

# Dependent Session Types for Verified Concurrent Programming

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We present  $TLL_C$  which extends the Two-Level Linear dependent type theory (TLL) with session type based concurrency. Equipped with Martin-Löf style dependency, the session types of  $TLL_C$  allow protocols to specify the properties of communicated messages. When used in conjunction with the dependent type machinery already present in TLL, dependent session types facilitate the a form of relational verification by relating concurrent programs with their idealized sequential counterparts. Correctness properties proven for sequential programs can now be easily lifted to their corresponding concurrent programs. Session types now become a powerful tool for intrinsically verifying the correctness of data structures such as queues and concurrent algorithms such as map-reduce. To extend TLL with session types, we develop a novel formulation of intuitionistic session type which we believe to be widely applicable for integrating session types into other type systems beyond the context of  $TLL_C$ . We study the meta-theory of our language, proving its soundness as both a term calculus and a process calculus. All reported results are formalized in Rocq. A prototype compiler which compiles  $TLL_C$  programs into concurrent C code is implemented and freely available.

Additional Key Words and Phrases: dependent types, linear types, session types, concurrency

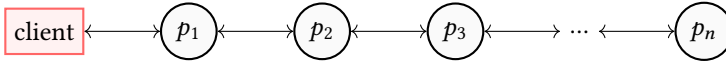
## 1 INTRODUCTION

Session types [15] are an effective typing discipline for coordinating concurrent computation. Through type checking, processes are forced to adhere to communication protocols and maintain synchronization. This allows session type systems to statically rule out runtime bugs for concurrent programs similarly to how standard type systems rule out bugs for sequential programs. While (simple) session type systems guarantee concurrent programs do not crash catastrophically, it remains difficult to write concurrent programs which are semantically correct.

Consider the Pfenning-style concurrent queue which is a common data structure encountered in the session type literature. A queue is described by the following type:

$$\text{queue}_A := \&\{\text{ins} : A \multimap \text{queue}_A, \text{del} : \oplus\{\text{none} : \mathbf{1}, \text{some} : A \otimes \text{queue}_A\}\}$$

The following diagram illustrates the channel topology of a client interacting with a queue server.



Each of the  $p_i$  nodes here represents a queue cell which holds a value and are linked together by bidirectional channels of type  $\text{queue}_A$ . As indicated by the type constructor  $\&$ , the first queue node  $p_1$  first receives either an  $\text{ins}$  or  $\text{del}$  label from the client. In the case of an  $\text{ins}$  label,  $p_1$  receives a value  $v$  of type  $A$  (indicated by  $\multimap$ ) from the client. The  $p_1$  node then sends an  $\text{ins}$  label to  $p_2$  and forwards  $v$  to it. This forwarding process repeats until the value reaches the end of the queue where a new queue cell  $p_{n+1}$  is allocated to store  $v$ . On the other hand, if  $p_1$  receives a  $\text{del}$  label, the type constructor  $\oplus$  requires that  $p_1$  send either  $\text{none}$  or  $\text{some}$ . The  $\text{none}$  label is sent to signify that the queue is empty and ready to terminate (indicated by  $\mathbf{1}$ ). The  $\text{some}$  label is sent along with a value of type  $A$  (indicated by  $\otimes$ ) which is the dequeued element. Finally,  $p_1$  forwards its channel, connecting to  $p_2$ , to the client so that the client may continue interacting with the rest of the queue.

It is clear from the example above that the session type  $\text{queue}_A$  only lists what operations a queue should support, but does not specify the expected behavior of these operations. For instance, it does not specify that an  $\text{ins}$  operation should add an element to the back of the queue or that a

del operation should return the element at the front of the queue. A correct implementation needs to maintain all of these additional invariants not captured by the session type. In fact, due to the under specification of the  $\text{queue}_A$  type, it is possible to implement a “queue” which simply ignores all ins messages and always returns none on del.

To address this issue, we develop  $\text{TLL}_C$ , a dependent session type system which extends the Two-Level Linear dependent type theory (TLL) [11] with session-typed concurrency. In  $\text{TLL}_C$ , one could define the queues through the following dependent session type:

$$\begin{aligned} \text{queue}(xs : \text{list } A) &:= ?(\ell : \text{opr}). \mathbf{match} \ell \mathbf{with} \\ &| \text{ins}(v) \Rightarrow \text{queue}(\text{snoc}(xs, v)) \\ &| \text{del} \Rightarrow \mathbf{match} xs \mathbf{with} (x :: xs') \Rightarrow !(\text{sing } x).!(\mathbf{hc}\langle \text{queue}(xs') \rangle). \mathbf{1} \mid [] \Rightarrow \mathbf{1} \end{aligned}$$

Here, the type  $\text{queue}(xs)$  is parameterized by a list  $xs$  which represents the current contents of the queue. Notice that the type no longer needs the  $\oplus$  and  $\&$  type constructors to describe branching behavior. Instead, it uses type-level pattern matching to inspect the label  $\ell$  received from the client. The opr type which  $\ell$  inhabits is defined as a simple inductive type with two constructors:

$$\text{inductive opr} := \text{ins} : A \rightarrow \text{opr} \mid \text{del} : \text{opr}$$

When a queue server receives an  $\text{ins}(v)$  value, the type of the server becomes  $\text{queue}(\text{snoc}(xs, v))$  where  $\text{snoc}$  appends  $v$  to the end of  $xs$ . Conversely, when a del label is received, the type-level pattern matching on  $xs$  enforces that if the queue is non-empty (i.e.  $x :: xs'$  case), then the server must send the front element  $x$  of the queue to the client (indicated by the *singleton type*  $\text{sing } x$ ) along with the channel  $\mathbf{hc}\langle \text{queue}(xs') \rangle$  connecting to the remainder of the queue. If the queue is empty (i.e.  $[]$  case), then the server simply terminates.

Given the queue protocol describe above, we can construct queue process nodes and interact with them. The following signatures are of helper functions that wrap interactions with the queue nodes into a convenient interface:

$$\begin{aligned} \text{insert} &: \forall \{xs : \text{list } A\} (x : A) \rightarrow \text{Queue}(xs) \rightarrow \text{Queue}(\text{snoc}(xs, x)) \\ \text{delete} &: \forall \{x : A\} \{xs : \text{list } A\} \rightarrow \text{Queue}(x :: xs) \rightarrow C(\text{sing } x \otimes \text{Queue}(xs)) \\ \text{free} &: \text{Queue}([]) \rightarrow C(\text{unit}) \end{aligned}$$

The Queue type here is a type alias for the *channel type* of queues (explained later in detail) and the  $C$  type constructor here is the *concurrency monad* which encapsulates concurrent computations. Notice in the signature of insert and delete that there are dependent quantifiers surrounded by curly braces. These are the *implicit* quantifiers of TLL which indicate that the corresponding arguments are “ghost” values used for type checking and erased prior to runtime. For our purposes here, such ghost values are especially useful for *relationally* specifying the expected behaviors of queue interactions in terms of sequential list operations. For instance, the signature of insert states that the queue obtained after inserting  $x$  is related to the original queue by the list operation  $\text{snoc}$ . Similarly, the signature of delete states that deleting from a non-empty queue returns the front element  $x$ . Even though neither of these  $xs$  ghost values exist at runtime, they *statically* ensure that concurrent processes implementing these interfaces behave like actual queues, i.e., are first-in-first-out data structures. In a later section we will show how a generalized map-reduce algorithm can be implemented and verified using similar techniques.

Integrating session typed based concurrency into TLL is non-trivial due to the fact that TLL is a dependently typed functional language. While prior works [12, 33] have successfully combined *classical* session types with functional languages, its is well known that classical session types do not easily support recursive session types [13] (needed to express our queue type). The main

issue is that classical session types are defined in terms of a *dual* operator which does not easily commute with recursive type definitions. The addition of arbitrary type-level computations through dependent types further complicates this matter. On the other hand, *intuitionistic* session types [6] eschew the dual operator and define dual *interpretations* of session types based their *left* or *right* sequent rules. Because intuitionistic session types do not rely on a dual operator, they are able to support recursive session types without commutativity issues. However, intuitionistic session types are often formulated in the context of process calculi without a functional layer. To enjoy the benefits of intuitionistic session types in a functional setting, we develop a novel form of intuitionistic session types where we separate the notion of *protocols* from *channel types*. The  $\text{queue}(xs)$  type from before is, in actuality, a protocol whereas  $\mathbf{hc}\langle\text{queue}(xs)\rangle$  is a channel type. In general, a channel type is formed by applying the  $\mathbf{ch}\langle\cdot\rangle$  and  $\mathbf{hc}\langle\cdot\rangle$  type constructors to protocols. These constructors provide dual interpretations to protocols, allowing dual channels of the same protocol to be connected together. For example,  $!A.P$  would be interpreted dually as follows:

$$\begin{aligned} \mathbf{ch}\langle!A.P\rangle & \quad (\text{send message of type } A) \\ \mathbf{hc}\langle!A.P\rangle & \quad (\text{receive message of type } A) \end{aligned}$$

Such channel types can be naturally included into the contexts of functional type systems without needing to instrument the underlying language into a sequent calculus formulation. We believe our treatment of intuitionistic session types is not specific to  $\text{TLL}_C$  and is widely applicable for integrating intuitionistic session types with other functional languages.

