

# Towards Graph Transformers at Scale

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[1] Qitian Wu et al., *NodeFormer: A Scalable Graph Structure Learning Transformer for Node Classification*, NeurIPS 2022 (spotlight, top 5%)

[2] Qitian Wu et al., *DiFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion*, ICLR 2023 (spotlight oral, top 0.5%)

[3] Qitian Wu et al., *SGFormer: Simplifying and Empowering Transformers for Large-Graph Representations*, NeurIPS 2023



**amazon**

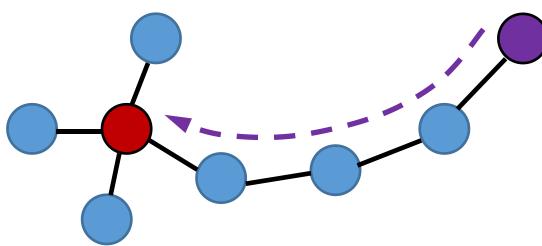
**Tencent**

# Pitfalls of Graph Neural Networks

## □ The designs of mainstream GNNs:

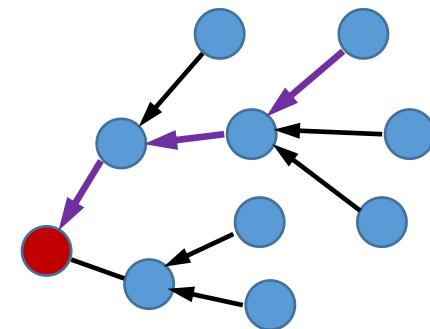
- Locally aggregate neighbored nodes' features in each layer
- Use neighbored nodes' embs for informative represensation

## □ Common scenarios GNNs show deficient capability:



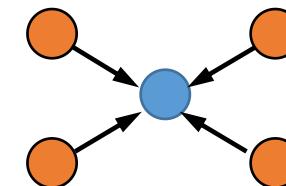
hard to capture long-range dependence  
[Dai et al., 2018]

*long-range reasoning*



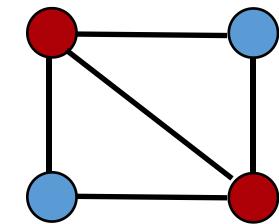
distant signals are overly squashed  
[Alon et al., 2021]

*over-squashing*



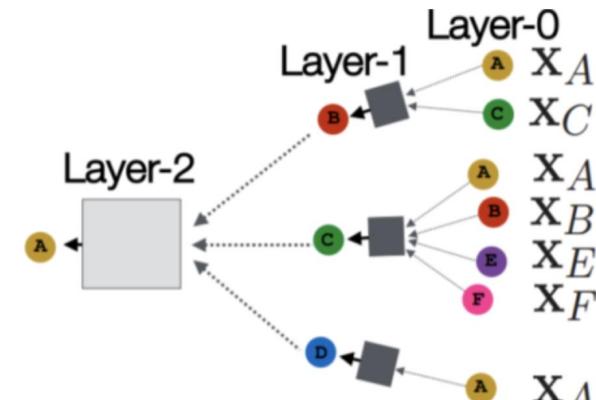
dissimilar linked nodes propagate wrong signals  
[Zhu et al., 2020]

*heterophily*

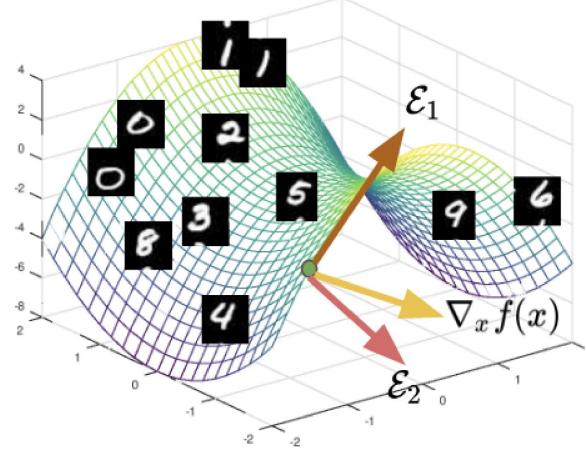


fail to distinguish two similar inputs  
[Xu et al., 2019]

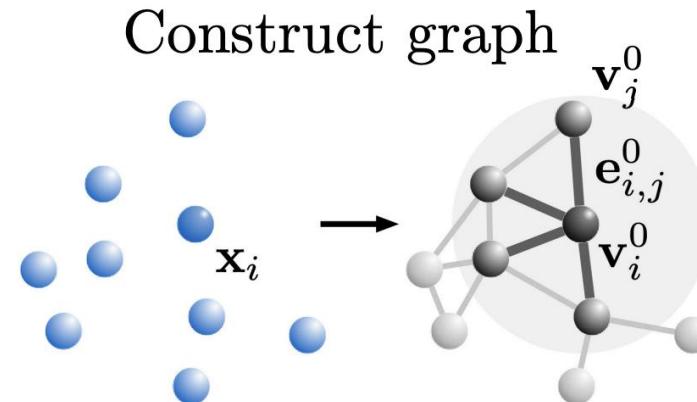
*expressivity*



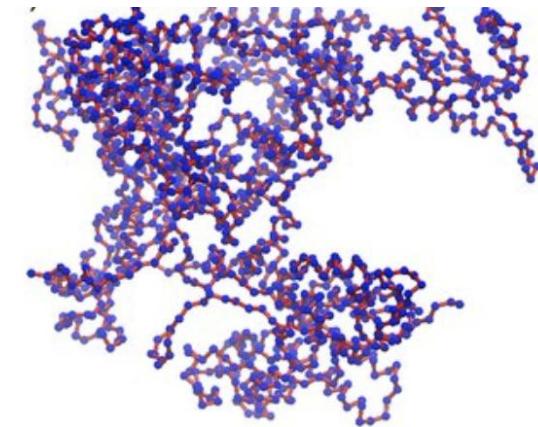
# Inter-Dependent Data without Input Graphs



Observed data lies on low-dimensional manifold  
[Sebastian et al., 2021]



Physical interactions affect data generation yet are not observed  
[Alvaro et al., 2020]



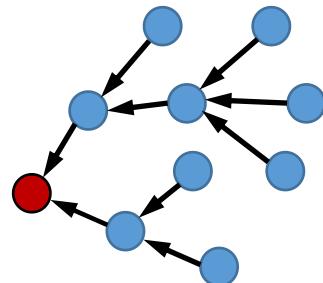
Complex hidden structures beyond observed geometry  
[Xu et al., 2020]

## ❑ GNNs require observed graphs as input:

- **Solution:** Pre-define a graph by some rules (e.g., k nearest neighbors)
- **Limitation:** the pre-defined graph is independent of downstream tasks

# Message Passing Beyond Input Graphs

## Graph Neural Networks



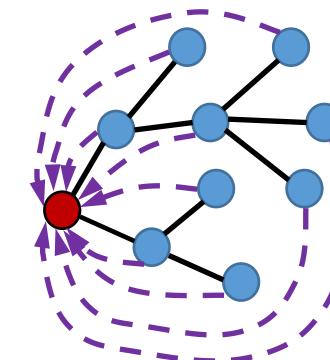
message passing  
defined over fixed  
input topology

$$\begin{array}{c} \text{adjacency} \\ \text{matrix} \end{array} \times \begin{array}{c} \text{node} \\ \text{embs} \end{array} = \begin{array}{c} \text{next-layer} \\ \text{node embs} \end{array}$$

A diagram illustrating the computation of next-layer node embeddings. It shows three matrices: an adjacency matrix (a 4x4 grid of gray and white squares), a node embeddings matrix (a 4x4 grid of orange and peach squares), and a result matrix (a 4x4 grid of red and pink squares). The multiplication of the first two results in the third.

only require  $O(E)$  when using sparse  
matrix computation

## Transformers



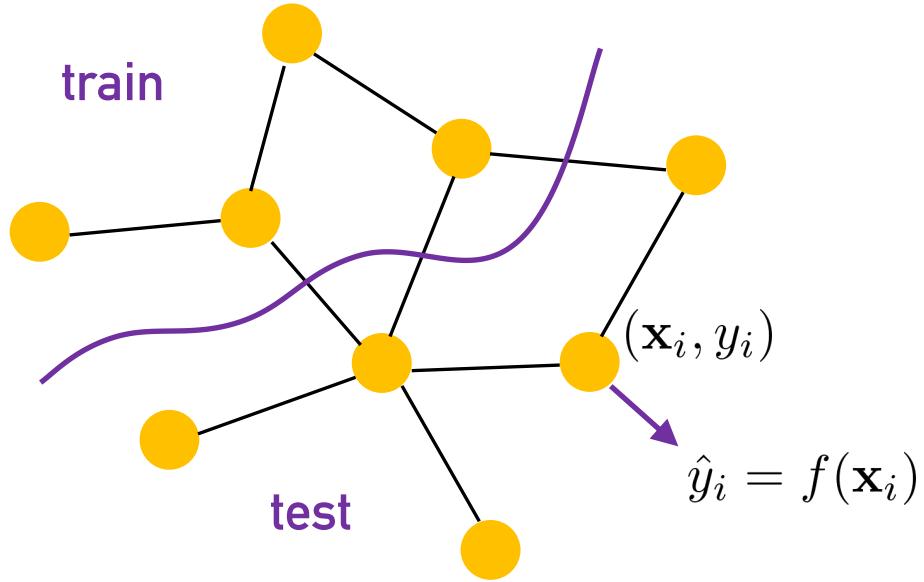
all-pair message  
passing on layer-  
specific latent  
graphs

$$\begin{array}{c} \text{attention} \\ \text{matrix} \end{array} \times \begin{array}{c} \text{node} \\ \text{embs} \end{array} = \begin{array}{c} \text{next-layer} \\ \text{node embs} \end{array}$$

A diagram illustrating the computation of next-layer node embeddings using an attention matrix. It shows three matrices: an attention matrix (a 4x4 grid of gray, white, and dark gray squares), a node embeddings matrix (a 4x4 grid of orange and peach squares), and a result matrix (a 4x4 grid of red and pink squares). The multiplication of the first two results in the third.

- Q1: computational bottleneck  $O(N^2)$
- Q2: how to incorporate graph inductive bias

# Preliminary: Notations



- Each node is an instance with a label
- Train/test on a dataset of nodes in a graph
- The graph size can be arbitrarily large

## Notations for each node

$\mathbf{x}_u$  node (input) feature

$y_u$  node ground-truth label

$\hat{y}_u$  node predicted label

$\mathbf{z}_u^{(l)}$  node embedding at the  $l$ -th layer

## Notations for the graph $\mathcal{G} = (\mathcal{V}, \mathcal{E})$

$N = |\mathcal{V}|$  node number     $E = |\mathcal{E}|$  edge number

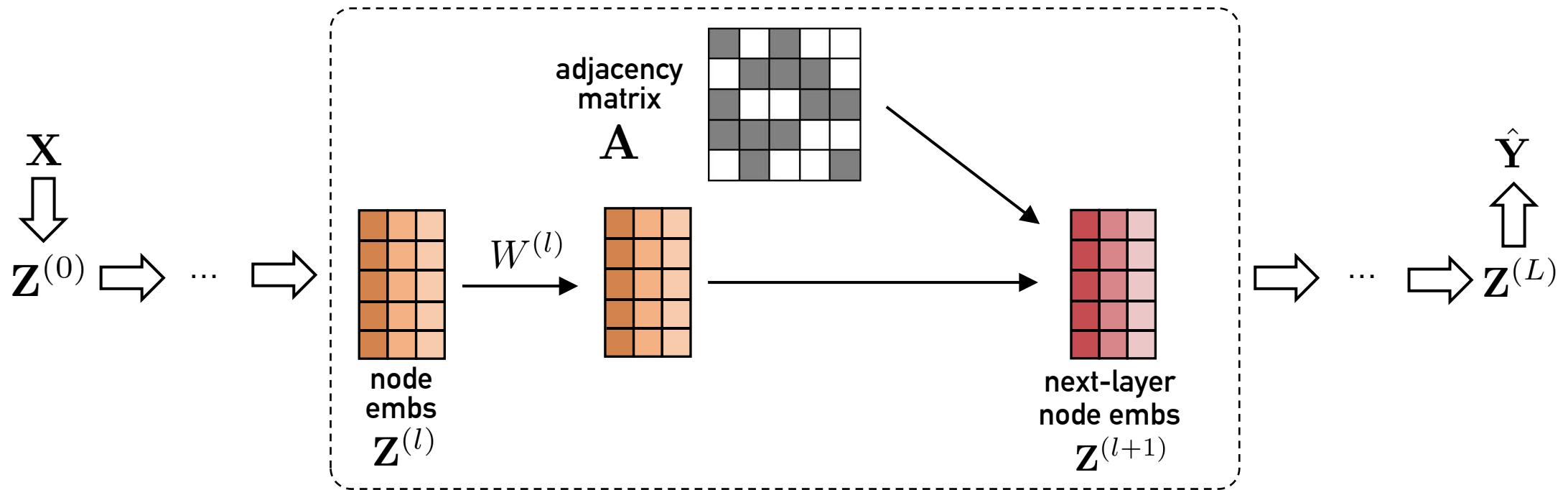
$\mathbf{X} = [\mathbf{x}_u]_{u=1}^N$  node feature matrix

