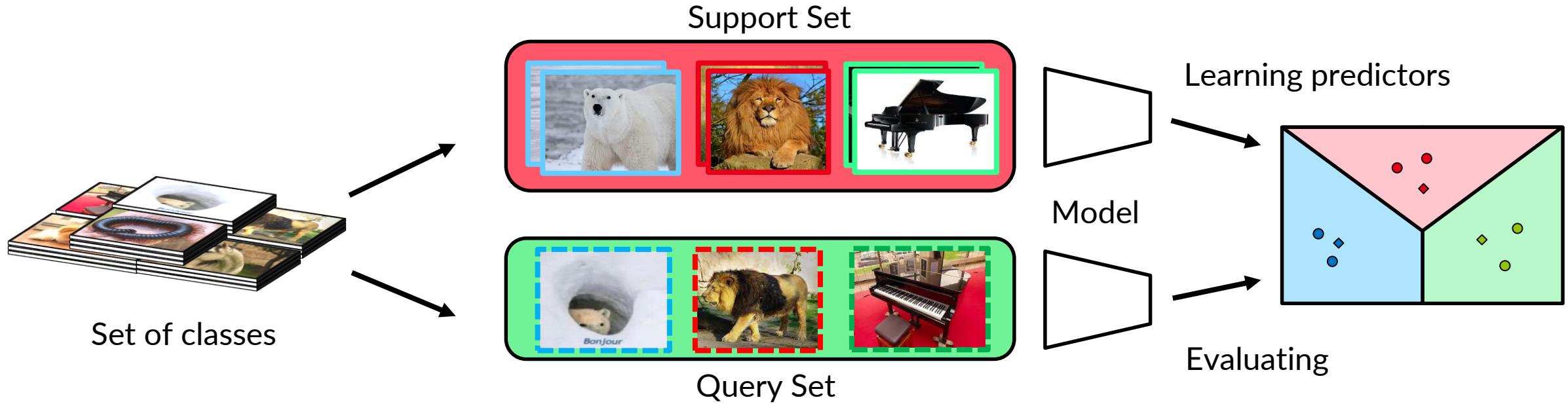
## INTRODUCING EPISODES

Training  
Support SetTesting  
Query Set← Episode  $i$ ← Episode  $i + 1$ 

←

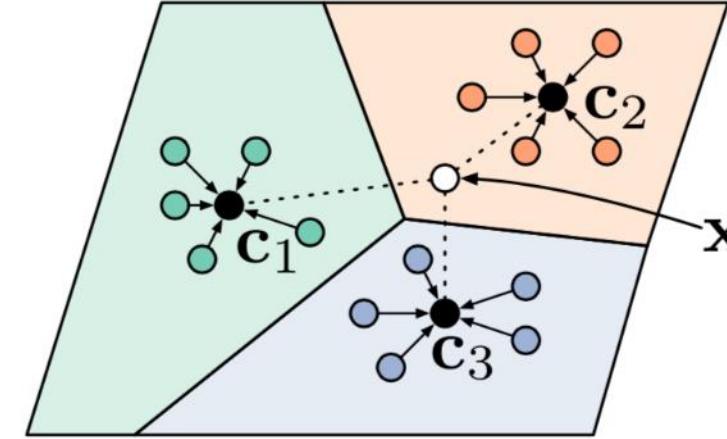
► **N-way k-shot episode:** task with N different classes and k images for each class.





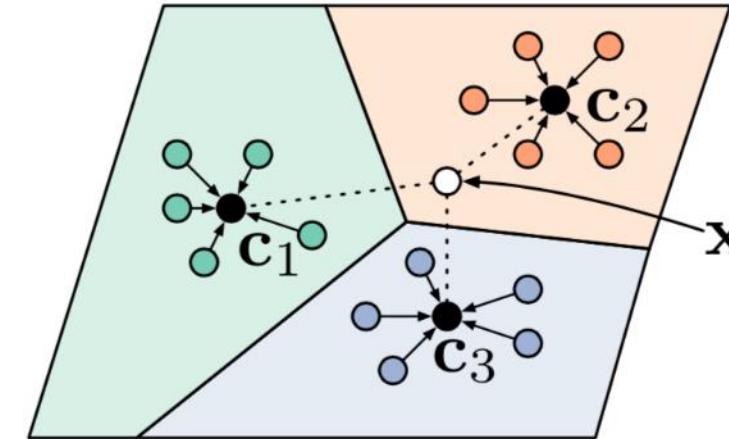
- Disjoint sets of classes between **meta-training** and **meta-testing** classes
- Construction of **episodes** from dataset
- Non-overlapping class labels between episodes

# METHODS I: METRIC-BASED PROTOTYPICAL NETWORK (PROTONET)



Snell J. et al. (2017), *Prototypical Networks for Few-shot Learning*. In NeurIPS 2017.  
Allen K. et al. (2019), *Infinite Mixture Prototypes for few-shot learning*. In ICML 2019.

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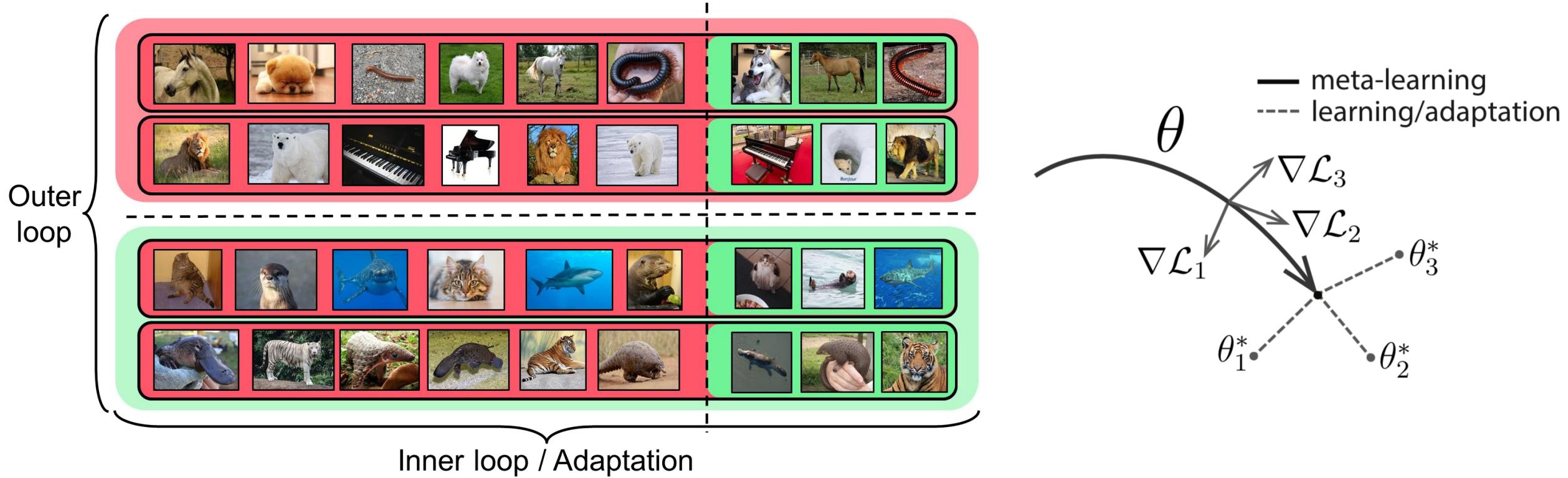


- Embedding function to encode query and support samples.
- Support samples fused into prototypes  $c_i$  for each class
- Probability distribution using inverse of distances to prototypes.
- Contrastive loss according to distance function.

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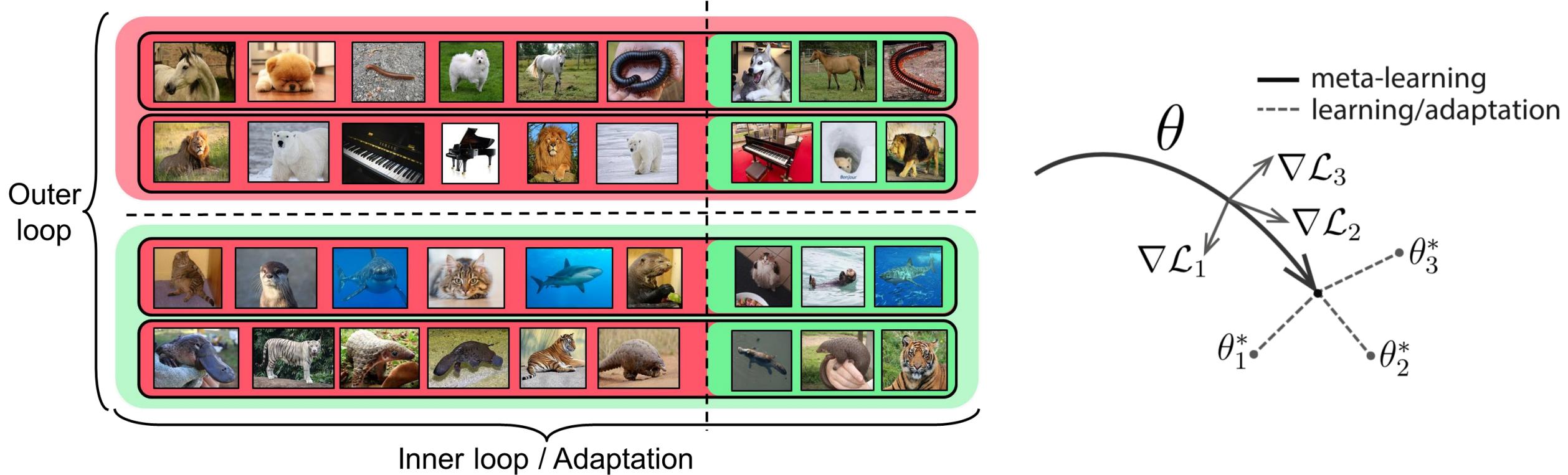
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# METHODS II: GRADIENT-BASED MODEL AGNOSTIC META-LEARNING (MAML)



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# METHODS II: GRADIENT-BASED MODEL AGNOSTIC META-LEARNING (MAML)



- **Inner Loop:**
  - ▶ Performs a few gradient updates over the  $k$  labelled examples (the support set) of **current episode/task**.
- **Outer Loop:**
  - ▶ Updates the **initialization** of the parameters (often called the *meta-initialization*).

