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Simulation on the Evolution of Loess Gullies and Landforms Based on Archaeological Remains Information

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Jiaming Na

Key Laboratory of Virtual Geographic Environment of
Ministry of Education
Nanjing Normal University
Nanjing, China
najiaming92@gmail.com

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18 Abstract—This research fully used the evidences of Wucheng 19 Remains. Extracted the valuable historical geomorphic information 20 through field investigation, UAV photography and GPS sampling. 21 Considering the dominant status of topographic factor on gully 22 erosion of Loess Plateau and the capabilities of cellular automata, 23 designed a time-inversed geomorphic evolution model based on LS 24 factor and stochastic CA for restoration of ancient landforms in the 25 different history of Wucheng watershed. The simulated result is a 26 chronological sequence of DEMs and each DEM corresponds to a 27 historical period. In order to date historical periods of every DEM, 28 this research put forward a temporal calibration method based on 29 foundation surface of ancient Wucheng city, integrating the 30 topographic clues of Wucheng Remains. Analyses confirmed the 31 reliability of the geomorphic evolution model that, to some degree, 32 the simulation accords with the relative objective principles of 33 Loess Plateau. Furthermore, through these analyses, this research 34 explored the mechanisms of gully erosion and development and 35 shown the DEM sequence is a capable new approach for the 36 research on geomorphology.

I. Introduction

China's Loess Plateau is regarded as one of the most unique and valuable geographic regions for geoscience research in the world. This area, as a complete geomorphic unit, has attracted the much attention due to its severe soil erosion. Because of the erosive vulnerability of loess and even the anthropic destruction for past millennia, the CLP has been always suffering severe gully erosion and gradually takes the shape of current fragmented geomorphic landscapes intruded by varieties of gullies [1, 2]. Gully erosion is the main component of soil loss on the Loess Plateau and it plays an important role in shaping the terrain of the Loess Plateau. Researching on the geomorphic evolution and the gully erosion processes of Loess Plateau is of great significance for

Guoan Tang
Key Laboratory of Virtual Geographic Environment of

Key Laboratory of Virtual Geographic En
Ministry of Education
Nanjing Normal University
Nanjing, China
tangguoan@njnu.edu.cn

50 exploring the evolutionary mechanism of loess geomorphology 51 and supporting the works of soil conversation on the Loess 52 Plateau.

Massive documents and historical events record precious 54 information about the gully development in history. Only if we 55 retrospect the history of gully erosion and geomorphic evolution, 56 can we understand how to control gully erosion and protect 57 ecosystem of loess plateau in future. According to textual 58 research from Delin Jiang, already in Han dynasty, Shu Zhang 59 had pointed that large sediment concentration and deposition on 60 riverbed is the main reason of dike breach of Yellow River^[3]. In 61 rule of Emperor Wudi of Han Dynasty, the executive ever 62 encouraged reclamation on Loess Plateau and launched seven 63 immigrations to Northwest of China. Large-scale reclamation 64 and immigration certainly destructed the natural environment of 65 CLP^[4]. Because of frequent overflow of Yellow River, the 66 Kaifeng city where located at lower reach of Yellow River was 67 even repeatedly buried by sediment from Yellow River during 68 many dynasties of China and formed the phenomenon of "city 69 over city", [5]. Furthermore, there are many cases about extinction 70 and relocation of towns due to gullies aggression. For instance, 71 the townsite of Luochuan County relocated twice respectively at 72 the 6th year of Kaihuang Period of Sui Dynasty (586 A.D.) and 73 the 33rd year of Qianlong Period of Qing Dynasty (1768 A.D.)^[6] 74 Another example is Wucheng Remains (Neolithic Age to Han 75 Dynasty) which is also the study area of this research. Nowadays, 76 the ancient townsite of Wucheng City located at the around of an 77 outlet of a loess gully. Presumably, the reason of abandonment 78 of Wucheng City in history is gully development had 79 undermined the foundation of Wucheng townsite.

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91 Plateau.

The objective of this research is to simulate the historical 93 processes of geomorphic erosive evolution within a small 94 watershed of Loess Plateau, to restore the topographic surfaces of 95 different historical periods and to acquire a series of DEMs 96 (Digital Elevation Model) that depict the loess topographies of 97 different historical times.

II. **METHODS**

Study area

Through the field investigation in Shanxi and Shaanxi 101 Provinces, discovered Wucheng Remains (吴城遗址) and its 102 small basin is a desirable research area. (Fig.1)

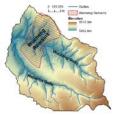




Figure 1. Study area

Data preparation 105 *B*.