$\mathbf{Y} = [y_u]_{u=1}^N$  label vector/matrix

$\mathbf{A} = [a_{uv}]_{u,v \in \mathcal{V}}$  adjacency matrix

$\mathbf{Z}^{(l)} = [\mathbf{z}_u^{(l)}]_{u=1}^N$  node embedding matrix

# Preliminary: Graph Neural Networks



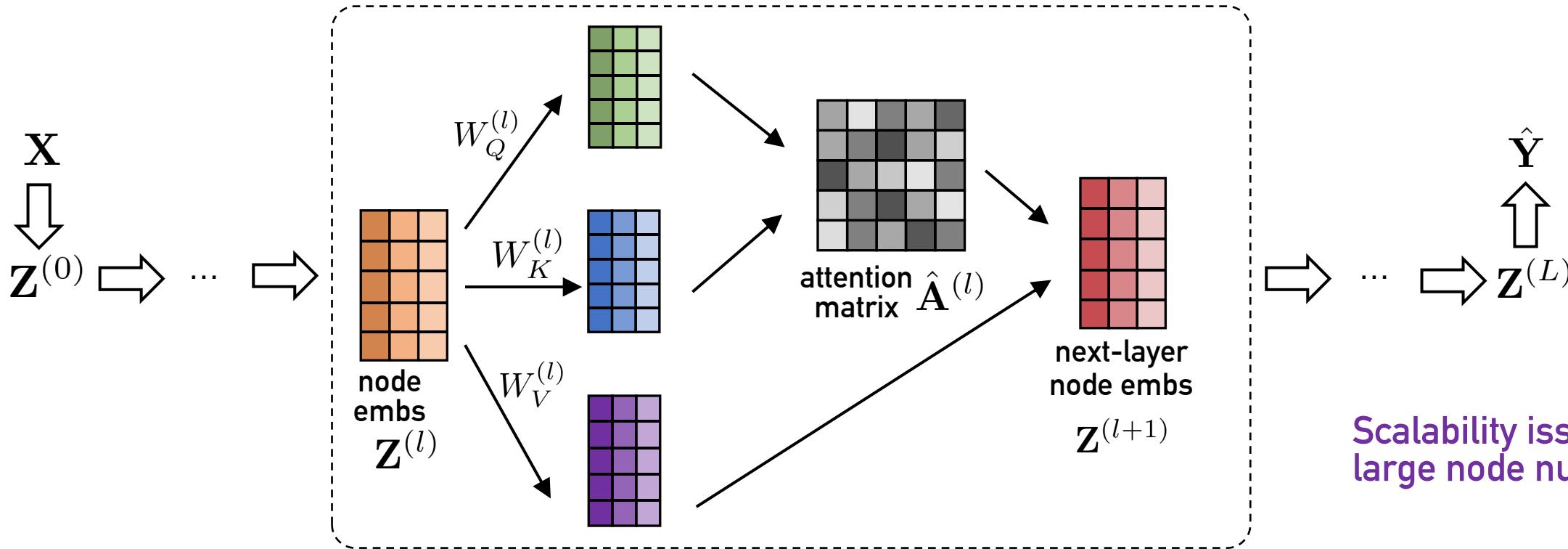
update for each node  
(node view)

update for all nodes  
(matrix view)

$$\mathbf{z}_u^{(l+1)} = \sum_{u=1}^N a_{uv} W^{(l)} \mathbf{z}_u^{(l)} = \sum_{u, (u,v) \in \mathcal{E}} W^{(l)} \mathbf{z}_u^{(l)}$$
$$\mathbf{Z}^{(l+1)} = \mathbf{A} \mathbf{Z}^{(l)} W^{(l)}$$

At each layer, updating message for each centered node is only dependent on the neighbored nodes within the receptive field

# Preliminary: Transformers



update for each node  
(node view)

$$\tilde{a}_{uv}^{(l)} = \frac{\exp((W_Q^{(l)} \mathbf{z}_u^{(l)})^\top (W_K^{(l)} \mathbf{z}_v^{(l)}))}{\sum_{w=1}^N \exp((W_Q^{(l)} \mathbf{z}_u^{(l)})^\top (W_K^{(l)} \mathbf{z}_w^{(l)}))), \quad \mathbf{z}_u^{(l+1)} = \sum_{v=1}^N \tilde{a}_{uv}^{(l)} \cdot (W_V^{(l)} \mathbf{z}_v^{(l)})$$

update for all nodes  
(matrix view)

$$\hat{A}^{(l)} = \text{Softmax}((W_Q^{(l)} \mathbf{Z}^{(l)})^\top (W_K^{(l)} \mathbf{Z}^{(l)})), \quad \mathbf{Z}^{(l+1)} = \hat{A}^{(l)} W_V^{(l)} \mathbf{Z}^{(l)}$$

Scalability issue for  
large node numbers

One-layer global attention  
over 10K nodes lead to  
out-of-memory on a  
single GPU with 16GB  
memory

# Scalable All-Pair Message Passing with $O(N)$

## Kernelized softmax message passing

$$\mathbf{z}_u^{(l+1)} = \sum_{v=1}^N \frac{\exp(\mathbf{q}_u^\top \mathbf{k}_v)}{\sum_{w=1}^N \exp(\mathbf{q}_u^\top \mathbf{k}_w)} \cdot \mathbf{v}_v$$

where  $\mathbf{q}_u = W_Q^{(l)} \mathbf{z}_u^{(l)}$ ,  $\mathbf{k}_u = W_K^{(l)} \mathbf{z}_u^{(l)}$ ,  $\mathbf{v}_u = W_V^{(l)} \mathbf{z}_u^{(l)}$

$$\mathbf{z}_u^{(l+1)} = \sum_{v=1}^N \frac{\kappa(\mathbf{q}_u, \mathbf{k}_v)}{\sum_{w=1}^N \kappa(\mathbf{q}_u, \mathbf{k}_w)} \cdot \mathbf{v}_v$$

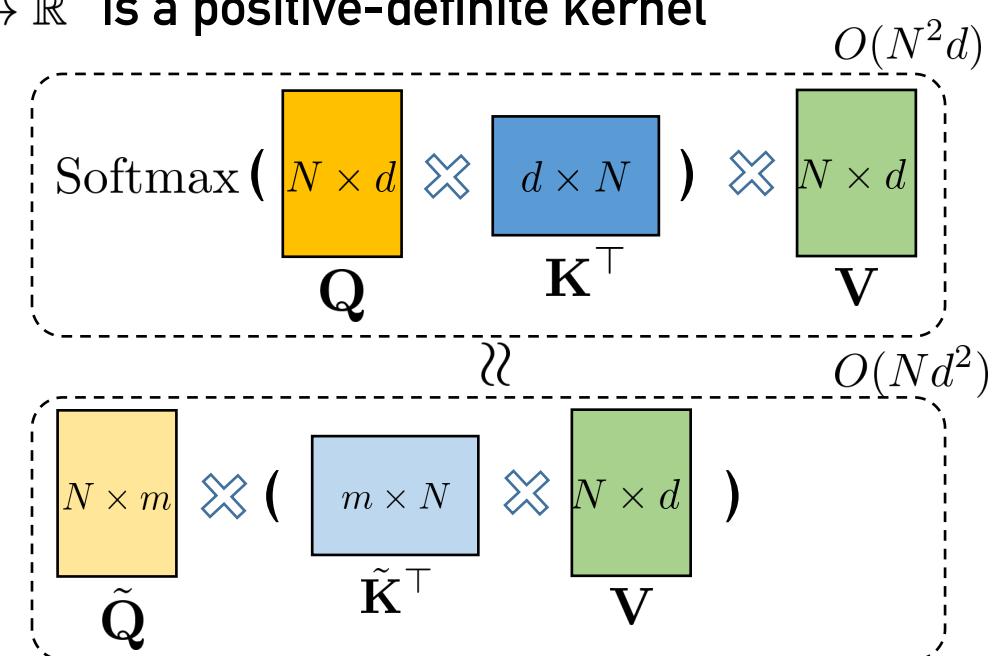
where  $\kappa(\cdot, \cdot) : \mathbb{R}^d \times \mathbb{R}^d \rightarrow \mathbb{R}$  is a positive-definite kernel

[Mercer's theorem]  $\kappa(\mathbf{a}, \mathbf{b}) = \langle \Phi(\mathbf{a}), \Phi(\mathbf{b}) \rangle_{\mathcal{V}} \approx \phi(\mathbf{a})^\top \phi(\mathbf{b})$   
 $\phi(\cdot) : \mathbb{R}^d \rightarrow \mathbb{R}^m$  is a random feature map

$$\mathbf{z}_u^{(l+1)} = \sum_{v=1}^N \frac{\phi(\mathbf{q}_u)^\top \phi(\mathbf{k}_v)}{\sum_{w=1}^N \phi(\mathbf{q}_u)^\top \phi(\mathbf{k}_w)} \cdot \mathbf{v}_v = \frac{\phi(\mathbf{q}_u)^\top \sum_{v=1}^N \phi(\mathbf{k}_v) \cdot \mathbf{v}_v^\top}{\phi(\mathbf{q}_u)^\top \sum_{w=1}^N \phi(\mathbf{k}_w)}$$

two summation are shared by all nodes (independent of  $u$ )  
only compute once

computation complexity  $O(N) + N \cdot O(1) = O(N)$

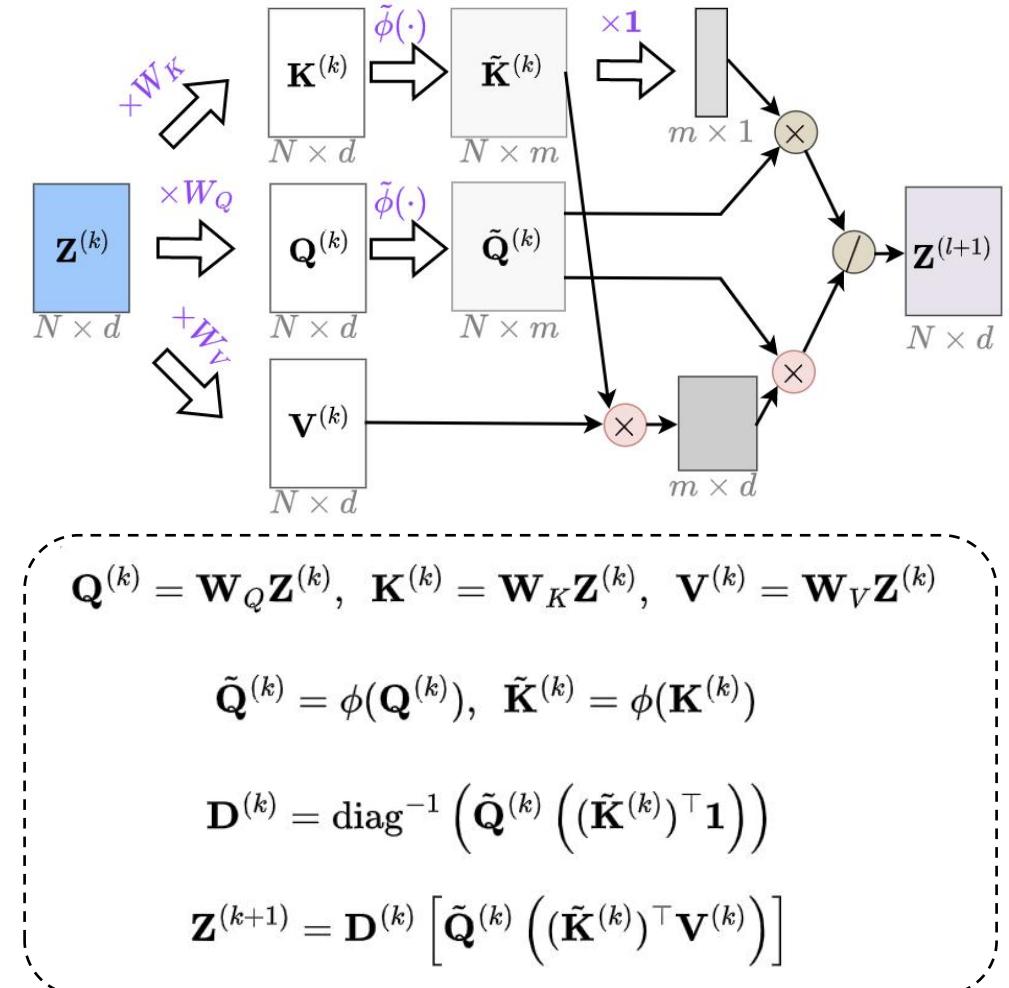


# Scalable All-Pair Message Passing with $O(N)$

## Kernelized Gumbel-Softmax

*observation:* attending on  $N$  nodes may lead to over-normalizing (the denominator shrink the attention to zero)  
*solution:* select dominant edges with stochastic sampling

