

Skein Theory for Affine ADE Subfactor Planar Algebras

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Motivation: Galois Theory

$F \subset K$, fields	subfactor: an inclusion $N \subset M$ of von Neumann algebras with trivial centers
degree of the field extension $[K : F]$	index of the subfactor $N \subset M$ $[M : N]$
the automorphism group $\text{Gal}(K/F)$	the standard invariant , a tensor category
	the principal graph describes some data of the standard invariant

The index, standard invariant, and principal graph are all invariants of the subfactor!

Example: How does the principal graph encode data?

Tensor category: Category of finite-dimensional representations of S_3

Finite-dimensional irreducible representations of S_3 :

name	denoted by	dimension
trivial	V_1	1
sign	V_{-1}	1
standard	V_2	2

Self-dual object: V_2 , i.e., $\overline{V_2} = V_2$

Tensor decompositions:

$$V_1 \otimes V_2 \cong V_2$$

$$V_{-1} \otimes V_2 \cong V_2$$

$$V_2 \otimes V_2 \cong V_1 \oplus V_{-1} \oplus V_2$$

Principal Graph:



Index: $\|A\|^2$ of $A = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$

Eigenvalues of A^*A : 0, 1, 4

$$\Rightarrow \|A\| = 2 \Rightarrow \text{index is 4}$$

Index

Theorem (Jones 1983)

Let $N \subset M$ be a subfactor. Then the index $[M : N]$ must lie in the set

$$\left\{ 4 \cos^2 \left(\frac{\pi}{n} \right) \mid n \geq 3 \right\} \cup [4, \infty]$$

and moreover, all the numbers in this set can be realized as the index of a subfactor.

Subfactors are classified up to index $5\frac{1}{4}$.

Principal Graphs: A finer invariant of subfactors

Theorem (Popa 1994)

Principal graphs of index 4 subfactors are exactly the simply-laced affine Dynkin diagrams.

- A_∞
- \tilde{A}_{2n-1}
- \tilde{D}_n
- \tilde{E}_7
- \tilde{A}_∞
- \tilde{D}_∞
- \tilde{E}_6
- \tilde{E}_8

The Standard Invariant

Theorem (Jones 1999)

Given a finite index subfactor, its standard invariant forms a (shaded) subfactor planar algebra.

Theorem (Popa 1995)

Given a (shaded) subfactor planar algebra \mathcal{P} , there is a subfactor whose standard invariant is \mathcal{P} .

Goal

The Kuperberg Program

Give a diagrammatic presentation by generators and relations for every subfactor planar algebra.

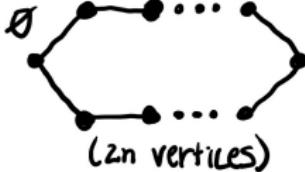
History

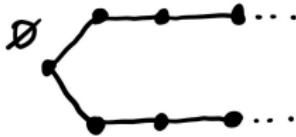
- Index < 4:
 - A_n : (Temperley-Lieb)
 - D_{2n} : Morrison, Peters, Snyder (2008)
 - E_6, E_8 : Bigelow (2009)
- Index > 4:
 - A_∞ : (Temperley-Lieb)
 - Haagerup & its dual: Peters (2009)
 - Extended Haagerup & its dual: Bigelow, Morrison, Peters, Snyder (2009)
 - 2221 & its complex conjugate: Han (2010)
 - 3311 & its dual: Morrison and Penneys (2013)
 - ...ongoing research...

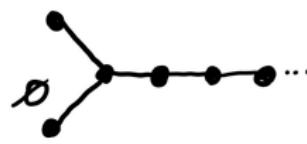
Goal for Today's Talk:

Find presentations for the subfactor planar algebras of index 4 for the:

- ① A_∞ Dynkin diagram: 

- ② \tilde{A}_{2n-1} Dynkin diagram: 

- ③ \tilde{A}_∞ Dynkin diagram: 

- ④ \tilde{D}_∞ Dynkin diagram: 

- ⑤ \tilde{E}_7 Dynkin diagram: 

But first... What is a planar algebra?

Goal 1: The Temperley-Lieb planar algebra \mathcal{TL}

The planar algebra \mathcal{TL} contains the algebras \mathcal{TL}_k , $k \geq 0$, over \mathbb{C} .

