## Assignment 4

In this assignment, we will explore countmin sketches and bloom filters. We will use two text files great-gatsby-fitzgerald.txt and war-and-peace-tolstoy.txt to load up the text of two famous novels courtesy of Project Guttenberg.

We will explore two tasks:

- Counting the frequency of words of length 5 or more in both novels using a count-min sketch
- Using a bloom filter to approximately count how many words in the War and Peace novel already appears in the Great Gatsby.

# Step 1: Making a Universal Hash Family (Already Done For You)

We will use a family of hash function that first starts by (a) generating a random prime number p (we will use the Miller-Rabin primality test for this purpopse); (b) generating random numbers a, b between 2 and p-1.

The hash function  $h_{a,b,p}(n) = (an+b) \mod p$ .

Note that this function will be between 0 and p-1. We will need to also make sure to take the hash value modulo m where m is the size of the hashtable.

To hash strings, we will first use python's inbuilt hash function and then use  $h_{a,b,p}$  on the result.

As a first step, we will generate a random prime number.

#### (A) Generate Random Prime Numbers

```
# Python3 program Miller-Rabin randomized primality test
# Copied from geeksforgeeks: https://www.geeksforgeeks.org/primality-
test-set-3-miller-rabin/
import random

# Utility function to do
# modular exponentiation.
# It returns (x^y) % p
def power(x, y, p):

# Initialize result
res = 1;

# Update x if it is more than or
# equal to p
x = x % p;
```

```
while (y > 0):
           # If y is odd, multiply
           # x with result
           if (y & 1):
                 res = (res * x) % p;
           # y must be even now
           y = y >> 1; # y = y/2
           x = (x * x) % p;
     return res;
# This function is called
# for all k trials. It returns
# false if n is composite and
# returns false if n is
# probably prime. d is an odd
# number such that d*2 < sup > r < / sup > = n-1
# for some r >= 1
def miillerTest(d, n):
     # Pick a random number in [2..n-2]
     # Corner cases make sure that n > 4
     a = 2 + random.randint(1, n - 4);
     # Compute a^d % n
     x = power(a, d, n);
     if (x == 1 \text{ or } x == n - 1):
           return True;
     # Keep squaring x while one
     # of the following doesn't
     # happen
     # (i) d does not reach n-1
     # (ii) (x^2) % n is not 1
     # (iii) (x^2) % n is not n-1
     while (d != n - 1):
           x = (x * x) % n;
           d *= 2;
           if (x == 1):
                 return False;
           if (x == n - 1):
                 return True;
     # Return composite
     return False;
```

```
# It returns false if n is
# composite and returns true if n
# is probably prime. k is an
# input parameter that determines
# accuracy level. Higher value of
# k indicates more accuracy.
def isPrime( n, k):
     # Corner cases
     if (n \le 1 \text{ or } n == 4):
           return False;
     if (n <= 3):
           return True;
     # Find r such that n =
     \# 2^d * r + 1 \text{ for some } r >= 1
     d = n - 1:
     while (d \% 2 == 0):
           d //= 2;
     # Iterate given nber of 'k' times
     for i in range(k):
           if (miillerTest(d, n) == False):
                 return False:
     return True;
# Driver Code
# Number of iterations
k = 4;
print("All primes smaller than 100: ");
for n in range(1,100):
     if (isPrime(n, k)):
           print(n , end=" ");
# This code is contributed by mits (see citation above)
All primes smaller than 100:
2 3 5 7 11 13 17 19 23 29 31 37 41 43 47 53 59 61 67 71 73 79 83 89 97
```

#### Step 2: Universal Hash Families

We will provide three useful functions for you:

- get\_random\_hash\_function: Generate triple of numbers (p, a, b) at random, where p is prime, a and b are numbers between 2 and p-1. The hash function  $h_{p,a,b}(n)$  is given by \$ (an + b) \mod p\$.
- hashfun: apply the random hash function on a number num.

• hash\_string: apply the hash function on a string hstr. Note that the result is between 0 and p-1. If your hash table has size m, you should take a mod m on this result where you call hash string.

Please use these functions in your code below.

```
# Get a random triple (p, a, b) where p is prime and a,b are numbers
betweeen 2 and p-1
def get random hash function():
    n = random.getrandbits(64)
    if n < 0:
        n = -n
    if n % 2 == 0:
        n = n + 1
    while not isPrime(n, 20):
        n = n + 1
    a = random.randint(2, n-1)
    b = random.randint(2, n-1)
    return (n, a, b)
# hash function fora number
def hashfun(hfun rep, num):
    (p, a, b) = hfun rep
    return (a * num + b) % p
# hash function for a string.
def hash string(hfun rep, hstr):
    n = hash(hstr)
    return hashfun(hfun rep, n)
```