$$\begin{aligned}
 \mathbf{z}_u^{(l+1)} &= \sum_{v=1}^N \frac{\exp((\mathbf{q}_u^\top \mathbf{k}_u + g_v)/\tau)}{\sum_{w=1}^N \exp((\mathbf{q}_u^\top \mathbf{k}_w + g_w)/\tau)} \cdot \mathbf{v}_u \quad \text{more details: Appendix A} \\
 &= \sum_{v=1}^N \frac{\kappa(\mathbf{q}_u/\sqrt{\tau}, \mathbf{k}_v/\sqrt{\tau}) e^{g_v/\tau}}{\sum_{w=1}^N \kappa(\mathbf{q}_u/\sqrt{\tau}, \mathbf{k}_w/\sqrt{\tau}) e^{g_w/\tau}} \cdot \mathbf{v}_v \\
 &\approx \sum_{v=1}^N \frac{\phi(\mathbf{q}_u/\sqrt{\tau})^\top \phi(\mathbf{k}_v/\sqrt{\tau}) e^{g_v/\tau}}{\sum_{w=1}^N \phi(\mathbf{q}_u/\sqrt{\tau})^\top \phi(\mathbf{k}_w/\sqrt{\tau}) e^{g_w/\tau}} \cdot \mathbf{v}_v \\
 &= \frac{\phi(\mathbf{q}_u/\sqrt{\tau})^\top \sum_{v=1}^N e^{g_v/\tau} \phi(\mathbf{k}_v/\sqrt{\tau}) \cdot \mathbf{v}_v^\top}{\phi(\mathbf{q}_u/\sqrt{\tau})^\top \sum_{w=1}^N e^{g_w/\tau} \phi(\mathbf{k}_w/\sqrt{\tau})}
 \end{aligned}$$



# Scalable All-Pair Message Passing with $O(N)$

---

**Algorithm 1:** Scalable All-Pair Message Passing on Latent Graphs with Linear Complexity ( $\mathcal{O}(N)$  or  $\mathcal{O}(N + E)$ )

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**Input:** Node features  $\mathbf{Z}^{(0)} = \mathbf{X}$ , input adjacency  $\mathbf{A}$ .

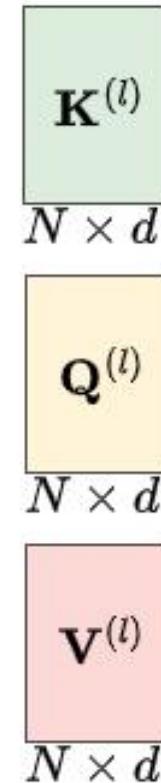
1 **for**  $l = 0 \dots, L - 1$  **do**  
2    $\mathbf{Q}^{(l)} \leftarrow W_Q^{(l)} \mathbf{Z}^{(l)}$ ,  $\mathbf{K}^{(l)} \leftarrow W_K^{(l)} \mathbf{Z}^{(l)}$ ,  $\mathbf{V}^{(l)} \leftarrow W_V^{(l)} \mathbf{Z}^{(l)}$ ;

3  
4  
5  
6  
7  
8  
9

---

**Output:** Predict node labels  $\hat{\mathbf{Y}} = \text{MLP}(\{\mathbf{Z}^{(l)}\}_{l=0}^L)$ .

---



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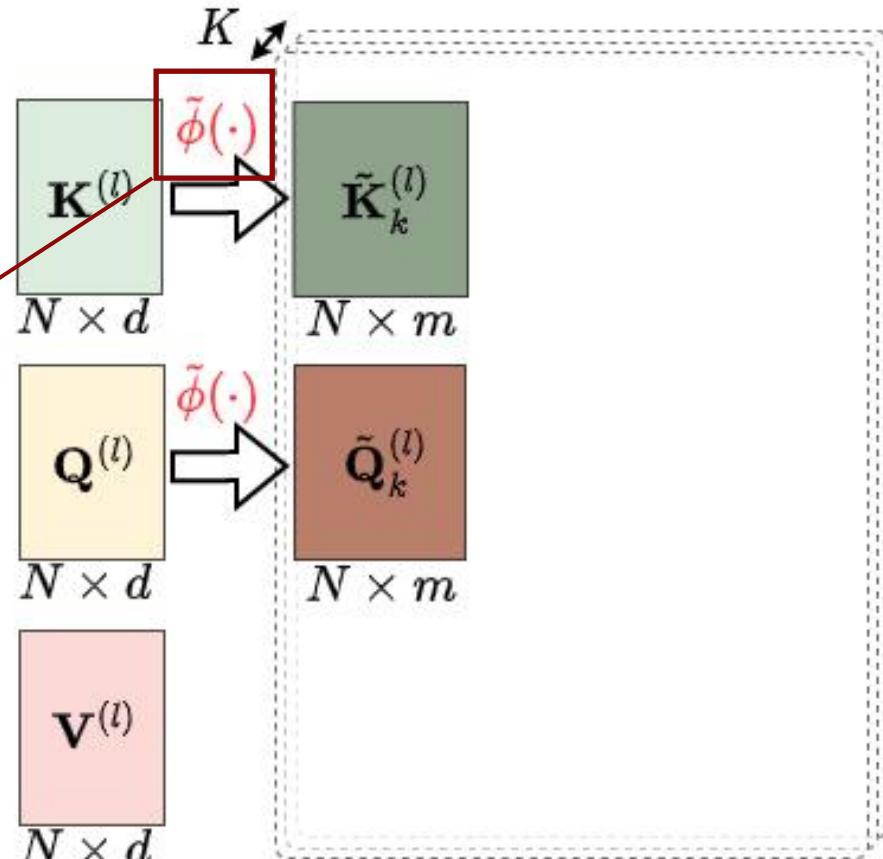
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3   **for**  $k = 1, 2, \dots, K$  **do**  
4      $G_k = \{e^{g_{ku}/\tau}\}_{u=1}^N$ ,  $g_{ku} \sim \text{Gumbel}(0, 1)$ ;  
5      $\tilde{G}_k = G_k.\text{unsqueeze}(1).\text{repeat}(1, m)$ ;  
6      $\tilde{\mathbf{K}}_k^{(l)} = \tilde{G}_k \odot \phi(\mathbf{K}^{(l)} / \sqrt{\tau})$ ,  $\tilde{\mathbf{Q}}_k^{(l)} = \tilde{G}_k \odot \phi(\mathbf{Q}^{(l)} / \sqrt{\tau})$ ;

7  
8  
9

---

**Output:** Predict node labels  $\hat{\mathbf{Y}} = \text{MLP}(\{\mathbf{Z}^{(l)}\}_{l=0}^L)$ .

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# Scalable All-Pair Message Passing with $O(N)$

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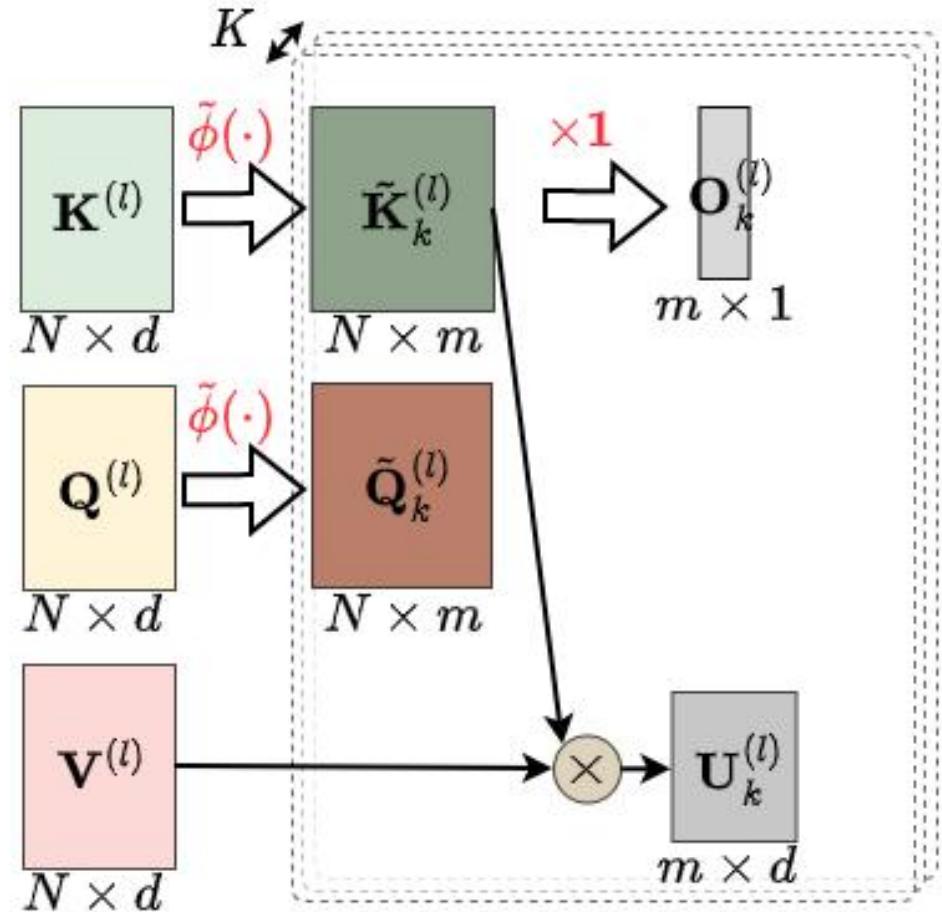
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6      $\tilde{\mathbf{K}}_k^{(l)} = \tilde{G}_k \odot \phi(\mathbf{K}^{(l)} / \sqrt{\tau})$ ,  $\tilde{\mathbf{Q}}_k^{(l)} = \tilde{G}_k \odot \phi(\mathbf{Q}^{(l)} / \sqrt{\tau})$ ;  
7      $\mathbf{U}_k^{(l)} \leftarrow (\tilde{\mathbf{K}}_k^{(l)})^\top \mathbf{V}^{(l)}$ ,  $\mathbf{O}_k^{(l)} \leftarrow (\tilde{\mathbf{K}}_k^{(l)})^\top \mathbf{1}_{N \times 1}$ ;

8  
9

---

**Output:** Predict node labels  $\hat{\mathbf{Y}} = \text{MLP}(\{\mathbf{Z}^{(l)}\}_{l=0}^L)$ .

---



# Scalable All-Pair Message Passing with $O(N)$

**Algorithm 1:** Scalable All-Pair Message Passing on Latent Graphs with Linear Complexity ( $\mathcal{O}(N)$  or  $\mathcal{O}(N + E)$ )

