

# Discussion 8

Tree index & Midterm Exam Solutions  
EECS 484

# Logistics

- Homework 4 due this Thursday (Nov 3) at 11:55 PM EST
- Project 3 due Nov 10 at 11:55 PM EST
- Midterm exam grade released
  - Solutions on canvas
  - Accepting regrade requests on Gradescope by Nov 10
- Today
  - Tree index
  - Midterm exam question

# Tree index

# B+ Trees

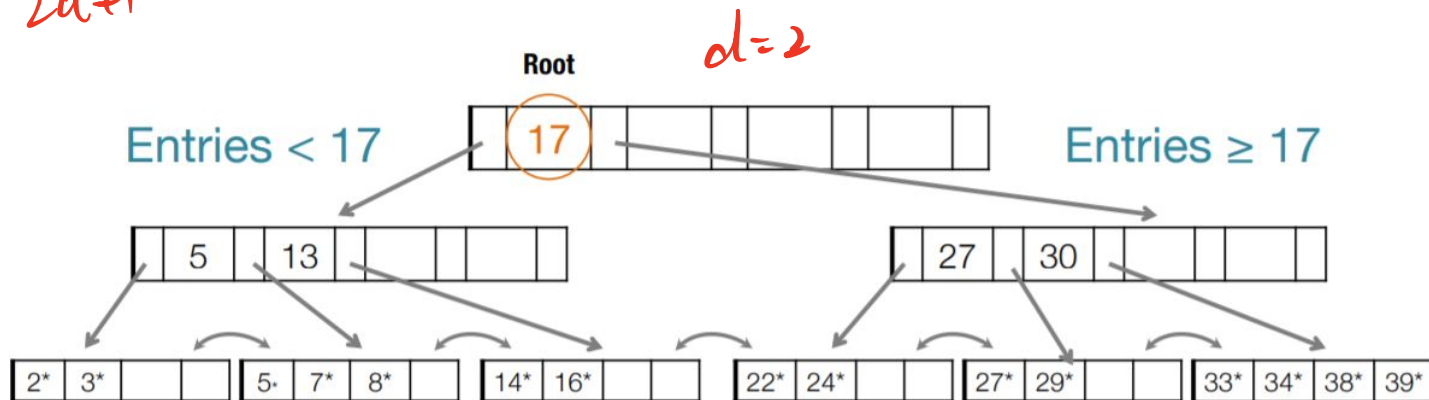
$$d \leq n \leq 2d$$



- Self balancing tree structure with multiple element in each node
  - All leaf nodes are the same height/depth
    - Height = length of any path from root to the leaf (*# steps to take to get to the root*)
  - Order is the minimum number of entries in all (non-root) nodes
  - $2 \times \text{order}$  is the maximum number (capacity) of entries in all nodes
  - Max fanout
    - Max pointers in an inner node (maximum number of children for a node)
    - $2 \times \text{order} + 1$  *2d+1*

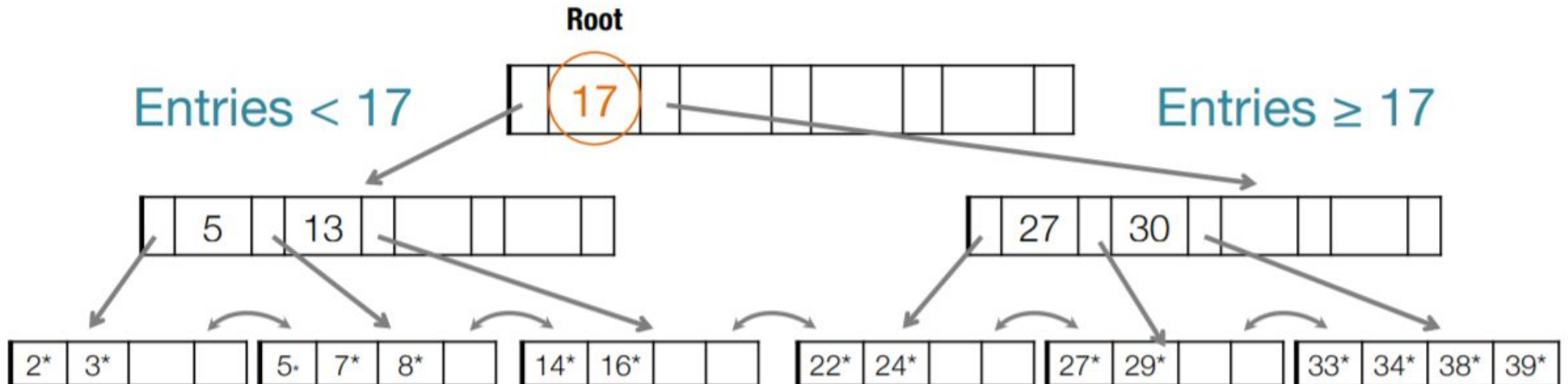
- 3 main operations

- Search
- Insert
- Delete



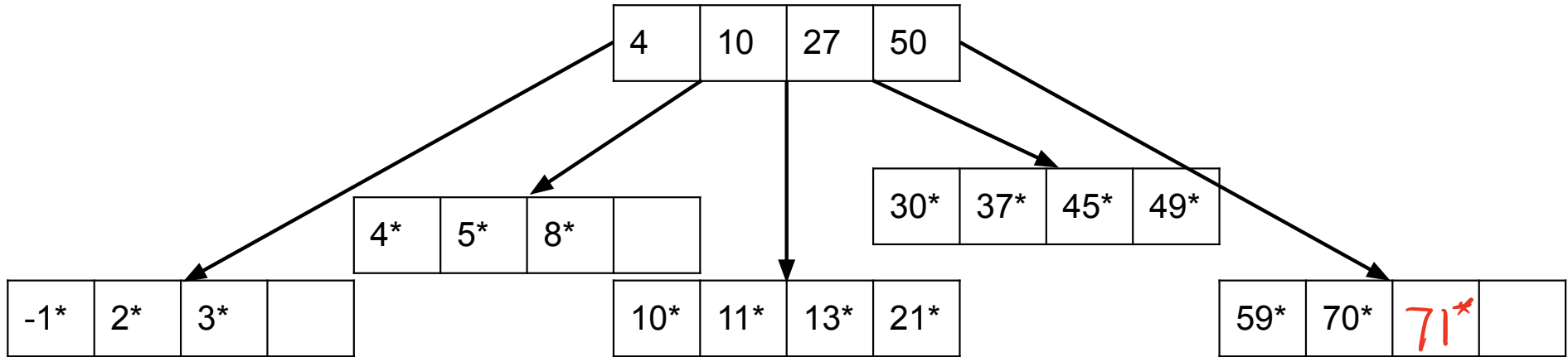
# Searching in B+ Tree

- Searching for a particular element
  - Follow the pointers in each node until you find the leaf the element SHOULD exist in
    - No guarantee, if it doesn't exist in the leaf node it doesn't exist in the tree
  - Pointers are “guides”



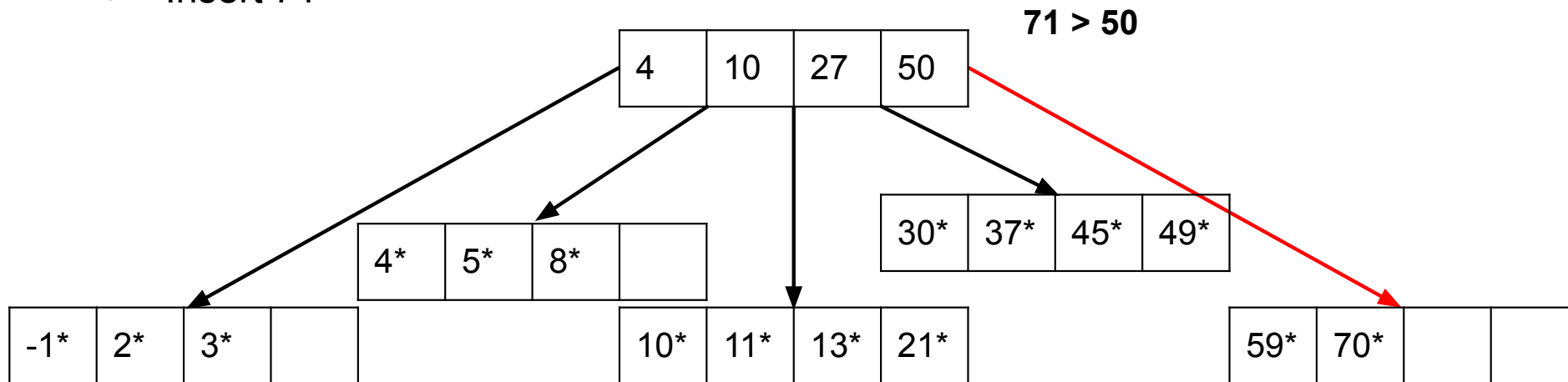
# Inserting into a B+ Tree

- Add an element to the correct leaf node
  - If the desired leaf node has capacity, easy
    - Otherwise need to either split or redistribute
- Normal Insert
  - Insert 71\*



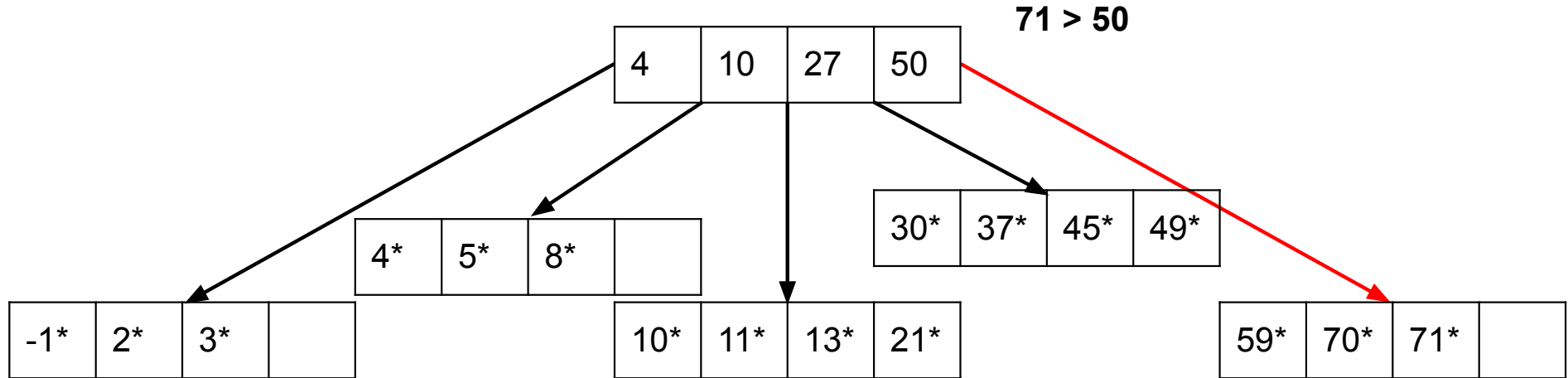
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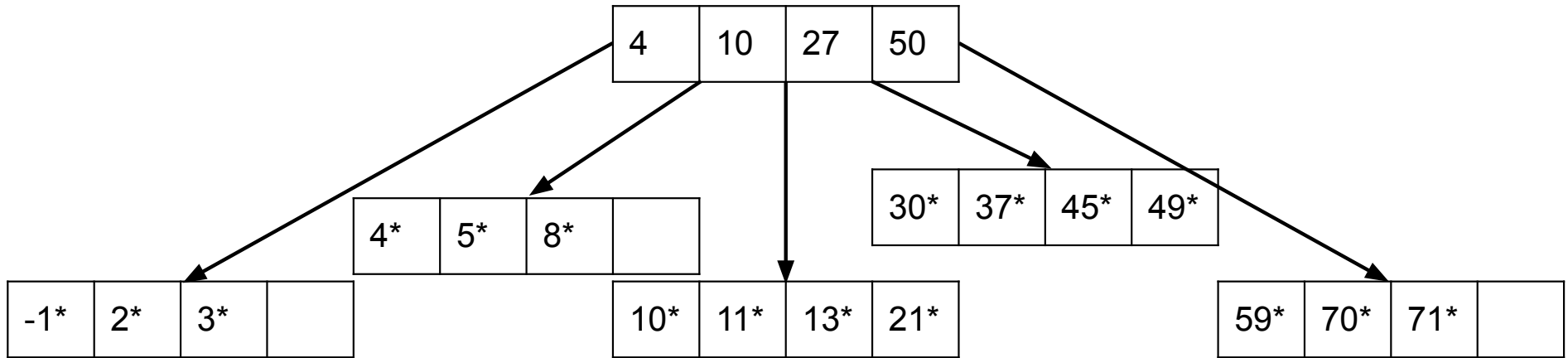
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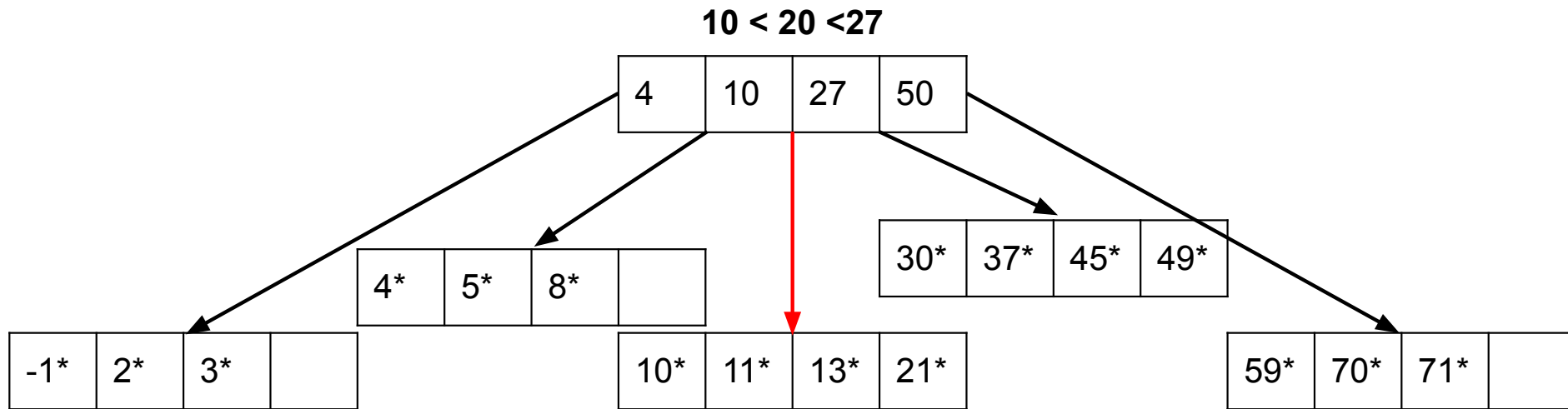
# Inserting into a B+ Tree