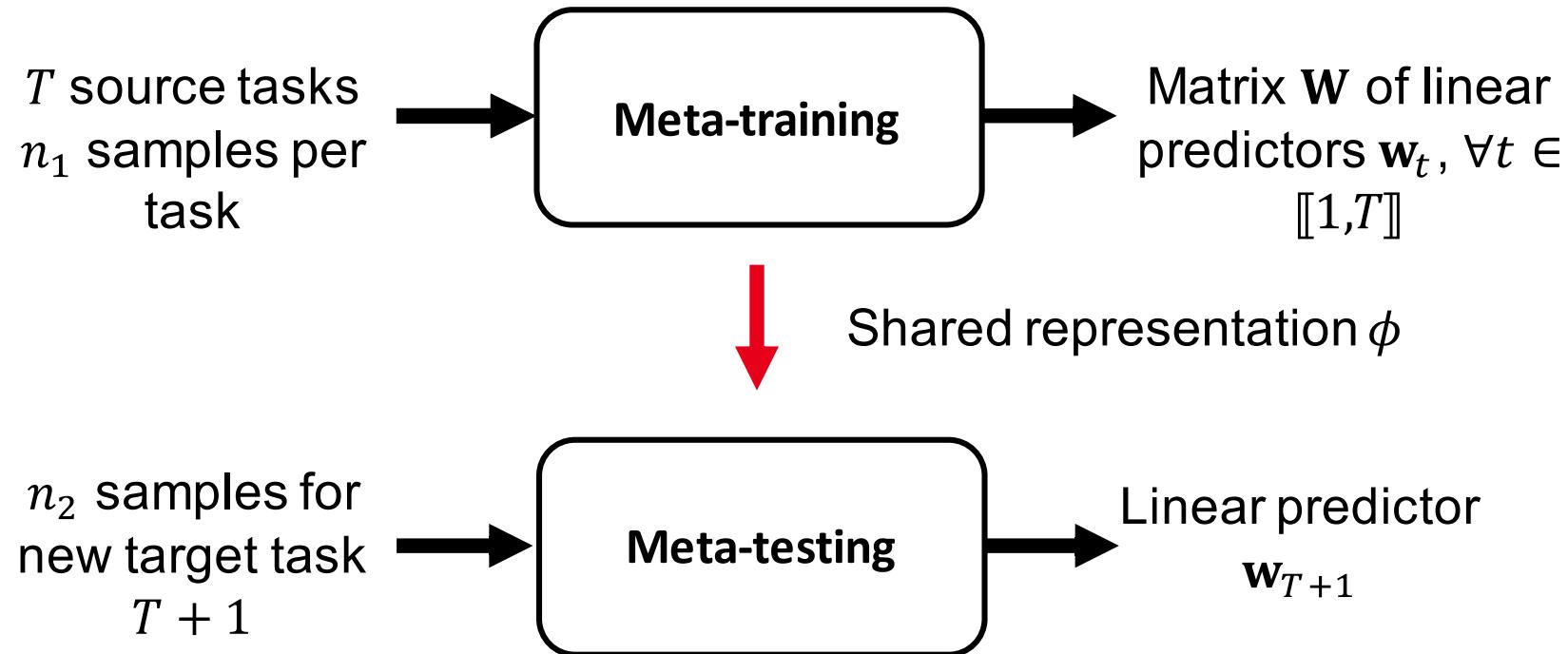
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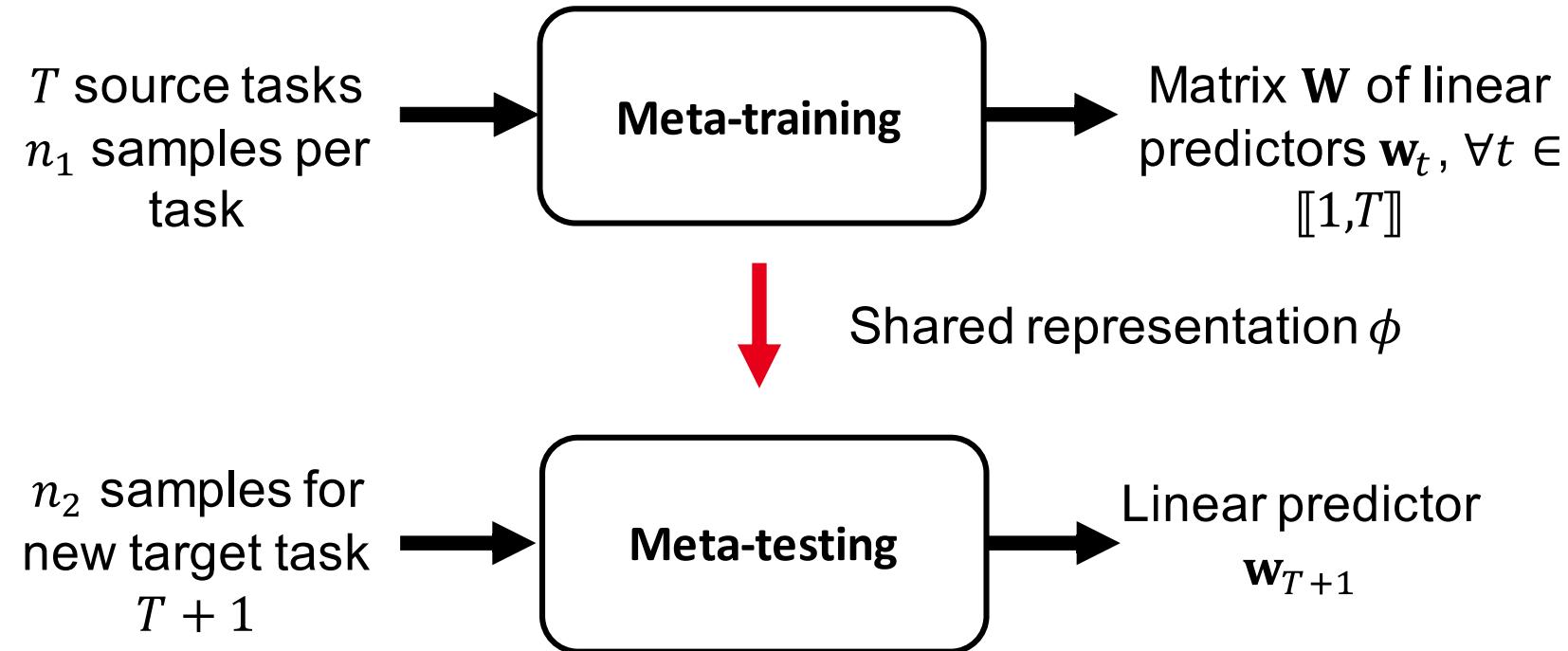
# MULTI-TASK REPRESENTATION LEARNING THEORY

$T$  source tasks  
 $n_1$  samples per task



Matrix  $\mathbf{W}$  of linear predictors  $\mathbf{w}_t$ ,  $\forall t \in \llbracket 1, T \rrbracket$





**Goal:** Minimize excess risk  $ER = \mathcal{L}(\hat{\phi}, \hat{w}_{T+1}) - \mathcal{L}(\phi^*, w_{T+1}^*)$

► True risk  $\mathcal{L}$

► Optimal weights  $\phi^*$

►  $w_{T+1}^*$  ideal target linear predictor

# IMPORTANT ASSUMPTIONS

Du S. et al. (2020), *Few-Shot Learning via Learning the Representation, Provably*. In ICRL 2021  
Tripuraneni N. et al. (2020).*Provable Meta-Learning of Linear Representations*. In arXiv 2020.