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simulation of loess terrain based on 5m DEM. As basic data of 142 factor is selected for establishing rules of CA. This model 108 the model, DEM provides elevation and terrain information for 143 accepts a DEM raster as the input that represents the current 109 simulation. The 5m DEM data in this research were purchased 144 topography of research area. Throughout model running, the 110 from Shanxi Administration of Surveying, mapping and 145 time-inversed simulation of historical geomorphic evolution will 111 Geoinformation, produced by DLG(Digital Line Graphic). 5m 146 be realized whereby a sequence of DEMs will be generated as 112 DEM's projection is Gauss-Kruger projection (GK projection) 147 the model output. Each DEM in sequence represents topography 113 with Xi'an-80 geodetic coordinates systems. SRTM DEM data 148 at a certain historical time. 114 are acquired from USGS. The usage of STRM DEM in this 149 Cellular Automata is a spatio-temporal dynamic simulation 117 transform relationships between Xi'an-80 GK projection and 152 cells regularly tessellated in Euclidean space. Every cell has its 118 WGS-84 coordinate system so that convert data in WGS-84 to

Consequently, all kinds of human remains (like ancient walls, 119 Xi'an-80 GK projection. Ariel imageries are from Google Earth 81 graves, etc.) in Loess Plateau can provide us abundant 120 software or capture by DJI P3 quadcopter. Ariel imageries are 82 geographical and historical clues and tell us how loess 121 mainly used for visual interpretation of Wucheng Remains, 83 geomorphology evolves under continuous erosion. Utilizing 122 observation of the landscapes of Wucheng Gully, confirmation of 84 historical information, not only can we simulate the future terrain 123 the extent of ancient Wucheng Town as well as sketch of relics' 85 of Loess Plateau, but restore the past. So the objective of this 124 distribution. Topographic map is 1:10,000 national basic 86 research is: integrating theories of historical geography, GIS and 125 topographic map which server as the auxiliary data of 5m DEM. 87 other disciplines, collecting multi-source data and materials of 126 GPS measured sample points are used for interpolating the 88 Wucheng area, based on the methods of digital terrain analysis, 127 ancient town ground foundation surface for calibration of 89 applying the models for simulation of geomorphic evolution, and 128 simulation.he boundary of Loess Plateau by scheme is obtained 90 restoring the topographies and gully patterns in history of Loess 129 by interpreting and classifying MODIS images and DEMs by 130 using GIS and remote sensing image processing technology in 131 this paper. In addition, Google Earth images as the basic data and 132 the field survey data of summer 2016, including the spatial 133 location of typical gullies, basic morphological features, photos 134 and so on. The 1: 100000 geomorphological map of China and 1: 135 10000 standard map subdivision grids are also used as important 136 auxiliary data for this study.

The demanded data of this experiment are listed in Tab 1:

138 TABLE I. EXPERIMENTAL DATA

Data	Source	Coordinates
5m DEM	Shanxi Administration of Surveying, mapping and Geoinformation	Xi'an80 GK 3° 111E
SRTM DEM	USGS	WGS84
Ariel imagery	Google Earth	WGS84
Drone imagery	DJI Phantom 3	WGS84
Topographi c map	Shanxi Administration of Surveying, mapping and Geoinformation	Beijing54 GK 3° 111E
GPS Samples	Field Surveying	WGS 84

139 C. Simulation method

CA(Cellular Automata) is used as the framework of this The core experiment of this research is time-inversed 141 model. Based on theories of hydrology and loess erosion, LS

115 research is generation of stream network data in WGS-84 150 system that is discrete in spatial dimension, temporal dimension 116 ellipsoid. The stream network data will be used for establish the 151 as well as states domain(WOLFRAM 1984). It is composed by Geomorphometry.org/2018 Na and Tang

153 pertinent state at a certain time. As time goes on, every cell 181 154 interacts each other and simultaneously evolve in a new state.

156 Neighbors and Rules. It is necessary to define these four 184 and ancient topography of Wucheng area. After the field 157 elements in order to realize the geomorphic evolution model 185 investigation of Wucheng, the calibration method based on 158 based on CA.

The rules and algorithm are as the flowchart of Fig 2.

