The Calculus of Linear Constructions

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Abstract

The Calculus of Linear Constructions (CLC) is an extension of the Calculus of Constructions (CC) with linear types. Specifically, CLC extends the predicative CCω with a hierarchy of linear universes that precisely controls the weakening and contraction of its term level inhabitants. We study the meta-theory of CLC, showing that it is a sound logical framework for reasoning about resource. We further extend CLC with rules for defining inductive linear types in the style of CIC, forming the Calculus of Inductive Linear Constructions (CILC). Through examples, we demonstrate that CILC facilitates correct by construction imperative programming and lightweight protocol enforcement. We have formalized and proven correct all major results in the Coq Proof Assistant.

Keywords: Dependent types, linear types, inductive types, Calculus of Constructions

1 Introduction

The Calculus of Constructions (CC) is a dependent type theory introduced by Coquand and Huet in their landmark work [2]. In CC types can depend on terms, allowing one to write precise specifications as types. Today, CC and its extensions CIC [10] and ECC [6] lie at the core of popular proof assistants such as Coq [13], Agda [9], Lean [3] and others. These theorem provers have found great success in the fields of software verification, and constructive mathematics.

However, due to its origins as a logical framework for constructive mathematics, it is quite difficult for CC to encode and reason about resources. Intuitively, a mathematical theorem can be applied an unrestricted number of times. Comparatively, the usage of resources is more limited. For

example, if we encode Girard's classical example [4] of purchasing cigarettes literally into CC as a function of type:

$$Money \rightarrow Camels + Marlboro$$

If viewed as a propositional implication, the customer will still maintain full ownership of their money after paying the vendor, because implication does not diminish the validity of its antecedent. Unless the vendor is exceedingly generous, we are faced with the crime of counterfeiting. Users of proof assistants based on CC often need to embed external logics such as Separation Logic to provide domain-specific reasoning principles for dealing with resource. We propose an alternative solution: extend CC with linear types.

This paper presents a new linear dependent type system — The Calculus of Linear Constructions (CLC). CLC extends the predicative CCω with linear types. CCω itself is an extension of CC with a cumulative hierarchy of type universes. We add an extra universe sort L of linear types with cumulativity parallel to the sort U of non-linear types. This ultimately cumulates in the *linearity* theorem, stating that all resources are used exactly once.

The presence of both linear and dependent types enables CLC to write specifications that faithfully encode the usage of resources. The previous example of monetary transaction can be refined using an indexed linear type family $Money : \mathbb{N} \rightarrow L$ as follows.

$$(x :_L Money\ 5) \rightarrow (Camels + Marlboro)$$

This new specification for the transaction demands a payment of 5 units of money, and the customer be relieved of their ownership after the transaction finishes, effectively preventing the contradiction of having your cake and eating it too.

Compared to preexisting approaches for integrating linear types and dependent types, CLC offers a "lightweight" approach, akin to Mazurak et al.'s work on System F [8]. Prior works have either utilized an explicit ! exponential or a pair of adjoint connectives to mediate between the worlds of linear and non-linear types. Our method requires neither exponential nor adjoint connectives explicitly in the syntax, allowing for a straightforward modeling of CLC in the predicative CCω, and lifting of predicative CCω into CLC with minimal annotations needed.

We further extend CLC with rules for defining inductive linear types in the style of CIC, forming the Calculus of Inductive Linear Constructions (CILC). Linear connectives such a $\otimes$ and $\oplus$ are definable using this generalized mechanism in a

straightforward way. Through examples, we show that CILC facilitates correct by construction imperative programming and lightweight protocol enforcement.

We have formalized all major results in Coq, and implemented a prototype in OCaml, both of which are available in the first author's Github repository.

Contributions: Our contributions can be summarized as follows.

- Fist, we describe the Calculus of Linear Constructions, an extension to the Calculus of Constructions with linear types. The integration of linear types and dependent types allows CLC to directly and precisely reason about resource.
- Second, we study the meta-theory of CLC directly, showing that it satisfies the standard properties of confluence, validity and subject reduction.
- Next, we prove the linearity theorem, showing the tangible impact linear types have on the structure of terms. We also show that promotion and dereliction of linear logic are derivable as theorems for canonical types.
- Additionally, we observe that CLC is backwards compatible with the predicative CCω, allowing us to construct a reduction preserving model of CLC in CCω, showing that CLC is consistent. We prove that all valid CCω terms are valid CLC terms after adding minimal amounts of annotation.
- Furthermore, we extend CLC with rules to define inductive linear types in the style of CIC, forming the Calculus of Inductive Linear Constructions (CILC). This is the first formalization of a generalized mechanism for defining inductive linear types that we are aware of.
- All major results have been formalized and proven correct in the Coq Proof Assistant with help from the Autosubst [11] library. To the best of our knowledge, our development is the first machine checked formalization of a linear dependent type theory.
- Finally, we give an implementation of CILC in OCaml. Algorithmic type checking employed by the typechecker streamlines the process of writing CILC programs.

2 The Language of CLC

2.1 Syntax

Figure 1. Syntax of CLC

i	$::= 0 \mid 1 \mid 2 \dots$	universe levels
s, t	$::= U \mid L$	sorts
m, n, A, B, M	$::= U_i \mid L_i \mid x$	expressions
	$\mid (x :_s A) \to B$	
	$\mid (x :_s A) \multimap B$	
	$\mid \lambda x :_s A.n$	
	$\mid m\ n$	

The syntax of the core type theory is presented in Figure 1. Our type theory contains two sorts of universes U and L. We use the meta variable i to quantify over universe levels $0, 1, 2, \dots$ U_i, and L_i are the universes at level i of non-linear and linear types respectively.