- Redistribute elements to left sibling
  - Insert 20\*



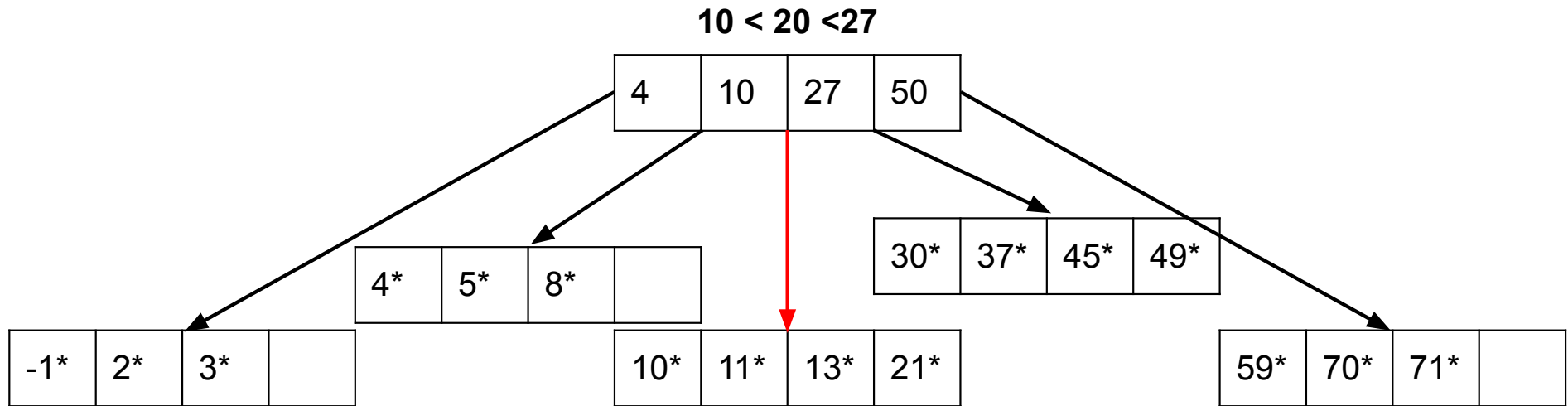
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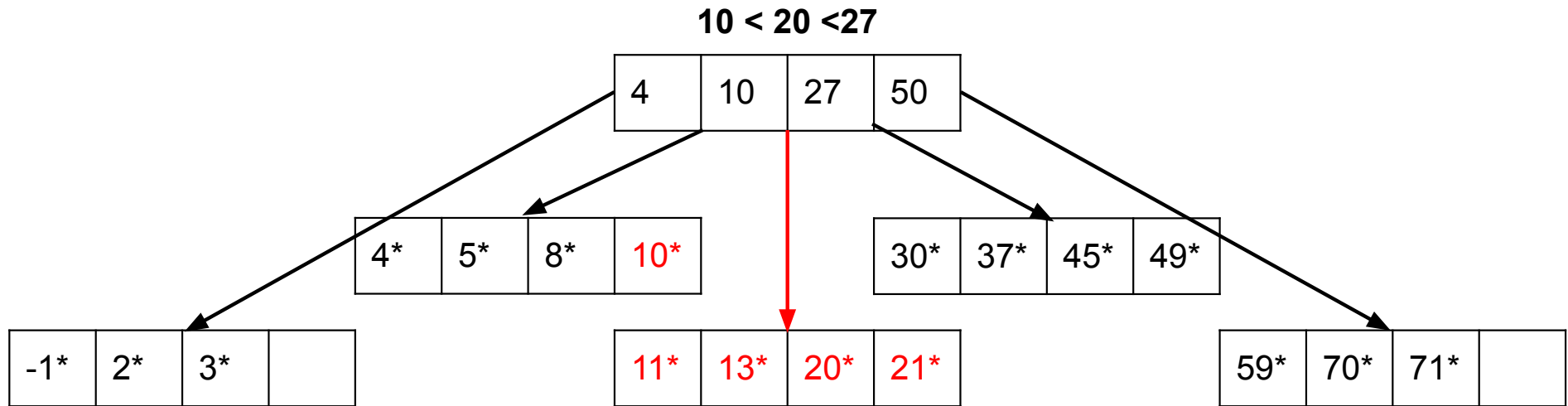
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  - Insert 20\*



**Kick smallest element left**

# Inserting into a B+ Tree

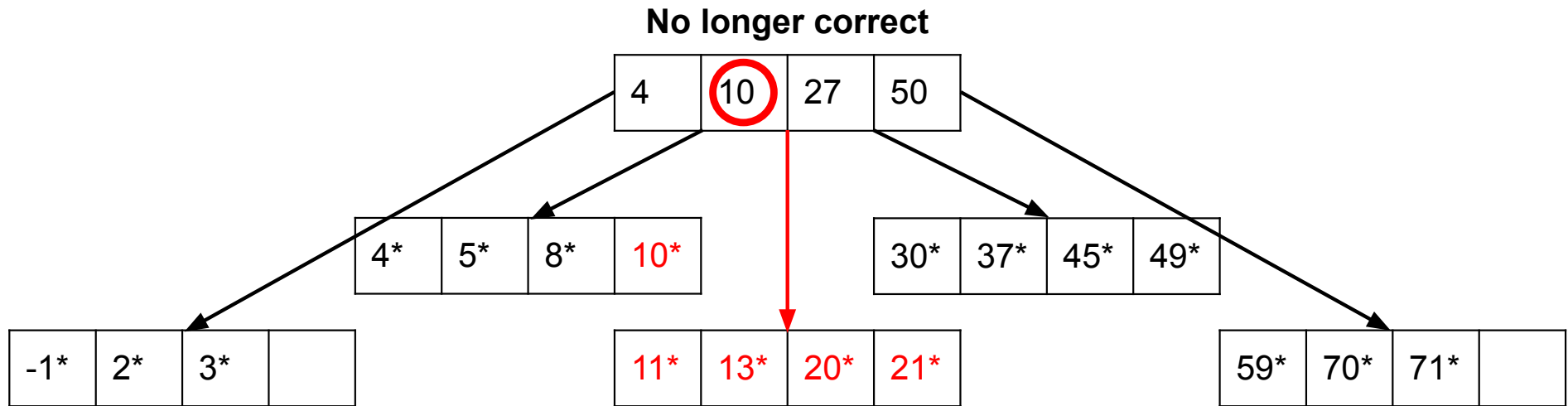
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  - Insert 20\*



**Kick smallest element left**

# Inserting into a B+ Tree

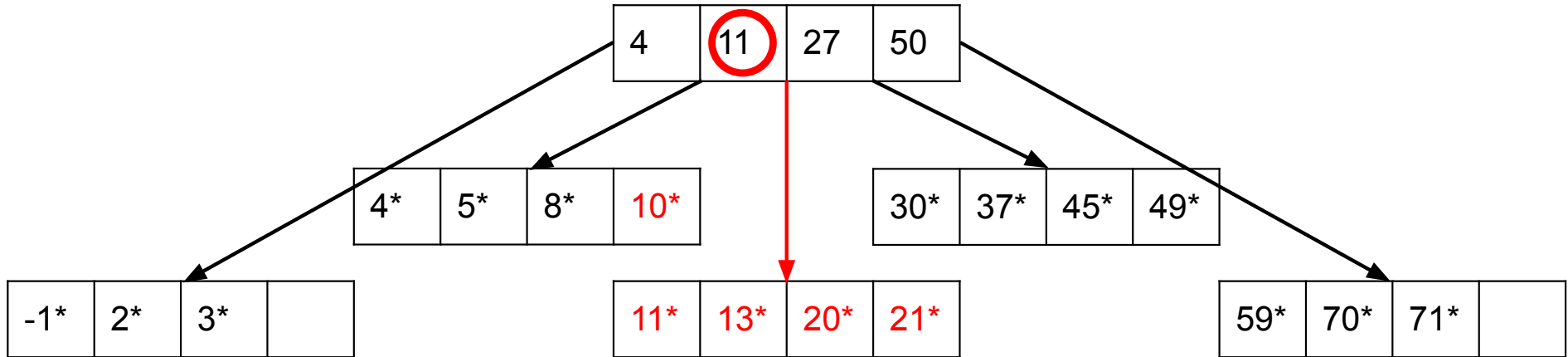
- Redistribute elements to left sibling
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# Inserting into a B+ Tree

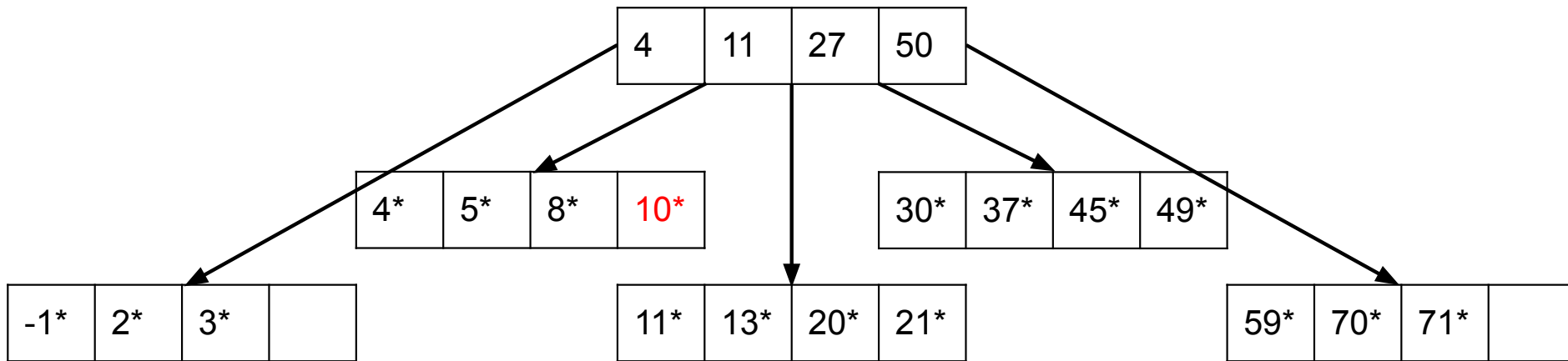
- Redistribute elements to left sibling
  - Insert 20\*

Replace with new smallest element



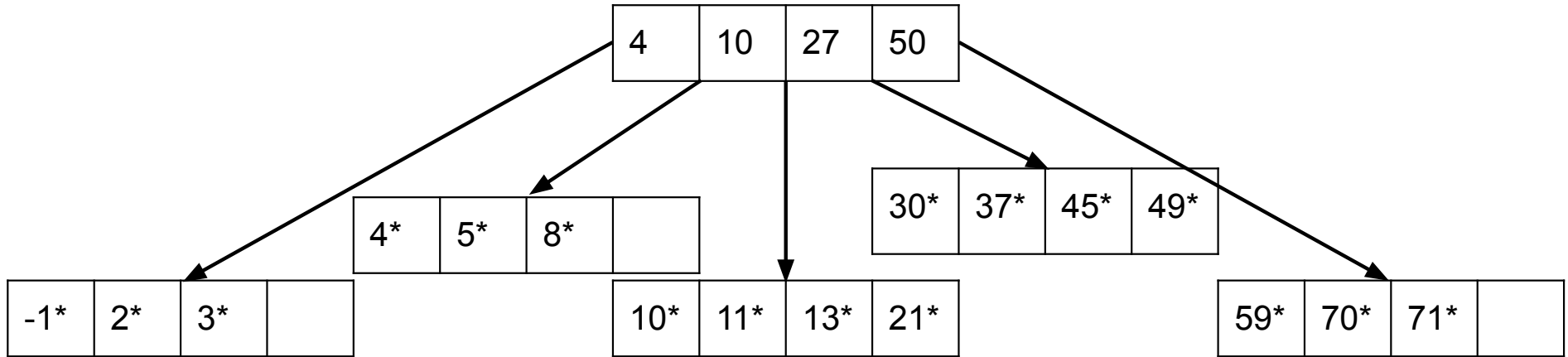
# Inserting into a B+ Tree

- Redistribute elements to left sibling
  - Insert 20\*
  - And we're done :)



# Inserting into a B+ Tree

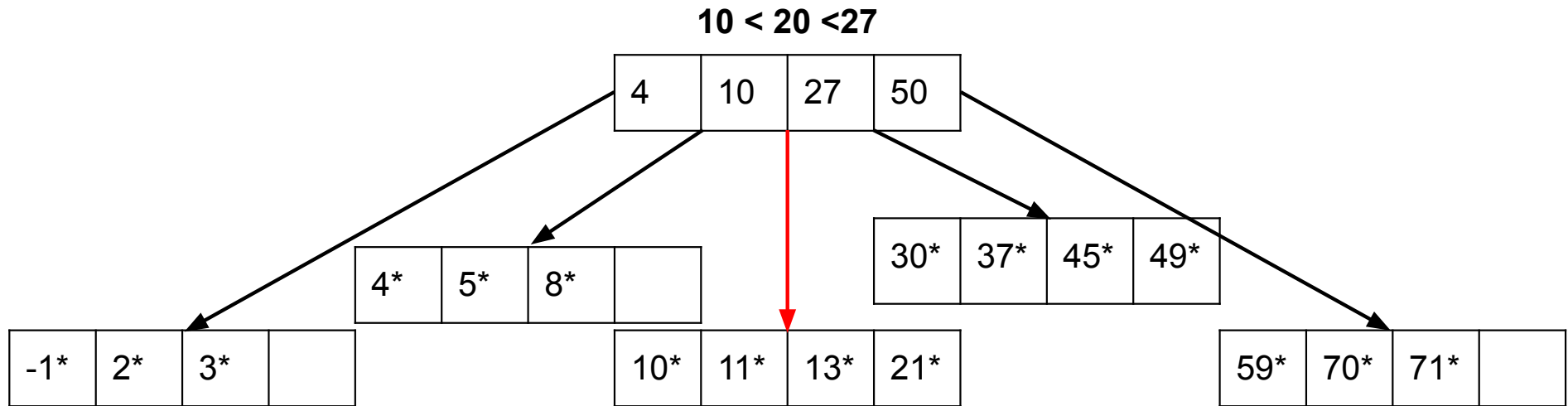
- Split with extra elements in right child
  - Insert 20\*