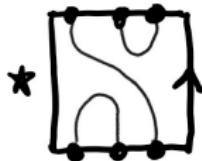
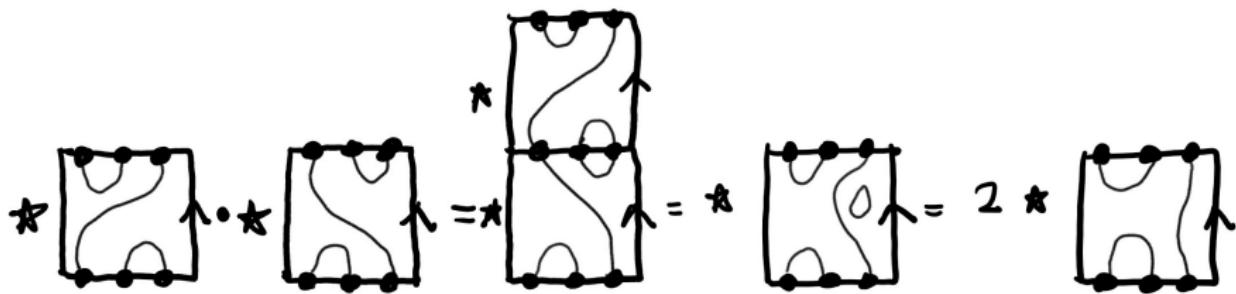


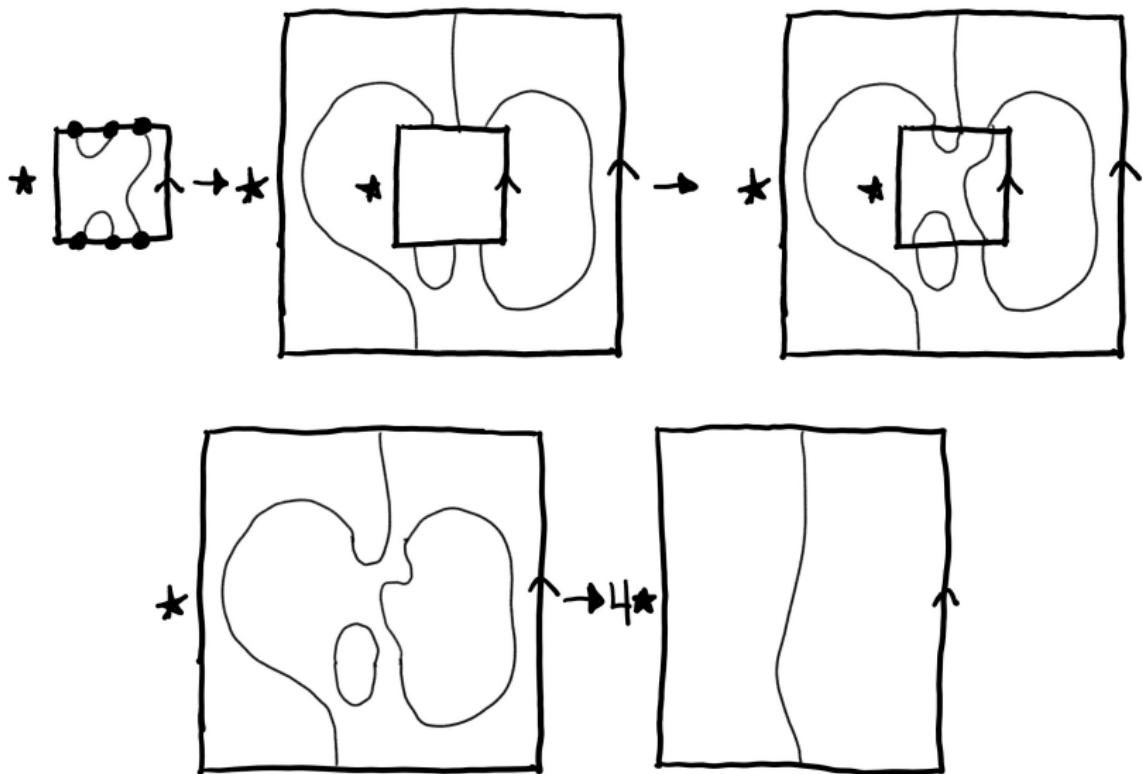
Figure: An element of \mathcal{TL}_3

index is 4

$$D \sqcup \textcircled{O} = 2 D$$



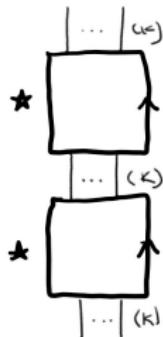
Planar Tangles



Common Planar Tangles

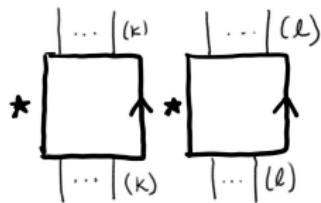
- Multiplication

$$\cdot_k : \mathcal{TL}_k \otimes \mathcal{TL}_k \rightarrow \mathcal{TL}_k$$



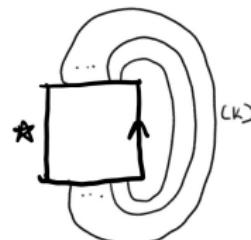
- Tensor

$$\otimes_{k,\ell} : \mathcal{TL}_k \otimes \mathcal{TL}_\ell \rightarrow \mathcal{TL}_{k+\ell}$$