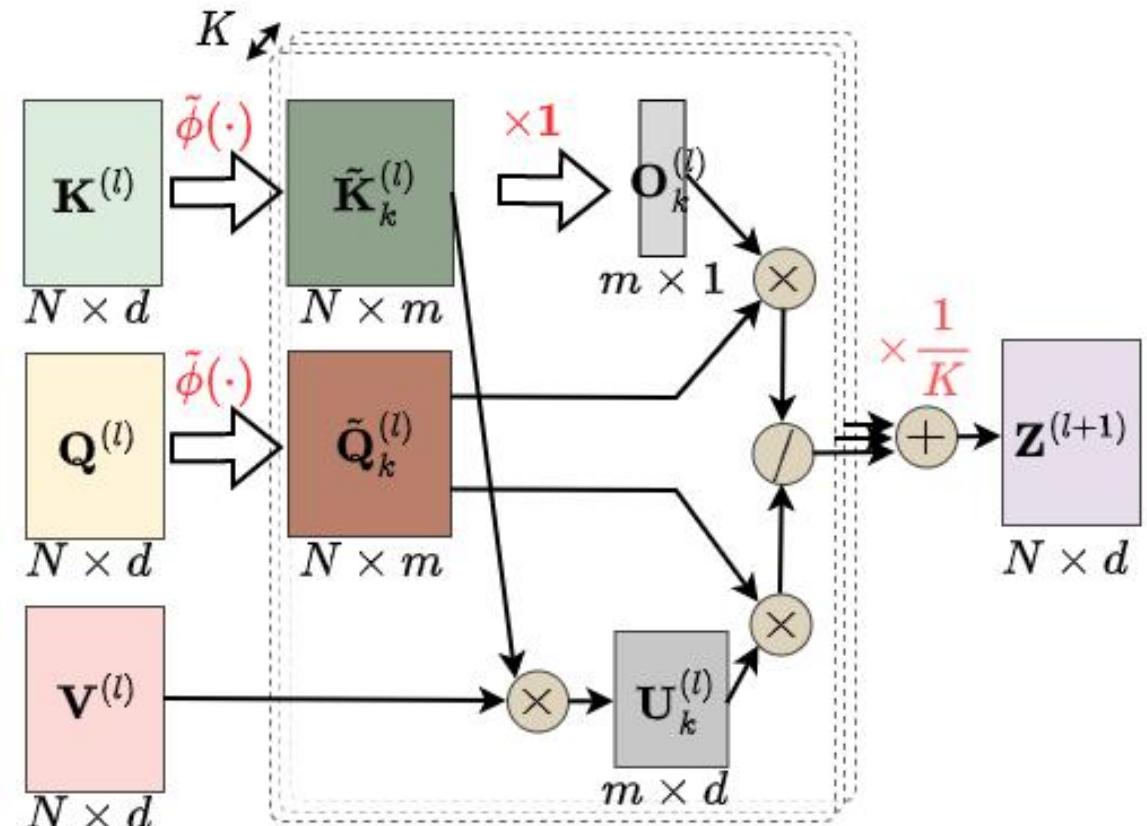
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- 7      $\mathbf{U}_k^{(l)} \leftarrow (\tilde{\mathbf{K}}_k^{(l)})^\top \mathbf{V}^{(l)}$ ,  $\mathbf{O}_k^{(l)} \leftarrow (\tilde{\mathbf{K}}_k^{(l)})^\top \mathbf{1}_{N \times 1}$ ;
- 8    $\mathbf{Z}^{(l+1)} \leftarrow \frac{1}{K} \sum_{k=1}^K \frac{\tilde{\mathbf{Q}}_k^{(l)} \mathbf{U}_k^{(l)}}{\tilde{\mathbf{Q}}_k^{(l)} \mathbf{O}_k^{(l)}}$ ; *% average K samples*
- 9

---

**Output:** Predict node labels  $\hat{\mathbf{Y}} = \text{MLP}(\{\mathbf{Z}^{(l)}\}_{l=0}^L)$ .



# Pytorch Implementation

```
# qs: [N, H, D], ks: [L, H, D], vs: [L, H, D]

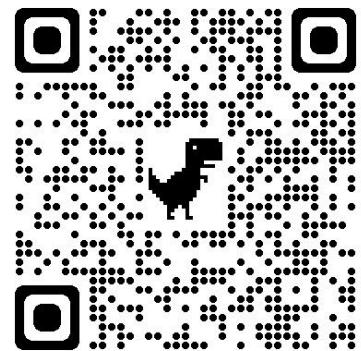
qs = softmax_kernel(qs) # [N, H, M]
ks = softmax_kernel(ks) # [L, H, M]

# numerator
kvs = torch.einsum("lhm,lhd->hmd", ks, vs)
attn_num = torch.einsum("nhm,hmd->nhd", qs, kvs) # [N, H, D]

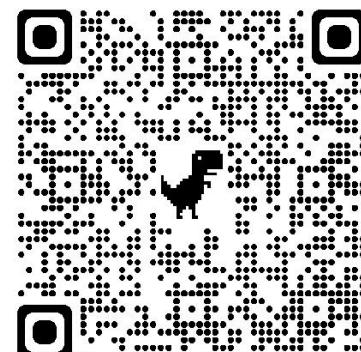
# denominator
all_ones = torch.ones([ks.shape[0]])
ks_sum = torch.einsum("lhm,l->hm", ks, all_ones)
attn_den = torch.einsum("nhm,hm->nh", qs, ks_sum) # [N, H]

# attentive aggregated results
z_next = attn_num / attn_den # [N, H, D]
```

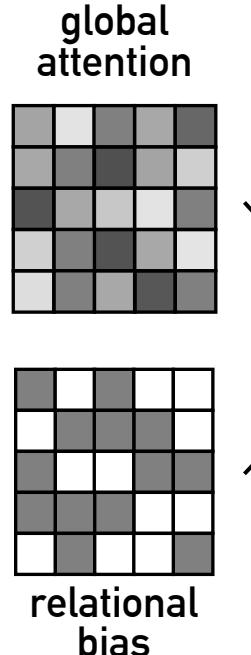
*github repo*



*tutorial*



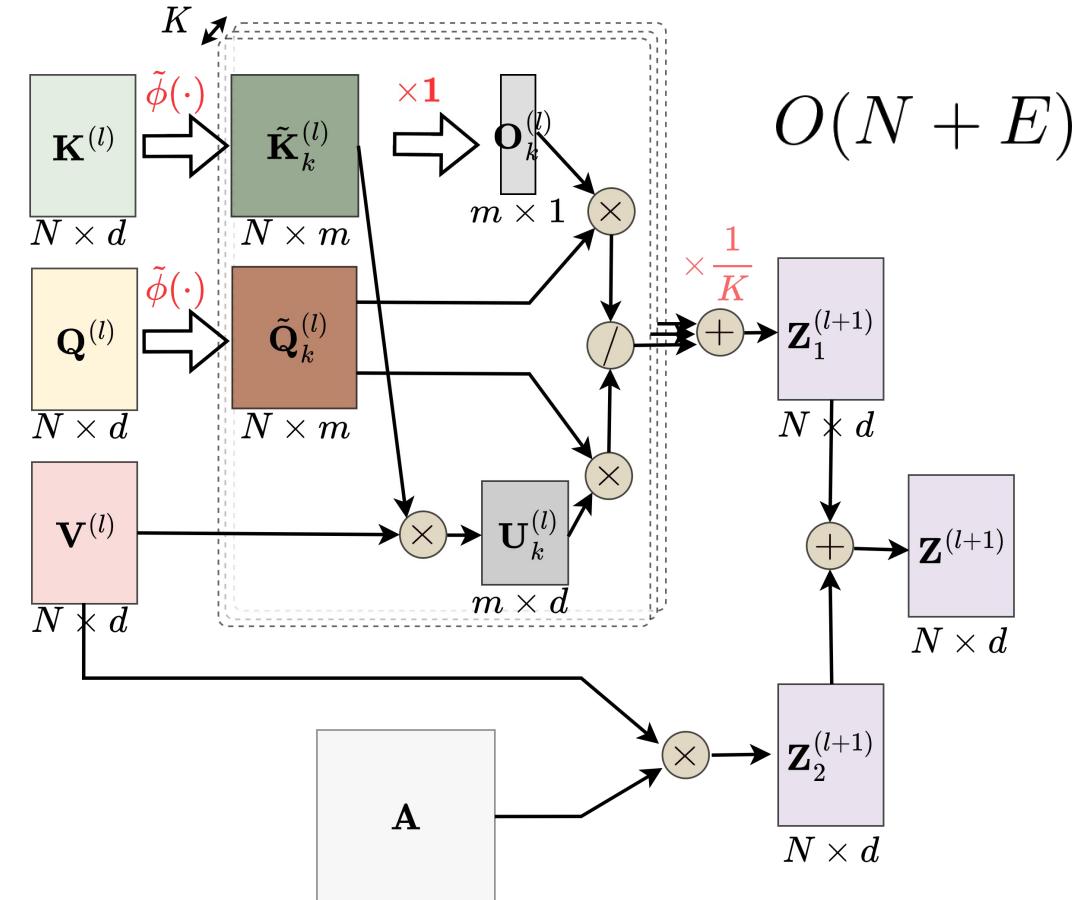
# Input Graphs as Relational Bias



*key idea:  
reinforce the weights for  
observed edges*

a learnable scalar shared  
by all observed edges

$$\mathbf{z}_u^{(l+1)} \leftarrow \mathbf{z}_u^{(l+1)} + \sum_{v, a_{uv}=1} \sigma(b^{(l)}) \cdot \mathbf{v}_v$$



$O(N + E)$

Qitian Wu et al., NodeFormer: A Scalable Graph Structure Learning Transformer for Node Classification, NeurIPS 2022

# Input Graphs as Regularization Loss

## □ Supervised classification loss

$$\mathcal{L}_s(\mathbf{Y}, \hat{\mathbf{Y}}) = -\frac{1}{N} \sum_{v=1}^N \sum_{c=1}^C \mathbb{I}[y_v = c] \log \hat{y}_{v,c}$$

## □ Edge-level regularization loss

$$\mathcal{L}_e(\mathbf{A}, \tilde{\mathbf{A}}) = -\frac{1}{NL} \sum_{l=1}^L \sum_{(u,v) \in \mathcal{E}} \frac{1}{d_u} \log \pi_{uv}^{(l)}$$

$$\pi_{uv}^{(l)} = \frac{\phi(W_Q^{(l)} \mathbf{z}_u^{(l)})^\top \phi(W_K^{(l)} \mathbf{z}_v^{(l)})}{\phi(W_Q^{(l)} \mathbf{z}_u^{(l)})^\top \sum_{w=1}^N \phi(W_K^{(l)} \mathbf{z}_w^{(l)})}$$

## □ Final loss function

$$\mathcal{L} = \mathcal{L}_s + \lambda \mathcal{L}_e$$

Key observation:

# labeled nodes <  $N \ll N^2$  = # node pairs

The log-likelihood of observed edges, if assuming data distribution as

$$p_0(v|u) = \begin{cases} \frac{1}{d_u}, & a_{uv} = 1 \\ 0, & \text{otherwise.} \end{cases}$$

only require  $O(E)$

Since we only need to query the probability for each observed edges, where the complexity of each query is  $\mathcal{O}(1)$

# Dissecting the Rationale of New Objective

## □ A variational perspective look at the training objective

Key insights:

Treat the latent structure estimation as a variational distribution  $q(\tilde{\mathbf{A}}|\mathbf{X}, \mathbf{A})$

The all-pair message passing module induces a predictive distribution  $p(\mathbf{Y}|\tilde{\mathbf{A}}, \mathbf{X}, \mathbf{A})$

$$\mathcal{L}_s(\mathbf{Y}, \hat{\mathbf{Y}}) = -\frac{1}{N} \sum_{v=1}^N \sum_{c=1}^C \mathbb{I}[y_v = c] \log \hat{y}_{v,c}$$

$$\mathcal{L}_e(\mathbf{A}, \tilde{\mathbf{A}}) = -\frac{1}{NL} \sum_{l=1}^L \sum_{(u,v) \in \mathcal{E}} \frac{1}{d_u} \log \pi_{uv}^{(l)}$$

$$p^*, q^* = \arg \min_{p,q} \underbrace{-\mathbb{E}_q[\log p(\mathbf{Y}|\tilde{\mathbf{A}}, \mathbf{X}, \mathbf{A})]}_{\mathcal{L}_s} + \underbrace{\mathcal{D}(q(\tilde{\mathbf{A}}|\mathbf{X}, \mathbf{A}) \| p_0(\tilde{\mathbf{A}}|\mathbf{X}, \mathbf{A}))}_{\mathcal{L}_e}$$

Proposition (Underlying Effect for Learning Optimal Structures)

Assume  $q$  can exploit arbitrary distributions over  $\tilde{\mathbf{A}}$ . When the objective achieves the optimum, we have 1)  $\mathcal{D}(q(\tilde{\mathbf{A}}|\mathbf{X}, \mathbf{A}) \| p(\tilde{\mathbf{A}}|\mathbf{Y}, \mathbf{X}, \mathbf{A})) = 0$ , and 2)  $\log p(\mathbf{Y}|\mathbf{X}, \mathbf{A})$  is maximized.