- Assumption 1: Diversity of the source tasks

- ▶ Optimal predictors  $\mathbf{W}^* = [\mathbf{w}_1^*, \dots, \mathbf{w}_T^*]$  cover all the directions evenly

- ▶ Condition Number  $\kappa(\mathbf{W}^*) = \frac{\sigma_{max}(\mathbf{W}^*)}{\sigma_{min}(\mathbf{W}^*)}$  should not increase with T

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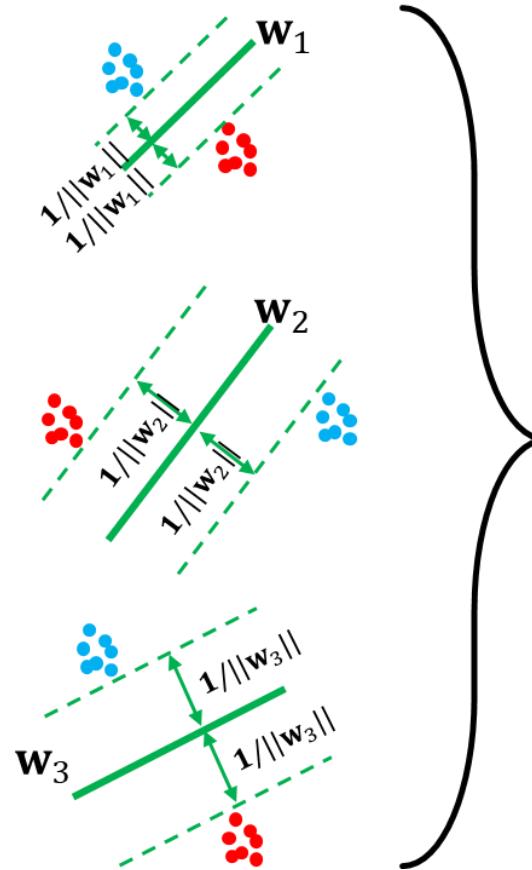
- ▶ Norm of the predictors  $\|\mathbf{w}_t^*\|_{t \in [1, T]}$  should not increase with T

- If satisfied,  $\text{ER}(\phi, \mathbf{w}_{T+1}) \leq O\left(\frac{1}{n_1 T} + \frac{1}{n_2}\right)$

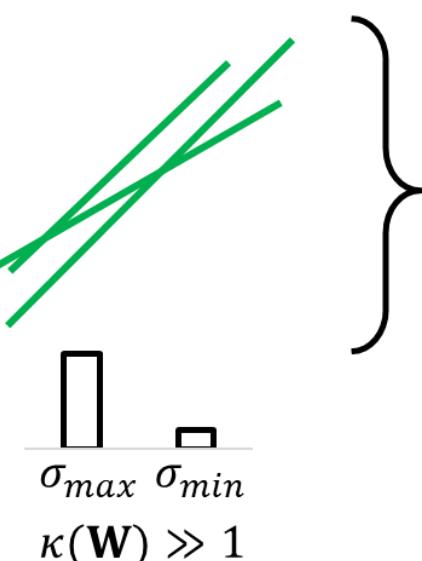
- ✓ All source and target data are useful to decrease the bound of excess risk

# ILLUSTRATION WHEN ASSUMPTIONS ARE NOT SATISFIED

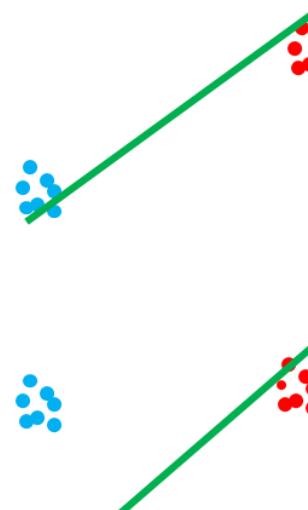
## Source tasks



$$\mathbf{W} = [\mathbf{w}_1, \mathbf{w}_2, \mathbf{w}_3]$$

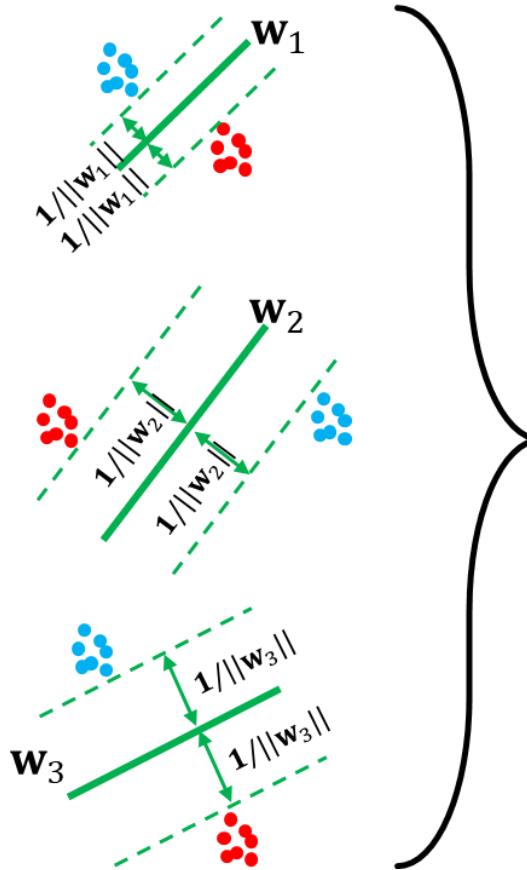


## Target tasks

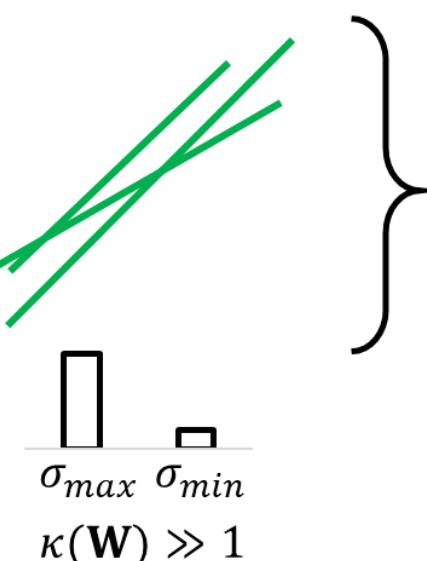


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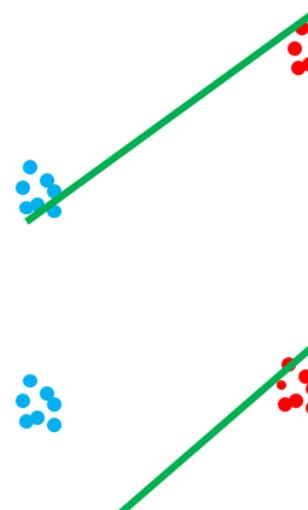
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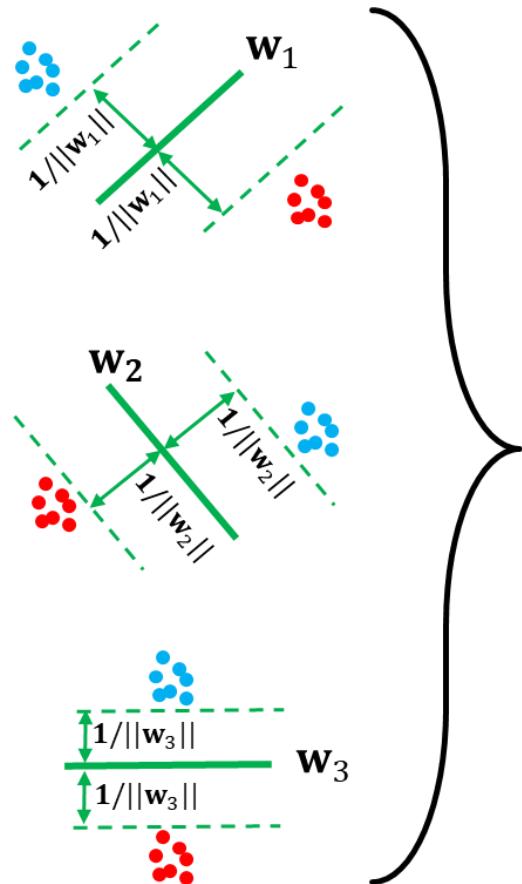


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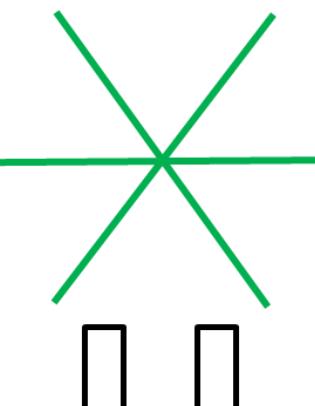


- Linear predictors cover only part of the space or over-specialize to the tasks

## Source tasks



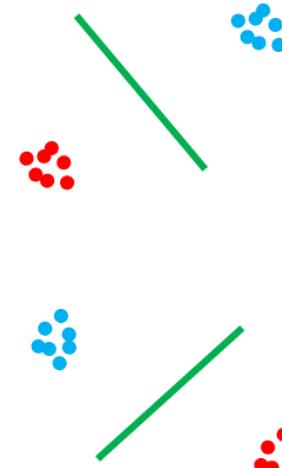
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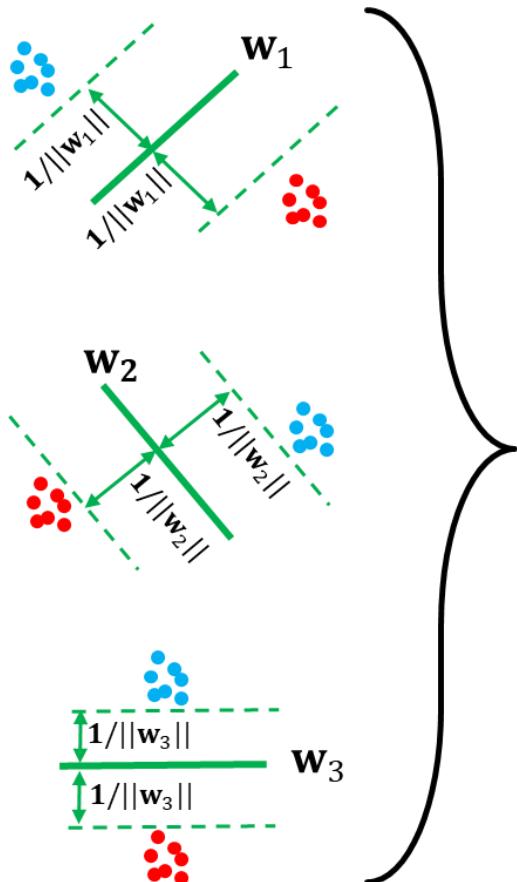
$$\sigma_{max} \quad \sigma_{min}$$