Step 1: Initialize input parameters that include initial DEM 161 raster, initial iteration, maximum iteration, resolution, cutoff 162 threshold, high point value and soil particle height;

Step 2: Then calculate LS raster according to algorithms 164 mentioned before;

Step 3: Normalize the LS raster for unifying the numeric 166 magnitude; then calculate backfill matrix by roulette method 167 integrating stochastic factor and probabilistic normalized LS 168 factor:

169 170 current DEM whereby refresh and obtain the next DEM;

Step 5: Step into next iteration. If the current iteration do not 172 yet reach the maximum iteration, use the current DEM to repeat 173 Step 1-5 again. Otherwise, stop procedure.

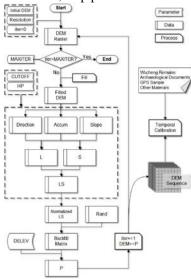


Figure 2. Flowchart of model

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Finally, the CA model and its DEM results should be 177 calibrated so that the numbers of iteration can correspond to real 178 historical times. Only in this way, the DEM sequence results can 179 possess real historical meanings. The content about temporal 180 calibration will be introduced in next chapter.

III. **RESULTS & DISCUSSION**

All kinds of documents about Wucheng Remains must be Standard CA consists of four elements: Cells, States, 183 fully used for seeking the relationships between DEM sequence 186 foundation surface of Wucheng Remains was raised. Fig 4 is 187 flowchart of this method.

The foundation surface of Wucheng Remains is the ancient 189 topographic surface on which ancient Wucheng city was ever 190 built. Throughout erosion of more than 2000 years, the ancient 191 topographic surface was definitely destructed by younger gullies 192 and gradually missed its original landscapes. In order to 193 reconstruct the foundation surface of ancient Wucheng city, it is 194 necessary to locate points where survive from gully erosion and 195 retain its original elevation. These points are named foundation 196 surface samples. The walls of Wucheng city still persist up to Step 4: According to backfill matrix, backfill soil particles on 197 now that can proves there must exist locations that foundation 198 surface survives inside extent of Wucheng walls. So the walls 199 provide significant reference for seeking relict of foundation 200 surface. In order to seek foundation surface samples, some 201 sample rules and conditions must be made. According to the 202 geographic features of Wucheng area, the conditions of 203 foundation surface are as follows: Beside the foot of walls: 204 Locates at the places of relics; Places inside Wucheng city where 205 are not destructed by gullies.

> According to above conditions, we can locate the survived 207 foundation surface samples, the sampling ways include: 208 Sampling by GPS device during field trip; Detection by the 209 archaeological distribution map; Holistic judgement where 210 satisfy sample conditions by drone imageries.

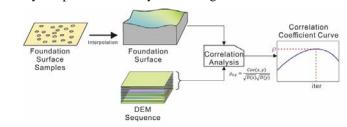


Figure 3. Flowchart of temporal calibration method

Fig 4 is the result of 1ka simulation. It is need to note that 214 every DEM in Fig 4 was labeled by a concrete historical time 215 that is determined by temporal calibration.

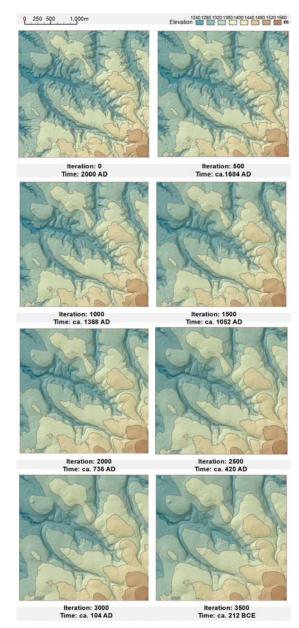
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Figure 4. Simulation of 1ka timescale

In addition. This model did not consider other erosive factors (like vegetation, soil properties) expect terrain factor. Firstly, this place is because the long-term historical geographic data of Loess Plateau are unavailable. Secondly, complexed erosion mechanism will magnify errors in long-term simulation. Thirdly, the topography play a dominant role in erosion processes of

225 Loess Plateau. But anyway, the simplification of erosive 226 mechanism will possibly lead to uncomprehensive simulation.

In conclusion, the future research should systematically explore the erosive mechanisms of Loess Plateau and make the model can be competent to more complicated situations. The several problems need be solved are: (1) how to set empirical parameters of LS factor for different research areas; (2) how to quantify the erosive factors of human activities, vegetation and soil properties. (3) how to improve the mechanism of soil particle and stochastic factor. (4) how to explore and excavate valuable information out of the DEM sequence.

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