
Extended Noisy Softplus and training method that enable layered up SNNs to be trained as ANNs

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Abstract

1 We extended the work of proposed activation function, Noisy Softplus, to fit into
2 training of layered up spiking neural networks (SNNs). Thus, any ANN employing
3 Noisy Softplus neurons, even of deep architecture, can be trained simply by the
4 traditional algorithm, for example Back Propagation (BP), and the trained weights
5 can be directly used in the spiking version of the same network without any
6 conversion. Furthermore, the training method can be generalised to other activation
7 units, for instance Rectified Linear Units (ReLU), to train deep SNNs off-line.
8 This research is crucial to provide an effective approach for SNN training, and to
9 increase the classification accuracy of SNNs with biological characteristics and to
10 close the gap between the performance of SNNs and ANNs.

11 1 Introduction

12 DNNs are the most promising research field in computer vision, even exceeding human-level perfor-
13 mance on image classification tasks [1], and spiking DNNs offer the prospect of neuromorphic systems
14 that combine remarkable performance with energy-efficient training and operation. Theoretical studies
15 have shown that biologically-plausible learning, e.g. Spike-Timing-Dependent Plasticity (STDP),
16 could approximate a stochastic version of powerful machine learning algorithms such as Contrastive
17 Divergence [2], Markov Chain Monte Carlo [3] and Gradient Descent [4]. Yet, in practice, SNNs
18 have not achieved the recognition/classification performance of their non-spiking competitor, and it
19 remains an unsolved problem to develop SNNs with equivalent performance.

20 On the other hand, the offline training of an ANN, which is then mapped to an SNN, has shown near
21 loss-less conversion and state-of-the-art classification accuracy. Jug et al[5] first proposed the use
22 of the Siegert function to replace the sigmoid activation function in training Restricted Boltzmann
23 Machine (RBM). The Siegert units map incoming currents driven by Poisson spike trains to the
24 response firing rate of a Leaky Integrate-and-Fire (LIF) neuron. The ratio of the spiking rate to its
25 maximum is equivalent to the output of a sigmoid neuron. A spiking Deep Belief Network (DBN) [6]
26 of four layers of RBMs was implemented on neuromorphic hardware, SpiNNaker [7], to recognise
27 hand written digits in real time.

28 Based on the fact that cortical neurons seldom saturate their firing rate as sigmoid neurons, ReLU [8]
29 were proposed to replace sigmoid neurons and surpassed the performance of other popular activation
30 units. Recent developments on ANN-trained SNN models has focused on using ReLU units and
31 converting trained weights to fit in SNNs. Better performance [9, 10] than Siegert-trained RBM has
32 been demonstrated in Spiking ConvNets, but this employed simple integrate and fire (IF) neurons
33 without leakage. The training used only ReLUs and zero bias to avoid negative outputs, and applied a
34 deep learning technique, dropout[11], to increase the classification accuracy. Normalising the trained
35 weights for use on an SNN employing IF neurons only was relatively straightforward and maintained

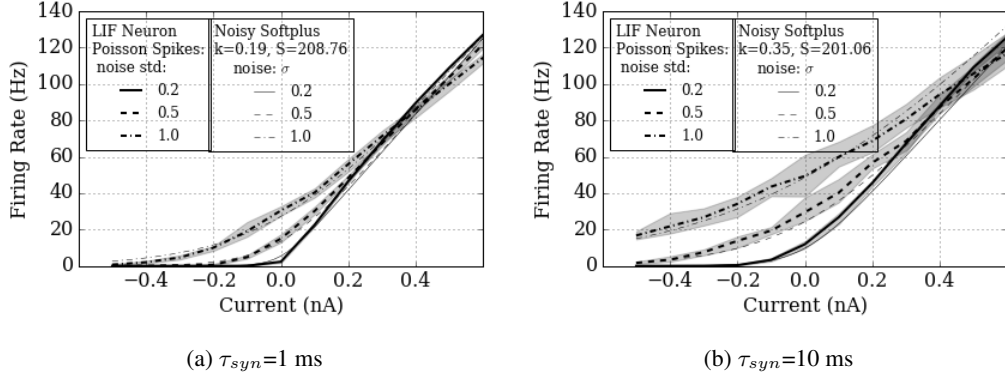


Figure 1: Noisy Softplus fits to the response firing rates of LIF neurons.

classification accuracy. This work was extended to a Recursive Neural Network (RNN) [12] and run on the TrueNorth[13] neuromorphic hardware platform.