$$\kappa(\mathbf{W}) \approx 1$$

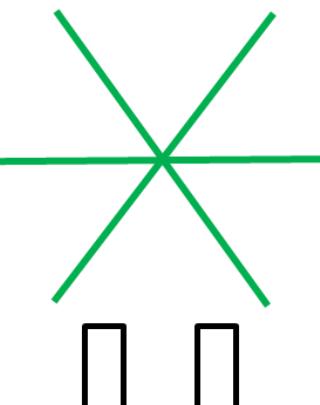
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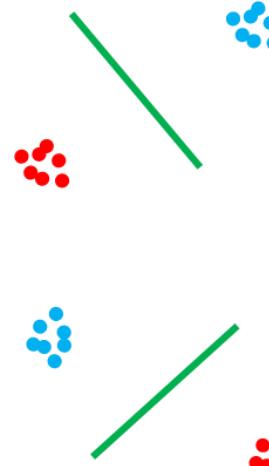
$$\mathbf{W} = [\mathbf{w}_1, \mathbf{w}_2, \mathbf{w}_3]$$



$$\sigma_{max} \quad \sigma_{min}$$

$$\kappa(\mathbf{W}) \approx 1$$

## Target tasks



- ✓ Satisfying assumption 1 makes sure that linear predictors are **complementary**
- ✓ Satisfying assumption 2 avoids **under- or over-specialization** to the tasks



## FROM THEORY TO PRACTICE CONTRIBUTIONS

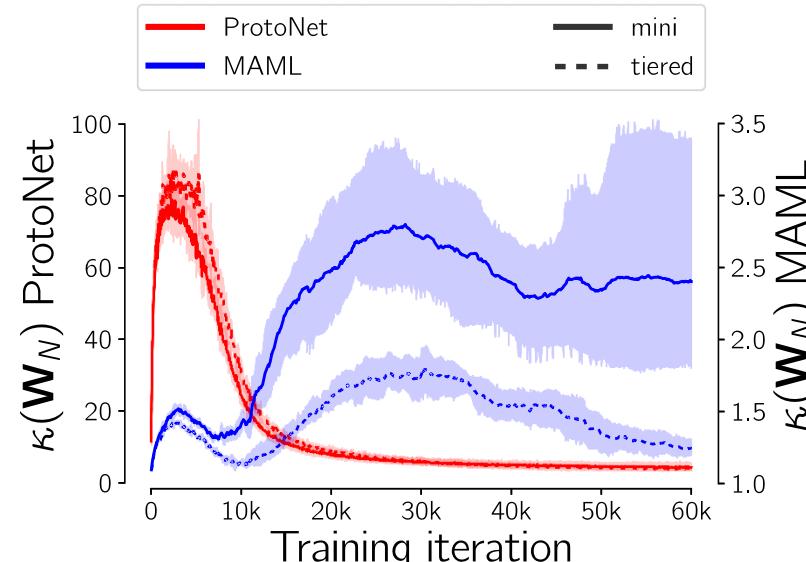


*Given  $\mathbf{W}^*$  such that  $\kappa(\mathbf{W}^*) \gg 1$ , can we learn  $\hat{\mathbf{W}}$  with  $\kappa(\hat{\mathbf{W}}) \approx 1$  while solving the underlying classification problems equally well ?*

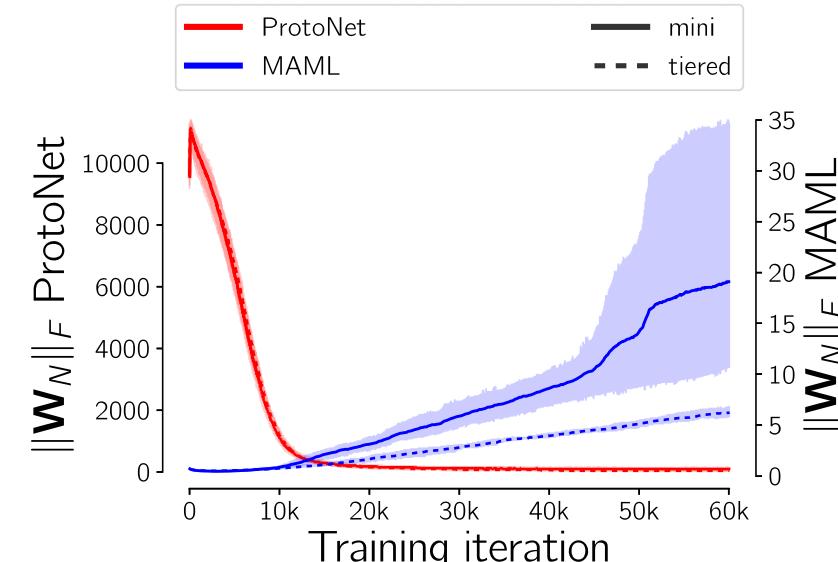
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- ✓ Even when  $\mathbf{W}^*$  does not satisfy the assumptions, it is **possible to learn  $\hat{\phi}$**  to respect them

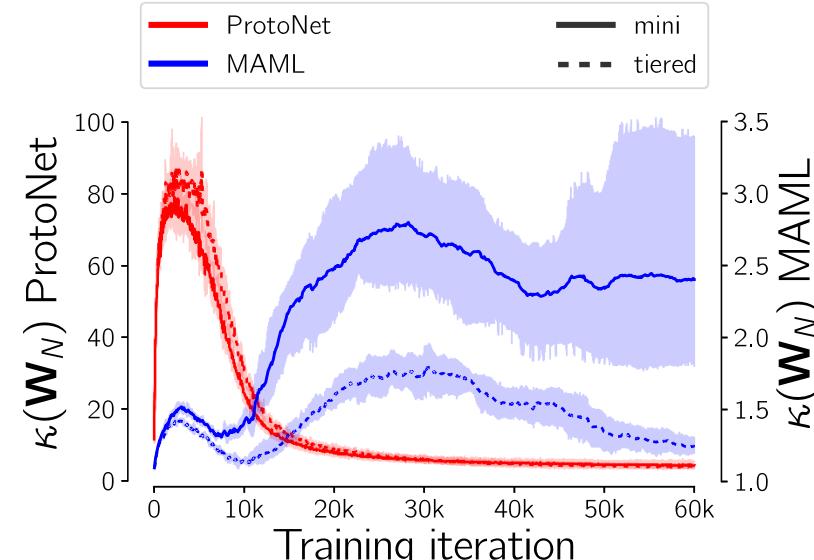
# WHAT HAPPENS IN PRACTICE ?



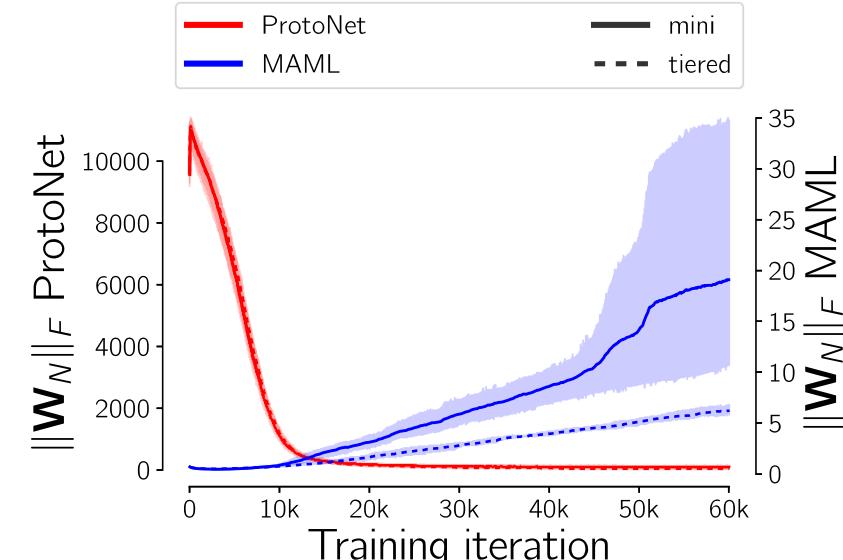
Monitoring the Condition Number



Monitoring the Norm



Monitoring the Condition Number



Monitoring the Norm

- ▶  $\mathbf{W}_N$  restriction to  $N$  last predictors
- ✓ ProtoNet naturally verifies the assumptions
- ✗ MAML does not verify the assumptions



- Theorem (Normalized ProtoNet):

if  $\forall i \ \|prototype_i\| = 1$ , then  $\exists \phi \in \arg \min loss$  such that  $\kappa(\mathbf{W}^*) = 1$

- ✓ Norm minimization is enough to obtain well-behaved condition number for ProtoNet.

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- ✓ Norm minimization is enough to obtain well-behaved condition number for **ProtoNet**.
- Proposition (Condition Number for MAML):

At iteration  $i$ , if  $\sigma_{min} = 0$  for last two tasks,  
then  $\kappa(\widehat{W}_2^{i+1}) \geq \kappa(\widehat{W}_2^i)$

- ✗ The condition number for **MAML** can increase between iterations.