# Approximation Error and Concentration

## Theorem 1 (Approximation Error for Softmax-Kernel)

Assume  $\|\mathbf{q}_u\|_2$  and  $\|\mathbf{k}_v\|_2$  are bounded by  $r$ , and  $\phi$  the Positive Random Features, then with probability at least  $1 - \epsilon$ , the approximation error gap will be bounded by

$$\Delta = |\phi(\mathbf{q}_u/\sqrt{\tau})^\top \phi(\mathbf{k}_v/\sqrt{\tau}) - \kappa(\mathbf{q}_u/\sqrt{\tau}, \mathbf{k}_v/\sqrt{\tau})| \leq \mathcal{O}\left(\sqrt{\frac{\exp(6r/\tau)}{m\epsilon}}\right)$$

For random feature dimension  $m$  and temperature  $\tau$ , the error is independent of node number  $N$

## Theorem 2 (Concentration of Kernelized Gumbel-Softmax Random Variables)

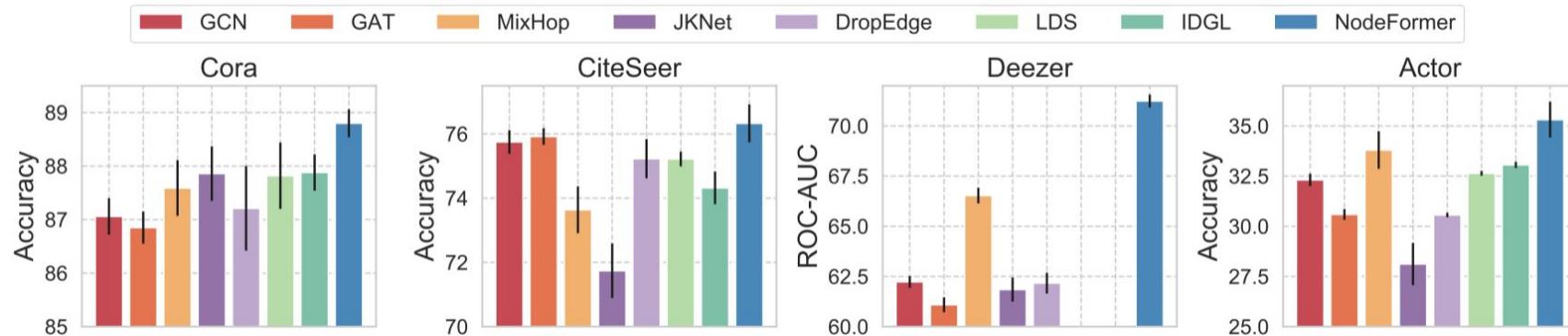
Suppose the random feature dimension  $m$  is sufficiently large, we have the convergence property for the kernelized Gumbel-Softmax operator

$$\lim_{\tau \rightarrow 0} \mathbb{P}(c_{uv} > c_{uv'}, \forall v' \neq v) = \frac{\exp(\mathbf{q}_u^\top \mathbf{k}_v)}{\sum_{w=1}^N \exp(\mathbf{q}_u^\top \mathbf{k}_w)}, \quad \lim_{\tau \rightarrow 0} \mathbb{P}(c_{uv} = 1) = \frac{\exp(\mathbf{q}_u^\top \mathbf{k}_v)}{\sum_{w=1}^N \exp(\mathbf{q}_u^\top \mathbf{k}_w)}$$

The sampled results converge to the ones induced by the Softmax categorical distribution

# Comparative Experiments

## Experiment on small node classification benchmarks



LDS [Franceschi et al., 2020]  
IDGL [Chen et al., 2021]

## Experiment on large-scale datasets OGB-Proteins and Amazon2M

Method	Accuracy (%)	Train Mem
MLP	$63.46 \pm 0.10$	1.4 GB
GCN	$83.90 \pm 0.10$	5.7 GB
SGC	$81.21 \pm 0.12$	1.7 GB
GraphSAINT-GCN	$83.84 \pm 0.42$	2.1 GB
GraphSAINT-GAT	$85.17 \pm 0.32$	2.2 GB
NODEFORMER	<b><math>87.85 \pm 0.24</math></b>	4.0 GB
NODEFORMER-dt	$87.02 \pm 0.75$	2.9 GB
NODEFORMER-tp	$87.55 \pm 0.11$	4.0 GB

NodeFormer successfully scales to graphs with 2M nodes

NodeFormer using batch size 0.1M only requires 4GB memory and hours for training on a single GPU

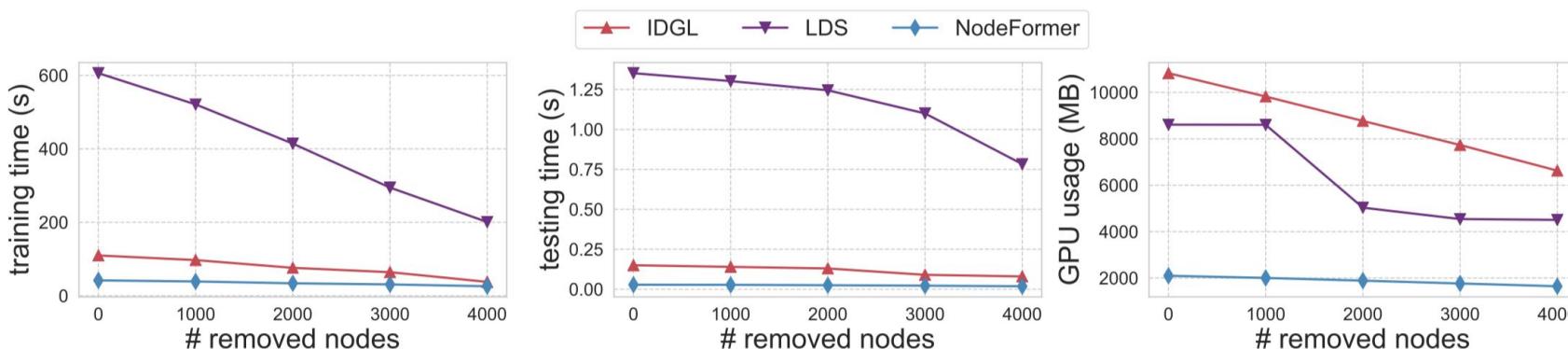
# Comparative Experiments

## Experiment on image/text classification (no input graph)

Method	Mini-ImageNet				20News-Group			
	$k = 5$	$k = 10$	$k = 15$	$k = 20$	$k = 5$	$k = 10$	$k = 15$	$k = 20$
GCN	84.86 $\pm$ 0.42	85.61 $\pm$ 0.40	85.93 $\pm$ 0.59	85.96 $\pm$ 0.66	65.98 $\pm$ 0.68	64.13 $\pm$ 0.88	62.95 $\pm$ 0.70	62.59 $\pm$ 0.62
GAT	84.70 $\pm$ 0.48	85.24 $\pm$ 0.42	85.41 $\pm$ 0.43	85.37 $\pm$ 0.51	64.06 $\pm$ 0.44	62.51 $\pm$ 0.71	61.38 $\pm$ 0.88	60.80 $\pm$ 0.59
DropEdge	83.91 $\pm$ 0.24	85.35 $\pm$ 0.44	85.25 $\pm$ 0.63	85.81 $\pm$ 0.65	64.46 $\pm$ 0.43	64.01 $\pm$ 0.42	62.46 $\pm$ 0.51	62.68 $\pm$ 0.71
IDGL	83.63 $\pm$ 0.32	84.41 $\pm$ 0.35	85.50 $\pm$ 0.24	85.66 $\pm$ 0.42	65.09 $\pm$ 1.23	63.41 $\pm$ 1.26	61.57 $\pm$ 0.52	62.21 $\pm$ 0.79
LDS	OOM	OOM	OOM	OOM	66.15 $\pm$ 0.36	64.70 $\pm$ 1.07	63.51 $\pm$ 0.64	63.51 $\pm$ 1.75
NODEFORMER	<b>86.77</b> $\pm$ 0.45	<b>86.74</b> $\pm$ 0.23	<b>86.87</b> $\pm$ 0.41	<b>86.64</b> $\pm$ 0.42	66.01 $\pm$ 1.18	<b>65.21</b> $\pm$ 1.14	<b>64.69</b> $\pm$ 1.31	<b>64.55</b> $\pm$ 0.97
NODEFORMER w/o graph			<b>87.46</b> $\pm$ 0.36				<b>64.71</b> $\pm$ 1.33	

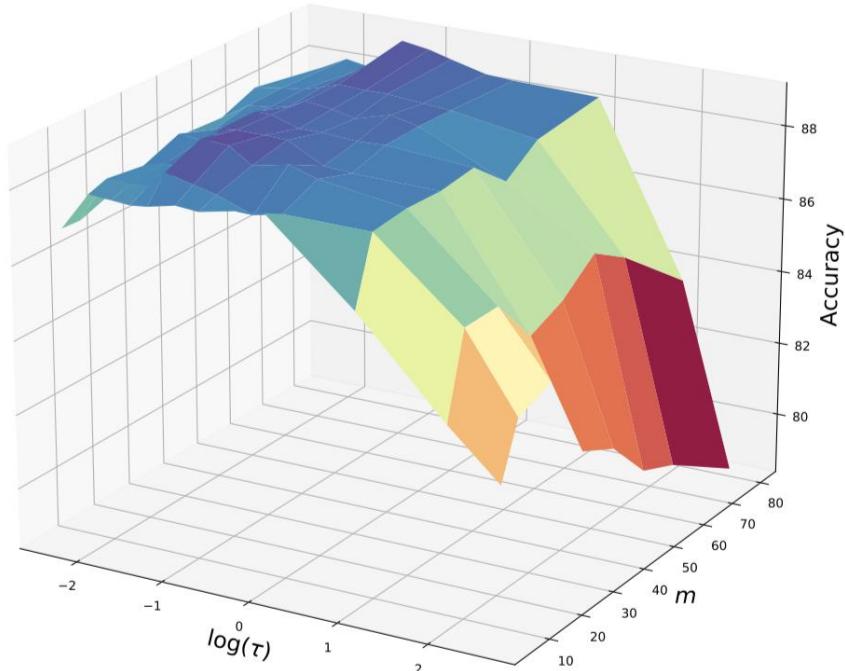
NodeFormer  
also works with  
no input graph

## Scalability analysis on time/space costs



NodeFormer  
reduces training  
time by 93.1%

# Ablation Study and Hyper-parameters



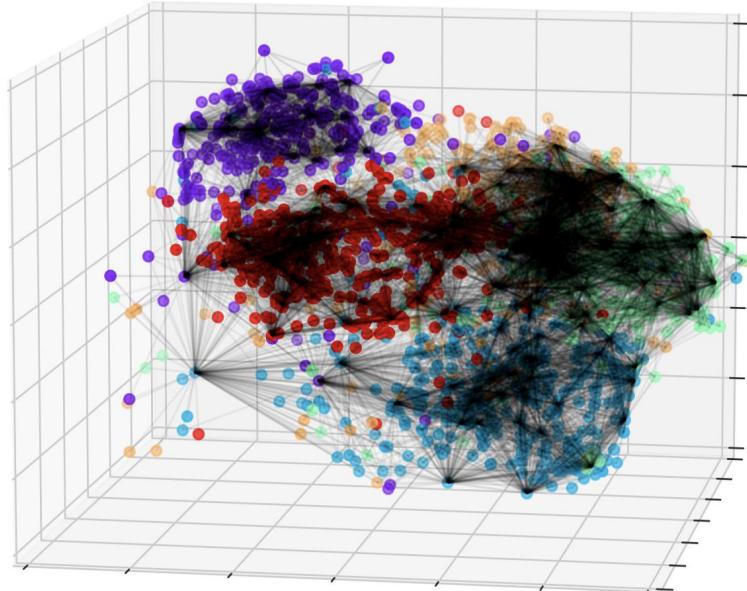
Larger random feature dimension  $m$  allows better approximation