Figure 2. Correspondence of CLC types and MELL implications

$$
\begin{align}
(\_ :_U A) \to B &\iff\ !(!A \multimap B) & (1)\\
(\_ :_L A) \to B &\iff\ !(A \multimap B) & (2)\\
(\_ :_U A) \multimap B &\iff\ !A \multimap B & (3)\\
(\_ :_L A) \multimap B &\iff\ A \multimap B & (4)
\end{align}
$$

A clear departure of our language from standard presentations of both linear type theory and dependent type theory is the presence of two function types: $(x :_s A) \to B$, $(x :_s A) \multimap B$. The reason for these function types is that we have built the ! exponential of linear logic implicitly into universe sorts. The sort annotation s here records the universe sort of the function domain. The behavior of ! is difficult to account for even in simple linear type theories. Subtle issues arise if !! is not canonically isomorphic to !, which may invalidate the substitution lemma [14]. By integrating the exponential directly into universe sorts, we have limited ! to only be canonically usable. This allows us to derive the substitution lemma, and construct a direct modeling of CLC in CCω without requiring any additional machinery for manipulating exponential.

Figure 2 illustrates the correspondence between CLC functions and Multiplicative Exponential Linear Logic (MELL) implications. MELL lacks counterparts for the cases (1), (3) if the co-domain B is dependent on arguments of domain A. We will discuss function type formation in Section 2.5 in greater detail.

Algorithmic type checking techniques allow users to omit writing sort indices for many situations in practice. Our implementation employs bi-directional type checking and users rarely interact with sort indices in the surface syntax.

2.2 Universes and Cumulativity

CLC features two sorts of universes U and L with level indices $0, 1, 2, \cdots$. U_i and L_i are the predicative universes of non-linear types and linear types respectively. The main mechanism that CLC uses to distinguish between linear and non-linear types is by checking the universe to which they belong. Basically, terms with types that occur within U_i are unrestricted in their usage. Terms with types that occur within L_i are restricted to being used exactly once.

In order to lift terms from lower universes to higher ones, there exists cumulativity between universe levels of the same sort. We define cumulativity as follows.

Definition 2.1. The cumulativity relation ($\preceq$) is the smallest binary relation over terms such that

1. $\preceq$ is a partial order with respect to equality.
   a. If $A \equiv B$, then $A \preceq B$.
   b. If $A \preceq B$ and $B \preceq A$, then $A \equiv B$.
   c. If $A \preceq B$ and $B \preceq C$, then $A \preceq B$.
2. $U_0 \preceq U_1 \preceq U_2 \preceq \cdots$
3. $L_0 \preceq L_1 \preceq L_2 \preceq \cdots$
4. If $A_1 \equiv A_2$ and $B_1 \preceq B_2$,
   then $(x :_s A_1) \to B_1 \preceq (x :_s A_2) \to B_2$
5. If $A_1 \equiv A_2$ and $B_1 \preceq B_2$,
   then $(x :_s A_1) \multimap B_1 \preceq (x :_s A_2) \multimap B_2$

Figure 3 illustrates the structure of our universe hierarchy. Each linear universe L_i has U_{i+1} as its type, allowing functions to freely quantify over linear *types*. However, L_i cumulates to L_{i+1}. These two parallel threads of cumulativity prevent linear types from being transported to the non-linear universes, and subsequently losing track of linearity.

Figure 3. The Universe Hierarchy

$$U_0 \xrightarrow{\preceq} U_1 \xrightarrow{\preceq} U_2 \xrightarrow{\preceq} \cdots$$
$$L_0 \mathrel{-\preceq-} L_1 \mathrel{-\preceq-} L_2 \mathrel{-\preceq-} \cdots$$
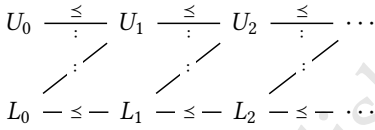
2.3 Context and Structural Judgments

The context of our language employs a mixed linear/non-linear representation in the style of Luo[7]. Variables in the context are annotated to indicate whether they are linear or non-linear. A non-linear variable is annotated as $\Gamma, x :_U A$, whereas a linear variable is annotated as $\Gamma, x :_L A$.

Next, we define a $\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma$ relation that merges two mixed contexts Γ_1, and Γ_2 into Γ, by performing contraction on shared non-linear variables. For linear variables, the $\_ \ddagger \_ \ddagger \_$ relation is defined if and only if each variable occurs uniquely in one context and not the other. This definition of $\_ \ddagger \_ \ddagger \_$ is what allows contraction for unrestricted variables whilst forbidding it for restricted ones.

An auxiliary judgment $|\Gamma|$ is defined to assert that a context Γ does not contain linear variables. In other words, all variables found in $|\Gamma|$ are annotated of the form $x :_U A$. The full rules for structural judgments are presented in Figure 4.

Definition 2.2. The context restriction function $\overline{\Gamma}$ is defined as a recursive filter over Γ as follows. All linear variables are removed from context Γ. The result of context restriction is

Figure 4. Structural Judgments

$$\frac{}{\epsilon \vdash}\text{Wf-}\epsilon \qquad \frac{\Gamma \vdash \qquad \overline{\Gamma} \vdash A :_U U_i}{\Gamma, x :_U A \vdash}\text{Wf-U}$$

$$\frac{\Gamma \vdash \qquad \overline{\Gamma} \vdash A :_U L_k}{\Gamma, x :_L A \vdash}\text{Wf-L} \qquad \frac{}{|\epsilon|}\text{Pure-}\epsilon$$

$$\frac{|\Gamma| \qquad \Gamma \vdash A :_U U}{|\Gamma, x :_U A|}\text{Pure-U} \qquad \frac{}{\epsilon \ddagger \epsilon \ddagger \epsilon}\text{Merge-}\epsilon$$

$$\frac{\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma}{\Gamma_1, x :_U A \ddagger \Gamma_2, x :_U A \ddagger \Gamma, x :_U A}\text{Merge-U}$$

$$\frac{\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma \qquad x \notin \Gamma_2}{\Gamma_1, x :_L A \ddagger \Gamma_2 \ddagger \Gamma, x :_L A}\text{Merge-L1}$$

$$\frac{\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma \qquad x \notin \Gamma_1}{\Gamma_1 \ddagger \Gamma_2, x :_L A \ddagger \Gamma, x :_L A}\text{Merge-L2}$$

the non-linear subset of the original context.