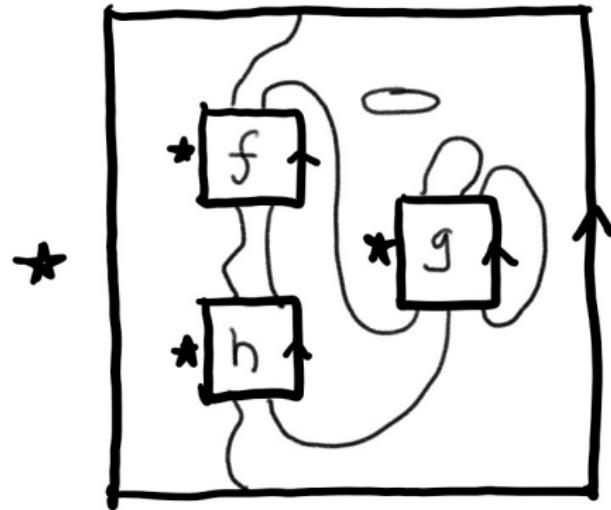


- Trace

$$\text{tr}_k : \mathcal{TL}_k \rightarrow \mathcal{TL}_0$$



General Planar Tangle



Associated linear map: $Z_T : \mathcal{TL}_2 \otimes \mathcal{TL}_3 \otimes \mathcal{TL}_2 \rightarrow \mathcal{TL}_1$

Generalizing \mathcal{TL}

Theorem (Jones)

Any subfactor planar algebra of index $n \geq 4$ contains a copy of \mathcal{TL} .

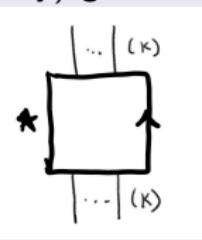
The Formal Definition of a Planar Algebra

Definition

A **planar algebra** is:

- a collection of vector spaces: \mathcal{P}_k , $k \geq 0$
- a rule that assigns to each planar tangle T , a linear map Z_T from the vector spaces associated to the inner squares to the vector space associated to the outer square such that:
 - linear maps compose in the same way planar tangles do
 - isotopy (rel the boundary) give the same maps

- the identity on \mathcal{P}_k is



Subfactor Planar Algebra

\mathcal{TL} is a special type of planar algebra called a **subfactor planar algebra**, one of the most important properties being:

- \mathcal{TL}_0 must be one-dimensional

Why is this a great property?

Any closed diagram evaluates:

$$\star \begin{array}{c} \text{Diagram with two holes} \\ \text{evaluates to } 2 \end{array} = 2 \quad \star \begin{array}{c} \text{Diagram with two holes} \\ \text{evaluates to } 8 \end{array} = 8 \quad \star \begin{array}{c} \text{Empty square} \\ \text{evaluates to } \approx 8 \end{array} = \approx 8$$

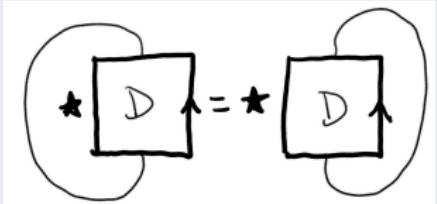
and also this gives a that trace will be a sesquilinear form on \mathcal{P}_k :

$$\left\langle i\star \begin{array}{c} \text{Diagram with two holes} \end{array}, j\star \begin{array}{c} \text{Diagram with two holes} \end{array} \right\rangle = \text{tr} \left(\begin{array}{c} \text{Diagram with two holes} \\ -i \\ +j \end{array} \right) = -i\star \begin{array}{c} \text{Diagram with two holes} \end{array} = -i\star \begin{array}{c} \text{Diagram with two holes} \end{array} = -4i$$

The Formal Definition of a Subfactor Planar Algebras

Definition

A **subfactor planar algebra** is a planar algebra with

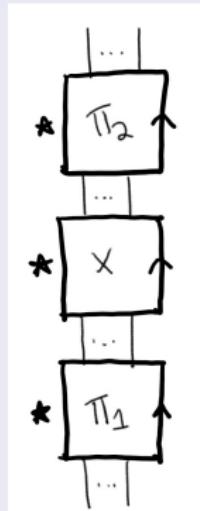
- \mathcal{P}_0 being one-dimensional
- only spaces for discs with an even number of boundary points are nonzero
- the spherical property, i.e., for each $D \in \mathcal{P}_1$,

- an antilinear adjoint operation $* : \mathcal{P}_k \rightarrow \mathcal{P}_k$ such that the sesquilinear form given by $\langle x, y \rangle = \text{tr}(x^*y)$ is positive definite. Further $*$ should be compatible with the horizontal reflection operation $*$ on planar tangles.

Create a Tensor Category

$\mathcal{C}_{\mathcal{P}}$

Given a planar algebra \mathcal{P} we can construct a tensor category $\mathcal{C}_{\mathcal{P}}$ as follows:

- An object is a projection in one of the n -box spaces \mathcal{P}_n (i.e. $\pi \in \mathcal{P}_n$ such that $\pi^2 = \pi$ and $\pi^* = \pi$)
- Given two projections $\pi_1 \in \mathcal{P}_n$ and $\pi_2 \in \mathcal{P}_m$, define $\text{Hom}(\pi_1, \pi_2)$ to be the space $\pi_2 \mathcal{P}_{n \rightarrow m} \pi_1$, i.e., diagrams like:
- The tensor product $\pi_1 \otimes \pi_2$ agrees with \otimes in the planar algebra (placing diagrams side-by-side)
- The dual $\bar{\pi}$ of a projection is rotating it 180 degrees
- The trivial object \emptyset is the empty picture (which is a projection in \mathcal{P}_0)



There is a special self-dual object $|$, a single strand.