Moderate temperature ( $\tau=0.25$ ) yields stably good performance

Dataset	NODEFORMER	NODEFORMER w/o reg	NODEFORMER w/o rb
Cora	<b>88.69</b> $\pm$ 0.46	81.98 $\pm$ 0.46	88.06 $\pm$ 0.59
Citeseer	<b>76.33</b> $\pm$ 0.59	70.60 $\pm$ 1.20	74.12 $\pm$ 0.64
Deezer	<b>71.24</b> $\pm$ 0.32	71.22 $\pm$ 0.32	71.10 $\pm$ 0.36
Actor	<b>35.31</b> $\pm$ 1.29	35.15 $\pm$ 1.32	34.60 $\pm$ 1.32

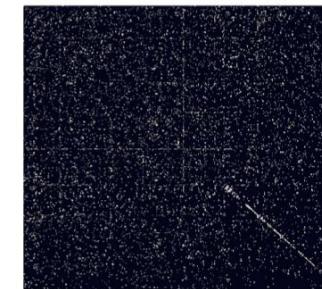
Ablation study on edge regularization loss and relational bias

# Visualization of Learned Structures

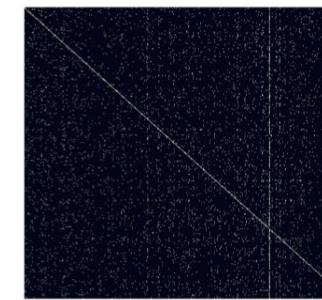


20News-Group

Cora



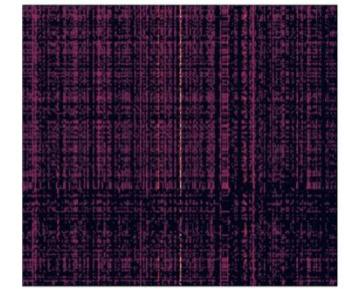
Actor



Original



Layer 1



Layer 2

The latent structures produced by NodeFormer tend to connect nodes within the same class and increase the overall connectivity of the whole graph

# Where Are We?

---

*Prior Art*

quadratic complexity (hard to scale to **10K nodes**)

*NodeFormer*

linear complexity (largest demonstration on **2M nodes**)

*Follow-up open questions:*

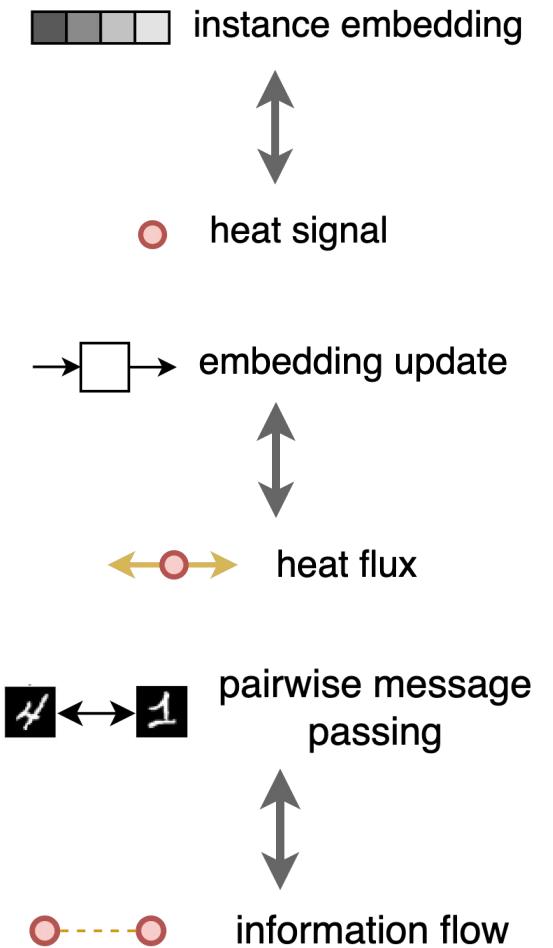
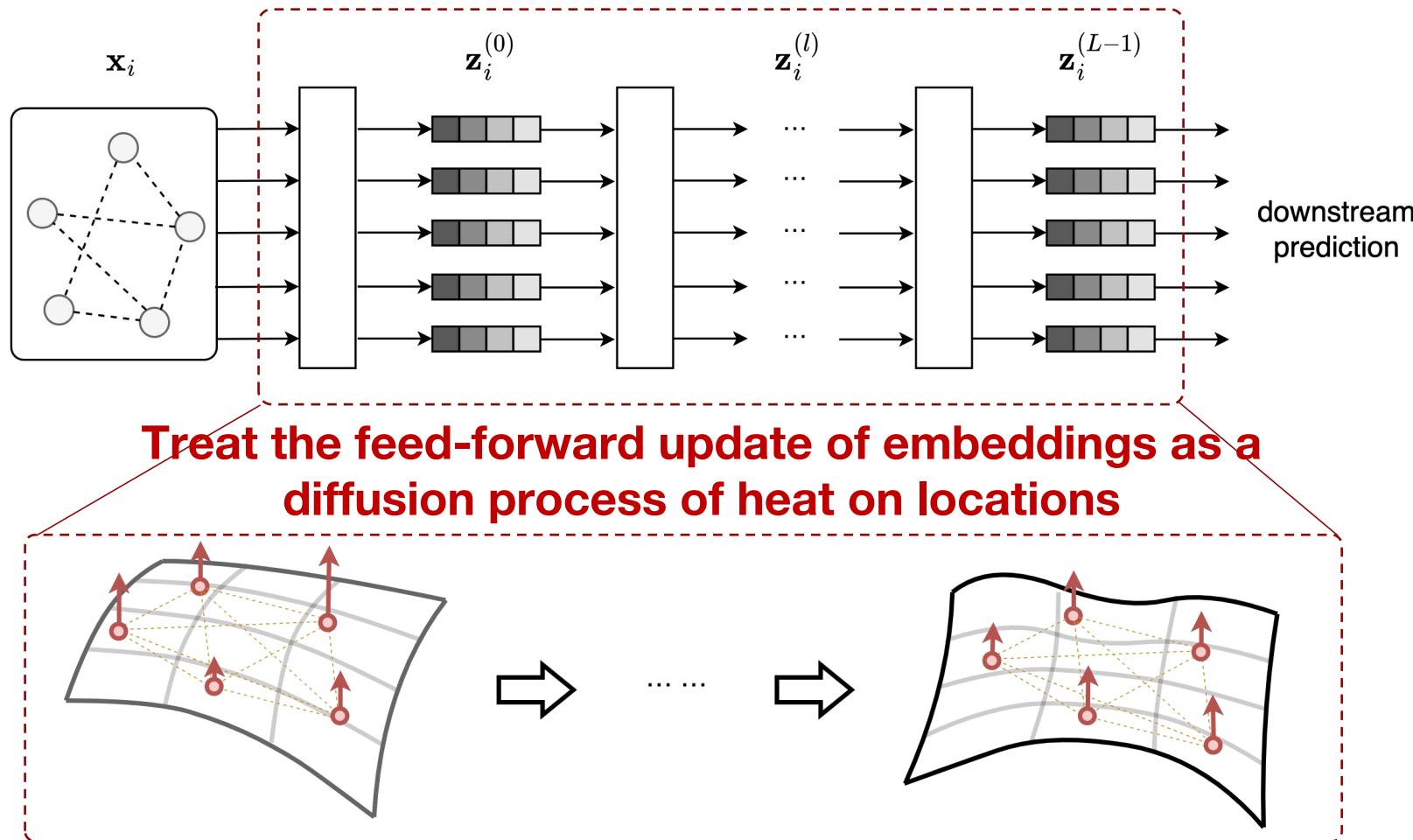
- *issue 1: current Transformers mostly stem from heuristic designs*

Is there any principled guidance for the design of Transformer attentions?

- *issue 2: current Transformers are data-hungry (sufficient supervision)*

Can graph Transformers handle learning tasks with low labeled rate?

# GNN Feed-forward as Diffusion Process

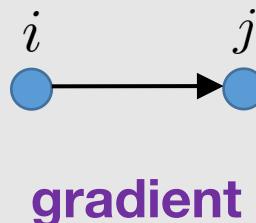


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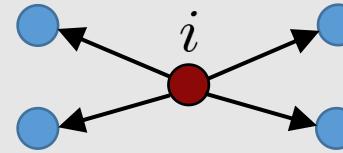
# General Formulation of Diffusion Process

The **diffusion process** of  $N$  particles driven by initial states and pairwise interactions:

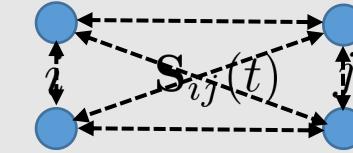
$$\frac{\partial \mathbf{Z}(t)}{\partial t} = \nabla^* (\mathbf{S}(\mathbf{Z}(t), t) \odot \nabla \mathbf{Z}(t)), \quad \text{s. t. } \mathbf{Z}(0) = [\mathbf{x}_i]_{i=1}^N, \quad t \geq 0$$



gradient



divergence



diffusivity function

$$(\nabla \mathbf{Z}(t))_{ij} = \mathbf{z}_j(t) - \mathbf{z}_i(t)$$

$$(\nabla^*)_i = \sum_{j=1}^N \mathbf{S}_{ij}(\mathbf{Z}(t), t) (\nabla \mathbf{Z}(t))_{ij}$$

$$\mathbf{S}(\mathbf{Z}(t), t) : \mathbb{R}^{N \times d} \times [0, \infty) \rightarrow [0, 1]^{N \times N}$$

Diffusion over discrete space composed of  $N$  instances with latent structures:

$$\frac{\partial \mathbf{z}_i(t)}{\partial t} = \sum_{j=1}^N \mathbf{S}_{ij}(\mathbf{Z}(t), t) (\mathbf{z}_j(t) - \mathbf{z}_i(t))$$

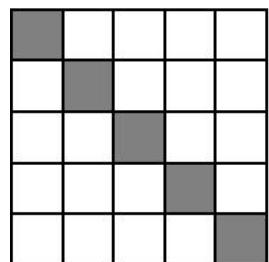
# Diffusion with Latent Structures

The iterative dynamics (by explicit scheme) of diffusion induce **feed-forward layers**:

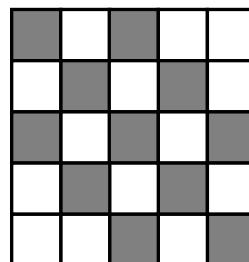
$$\mathbf{z}_i^{(k+1)} = \left( 1 - \tau \sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \right) \mathbf{z}_i^{(k)} + \tau \sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \mathbf{z}_j^{(k)}$$

The  $N \times N$  diffusivity  $\mathbf{S}^{(k)}$  is a measure of the rate at which the node signals spread

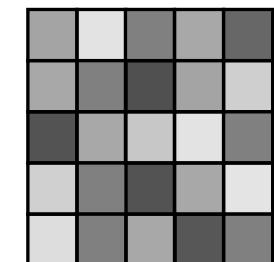
- $\mathbf{S}^{(k)}$  is an **identity matrix**: message passing only through **self-loops**
- $\mathbf{S}^{(k)}$  only has non-zero values for **observed edges**: message passing over a **graph**
- $\mathbf{S}^{(k)}$  can have non-zero values for **all entries**: **all-pair message passing**