$$\overline{\epsilon} = \epsilon \qquad \overline{\Gamma, x :_U A} = \overline{\Gamma}, x :_U A \qquad \overline{\Gamma, x :_L A} = \overline{\Gamma}$$

2.4 Typing Judgment

Typing judgments in CLC take on the form of $\Gamma \vdash m : A$. Intuitively, this judgment states that the term m is an inhabitant of type A, with free variables typed in Γ.

Definition 2.3. We formally define the terminology *non-linear*, *linear*, *unrestricted* and *restricted*.

1. A *type* A is *non-linear* under context Γ if $\Gamma \vdash A : U_i$.
2. A *type* A is *linear* under context Γ if $\Gamma \vdash A : L_k$.
3. A *term* m is *unrestricted* under context Γ if there exists non-linear type A, such that $\Gamma \vdash m : A$. A unrestricted term may be used an arbitrary number of times.
4. A *term* m is *restricted* under context Γ if there exists linear type A, such that $\Gamma \vdash m : A$. A restricted term must be used exactly once.

2.5 Type Formation

The rules for forming types are presented in Figure 5. In CLC, we forbid types from depending on linear terms similar to [1, 5] for the same reason of avoiding philosophical troubles.

The axiom rules U-Axiom, L-Axiom are almost standard, the main difference being the extra side-condition of judgment $|\Gamma|$. In most presentations of dependent type theories without linear types, the universe axioms are derivable under any well-formed context Γ. Variables not pertaining to actual proofs could be introduced this way, thus giving rise to the admissibility of weakening. To support linear types, we must

restrict weakening to non-linear variables. This justifies the restriction of Γ to contain only non-linear variables for U-Axiom, L-Axiom. From L-Axiom we can see that the universe of linear types L_i is an inhabitant of U_{i+1}. This is inspired by Krishnaswami et al.'s treatment of linear universes [5], where linear *types* themselves can be used unrestrictedly.

Figure 5. Type Formation

$$\frac{|\Gamma|}{\Gamma \vdash s_i : U_{i+1}}\text{Sort-Axiom}$$

$$\frac{|\Gamma| \qquad \Gamma \vdash A : U_i \qquad \Gamma, x :_U A \vdash B : s_i}{\Gamma \vdash (x :_U A) \to B : U_i}\text{U}\to$$

$$\frac{|\Gamma| \qquad \Gamma \vdash A : L_i \qquad \Gamma \vdash B : s_i \qquad x \notin \Gamma}{\Gamma \vdash (x :_L A) \to B : U_i}\text{L}\to$$

$$\frac{|\Gamma| \qquad \Gamma \vdash A : U_i \qquad \Gamma, x :_U A \vdash B : s_i}{\Gamma \vdash (x :_U A) \multimap B : L_i}\text{U}\multimap$$

$$\frac{|\Gamma| \qquad \Gamma \vdash A : L_i \qquad \Gamma \vdash B : s_i \qquad x \notin \Gamma}{\Gamma \vdash (x :_L A) \multimap B : L_i}\text{L}\multimap$$

The U$\to$ rule is used for forming non-linear function types with non-linear domains. This is evident from the judgment $\Gamma \vdash A : U_i$. Due to the fact that A is in the non-linear universe U_i, terms of type A have unrestricted usage. The non-linearity of domain A allows B to depend on terms of type A, as seen in judgment $\Gamma, x :_U A \vdash B : s_i$. The domain B itself may be non-linear or linear, since B's universe s_k can vary between U_i and L_i. From the resulting judgment $\Gamma \vdash (x :_U A) \to B : U_i$, we see that the overall type is a non-linear type. The term level λ-abstractions of these types can be used unrestrictedly.

The L$\to$ rule is used for forming non-linear function types with linear domains. From the judgment $\Gamma \vdash A : L_i$, we see that A is a linear type, and terms of type A have restricted usage. Because of this, we forbid co-domain B from depending on terms of type A, evident in the judgment $\Gamma \vdash B : s_i$. Like U$\to$, co-domain B itself may be non-linear or linear, since B's universe s_i can vary between U_i and L_i. The final resulting judgment is $\Gamma \vdash (x :_L A) \to B : U_i$. Here, x is a hypocritical unbinding variable whose only purpose is to preserve syntax uniformity. Again, the overall resulting type is non-linear, so the term level λ-abstractions of these types can be used unrestrictedly.

The U$\multimap$, L$\multimap$ rules are similar to U$\to$, L$\to$ in spirit. The dependency considerations for domain A and co-domain B are exactly the same. The main difference between U$\multimap$, L$\multimap$ and U$\to$, L$\to$ is the universe sort of the resulting type. The

sorts of the function types formed by U$\multimap$, L$\multimap$ are L, meaning they are linear function types. The term level λ-abstractions of these types must be used exactly once.

2.6 Term Formation

The rules for term formation are presented in Figure 6.