Create a Matrix Category

$\text{Mat}(\mathcal{C}_P)$

Given the category \mathcal{C}_P we can define its matrix category:

- The objects of $\text{Mat}(\mathcal{C}_P)$ are formal direct sums of objects of \mathcal{C}_P (which were the projections from all the box spaces)
- A morphism of $\text{Mat}(\mathcal{C}_P)$ from $A_1 \oplus \dots \oplus A_n \rightarrow B_1 \oplus \dots \oplus B_m$ is an m -by- n matrix whose (i, j) th entry is in $\text{Hom}_{\mathcal{C}_P}(A_j, B_i)$.
- An induced tensor product from \mathcal{C}_P :
 - Tensoring Objects: formally distribute, i.e.,
 $(\pi_1 \oplus \pi_2) \otimes \pi_3 = (\pi_1 \otimes \pi_3) \oplus (\pi_2 \otimes \pi_3)$
 - Tensoring Morphisms: use usual tensor product of matrices and the tensor product for \mathcal{C}_P on matrix entries

Projections of the Temperley-Lieb Planar Algebra

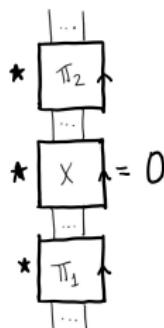
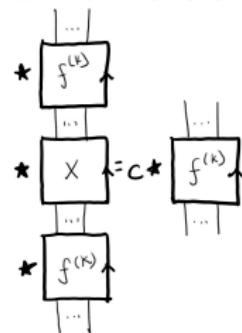
- The **Jones-Wenzl projection**

$f^{(k)} \in \mathcal{TL}_k$ is the unique projection in \mathcal{TL}_k with the property that $f^{(k)}e_i = e_i f^{(k)} = 0, \forall i$.
 \mathcal{TL}_k generators:

$$1 = \star \begin{array}{|c|c|c|c|c|} \hline & & & & \\ \hline & | & | & | & | \\ \hline & \dots & & & \\ \hline \end{array} \quad \& \quad e_i = \star \begin{array}{|c|c|c|c|c|} \hline & & & & \\ \hline & | & | & | & | \\ \hline & \dots & & & \\ \hline & & \text{i} & \text{i+1} & \\ \hline \end{array}$$

- \mathcal{TL} is *semisimple*: every projection is a direct sum of minimal projections and for any pair of non-isomorphic minimal projections π_1 and π_2 we have that $\text{Hom}(\pi_1, \pi_2) = 0$

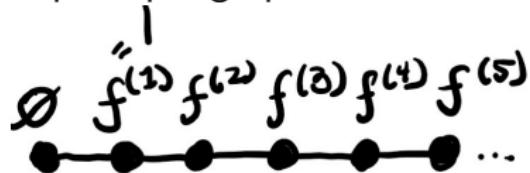
- $f^{(k)}$ are actually *minimal* projections: $\text{Hom}(f^{(k)}, f^{(k)})$ is 1-dimensional:



- Two projections, π_1, π_2 , are *isomorphic* if there exists $g \in \text{Hom}(\pi_1, \pi_2)$, and $g^* \in \text{Hom}(\pi_2, \pi_1)$ such that $gg^* = \pi_2$ and $g^*g = \pi_1$.

Principal Graphs

- Principal graphs encode data of $\text{Mat}(\mathcal{C}_P)$
- For \mathcal{TL} , **Wenzl's relation**: $f^{(k)} \otimes | \cong f^{(k+1)} \oplus f^{(k-1)}$,
 $f^{(j)} \in \mathcal{TL}_j$ are the Jones-Wenzl projections
- A principal graph encodes this relationship of the minimal projections:



which is A_∞ !

- The **principal graph** of a semisimple planar algebra has vertices the isomorphism classes of minimal projections, and there are

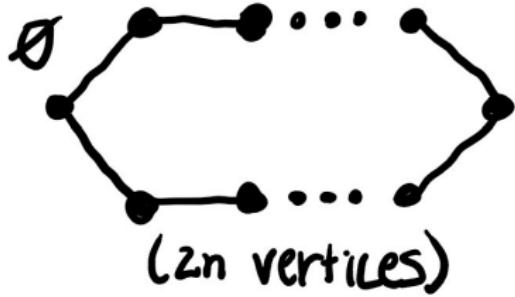
$$\dim \text{Hom}(\pi_1 \otimes |, \pi_2) (= \dim \text{Hom}(\pi_1, \pi_2 \otimes |))$$

edges between the vertices $\pi_1 \in \mathcal{P}_n$ and $\pi_2 \in \mathcal{P}_m$.