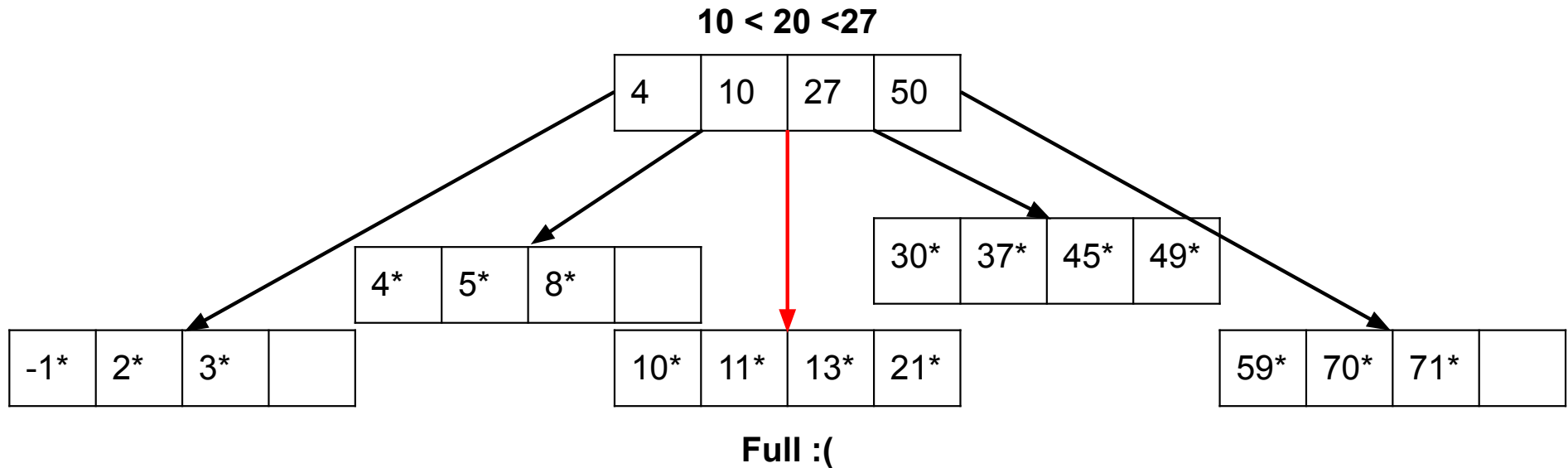
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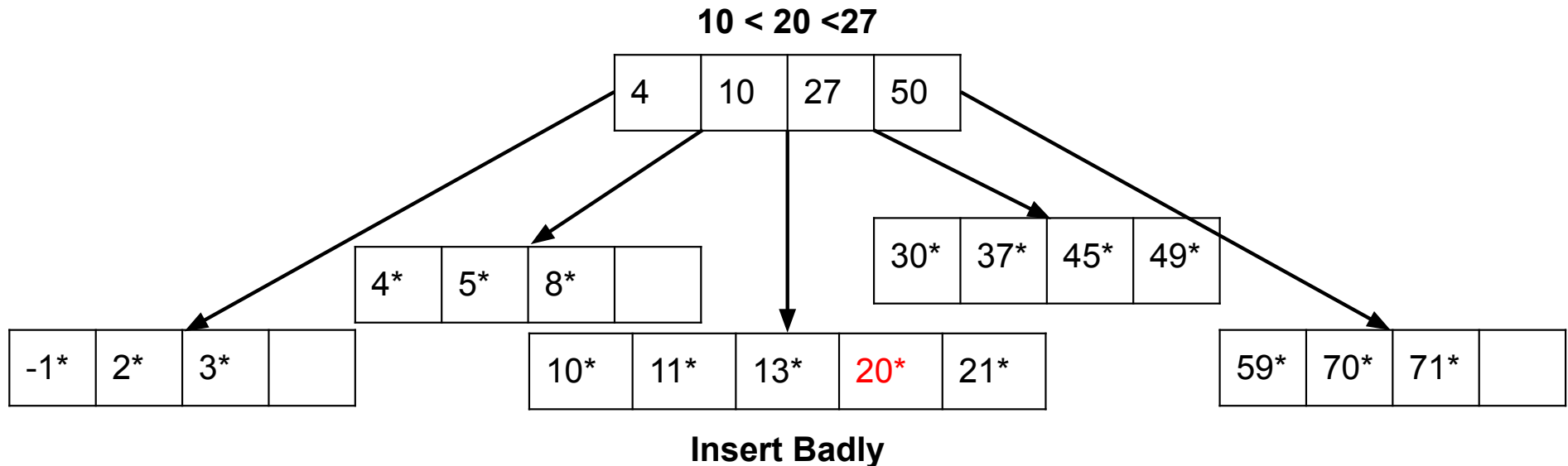
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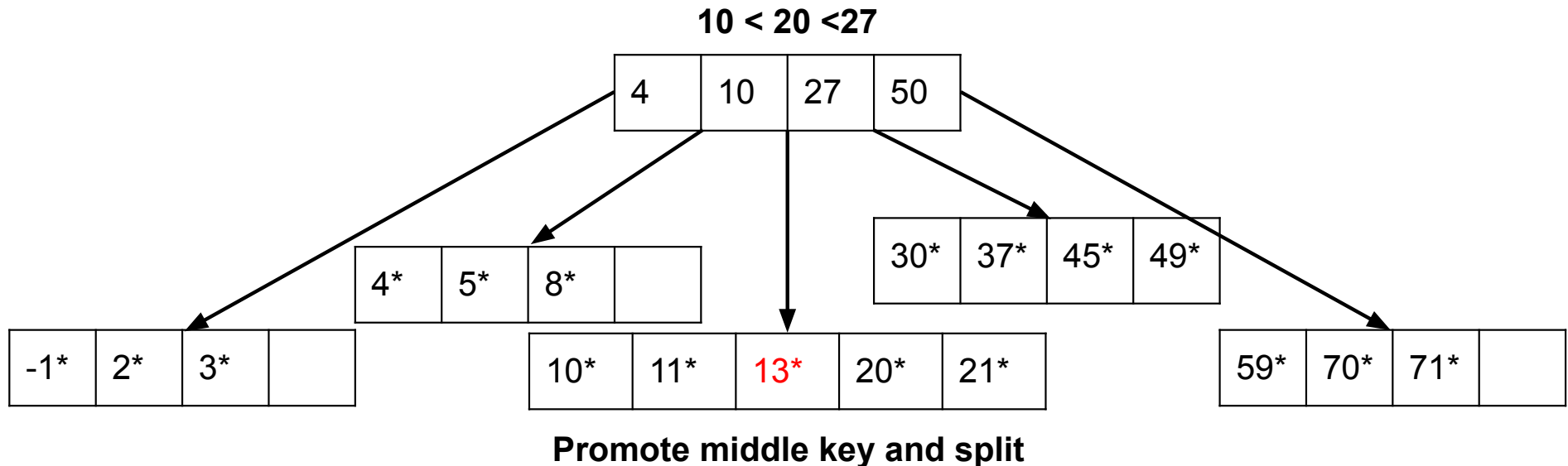
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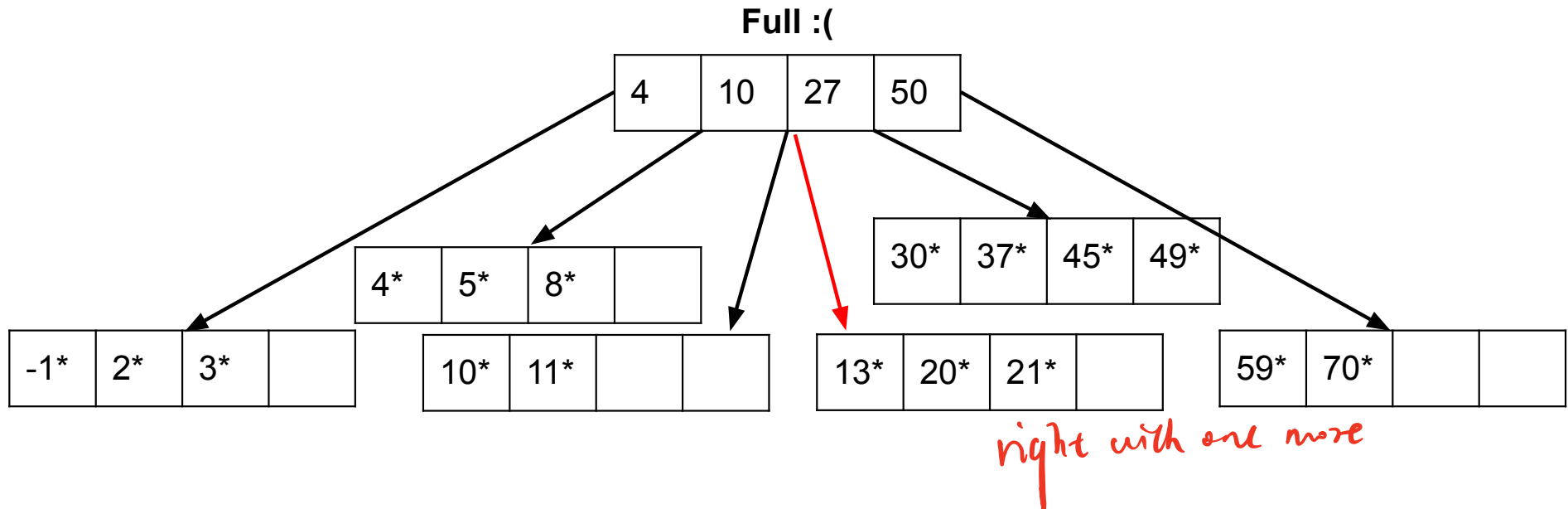
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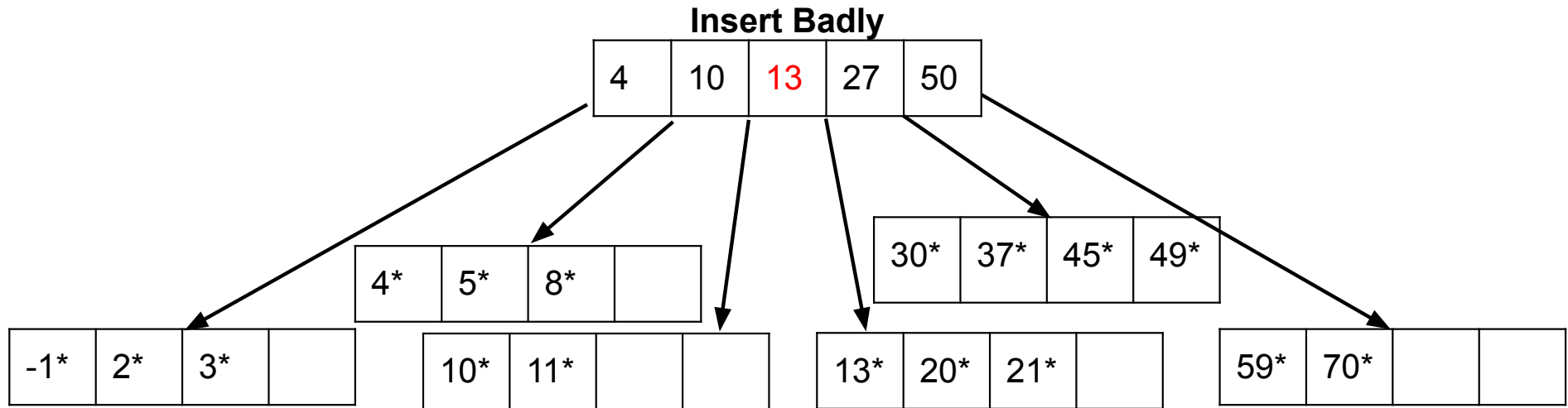
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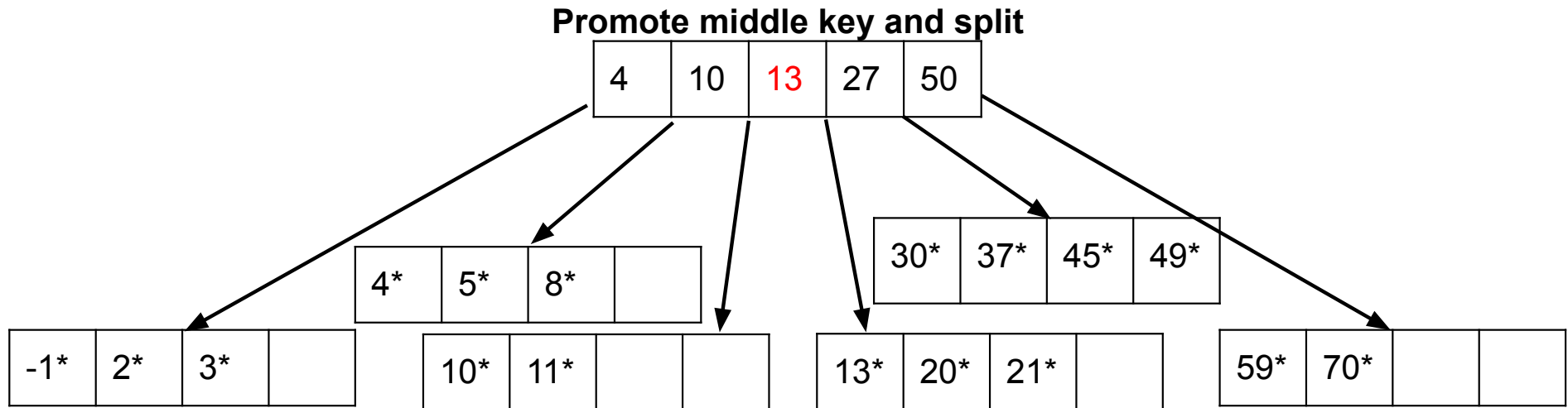
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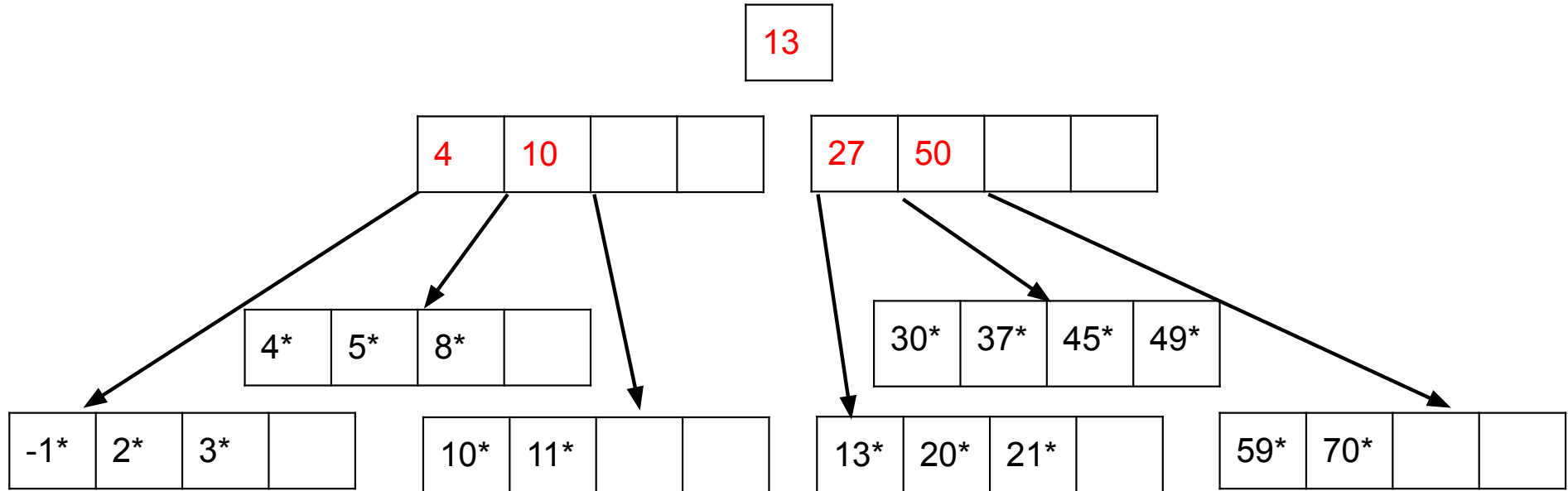
# Inserting into a B+ Tree

- Split with extra elements in right child
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# Inserting into a B+ Tree