## Step 3: Loading Data

We are going to load two files great-gatsby-fitzgerald.txt and war-and-peace-tolstoy.txt to load up the text of two famous novels courtesy of Project Guttenberg. We will filter all wordsd of length >= 5 and also count the frequency of each word in a dictionary. This will be fast because it is going to use highly optimized hashtable (dictionaries) built into python.

```
# Let us load the "Great Gatsby" novel and extract all words of length
5 or more
filename = 'great-gatsby-fitzgerald.txt'
file = open (filename,'r')
txt = file.read()
txt = txt.replace('\n',' ')
words= txt.split(' ')
longer_words_gg = list(filter(lambda s: len(s) >= 5, words))
print(len(longer_words_gg))
# Let us count the precise word frequencies
word_freq_gg = {}
for elt in longer_words_gg:
```

```
if elt in word_freq_gg:
        word freq gg[elt] += 1
    else:
        word freq gg[elt] = 1
print(len(word freq gg))
21342
8849
# Let us load the "War and Peace" novel by Tolstoy translation and
extract all words of length 5 or more
filename = 'war-and-peace-tolstoy.txt'
file = open (filename, 'r')
txt = file.read()
txt = txt.replace('\n',' ')
words= txt.split(' ')
longer_words_wp = list(filter(lambda s: len(s) >= 5, words))
print(len(longer_words_wp))
word freq wp = \{\}
for elt in longer words wp:
    if elt in word freq wp:
        word freq wp[elt] += 1
    else:
        word freq wp[elt] = 1
print(len(word freq wp))
237611
38777
```

## Problem 1: Implement count-min sketch

Implement CountMinSketch class below where num\_counters is the number of counters. You are given the constructor that already generates a random representative of a hash function family. Implement the functions:

- increment
- approximateCount.

Please read the constructor carefully: it initializes the counters and generates the hash function for you. Also, when you call hash\_string function defined previously, do not forget to take result modulo m.

```
# Class for implementing a count min sketch "single bank" of counters
class CountMinSketch:
    # Initialize with `num_counters`
    def __init__ (self, num_counters):
        self.m = num_counters
        self.hash_fun_rep = get_random_hash_function()
        self.counters = [0]*self.m
```

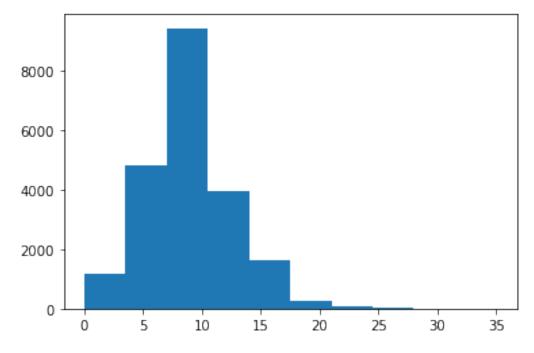
```
# your code here
    # Function: increment
    # Given a word, increment its count in the count-min sketch
    def increment(self, word):
        # Hash the word to get the index in the counters array
        index = hash string(self.hash fun rep, word) % self.m
        # Increment the counter at the hashed index
        self.counters[index] += 1
    # Function: approximateCount
    # Given a word, get its approximate count
    def approximateCount(self, word):
        # Hash the word to get the index in the counters array
        index = hash string(self.hash fun rep, word) % self.m
        # Return the value of the counter at the hashed index
        return self.counters[index]
# We will now implement the algorithm for a bank of k counters
# Initialize k different counters
def initialize k counters(k, m):
    return [CountMinSketch(m) for i in range(k)]
# Function: increment counters
# Increment each of the individual counters with the word
def increment counters(count min sketches, word):
    for cms in count min sketches:
        cms.increment(word)
# Function: approximate count
# Get the approximate count by querying each counter bank and taking
the minimum
def approximate count(count min sketches, word):
    return min([cms.approximateCount(word) for cms in
count min sketches])
%matplotlib inline
from matplotlib import pyplot as plt
# Let's see how well your solution performs for the Great Gatsby words
cms list = initialize k counters(5, 1000)
for word in longer words gg:
    increment_counters(cms_list, word)
```

```
discrepencies = []
for word in longer_words_gg:
    l = approximate_count(cms_list, word)
    r = word_freq_gg[word]
    assert ( l >= r)
    discrepencies.append( l-r )

plt.hist(discrepencies)

assert(max(discrepencies) <= 200), 'The largest discrepency must be definitely less than 200 with high probability. Please check your implementation'
print('Passed all tests: 10 points')

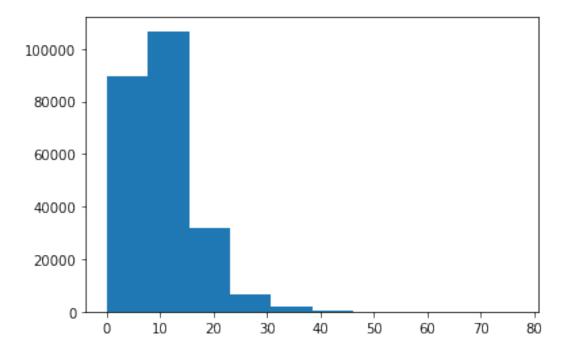
Passed all tests: 10 points</pre>
```



```
# Let's see how well your solution performs for the War and Peace
cms_list = initialize_k_counters(5, 5000)
for word in longer_words_wp:
    increment_counters(cms_list, word)

discrepencies = []
for word in longer_words_wp:
    l = approximate_count(cms_list, word)
    r = word_freq_wp[word]
    assert ( l >= r)
    discrepencies.append( l-r )
```

```
plt.hist(discrepencies)
print('Passed all tests: 5 points')
Passed all tests: 5 points
```