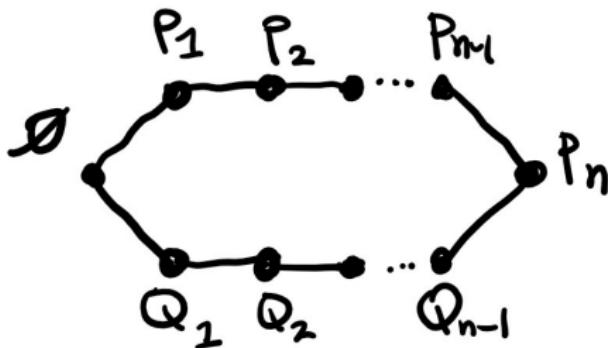
- i.e., Let π be a minimal projection. Then
 $\pi \otimes | \cong \bigoplus (\text{neighbors of } \pi \text{ in the principal graph})$

Goal 2

Find the subfactor planar algebras of index 4 associated with the \tilde{A}_{2n-1} Dynkin diagram:



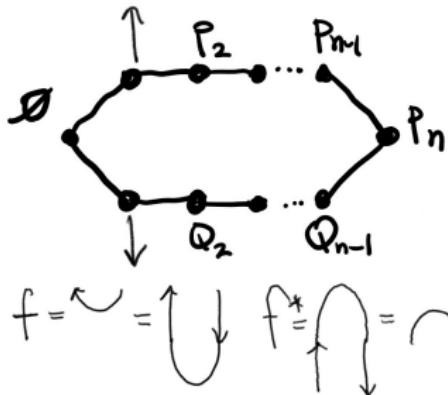
What must happen?



$$| \cong \emptyset \otimes | \cong P_1 \oplus Q_1$$

$$| = \uparrow \oplus \downarrow \text{ or } | = |\oplus|$$

Arrow Case: P_2 and Q_2



$$\begin{aligned}\uparrow^* &= \uparrow \quad \downarrow^* = \downarrow \quad \uparrow \downarrow = \downarrow \uparrow = 0 \\ \bigcirc &= 2 \Rightarrow \bigcirc \& \bigcirc = 1\end{aligned}$$

$$\uparrow \otimes \downarrow \cong \emptyset \oplus \mathbb{Z} \cong \uparrow (\uparrow \oplus \downarrow) \cong \uparrow \uparrow \oplus \uparrow \downarrow$$

$$ff^* = \text{loop} \& f^*f = \bigcirc = 1 \cdot \emptyset \Rightarrow \text{loop} \cong \emptyset$$

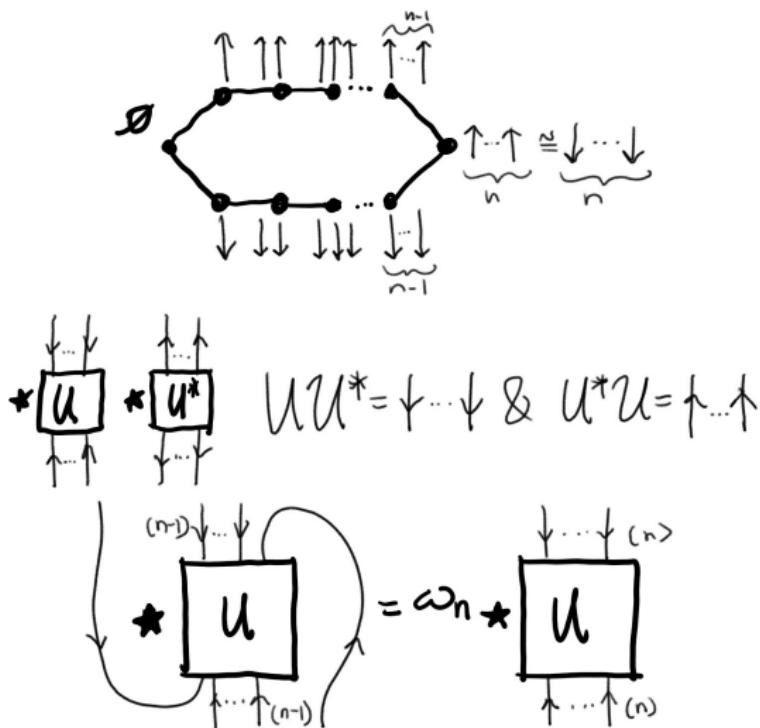
$$\langle \uparrow \downarrow - \text{loop}, \uparrow \downarrow - \text{loop} \rangle = \text{tr}((\uparrow \downarrow - \text{loop})^*(\uparrow \downarrow - \text{loop}))$$

$$\text{tr}(\uparrow \downarrow - \text{loop} + \text{loop} - \text{loop}) = \text{tr}(\uparrow \downarrow - \text{loop} + \text{loop} - \text{loop})$$

$$= \text{tr}(\uparrow \downarrow - \text{loop}) = \bigcirc - \text{loop} = 1 - 1 = 0$$

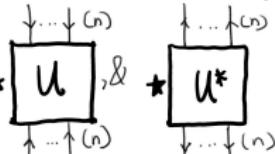
$$\Rightarrow \uparrow \downarrow - \text{loop} = 0 \Rightarrow \uparrow \downarrow = \text{loop} \cong \emptyset$$

Arrow Case: The rest of the vertices



Theorem 1 (M.)