Figure 6. Term Formation

$$\frac{|\Gamma_1, \Gamma_2|}{\Gamma_1, x :_U A, \Gamma_2 \vdash x : A}\text{U-Var} \qquad \frac{|\Gamma_1, \Gamma_2|}{\Gamma_1, x :_L A, \Gamma_2 \vdash x : A}\text{L-Var}$$

$$\frac{|\Gamma| \qquad \Gamma \vdash (x :_s A) \to B : t_i \qquad \Gamma, x :_s A \vdash n : B}{\Gamma \vdash \lambda x :_s A.n : (x :_s A) \to B}\lambda\to$$

$$\frac{\overline{\Gamma} \vdash (x :_s A) \multimap B : t_i \qquad \Gamma, x :_s A \vdash n : B}{\Gamma \vdash \lambda x :_s A.n : (x :_s A) \multimap B}\lambda\multimap$$

$$\frac{\Gamma_1 \vdash m : (x :_U A) \to B \\ |\Gamma_2| \qquad \Gamma_2 \vdash n : A \qquad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma}{\Gamma \vdash m\, n : B[n/x]}\text{App-U}\to$$

$$\frac{\Gamma_1 \vdash m : (x :_L A) \to B \qquad \Gamma_2 \vdash n : A \qquad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma}{\Gamma \vdash m\, n : B[n/x]}\text{App-L}\to$$

$$\frac{\Gamma_1 \vdash m : (x :_U A) \multimap B \\ |\Gamma_2| \qquad \Gamma_2 \vdash n : A \qquad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma}{\Gamma \vdash m\, n : B[n/x]}\text{App-U}\multimap$$

$$\frac{\Gamma_1 \vdash m : (x :_L A) \multimap B \qquad \Gamma_2 \vdash n : A \qquad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma}{\Gamma \vdash m\, n : B[n/x]}\text{App-L}\multimap$$

$$\frac{\Gamma \vdash m : A \qquad \overline{\Gamma} \vdash B : s_i \qquad A \preceq B}{\Gamma \vdash m : B}\text{Conversion}$$

The rules U-Var, and L-Var are used for typing free variables. The U-Var rule asserts that free variable x occurs within the context $\Gamma_1, x :_U A, \Gamma_2$ with a non-linear type A. The L-Var rule asserts that free variable x occurs within the context $\Gamma_1, x :_L A, \Gamma_2$ with linear type A. For both rules, the side condition $|\Gamma_1, \Gamma_2|$ forbids irrelevant variables of linear types from occurring within the context. This prevents another vector of weakening variables with linear type.

In $\lambda\to$, the function type being addressed has form $(x :_s A) \to B$. λ-abstractions of this type can be applied an unrestricted number of times, hence cannot depend on free variables with restricted usage without possibly duplicating them. This consideration is realized by the side condition $|\Gamma|$, asserting all variables in context Γ are unrestricted. Next, the body of the abstraction n is typed as $\Gamma, x :_s A \vdash n : B$,

where s is the sort of A. Finally, the resulting judgment $\Gamma \vdash \lambda x.n : (x :_s A) \to B$ asserts that the λ-abstraction can be used unrestrictedly.

In contrast to $\lambda\to$, the $\lambda\multimap$ rule is used for forming λ-abstractions that must be used exactly once. Due to the fact these abstractions must be used once, they are allowed access to restricted variables within context Γ, evident in the judgment $\Gamma, x :_s A \vdash n : B$, and lack of side condition $|\Gamma|$. However, in judgment $\overline{\Gamma} \vdash (x :_s A) \multimap B : L_k$ the context must be filtered, because types are not allowed to depend on restricted variables. The final resulting judgment $\Gamma \vdash \lambda x.n : (x :_s A) \multimap B$ asserts that the λ-abstraction must be used exactly once.

For App-U$\to$, domain A is a non-linear type, as seen by its annotation in $(x :_U A) \to B$. Intuitively, this tells us that x may be used an arbitrary number of times within the body of m. Thus, the supplied argument n must not depend on restricted variables in context Γ_2. Otherwise, substitution may put multiple copies of n into m during β-reduction, duplicating variables that should have been restricted. This justifies the side condition of $|\Gamma_2|$. The contexts Γ_1, and Γ_2 are finally merged together into Γ by the relation $\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma$, contracting all unrestricted variables shared between Γ_1, and Γ_2.

Now for App-L$\to$, domain A is a linear type, as seen by its annotation in $(x :_L A) \to B$. Intuitively, this tells us that x must be used once within the body of m. During β-reduction, substitution will only put a single copy of n into the body of m, so n can depend on restricted variables within Γ_2 without fear of duplicating them. This justifies the lack of side condition $|\Gamma_2|$. The contexts Γ_1, and Γ_2 are finally merged together into Γ by the relation $\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma$, contracting all unrestricted variables shared between Γ_1, and Γ_2.

The rules App-U$\multimap$, App-L$\multimap$ follow the same considerations as App-U$\to$, App-L$\to$. Additional conditions are not required for these two rules to be sound.

Finally, the Conversion rule allows judgment $\Gamma \vdash m : A$ to convert to judgment $\Gamma \vdash m : B$ if B is a valid type in context $\overline{\Gamma}$. Futhermore, A must be a subtype of B satisfying the relation $A \leq B$. This rule gives rise to large eliminations (compute types from terms), as computations embedded at the type level can reduce to canonical types.

2.7 Equality and Reduction

The operational semantics and β-equality of CLC terms are presented in Figure 7, all of which are entirely standard.

As we have discussed previously, the elimination of the explicit ! exponential allows CLC to maintain the standard operational semantics of CCω, whose β-reductions are very well behaved.