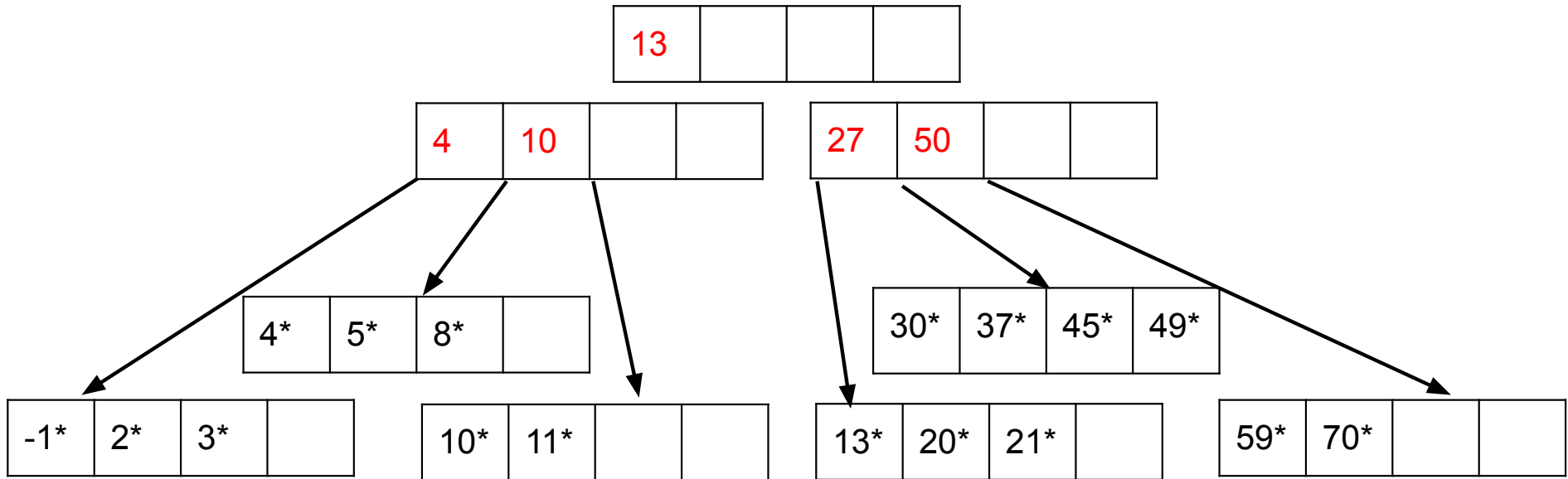
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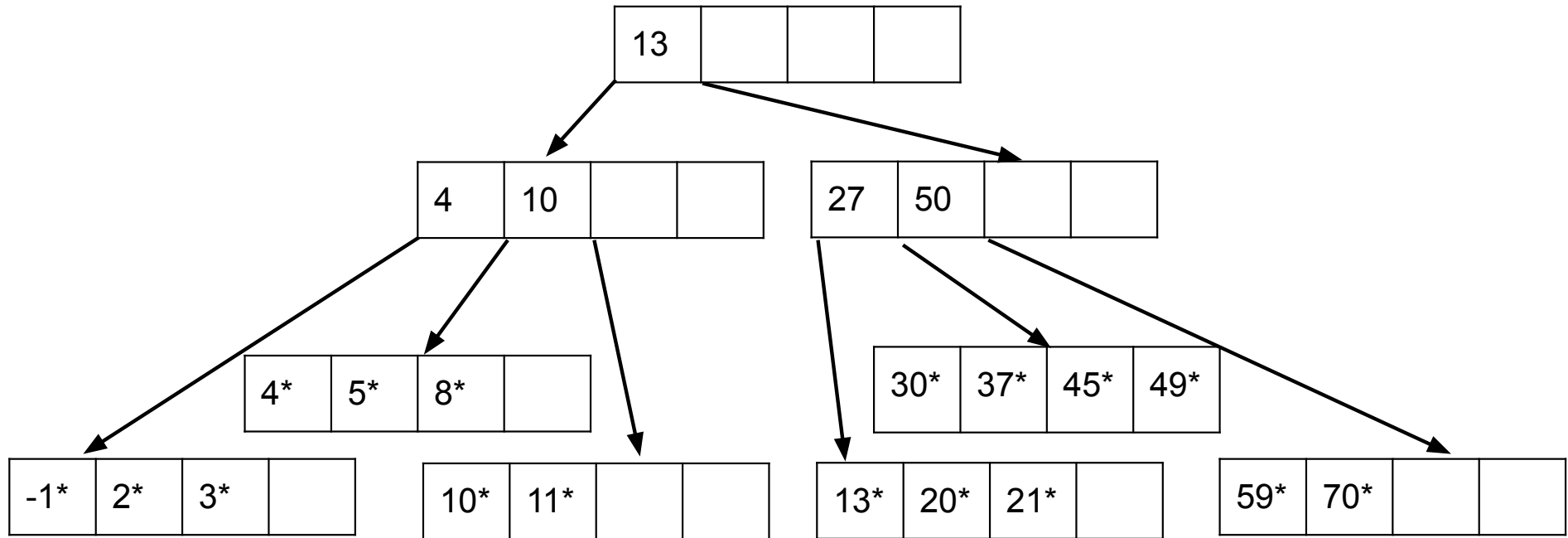
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# Inserting into a B+ Tree

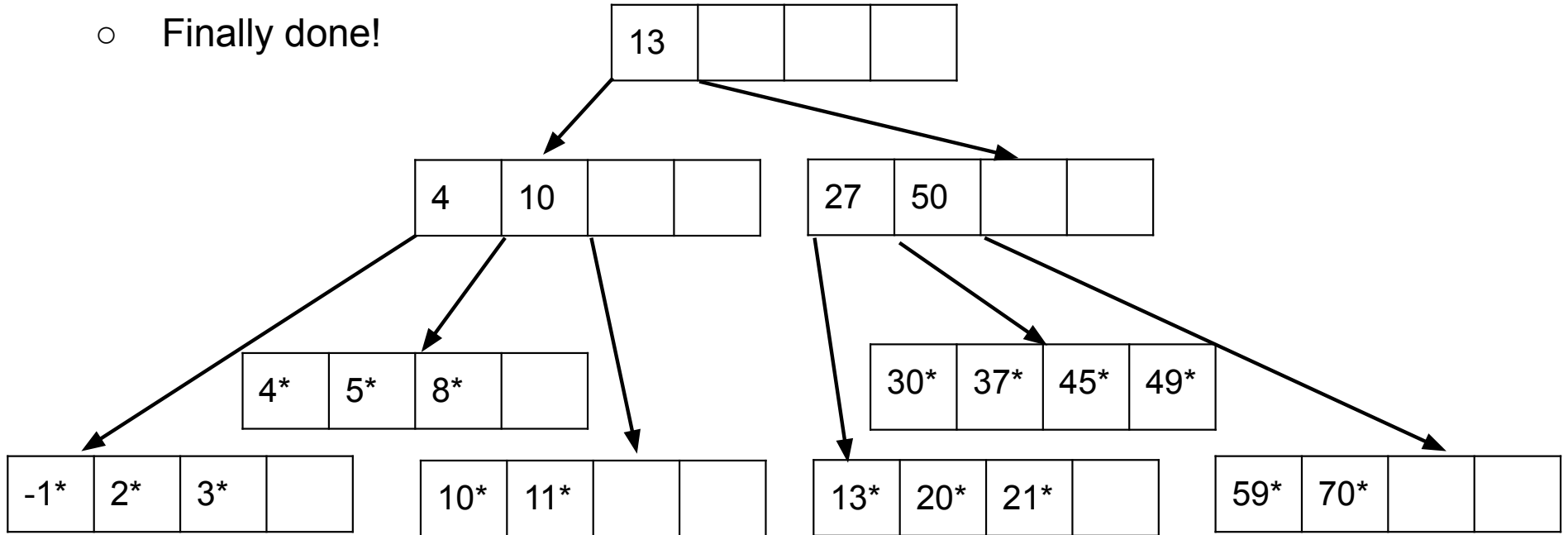
- Split with extra elements in right child
  - Insert 20\*

**Reconnect**



# Inserting into a B+ Tree

- Split with extra elements in right child
  - Insert 20\*
  - Finally done!



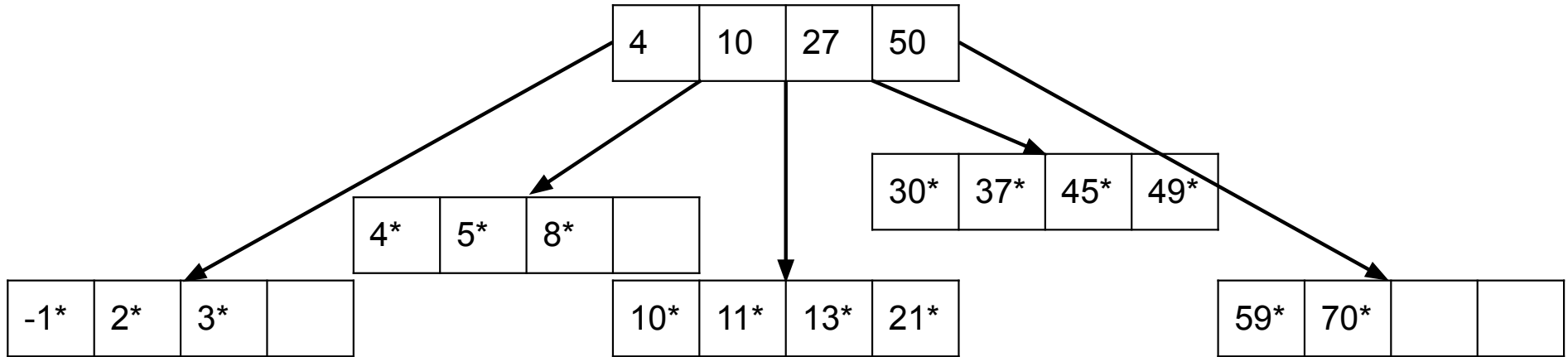
# Inserting into a B+ Tree

## Takeaways

- Redistributing is a lot less work
  - Usually smaller height
  - More data entries per page
  - More I/O (need to check right/left nodes)
  - Can't do this if the right and left nodes are full

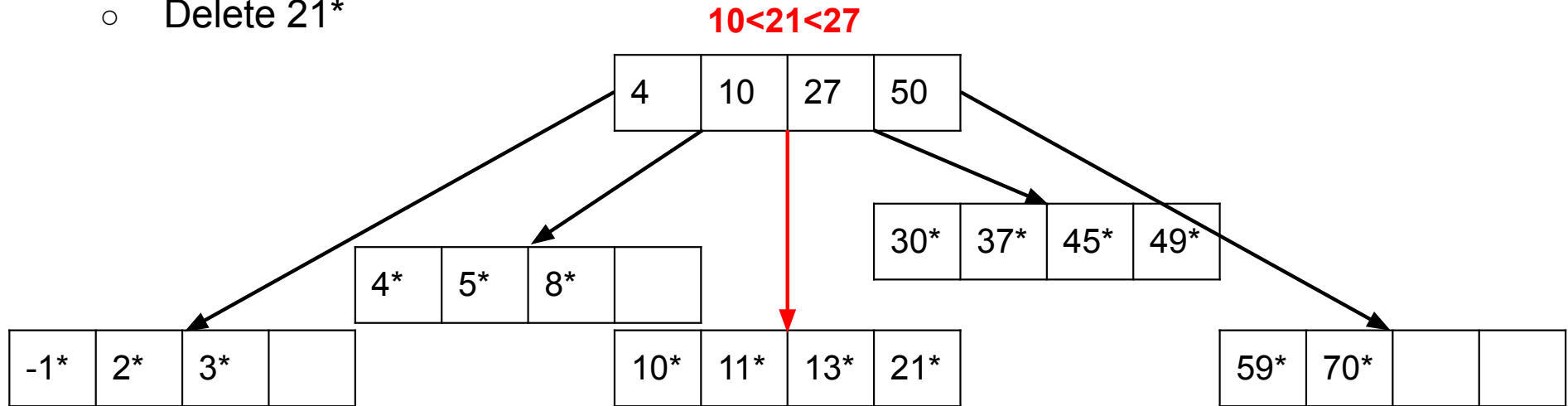
# Deleting from a B+ Tree

- Delete an element from the tree
  - If the leaf node has elements  $\geq$  order, then easy
    - Otherwise need to either redistribute or merge
- Normal Delete
  - Delete 21\*



