

Lab04-Matroid

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2020.

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1. Give a directed graph $G = (V, E)$ whose edges have integer weights. Let $w(e)$ be the weight of edge $e \in E$. We are also given a constraint $f(u) \geq 0$ on the out-degree of each node $u \in V$. Our goal is to find a subset of edges with maximal weight, whose out-degree at any node is no greater than the constraint.
 - (a) Please define independent sets and prove that they form a matroid.
 - (b) Write an optimal greedy algorithm based on Greedy-MAX in the form of *pseudo code*.
 - (c) Analyze the time complexity of your algorithm.

Solution.

(a) Assume that I is a subset of E , and \mathbf{C} is the collection set of I . If the out-degree at any node in I is no greater than the constraint, then we call the I set is an independent set and (S, \mathbf{C}) is an independent system.

Prove. If $A \subseteq B$ and $B \in \mathbf{C}$, then the node in A must have less out-degree than that in B . So, it is hereditary.

Then if $F, D \in \mathbf{C}$ and $|F| < |D|$, there must be at least one node (assume it is n) satisfying that the out-degree of n in F is less than that in D . Then we can add a edge e ($e \in D$ but $e \notin F$) whose source is n to set F . And now in $F \cup \{e\}$, the out-degree of n is not greater than that in D , so it is still not greater than the constraint, and set F is still in \mathbf{C} .

Therefore, (S, \mathbf{C}) is a matroid.

(b)The detailed implementation will be explained in (c).

Algorithm 1: Greedy-MAX1

Input: The graph $G = (V, E)$, $w(e)$ and $f(u)$

Output: A correct subset of E

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1 Sort the elements in  $E$  by weight, so that  $w(e_1) > w(e_2) > \dots > w(e_n)$  ;
2  $A \leftarrow \emptyset$ ;
3 for  $i \leftarrow 1$  to  $n$  do
4   if  $A \cup e_i$  satisfies the constraint on out-degree then
5      $A = A \cup e_i$ 
6 return  $A$ ;
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(c) First, the time complexity of the sorting process is $O(E \log E)$. If we assume the time complexity from line4 to line5 is $f(E, V)$, the total complexity should be $O(E \log E + E f(E, V))$. Then analyze $f(E, V)$.

To decrease the time complexity and finish the algorithm correctly, we may use some extra space. We can create a link-list to store the edges and an array of V elements to record the current out-degree of every node. Then, every comparing between the current out-degree and constraint (line4) will take $O(1)$. Increasing the out-degree and adding the edge to the link-list(line5) will also take $O(1)$. So the complexity $f(E, V)$ can be $O(1)$, then the total time complexity is $O(E \log E)$

□

2. Let X, Y, Z be three sets. We say two triples (x_1, y_1, z_1) and (x_2, y_2, z_2) in $X \times Y \times Z$ are *disjoint* if $x_1 \neq x_2, y_1 \neq y_2$, and $z_1 \neq z_2$. Consider the following problem:

Definition 1 (MAX-3DM). *Given three disjoint sets X, Y, Z and a nonnegative weight function $c(\cdot)$ on all triples in $X \times Y \times Z$, **Maximum 3-Dimensional Matching** (MAX-3DM) is to find a collection \mathcal{F} of disjoint triples with maximum total weight.*

- (a) Let $D = X \times Y \times Z$. Define independent sets for MAX-3DM.
- (b) Write a greedy algorithm based on Greedy-MAX in the form of *pseudo code*.
- (c) Give a counterexample to show that your Greedy-MAX algorithm in Q. 2b is not optimal.
- (d) Show that: $\max_{F \subseteq D} \frac{v(F)}{u(F)} \leq 3$. (Hint: you may need Theorem 1 for this subquestion.)

Theorem 1. *Suppose an independent system (E, \mathcal{I}) is the intersection of k matroids (E, \mathcal{I}_i) , $1 \leq i \leq k$; that is, $\mathcal{I} = \bigcap_{i=1}^k \mathcal{I}_i$. Then $\max_{F \subseteq E} \frac{v(F)}{u(F)} \leq k$, where $v(F)$ is the maximum size of independent subset in F and $u(F)$ is the minimum size of maximal independent subset in F .*

Solution. (a) Let $S = X \times Y \times Z$, and \mathbf{C} is a collection of some certain sets. $A \in \mathbf{C}$ iff the triples in A are all disjoint each other, then A is an independent set and (S, \mathbf{C}) is an independent system. (As for the proof, it is obvious that if we move a triple out others will still remain disjoint).