In order to show that  $\text{TLL}_C$  ensures communication safety, we develop a process calculus based concurrency semantics. Process configurations in the calculus are collections of  $\text{TLL}_C$  programs interconnected by channels. At runtime, individual processes are evaluated using the program semantics of base TLL. When two processes at opposing ends (i.e. dually typed) of a channel are synchronized and ready to communicate, the process level semantics transmits their messages across the channel. We study the meta-theory of  $\text{TLL}_C$  and prove that it is indeed sound at both the level of terms and at the level of process configurations.

All lemmas and theorems reported in this paper are formalized in Rocq [26]. All examples can be compiled into C programs using our prototype compiler where concurrent processes are implemented using POSIX threads. The compiler implements advanced language features such dependent pattern matching and functional in-place programming [18] for linear types. Proofs, source code, and examples are available in our git repository<sup>1</sup>.

In summary, we make the following contributions:

- We extend the Two-Level Linear dependent type theory (TLL) with session type based concurrency, forming the language of  $\text{TLL}_C$ .  $\text{TLL}_C$  inherits the strengths of TLL such as Martin-Löf style linear dependent types and the ability to control program erasure.
- We develop a novel formulation of intuitionistic session types through a clear separation of protocols and channel types. We believe this formulation to be widely applicable for integrating session types into other functional languages.
- We study the meta-theoretical properties of  $\text{TLL}_C$ . We show that  $\text{TLL}_C$ , as a term calculus, possesses desirable properties such as confluence and subject reduction and, as a process calculus, guarantees communication safety.
- The entire calculus, with its meta-theorems, is formalized in Rocq.
- We implement a prototype compiler which compiles  $\text{TLL}_C$  into safe and efficient C code.

<sup>1</sup>**TODO**

## 2 OVERVIEW OF DEPENDENT SESSION TYPES

Session types in  $TLL_C$  are *minimalistic* in design and yet surprisingly expressive due to the presence of dependent types. Through examples, we provide an overview of how dependent session types facilitate certified concurrent programming in  $TLL_C$ .

### 2.1 Message Specification

An obvious, but important, use of dependent session types is the precise specification of message properties communicated between parties. This is useful in practical network systems where the content of messages may depend on the value of a prior request. Consider the following protocol:

$$!(sz : \text{nat}). ?(msg : \text{bytes}). ?\{sizeOf(msg) = sz\}. \mathbf{1}$$

Informally speaking, this protocol first expects a natural number  $sz$  to be sent followed by receiving a byte string  $msg$ . In simple session type systems without dependency, there would be no way of specifying the relationship between  $sz$  and  $msg$ . However, dependent session types allow us to express relations between messages. Notice in the third interaction expected by the protocol, the party sending  $msg$  must provide a *proof* that the size of  $msg$  is indeed  $sz$  according to an agreed upon  $sizeOf$  function. Finally, the protocol terminates with  $\mathbf{1}$  and communication ends. Notice that the proof here, as indicated by the curly braces, is a *ghost message*: it is used for type checking and erased prior to runtime. Even though the proof does not participate in actual communication, the necessity for the send of  $msg$  to provide such a proof ensures that the protocol is followed correctly.

This example showcases the main primitives for constructing dependent protocols in  $TLL_C$ : the  $!(x : A).B$  and  $?(x : A).B$  *protocol actions*. The syntax of these constructs take inspiration from binary session types [12, 33] and label dependent session types [28], however the meaning of these constructs in  $TLL_C$  is subtly different. In prior works, the  $!$  marker indicates that the channel is to send and the  $?$  marker indicates that the channel is to receive. In  $TLL_C$ , neither marker expresses sending or receiving per se, but rather an abstract action that needs to be interpreted through a *channel type*. Hence, the description of the messaging protocol above is stated to be informal. To assign a precise meaning to the protocol, we need to view it through the lenses of channel types:

$$\begin{aligned} \mathbf{ch} &!(sz : \text{nat}). ?(msg : \text{bytes}). ?\{sizeOf(msg) = sz\}. \mathbf{1} \\ \mathbf{hc} &!(sz : \text{nat}). ?(msg : \text{bytes}). ?\{sizeOf(msg) = sz\}. \mathbf{1} \end{aligned}$$

Here, these two channel types are constructed using *dual* channel type constructors:  $\mathbf{ch}\langle\cdot\rangle$  and  $\mathbf{hc}\langle\cdot\rangle$ . The  $\mathbf{ch}\langle\cdot\rangle$  constructor interprets  $!$  as sending and  $?$  as receiving while the  $\mathbf{hc}\langle\cdot\rangle$  constructor interprets  $!$  as receiving and  $?$  as sending. In other words, dual channel types interpret protocol actions in opposite ways. These constructors act similarly to the duality of left and right rules for intuitionistic session types [6]. Unlike intuitionistic session types which require the base type system to be based on sequent calculus, our channel types can be integrated into the type systems of functional languages so long as linear types are supported.

### 2.2 Dependent Ghost Secrets

Dependent ghost messages have interesting applications when it comes to message specification. Consider the following encoding of a idealized Shannon cipher protocol:

$$\begin{aligned} H(E, D) &:= \forall\{k : \mathcal{K}\} \{m : \mathcal{M}\} \rightarrow D(k, E(k, m)) =_{\mathcal{M}} m \quad (\text{correctness property}) \\ \mathcal{E}(E, D) &:= !\{k : \mathcal{K}\}. !\{m : \mathcal{M}\}. !(c : \mathcal{C}). !\{H(E, D) \times (c =_{\mathcal{C}} E(k, m))\}. \mathbf{1} \end{aligned}$$

Given public encryption and decryption functions  $E : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$  and  $D : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$  respectively, the protocol  $\mathcal{E}(E, D)$  begins by sending ghost messages: key  $k$  of type  $\mathcal{K}$  and message  $m$  of type  $\mathcal{M}$ . Next, the ciphertext  $c$  of type  $\mathcal{C}$ , indicated by round parenthesis, is actually sent to

the client. Finally, the last ghost message sent is a proof object witnessing the correctness property of the protocol:  $c$  is obtained by encrypting  $m$  with key  $k$ . Observe that for the overall protocol, *only* ciphertext  $c$  will be sent at runtime while the other messages (secrets) are erased. The Shannon cipher protocol basically forces communicated messages to always be encrypted and prevents the accidental leakage of plaintext.

It is important to note that ghost messages and proof specifications, by themselves, are *not* sufficient to guaranteeing semantic security. An adversary can simply use a different programming language and circumvent the proof obligations imposed by  $\text{TLL}_C$ . However, these obligations are useful in ensuring that honest parties correctly follow *trusted* protocols to defend against attackers. For example, in the Shannon cipher protocol above, an honest party is required by the type system to send a ciphertext that is indeed encrypted from the (trusted) algorithm  $E$ .

Another, more concrete, example of using ghost messages to specify secrets is the Diffie-Hellman key exchange [10] protocol defined as follows:

$$\begin{aligned} \text{DH}(p \ g : \text{int}) &:= !\{a : \text{int}\}. !\{A : \text{int}\}. !\{A = \text{powm}(g, a, p)\}. \\ &\quad ?\{b : \text{int}\}. ?\{B : \text{int}\}. ?\{B = \text{powm}(g, b, p)\}. \mathbf{1} \end{aligned}$$

The DH protocol is parameterized by publicly known integers  $p$  and  $g$ . Without loss of generality, we refer to the message sender for the first row of the protocol as Alice and the message sender for the second row as Bob. From Alice's perspective, she first sends her secret value  $a$  as a dependent ghost message to initialize her half of the protocol. Next, her public value  $A$  is sent as a real message to Bob along with a proof that  $A$  is correctly computed from values  $p, g$  and  $a$  (using modular exponentiation  $\text{powm}$ ). At this point, Alice has finished sending messages and waits for message from Bob to complete the key exchange. She first "receives" Bob's secret  $b$  as a ghost message which initializes Bob's half of the protocol. Later, Bob's public value  $B$  is received as a real message along with a proof that  $B$  is correctly computed from  $p, g$  and  $b$ . Notice that between Alice and Bob, the only the real messages  $A$  and  $B$  will be exchanged at runtime. The secret values  $a$  and  $b$  and the correctness proofs are all ghost message that are erased prior to runtime. Basically, the DH protocol forces communication between Alice and Bob to be encrypted and maintain secrecy at runtime.

|  |   |
|--|---|
| <pre> def Alice (a p g : int) (c : <b>ch</b>&lt;DH(p, g)&gt;) : C(unit) :=   let c ← <b>send</b> c {a} in   let c ← <b>send</b> c (powm(g, a, p)) in   let c ← <b>send</b> c {refl} in   let &lt;{b}, c&gt; ← <b>recv</b> c in   let &lt;B, c&gt; ← <b>recv</b> c in   let &lt;{pf}, c&gt; ← <b>recv</b> c in   <b>close</b>(c) </pre> | <pre> def Bob (b p g : int) (c : <b>hc</b>&lt;DH(p, g)&gt;) : C(unit) :=   let &lt;{a}, c&gt; ← <b>recv</b> c in   let &lt;A, c&gt; ← <b>recv</b> c in   let &lt;{pf}, c&gt; ← <b>recv</b> c in   let c ← <b>send</b> c {b} in   let c ← <b>send</b> c (powm(g, b, p)) in   let c ← <b>send</b> c {refl} in   <b>wait</b>(c) </pre> |
|--|---|

The DH key exchange protocol can be implemented through two simple monadic programs Alice and Bob as shown above. The  $C$  type constructor here is the concurrency monad for integrating the *effect* of concurrent communication with the *pure* functional core of  $\text{TLL}_C$ . There are two kinds of send (and respectively recv) operations at play here. The first kind, indicated by  $\text{send } c \{v\}$  is for sending a ghost message  $v$  on channel  $c$ . After type checking, these ghost sends are compiled to no-ops so that they do not participate in runtime communication. The second kind, indicated by  $\text{send } c (v)$ , is for sending a real message  $v$  on channel  $c$ . These real sends are compiled to actual messages in the generated code. Finally, the close and wait operations synchronize the termination of the protocol. Notice that the duality of channel types  $\mathbf{ch}\langle\text{DH}(p, g)\rangle$  and  $\mathbf{hc}\langle\text{DH}(p, g)\rangle$  ensure that

every send in Alice is matched by a corresponding receive in Bob and vice versa. Moreover, Alice and Bob are enforced by the type checker to correctly carry out the Diffie-Hellman key exchange.

