

# Qiaozhi (George) Ye | 叶乔治

## PERSONAL INFORMATION

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Assistant Professor of Finance  
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Shanghai University of Finance and Economics  
602, Building 2, Innovation Cube Phase 3  
International Innovation Cooperation Zone 103  
Dishui Lake Core Area, Shanghai, 200433 China

## RESEARCH INTERESTS

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Institutional Investors | Corporate Governance | Sustainable Finance | Financial Regulation

## ACADEMIC POSITION

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08/2024 - present **Assistant Professor, Finance**  
Dishui Lake Advanced Finance Institute (DAFI)  
Shanghai University of Finance and Economics (SUFU)

## EDUCATION

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08/2019–06/2024 **Ph.D. Candidate, Finance**  
National University of Singapore (NUS)

01/2023–07/2023 **Visiting Scholar, Finance**  
University of Illinois at Urbana-Champaign (UIUC)

09/2014–12/2018 **Bachelor of Commerce, 1st-Class Honors**  
University of Queensland (UQ)

09/2012–06/2016 **Bachelor of Business Administration, Accounting**  
Guangdong University of Foreign Studies (GDUFS)

## WORKING PAPERS (\* PRESENTED BY COAUTHOR)

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1. **Comments that Count: How Corporate Lobbying Shapes Governance Regulations**  
with Qianzhou Du (USTC), Jiekun Huang (UIUC), Pengfei Ye (Virginia Tech)
2. **Internalizing environmental externalities through geographic ownership networks**  
with Adrian Lam (Pitt)
3. **Who do you vote for? Same-race voting preferences in director elections**  
with Johan Sulaeman (NUS), Revise & Resubmit at *Review of Finance*
4. **Active mutual fund common owners' returns and proxy voting behavior**  
with Ben Charoenwong (NUS) and Zhenghui Ni (NUS), Revise & Resubmit at *Journal of Financial and Quantitative Analysis*

5. **Are employees informed? Evidence from employee stock ownership in defined contribution pension plans**

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#### PRE-DOC PUBLICATION

1. **Missing the boat: Regulatory approval delay and investment project outcomes** with Ronghong Huang (UQ) and Kelvin Tan (UQ), *British Accounting Review*

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#### TEACHING ASSISTANTSHIP

08/2022–11/2022	Finance (NUS Bachelor), by Winston Low
01/2022–05/2022	Investment Analysis and Management (NUS Master), by Stephen Dimmock
01/2022–05/2022	Foundation of Investments (NUS Master), by Karsten Müller
09/2021–10/2021	Topics in Private Equity (NUS MBA), by Veronica Eng
09/2021–09/2021	Financial Management and Markets (NUS Chinese-EMBA), by Ben Charoenwong
01/2019–07/2019	Basic Statistics and Introductory Econometrics (CUHKSZ Bachelor), by Liu Ming
07/2018–11/2018	Financial Management for Decision Making (UQ Master), by Ronghong Huang

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#### CONFERENCE DISCUSSION

2023	Singapore Scholars Symposium, Financial Management Association Conference, Eastern Finance Association Conference
2022	Australasian Finance and Banking Conference, International Society for the Advancement of Financial Economics' Conference, Singapore Scholars Symposium
2019	Asian Finance Association Conference

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#### OTHER ACADEMIC ACTIVITIES

2023	Financial Management Association Doctoral Student Consortium
2020&22	SoFiE Financial Econometrics Summer School, SAIF-CAFR Financial Research Summer Camp
2020	NTU-NUS Exchange Program
2019	Research Assistantship for Ben Charoenwong (NUS)
2016	Winter Research Program for Robert Faff (UQ)

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#### INDUSTRIAL EXPERIENCES

02/2017–02/2018	Lianxun Capital, Private Equity Investment & Funding Assistant, Shenzhen China
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## PROFESSIONAL AFFILIATIONS

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2016–present	Chartered Financial Analyst (CFA), Candidate, Pass Level III exam
2013–present	Association of Chartered Certified Accountants (ACCA), Affiliate, Pass all exams

## HONORS, AWARDS & SCHOLARSHIPS

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2023	AFA Travel Grant, EFA Travel Grant, NUS Conference Financial Assistance
2019	NUS Research Scholarship, UQ University Medal
2018	UQ 1st Class Honors, UQ Research Colloquium Best Written Award, UQ Frank Finn Scholarship, UQ Dean’s Commendations for Academic Excellence
2016	UQ Winter Research Scholarship, GDUFS ACCA Scholarship, UQ Dean’s Commendations for Academic Excellence
2014	GDUFS Merit Student, UQ Dean’s Commendations for Academic Excellence
2013	GDUFS Second- and Third-Class Scholarship

## LANGUAGES

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English, Mandarin, Cantonese

## COMPUTER SKILLS

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R, SAS, Stata, Python,  $\text{\LaTeX}$