Except for the popular, simplified version of ReLU, $\max(0, \sum wx)$, the other implementation of $\log(1 + e^x)$, “Softplus”, is more biologically realistic. Recent work [14] proposed the Soft LIF response function for training SNNs, which is equivalent to Softplus activation of ANNs. Furthermore, neuroscientific study has showed that the spike train of individual neurons is far from being periodic, which thus brings noisy to the input signal of spiking neurons [15]. Therefore, in the previous work of Qian Liu et al. [16], the difference between analytical estimation and practical simulations of spiking neurons were compared, and a new activation function named Noisy Softplus was proposed to match the response function of LIF neurons. In order to close the gap between the performance of SNNs and ANNs, and to further improve the performance of SNNs, we extended Noisy Softplus with a scale factor and proposed a complete layered up SNN training method by using artificial neurons of combined activation.

2 ANN-Trained SNNs

2.1 Extended Noisy Softplus

Suppose λ_{out} represents the firing rate of a LIF neuron driven by a noisy current x , we extended Noisy Softplus[16] with a scale factor, S , then

$$\begin{aligned}\lambda_{out} &\simeq f_{ns}(x, \sigma) \times S \\ &= k\sigma \log[1 + \exp(\frac{x}{k\sigma})] \times S.\end{aligned}\tag{1}$$

Noisy Softplus fits well to the practical response firing rate of the LIF neuron with suitable calibration of k and S , see Figure 1. The parameter pair of (k, S) is curve-fitted with the triple data points of (λ, x, σ) . The fitted parameter was set to $(k, S) = (0.19, 208.76)$ for the practical response firing rate driven by synaptic noisy current with $\tau_{syn} = 1$ ms and was set to $(k, S) = (0.35, 201.06)$ when $\tau_{syn} = 10$ ms. The calibration currently is conducted by linear least squares regression; numerical analysis is considered however for future work to express the factors with biological parameters of a LIF neuron. From now on, we can model the response firing activity of a LIF neuron with a unified activation function, extended Noisy Softplus.

In order to illustrate how we can use the extended Noisy Softplus to train layered up SNNs, we will demonstrate the mapping between the physical activity and numerical ANN calculations in the following subsections.

2.2 Equivalent Input and Output

Neurons in ANNs take inputs from their previous layer, and feed the weighted sum of their input, $net_j = \sum_i w_{ij}x_i$, to the activation function. The transformed signal then forms the output of an

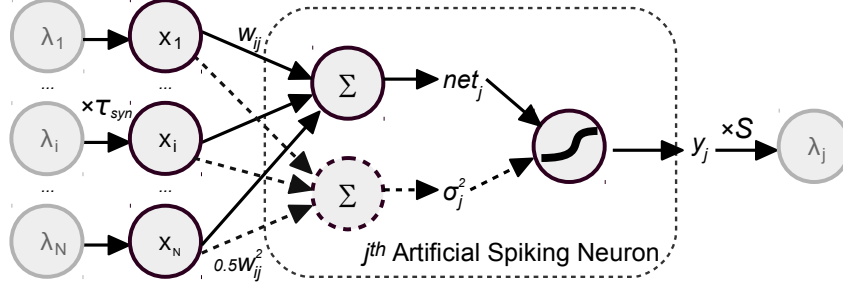


Figure 2: Artificial spiking neuron takes scaled firing rates as input, then transforms weighted sum in some activation unit to its output which can be scaled-up to the firing rate of an output spike train.

