Due date: Tuesday of Week 8

Exercises: 7.1, 7.2, 7.5, 7.8, 7.9, 8.6, page 439-440 of Artin's book; Exercises: 1.1, 1.2, 2.1, 2.3, page 472.

**Problem 1.** Consider the abelian group

$$A = C_{30} \oplus C_{49} \oplus C_{12} \oplus C_{25} \oplus C_{40}.$$

Find the invariant divisors of A. Here  $C_n$  denotes the cyclic groups of order n.

**Problem 2.** Let R be a PID and M be a free R-module of rank m. Let N be a submodule of M. We know that N is a free module of rank n with  $n \le m$ . Show that there exists a basis  $\mathcal{B} = \{e_1, \ldots, e_m\}$  of M and non-zero elements  $a_1, \ldots, a_n \in R$  such that:

- (1) the elements  $a_1e_1, a_2e_2, \ldots, a_ne_n$  form a basis of N over R;
- (2) we have  $a_i | a_{i+1}$  for i = 1, ..., n-1.

The sequence of ideas  $(a_1), \ldots, (a_n)$  is uniquely determined by the above conditions.

**Problem 3.** Let  $G = GL_2(\mathbb{Q})$  and  $H = GL_2(\mathbb{Z})$ . Determine the double coset

$$H\backslash G/H$$
.

**Problem 4.** Let R be a commutative nonzero ring with 1. Let m, n be two positive integers. If there is an injective R-module homomorphism  $f: R^m \to R^n$ , show that  $m \le n$ .

Hint: By contradiction, suppose that m>n. Let  $\iota:R^n\to R^m$  be the natural inclusion. Namely,  $\iota(r_1,\ldots,r_n)=(r_1,\ldots,r_n,0,\ldots,0)$ . Let  $\phi=\iota\circ f:R^m\to R^m$ , which is also injective. Let  $\pi:R^m\to R$  be the projection to the last coordinate. Then  $\pi\circ\phi=0$ . Let  $\chi\in R[x]$  be the characteristic polynomial of  $\phi$ . Then Cayley-Hamilton says that  $\chi(\phi)=0$ . Think about why Cayley-Hamilton is still true (try to repeat the proof!) Assume that  $\chi=X^kP$  for a polynomial  $P=x^{m-k}+\cdots+c_0$  with  $c_0\neq 0$ . Then  $\chi(\phi)=0$  implies that  $\phi^kP(\phi)=0$ . Show that  $P(\phi)=0$  and thus  $\pi\circ P(\phi)=0$ . Then get a contradiction.

**Problem 5.** Let K/F be a field extension and  $\alpha \in K$  is algebraic over F with  $\deg(\alpha) = d$ . Show that  $\{1, \alpha, \ldots, \alpha^{d-1}\}$  is a basis of  $F[\alpha]/F$ .

## 1. Linear operators and f.g. modules over PID

In this section, let F be a field and V be a finite dimensional vector space over F. Let  $T: V \to V$  be a linear operator. We can view V as an F[x]-module by f(x).v := f(T)v for any  $f \in F[x]$ .

**Problem 6.** (1) Show that a subspace  $W \subset V$  is T-invariant iff W is a submodule of V;

(2) Show that V has a cyclic vector iff V can be generated by a single element as an F[x]-module.

Recall that a subspace  $W \subset V$  is called T-admissible if (1) W is T-invariant; and (2) if  $f(T)\beta \in W$  for  $\beta \in V, f \in F[x]$ , then there exists a vector  $\gamma \in W$  such that  $f(T)\beta = f(T)\gamma$ . See Section 7.2 of Hoffman-Kunze. The cyclic decomposition theorem (Theorem 7.3 and its corollary of Hoffman-Kunze) said that W is T-admissible iff there exists another T-invariant subspace W' such that  $V = W \oplus W'$ .

The following are some generalizations of the above terminology into more general modules. Let R be a general ring and let M be an R-module. A submodule N of M is called a **direct summand** of M if there exists another submodule N' of M such that  $M = N \oplus N'$ . This is a generalization that there exists another T-invariant subspace W' such that  $V = W \oplus W'$ .

