## Optimistic Concurrency Control in a Distributed NameNode Architecture for Hadoop Distributed File System

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#### Motivation

#### Industrial Standard in Big Data Era

Apache Hadoop Ecosystem

#### Limits to growth in HDFS

| Number of Files | Memory Requirement | Physical Storage |  |
|-----------------|--------------------|------------------|--|
| 1 million       | 0.6 GB             | 0.6 PB           |  |
| 100 million     | 60 GB              | 60 PB            |  |
| 1 billion       | 600 GB             | 600 PB           |  |

#### Hops-HDFS and Its Limitation

Distributed NameNode Architecture Maintain HDFS Strong Consistency Semantics Concurrency Restricted

#### Problem Statement

#### **HDFS**

System-level Lock: Single Writer

#### MySQL Cluster

Read Committed Isolation Level: Anomalies

#### Hops-HDFS v1

System-level Lock: Single Writer + Network Latency

#### Hops-HDFS v2 (Pessimistic Concurrency Control - PCC)

Row-level Lock: Implicit Locking -> Single Writer + Network Latency

#### Contribution

#### Architecture and Namespace Concurrency Control Accessment

GFS, HDFS, Hops-HDFS

#### Performance Accessment and Limitation Analysis

HDFS v.s. Hops-HDFS v2 (PCC version)

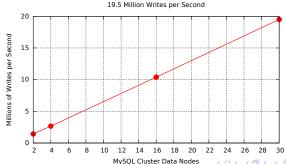
#### Solution for Hops-HDFS

- Optimistic Concurrency Control with Snapshot Isolation on Semantic Related Group
- Performance Increase Up to 70 %
- Correctness ensured by Passing 300+ Apache HDFS Unit Tests: maintain HDFS semantics

## MySQL Cluster

#### Distributed, In-memory, Replicated Database

- Scalable
- Fault-tolerance
- High throughput
- BUT: Supports only Read Committed Isolation Level





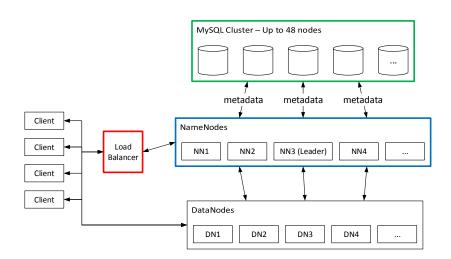
## Hops <sup>1</sup>-HDFS

#### Overcome Limitations in HDFS NameNode

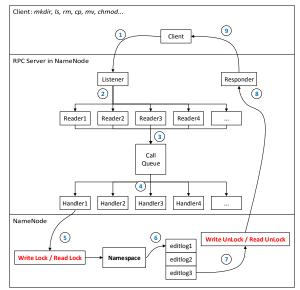
- Scalability of the Namespace
- Throughput Problem
- Failure Recovery



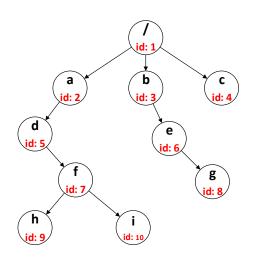
## Hops-HDFS Architecture



## HDFS Namespace Concurrency Control Assessment



### Hops-HDFS Namespace Structure



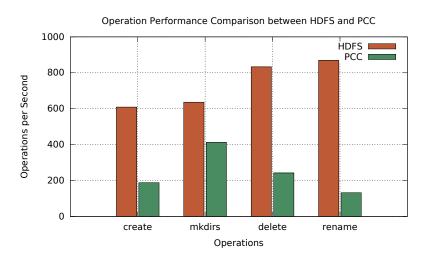
| id | parent_id | name |
|----|-----------|------|
| 1  | 0         | /    |
| 2  | 1         | а    |
| 3  | 1         | b    |
| 4  | 1         | С    |
| 5  | 2         | d    |
| 6  | 3         | е    |
| 7  | 5         | f    |
| 8  | 6         | g    |
| 9  | 7         | h    |
| 10 | 7         | i    |