(b)

Algorithm 2: Greedy-MAX2

Input: The n triples, $c(i)$

Output: A correct collection \mathcal{F}

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1 Sort the elements in  $E$  by weight, so that  $c(tri_1) > c(tri_2) > \dots > c(tri_n)$ ;
2  $\mathcal{F} \leftarrow \emptyset$ ;
3 for  $j \leftarrow 1$  to  $n$  do
4   if  $\mathcal{F} \cup e_i$  is compatible then
5      $\mathcal{F} = \mathcal{F} \cup tri_j$ 
6 return  $\mathcal{F}$ ;
```

About more detailed implementation. We can create three hash-tables to record the existing x, y , and z value. Then create a link-list to store the compatible triples. In line4, we will check whether new x_j has been in the X-hash-table, and then the same to y_j, z_j . If compatible, we will add the new value to hash-table and add the triple to the storing list (line5).

(c) We can let $X = Y = Z = \{1, 2\}$. Let the weight for $(1, 2, 1)$ is 7, while $(1, 1, 2)$ weighs 6 and $(2, 2, 1)$ weighs 5. And let all other triples weight 0. Then, it is easy to see that the Greedy result weighs 7, while the optimal one weighs 11.

(d) Let $E = X \times Y \times Z$. And there are three matroids: $(E, I_X), (E, I_Y), (E, I_Z)$, which means if $B \in I_X$, then every triple in B has different x-value.

If we delete some triples in B and form a subset C , then all triples in C still have different x-values, which prove it is hereditary. If $|D| < |B|$, then there must be less x-values in D , we can just choose one triple t whose x-value is not in D but in B , then $D \cup \{t\}$ still have different x-values. So the exchange property is proved.

If $A_1 \in I_X, A_2 \in I_Y, A_3 \in I_Z$, and $A_1 = A_2 = A_3 = A \in \bigcap_{i=1}^3 I_i$, then all triples in A have different x,y,z-values so they are disjoint, which meets the requirement.

Then $\mathcal{I} = \bigcap_{i=1}^3 \mathcal{I}_i = \mathcal{F}$. Then $\max_{F \subseteq E} \frac{v(F)}{u(F)} \leq k = 3$. Therefore, the proposition is proved. □

3. **Crowdsourcing** is the process of obtaining needed services, ideas, or content by soliciting contributions from a large group of people, especially an online community. Suppose you want to form a team to complete a crowdsourcing task, and there are n individuals to choose from. Each person p_i can contribute v_i ($v_i > 0$) to the team, but he/she can only work with up to c_i other people. Now it is up to you to choose a certain group of people and maximize their total contributions ($\sum_i v_i$).

- (a) Given $OPT(i, b, c)$ = maximum contributions when choosing from $\{p_1, p_2, \dots, p_i\}$ with b persons from $\{p_{i+1}, p_{i+2}, \dots, p_n\}$ already on board and at most c seats left before any of the existing team members gets uncomfortable. Describe the optimal substructure as we did in class and write a recurrence for $OPT(i, b, c)$.
- (b) Design an algorithm to form your team using dynamic programming, in the form of *pseudo code*.
- (c) Analyze the time and space complexities of your design.

Solution.

(a) To compute the $OPT(i, b, c)$, we should discuss some different cases. If $i = 0$, then OPT is 0. If $c = 0$, we can not add the p_i to the team, so the $OPT(i, b, c) = OPT(i - 1, b, c)$.

About others, we need to discuss two cases.

- Case 1, OPT selects person- i .

Let $c'_i = \min\{c - 1, c_i - b\}$, and set c'_i as new c .

Add the v_i , and selects best using $\{1, 2, \dots, i-1\}$ with this new c -limit.

- Case 2, OPT does not select person- i

OPT selects best using $\{1, 2, \dots, i-1\}$ with c -limit.

Then we can get:

$$OPT(i, b, c) = \begin{cases} 0 & i = 0 \text{ or } c = 0 \\ OPT(i - 1, b, c) & c_i < b \\ \max\{OPT(i - 1, b, c), v_i + OPT(i - 1, b + 1, c'_i)\} & \text{otherwise} \end{cases}$$

(b) The code is presented in the next page.

Algorithm 3: Crowd Sourcing

Input: $n, \{v_1, v_2, \dots, v_n\}, \{c_1, c_2, \dots, c_n\}$

Output: maximized $\sum_i v_i$

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1 Create  $n^3$  int-space  $f[i, b, c]$  to store the result, and make them empty at first.
2 Def  $\text{opt}(i, b, c)$ :
3    $\text{opt}(i, b, c)$  {
4     if  $f[i, b, c] \neq \text{empty}$  then
5       return  $f[i, b, c]$ ;
6     if  $i = 0$  or  $c = 0$  then
7        $f[i, b, c] \leftarrow 0$ 
8     else
9       if  $c_i < b$  then
10         $f[i, b, c] \leftarrow \text{opt}(i - 1, b, c)$ ;
11      else
12         $c' \leftarrow \min\{c_i - b, c - 1\}$ ;
13         $f[i, b, c] \leftarrow \max\{\text{opt}(i - 1, b, c), v_i + \text{opt}(i - 1, b + 1, c')\}$ ;
14    return  $f[i, b, c]$ ;
15  }
16 output  $\text{opt}(n, 0, n)$ ;
```

(c) The space complexity is obviously $O(n^3)$.

Then about the time complexity: In line1, we should empty the space, whose time-complexity is due to the datastructure. And we assume it is $g(n)$ ($g(n) \leq O(n^3)$)

Beacause calculating the $\text{opt}(n, 0, n)$ needn't to solve all elements in $f[i, b, c]$, we just use the memorization method instead of bottom-up one. By doing this, however, the time complexity will still be expressed as $O(n^3)$.

Therefore, both the time and space complexities are $O(n^3)$.

□

Remark: You need to include your .pdf and .tex files in your uploaded .rar or .zip file.