- Ensuring Assumption 1: Spectral or entropic regularization

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$$\kappa(\mathbf{W}_N) = \frac{\sigma_{max}(\mathbf{W}_N)}{\sigma_{min}(\mathbf{W}_N)} \quad \text{or} \quad H_\sigma(\mathbf{W}_N) = \sum_{i=1}^N \text{softmax}(\sigma(\mathbf{W}_N))_i \cdot \log \text{softmax}(\sigma(\mathbf{W}_N))_i$$

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- Ensuring Assumption 2: Norm regularization or normalization for linear predictors

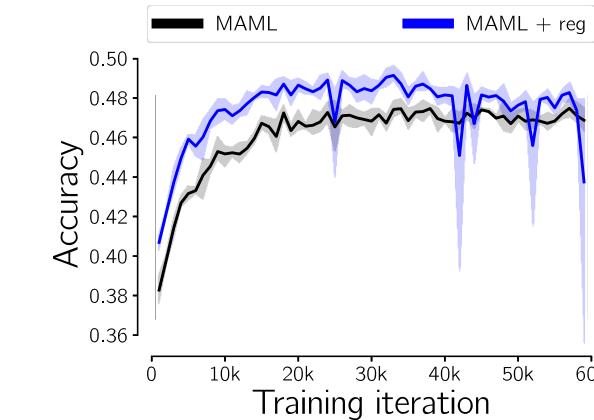
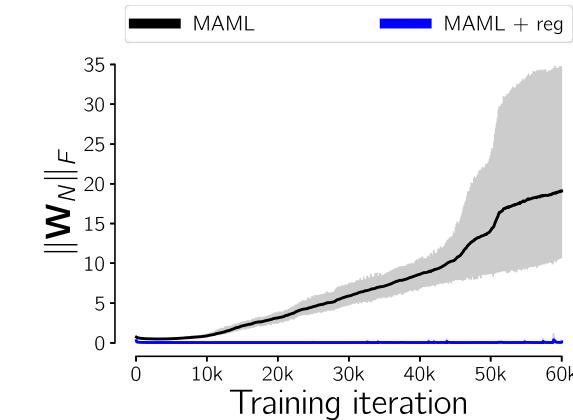
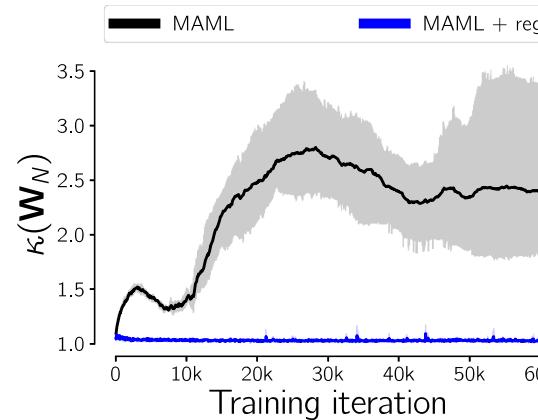
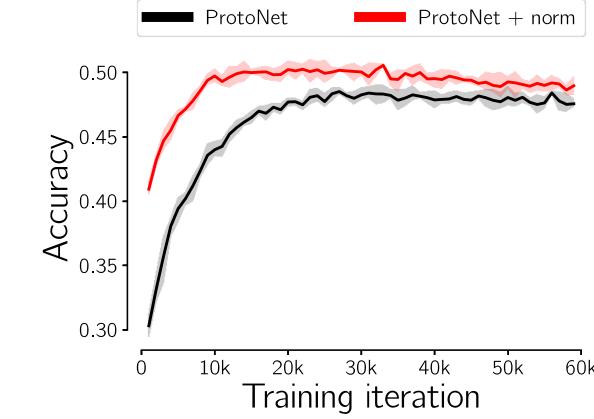
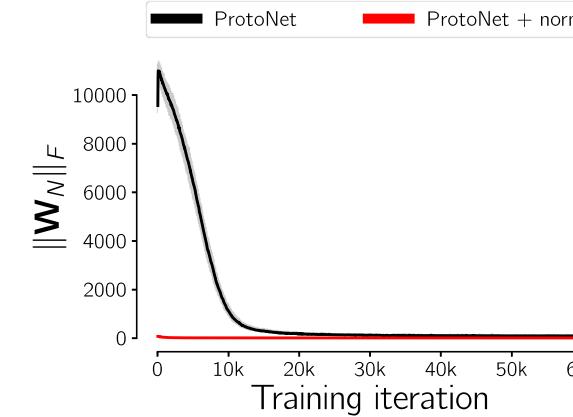
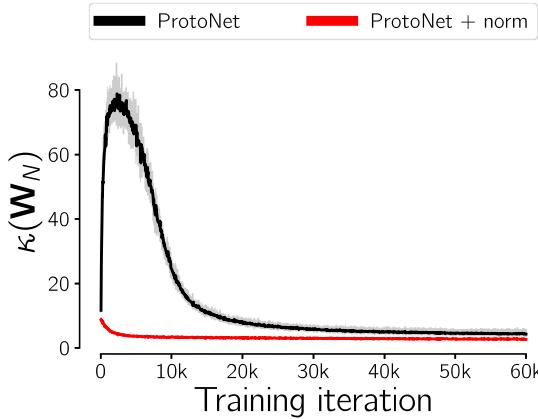
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  - ✓ Normalizing predictors ensures **constant margin** that does not change with  $T$

# EXPERIMENTAL RESULTS

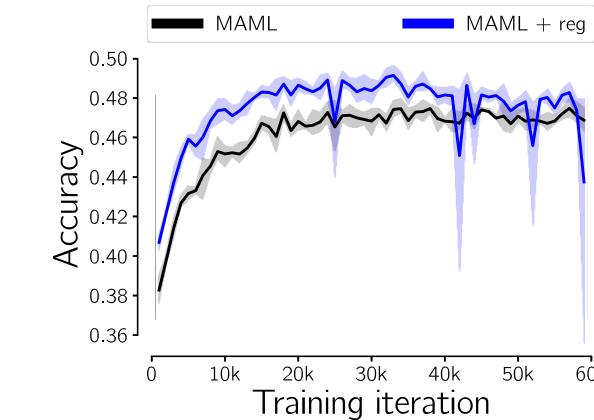
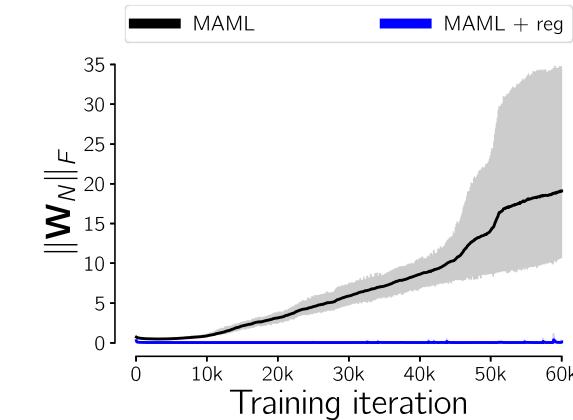
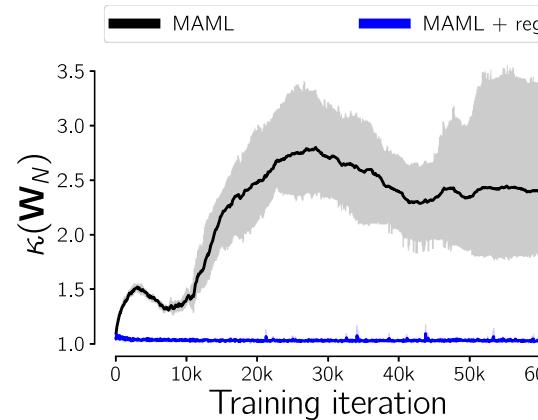
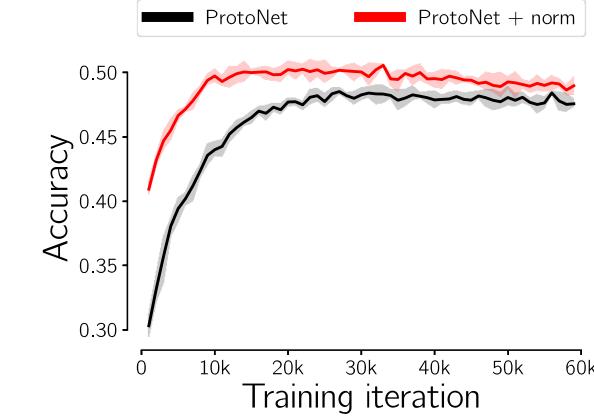
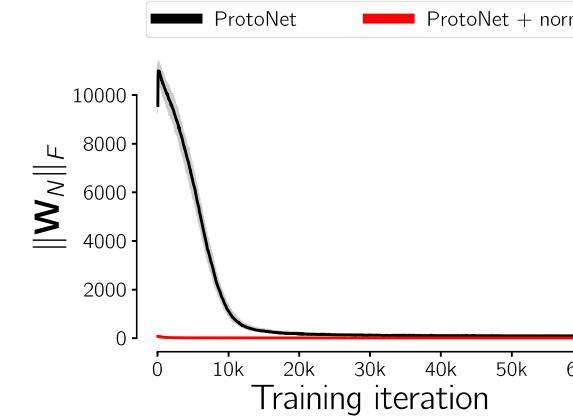
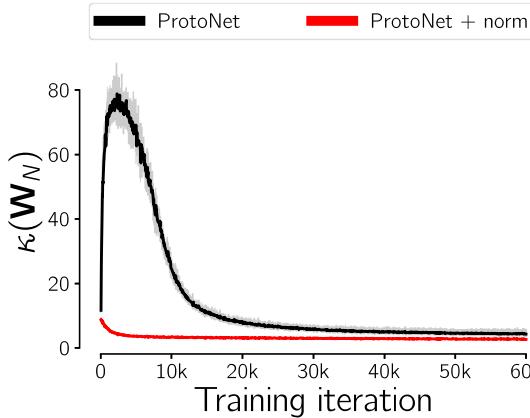
## MONITORING THE CONDITION NUMBER AND THE NORM