### 3 RELATIONAL VERIFICATION VIA DEPENDENT SESSION TYPES

Earlier in the introduction section, we showed a sketch of how dependent session types can be used for certified concurrent programming through the example of a concurrent queue. In this section, we provide a detailed account of how we can use dependent session types to construct a generic map-reduce system. Similarly to the queue example, we will verify the correctness of the map-reduce system by relating it to sequential operations on trees.

#### 3.1 Construction of Map-Reduce

Map-reduce is a commonly used programming model for processing large data sets in parallel. Initially, map-reduce creates a tree of concurrently executing workers as illustrated in Figure 1. The client partitions the data into smaller chunks and sends them to the leaf workers of the tree. Next, each leaf worker applies a user-specified function  $f$  to each of its received data chunks and sends the results to its parent worker. When an internal worker receives results from its children, it combines the results using another user-specified binary function  $g$ . This procedure continues until the root worker computes the final result and sends it back to the client. Due to the fact that workers without data dependencies can operate concurrently, the overall system can achieve significantly better performance than sequential implementations of the same operations.



Fig. 1. Tree Diagram of Map-Reduce

The first step in constructing the map-reduce system is to build a model of our desired computation in a sequential setting. For this purpose, we define a simple binary tree inductive type:

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inductive tree (A : U) := Leaf : A → tree(A) | Node : tree(A) → tree(A) → tree(A)
def map : ∀{A B : U} (f : A → B) → tree(A) → tree(B)
  | Leaf x ⇒ Leaf (f x)
  | Node l r ⇒ Node (map f l)(map f r)
def reduce : ∀{A B : U} (f : A → B) (g : B → B → B) → tree(A) → B
  | Leaf x ⇒ f x
  | Node l r ⇒ g (reduce f g l) (reduce f g r)
  
```

In this definition, the type  $U$  of  $A$  is the universe of *unbound* (i.e. non-linear) types in  $TLL_C$ . So *tree* is parameterized by  $A$  which represents the type of data stored at the leaf nodes. The *sequential* map and reduce functions for tree are all defined in a standard way.

To construct the concurrent map-reduce system, the protocol of map-reduce must be able to branch depending on what operation the client requests to perform. Unlike many prior session type systems [6, 9] which provide built-in constructs (e.g.  $\oplus$  and  $\&$ ) for internal and external choice, we implement branching protocols using just dependent protocols and type-level pattern matching on sent or received messages. For our map-reduce system, we define the kinds of operations that can be performed through the inductive type *opr*:



**inductive**  $\text{opr}(A : \mathcal{U}) := \text{Map} : \forall \{B : \mathcal{U}\} (f : A \rightarrow B) \rightarrow \text{opr}(A)$   
 $\quad | \text{Reduce} : \forall \{B : \mathcal{U}\} (f : A \rightarrow B) (g : B \rightarrow B \rightarrow B) \rightarrow \text{opr}(A)$   
 $\quad | \text{Free} : \text{opr}(A)$

The  $\text{opr}$  type has three constructors:

- Map  $f$  represents a map operation that applies the function  $f : A \rightarrow B$  to each element of type  $A$  and produces results of type  $B$ .
- Reduce  $f g$  represents a reduce operation that first applies the function  $f : A \rightarrow B$  to each element of type  $A$  and then combines the results using the binary function  $g : B \rightarrow B \rightarrow B$ .
- Free is the command that terminates the concurrent tree.

We are now ready to define the following  $\text{treeP}$  protocol to describe the interactions between nodes in the map-reduce tree.

**def**  $\text{treeP}(A : \mathcal{U}) (t : \text{tree } A) := ?(o : \text{opr } A).$   
 $\quad \text{match } o \text{ with } \text{Map } _ f \Rightarrow \text{treeP } B (\text{map } f t)$   
 $\quad \quad | \text{Reduce } _ f g \Rightarrow !( \text{sing } (\text{reduce } f g t)). \text{treeP } t$   
 $\quad \quad | \text{Free} \Rightarrow \mathbf{1}$

For each node  $n$  in the concurrent tree, it will be providing a channel of type  $\mathbf{ch}\langle \text{treeP } A t \rangle$  to its parent. The parameter  $t$  of type  $\text{tree } A$  represents the shape of the sub-tree rooted at  $n$ . The  $\text{treeP}$  protocol states node  $n$  will receive a message  $o$  of type  $\text{opr } A$  from its parent. The protocol then branches, via type-level pattern matching on  $o$ , into three cases. If  $o$  is of the form  $\text{Map } f$ , then  $n$  will continue the protocol as  $\text{treeP } B (\text{map } f t)$ . Notice that the type parameter of  $\text{treeP}$  is changed from  $A$  to  $B$  to reflect the fact that the data stored at the leaves of the sub-tree is transformed from type  $A$  to type  $B$ . Furthermore, the shape of the sub-tree has also changed from  $t$  to  $\text{map } f t$ . In the second case where  $o$  is of the form  $\text{Reduce } f g$ ,  $n$  will first send the result of type  $\text{sing } (\text{reduce } f g t)$  to its parent. The type  $\text{sing } x$  is the *singleton type* whose sole inhabitant is the element  $x$ . After sending the result,  $n$  will continue the protocol as  $\text{treeP } t$ , i.e. remains unchanged. Finally,  $n$  will terminate the protocol when  $o$  is  $\text{Free}$ .

Using the  $\text{treeP}$  protocol, we can now implement the worker processes that run at each node of the concurrent tree. The implementation of a leaf worker is shown below. We have elided uninteresting technical details regarding dependent pattern matching.

**def**  $\text{leafWorker } \{A : \mathcal{U}\} (x : A) (c : \mathbf{ch}\langle \text{treeP } A (\text{Leaf } x) \rangle) : C(\text{unit}) :=$   
 $\quad \text{let } \langle o, c \rangle := \text{recv } c \text{ in}$   
 $\quad \text{match } o \text{ with}$   
 $\quad \quad | \text{Map} \Rightarrow \text{leafWorker } \{B\} (f x) c$   
 $\quad \quad | \text{Reduce} \Rightarrow \text{let } c \leftarrow \text{send } c (\text{just } (f x)) \text{ in leafWorker } \{A\} x c$   
 $\quad \quad | \text{Free} \Rightarrow \text{close}(c)$

The  $\text{leafWorker}$  function takes two non-ghost arguments: a data element  $x$  of type  $A$  and a channel  $c$  of type  $\mathbf{ch}\langle \text{treeP } A (\text{Leaf } x) \rangle$ . Through this channel  $c$ , the leaf worker will receive requests from its parent and provide responses accordingly. For instance, when the leaf worker receives a  $\text{Map } f$  request, it will apply  $f : A \rightarrow B$  to its data element  $x$  and continue as a leaf worker with the new data element  $fx$ . In this case, the type parameter of  $\text{leafWorker}$  has changed from  $A$  to  $B$  to reflect the transformation of the data element.

To represent internal node workers we implement the following  $\text{nodeWorker}$  function. This function takes (non-ghost) channels  $c_l$  and  $c_r$  of types  $\mathbf{hc}\langle \text{treeP } A l \rangle$  and  $\mathbf{hc}\langle \text{treeP } A r \rangle$  for communicating with its left and right children. Notice that the types of these channels are indexed by ghost values  $l$  and  $r$  of type  $\text{tree } A$  which represent the shapes of the concurrent sub-trees providing  $c_l$

and  $c_r$ . The nodeWorker communicates with its parent through the channel  $c$  whose type is indexed by the ghost value  $\text{Node } l \ r$ .