artificial neuron, which can be denoted as $y_j = f(net_j)$. However, Noisy Softplus takes physical quantities of current, and firing rate as input/output, thus an extra step is still needed to map the firing rate to numerical values in ANNs. According to Equation [16], the mean of the current feeding into a spiking neuron is equivalent to net of artificial neurons, where

$$m_{Ij} = \sum_i w_{ij}(\lambda_i \tau_{syn}) , \text{ then} \quad (2)$$

$$net_j = \sum_i w_{ij} x_i , \text{ and } x_i = \lambda_i \tau_{syn} .$$

The noise level of Noisy Softplus, σ^2 , is the variance of the current, which also can be seen as a weighted sum of the same input x but with different weights:

$$s_{Ij}^2 = \sum_i (\frac{1}{2} w_{ij}^2)(\lambda_i \tau_{syn}) , \text{ then} \quad (3)$$

$$\sigma_j^2 = \sum_i (\frac{1}{2} w_{ij}^2) x_i .$$

Noisy Softplus transforms the noisy current with parameters of (net_j, σ_j) to the equivalent ANN output y_j , where it can be scaled up by the factor S to the firing rate of SNNs. Note that the calculation of noise level is not necessary for activation functions other than Noisy Softplus, for example, it can be set to a constant for Softplus or 0 for ReLU. We name the neuron model ‘artificial spiking neurons’ which takes firing rates of spike trains as input and output. The entire artificial spiking neuron model is then generalised to any ReLU/Softplus-like activation functions, See Figure 2.

2.3 Layered-up Network

Referred to Figure 2, if we move the left end process of $\times \tau_{syn}$ to the right end after λ_j , Figure 2 forms the same neuron model and structure as multilayer perceptron: neurons take x as input and outputs y , and this conversion is illustrated in Figure 3. The process within such an artificial neuron is divided into weighted summation and activation, which also applies to SNN modelling by combining the scaling factor S and the synaptic time constant τ_{syn} to activation functions. Thus the combined activation function for modelling SNNs should be:

$$y = f(x) \times S \times \tau_{syn} , \quad (4)$$

and its derivative function which is used when back propagates is:

$$\frac{\partial y}{\partial x} = f'(x) \times S \times \tau_{syn} . \quad (5)$$

Thus, using this method of ANN-trained SNNs, the activation functions are of lower complexity than the Siegert formula, and their corresponding derivative functions can be directly used for back propagation. Furthermore, the method enables ReLU-like activation functions for SNN training, thus improving the recognition accuracy while keeping a relative lower firing rate compared to sigmoid neurons. Most significantly, the ANN-trained weights are ready for use in SNNs without any transformation, and the output firing rate of a spiking neuron can be estimated in the ANN simulation.

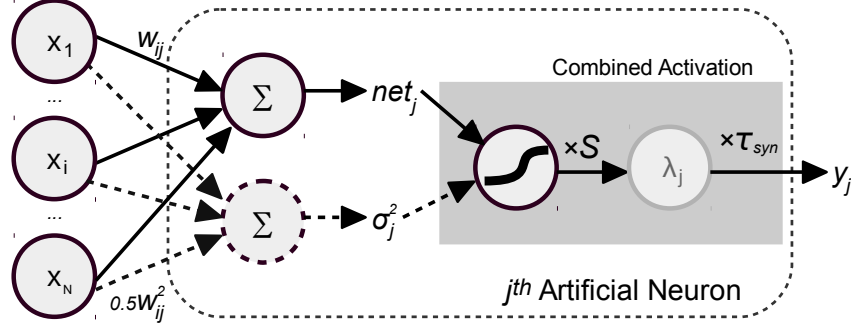


Figure 3: Transforming artificial spiking neurons to artificial neurons for SNN modelling. The combined activation links the firing activity of a spiking neuron to the numerical value of ANNs.

93 2.4 Fine Tuning

94 There are two aspects to the fine tuning which makes the ANN closer to SNNs: Firstly, using Noisy
 95 Softplus activation functions in a whole trained network operates every single neuron running in a
 96 similar noise level as in SNNs, thus the weights trained by other activation functions will be tuned to
 97 fit closer to SNNs. Secondly, the output firing rate of any LIF neuron is greater than zero as long as
 98 noise exists in their synaptic input. Thus adding up a small offset on the labels directs the model to
 99 approximate to practical SNNs.