Fix n . Let ω_n be a $2n$ th root of unity. Let $\mathcal{P}(U)$ be the planar algebra with generators:  and relations:



$$1. \quad \text{shape} = 2$$

$$2. \quad | = \uparrow + \downarrow$$

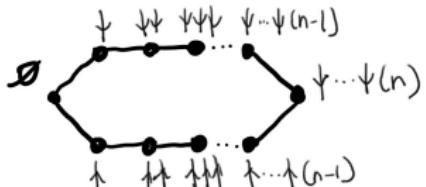
$$3. \quad \downarrow \quad \psi =$$

$$4. \quad \downarrow \& \uparrow = 0$$

$$5. \quad \star \boxed{u} = \omega_n \star \boxed{u}$$

$$6. \quad U^*U = \begin{array}{c} * \\ \boxed{U^*} \\ * \end{array} \quad = \quad \begin{array}{c} \uparrow \dots \downarrow (n) \\ | \\ \boxed{U} \\ | \\ \uparrow \dots \downarrow (n) \end{array} \quad \& \quad UU^* = \begin{array}{c} * \\ \boxed{U} \\ * \end{array} \quad = \quad \begin{array}{c} \downarrow \dots \downarrow (n) \\ | \\ \boxed{U^*} \\ | \\ \downarrow \dots \downarrow (n) \end{array}$$

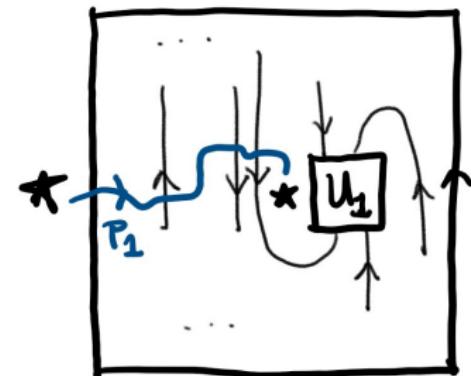
Then this is an \tilde{A}_{2n-1} subfactor planar algebra of index 4 with principal graph:



$\mathcal{P}_0(U)$ is at least one-dimensional

Define $f : \mathcal{P}_0(U) \rightarrow \mathbb{C}$ by the following algorithm.

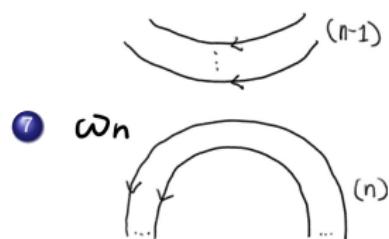
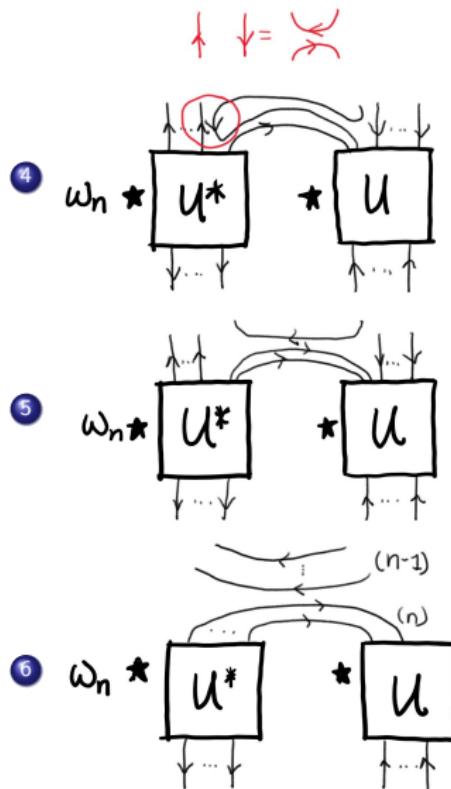
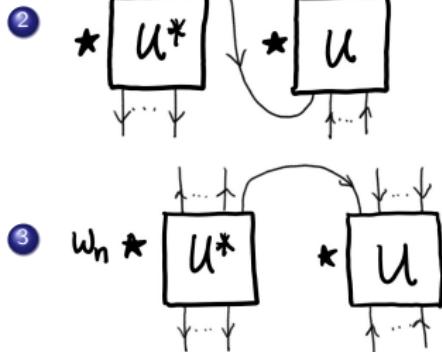
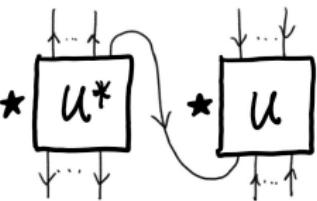
- ① Define $f(\emptyset) = 1$. Let $D \in \mathcal{P}_0(U)$ be non-Temperley-Lieb. Use the relation (2) to ensure every strand is oriented.
- ② Enumerate all the U and U^* . Say $\{U_1, \dots, U_\ell\}$ and $\{U_1^*, \dots, U_m^*\}$
- ③ For each U_i in $\mathcal{P}_0(U)$ make a path, p_i from the star on the outside of the diagram to the star for the U_i . Do similarly for U_i^* .
- ④ Define the star path length,
 $k_i, k_i^* = (\# \leftarrow) - (\# \rightarrow) \text{ crossed}$
for each U_i or U_i^* , respectively
- ⑤ Calculate $k = \sum_{i,j} (k_i - k_j^*) \bmod(2n)$ Then define $f(D) = \omega_n^k$.