```

def nodeWorker {A : U} {l r : tree A}
  (c_l : hc<treeP A l>) (c_r : hc<treeP A r>) (c : ch<treeP A (Node l r)>) : C(unit) :=
  let <o, c> := recv c in
  match o with
  | Map _ f =>
    let c_l <- send c_l (Map f) in
    let c_r <- send c_r (Map f) in
    let c <- send c (just unit) in
    nodeWorker {B} {(map f l) (map f r)} c_l c_r c
  | Reduce _ f g =>
    let c_l <- send c_l (Reduce f g) in
    let c_r <- send c_r (Reduce f g) in
    let <just v_l, c_l> <- recv c_l in
    let <just v_r, c_r> <- recv c_r in
    let c <- send c (just (g v_l v_r)) in
    nodeWorker {A} {l r} c_l c_r c
  | Free =>
    let c_l <- send c_l Free in
    let c_r <- send c_r Free in
    wait(c_l); wait(c_r); close(c)

```

Given the signature of nodeWorker and the definition of the treeP protocol, it is not hard to see that the implementation of nodeWorker is constrained to function exactly as intended. For instance, in the case where nodeWorker receives a Map  $f$  request from its parent, the type of  $c$  becomes  $\text{ch}\langle\text{treeP } B \ (\text{map } f \ (\text{Node } l \ r))\rangle$  which simplifies to  $\text{ch}\langle\text{treeP } B \ (\text{Node } (\text{map } f \ l) \ (\text{map } f \ r))\rangle$ . The shapes of the left and right sub-trees after the map operation need to become  $\text{map } f \ l$  and  $\text{map } f \ r$  respectively. In other words, the type of  $c$  forces the nodeWorker process to recursively send the Map  $f$  request to both of its children to transform them into sub-trees of type  $\text{hc}\langle\text{treeP } B \ (\text{map } f \ l)\rangle$  and  $\text{hc}\langle\text{treeP } B \ (\text{map } f \ r)\rangle$ .

### 3.2 A Certified Interface for Map-Reduce

Now that we have defined both leaf and internal node workers, we can wrap them up into a more convenient interface as presented below.

```

type cTree (A : U) (t : tree A) := C(hc<treeP t>)
def cLeaf {A : U} (x : A) : cTree A (Leaf x) :=
  fork(c : ch<treeP A (Leaf x)>) with leafWorker x c
def cNode {A : U} {l r : tree A} (c_l : cTree A l) (c_r : cTree A r) : cTree (Node l r) :=
  let c_l <- c_l in
  let c_r <- c_r in
  fork(c : ch<treeP A (Node l r)>) with nodeWorker c_l c_r c

```

The type alias cTree is defined to aid in the readability of the interface. The wrapper functions cLeaf and cNode respectively create leaf and internal node workers. This is accomplished by *forking* a new process using the **fork** construct of the concurrency monad. In particular, when given some a channel type  $\text{ch}\langle P \rangle$ , the **fork** construct will create a new channel and give one end of it to the caller at type  $\text{hc}\langle P \rangle$  and spawn a new process that runs the worker with the other end of the channel at



type  $\mathbf{ch}(P)$ . The duality of the channels types allows the caller and the worker to communicate. Using these wrapper functions, one can construct a concurrent tree in virtually the same way as one would construct a sequential tree. For example, the following code constructs a concurrent tree with four leaf nodes containing integers 0, 1, 2 and 3 respectively.

```
cNode (cNode (cLeaf 0) (cLeaf 1)) (cNode (cLeaf 2) (cLeaf 3))
```

The type of this expression is rather verbose to write manually as it contains the full shape of the concurrent tree. This is not a problem in practice as *constant* type arguments (such as the tree shapes here) can almost always be inferred automatically by the type checker.

Finally, we implement the `cMap` and `cReduce` functions that provide the map and reduce operations on concurrent trees. These functions are implemented by simply sending the appropriate requests to the root worker of the concurrent tree.

```
def cMap {A B : U} {t : tree A} (f : A → B) (c : cTree A t) : cTree B (map f t) :=
  let c ← c in
  let c ← send c (Map f) in
  return c

def cReduce {A B : U} {t : tree A} (f : A → B) (g : B → B → B) (c : cTree A t) :
  C(sing (reduce f g t) ⊗ cTree A t) :=
  let c ← c in
  let c ← send c (Reduce f g) in
  let ⟨v, c⟩ ← recv c in
  return ⟨v, return c⟩
```

From the type signature of `cMap`, we can see that it takes a function  $f$  and a concurrent tree of type `cTree A t` and returns a new concurrent tree of type `cTree B (map f t)`. In other words, the type of `cMap` guarantees that the shape of the concurrent tree is transformed in the same way as its sequential tree model under the map function. Similarly, the `cReduce` takes a concurrent tree of type `cTree A t` and returns a (linear) pair consisting of the result of type `sing (reduce f g t)`, and the original concurrent tree. The correctness of `cReduce` is guaranteed by the singleton type of its result: reducing a concurrent tree results in the same value as reducing its sequential tree model.

### 3.3 Concurrent Mergesort via Map-Reduce

By properly instantiating the map-reduce interface defined previously, we can implement more complex concurrent algorithms. Moreover, dependent session types allows us to easily verify the correctness of these derived concurrent algorithms relationally through their sequential models. As an extended example, we implement a concurrent version of the mergesort algorithm using the map-reduce interface and verify its correctness.

We define sequential `msort`, as a model of our concurrent implementation, in the usual way using split and merge functions. We will not go into further details regarding the well-founded recursion of `msort` or the correctness of sorting as these are textbook results [8].

```
def split (xs : list int) : list int × list int := ...
def merge (xs ys : list int) : list int := ...

def msort (xs : list int) : list int := match xs with
| nil ⇒ nil
| x :: nil ⇒ x :: nil
| zs ⇒ let ⟨xs, ys⟩ := split zs in merge (msort xs) (msort ys)
```

Generally, to implement an algorithm using the map-reduce paradigm, one must first decompose the algorithm and data into a form that is amenable to parallelization. For mergesort, the input list can be recursively split into smaller sub-lists which can be processed in parallel. To make this decomposition *explicit*, we define the following `splittingTree` function that constructs a binary tree representation of how the input list is split by the mergesort algorithm.

```
def splittingTree (xs : list int) : tree (list int) := match xs with
  | nil  $\Rightarrow$  Leaf nil
  | x :: nil  $\Rightarrow$  Leaf (x :: nil)
  | zs  $\Rightarrow$  let (xs, ys) := split zs in Node (splittingTree xs) (splittingTree ys)
```

To apply map-reduce, we need to construct a concurrent representation of its splitting tree with type `cTree (list int) (splittingTree xs)`. While it is tempting to directly convert the result of `splittingTree` into a concurrent tree by recursively replacing `Leaf` with `cLeaf` and `Node` with `cNode`, such an approach would require traversing both the input list (to construct the splitting tree) and the resulting tree (to convert it into a concurrent tree). This would lead to a bottleneck in the performance of the overall algorithm as the traversals would be done sequentially without exploiting parallelism. Instead, we define the `splittingCTree` function that constructs the concurrent splitting tree in a concurrent manner.

```
def splittingCTree (xs : list int) : ch!(cTree (list int) (splittingTree xs)). 1  $\rightarrow$  C(unit) :=
  match xs with
  | nil  $\Rightarrow$  let c  $\leftarrow$  send c (cLeaf nil) in close(c); return ()
  | x :: nil  $\Rightarrow$  let c  $\leftarrow$  send c (cLeaf (x :: nil)) in close(c); return ()
  | zs  $\Rightarrow$ 
    let (xs, ys) := split zs in
    let cl  $\leftarrow$  fork(c) with splittingCTree xs c in
    let cr  $\leftarrow$  fork(c) with splittingCTree ys c in
    ...
```

The `splittingCTree` function takes an additional channel argument `c` which is used to send back the constructed concurrent tree to its caller. This small change allows the recursive case to fork two new processes to construct the left and right sub-trees in parallel. After both sub-trees have been constructed, the parent process can then combine them into a single concurrent tree using `cNode` and send it back to its caller. Notice that `splittingCTree` never calls the sequential `splittingTree` function and only uses it at the type level to model the concurrent tree being constructed. The complete implementation of `splittingCTree` can be found in the supplementary materials but is shortened here for brevity.

Now that we have constructed a concurrent splitting tree of our input list, we can apply the `cReduce` operation instantiated with  $f := \lambda(x).x$  and  $g := \text{merge}$  to perform merging in parallel. This gives us an output of type

$$C(\text{sing} (\text{reduce} (\lambda(x).x) \text{merge} (\text{splittingTree } xs)) \otimes \text{cTree} (\text{list int}) (\text{splittingTree } xs))$$

The singleton value `sing (reduce (λ(x).x) merge (splittingTree xs))` returned by the monad relationally describes this series of concurrent computations using just sequential operations. This allows us to easily verify the correctness of our concurrent mergesort implementation by proving the following theorem (in the internal logic of TLL) which states that reducing the splitting tree of a list is equivalent to performing mergesort on this list.

```
theorem reduceSplittingTree :
   $\forall (xs : \text{list int}). \text{reduce} (\lambda(x).x) \text{merge} (\text{splittingTree } xs) = \text{msort } xs$ 
```

Using this theorem, we can rewrite the singleton value returned by `cReduce` to `sing (msort xs)`. In other words, the result of our concurrent mergesort implementation is guaranteed to be exactly the same as that of the sequential mergesort algorithm, thus completing our verification.

The full pipeline of concurrent mergesort is given in the following `cMSort` function.