100 The labels of data are always converted to binary values for ANN training. This enlarges the disparities
 101 between the correct recognition label and the rest to train the network for better classification
 102 capability. Consequently, we can train the network with any activation function and then fine-tune
 103 it with Noisy Softplus to take account of both accuracy and practical network activities of SNNs.
 104 However, we add a small number, for example 0.01, to all the binary values of the data labels. Doing
 105 so helps the training to loosen the strict objective function to predict exact labels with binary values.
 106 Instead, it allows a small offset to the objective. An alternative method is to use Softmax function at
 107 the top layer, which aims to map real vectors to the range of $(0, 1)$ that add up to 1. However, without
 108 a limit on the input of Softmax, it will be easy to reach or even exceed the highest firing rate of a
 109 spiking neuron. The result of fine tuning on a Convnet will be demonstrated in subsection 3.2.

110 3 Results

111 A convolutional network model was trained on MNIST, a popular database in neuromorphic vision,
 112 using the ANN-trained SNN method stated above. The architecture contains 28×28 input units,
 113 followed by two convolutional layers 6c5-2s-12c5-2s, and 10 output neurons fully connected to the
 114 last pooling layer to represent the classified digit.

115 The training only employed Noisy Softplus units that all the convolution, average sampling, and the
 116 fully-connected neurons use Noisy Softplus function with no bias. The parameters of the activation
 117 function were calibrated as, $(k = 0.30, S = 201)$, for LIF neurons ($C_m = 0.25\text{nF}$, $\tau_m = 20.0\text{ms}$,
 118 $\tau_{refrac} = 1.0\text{ms}$, $v_{reset} = -65.0\text{mV}$, $v_{rest} = -65.0\text{mV}$, $v_{thresh} = -50.0\text{mV}$, $i_{offset} = 0.1\text{nA}$,
 119 $\tau_{syn} = 5\text{ms}$). The input images were scaled by 100 Hz to present the firing rates of input spikes.
 120 The weights were updated using a decaying learning rate, 50 images per batch and 20 epochs. The
 121 ANN-trained weights were then directly applied in the corresponding convolutional SNN without any
 122 conversion for recognition tasks.

123 3.1 Neural Activity

124 To validate how well the Noisy Softplus activation fits to the response firing rate of LIF neurons in a
 125 real application, we simulated the model on NEST using the Poisson MNIST dataset [17] and the
 126 neurons of a convolutional map were observed.

127 A small test of ten MNIST digits presented in Poisson spike trains for 1 s each. A trained 5×5
 128 kernel was convolved with these input digits, and the convolved output of the feature map, the output

129 firing rate was recorded during a real-time SNN simulation on NEST, and compared to the modelled
 130 activations of Equation 4 in ANNs.

131 The input x of the network was calculated as Equation 2: $x_i = \lambda_i \tau_{syn}$, and so as the weighted sum of
 132 the synaptic current (see Equation 2), net_j and its variance (see Equation 3), σ_j^2 . With three combined
 133 activation functions as Equation 4:

$$\begin{aligned}
 (1) \text{ Noisy Softplus: } y_j &= k\sigma_j \log[1 + \exp(\frac{net_j}{k\sigma_j})] \times S \times \tau_{syn} , \\
 (2) \text{ ReLU: } y_j &= \max(0, net_j) \times S \times \tau_{syn} , \\
 (3) \text{ Softplus: } y_j &= k\sigma \log[1 + \exp(\frac{net_j}{k\sigma})] \times S \times \tau_{syn} , \quad \sigma = 0.45,
 \end{aligned} \tag{6}$$

134 we compare the output to the recorded SNN simulations. ReLU assumes a non-noise current, and
 135 Softplus takes a static noise level thus σ_j is not used for either of them, meanwhile Noisy Softplus
 136 adapts to noise automatically with σ_j . The experiment took the sequence of 10 digits to the same
 137 kernel and the estimated spike counts using Noisy Softplus fit to the real recorded firing rate much
 138 more accurately than ReLU and Softplus, see 4. The Euclidean distance, $\sqrt{\sum_j (y_j/\tau_{syn} - \lambda_j)}$,
 139 between the spike counts and the predicted firing rates by Noisy Softplus, ReLU and Softplus was
 140 184.57, 361.64 and 1102.76 respectively. We manually selected a static noise level of 0.45 for
 141 Softplus, whose estimated firing rates located roughly on the top slope of the real response activity.
 142 This resulted in longer Euclidean distance than using ReLU, since most of the input noisy currents
 143 were of relatively low noise level in this experiment. Hence, the firing rate driven by lower noise level
 144 is closer to ReLU curve than Softplus.