**MLP**



**GNN**



**Transformer**

**Key question: How to determine a proper diffusivity function for learning desirable node representations?**

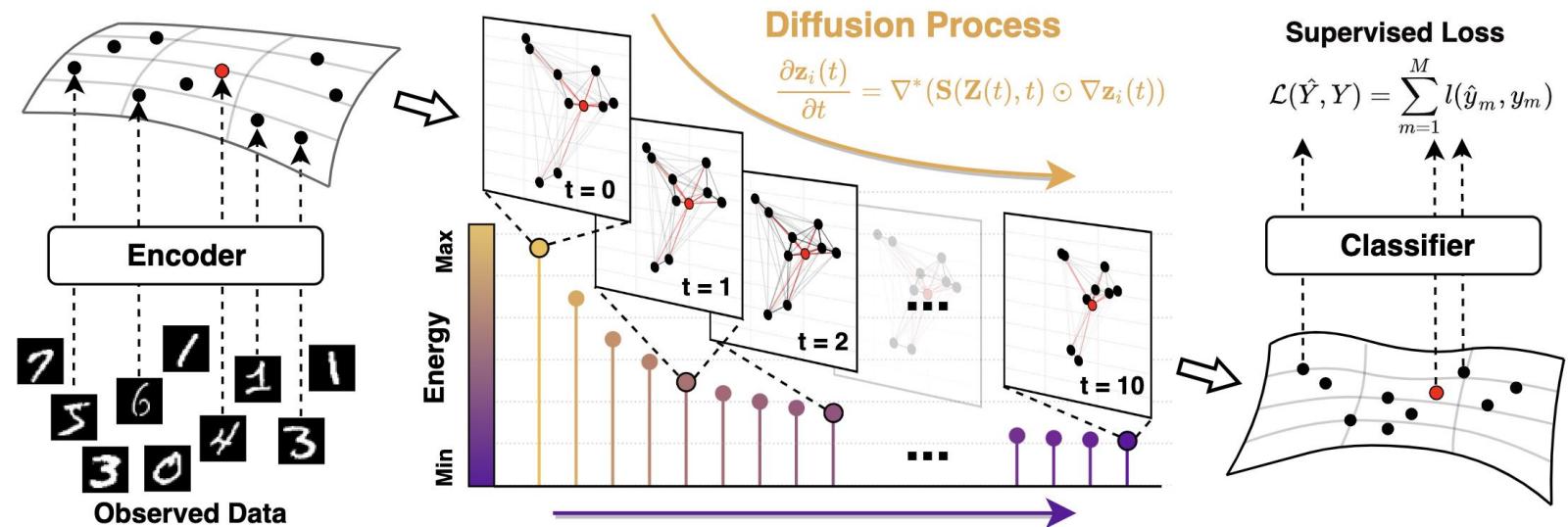
# Energy-Constrained Diffusion Process

**Principle 1:** particle states evolution described by a diffusion process

+

**Principle 2:** the evolutionary directions towards descending the global energy

**Key insight:** treat diffusivity as latent variables whose optimality is given by descent criteria w.r.t. a principled global energy



$$\mathbf{z}_i^{(k+1)} = \left( 1 - \tau \sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \right) \mathbf{z}_i^{(k)} + \tau \sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \mathbf{z}_j^{(k)}$$

s. t.  $\mathbf{z}_i^{(0)} = \mathbf{x}_i, \quad E(\mathbf{Z}^{(k+1)}, k; \delta) \leq E(\mathbf{Z}^{(k)}, k-1; \delta), \quad k \geq 1.$

# Closed-Form Solutions for Diffusion Dynamics

Theorem (Optimal Diffusivity Estimates for Energy-Constrained Diffusion)

For any regularized energy over  $\mathbf{Z} = \{\mathbf{z}_i\}_{i=1}^N$  defined by the form

$$E(\mathbf{Z}, k; \delta) = \|\mathbf{Z} - \mathbf{Z}^{(k)}\|_{\mathcal{F}}^2 + \lambda \sum_{i,j} \delta(\|\mathbf{z}_i - \mathbf{z}_j\|_2^2)$$

where  $\delta : \mathbb{R}^+ \rightarrow \mathbb{R}$  is a **concave, non-decreasing function**, the diffusion process with diffusivity

$$\hat{\mathbf{S}}_{ij}^{(k)} = \frac{\omega_{ij}^{(k)}}{\sum_{l=1}^N \omega_{il}^{(k)}}, \quad \omega_{ij}^{(k)} = \left. \frac{\partial \delta(z^2)}{\partial z^2} \right|_{z^2=\|\mathbf{z}_i^{(k)} - \mathbf{z}_j^{(k)}\|_2^2}$$

yields a **descent step on the energy**, i.e.,  $E(\mathbf{Z}^{(k+1)}, k; \delta) \leq E(\mathbf{Z}^{(k)}, k-1; \delta)$

**One-layer update  
of DIFFFormer**

**Diffusivity Inference:**  $\hat{\mathbf{S}}_{ij}^{(k)} = \frac{f(\|\mathbf{z}_i^{(k)} - \mathbf{z}_j^{(k)}\|_2^2)}{\sum_{l=1}^N f(\|\mathbf{z}_i^{(k)} - \mathbf{z}_l^{(k)}\|_2^2)}, \quad 1 \leq i, j \leq N$

**State Update:**  $\mathbf{z}_i^{(k+1)} = \left( 1 - \tau \sum_{j=1}^N \hat{\mathbf{S}}_{ij}^{(k)} \right) \mathbf{z}_i^{(k)} + \tau \sum_{j=1}^N \hat{\mathbf{S}}_{ij}^{(k)} \mathbf{z}_j^{(k)}, \quad 1 \leq i \leq N$

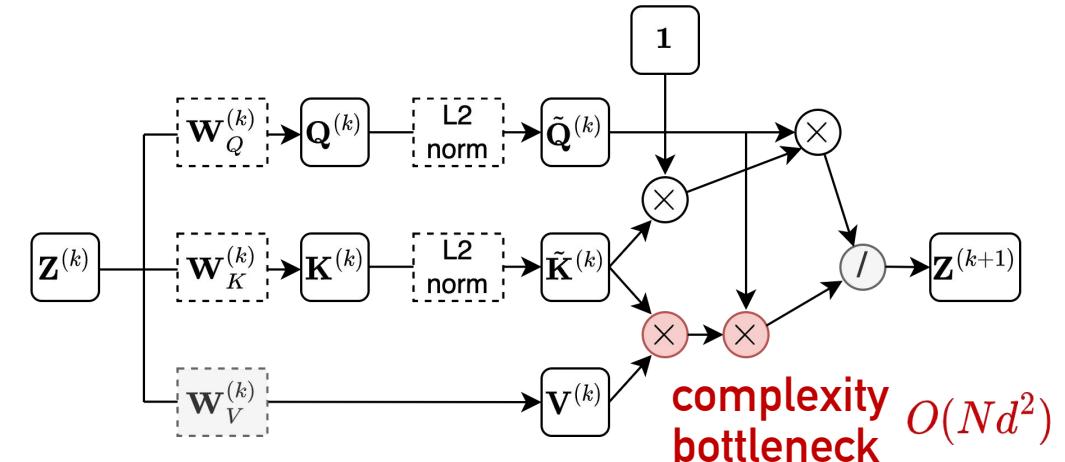
Qitian Wu et al., DIFFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion, ICLR 2023

# DIFFFormer: Instantiations of Diffusivity

DIFFFormer layer with simple diffusivity (DIFFFormer-s):

$$\omega_{ij}^{(k)} = f(\|\tilde{\mathbf{z}}_i^{(k)} - \tilde{\mathbf{z}}_j^{(k)}\|_2^2) = 1 + \left( \frac{\mathbf{z}_i^{(k)}}{\|\mathbf{z}_i^{(k)}\|_2} \right)^\top \left( \frac{\mathbf{z}_j^{(k)}}{\|\mathbf{z}_j^{(k)}\|_2} \right)$$

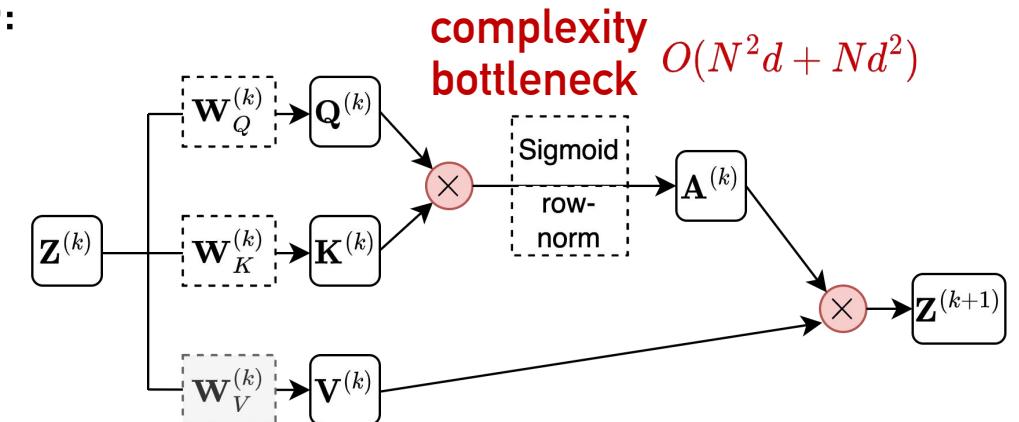
$$\sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \mathbf{z}_j^{(k)} = \sum_{j=1}^N \frac{1 + (\tilde{\mathbf{z}}_i^{(k)})^\top \tilde{\mathbf{z}}_j^{(k)}}{\sum_{l=1}^N (1 + (\tilde{\mathbf{z}}_i^{(k)})^\top \tilde{\mathbf{z}}_l^{(k)})} \mathbf{z}_j^{(k)}$$



DIFFFormer layer with advanced diffusivity (DIFFFormer-a):

$$\omega_{ij}^{(k)} = f(\|\tilde{\mathbf{z}}_i^{(k)} - \tilde{\mathbf{z}}_j^{(k)}\|_2^2) = \frac{1}{1 + \exp(-(z_i^{(k)})^\top z_j^{(k)})}$$

$$\sum_{j=1}^N \mathbf{S}_{ij}^{(k)} \mathbf{z}_j^{(k)} = \sum_{j=1}^N \frac{\text{sigmoid}\left((\mathbf{z}_i^{(k)})^\top \mathbf{z}_j^{(k)}\right)}{\sum_{l=1}^N \text{sigmoid}\left((\mathbf{z}_i^{(k)})^\top \mathbf{z}_l^{(k)}\right)} \mathbf{z}_j^{(k)}$$



Qitian Wu et al., DIFFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion, ICLR 2023

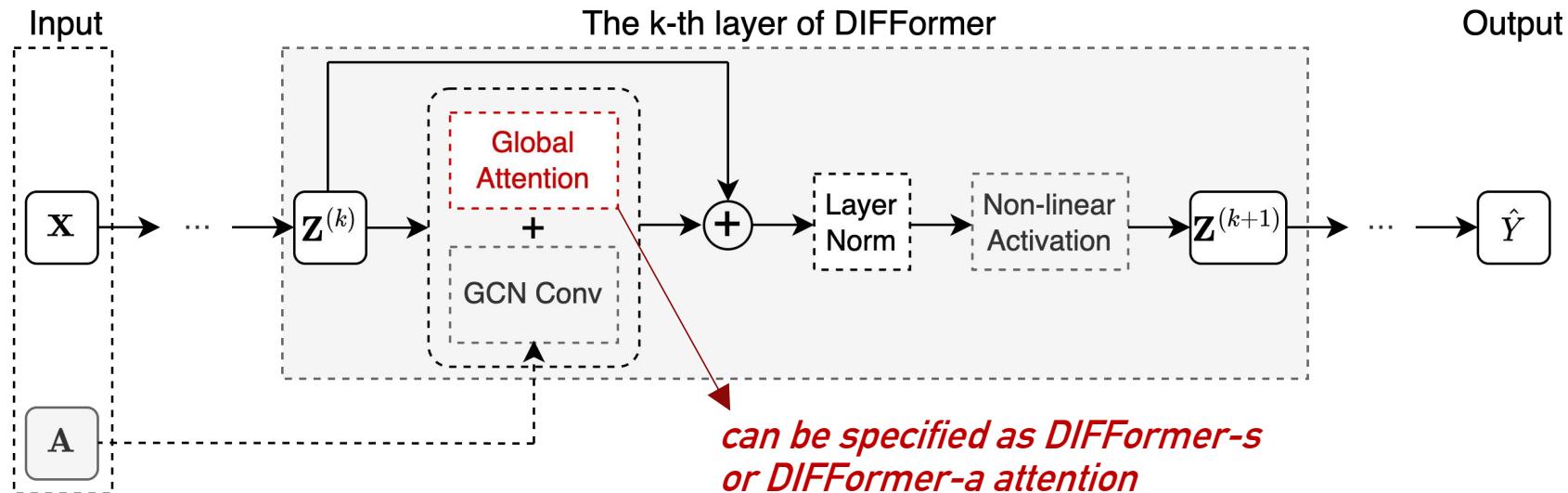
# DIFFFormer: Extension to a Transformer Layer