A submodule N of M is called **pure** if for any  $m \times n$  matrix  $A = (a_{ij})_{1 \le i \le m, 1 \le j \le n} \in \operatorname{Mat}_{m \times n}(R)$ , and any element  $Y = (y_1, \dots, y_m)^t$  with  $y_i \in N$ , if there exist  $X = (x_1, \dots, x_n)^t$  with  $x_i \in M$  such that

$$AX = Y$$
,

then there exists  $X' = (x'_1, \dots, x'_n)^t$  with  $x'_i \in N$  such that

$$AX' = Y$$

The definition of pure submodule looks complicate. Here is a digression.

**Problem 7.** Suppose that N is a pure submodule of M and there is a commutative diagram of R-modules

$$\begin{array}{ccc} R^n & \stackrel{f}{\longrightarrow} R^m \\ & \downarrow^u & & \downarrow^v \\ 0 & \longrightarrow N & \stackrel{i}{\longrightarrow} M \end{array}$$

Here m, n are positive integers and  $i: N \to M$  denotes the inclusion. Show that there is homomorphism  $\phi: R^m \to N$  such that  $u = \phi \circ f$ . (We don't require  $v = i \circ \phi$ .)

Let  $\epsilon_i$  be the standard basis of  $R^n$  and  $e_j$  be the standard basis of  $R^m$ . Then  $vf(\epsilon_i) = iu(\epsilon_i) \in N$ . Or  $v(\sum a_{ij}e_j) = \sum_j a_{ij}v(e_j) \in N$ . By definition, there exists  $x_j' \in N$  such that  $\sum a_{ij}x_j' = \sum a_{ij}v(e_j)$ . Define  $\phi(e_j) = x_j'$ . Then  $\phi \circ f(\epsilon_i) = \sum a_{ij}x_j' = vf(\epsilon_i) = u(\epsilon_i)$ . Thus  $u = \phi \circ f$ .

Let M be an R-module, a submodule N < M is called **admissible** if for any  $r \in R$  and  $x \in M$  if  $rx \in N$ , then there exists an  $n \in N$  such that rx = rn. This agrees with the notation defined in Hoffman-Kunze when R = F[x] and M = V. Note that, a pure submodule is admissible (since pure requires a condition for any  $m \times n$ ).

**Problem 8.** Let R be a PID. Let M be an R-module and N < M be a submodule. Show that N is a pure submodule iff it is an admissible submodule.

Hint: You need to show any admissible submodule is pure. Use diagonalization. This is not hard.

**Problem 9.** Let R be a ring and M be an R-module. Let N be a submodule of M. Consider the short exact sequence

$$0 \longrightarrow N \stackrel{i}{\longrightarrow} M \stackrel{\pi}{\longrightarrow} M/N \longrightarrow 0 .$$

Show that the following are equivalent

- (1) N is a direct summand of M;
- (2) there exists a homomorphism  $s \in \operatorname{Hom}_R(M,N)$  such that s(x) = x for all  $x \in N$  (namely,  $s \circ i = \operatorname{id}_N$ );
- (3) for each R-module P, the sequence

$$0 \to \operatorname{Hom}_R(M/N, P) \to \operatorname{Hom}_R(M, P) \to \operatorname{Hom}_R(N, P) \to 0$$

is exact;

- (4) there exists a homomorphism  $u \in \text{Hom}_R(M/N, M)$  such that  $\pi \circ u = \text{id}_{M/N}$ ;
- (5) for each R-module P, the sequence

$$0 \to \operatorname{Hom}_R(P, N) \to \operatorname{Hom}_R(P, M) \to \operatorname{Hom}_R(P, M/N) \to 0$$

is exact.

This is called the splitting lemma. See Problems 3 and 4 of HW 5. Hint: Show  $(1) \Longrightarrow [(2) \Longleftrightarrow (3)] \Longrightarrow [(4) \Longleftrightarrow (5)] \Longrightarrow (1)$ .

**Problem 10.** Let M be an R-module and let  $N \subset M$  be a submodule. If N is a direct summand of M, show that N is a pure submodule.

**Problem 11.** Let R be a general ring and M be an R-module. Let N < M be a pure submodule and X is a finitely presented R-modules. Show that the sequence the sequence

$$0 \to \operatorname{Hom}_R(X, N) \to \operatorname{Hom}_R(X, M) \to \operatorname{Hom}_R(X, M/N) \to 0$$

is exact. As a consequence, show that if M/N is finitely presented, then N is a pure submodule of M iff it is a direct summand.