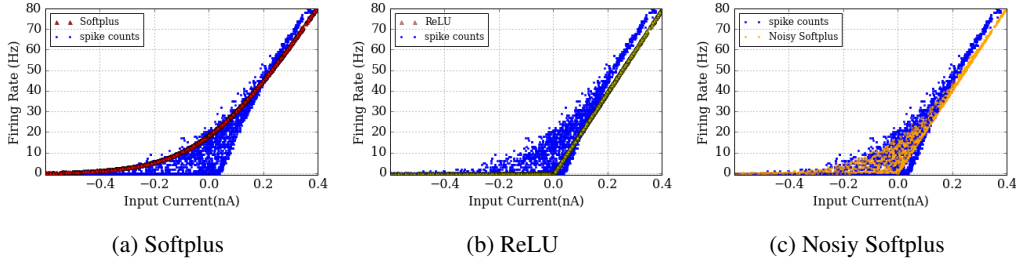


Figure 4: Noisy Softplus fits to the neural response firing rate in an SNN simulation. The recorded firing rate of the same kernel convolved with 10 images in SNN simulation, comparing to the prediction of activations of Softplus, ReLU, and Noisy Softplus.

145 The SNN successfully classified the digits where the correct label neuron fired the most. We trained
 146 the network with binary labels on the output layer, thus the expected firing rate of correct classification
 147 was $1/\tau_{syn} = 200$ Hz according to Equation 3. The firing rates of the recognition test fell to the valid
 148 range around 0 to 200 Hz. This shows another advantage of the proposed ANN-trained method that
 149 we can constrain the expected firing rate of the top layer, thus preventing SNN from exceeding its
 150 maximum firing rate, for example 1000 Hz when time resolution of SNN simulation set to 1 ms.

151 3.2 Recognition Performance

152 Here we focus on the recognition performance of the proposed ANN-trained SNN method. Before
 153 looking into the recognition results, it is significant to see the learning capability of the proposed
 154 activation function, Noisy Softplus. We compared the training using ReLU, Softplus, and Noisy
 155 Softplus by their loss during training averaged over 3 trials, see Figure 5. ReLU learned fastest with the
 156 lowest loss, thanks to its steepest derivative. In comparison, Softplus accumulated spontaneous firing
 157 rates layer by layer and its derivative may experience vanishing gradients during back propagation,
 158 which result in a more difficult training. Noisy Softplus performance lay between these two in terms
 159 of loss and learning speed. However, the loss stabilised fastest, which means a possible shorter
 160 training time.

161 The recognition test took the whole testing dataset of MNIST which contains 10,000 images. At
 162 first, all trained models were tested on the same artificial neurons as used for training in ANNs,

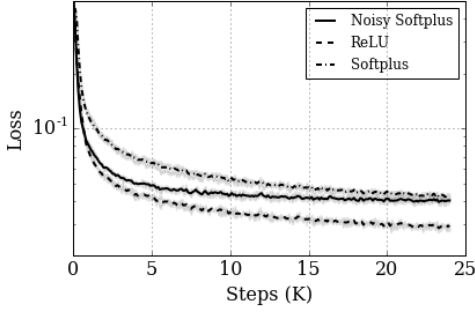


Figure 5: Comparisons of Loss during training using Noisy Softplus, ReLU and Softplus activation functions. Bold lines show the average of three training trials, and the grey colour illustrates the range between the minimum and the maximum values of the trials.