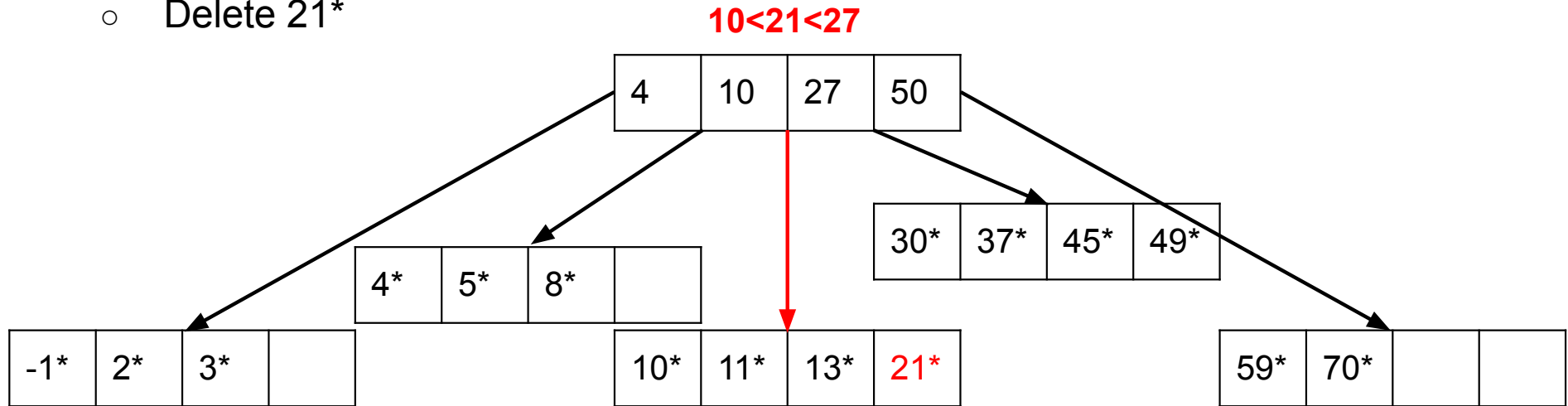
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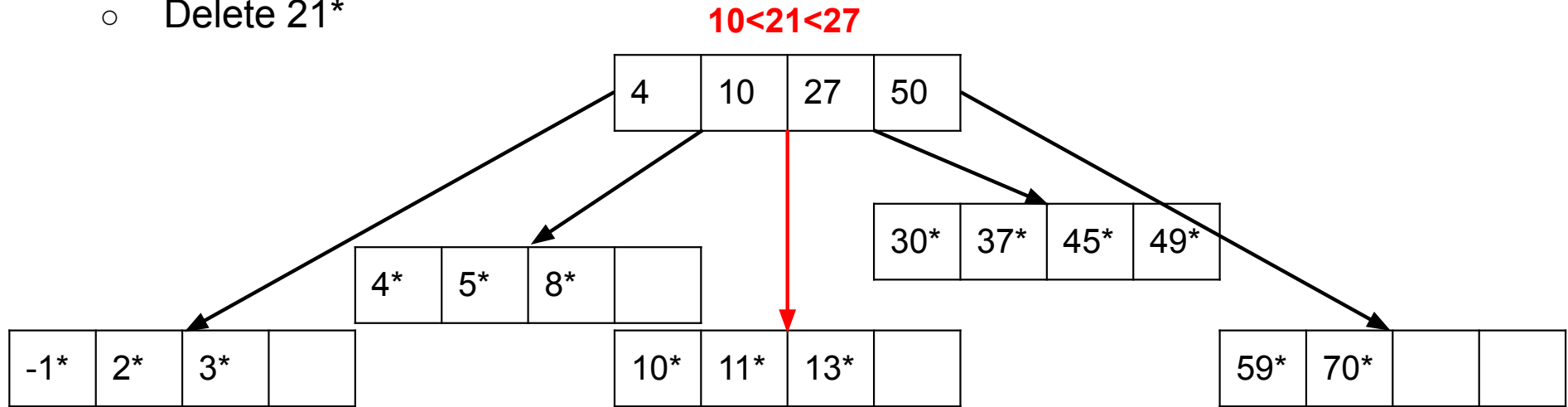
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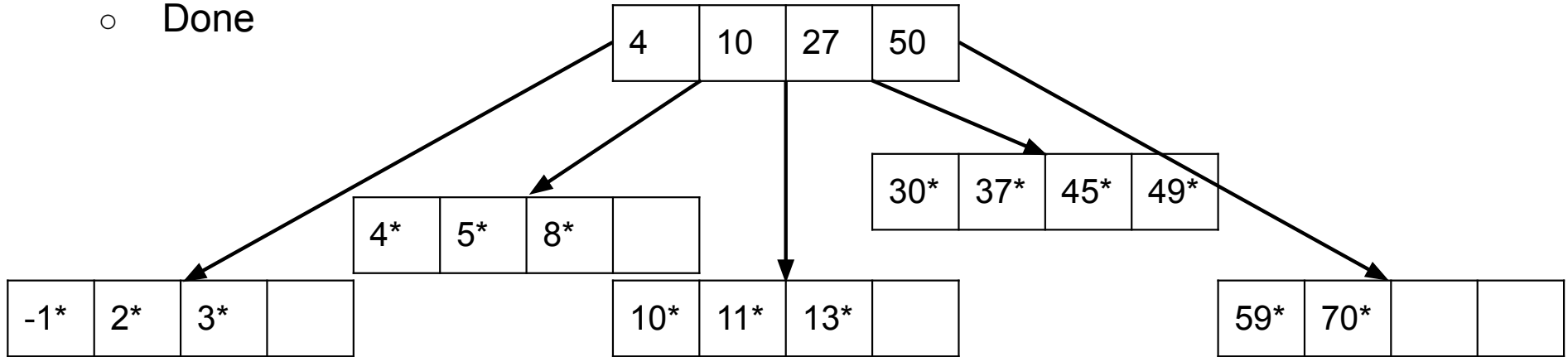
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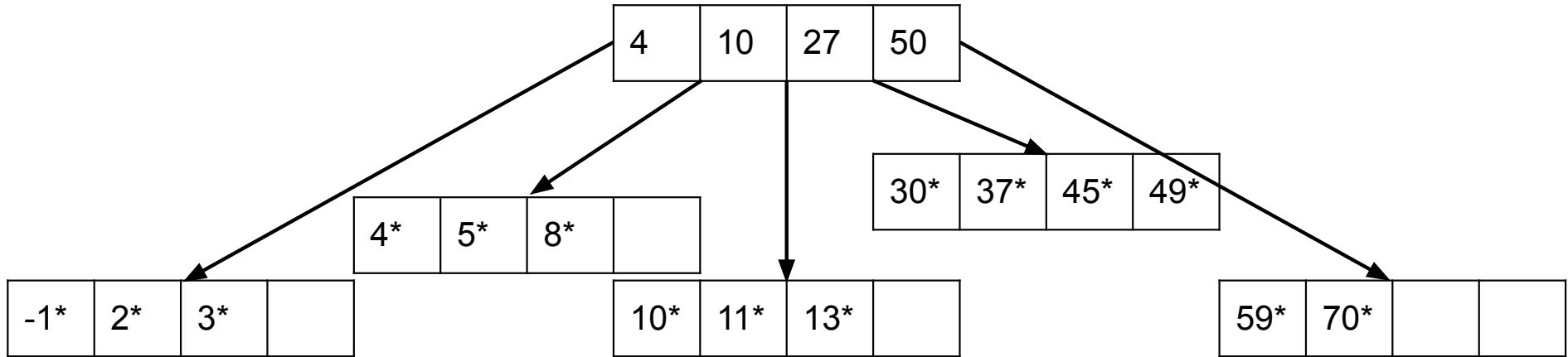
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  - Delete 21\*
  - Done



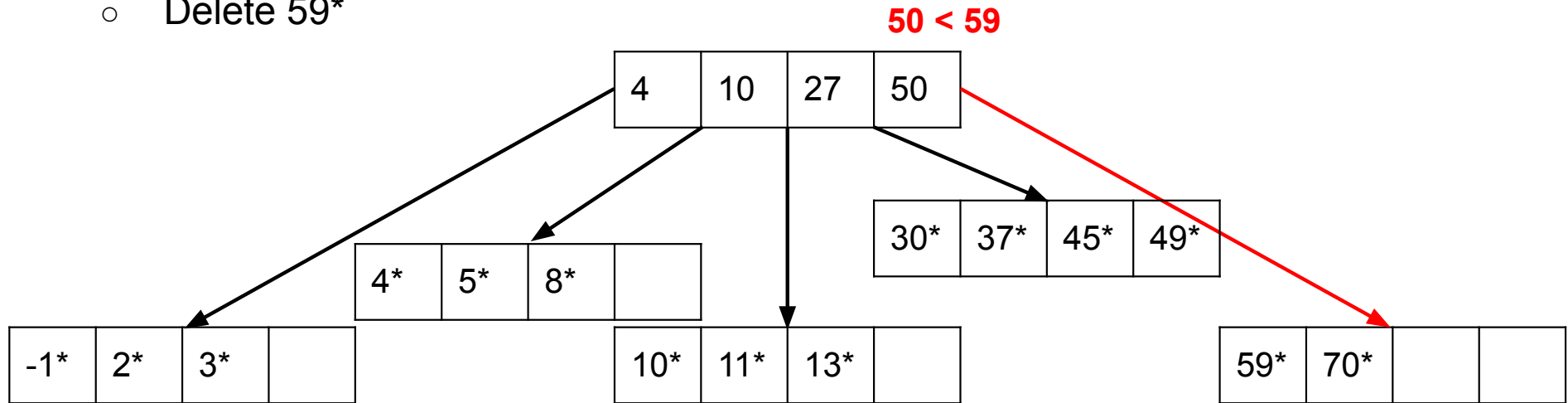
# Deleting from a B+ Tree

- Delete an element from the tree
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- Try redistribution
  - Delete 59\*



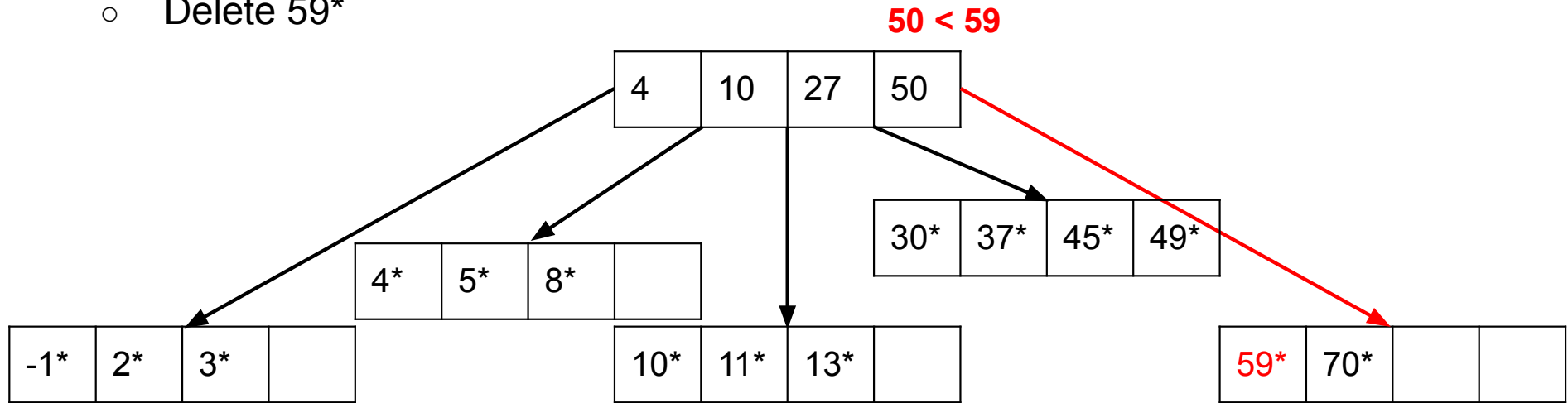
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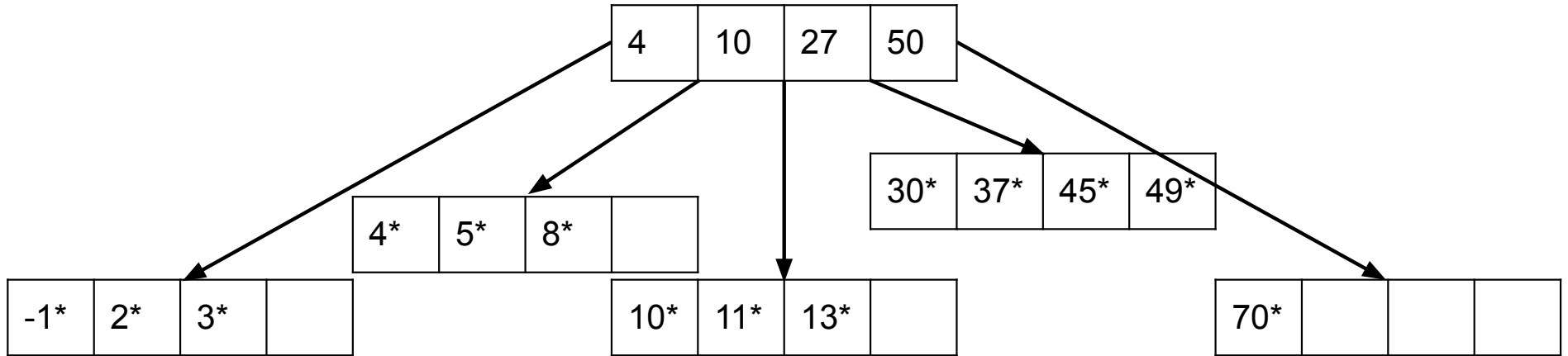
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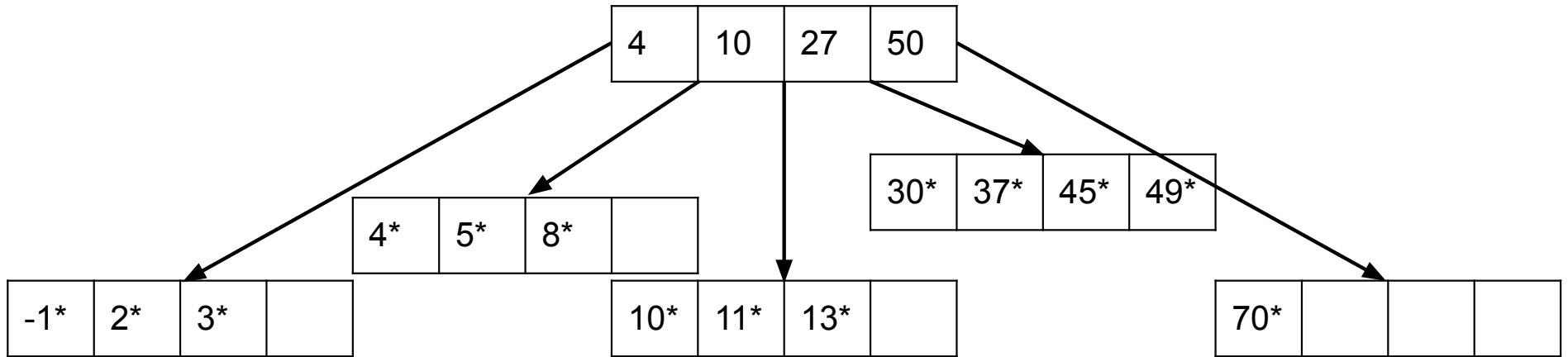
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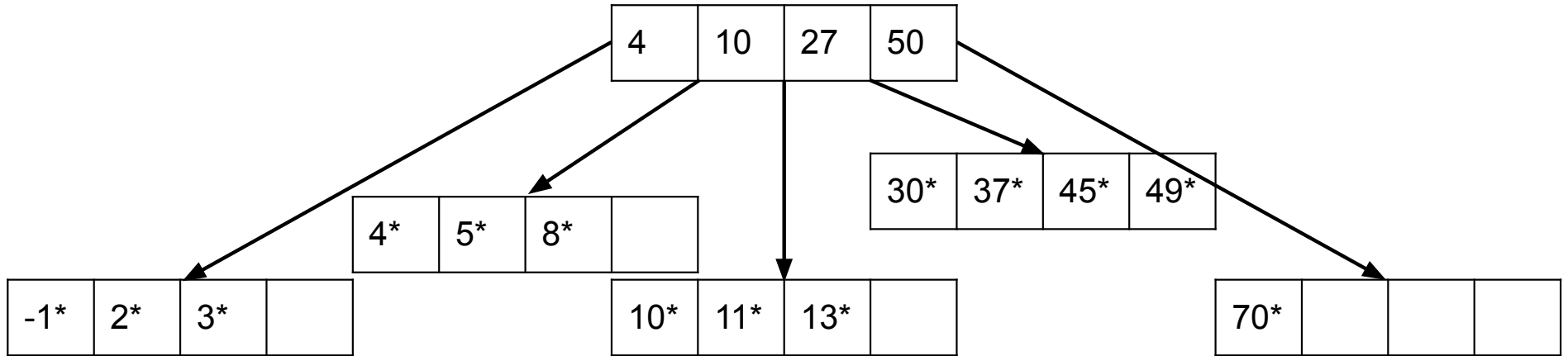
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**Num elements < order**