Hint: This one might be hard. One only needs to show that  $\operatorname{Hom}_R(X, M) \to \operatorname{Hom}_R(X, M/N)$  is surjective. Given  $w \in \operatorname{Hom}_R(X, M/N)$ , try to produce a commutative diagram

$$R^{n} \xrightarrow{f} R^{m} \longrightarrow X \longrightarrow 0$$

$$\downarrow^{u} \qquad \downarrow^{v} \qquad \downarrow^{w}$$

$$0 \longrightarrow N \xrightarrow{i} M \xrightarrow{\pi} M/N \longrightarrow 0$$

and use Problem 7 to get a hom  $\phi: \mathbb{R}^m \to N$ . Then consider  $\widetilde{w} \in \operatorname{Hom}_R(\mathbb{R}^m, M)$  defined by  $\widetilde{w} = v - \phi$ .

**Problem 12.** (1) Let R be a Noetherian ring and M be a finitely generated R-module. Show that a submodule N < M is pure iff it is a direct summand.

(2) Let R be a PID and M be a finitely generated R-module. Show that a submodule N < M is admissible iff it is a direct summand.

This problem together with the structure theorem of finite generated modules over PID fully covers Theorem 3, page 233 of Hoffman-Kunze. In the general case, we have

(direct summand submodules)  $\subset$  (pure submodules)  $\subset$  (admissible submodules).

See this link for an example of pure submodule which is not a direct summand.

## 2. Presentation of linear operator as F[x]-modules

This problem is from HW11, 2023. It is also Exercise 8.4, page 440 of Artin's book. Try it again. You don't have to submit your solution.

Let F be a field. We consider K = F[x] and  $K^n$ . An element  $u \in K^n$  will be considered as a column vector and thus it has the form

$$u = \begin{bmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{bmatrix},$$

and each  $u_i \in F[x]$  can be written as  $u_i = u_{i0} + u_{i1}x + u_{i2}x^2 + \cdots + u_{ik}x^k$  with  $u_{ij} \in F$ . Since  $u_{ik}$  can be zero, we can take a k such that it works for all i, namely each  $u_i$  has its last term of the form  $u_{ik}x^k$ . Thus we can write u as

$$u = \begin{bmatrix} u_{10} \\ u_{20} \\ \vdots \\ u_{n0} \end{bmatrix} + \begin{bmatrix} u_{11} \\ u_{21} \\ \vdots \\ u_{n1} \end{bmatrix} x + \dots + \begin{bmatrix} u_{1k} \\ u_{2k} \\ \vdots \\ u_{nk} \end{bmatrix} x^k.$$

Write

$$\mathbf{u}_{j} = \begin{bmatrix} u_{1j} \\ u_{2j} \\ \vdots \\ u_{nj} \end{bmatrix} \in F^{n},$$

then we can write  $u = \mathbf{u}_0 + x\mathbf{u}_1 + \cdots + x^k\mathbf{u}_k$ . Here we write  $x^j$  in front of  $\mathbf{u}_j$  (so that it looks like a scaler times a column vector). Thus an element in  $K^n = F[x]^n$  can be viewed as a polynomial with coefficients in  $F^n$ .

Fix a matrix  $A \in \operatorname{Mat}_{n \times n}(F)$ . Note that as an element in  $\operatorname{Mat}_{n \times n}(K)$ , the matrix  $xI_n - A$  defines a linear map  $T_{(xI_n - A)} : K^n \to K^n$  defined by

$$T_{(xI_n - A)}u = (xI_n - A)u,$$

as usual. We now consider the map  $\phi: K^n \to F^n$  defines as follows. Given an element

$$u = \mathbf{u}_0 + x\mathbf{u}_1 + \dots + x^k\mathbf{u}_k \in K^n,$$

we define

$$\phi(u) = \mathbf{u}_0 + A\mathbf{u}_1 + \dots + A^k\mathbf{u}_k \in F^n.$$

Namely, we just replace the symbol x by the matrix A. The notation should be clear.

Problem 13. (1) Show that  $\phi$  is surjective. (This should be trivial).

- (2) Show that  $\operatorname{Im}(T_{(xI_n-A)}) \subset \ker(\phi)$ . (This is also trivial). (3) Show that  $\ker(\phi) \subset \operatorname{Im}(T_{(xI_n-A)})$ . (It needs some work, but not very hard).

The assertions of this problem say that the sequence

$$K^n \xrightarrow{T_{(xI_n-A)}} K^n \xrightarrow{\quad \phi \quad} F^n \xrightarrow{\quad 0 \quad}$$

is exact (as K-modules), which gives a presentation of  $F^n$  as an F[x]-module.