```
def cMSort (xs : list int) : C(sing (msort xs)) :=
  let c ← fork(c) with splittingCTree xs c in
  let ⟨ctree, c⟩ ← recv c in wait c;
  let ⟨v, ctree⟩ ← cReduce (λ(x).x) merge ctree in
  let ctree ← send ctree Free in wait ctree;
  return (rewrite[reduceSplittingTree] v)
```

## 4 FORMAL THEORY OF DEPENDENT SESSION TYPES

### 4.1 Core TLL

In this section, we give a brief summary of the Two-Level Linear dependent type theory (TLL) [11]. TLL is a dependent type theory that combines Martin-Löf-style dependent types [20] with linear types [14, 31]. Notably, TLL supports *essential linearity* [19] through the use of a stratified “two-level” typing system: the *logical* level and the *program* level. The typing judgments of the two levels are written and organized as follows:



First, the *logical* level is a standard dependent type system that supports unrestricted usage of types and terms. The primary purpose of the logical level is to provide typing rules for types which will be used at the logical level. For example, the rules for dependent function type ( $\Pi$ -types) formation are defined at the logical level as follows:

$$\begin{array}{c}
 \text{EXPLICIT-FUN} \\
 \frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : r}{\Gamma \vdash \Pi_t(x : A).B : t}
 \end{array}
 \qquad
 \begin{array}{c}
 \text{IMPLICIT-FUN} \\
 \frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : r}{\Gamma \vdash \Pi_t\{x : A\}.B : t}
 \end{array}$$

The symbols  $s, r, t$  range over the *sorts* of type universes, i.e.  $U$  or  $L$ . These sorts are used to classify types into two categories: unrestricted types ( $A : U$ ) and linear types ( $A : L$ ). Program level terms which inhabit unrestricted types can be freely duplicated or discarded, while those which inhabit linear types must be used exactly once. Note that this usage restriction is *not* enforced at the logical level as the logical level typing judgment is completely structural. This is safe because the logical level will never be executed at runtime and is only used for type checking and verification. Thus, multiple uses of a linear resource at the logical level will not lead to any runtime errors.

At the program level, the typing judgment  $\Gamma; \Delta \vdash m : A$  is used to exclusively type *terms*. In other words, no rules for forming types are defined at the program level. All the types used in  $\Gamma, \Delta, m$  and  $A$  must be well-formed according to the logical level typing judgment. This typing judgment possesses two contexts:  $\Gamma$  of all variables in scope, and  $\Delta$  of all variables that are computationally relevant in program  $m$ . Context  $\Delta$  is crucial for enforcing linearity at the program level. For example, consider the  $\lambda$ -abstraction rules:

$$\begin{array}{c}
 \text{EXPLICIT-LAM} \\
 \frac{\Gamma, x : A; \Delta, x :_s A \vdash m : B \quad \Delta \triangleright t}{\Gamma; \Delta \vdash \lambda_t(x : A).m : \Pi_t(x : A).B}
 \end{array}
 \qquad
 \begin{array}{c}
 \text{IMPLICIT-LAM} \\
 \frac{\Gamma, x : A; \Delta \vdash m : B \quad \Delta \triangleright t}{\Gamma; \Delta \vdash \lambda_t\{x : A\}.m : \Pi_t\{x : A\}.B}
 \end{array}$$

In EXPLICIT-LAM, we can see that the bound variable  $x$  is added to both contexts  $\Gamma$  and  $\Delta$ . This indicates that  $x$  is a variable which can be used both logically (in types and ghost values) through  $\Gamma$ , and computationally (in real values) through  $\Delta$ . On the other hand, in the IMPLICIT-LAM rule,  $x$  is only added to  $\Gamma$  but not  $\Delta$ . This indicates that  $x$  is a ghost variable which can only be used logically. A ubiquitous example of ghost variables are type parameters in polymorphic functions. For example, the polymorphic identity function can be implemented as

$$\lambda_U \{A : U\}. \lambda_U (x : A). x$$

which has the type  $\Pi_U \{A : U\}. \Pi_U (x : A). A$ . Arguments to implicit functions are typed at the logical level, thus allowing polymorphic functions to be instantiated with a type as an argument. Additionally, as demonstrated in the examples of prior sections, ghost variables also facilitate program verification by statically describing abstractions and invariants of program states.

In the two  $\lambda$ -abstraction rules above, the premise  $\Delta \triangleright t$  is a simple side condition that states: if  $t = U$ , then all variables in  $\Delta$  must be unrestricted. In other words, the  $\lambda$ -abstractions that can be applied unrestrictedly (with  $t = U$ ) are not allowed to capture linearly typed variables from  $\Delta$ . This is similar to the restriction imposed on closures implementing the Fn trait (i.e. those that can be called multiple times) in Rust [27] where capturing of mutable references is prohibited. If such a restriction is not imposed, then evaluating a  $\lambda$ -abstraction (that captures a linear variable) twice may lead to unsafe memory accesses such as double frees or use-after-frees.

The application rules for both explicit and implicit functions are as follows:

$$\begin{array}{c} \text{EXPLICIT-APP} \\ \frac{\Gamma; \Delta_1 \vdash m : \Pi_t (x : A). B \quad \Gamma; \Delta_2 \vdash n : A}{\Gamma; \Delta_1 \cup \Delta_2 \vdash m n : B[n/x]} \end{array} \quad \begin{array}{c} \text{IMPLICIT-APP} \\ \frac{\Gamma; \Delta \vdash m : \Pi_t \{x : A\}. B \quad \Gamma \vdash n : A}{\Gamma; \Delta \vdash m \{n\} : B[n/x]} \end{array}$$

In EXPLICIT-APP, the argument  $n$  is a real value which must be typed at the program level. The  $\cup$  operator merges the two program context  $\Delta_1$  and  $\Delta_2$  by contracting unrestricted variables and requiring that linear variables be disjoint, thus preventing the sharing of linear resources. In IMPLICIT-APP, the argument  $n$  is a ghost value that is typed at the logical level. Due to the fact that ghost values are erased prior to runtime, the program context  $\Delta$  in the conclusion only tracks the computationally relevant variables used in  $m$ . Notice how in EXPLICIT-APP, the argument  $n$  is substituted into the return type  $B$ . This allows types to depend on program level terms regardless of whether they are of linear or unrestricted types.

**Usage vs Uniqueness.** Compared to other linear dependent type theories [1, 7, 19, 21, 30] which only enforce the linear *usage* of resources, the TLL type system prevents the *sharing* of linear resources as well. This is similar to the subtle distinction between linear logic [14] and bunched implications [22, 23] described by O'Hearn. Consider a linear function  $f$ , in the aforementioned dependent type theories, of some type  $A \multimap B$ . When function  $f$  is applied to some argument  $v$  of type  $A$ , the argument  $v$  is guaranteed to be used exactly once in the *body* of  $f$ . Notice that this notion of linearity does not guarantee that  $f$  has unique access to  $v$ . If  $v$  was obtain from some  $!$ -exponential or  $\omega$ -quantity (the sharable quantity in graded systems [1, 21]), then there may be other aliases of  $v$  which can be used outside of  $f$ .

Wadler, in his seminal work [32], made a similar distinction between linearity and uniqueness in the context of functional programming, noting that implicit uses of *promotion* and *dereliction* in linear logic can lead to violations of uniqueness. He coins the term *steadfast types* to refer to type systems that enforce both linearity and uniqueness. In this sense, TLL is steadfast as its *sort-uniqueness* property (i.e. types uniquely inhabit either U or L) prohibits the implicit promotion and dereliction of linear types, thus preventing the sharing of linear resources. The heap semantics [29] of TLL shows that its programs enjoy the *single-pointer* property which is a consequence of uniqueness at

runtime. In the context of concurrency, the steadfast type system of TLL makes it especially suitable for integration with session types: linear usage prevents replaying of communication protocols and uniqueness ensures that a communication channel has a single owner.

#### 4.2 Dependent Session Types of $TLL_C$

In this section, we formally present the dependent session types of  $TLL_C$ .

**Basic Protocols and Channel Types.** The intuitionistic session types of  $TLL_C$  are decoupled into *protocols* and *channel types*. The rule for forming protocols is as follows:

| PROTO  | EXPLICIT-ACTION   | IMPLICIT-ACTION   | END   |
|--|---|---|---|
| $\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{proto} : U}$ | $\frac{\Gamma, x : A \vdash B : \mathbf{proto}}{\Gamma \vdash \rho(x : A). B : \mathbf{proto}}$ | $\frac{\Gamma, x : A \vdash B : \mathbf{proto}}{\Gamma \vdash \rho\{x : A\}. B : \mathbf{proto}}$ | $\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{1} : \mathbf{proto}}$ |

where  $\rho \in \{!, ?\}$