Figure 7. Equality and Reduction

$$\frac{m_1 \leadsto^* n \qquad m_2 \leadsto^* n}{m_1 \equiv m_2 : A}\text{Join}$$

$$\frac{}{(\lambda x :_s A.m)\, n \leadsto m[n/x]}\text{Step-}\beta$$

$$\frac{A \leadsto A'}{\lambda x :_s A.m \leadsto \lambda x :_s A'.m}\text{Step-}\lambda\text{L}$$

$$\frac{m \leadsto m'}{\lambda x :_s A.m \leadsto \lambda x :_s A.m'}\text{Step-}\lambda\text{R}$$

$$\frac{A \leadsto A'}{(x :_s A) \to B \leadsto (x :_s A') \to B}\text{Step-L}\to$$

$$\frac{B \leadsto B'}{(x :_s A) \to B \leadsto (x :_s A) \to B'}\text{Step-R}\to$$

$$\frac{A \leadsto A'}{(x :_s A) \multimap B \leadsto (x :_s A') \multimap B}\text{Step-L}\multimap$$

$$\frac{B \leadsto B'}{(x :_s A) \multimap B \leadsto (x :_s A) \multimap B'}\text{Step-R}\multimap$$

$$\frac{m \leadsto m'}{m\, n \leadsto m'\, n}\text{Step-AppL} \qquad \frac{n \leadsto n'}{m\, n \leadsto m\, n'}\text{Step-AppR}$$

2.8 Meta Theory

In this section, we focus our discussion on the properties of CLC. First, we show the type soundness of CLC through the *subject reduction* theorem. Next, we show that CLC is an effective linear type theory through the *linearity* theorem. Furthermore, we show that the *promotion* and *dereliction* rules can be encoded as η-expansions. Finally, we construct a reduction preserving erasure function that maps well-typed CLC terms to well-typed CCω terms, showing that CLC is strongly normalizing.

All proofs have been formalized in Coq with help from the Autosubst [11] library. The Coq development is publicly available on the first author's Github repository. To the best of our knowledge, this is the first machined checked formalization of a linear dependently type theory. We give a hand written version of the proof in the appendix as well.

2.8.1 Reduction and Confluence. The following lemmas and proofs are entirely standard using parallel step technique [12]. The presence of linear types do not pose any complications as reductions are untyped.

Lemma 2.4. *Parallel reduction satisfies the diamond property. If $m \rightsquigarrow_p m_1$ and $m \rightsquigarrow_p m_2$ then there exists m' such that $m_1 \rightsquigarrow_p m'$ and $m_2 \rightsquigarrow_p m'$.*

Lemma 2.5. *Each $\rightsquigarrow_p$ is reachable with $\rightsquigarrow^*$. If $m \rightsquigarrow_p m'$ then $m \rightsquigarrow^* m'$.*

Theorem 2.6. *The transitive reflexive closure of reduction is confluent. If $m \rightsquigarrow^* m_1$ and $m \rightsquigarrow^* m_2$ then there exists m' such that $m_1 \rightsquigarrow^* m'$ and $m_2 \rightsquigarrow^* m'$.*

Corollary 2.7. *The definitional equality relation $\equiv$ is an equivalence relation.*

2.8.2 Weakening. CLC restricts the weakening rule for variables of linear types. However, weakening variables of non-linear types remain admissible.

Lemma 2.8. *Weakening. If $\Gamma \vdash m : A$ is a valid judgment, then for any $x \notin \Gamma$, the judgment $\Gamma, x :_U B \vdash m : A$ is derivable.*

2.8.3 Substitution. Though the substitution lemma is regarded as a boring and bureaucratic result, it is surprisingly hard to design linear typed languages where the substitution lemma is admissible. Much of the difficulty arises during the substitution of arguments containing ! exponential. Perhaps the most famous work detailing the issues of substitution is due to Wadler [14]. He defines additional syntax and semantics for the intricate unboxing of ! terms, solving the lack of substitute in Abramsky's term calculus.

Our design of integrating ! into universe sorts removes the need for ! manipulating syntax and semantics. The following substitution lemmas are directly proved by induction on typing derivation.

Lemma 2.9. *Non-linear Substitution. For $\Gamma_1, x :_U A \vdash m : B$ and $\Gamma_2 \vdash n : A$, if $|\Gamma_2|$ and $\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma$ are valid for some Γ, then $\Gamma \vdash m[n/x] : B[n/x]$.*

Lemma 2.10. *Linear Substitution. For $\Gamma_1, x :_L A \vdash m : B$ and $\Gamma_2 \vdash n : A$, if $\Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma$ is valid for some Γ, then $\Gamma \vdash m[n/x] : B[n/x]$.*

2.8.4 Type Soundness. In order to prove subject reduction, we first prove the validity theorem. The main purpose of validity is to lower types down to the term level, enabling the application of various inversion lemmas.

Theorem 2.11. *Validity. For any context Γ, term m, type A, and sort s, if $\Gamma \vdash m : A$ is a valid judgment, then there exist some sort s and level i such that $\overline{\Gamma} \vdash A : s_i$.*

With weakening, substitution, propagation and various inversion lemmas proven, subject reduction can now be proved by induction on typing derivation.

Theorem 2.12. *Subject Reduction. For $\Gamma \vdash m : A$, if $m \rightsquigarrow n$ then $\Gamma \vdash n : A$.*

2.8.5 Linearity. At this point, we have proven that CLC is type sound. However, we still need to prove that the removal of weakening and contraction for restricted variables yields tangible impact on the structure of terms. For this purpose, we define a binding aware recursive function $occurs(x, m)$ that counts the number of times variable x appears within term m. The linearity theorem asserts that restricted variables are used exactly once within a term, subsuming safe resource usage.

Theorem 2.13. *Linearity. If $\Gamma \vdash m : A$ is a valid judgment, for any $(x :_L B) \in \Gamma$, there is $occurs(x, m) = 1$.*

2.8.6 Promotion and Dereliction. Linear types of CLC are not obtained through packing and unpacking !, so there are no explicit rules for *promotion* and *dereliction* of Linear Logic. Arbitrary computations existing at the type level also muddle the association between which types can be promoted or derelicted to which. Nevertheless, *promotion* and *dereliction* for canonical types are derivable as theorems through η-expansion.