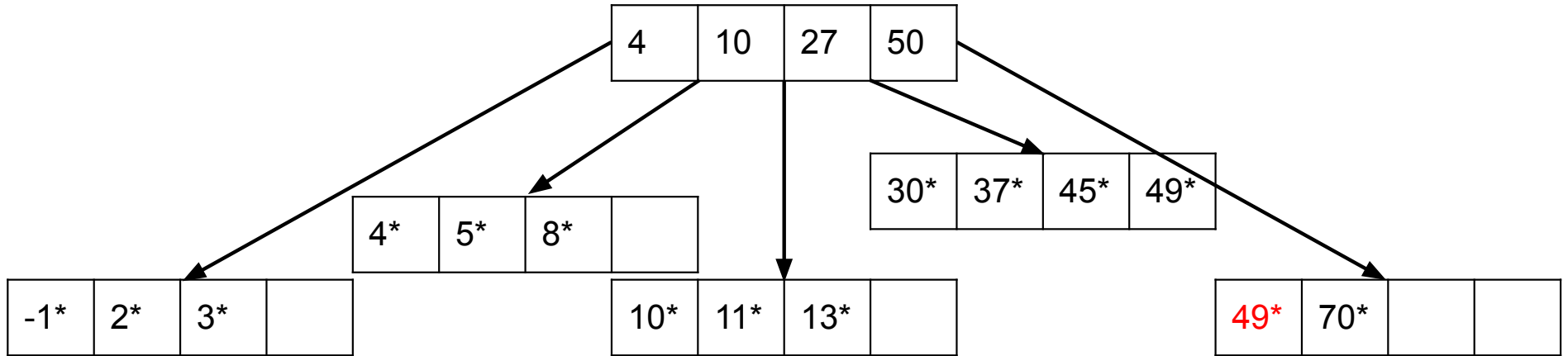
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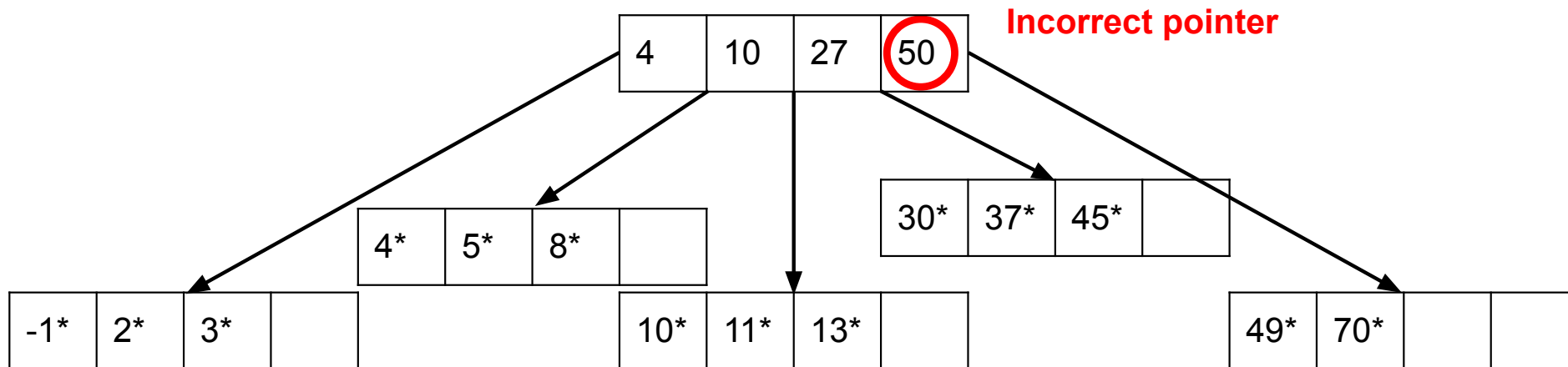
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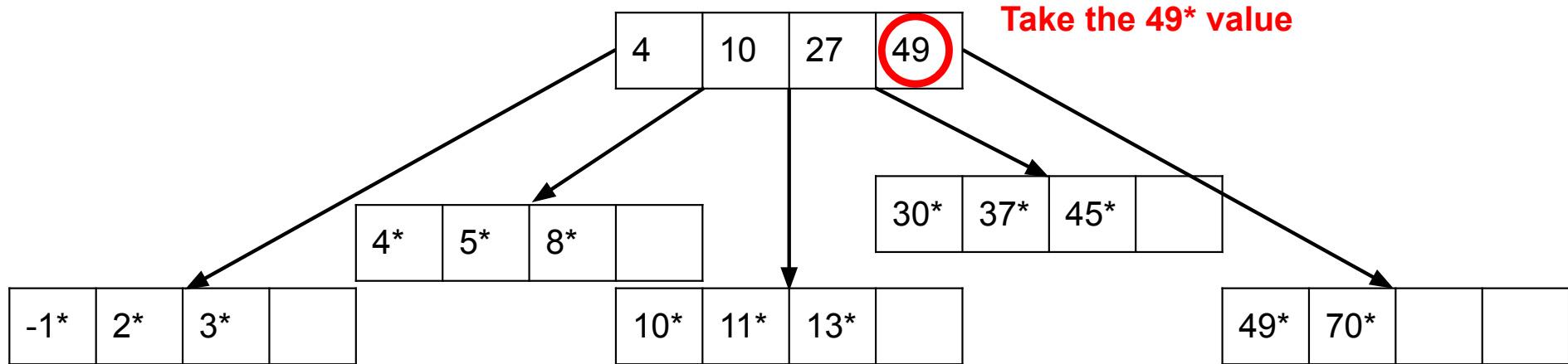
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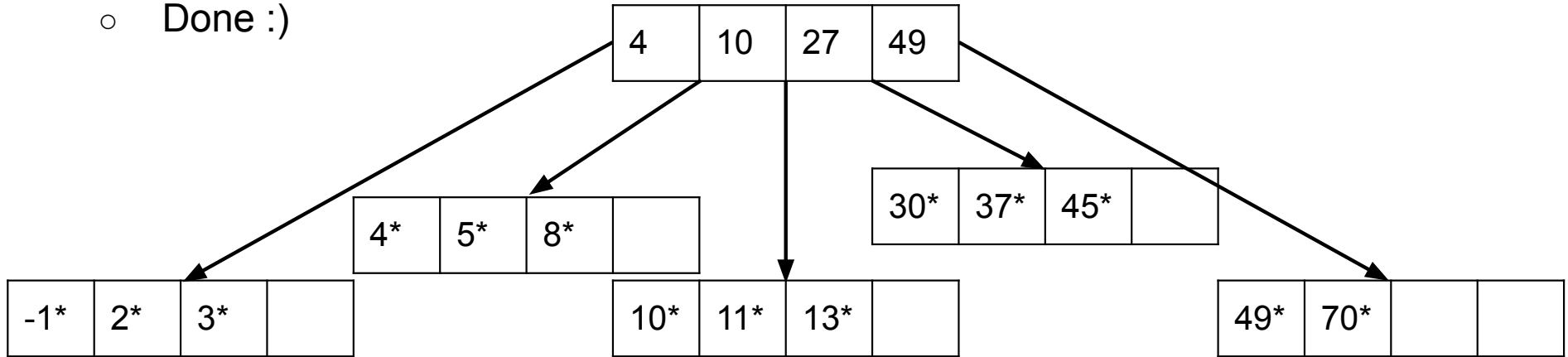
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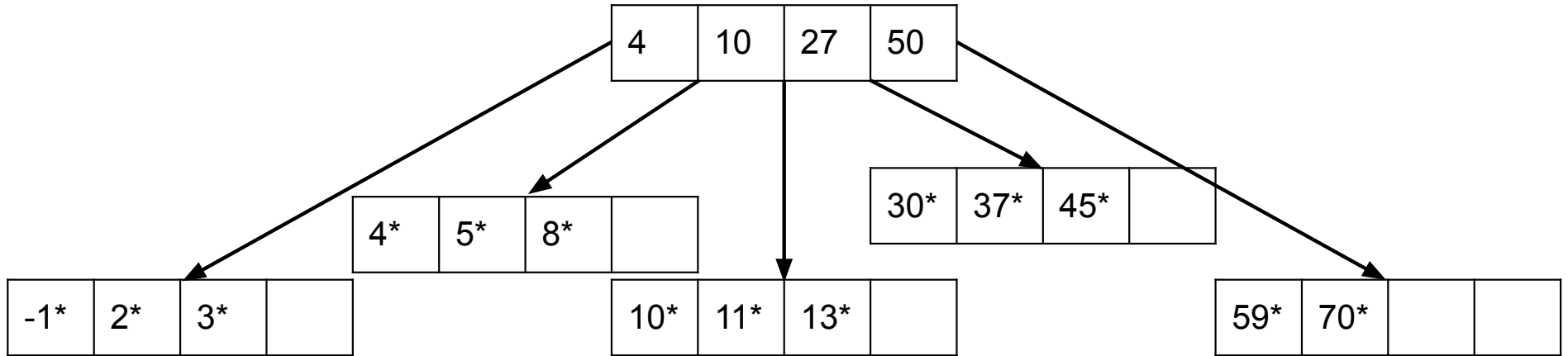
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  - Done :)



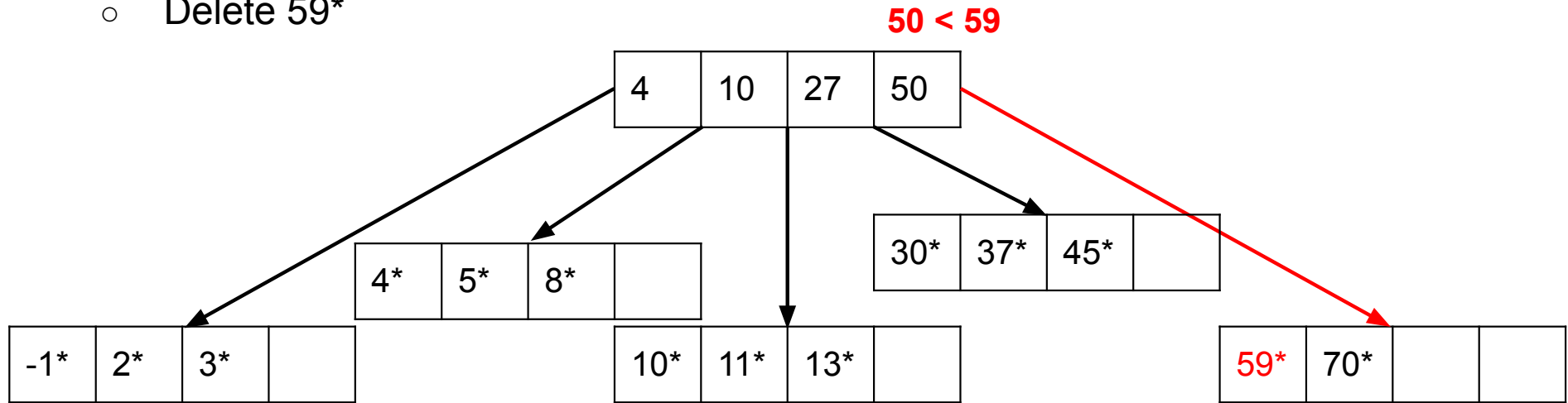
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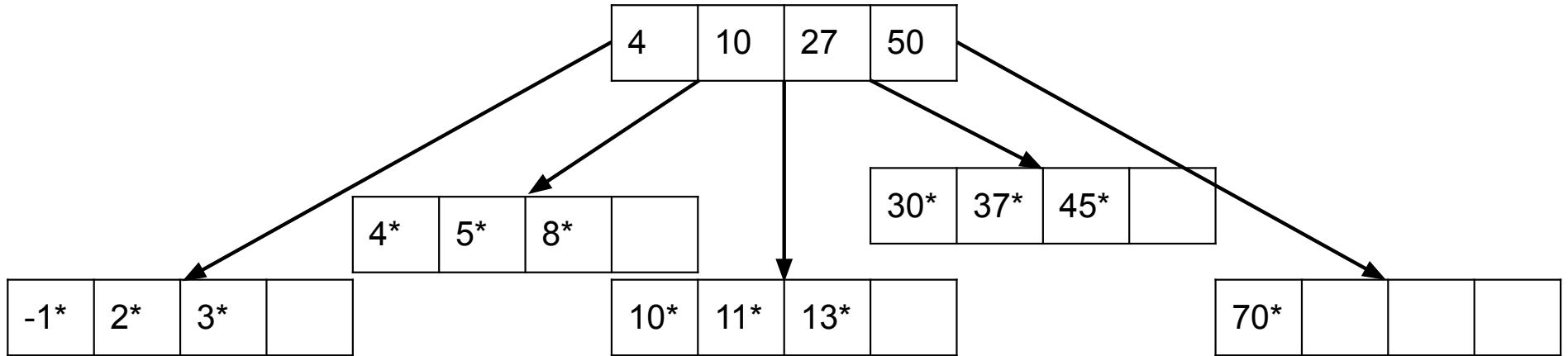
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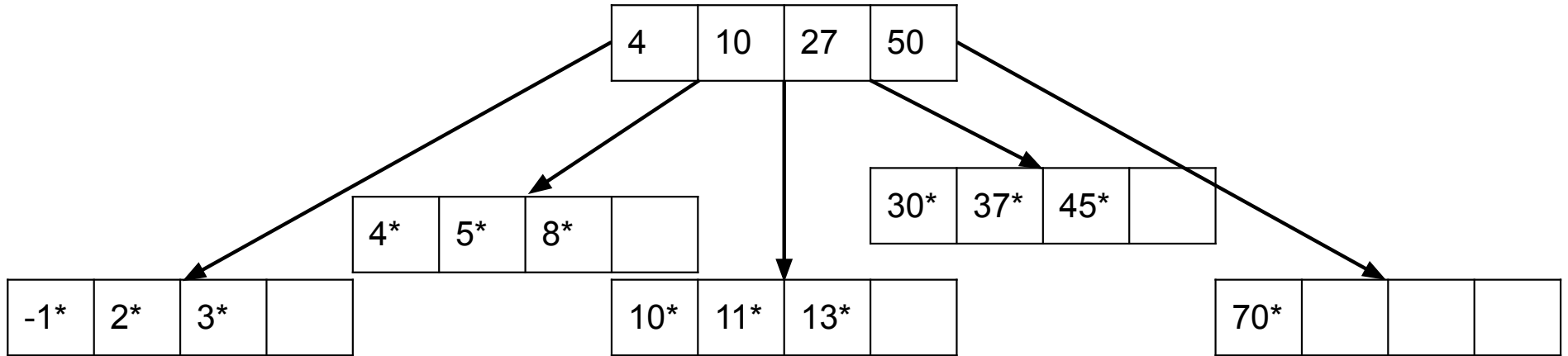
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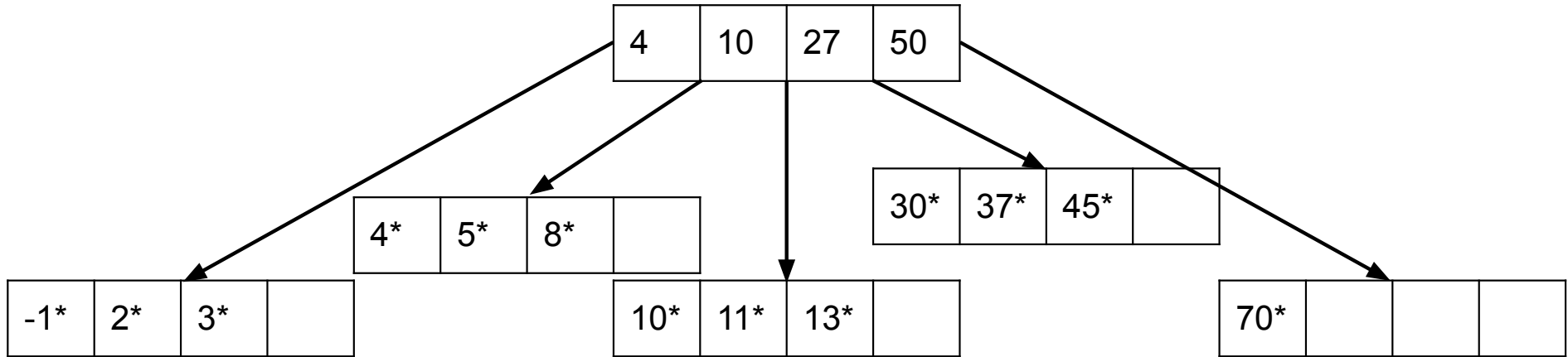
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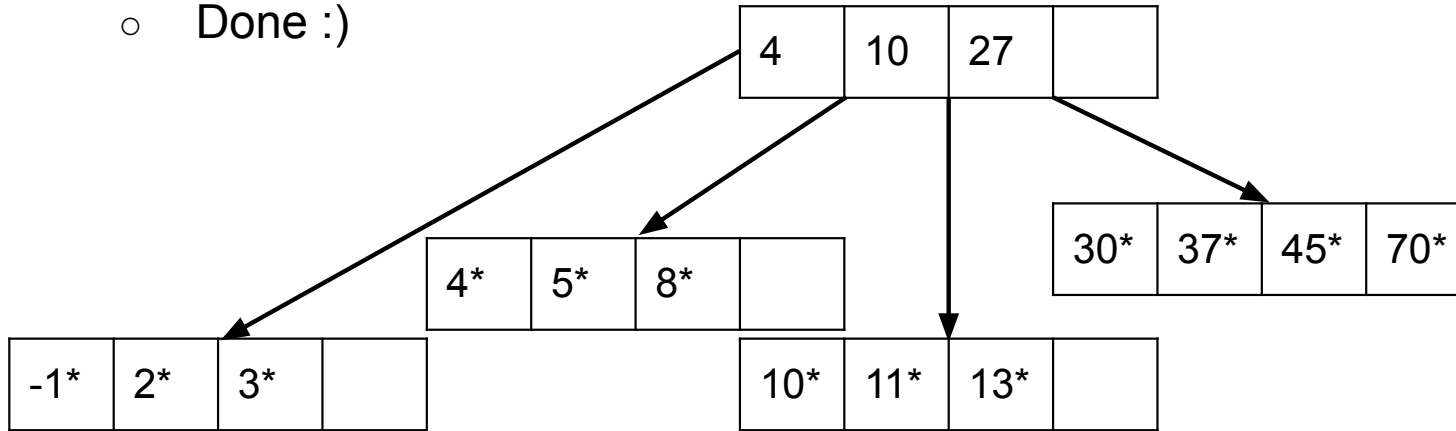