Here, the PROTO rule introduces the **proto** type which is the type of all protocols. Note that **proto** is an unrestricted type, thus protocols can be freely duplicated or discarded. The EXPLICIT-ACTION and IMPLICIT-ACTION rules form dependent protocols which inhabit the **proto** type. The END rule marks the termination of a protocol.

Once a protocol is defined, we can form channel types using the following rules:

| CHTYPE  | HCTYPE  |
|---|---|
| $\frac{\Gamma \vdash A : \mathbf{proto}}{\Gamma \vdash \mathbf{ch}\langle A \rangle : L}$ | $\frac{\Gamma \vdash A : \mathbf{proto}}{\Gamma \vdash \mathbf{hc}\langle A \rangle : L}$ |

Notice that the channel type constructors **ch** $\langle \cdot \rangle$  and **hc** $\langle \cdot \rangle$  lift protocols, which are unrestricted values, into linear types. This means that channels must be used exactly once. Furthermore, as explained in the previous section, the unique ownership of linear types in TLL ensures that only a single entity has access to a channel at any point in time, thus preventing race conditions.

**Recursive Protocols.** Recursive protocols can be formed using the  $\mu(x : A).m$  construct:

| FIXPOINT  |
|---|
| $\frac{\Gamma, x : A \vdash m : A \quad A \text{ is an arity ending on } \mathbf{proto} \quad x \text{ is guarded by protocol action in } m}{\Gamma \vdash \mu(x : A).m : A}$ |

For a  $\mu(x : A).m$  term, we require that  $A$  be an *arity ending on proto*. This prevents  $\mu$  from introducing logical inconsistencies as it can only be used to construct protocols and not proofs for arbitrary propositions. To ensure that protocols defined through  $\mu(x : A).m$  can be productively unfolded, recursive usages of  $x$  must be syntactically *guarded* behind a protocol action in  $m$ . This enforces the *contractiveness* condition for recursive session types [12]. Both the arity and guardedness conditions are stable under substitution. Due to space limitations, we present the rules of arities and guardedness in the appendix.

The difficulty of integrating recursive protocols in classical session type systems is well documented [13]. The key challenge is to define a suitable *duality* operator that commutes with recursion. The following example is due to Bernardi and Hennessy [4]. Suppose we define a reasonable, but naive, duality operator  $(\cdot)^\perp$  which simply flips  $!$  and  $?$  in protocols. For the dual of recursive protocol  $\mu X. ?X.X$ , if we first apply duality and then unfold the recursion, we get:

$$(\mu X. ?X.X)^\perp = \mu X. !X.X = !(\mu X. !X.X). (\mu X. !X.X)$$

On the other hand, if we first unfold the recursion and then apply duality, we get:

$$(\mu X. ?X.X)^\perp = (?(\mu X. ?X.X).(\mu X. ?X.X))^\perp = !(\mu X. ?X.X).(\mu X. !X.X)$$

Notice that the resulting protocols do not agree on the type of the sent message. While solutions have been proposed to address this issue [4, 5], they do not generalize to dependent session types due to the presence of arbitrary type-level computation. In  $\text{TLL}_C$ , the separation of protocols and channels types allows us to sidestep the duality problem entirely. Suppose we define our previously problematic recursive protocol in  $\text{TLL}_C$  as follows:

$$T \triangleq \mu(X : \mathbf{proto}). ?(\_ : X).X = ?(\_ : \mu(X : \mathbf{proto}). ?(\_ : X).X). \mu(X : \mathbf{proto}). ?(\_ : X).X$$

When viewed through the lens of channel type constructors  $\mathbf{ch}\langle\cdot\rangle$  and  $\mathbf{hc}\langle\cdot\rangle$ , the actions specified by the unfolded protocol are correctly dual to each other. More specifically, a channel of type  $\mathbf{ch}\langle T \rangle$  receives a protocol of type  $T$  whereas a channel of type  $\mathbf{hc}\langle T \rangle$  sends a protocol of type  $T$ .

**Concurrency Monad.** Concurrency is integrated into the pure functional core of TLL through a concurrency monad  $C$ . The basic components of the monad are given in the following rules.

|  |   |  |
|--|---|--|
| $\begin{array}{c} \text{CTYPE} \\ \hline \Gamma \vdash A : s \\ \hline \Gamma \vdash C(A) : L \end{array}$ | $\begin{array}{c} \text{RETURN} \\ \hline \Theta; \Gamma; \Delta \vdash m : A \\ \hline \Theta; \Gamma; \Delta \vdash \mathbf{return} m : C(A) \end{array}$ | $\begin{array}{c} \text{BIND} \\ \hline \begin{array}{l} \Gamma \vdash B : s \quad \Theta_1; \Gamma; \Delta_1 \vdash m : C(A) \\ \Theta_2; \Gamma, x : A; \Delta_2 \vdash n : C(B) \end{array} \\ \hline \Theta_1 \cup \Theta_2; \Gamma; \Delta_1 \cup \Delta_2 \vdash \mathbf{let} x \leftarrow m \mathbf{in} n : C(B) \end{array}$ |
|--|---|--|

To reason about the communication channels that will appear at *runtime*, the program level typing judgment is extended to include a *channel context*  $\Theta$  which tracks the channels used by the program. It is crucial to understand that the channel context is largely a technical device for analyzing the type safety of  $\text{TLL}_C$ . Prior to runtime, the channel context is empty as no channels have been created. Programming is carried out using normal variables in  $\Delta$ . At runtime, channels will be created and substituted for appropriate variables in  $\Delta$ . It is these runtime channels that occupy the channel context  $\Theta$  and are typed as follows:

|   |   |
|---|---|
| $\begin{array}{c} \text{CHANNEL-CH} \\ \hline \Gamma; \Delta \vdash \epsilon \vdash A : \mathbf{proto} \quad \Delta \triangleright U \\ \hline c :_{\mathbf{L}} \mathbf{ch}\langle A \rangle; \Gamma; \Delta \vdash c : \mathbf{ch}\langle A \rangle \end{array}$ | $\begin{array}{c} \text{CHANNEL-HC} \\ \hline \Gamma; \Delta \vdash \epsilon \vdash A : \mathbf{proto} \quad \Delta \triangleright U \\ \hline c :_{\mathbf{L}} \mathbf{hc}\langle A \rangle; \Gamma; \Delta \vdash c : \mathbf{hc}\langle A \rangle \end{array}$ |
|---|---|

The protocol  $A$  used in the channels types here must be *closed*. This is because channels at runtime must follow fully concretized protocols. The  $\Gamma$  and  $\Delta$  contexts are allowed to be non-empty for the purely technical reason of facilitating proofs for renaming and substitution lemmas.

As explained in Section 2.1, the protocol actions  $!(x : A).B$  and  $?(x : A).B$  are abstract constructs that need to be interpreted through channel types. Since  $\mathbf{ch}\langle\cdot\rangle$  and  $\mathbf{hc}\langle\cdot\rangle$  interpret protocol actions in opposite ways, we only present the typing rules for  $\mathbf{ch}\langle\cdot\rangle$  below.

|   |  |
|---|--|
| $\begin{array}{c} \text{EXPLICIT-SEND-CH} \\ \hline \Theta; \Gamma; \Delta \vdash m : \mathbf{ch}\langle !(x : A).B \rangle \\ \hline \Theta; \Gamma; \Delta \vdash \mathbf{send} m : \Pi_L(x : A).C(\mathbf{ch}\langle B \rangle) \end{array}$     | $\begin{array}{c} \text{EXPLICIT-RECV-CH} \\ \hline \Theta; \Gamma; \Delta \vdash m : \mathbf{ch}\langle ?(x : A).B \rangle \\ \hline \Theta; \Gamma; \Delta \vdash \mathbf{recv} m : C(\Sigma_L(x : A).\mathbf{ch}\langle B \rangle) \end{array}$     |
| $\begin{array}{c} \text{IMPLICIT-SEND-CH} \\ \hline \Theta; \Gamma; \Delta \vdash m : \mathbf{ch}\langle !\{x : A\}.B \rangle \\ \hline \Theta; \Gamma; \Delta \vdash \mathbf{send} m : \Pi_L\{x : A\}.C(\mathbf{ch}\langle B \rangle) \end{array}$ | $\begin{array}{c} \text{IMPLICIT-RECV-CH} \\ \hline \Theta; \Gamma; \Delta \vdash m : \mathbf{ch}\langle ?\{x : A\}.B \rangle \\ \hline \Theta; \Gamma; \Delta \vdash \mathbf{recv} m : C(\Sigma_L\{x : A\}.\mathbf{ch}\langle B \rangle) \end{array}$ |

For the EXPLICIT-SEND-CH rule, a channel of type  $\mathbf{ch}\langle !(x : A).B \rangle$  is applied to the **send** operator. This produces a function which takes a real value  $v$  of type  $A$  and returns a concurrent computation of type  $C(\mathbf{ch}\langle B[v/x] \rangle)$  which represents the continuation of the protocol after sending a real value



of type  $A$ . When this monadic value is bound by rule **BIND** and executed at runtime, the value  $v$  will be sent on channel  $m$ . The dual **EXPLICIT-RCV-HC** rule, as shown here,

$$\frac{\text{EXPLICIT-RCV-HC} \quad \Theta; \Gamma; \Delta \vdash m : \mathbf{hc}\langle!(x : A). B\rangle}{\Theta; \Gamma; \Delta \vdash \mathbf{recv} \, m : C(\Sigma_L(x : A). \mathbf{hc}\langle B \rangle)}$$

receives on a channel of type  $\mathbf{hc}\langle!(x : A). B\rangle$  which produces a (monadic) dependent pair (similarly to **EXPLICIT-RCV-CH**). The first component of the pair is the value of type  $A$  that was received, and the second component is a channel of type  $\mathbf{hc}\langle B[v/x]\rangle$  representing the continuation of the protocol. Notice that, due to the linearity of the  $C$  monad, all of the intermediate monadic values are guaranteed to be bound by the **BIND** rule and executed.

The implicit send and receive rules are similar to their explicit counterparts, except that they send and receive ghost values instead of real values. This distinction manifests by having the **send** and **recv** operators produce implicit functions and implicit pairs respectively. When the implicit function of **IMPLICIT-SEND-CH** is applied to a ghost argument using **IMPLICIT-APP** (Section 4.1), the ghost argument will be erased prior to runtime. Similarly, the first component of the implicit pair produced by **IMPLICIT-RCV-CH** is also an erased ghost value. The underlying type system of TLL ensures that these ghost values will only be used logically, thus are safe to erase.