Theorem 2.14. *Promotion. If $\Gamma \vdash m : (x :_s A) \multimap B$ and $|\Gamma|$ are valid judgments, then there exists n such that $\Gamma \vdash n : (x :_s A) \rightarrow B$ is derivable.*

Theorem 2.15. *Dereliction. If $\Gamma \vdash m : (x :_s A) \rightarrow B$ is a valid judgment, then there exists n such that $\Gamma \vdash n : (x :_s A) \multimap B$ is derivable.*

2.8.7 Strong Normalization. The strong normalization theorem of CLC is proven by construction of a typing and reduction preserving erasure function to $CC\omega$. We assume familiarity with $CC\omega$ syntax here, and define the erasure function as follows.

Definition 2.16.

$$\llbracket x \rrbracket = x$$
$$\llbracket U_i \rrbracket = Type_i$$
$$\llbracket L_i \rrbracket = Type_i$$
$$\llbracket (x :_s A) \rightarrow B \rrbracket = (x : \llbracket A \rrbracket) \rightarrow \llbracket B \rrbracket$$
$$\llbracket (x :_s A) \multimap B \rrbracket = (x : \llbracket A \rrbracket) \rightarrow \llbracket B \rrbracket$$
$$\llbracket \lambda x :_s A.n \rrbracket = \lambda x : \llbracket A \rrbracket . \llbracket n \rrbracket$$
$$\llbracket m\ n \rrbracket = \llbracket m \rrbracket\ \llbracket n \rrbracket$$

With slight overloading of notation, we define erasure for CLC contexts recursively.

Definition 2.17.

$$\llbracket \epsilon \rrbracket = \epsilon$$
$$\llbracket \Gamma, x :_s A \rrbracket = \llbracket \Gamma \rrbracket, x : \llbracket A \rrbracket$$

We prove the following lemma to commute erasure and substitution whenever needed.

Lemma 2.18. *Erasure commutes with substitution. For any CLC terms m, n and some variable x, $\llbracket m[n/x] \rrbracket = \llbracket m \rrbracket [\llbracket n \rrbracket / x]$.*

For the following lemma, we refer to the reductions in CLC as $\rightsquigarrow_{\text{CLC}}$, and the reductions in CCω as $\rightsquigarrow_{\text{CC}\omega}$.

Theorem 2.19. *Reduction Erasure. For any CLC terms m and n, if there is $m \rightsquigarrow_{\text{CLC}} n$, then there is $[\![m]\!] \rightsquigarrow_{\text{CC}\omega} [\![n]\!]$.*

Theorem 2.20. *Embedding. If $\Gamma \vdash m :_s A$ is a valid judgment in CLC, then $[\![\Gamma]\!] \vdash [\![m]\!] : [\![A]\!]$ is a valid judgment in CCω.*

If there exists some well typed CLC term with an infinite sequence of reductions, erasure will embed this term into a well typed CCω term along with its infinite sequence of reductions by virtue of theorems 2.19, and 2.20. This is contradictory to the strong normalization property of CCω proven through the Girard-Tait method [6], so this hypothetical term does not exist in CLC.

Theorem 2.21. *Well-typed CLC terms are strongly normalizing.*

2.8.8   Backwards Compatibility. To show that CLC is backwards compatible with the predicative CCω, we construct a function that annotates CCω terms with sort U, lifting them into the non-linear fragment of CLC.

Definition 2.22.

$$(\!|x|\!) = x$$
$$(\!|Type_i|\!) = U_i$$
$$(\!|(x : A) \rightarrow B|\!) = (x :_U (\!|A|\!)) \rightarrow (\!|B|\!)$$
$$(\!|\lambda x : A.n|\!) = \lambda x :_U (\!|A|\!).(\!|n|\!)$$
$$(\!|m\ n|\!) = (\!|m|\!)\ (\!|n|\!)$$

With slight overloading of notation, we define lifting for CCω recursively.

Definition 2.23.

$$(\!|\epsilon|\!) = \epsilon$$
$$(\!|\Gamma, x : A|\!) = (\!|\Gamma|\!), x :_U (\!|A|\!)$$

The following lemmas and theorems are all proved following a similar procedure to proving the soundness of erasure.

Lemma 2.24. *Lift commutes with substitution. For any CCω terms m, n and some variable x, $(\!|m[n/x]|\!) = (\!|m|\!)[(\!|n|\!)/x]$.*

Theorem 2.25. *Lift preserves reduction. For any CCω term m and n, if there is $m \rightsquigarrow_{\text{CC}\omega} n$, then there is $(\!|m|\!) \rightsquigarrow_{\text{CLC}} (\!|n|\!)$.*

Theorem 2.26. *Lifting. If $\Gamma \vdash m : A$ is a valid judgment in CCω, then $(\!|\Gamma|\!) \vdash (\!|m|\!) : (\!|A|\!)$ is a valid judgment in CLC.*

The lifting theorem shows that all valid CCω terms are valid CLC terms if the domain of function types are annotated with sort U. In practice, these annotations can often be omitted if algorithmic type checking is able to infer them from context.

3   The Extension to CILC

Although the core CLC language is extremely expressive, formally shown by Theorem 2.26 to be at least as expressive as the predicative CCω, the complexity of Church-style encodings to do so will quickly become unmanageable. To address this, we extend CLC with rules for defining inductive linear types in the style of CIC.

We have formalized CILC in our Coq development and proven its soundness.

3.1   Syntax of CILC

Figure 8. Syntax

$$C, I, P, Q, f \quad ::= \dots \qquad\qquad\qquad \text{expressions}$$
$$|\quad \text{Ind}_s(X : A)\{C_1 \mid \dots \mid C_k\}$$
$$|\quad \text{Constr}(k, I)$$
$$|\quad \text{Case}(m, Q)\{f_1 \mid \dots \mid f_k\}$$
$$|\quad \text{DCase}(m, Q)\{f_1 \mid \dots \mid f_k\}$$
$$|\quad \text{Fix } f : A := m$$

As shown in Figure 8, CILC extends CIC with for the introduction and elimination of inductive types. Ind is used during the formation of new inductive types, and Constr is used for introducing their constructors. Case and DCase are non-dependent and dependent case eliminators respectively. CIC-style primitive recursion has been factored out of case eliminators in favor of a Coq-style Fix construct. The flexibility of an independent Fix construct allows for a cleaner formalization of inductive linear type elimination.