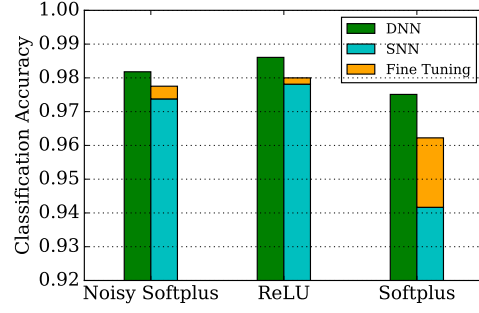


Figure 6: Classification accuracy compared among trained weights of Noisy Softplus, ReLU, Softplus on DNN, SNN and fine-tuned SNN.

and these experiments were called ‘DNN’ test since the network had a deep structure (5 layers). Subsequently, the trained weights were directly applied to SNN without any transformation, and these ‘SNN’ experiments tested their recognition performance on the NEST simulator. The LIF neurons had the same parameters as in training. The input images were converted to Poisson spike trains and presented for 1 s each. The output neuron which fired the most indicated the classification of an input image. Moreover, a ‘Fine tuning’ test took the trained model for fine tuning, and the tuned weights were tested on the same SNN environment. The tuning only ran for one epoch, 5% cost of the ANN training (20 epochs), using Noisy Softplus neurons with labels shifted for +0.01.

The classification errors for the tests are investigated in Table 1 and the averaged classification accuracy is shown in Figure 6. From DNN to SNN, the classification accuracy declines by 0.80%, 0.79% and 3.12% on average for Noisy softplus, ReLU and Softplus. The accuracy loss was caused by the mismatch between the activations and the practical response firing rates, see example in Figure 4, and the strict binary labels for Noisy Softplus and Softplus activations. Fortunately, the problem is alleviated by fine tuning which increased the classification accuracy by 0.38%, 0.19% and 2.06%, and resulted in the total loss of 0.43%, 0.61%, and 1.06% respectively. The improvement of ReLU is not as great as the others, because there is no problem of strict labels during training. Softplus benefits the most from fine tuning, since not only the huge mismatch of response firing rate is greatly corrected, but also the offset on the labels helps the network to fit SNNs.

Table 1: Comparisons of classification accuracy (in %) of ANN-trained convolutional neural models on original DNN, NEST simulated SNN, and SNN with fine-tuned (FT) model.

Trial No.	1			2			3		
Model	DNN	SNN	FT	DNN	SNN	FT	DNN	SNN	FT
Noisy Softplus	1.91	2.76	2.45	1.79	2.56	2.19	1.76	2.55	2.10
ReLU	1.36	2.03	1.88	1.46	2.28	2.00	1.36	2.25	2.12
Softplus	2.30	5.66	3.91	2.75	5.22	3.55	2.42	6.62	3.87

The most efficient training in terms of both classification accuracy and algorithm complexity, takes ReLU for ANN training and Noisy Softplus for fine tuning. Softplus does not exhibit better classification capability and more importantly the manual selected static noise level hugely influences the mismatch between the predicted firing rates and the real data. Although Noisy Softplus shows the least classification drop from ANNs to SNNs, the training performance is still worse than ReLU.

The best classification accuracy achieved by SNN was 98.85%, a 0.20% drop from ANN test (99.05%), which was trained with ReLU and fine-tuned by Noisy Softplus. The network structure was the

188 same with the state-of-the-art model which reported the best classification accuracy of 99.1% [10] in
 189 ANN-trained SNNs: 12c5-2s-64c5-2s-10fc. Their nearly loss-less conversion from ANNs to SNNs
 190 was achieved by using IF neurons, while our network performs the best among SNNs consisted of
 191 LIF neurons to our knowledge.