$\mathcal{P}_0(U)$ is at most one-dimensional

Evaluation Algorithm:

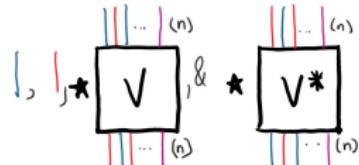
- 1 Consider a connected component of your diagram. Assume you have no closed loops.



Theorem 2 (M.)

Fix n . Let τ_n be an n th root of unity. Let $\mathcal{P}(V)$ be the planar algebra with

generators:



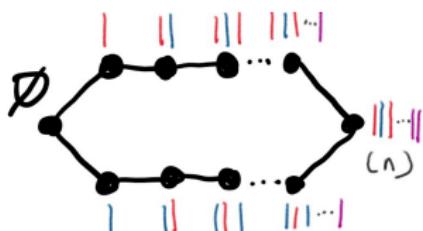
and relations:

1. = 2
2. = +
3. = & =
4. = 0

$$5. \quad \begin{array}{c} * \\ \diagup \quad \diagdown \end{array} \star \boxed{V} = \tau_n \star \boxed{V^*} = \tau_n \star \begin{array}{c} * \\ \diagup \quad \diagdown \end{array} \boxed{V}$$

$$6. \quad \boxed{V} \boxed{V^*} = \boxed{V^*} \boxed{V} = 0$$

Then this is an \tilde{A}_{2n-1} subfactor planar algebra of index 4 with principal graph:



Goal 3

Proposition 1

There are at exactly $3n$ distinct subfactor planar algebras with principal graphs \tilde{A}_{2n-1} .

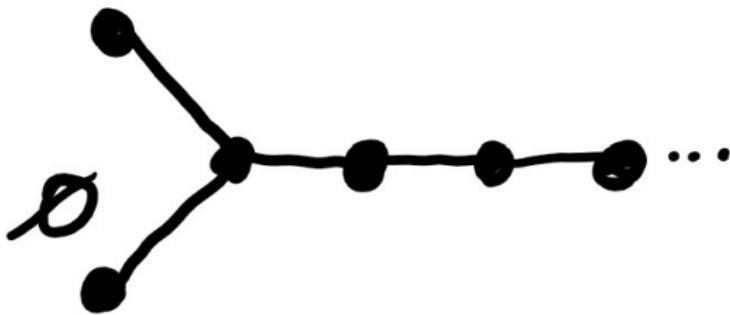
Proposition 2

There are exactly two distinct subfactor planar algebras with principal graphs \tilde{A}_∞ , i.e., the principal graphs are:



Goal 4

Find the subfactor planar algebra(s) of index 4 associated with the \tilde{D}_∞ Dynkin diagram:



Theorem 3 (M.)

Let $\mathcal{P}(S_2)$ be the planar algebra with generator: $\star \boxed{S}$ and relations:

$$1. \quad \text{blob} = 2$$

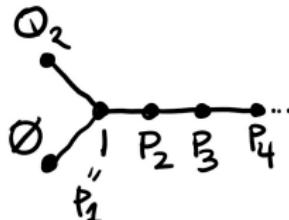
$$2. \quad \star \boxed{S} = \star \boxed{S}$$

$$3. \quad \star \boxed{S} = \star \boxed{S} = \star \boxed{S} = \star \boxed{S} = 0$$

$$\star \boxed{S} \star f^{(2)} = 0$$

$$4. \quad S^2 = S + 2f^{(2)}$$

Then $\mathcal{P}(S_2)$ is a \tilde{D}_∞ subfactor planar algebra of index 4 with principal graph:



Further, any \tilde{D}_∞ subfactor planar algebra has this presentation.

$$6. \quad \begin{array}{ccccccc} \frac{1}{3}\star S & + \frac{1}{3}\star f^{(2)} & - \frac{2}{9} & - \frac{2}{9} & - \frac{2}{9} & - \frac{2}{9} \\ \star S & \star f^{(2)} & \star S & \star f^{(2)} & \star S & \star f^{(2)} \end{array} = 0$$

where $Q_2 = \frac{1}{3}S + \frac{1}{3}f^{(2)}$,
 $P_2 = -\frac{1}{3}S + \frac{2}{3}f^{(2)}$, and

$$\star \boxed{P_n} = \star \boxed{P_{n-1}} - \star \boxed{P_{n-1}}$$

$\mathcal{P}(S_2)_0$ is at least one-dimensional

Proposition 3

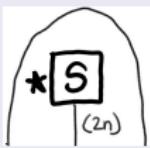
The \tilde{D}_∞ subfactor planar algebra is a subplanar algebra of the arrow case of the \tilde{A}_∞ planar algebra.