3.2   Arity

For type A and sort s, the judgment $arity(A, s)$ is inductively defined over the structure of A as depicted in Figure 9.

Figure 9. Arity

$$\frac{}{arity(s, s)}\text{A-Sort} \qquad\qquad \frac{arity(A, s)}{arity((x :_U M) \rightarrow A, s)}\text{A}\rightarrow$$

Definition 3.1. For some arity type A and sort s', the term $A\langle s' \rangle$ is inductively defined over the structure of A as follows.

$$((x :_U M) \rightarrow A)\langle s' \rangle = (x :_U M) \rightarrow A\langle s' \rangle$$
$$(s)\langle s' \rangle = s'$$

Definition 3.2. For some arity type A, term I and sort s', the term $A\{I, s'\}$ is inductively defined over the structure of A as follows.

$$((x :_U M) \rightarrow A)\langle I, s' \rangle = (x :_U M) \rightarrow A\langle (I\ x), s' \rangle$$
$$(s)\langle I, s' \rangle = (\_ :_U I) \rightarrow s'$$

3.3 Strict Positivity

For type P and variable X, the judgment $postive(P, X)$ is inductively defined over the structure of P as depicted in Figure 10.

We say that X occurs strictly positive in P if the judgment $postive(P, X)$ is valid.

Figure 10. Strict Positivity

$$\frac{(\forall i = 1...k) \qquad X \notin m_i}{positive((X\ m_1...m_k), X)}\text{Pos-X}$$

$$\frac{X \notin M \qquad positive(P, X)}{positive((x :_s M) \to P, X)}\text{Pos}\to$$

$$\frac{X \notin M \qquad positive(P, X)}{positive((x :_s M) \multimap P, X)}\text{Pos}\multimap$$

3.4 Non-Linear Constructor

For type C and variable X, the judgment $constructor_U(C, X)$ is inductively defined over the structure of C as shown in Figure 11.

Non-linear constructors are used for constructing non-linear objects that may be freely used. These constructors may not applied to restricted terms as this may cause duplication.

Figure 11. Non-linear Constructor

$$\frac{(\forall i = 1...k) \qquad X \notin m_i}{constructor_U((X\ m_i...m_k), X)}\text{U-Constr-X}$$

$$\frac{positive(P, X) \qquad constructor_U(C, X)}{constructor_U((\_ :_U P) \to C, X)}\text{U-Constr-pos}$$

$$\frac{X \notin M \qquad constructor_U(C, X)}{constructor_U((x :_U M) \to C, X)}\text{U-Constr}\to$$

3.5 Linear Constructor

For type C and variable X, the judgment $constructor_L(C, X)$ is inductively defined over the structure of C as shown in Figure 12.

Linear constructors are used for constructing linear objects that must be used once. These constructors may be applied to linear terms as restricted usage prevent duplication and enforce single usage.

An unapplied linear constructor is a non-linear entity as they can be created freely "out of thin air". However, once a linear constructor has been partially applied to a linear term, its linearity is "activated" in rules L-Constr-Pos-2 and L-Constr-2$\to$, essentially forcing the rest of its arguments to be fully applied. This is to prevent partially applied constructors from duplicating linear variables.

Figure 12. Linear Constructor

$$\frac{(\forall i = 1...k) \qquad X \notin m_i}{constructor_L((X\ m_i...m_k), X)}\text{L-Constr-X}$$

$$\frac{positive(P, X) \qquad constructor_L(C, X)}{constructor_L((\_ :_U P) \to C, X)}\text{L-Constr-Pos-1}$$

$$\frac{X \notin M \qquad constructor_L(C, X)}{constructor_L((x :_U M) \to C, X)}\text{L-Constr-1}\to$$

$$\frac{positive(P, X) \qquad activation(C, X)}{constructor_L((\_ :_L P) \to C, X)}\text{L-Constr-Pos-2}$$

$$\frac{X \notin M \qquad activation(C, X)}{constructor_L((x :_L M) \to C, X)}\text{L-Constr-2}\to$$

For type C and variable X, the judgment $activation(C, X)$ is inductively defined over the structure of C as shown in Figure 13. Intuitively, $activation(C, X)$ ensures the linearity of C.

Figure 13. Activation

$$\frac{(\forall i = 1...k) \qquad X \notin m_i}{activation((X\ m_i...m_k), X)}\text{Act-X}$$

$$\frac{positive(P, X) \qquad activation(C, X)}{activation((\_ :_P) \multimap C, X)}\text{Act-Pos}$$

$$\frac{X \notin M \qquad activation(C, X)}{activation((x :_M) \multimap C, X)}\text{Act}\multimap$$

3.6 Introduction Rules

The formation of new inductive types and their constructors are presented in Figure 14.