**Merge with left**



# Deleting from a B+ Tree

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  - Done :)

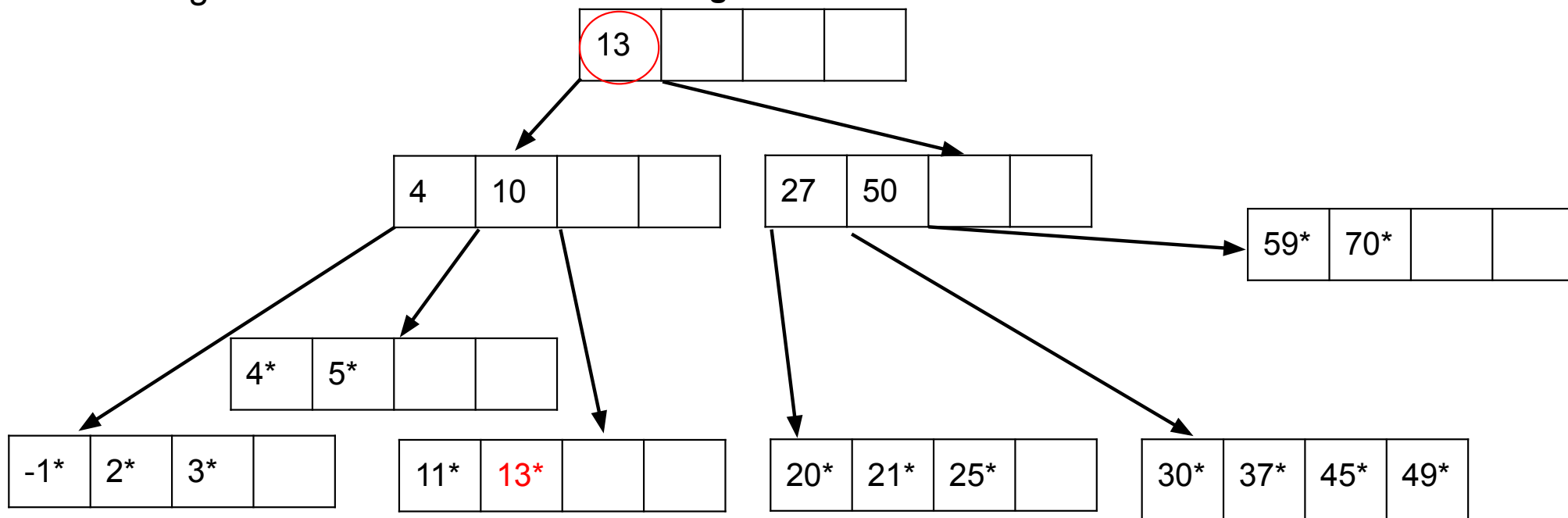




# Redistribution with adjacent nodes (for leaf nodes)

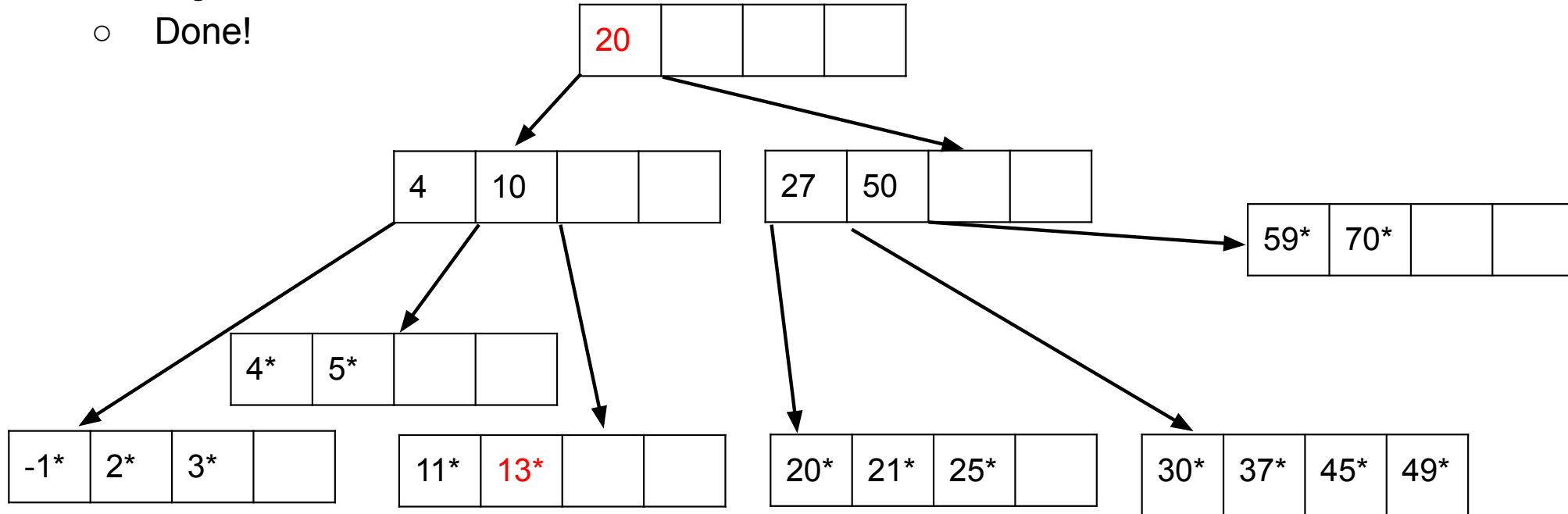
- Redistribution is possible even with “siblings” who have a different parent
  - E.g. delete 10\*

No longer correct



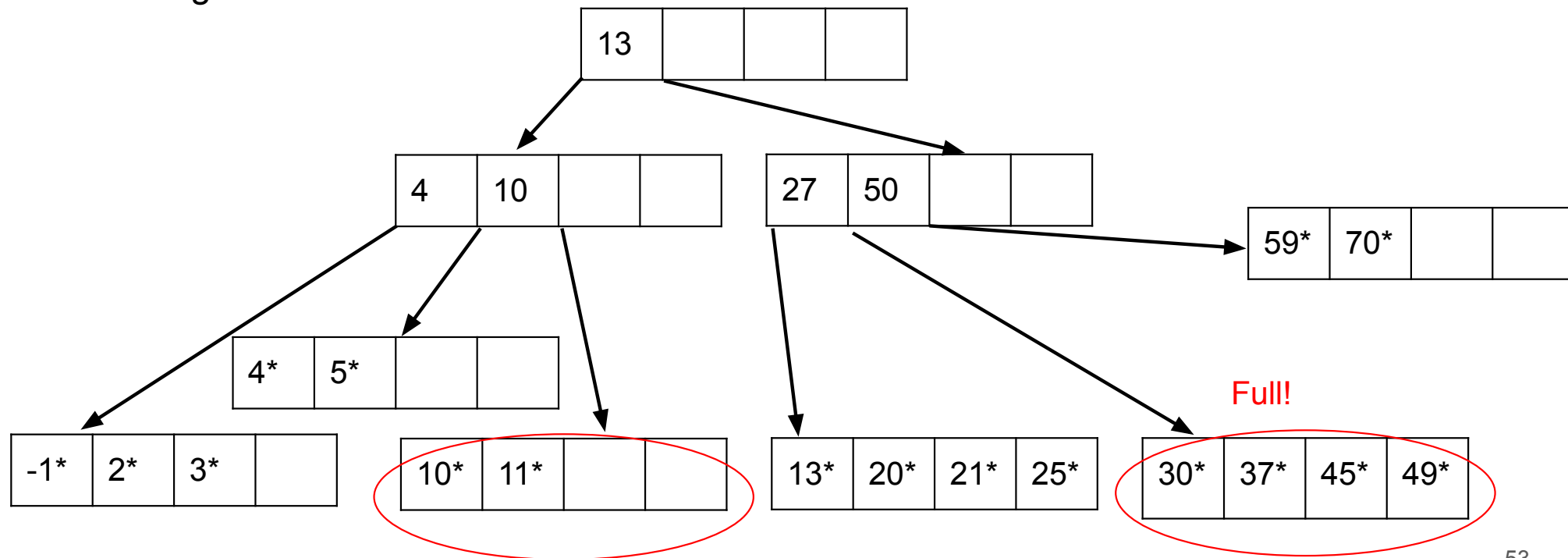
# Redistribution with adjacent nodes (for leaf nodes)

- Redistribution is possible even with “siblings” who have a different parent
  - E.g. delete 10\*
  - Done!



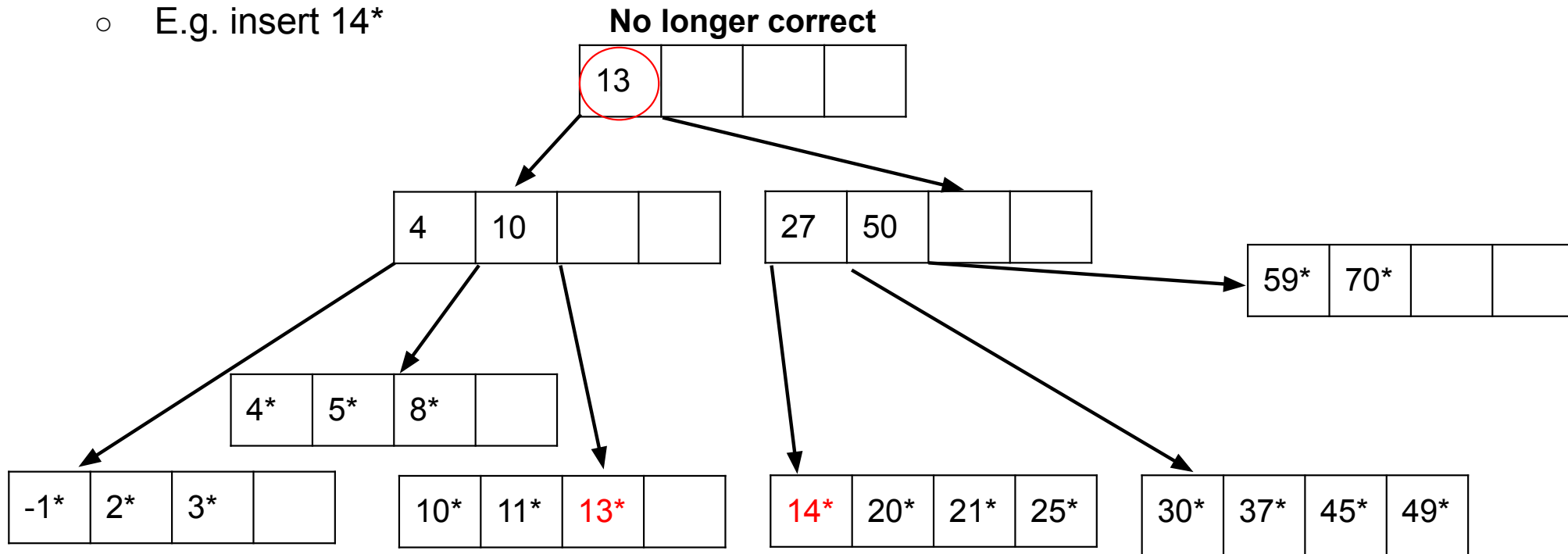
# Redistribution with adjacent nodes (for leaf nodes)

- Redistribution is possible even with “siblings” who have a different parent
  - E.g. insert 14\*



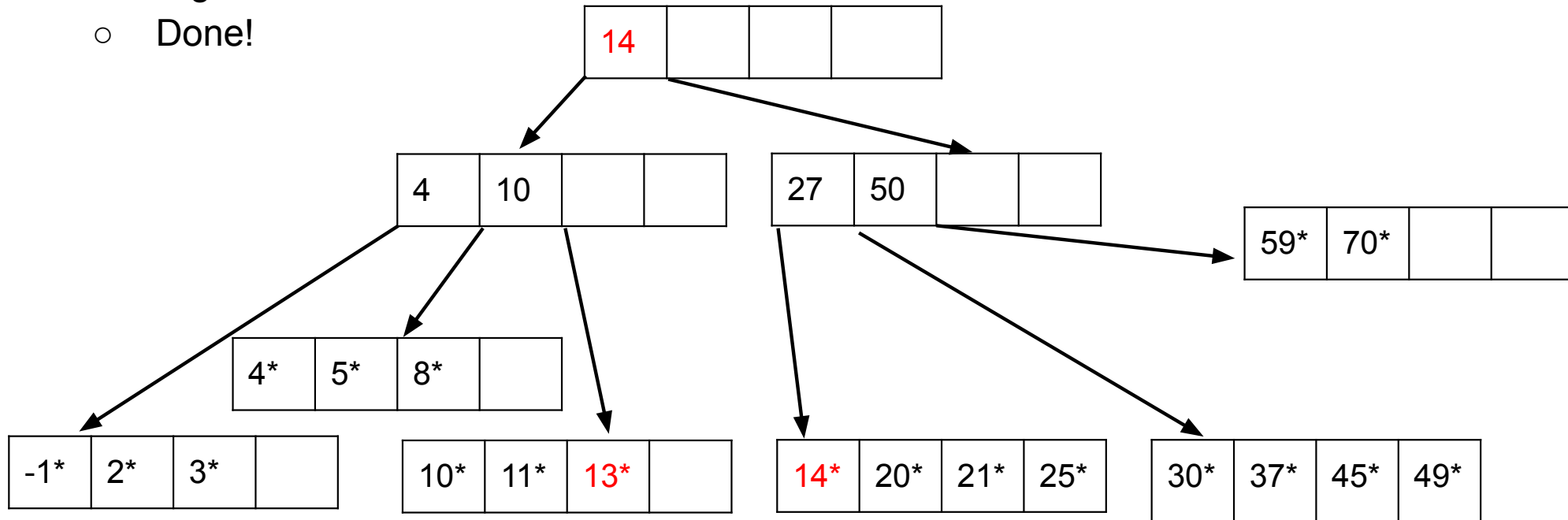
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# Redistribution with adjacent nodes (for leaf nodes)

- Redistribution is possible even with “siblings” who have a different parent
  - E.g. insert 14\*
  - Done!