#### Problem 1B

Check the data obtained above with calculations along the lines of what was done in class. If we had 5 banks of counters with 5000 counters each and a uniform hash function family, what is the probability that when counting a total of  $N = 2.5 \times 10^5$  words, we have a discrepency by 80 or more.

This problem will not be graded but simply for you to understand the calculations involved.

YOUR ANSWER HERE

## Problem 2: Using a Bloom Filter to Count Common Words.

In this problem, we will implement a Bloom filter to count how many elements of longer\_words\_wp (the words of length 5 or more in War and Peace) appear in the Great-Gatsby novel. To do so, we will do the following:

- Instantiate a Bloom filter with number of bits n and number of hash functions k.
- Insert all words from great-gatsby into the filter.
- For each word from war and peace, check membership in the Bloom filter and count the number of yes answers.

```
class BloomFilter:
   def __init__(self, nbits, nhash):
```

```
self.bits = [False] * nbits # Initialize all bits to False
        self.m = nbits
        self.k = nhash
        # Get k random hash functions
        self.hash_fun_reps = [get_random_hash function() for in
range(self.k)]
    # Function to insert a word in a Bloom filter
    def insert(self, word):
        for hash fun rep in self.hash fun reps:
            index = hash_string(hash_fun_rep, word) % self.m
            self.bits[index] = True
    # Check if a word belongs to the Bloom Filter
    def member(self, word):
        for hash fun rep in self.hash fun reps:
            index = hash string(hash fun rep, word) % self.m
            if not self.bits[index]:
                return False
        return True
#do the exact count
# it is a measure of how optimized python data structures are under
the hood that
# this operation finishes very quickly.
all_words_gg = set(longer_words_gg)
exact common wc = 0
for word in longer words wp:
    if word in all words gg:
        exact common wc = exact common wc + 1
print(f'Exact common word count = {exact common wc}')
Exact common word count = 124595
# Try to use the same using a bloom filter.
bf = BloomFilter(100000, 5)
for word in longer words gg:
    bf.insert(word)
for word in longer words gg:
    assert (bf.member(word)), f'Word: {word} should be a member'
common word count = 0
for word in longer words wp:
    if bf.member(word):
        common word count= common word count + 1
print(f'Number of common words of length >= 5 equals :
{common word count}')
assert ( common word count >= exact common wc)
print('All Tests Passed: 10 points')
```

Number of common words of length >= 5 equals : 125625

All Tests Passed: 10 points

#### Problem 2 B

Given a Bloom filter with m=100000 bits and k=5 hash functions that map each key uniformly at random to one of the bits (assumption), estimate the probability that k bits  $i_1, \ldots, i_k$  are simultaneously set when n=10000 words are inserted. Assume that whether or not a particular bit is set is independent of another.

YOUR ANSWER HERE

## Manually Graded Solutions

#### Problem 1B

Note that for each word we have  $E[\operatorname{approxCount}(word) - \operatorname{count}(word)] \le \frac{N}{m}$ . The probability that for some word, the approximate count differs from the real one by at least 80 for one of the counter banks is bounded by Markov Inequality as:

$$P\big(\operatorname{approxCount}(word) - \operatorname{count}(word) \ge 80\big) \le \frac{E\big(\operatorname{approxCount}(word) - \operatorname{count}(word)\big)}{80} \le \frac{2.5 \times 10^5}{80 \times 5000} \approx \frac{5}{8}$$

The probability that this happens for all five counter banks is bounded by  $\left(\frac{5}{8}\right)^5 \approx 0.095$ .

However, this bound happens to be not so tight. Empirically, we see that this happens for roughly one word out of the nearly quarter million words in the corpus.

#### Problem 2 B

The probability that any given bit is not set when n words are inserted is  $\left(1-\frac{1}{m}\right)^{kn}=\left(1-\frac{1}{100000}\right)^{5\times 10000}=e^{-0.5}$ .

$$\left(1-\frac{1}{m}\right)^{kn} = \left(1-\frac{1}{100000}\right)^{5\times10000} = e^{-0.5}.$$

The probability that all five bits are simultaneously set is  $(1 - e^{-0.5})^5 = 0.009$ .

Therefore, we will expect the false positive rate to be roughly 1%.

#### That's All Folks!