Figure 14. Inductive Type Formation

$$\frac{(\forall j = 1...n) \quad arity(A, s) \quad constructor_s(C_j, X)}{|\Gamma| \quad \Gamma \vdash A : U_i \quad \Gamma, X :_U A \vdash C_j : t_i}{\Gamma \vdash \text{Ind}_s(X : A)\{C_1|...|C_k\} : A} \text{Ind}$$

$$\frac{1 \leq i \leq k}{|\Gamma| \quad I := \text{Ind}_s(X : A)\{C_1|...|C_k\} \quad \Gamma \vdash I : A}{\Gamma \vdash \text{Constr}(i, I) : C_i[I/X]} \text{Constr}$$

3.7 Non-Dependent Case

Definition 3.3. For constructor type C and variables X and Q, the term $C\{X, Q\}$ is inductively defined over the structure of C as follows.

$$((\_ :_s P) \rightarrow C)\{X, Q\} = (\_ :_s P) \multimap C\{X, Q\}$$
$$((\_ :_s P) \multimap C)\{X, Q\} = (\_ :_s P) \multimap C\{X, Q\}$$
$$((x :_s M) \rightarrow C)\{X, Q\} = (x :_s P) \multimap C\{X, Q\}$$
$$((x :_s M) \multimap C)\{X, Q\} = (x :_s P) \multimap C\{X, Q\}$$
$$(X m_1...m_k)\{X, Q\} = (Q m_1...m_k)$$

We define the notation $C[I, P] := C\{X, Q\}[I/X, P/Q]$.

The rule for non-dependent case elimination is presented in Figure 15. This elimination rule is non-dependent because the motive Q may not depend on the discriminated term m itself.

Figure 15. Non-dependent Case Elimination

$$\frac{(\forall i = 1...k_1) \quad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma \quad arity(A, s)}{I := \text{Ind}_s(X : A)\{C_1|...|C_{k_1}\} \quad \overline{\Gamma_2} \vdash Q : A\langle s'\rangle}{\Gamma_1 \vdash m : (I a_1...a_{k_2}) \quad \Gamma_2 \vdash f_i : C_i[I, Q]}{\Gamma \vdash \text{Case}(m, Q)\{f_1|...|f_{k_1}\} : (Q a_1...a_{k_2})} \text{Case}$$

3.8 Dependent Case

Definition 3.4. For some non-linear constructor type C and type variables X, Q and c, the term $C\{X, Q, c\}$ is inductively defined over the structure of C as follows.

$$((\_ :_U P) \rightarrow C)\{X, Q, c\} = (p :_U P) \multimap C\{X, Q, (c\, p)\}$$
$$((x :_U M) \rightarrow C)\{X, Q, c\} = (x :_U M) \multimap C\{X, Q, (c\, x)\}$$
$$(X m_1...m_n)\{X, Q, c\} = (Q m_1...m_n c)$$

We define the notation $C[I, P, t] := C\{X, Q, c\}[I/X, P/Q, t/c]$.

Dependent elimination of non-linear inductive objects is presented in Figure 16. This elimination rule is dependent because the motive Q may depend on the discriminated term m. For this very reason, m is not allowed to have linear type as doing so may transport restricted variable into types.

Figure 16. Dependent Case Elimination

$$\frac{|\Gamma_1| \quad (\forall i = 1...n) \quad \Gamma_1 \ddagger \Gamma_2 \ddagger \Gamma \quad arity(A, U_i)}{I := \text{Ind}_U(X : A)\{C_1|...|C_n\} \quad \overline{\Gamma_2} \vdash Q : A\langle I, s'\rangle}{\Gamma_1 \vdash m : (I a_1...a_n) \quad \Gamma_2 \vdash f_i : C_i[I, Q, \text{Constr}(i, I)]}{\Gamma \vdash \text{DCase}(m, Q)\{f_1|...|f_n\} : (Q a_1...a_n m)} \text{DCase}$$

3.9 Fixpoint

The typing rule of fix-points presented in Figure 17 is mostly standard. A syntactic guard condition is utilized to conservatively ensure termination. The fix-point term must have non-linear type in a pure context, otherwise recursive calls may cause duplicated usage of restricted variables.

Figure 17. Fixpoint

$$\frac{|\Gamma|}{\Gamma \vdash A : U_i \quad \Gamma, f :_U A \vdash m : A \quad \text{guard condition}}{\Gamma \vdash \text{Fix}\, f : A := m : A} \text{fix}$$

3.10 Extended Reduction

The reduction semantics for the extended language is standard, we only present the most interesting ι-reduction cases.

Figure 18. ι-Reductions

$$\frac{}{\text{Case}((\text{Constr}(i, I)\, a_1...a_n), Q)\{f_1|...f_k\}}{\rightsquigarrow (f_i\, a_1...a_k)} \text{Step-Case-}\iota$$

$$\frac{}{\text{DCase}((\text{Constr}(i, I)\, a_1...a_k), Q)\{f_1|...f_k\}}{\rightsquigarrow (f_i\, a_1...a_k)} \text{Step-DCase-}\iota$$

$$\frac{}{\text{Fix}\, f : A := m \rightsquigarrow m[(\text{Fix}\, f : A := m)/f]} \text{Step-Fix-}\iota$$

3.11 Linear Connectives

In Figure 19, we demonstrate how the $\otimes$ and $\oplus$ connectives of linear logic could be defined in CILC as inductive linear types. For any term with inductive linear type, linearity will ultimately force the term to be eliminated by a Case expression.

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Figure 19. Inductively Defined Linear Connectives

$$\_ \otimes \_ := \mathrm{Ind}_L(X : (x :_U L_i) \to (y :_U L_i) \to L)\{$$
$$\mid (A :_U L_i) \to (B :_U L_i)$$
$$\to (\_ :_L A) \multimap (\_ :_L B) \multimap (X\ A\ B)$$
$$\}$$
$$\langle \_, \_ \rangle := \mathrm{Constr}(1, \otimes)$$

$$\_ \oplus \_ := \mathrm{Ind}_L(X : (x :_U L_i) \to (y :_U L_i) \to L)\{$$
$$\mid (A :_U L_i) \to (B :_U L_i) \to (\_ :_L A) \multimap (X\ A\ B)$$
$$\mid (A :_U L_i) \to (B :_U L_i) \to (\_ :_L B) \multimap (X\ A\ B)$$
$$\}$$
$$\mathrm{inl}(\_) := \mathrm{Constr}(1, \oplus)$$
$$\mathrm{inr}(\_) := \mathrm{Constr}(2, \oplus)$$

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