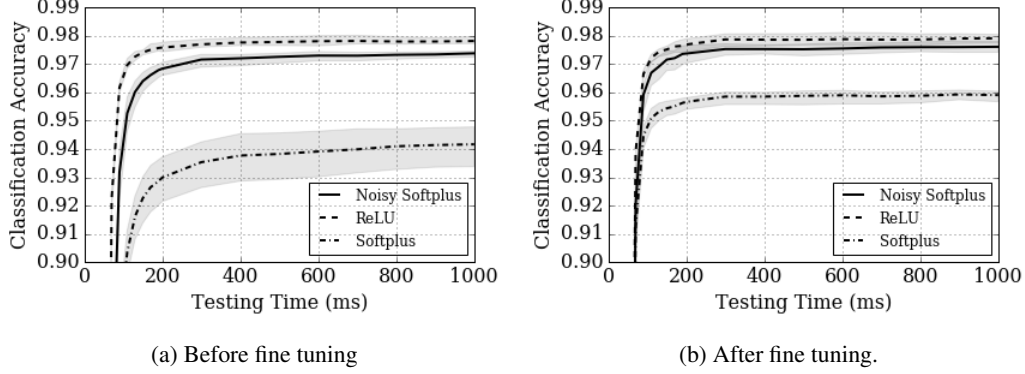


Figure 7: The classification accuracy of 3 trials (averaged in bold lines, grey shading shows the range between minimum to maximum) over short response times, with (a) trained weights before fine tuning, and (b) after fine tuning.

192 As it is a major concern in neuromorphic vision, the recognition performance over short response
 193 times is also estimated in Figure 7. After fine tuning, Softplus significantly reduced the mismatch
 194 since the randomness among the three trials shrinks to a range similar to other experiments. More
 195 obviously, fine tuning improved its classification accuracy and the response latency. Notice that all of
 196 the networks trained by three different activation functions showed a very similar stabilisation curve
 197 against time, which means they all reached an accuracy close to their best by only taking 300 ms of
 198 test.

199 3.3 Power Consumption

200 Noisy Softplus can easily be used for energy cost estimation for SNNs. For a single neuron, the
 201 energy consumption of the synaptic events it triggers is:

$$\begin{aligned}
 E_j &= \lambda_j N_j T E_{syn} \\
 &= \frac{y_j N_j T E_{syn}}{\tau_{syn}},
 \end{aligned} \tag{7}$$

202 where λ_j is the output firing rate, N_j is the number of post-synaptic neurons it connects to, T is
 203 the testing time, and E_{syn} is the energy cost for a synaptic event of some specific neuromorphic
 204 hardware, for example, about 8 nJ on SpiNNaker [18]. Thus to estimate the whole network, we can
 205 sum up all the synaptic events of all the neurons:

$$\sum_j E_j = \frac{T E_{syn}}{\tau_{syn}} \sum_j y_j N_j. \tag{8}$$

206 Thus, it may cost SpiNNaker 0.064 W, 192 J running for 3,000 s with synaptic events of $8 \times 10^6/s$
 207 to classify 10,000 images (300 ms each) with an accuracy of 98.02%. The best performance reported
 208 using the larger network may cost SpiNNaker 0.43 W operating synaptic event rate at $5.34 \times 10^7/s$,
 209 consume 4271.6 J to classify all the images for 1 s each.

210 4 Discussions

211 Most significantly, we proposed the Noisy Softplus activation function which accurately models
 212 response firing rate of LIF neurons and overcomes the drawbacks of Siegert units.

- 213 • Noisy Softplus takes account of time correlation of the noisy synaptic current, e.g. τ_{syn} ,
 214 which fits more to the actual response firing rate.

- Noisy Softplus can be applied easily to any training method, for example BP, thanks to its differentiability.
- the calculation on Noisy Softplus is no more than Softplus function, except for doubled computation on weighted sum of its input (net and σ in Equations 2 and 3), which is much more simplified than Siergert function.
- as one of the ReLU-liked activation function, the output firing rate seldom exceed the working range of a LIF neuron, for example the firing rates were around 0-200 Hz in the ConvNet model.
- the learning performance of Noisy Softplus is between Softplus and ReLU, which is supposed to outperform most of the other popular activation functions: for instance sigmoid.

Moreover, we proposed complete SNN modelling method by using artificial neurons of combined activation; this method can be generalised to activation units other than Noisy Softplus. The training of an SNN model is exactly the same as ANN training, and the trained weights can be directly used in SNN without any transformation. This method is simpler and even more straight-forward than the other ANN offline training methods which requires an extra step of converting ANN-trained weights to SNN's.

In terms of classification/recognition accuracy, the performance of ANN-trained SNNs is nearly equivalent as ANNs, and the performance loss can be partially solved by fine tuning. The best classification accuracy of 98.85% using LIF neurons in PyNN simulation outperforms state-of-the-art SNN models of LIF neurons which will be listed in Chapter 6, and is very close to the result using IF neurons [10].

Acknowledgments

To be added after reviewing.

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