# Midterm exam questions



# Fakeify (RA, RC, SQL)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(**AlbumID**, **ArtistID**)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Primary keys are not null
  - **Foreign keys** are not null
  - Other attributes can be null
- 
- ① Albums in *Albums* but not in *Album\_Publishers* are unpublished
  - ② Playlists in *Playlists* but not in *Entries* do not have any songs
  - (same for other primary/foreign key relationships)
- 
- Do not return duplicates for RA and SQL!!

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID, ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Albums in *Albums* but not in *Album\_Publishers* are unpublished
- Do not return duplicates

Q: Return the IDs and titles of songs that are in unpublished albums.

Things to consider:

- We are looking for *titles* and *IDs* of songs
- Unpublished albums are albums in *Albums* but not in *Album\_Publishers*
- A song is either in a published album or in an unpublished album

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID, ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Albums in *Albums* but not in *Album\_Publishers* are unpublished
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Q: Return the IDs and titles of songs that are in unpublished albums.

Approach 1:

1. Get all unpublished albums

$\pi_{\text{AlbumID}}(\text{Albums}) - \pi_{\text{AlbumID}}(\text{Album\_Publishers})$

2. The songs in the albums in 1 are what we need
  - a. Rename the albums in 1 as unpublished\_albums for convenience

$\rho(\text{Unpublished\_Albums}, \pi_{\text{AlbumID}}(\text{Albums}) - \pi_{\text{AlbumID}}(\text{Album\_Publishers}))$

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID, ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

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Approach 1:

1. Get all unpublished albums

$\pi_{\text{AlbumID}}(\text{Albums}) - \pi_{\text{AlbumID}}(\text{Album\_Publishers})$

2. The songs in the albums in 1 are what we need
  - b. Get the songs in unpublished\_albums

$\pi_{\text{SongID, Title}}(\text{Songs} \text{ ? Unpublished\_Albums})$

What should we use in ? — Equijoin!

# Join

- Way to combine information from two tables with correlation
- Conditional join

*equivalent*

RA:  $\text{Relation1} \bowtie_{\text{condition}} \text{Relation2}$

Equivalent to  $\sigma_{\text{condition}}(\text{Relation1} \times \text{Relation2}) \Rightarrow$  selection from cross product

- Equijoin is a conditional join with restrictions on condition to only involve equalities
- Natural join (without specifying condition)
  - $\text{Relation1} \bowtie \text{Relation2}$
  - Equijoin but automatic on all columns with the same name (must be same type)
  - Duplicate columns are dropped

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

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Songs(SongID, **AlbumID**, Title)

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Approach 1:

1. Get all unpublished albums

$\pi_{\text{AlbumID}}(\text{Albums}) - \pi_{\text{AlbumID}}(\text{Album\_Publishers})$

2. The songs in the albums in 1 are what we need
  - b. Get the songs in unpublished\_albums

$\pi_{\text{SongID, Title}}(\text{Songs} \bowtie \text{Unpublished\_Albums})$  or  
 $\pi_{\text{SongID, Title}}(\text{Songs} \bowtie_{\text{AlbumID}} \text{Unpublished\_Albums})$

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID, ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Albums in *Albums* but not in *Album\_Publishers* are unpublished
- Do not return duplicates

Q: Return the IDs and titles of songs that are in unpublished albums.

Approach 2:

1. Get all the songs in published albums

**Songs**  $\bowtie$  **Album\_Publishers**

2. The songs in Songs but not in 1 are what we need

**Songs** - **Songs**  $\bowtie$  **Album\_Publishers**

**Wrong!**

Conditions for “compatibility” for set operations:

- Relations have the same number of fields <sup>①</sup>
- Corresponding fields are of the same datatype <sup>②</sup>

# Fakeify (RA Q12)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID, ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(PlaylistID, SongID, Description)

- Albums in *Albums* but not in *Album\_Publishers* are unpublished
- Do not return duplicates

Q: Return the IDs and titles of songs that are in unpublished albums.

Approach 2:

1. Get all the songs in published albums

~~Songs~~ ⋈ ~~Album\_Publishers~~

2. The songs in Songs but not in 1 are what we need

~~Songs~~ - ~~Songs~~ ⋈ ~~Album\_Publishers~~

$\pi_{\text{SongID, Title}}(\text{Songs}) - \pi_{\text{SongID, Title}}(\text{Songs} \bowtie \text{Album\_Publishers})$



# Fakeify (SQL Q15)

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(**AlbumID**, **ArtistID**)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Playlists in *Playlists* but not in *Entries* do not have any songs
- Do not return duplicates

Q: Return the titles of playlists with less than 3 songs titled 'Let Me Love You'.

Things to consider:

- We are looking for *titles* and not *IDs*
- Playlists have Entries with Songs
- Our songs must be titled 'Let Me Love You'
- We should group by playlists and count number of relevant songs for each

# Fakeify (SQL Q15 Take 1)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Playlists in *Playlists* but not in *Entries* do not have any songs
- Do not return duplicates

Q: Return the titles of playlists with less than 3 songs titled 'Let Me Love You'.

Things to consider:

- We are looking for *titles* and not *IDs*
- Playlists have Entries with Songs
- Our songs must be titled 'Let Me Love You'
- We should group by playlists and count number of relevant songs for each

```
SELECT DISTINCT P.Title
```

```
FROM Playlists P
```

```
JOIN Entries E ON P.PlaylistID = E.PlaylistID
```

```
JOIN Songs S ON E.SongID = S.SongID
```

```
WHERE S.Title = 'Let Me Love You'
```

```
GROUP BY E.PlaylistID
```

```
HAVING COUNT(*) < 3;
```

ORA-00979: Not a GROUP BY expression

# Fakeify (SQL Q15 Take 2)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Playlists in *Playlists* but not in *Entries* do not have any songs
- Do not return duplicates

Q: Return the titles of playlists with less than 3 songs titled 'Let Me Love You'.

Things to consider:

- We are looking for *titles* and not *IDs*
- Playlists have Entries with Songs
- Our songs must be titled 'Let Me Love You'
- We should group by playlists and count number of relevant songs for each

```
SELECT DISTINCT P.Title
```

```
From Playlists P
```

```
WHERE P.PlaylistID IN (
```

```
    SELECT E.PlaylistID
```

```
    FROM Entries E
```

```
    JOIN Songs S ON E.SongID = S.SongID
```

```
    WHERE S.Title = 'Let Me Love You'
```

```
    GROUP BY E.PlaylistID
```

```
    HAVING COUNT(*) < 3);
```

We are not including playlists with 0 songs. HAVING COUNT(\*) < 3 is equivalent to HAVING ( COUNT(\*) = 1 OR COUNT(\*) = 2 ).

# Fakeify (SQL Q15 Take 3 Final Solution)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(**PlaylistID**, **SongID**, Description)

- Playlists in *Playlists* but not in *Entries* do not have any songs
- Do not return duplicates

Q: Return the titles of playlists with less than 3 songs titled 'Let Me Love You'.

Things to consider:

- We are looking for *titles* and not *IDs*
- Playlists have Entries with Songs
- Our songs must be titled 'Let Me Love You'
- We should group by playlists and count number of relevant songs for each

```
SELECT DISTINCT P.Title
```

```
From Playlists P
```

```
WHERE P.PlaylistID NOT IN (
```

```
    SELECT E.PlaylistID
```

```
    FROM Entries E
```

```
    JOIN Songs S ON E.SongID = S.SongID
```

```
    WHERE S.Title = 'Let Me Love You'
```

```
    GROUP BY E.PlaylistID
```

```
    HAVING COUNT(*) >= 3);
```

# Fakeify (SQL Q15 Alternative Solutions)

To fix the not a GROUP BY expression error, we can

- GROUP BY PlaylistID in a subquery
- add Title to the GROUP BY expression
- GROUP BY PlaylistID and use a dummy aggregate function (e.g. MAX) on Title
- use a correlated subquery

To consider playlists with 0 songs (and playlists with 0 'Let Me Love You' songs and at least 1 other song), we can

- compute the complement (NOT IN or MINUS)
- UNION two SELECT statements
- apply LEFT JOINS and COUNT(SongID)
- use a correlated subquery

Any solution that addresses these two ideas should be (close to) correct.

keep all rows in playlist P



# Fakeify RC

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID,  
ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(PlaylistID, SongID,  
Description)

Q: Translate the following RC to everyday English:

$\{T \mid T \in \text{Playlists} \wedge \forall A \in \text{Artists} \exists P \in$   
 $\text{Album\_Publishers} \exists S \in \text{Songs} \exists E \in \text{Entries}$   
 $(A.\text{ArtistID} = P.\text{ArtistID} \wedge P.\text{AlbumID} = S.\text{AlbumID}$   
 $\wedge S.\text{SongID} = E.\text{SongID} \wedge E.\text{PlaylistID} =$   
 $T.\text{PlaylistID})$

# Fakeify RC

Artists(ArtistID, Name, Age)

Albums(AlbumID, Title, Year)

Album\_Publishers(AlbumID,  
ArtistID)

Songs(SongID, **AlbumID**, Title)

Playlists(PlaylistID, Title)

Entries(PlaylistID, SongID,  
Description)

$\{T \mid T \in \text{Playlists} \wedge \forall A \in \text{Artists} \exists P \in$   
 $\text{Album\_Publishers} \exists S \in \text{Songs} \exists E \in \text{Entries}$   
 $(A.\text{ArtistID} = P.\text{ArtistID} \wedge P.\text{AlbumID} = S.\text{AlbumID} \wedge$   
 $S.\text{SongID} = E.\text{SongID} \wedge E.\text{PlaylistID} = T.\text{PlaylistID})\}$  joins

me just ID,  
and also title

1.  $T \in \text{Playlists} \Rightarrow T$  is a playlist.  
(The result set is a set of playlists)

2.  $A \in \text{Artists} \Rightarrow A$  is an artist

3.  $P \in \text{Album\_Publishers} \Rightarrow P$  is a record of  
a published album

4.  $S \in \text{Songs} \Rightarrow S$  is a song

5.  $E \in \text{Entries} \Rightarrow E$  is an entry in a playlist

# Fakeify RC

$\{T \mid T \in \text{Playlists} \wedge \forall A \in \text{Artists} \exists P \in \text{Album\_Publishers}$   
 $\exists S \in \text{Songs} \exists E \in \text{Entries} (A.\text{ArtistID} = P.\text{ArtistID} \wedge$   
 $P.\text{AlbumID} = S.\text{AlbumID} \wedge S.\text{SongID} = E.\text{SongID} \wedge$   
 $E.\text{PlaylistID} = T.\text{PlaylistID})$

1. T is a playlist
2. A is an artist
3. P is a record of a published album
4. S is a song
5. E is an entry in a playlist

1.  $A.\text{ArtistID} = P.\text{ArtistID} \Rightarrow A$   
published an album specified in P
2.  $P.\text{AlbumID} = S.\text{AlbumID} \Rightarrow S$  is in  
the album specified by P
3.  $S.\text{SongID} = E.\text{SongID} \Rightarrow S$  is the  
song specified in E
4.  $E.\text{PlaylistID} = T.\text{PlaylistID} \Rightarrow T$  is  
the playlist where E is

Next step: combine them all and take the quantifier into account!



# Fakeify RC

$\{T \mid T \in \text{Playlists} \wedge \forall A \in \text{Artists} \exists P \in \text{Album\_Publishers}$   
 $\exists S \in \text{Songs} \exists E \in \text{Entries} (A.\text{ArtistID} = P.\text{ArtistID} \wedge$   
 $P.\text{AlbumID} = S.\text{AlbumID} \wedge S.\text{SongID} = E.\text{SongID} \wedge$   
 $E.\text{PlaylistID} = T.\text{PlaylistID})$

1. T is a playlist
2. A is an artist
3. P is a record of a published album
4. S is a song
5. E is an entry in a playlist
6.  $A.\text{ArtistID} = P.\text{ArtistID} \Rightarrow$  A published an album specified in P
7.  $P.\text{AlbumID} = S.\text{AlbumID} \Rightarrow$  S is in the album specified by P
8.  $S.\text{SongID} = E.\text{SongID} \Rightarrow$  S is the song specified in E
9.  $E.\text{PlaylistID} = T.\text{PlaylistID} \Rightarrow$  T is the playlist where E is

Next step: combine them all and take the quantifier into account!

For every artist <sup>①</sup>A in Artists, there exists <sup>②</sup>an album published by A, a <sup>③</sup>song S in that album and an entry <sup>④</sup>which says that S is in playlist T.

=> Further translation:

All playlists that include at least one song in a published album by every artist in Artists.

# Responsible data management Q19

*e.g. avg: add random error*

Select all that apply.

- a. Differential privacy means that each record is processed differently, depending on its value.
- b. Differential privacy provides different privacy guarantees depending on the query posed. ~~✗~~
- ☒ c. Differential privacy only applies to aggregate queries.
- ☒ d. Exact answers cannot be obtained with differential privacy.
- e. All of the above.

# NoSQL Q22

Select all that apply. Which of these are benefits that NoSQL databases provide compared to SQL databases?

- ☒ a. Fewer joins between entities are required when querying data
- ☒ b. They are more suited for scale out (i.e. using commodity hardware)
- ☐ c. Transactions with stronger consistency guarantees
- ☒ d. Sharding allows data to be distributed across multiple machines
- ☐ e. All of the above

*(some even doesn't support)*

*do sharding  
(horizontal scaling)*



*traditional can: but need human effort*

*now it can done by database*

Get started on P3!