Experiments on mini-ImageNet 5-way 1-shot

# EXPERIMENTAL RESULTS

## MONITORING THE CONDITION NUMBER AND THE NORM

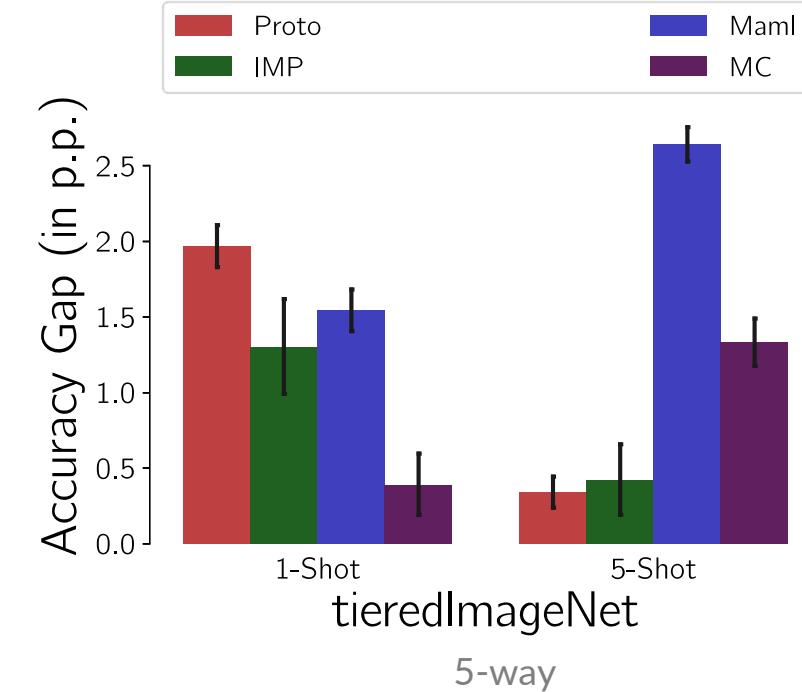
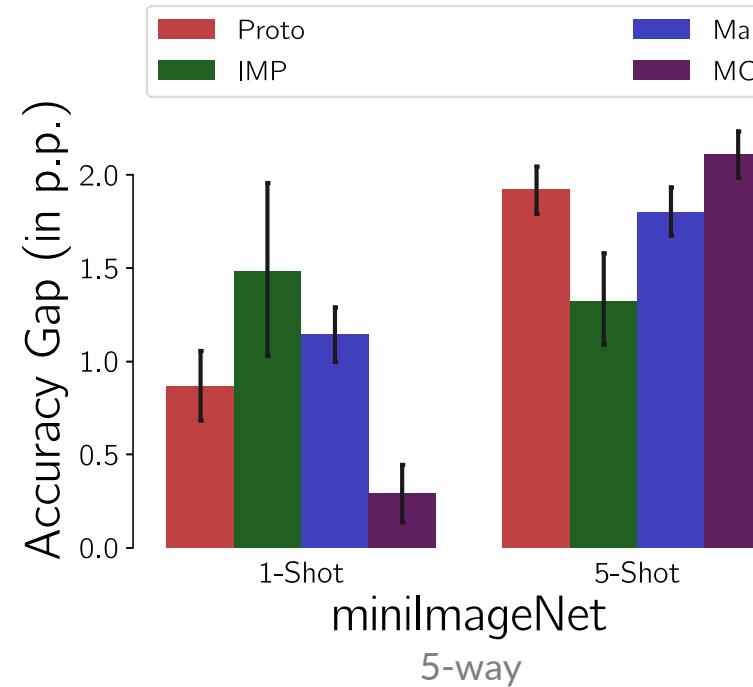
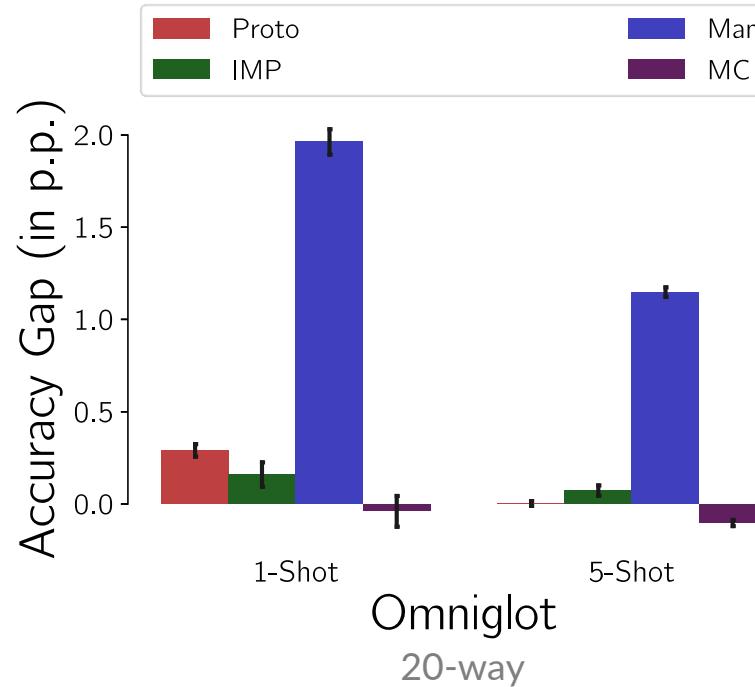


Experiments on mini-ImageNet 5-way 1-shot

✓ Our regularization and normalization have the intended effects.