The last communication rules govern the creation and termination of channels:

$$\begin{array}{c} \text{FORK} \\ \frac{\Theta; \Gamma, x : \mathbf{ch}\langle A \rangle; \Delta, x :_L \mathbf{ch}\langle A \rangle \vdash m : C(\text{unit})}{\Theta; \Gamma; \Delta \vdash \mathbf{fork} \, (x : \mathbf{ch}\langle A \rangle) \mathbf{with} \, m : C(\mathbf{hc}\langle A \rangle)} \end{array} \quad \begin{array}{c} \text{CLOSE} \\ \frac{\Theta; \Gamma; \Delta \vdash c : \mathbf{ch}\langle 1 \rangle}{\Theta; \Gamma; \Delta \vdash \mathbf{close} \, c : C(\text{unit})} \end{array} \quad \begin{array}{c} \text{WAIT} \\ \frac{\Theta; \Gamma; \Delta \vdash c : \mathbf{hc}\langle 1 \rangle}{\Theta; \Gamma; \Delta \vdash \mathbf{wait} \, c : C(\text{unit})} \end{array}$$

**CLOSE** and **WAIT** are simple rules used to free channels whose protocols have terminated. The **FORK** rule is used for creating a child process which concurrently executes the monadic computation  $m$ . The child process is provided with a fresh channel of type  $\mathbf{ch}\langle A \rangle$  which is bound to the variable  $x$  in  $m$ . Dually, the parent process obtains the channel endpoint of type  $\mathbf{hc}\langle A \rangle$ , which can be used to communicate with the spawned process. Note that the newly spawned process  $m$  is allowed to capture pre-existing channels from  $\Theta$  and program variables from  $\Delta$ . Compared to intuitionistic session type systems based on the sequent calculus [6, 9, 24], the  $\mathbf{ch}\langle A \rangle$  channel handed to the child process behaves like the right-hand side of a sequent (i.e. the *provided* channel), while the  $\mathbf{hc}\langle A \rangle$  channel handed to the parent process behaves like the left-hand side of a sequent (i.e. the *consumed* channels). Essentially, we have embedded intuitionistic session types into a functional language without needing to reorganize the underlying type system into a sequent calculus formulation.

## 5 SEMANTICS AND META-THEORY

### 5.1 Process Configurations

In the previous section, we have presented the typing rules for  $\text{TLL}_C$  terms which form individual processes. To compose multiple processes together, we introduce the process level typing judgment  $\Theta \Vdash P$  below. This judgment formally states that a configuration of processes  $P$  is well-typed under the context  $\Theta$ , which tracks the channels used by the processes in  $P$  at runtime.

$$\begin{array}{c} \text{EXPR} \\ \frac{\Theta; \epsilon; \vdash m : C(\text{unit})}{\Theta \Vdash \langle m \rangle} \end{array} \quad \begin{array}{c} \text{PAR} \\ \frac{\Theta_1 \Vdash P_1 \quad \Theta_2 \Vdash P_2}{\Theta_1 \cup \Theta_2 \Vdash P_1 \mid P_2} \end{array} \quad \begin{array}{c} \text{SCOPE} \\ \frac{\Theta, c :_L \mathbf{ch}\langle A \rangle, d :_L \mathbf{hc}\langle A \rangle \Vdash P}{\Theta \Vdash \mathbf{vcd}.P} \end{array}$$

The process configuration rules are standard. The **EXPR** rule lifts well-typed closed terms of type  $C(\text{unit})$  to processes. It is important for the term  $m$  to be closed as processes in a configuration cannot rely on external substitutions to resolve free variables, they can only communicate through channels. In the **PAR** rule, well-typed configurations  $P$  and  $Q$  can be composed in parallel as long as

their contexts  $\Theta_1$  and  $\Theta_2$  can be combined. The SCOPE rule allows two dual channels to be connected together, allowing processes holding channels  $c$  and  $d$  to communicate.

The structural congruence of process configurations is defined as the least congruence relation generated by the following standard rules:

$$\begin{array}{lll} P \mid Q \equiv Q \mid P & O \mid (P \mid Q) \equiv (O \mid P) \mid Q & P \mid \langle \text{return } () \rangle \equiv P \\ vcd.P \mid Q \equiv vcd.(P \mid Q) & vcd.P \equiv vdc.P & vcd.vc'd'.P \equiv vc'd'.vcd.P \end{array}$$

Structural congruence states that parallel composition is commutative and associative and compatible with channel scoping. Processes which terminate with the unit value  $()$  can be removed from a configuration. Intuitively, two structurally congruent configurations should be considered equivalent regarding their communication behavior.

## 5.2 Semantics

**Term Reduction.** The operational semantics of  $\text{TLL}_C$  programs is mostly the same as that of call-by-value TLL [11]. The relation  $m \rightsquigarrow m'$  is used to denote a single step of *program* level reduction. Due to the monadic formulation of concurrency in  $\text{TLL}_C$ , the only additional (non-trivial) program reduction rule is the following BINDELIM rule which reduces a monadic **let**-expression when its bound term is a **return** expression:

$$(\text{BINDELIM}) \quad \text{let } x \leftarrow \text{return } v \text{ in } m \rightsquigarrow m[v/x] \quad (\text{where } v \text{ is a value})$$

Values now additionally include channels, partially applied communication operators and thunked monadic expressions. We will use the metavariable  $v$  to denote values for the rest of this paper. The full definition of values is presented in the appendix.

**Process Reduction.** The semantics of processes is defined through the relation  $P \Rightarrow Q$  which states that process configuration  $P$  reduces to process configuration  $Q$  in one step. The process reduction rules are presented below.

$$\begin{array}{ll} (\text{PROC-FORK}) & \langle \text{let } x \leftarrow \text{fork } (y : A) \text{ with } m \text{ in } n \rangle \Rightarrow vcd.(\langle n[c/x] \rangle \mid \langle m[d/y] \rangle) \\ (\text{PROC-END}) & vcd.(\langle \text{let } x \leftarrow \text{close } c \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{wait } d \text{ in } n \rangle) \\ & \Rightarrow \langle \text{let } x \leftarrow \text{return } () \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{return } () \text{ in } n \rangle \\ (\text{PROC-COM}) & vcd.(\langle \text{let } x \leftarrow \text{send } c \ v \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{recv } d \text{ in } n \rangle) \\ & \Rightarrow vcd.(\langle \text{let } x \leftarrow \text{return } c \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{return } \langle v, d \rangle_L \text{ in } n \rangle) \\ (\text{PROC-COM}) & vcd.(\langle \text{let } x \leftarrow \text{send } c \ \{o\} \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{recv } d \text{ in } n \rangle) \\ & \Rightarrow vcd.(\langle \text{let } x \leftarrow \text{return } c \text{ in } m \rangle \mid \langle \text{let } y \leftarrow \text{return } \langle \{o\}, d \rangle_L \text{ in } n \rangle) \\ \\ (\text{PROC-EXPR}) & \frac{m \rightsquigarrow m'}{\langle m \rangle \Rightarrow \langle m' \rangle} & (\text{PROC-PAR}) & \frac{P \Rightarrow Q}{O \mid P \Rightarrow O \mid Q} & (\text{PROC-SCOPE}) & \frac{P \Rightarrow Q}{vcd.P \Rightarrow vcd.Q} & (\text{PROC-CONGR}) & \frac{P \equiv P' \quad P' \Rightarrow Q' \quad Q' \equiv Q}{P \Rightarrow Q} \end{array}$$

The first four rules define the synchronous communication semantics of  $\text{TLL}_C$ . The PROC-FORK rule creates a pair of dual channels  $c$  and  $d$  to connect the continuation  $n$  of the parent process with the newly forked child process  $m$ . We can see here that the newly created channels  $c$  and  $d$  are substituted for the variables  $x$  and  $y$  in  $n$  and  $m$  respectively.

The PROC-END rule synchronizes the termination of communicating on dual channels  $c$  and  $d$ . The resulting process configuration contains two processes which are no longer connected by any channels. Additionally, the close and wait operations are replaced by unit return values once the termination is synchronized.

The PROC-COM rule governs the communication of a real message  $v$  from a sender to a receiver. The sending process continues as  $m$  with the channel  $c$  while the receiving process continues as  $n$  with the received message  $v$  and the channel  $d$  paired together as  $\langle v, d \rangle_L$ .

The PROC-COM rule is similar to PROC-COM except that it handles the communication of a ghost message  $o$ . While this rule seems to indicate that ghost messages are communicated at runtime, we will later show through the erasure safety theorem that ghost messages are always safe to be erased. The exchange of ghost messages here is only for the purpose of establishing a reference point for reasoning about the correctness of erasure safety.

The remaining four rules are standard. The PROC-EXPR rule allows a singleton process to reduce by reducing its underlying term. The PROC-PAR and PROC-SCOPE rules allow a process to reduce in parallel composition and under channel scope respectively. Finally, the PROC-CONGR rule allows processes to reduce up to structural congruence.