# Limitations in Hops-HDFS Namespace Concurrency Control (PCC)

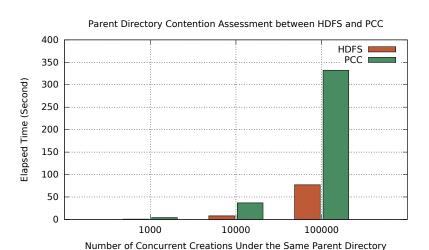
- Duplicated Round Trips
- Implicit Parent Locks:

| id | parent_id | name    | Locks by Tx1 | Locks by Tx2         |
|----|-----------|---------|--------------|----------------------|
| 1  | 0         | /       | R            | R                    |
| 2  | 1         | а       | R            | R                    |
| 3  | 1         | b       |              |                      |
| 4  | 1         | С       |              |                      |
| 5  | 2         | d       | R            | R                    |
| 6  | 3         | е       |              |                      |
| 7  | 5         | f       | W            | W (Block)            |
| 8  | 6         | g       |              |                      |
| 9  | 7         | h (Tx1) | W (Implicit) | W (Implicit) (Block) |
| 10 | 7         | i (Tx2) | W (Implicit) | W (Implicit) (Block) |

## NameNode Throughput Benchmark - HDFS v.s. PCC



### Parent Directory Contention Assessment - HDFS v.s. PCC



## Resolving the Semantic Related Group

Path: /a/d/f/h

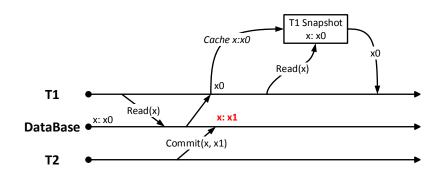
h: 
$$\{/->a->d->f\}$$

|            | id | parent_id | name | other parameters |
|------------|----|-----------|------|------------------|
| Related *  | 1  | 0         | /    |                  |
| Related *  | 2  | 1         | а    |                  |
|            | 3  | 1         | b    |                  |
|            | 4  | 1         | С    |                  |
| Related *  | 5  | 2         | d    |                  |
|            | 6  | 3         | е    |                  |
| Related *  | 7  | 5         | f    |                  |
|            | 8  | 6         | g    |                  |
| Selected ✓ | 9  | 7         | h    |                  |
|            | 10 | 7         | i    |                  |

## Per-Transaction Snapshot Isolation

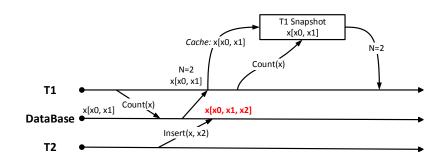
- Snapshot the whole Semantic Related Group
- Transaction performs on its own snapshot
- Preclude: Fuzzy Read & Phantom Read

## Snapshot Isolation Precludes Fuzzy Read <sup>2</sup>



<sup>&</sup>lt;sup>2</sup>Fuzzy Read: A transaction rereads data it has previously read and finds that another committed transaction has modified or deleted the data.

## Snapshot Isolation with Semantic Related Group Precludes Phantom Read <sup>3</sup>



<sup>&</sup>lt;sup>3</sup>Phantom Read: A transaction re-executes a query returning a set of rows that satisfies a search condition and finds that another committed transaction has inserted additional rows that satisfy the condition.

## Lock Mode in MySQL Cluster

**Read\_Committed** <sup>4</sup> Lock Mode: Consistent nonlocking reads (based on MVCC)

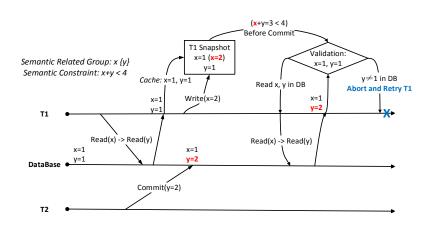
| Lock Mode      | Shared | Exclusive | Read_Committed |
|----------------|--------|-----------|----------------|
| Shared         | ✓      | Block     | <b>√</b>       |
| Exclusive      | Block  | Block     | ✓              |
| Read_Committed | ✓      | ✓         | <b>√</b>       |

<sup>&</sup>lt;sup>4</sup>Read\_Committed here is the name of *lock mode* used in MySQL Cluster, not referring to *Isolation Level* 

## Optimistic Concurrency Control

- Read Phase: Read\_Committed lock on snapshot
- Validation Phase: Shared lock on related Rows, Exclusive lock on modified rows -> Compare versions with snapshot -> Abort & Retry or Update
- Preclude: Write Skew

## OCC with Snapshot Isolation on Semantic Related Group Precludes **Write Skew** <sup>5</sup>



<sup>&</sup>lt;sup>5</sup>Write Skew: Two concurrent transactions read the same data, but update different data that are related and the combination of updates leads to an inconsistency.