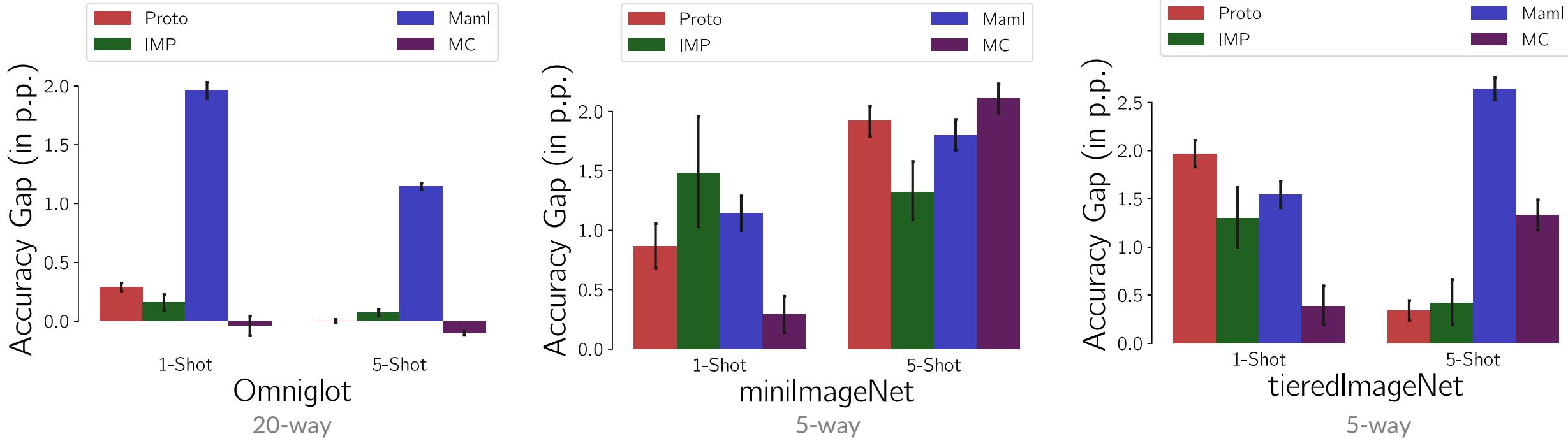
# EXPERIMENTAL RESULTS

## ACCURACY GAPS



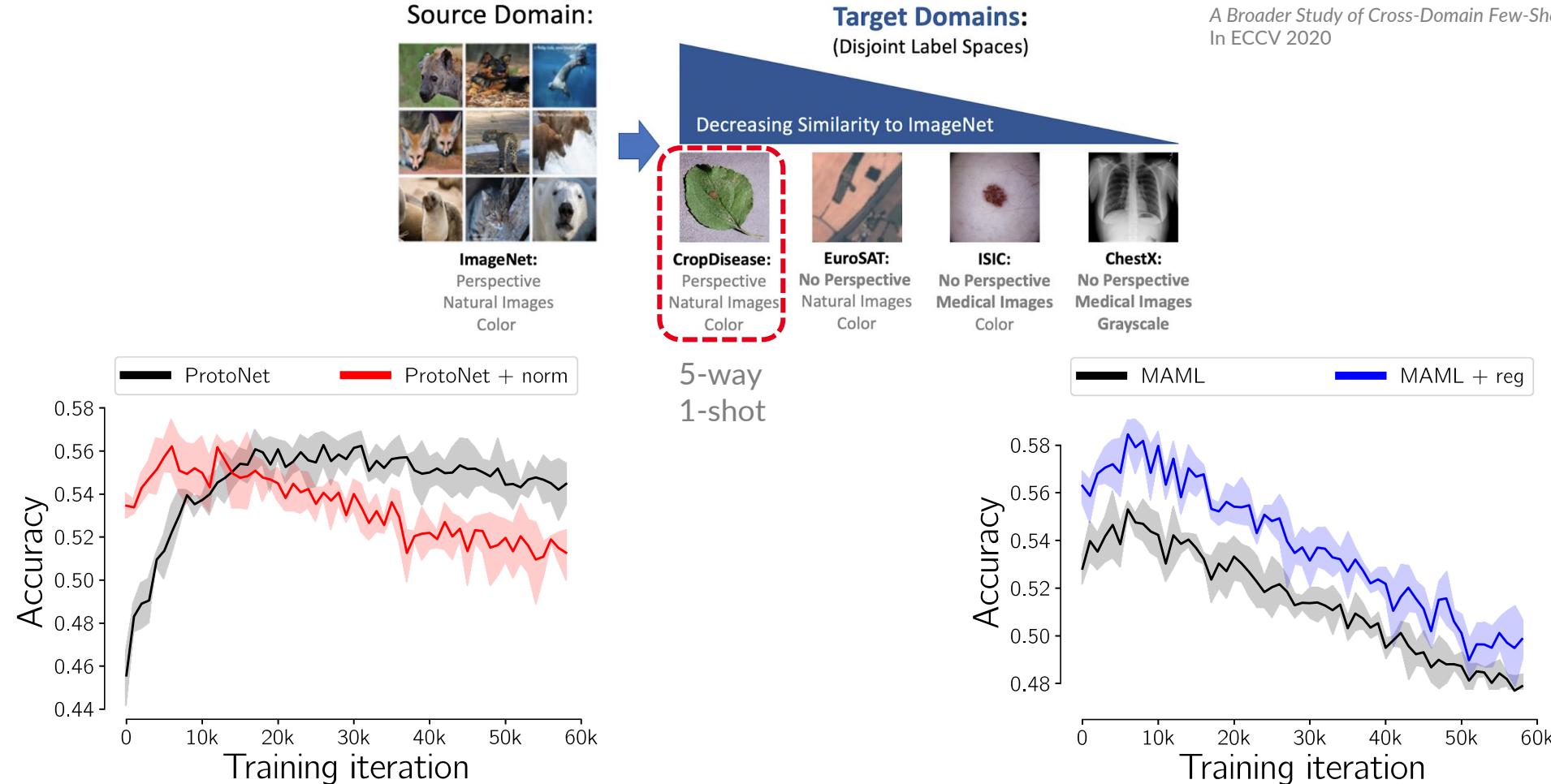
# EXPERIMENTAL RESULTS

## ACCURACY GAPS



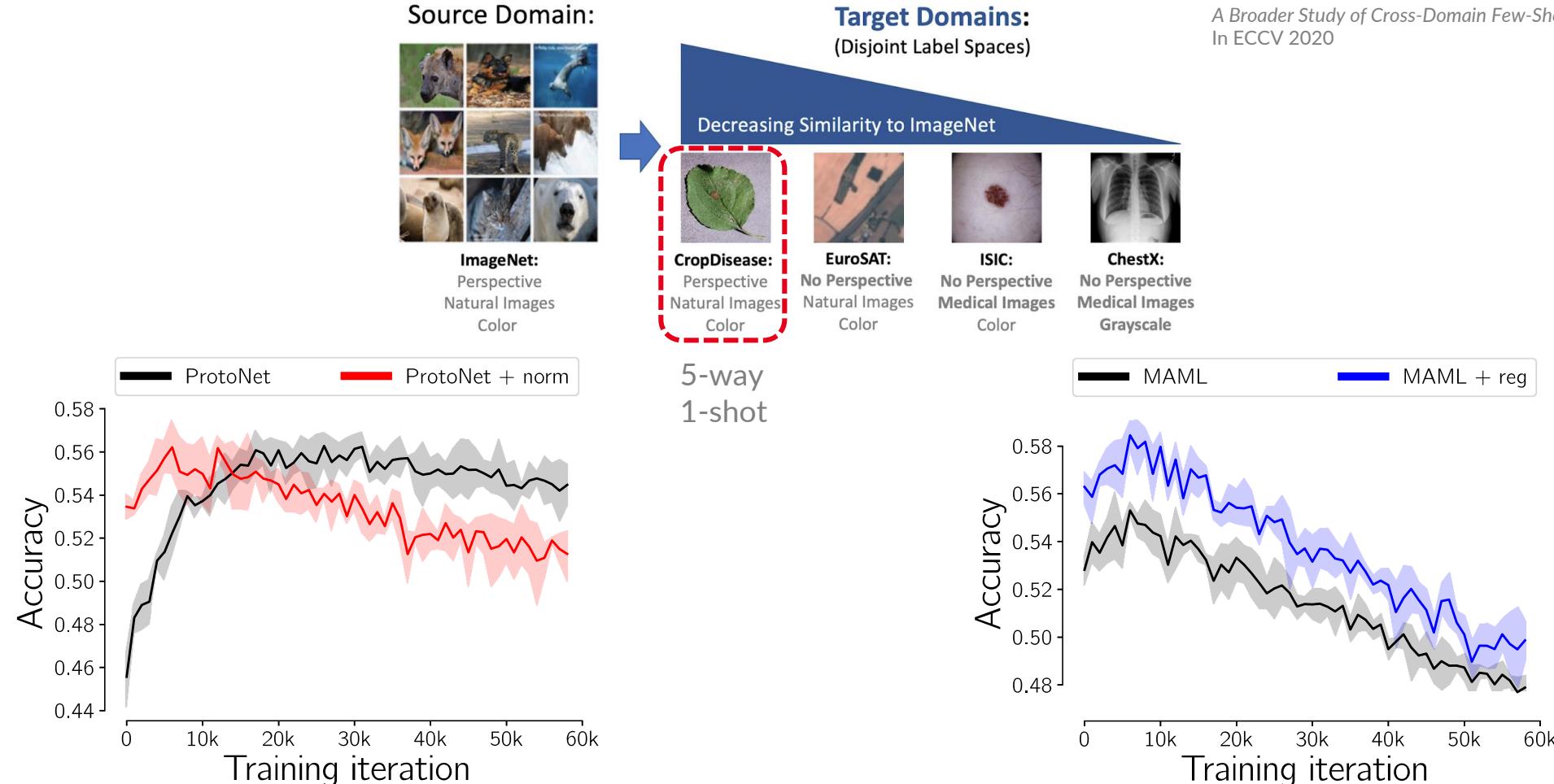
- ✓ Statistically significant improvement with our regularization and normalization.
- ✓ Enforcing the assumptions leads to better generalization when not verified naturally.

# EXPERIMENTAL RESULTS: CROSS-DOMAIN



Guo et al. 2020.  
A Broader Study of Cross-Domain Few-Shot Learning.  
In ECCV 2020

# EXPERIMENTAL RESULTS: CROSS-DOMAIN



Guo et al. 2020.  
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In ECCV 2020

- ✗ Improvement does **not** translate to cross-domain for *metric-based methods*.
- ✓ *Gradient-based methods* keep their accuracy gains.

## TAKE HOME MESSAGE

- Improving Few-Shot Learning Through Multi-Task Representation Learning Theory
  - ✓ Connection between Meta-Learning and Multi-Task Representation Learning Theory
  - ✓ Explanations of why some meta-learning methods **naturally fulfill** theoretical assumptions of the best learning bounds.
  - ✓ **Practical ways** to enforce the assumptions which leads to **significant** performance improvements.

More details in  
arXiv paper:



Contact:

✉ quentin.bouniot@cea.fr

🐦 @QBouniot

QR <https://qbouniot.github.io>



UNIVERSITÉ  
JEAN MONNET  
SAINT-ÉTIENNE



LABORATOIRE  
HUBERT CURIEN



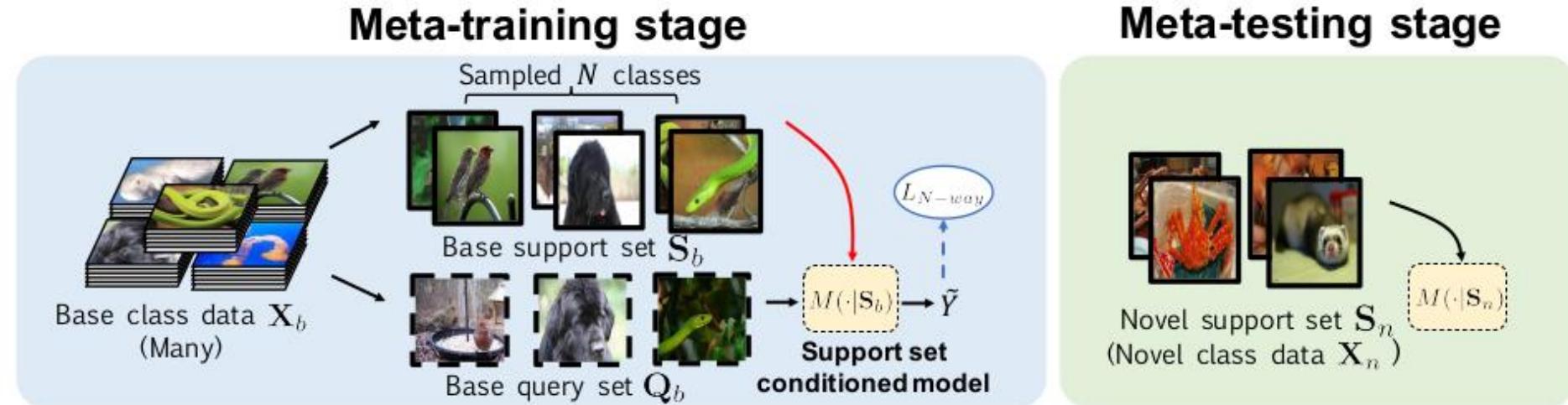
Thank you for listening !