### 5.3 Meta-Theory

**Compatibility.** We first show that the concurrency extensions of  $TLL_C$  are compatible with the underlying TLL type system. To this end, we prove that  $TLL_C$  enjoys the same meta-theoretical properties as TLL. Due to the fact that these properties do not involve concurrency, their proofs indicate that  $TLL_C$  is sound as a term calculus. Here we present a few representative theorems. The full list of theorems and their proofs can be found in our Rocq formalization.

The first theorem we present is the validity theorem which states that well-typed terms have well-sorted types. This theorem is important as it ensures that the types appearing in typing judgments are indeed valid (i.e. they inhabit a sort).

**THEOREM 5.1 (VALIDITY).** *Given  $\Theta; \Gamma; \Delta \vdash m : A$ , there exists sort  $s$  such that  $\Gamma \vdash A : s$ .*

In TLL and  $TLL_C$ , the sort of a type determines whether the type is a unrestricted or linear. This means that it is crucial for a type to have a unique sort, otherwise the same type could be interpreted as both unrestricted and linear, leading to unsoundness. To address this concern, we prove the sort uniqueness theorem below which states that a type can have at most one sort. This ensures no ambiguity on whether a type is to be considered unrestricted or linear.

**THEOREM 5.2 (SORT UNIQUENESS).** *Given  $\Gamma \vdash A : s$  and  $\Gamma \vdash A : t$ , we have  $s = t$ .*

The next theorem we present is the standard subject reduction theorem which states that types are preserved under term reduction. This theorem is necessary for ensuring that session fidelity holds during process reduction as singleton processes reduce by reducing their underlying terms.

**THEOREM 5.3 (SUBJECT REDUCTION).** *Given  $\Theta; \epsilon; \epsilon \vdash m : A$  and  $m \rightsquigarrow m'$ , we have  $\Theta; \epsilon; \epsilon \vdash m' : A$ .*

**Session Fidelity.** The session fidelity theorem ensures that processes adhere to the communication protocols specified by their types. This property guarantees that well-typed processes will not encounter communication mismatches at runtime. Since we consider processes up to structural congruence, we must first show that configuration typing is preserved under structural congruence. This manifests as the following lemma.

**LEMMA 5.4 (CONGRUENCE).** *Given  $\Theta \Vdash P$  and  $P \equiv Q$ , we have  $\Theta \Vdash Q$ .*

The session fidelity theorem is then stated as follows.

**THEOREM 5.5 (SESSION FIDELITY).** *Given  $\Theta \Vdash P$  and  $P \Rightarrow Q$ , we have  $\Theta \Vdash Q$ .*

One of the primary challenges in proving session fidelity is to show that typing is preserved during communication steps, specifically the PROC-COM, and PROC-COM cases. In these cases, the

message being communicated is transported from the sender to the receiver without the use of a substitution. We need to show that the message, after communication, is consistently typed with regards to the receiver's context. Unlike simple type systems where one could simply place a value into any context so long as the value has the expected type, dependent type systems require more care. For instance, the evaluation context  $\langle [\cdot], \text{refl} \rangle : \Sigma(x : \text{nat}). (x = 1)$  is well-typed if and only if the hole is filled with 1. To address this challenge, we design the monadic BIND rule (Section 4.2) to disallow dependency on the bound value. More specifically, for **let**  $x \Leftarrow m$  **in**  $n$  expressions, the type of  $n$  *cannot* depend on  $x$ . This restriction means that  $m$  can be replaced by any other expression of the same type without affecting the type of  $n$ . Consider the Proc-Com step below:

$$\begin{aligned} & \text{vcd}.(\langle \text{let } x \Leftarrow \text{send } c \ v \text{ in } m \rangle \mid \langle \text{let } y \Leftarrow \text{recv } d \text{ in } n \rangle) \\ & \Rightarrow \text{vcd}.(\langle \text{let } x \Leftarrow \text{return } c \text{ in } m \rangle \mid \langle \text{let } y \Leftarrow \text{return } \langle v, d \rangle_L \text{ in } n \rangle) \end{aligned}$$

This operation is carried out between two singleton processes that are evaluating monadic **let**-expressions. Due to the dependency restriction of the BIND rule, we can replace **send**  $c \ v$  with **return**  $c$  and **recv**  $d$  with **return**  $\langle v, d \rangle_L$  without affecting the types of  $m$  and  $n$ . Due to the fact that all communication operations in  $\text{TLL}_C$  are carried out on **let**-expressions, the dependency restriction ensures that session fidelity holds during communication steps.

**Global Progress.** Global program, i.e. deadlock-freedom, is a desirable property for concurrent programs. Many session type systems [6, 9, 33] guarantee global progress by construction through a disciplined use of channel types. However, there are also session type systems [2, 15, 16, 28] that eschew global progress in favor of more expressive session types.  $\text{TLL}_C$  belongs to the latter category if we consider arbitrary well-typed process configurations. This is because the process type system of  $\text{TLL}_C$  does not prevent cyclic channel topologies which can lead to deadlocks. However, we can still prove a weaker form of global progress for  $\text{TLL}_C$  by considering only *reachable* process configurations. Intuitively, reachable configurations are those that can be constructed by **fork** operations starting from a single process. The global progress theorem is then stated as follows.

**THEOREM 5.6 (GLOBAL PROGRESS).** *Given  $\epsilon \Vdash P$  where  $P$  is reachable, either*

- $P \equiv \langle \text{return } () \rangle$ , or
- *there exists  $Q$  such that  $P \Rightarrow Q$ .*

**Erasure Safety.** To show that ghost messages are safe to erase, we define an erasure relation  $\Theta; \Gamma; \Delta \vdash m \sim m' : A$ . This relation states that all ghost arguments and type annotations in  $m$  are replaced by a special opaque value  $\square$  in  $m'$ . This relation is similar to the one defined for the erasure of *propositions* in standard dependent type theories [3, 17, 25]. The most important erasure rule is shown below. The full set of erasure rules can be found in the appendix.

$$\frac{\text{ERASE-IMPLICIT-APP} \quad \Theta; \Gamma; \Delta \vdash m \sim m' : \Pi_t \{x : A\}. B \quad \Gamma \vdash n : A}{\Theta; \Gamma; \Delta \vdash m \{n\} \sim m' \{\square\} : B[n/x]}$$

The ERASE-IMPLICIT-APP rule states that when erasing an implicit application  $m \{n\}$ , the ghost argument  $n$  is replaced by  $\square$  in the erased term. Consider the **send**  $c$  operator for sending ghost messages on channel  $c$ . As defined in Section 4.2, this partially applied operator has a type of the form  $\Pi_L \{x : A\}. C(B)$ . When fully applied as **send**  $c \{n\}$ , the ghost argument  $n$  is erased to  $\square$  by ERASE-IMPLICIT-APP. Since  $\square$  is an opaque value, it cannot be inspected or pattern matched on. Thus, if programs can be evaluated soundly after erasing all ghost arguments and type annotations, we can conclude that ghost messages are safe to erase.

The erasure relation is then naturally lifted to the process level as  $\Theta \Vdash P \sim P'$  where  $P'$  is the erased version of  $P$ . The rules for this relation are as follows:

|   |  |  |
|---|--|--|
| ERASE-EXPR<br>$\Theta; \epsilon; \vdash m \sim m' : C(\text{unit})$ | ERASE-PAR<br>$\Theta_1 \Vdash P \sim P' \quad \Theta_2 \Vdash Q \sim Q'$ | ERASE-SCOPE<br>$\Theta, c : \perp \mathbf{ch}\langle A \rangle, d : \perp \mathbf{hc}\langle A \rangle \Vdash P \sim P'$ |
| $\Theta \Vdash \langle m \rangle \sim \langle m' \rangle$           | $\Theta_1 \cup \Theta_2 \Vdash (P \mid Q) \sim (P' \mid Q')$             | $\Theta \Vdash \text{vcd}.P \sim \text{vcd}.P'$  |

We show that erasure is safe through the following two theorems. These theorems tell us that any possible reduction on an original object (either a term or process) can be simulated on its erased counterpart. Moreover, the erased object obtained after reduction also satisfies the erasure relation with respect to the reduced original object. Basically, these theorems state that any possible evaluation path of the original object remains valid after erasure.

**THEOREM 5.7 (TERM SIMULATION).** *Given  $\Theta; \epsilon; \vdash m \sim m' : A$  and  $m \rightsquigarrow n$ , there exists  $n'$  such that  $m' \rightsquigarrow^* n'$  and  $\Theta; \epsilon; \vdash n \sim n' : A$ .*

**THEOREM 5.8 (PROCESS SIMULATION).** *Given  $\Theta \Vdash P \sim P'$  and reduction  $P \Rightarrow Q$ , there exists  $Q'$  such that  $P' \Rightarrow Q'$  and  $\Theta \Vdash Q \sim Q'$ .*

## 6 IMPLEMENTATION

## 7 RELATED WORK

## 8 CONCLUSION

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