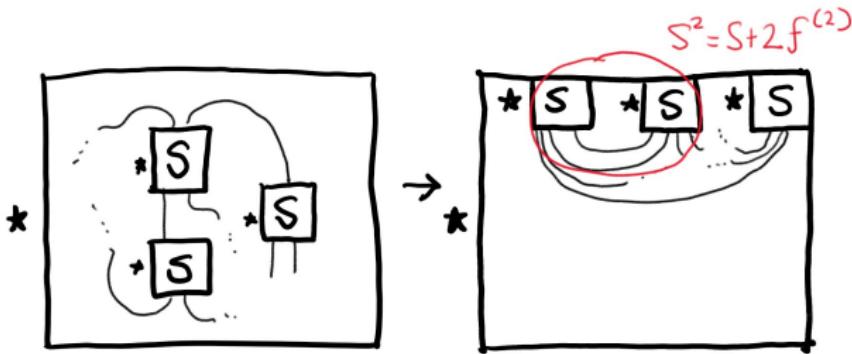
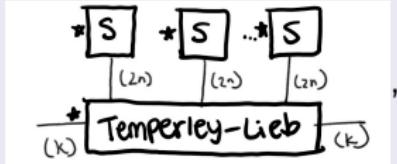
Evaluation Algorithm

Theorem (Bigelow and Penneys 2012)

If a planar algebra generated by $S \in \mathcal{P}_n$ satisfies that:

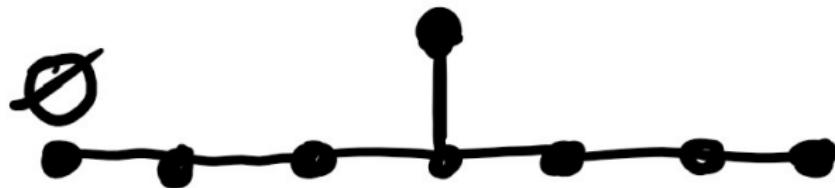
-  is a linear combination of trains, i.e.,
- and S^2 is a linear combination of S and $f^{(n)}$

then any closed diagram can be evaluated using the **jellyfish algorithm**.



Goal 5

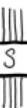
Find the subfactor planar algebra(s) of index 4 associated with the \tilde{E}_7 Dynkin diagram:



Theorem 4 (M.)

Let \mathcal{P} be a subfactor planar algebra with principal graph \tilde{E}_7 :

then \mathcal{P} is generated by $*_{\overline{S}}$ with relations:



A diagram of a binary search tree node labeled Q_4 . It has a vertical line extending upwards from its center. At the top of this line is a small black dot representing a child node. Below the line, at its intersection with the horizontal axis, is a small black dot representing the parent node Q_4 . Along the horizontal axis, there are five other small black dots representing sibling nodes: P_1 , P_2 , P_3 , P_4 , P_5 , and P_6 . The nodes are arranged from left to right in increasing order of their values.

$$f'(0) = 0$$

6. For $Q = \frac{2}{5}f^{(4)} + \frac{1}{5}S$,  7. For $P = \frac{3}{5}f^{(4)} - \frac{1}{5}S$,

$$Q = 2 \star Q$$

7. For $P = \frac{3}{5}f^{(4)} - \frac{1}{5}S$,

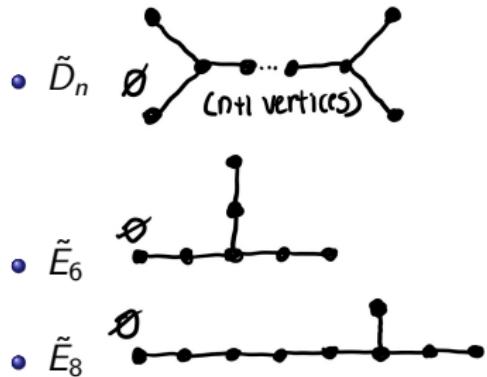
$$P := \star \boxed{P} \quad \Big| \quad \frac{4}{3} * \boxed{P} \quad & \quad * \boxed{P}$$

8. Defining $X := i)(- i X$,
satisfies Reidemeister 2 & 3, &

$$P'' := \star P \quad \left| \begin{array}{c} \text{---} \\ -\frac{3}{2} \end{array} \right. \star P' \quad \text{then} \quad \star P'' = 2 \star P$$

Future Work

- ① Prove the other direction of \tilde{E}_7 .
- ② Find similar results for the other affine Dynkin diagrams:



References

Thank you!

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