#### Total Order Update, Abort & Retry, and Version Increase

- Total order update by ids ->preclude lock cycles
- Abort and retry transactions if "new" rows already exist
- Increase versions for successful *update phase*

## Four Phases in Algorithm

- Read Phase: resolve semantic related group & cache it as transactions snapshot copy
- Execution Phase: transactions operate on its own snapshot
- Validation Phase: validate snapshot versions with values in database ->abort & retry or go to update
- Update Phase: total order update, abort & retry and version increase

## **Experimental Testbed**

#### MySQL Cluster

6 data nodes, 1 Gbps LAN, Intel Xeon X5660 CPU @ 2.80GHz, 6\*6=36 GB RAM, 2 data replicas

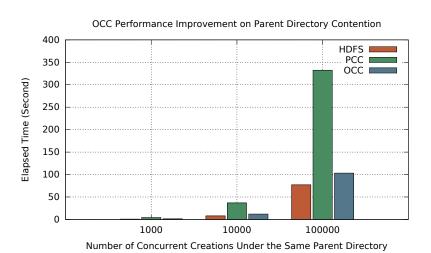
#### NameNode and Clients

Intel i7-4770T CPU @ 2.50GHz and 16 GB RAM

#### MySQL Cluster and NameNode

100 Mbps LAN

## Parent Directory Contention Assessment (1/2)

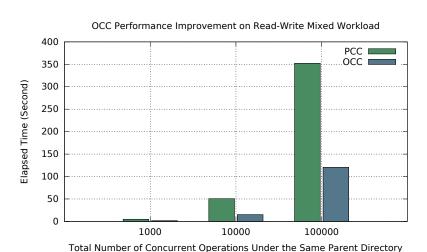




## Parent Directory Contention Assessment (2/2)

| Num. of Concurrent Creation         | 1000   | 10000  | 100000  |
|-------------------------------------|--------|--------|---------|
| HDFS                                | 0.82s  | 7.83s  | 77.13s  |
| PCC                                 | 4.35s  | 36.74s | 332.36s |
| OCC                                 | 1.36s  | 12.01s | 103.23s |
| PCC / HDFS                          | 530.5% | 469.2% | 430.9%  |
| OCC / HDFS                          | 165.9% | 153.4% | 133.8%  |
| OCC Improvement:<br>(PCC-OCC) / PCC | 68.7%  | 67.3%  | 68.9%   |

## Read-Write Mixed Workload (1/2)





## Read-Write Mixed Workload (2/2)

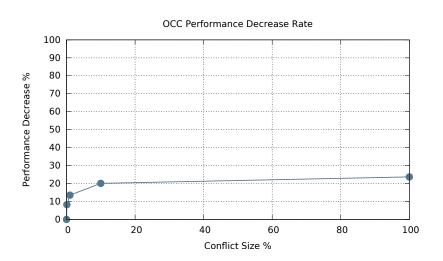
| Concurrent Read+Creation            | 1000  | 10000  | 100000  |
|-------------------------------------|-------|--------|---------|
| PCC                                 | 4.92s | 50.69s | 352.25s |
| OCC                                 | 1.78s | 15.31s | 120.64s |
| OCC Improvement:<br>(PCC-OCC) / PCC | 63.8% | 69.8%  | 65.8%   |

## OCC Performance with Different Size of Conflicts (1/2)

#### Performance Decrease compares to 0% conflict size

| Creations for    | Conflict | Elapsed Time | Performance |
|------------------|----------|--------------|-------------|
| 10000 Operations | Size     | (Second)     | Decrease    |
| 1                | 100%     | 14.53        | 23.7%       |
| 10               | 10%      | 14.11        | 20.1%       |
| 100              | 1%       | 13.51        | 15.0%       |
| 1000             | 0.1%     | 12.72        | 8.23%       |
| 10000            | 0%       | 11.75        | 0%          |

## OCC Performance Decrease Rate (2/2)



### Implementation Correctness Assessment

Ensured by passing 300+ Apache HDFS Unit Tests

#### Conclusion & Future Work

#### Conclusion

- Increase Performance up to 70 %
- Bounded Performance Degradation for OCC conflict
- Maintain HDFS Strong Consistency Semantics

#### Future Work

- OCC implementation on other operations
- OCC evaluation on Hops-HDFS with multiple NameNodes: prove that it outperforms HDFS with single NameNode

## Thank you!