Commissariat à l'énergie atomique et aux énergies alternatives  
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# APPENDIX: EPISODIC TRAINING VS REGULAR TRAINING

Episodic  
Training

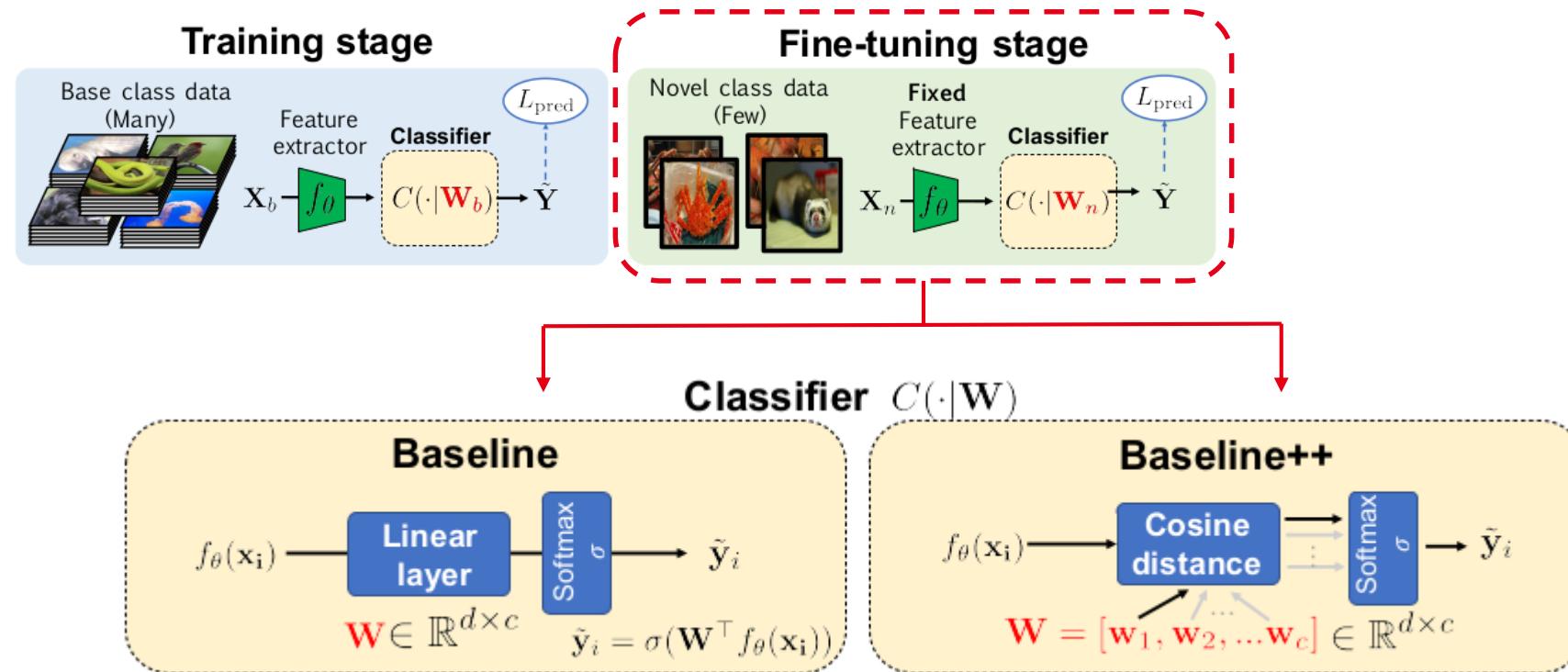


Regular  
Training

Learning a single episode

# APPENDIX: FINE-TUNING METHODS

Regular  
Training



Adapted from [Chen19]

- **Baseline** uses a dot product in the classification layer followed by a softmax
- **Cosine classifier (or Baseline++)** uses a cosine similarity followed by a softmax

# APPENDIX: CAN WE FORCE THE ASSUMPTIONS ?

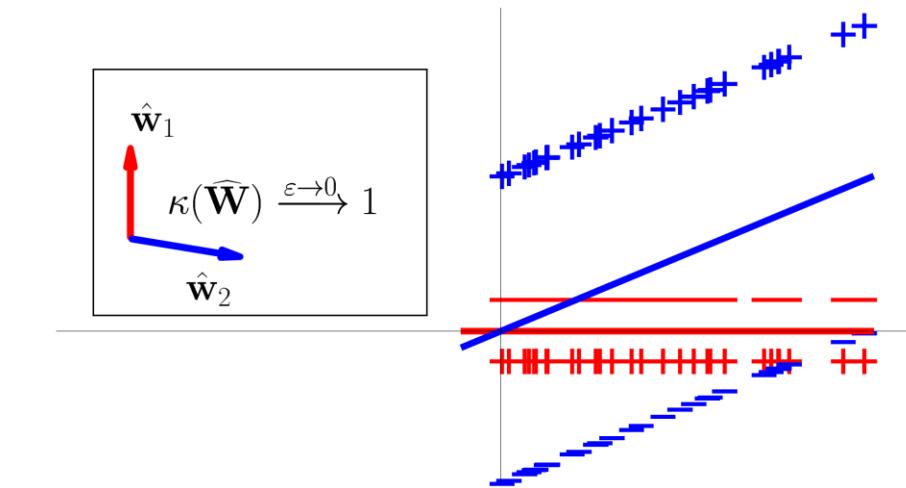
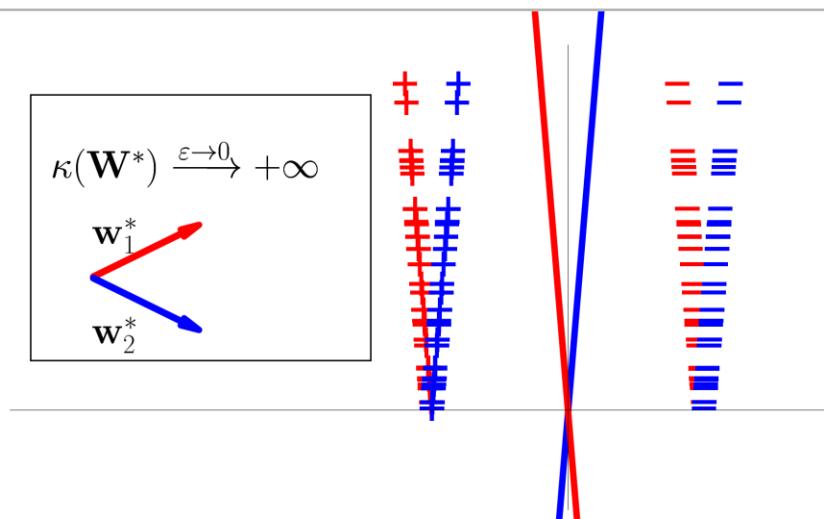
Given  $\mathbf{W}^*$  such that  $\kappa(\mathbf{W}^*) \gg 1$ , can we learn  $\hat{\mathbf{W}}$  with  $\kappa(\hat{\mathbf{W}}) \approx 1$  while solving the underlying classification problems equally well ?

+ - Source task 1 in  $\Phi^*$  space

+ - Source task 2 in  $\Phi^*$  space

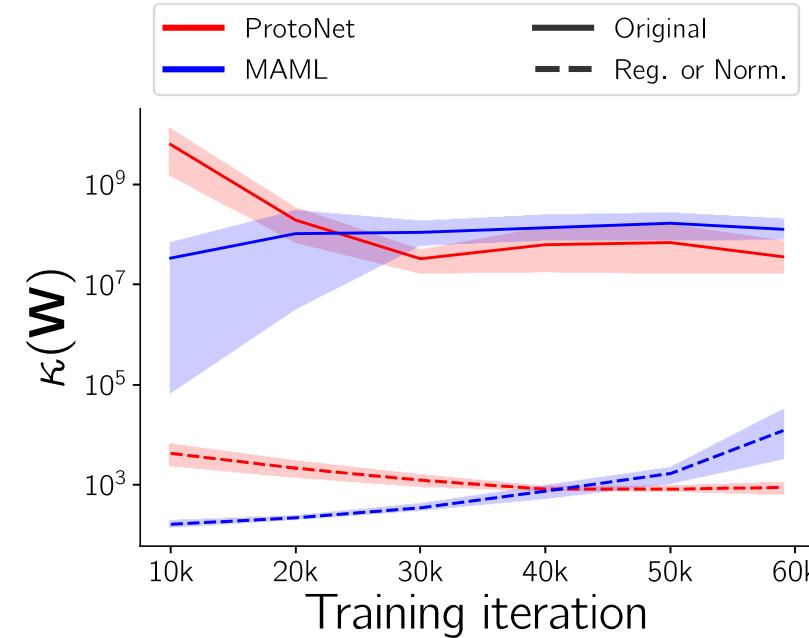
+ - Source task 1 in  $\hat{\Phi}$  space

+ - Source task 2 in  $\hat{\Phi}$  space



- ✓ Even when  $\mathbf{W}^*$  does not satisfy the assumptions, it is possible to learn  $\hat{\phi}$  to respect them

# APPENDIX: CONDITION NUMBER OF ALL PREDICTORS



- $\kappa(\mathbf{W}_N)$  shows dynamics during training, but values are not comparable
- $\kappa(\mathbf{W})$  is intractable to compute during training.