Incorporation of input graphs (if available): add graph convolution with global attention

$$\bar{\mathbf{P}}^{(k)} = \frac{1}{2} (\hat{\mathbf{S}}^{(k)} + \tilde{\mathbf{A}}) \mathbf{Z}^{(k)}$$

DIFFFormer layer for updating embedding of the next layer:

$$\mathbf{Z}^{(k+1)} = \sigma' \left( \text{LayerNorm} \left( \tau \bar{\mathbf{P}}^{(k)} + (1 - \tau) \mathbf{Z}^{(k)} \right) \right)$$



Qitian Wu et al., DIFFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion, ICLR 2023

# Pytorch Implementation

```
# qs: [N, H, D], ks: [L, H, D], vs: [L, H, D]

qs = qs / torch.norm(qs, p=2) # [N, H, D]
ks = ks / torch.norm(ks, p=2) # [L, H, D]
N = qs.shape[0]

# numerator
kvs = torch.einsum("lhm,lhd->hmd", ks, vs)
attn_num = torch.einsum("nhm,hmd->nhd", qs, kvs) # [N, H, D]
all_ones = torch.ones([vs.shape[0]])
vs_sum = torch.einsum("l,lhd->hd", all_ones, vs) # [H, D]
attn_num += vs_sum.unsqueeze(0).repeat(vs.shape[0], 1, 1) # [N, H, D]

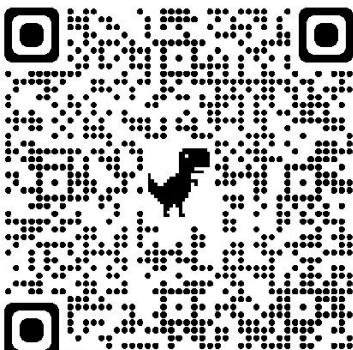
# denominator
all_ones = torch.ones([ks.shape[0]])
ks_sum = torch.einsum("lhm,l->hm", ks, all_ones)
attn_den = torch.einsum("nhm,hm->nh", qs, ks_sum) # [N, H]

# attentive aggregated results
attn_den = torch.unsqueeze(attn_den, len(attn_den.shape)) # [N, H, 1]
attn_den += torch.ones_like(attn_den) * N
z_next = attn_num / attn_den # [N, H, D]
```

*github repo*



*tutorial*



# DIFFFormer: Scaling to Large-Scale Datasets

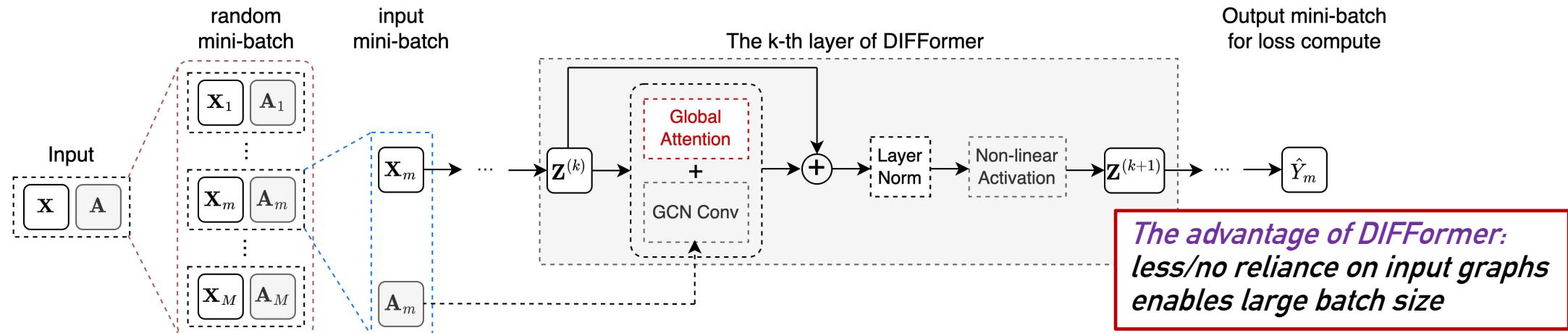
Large-scale datasets with massive amount of data, e.g.,  $N$  instances ( $N$  can be arbitrarily large)

Traditional **IID learning** enables mini-batch learning with a **moderate** batch size  $B \ll N$

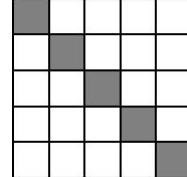
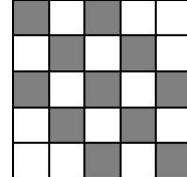
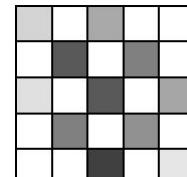
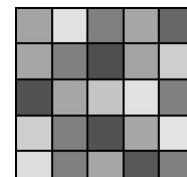
How can message passing networks handle large-scale graphs?

Existing solutions: 1. neighbor sampling (slow training and limited receptive field)  
2. graph clustering (time-consuming pre-processing and limited receptive field)

Our solution: partition instances into random mini-batches with a **large** batch size  $B$



# Interpretations of MLP/GNNs as Diffusion

	Energy function	Diffusivity	Illustration
MLP	$\ \mathbf{Z} - \mathbf{Z}^{(k)}\ _2^2$	$\mathbf{S}_{ij}^{(k)} = \begin{cases} 1, & \text{if } i = j \\ 0, & \text{otherwise} \end{cases}$	
GCN	$\sum_{(i,j) \in \mathcal{E}} \ \mathbf{z}_i - \mathbf{z}_j\ _2^2$	$\mathbf{S}_{ij}^{(k)} = \begin{cases} \frac{1}{\sqrt{d_i d_j}}, & \text{if } (i, j) \in \mathcal{E} \\ 0, & \text{otherwise} \end{cases}$	
GAT	$\sum_{(i,j) \in \mathcal{E}} \delta(\ \mathbf{z}_i - \mathbf{z}_j\ _2^2)$	$\mathbf{S}_{ij}^{(k)} = \begin{cases} \frac{f(\ \mathbf{z}_i^{(k)} - \mathbf{z}_j^{(k)}\ _2^2)}{\sum_{l:(i,l) \in \mathcal{E}} f(\ \mathbf{z}_i^{(k)} - \mathbf{z}_l^{(k)}\ _2^2)}, & \text{if } (i, j) \in \mathcal{E} \\ 0, & \text{otherwise} \end{cases}$	
DIFFormer	$\ \mathbf{Z} - \mathbf{Z}^{(k)}\ _2^2 + \lambda \sum_{i,j} \delta(\ \mathbf{z}_i - \mathbf{z}_j\ _2^2)$	$\mathbf{S}_{ij}^{(k)} = \frac{f(\ \mathbf{z}_i^{(k)} - \mathbf{z}_j^{(k)}\ _2^2)}{\sum_{l=1}^N f(\ \mathbf{z}_i^{(k)} - \mathbf{z}_l^{(k)}\ _2^2)}, \quad 1 \leq i, j \leq N$	

Qitian Wu et al., DIFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion, ICLR 2023

# Results on Graph-based Node Classification

Results of testing accuracy on semi-supervised node classification (20 nodes per class for train)

Type	Model	Non-linearity	PDE-solver	Input-G	Cora	Citeseer	Pubmed
Basic models	MLP	R	-	-	56.1 ± 1.6	56.7 ± 1.7	69.8 ± 1.5
	LP	-	-	R	68.2	42.8	65.8
	ManiReg	R	-	R	60.4 ± 0.8	67.2 ± 1.6	71.3 ± 1.4
Standard GNNs	GCN	R	-	R	81.5 ± 1.3	71.9 ± 1.9	77.8 ± 2.9
	GAT	R	-	R	83.0 ± 0.7	72.5 ± 0.7	79.0 ± 0.3
	SGC	-	-	R	81.0 ± 0.0	71.9 ± 0.1	78.9 ± 0.0
	GCN- $k$ NN	R	-	-	72.2 ± 1.8	56.8 ± 3.2	74.5 ± 3.2
	GAT- $k$ NN	R	-	-	73.8 ± 1.7	56.4 ± 3.8	75.4 ± 1.3
	Dense GAT	R	-	-	78.5 ± 2.5	66.4 ± 1.5	66.4 ± 1.5
	LDS	R	-	-	83.9 ± 0.6	<b>74.8 ± 0.3</b>	out-of-memory
	GLCN	R	-	-	83.1 ± 0.5	72.5 ± 0.9	78.4 ± 1.5
Diffusion-based models	GRAND-1	-	R	R	83.6 ± 1.0	73.4 ± 0.5	78.8 ± 1.7
	GRAND	R	R	R	83.3 ± 1.3	74.1 ± 1.7	78.1 ± 2.1
	GRAND++	R	R	R	82.2 ± 1.1	73.3 ± 0.9	78.1 ± 0.9
	GDC	R	-	R	83.6 ± 0.2	73.4 ± 0.3	78.7 ± 0.4
	GraphHeat	R	-	R	83.7	72.5	80.5
	DGC-Euler	-	-	R	83.3 ± 0.0	73.3 ± 0.1	80.3 ± 0.1
Graph Transformers	NodeFormer	-	-	-	83.4 ± 0.2	73.0 ± 0.3	<b>81.5 ± 0.4</b>
	DIFFORMER-s	-	-	-	<b>85.9 ± 0.4</b>	73.5 ± 0.3	<b>81.8 ± 0.3</b>
	DIFFORMER-a	-	-	-	<b>84.1 ± 0.6</b>	<b>75.7 ± 0.3</b>	80.5 ± 1.2

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# Results on Graph-based Node Classification

Results of testing accuracy on two large-scale graph datasets

Models	Proteins	Pokec
MLP	$72.41 \pm 0.10$	$60.15 \pm 0.03$
LP	74.73	52.73
SGC	$49.03 \pm 0.93$	$52.03 \pm 0.84$
GCN	$74.22 \pm 0.49^*$	$62.31 \pm 1.13^*$
GAT	$75.11 \pm 1.45^*$	$65.57 \pm 0.34^*$
NodeFormer	<b><math>77.45 \pm 1.15^*</math></b>	<b><math>68.32 \pm 0.45^*</math></b>
DIFFORMER-s	<b><math>79.49 \pm 0.44^*</math></b>	<b><math>69.24 \pm 0.76^*</math></b>

Proteins: 132,534 nodes, 39,561,252 edges  
Pokec: 1,632,803 nodes, 30,622,564 edges

We use batch size **10K/100K** for training DIFFORMER-s using a single GPU on **Proteins/Pokec**

Test Acc and memory costs of different batch sizes on Pokec

Batch size	5000	10000	20000	50000	100000	200000
Test Acc (%)	$65.24 \pm 0.34$	$67.48 \pm 0.81$	$68.53 \pm 0.75$	$68.96 \pm 0.63$	$69.24 \pm 0.76$	$69.15 \pm 0.52$
GPU Memory (MB)	1244	1326	1539	2060	2928	4011

# Results on Image & Text Classification

Results of testing accuracy on semi-supervised image and text classification

Dataset		MLP	LP	ManiReg	GCN- $k$ NN	GAT- $k$ NN	DenseGAT	GLCN	DIFFORMER-s	DIFFORMER-a
CIFAR	100 labels	$65.9 \pm 1.3$	66.2	$67.0 \pm 1.9$	$66.7 \pm 1.5$	$66.0 \pm 2.1$	out-of-memory	$66.6 \pm 1.4$	$69.1 \pm 1.1$	$69.3 \pm 1.4$
	500 labels	$73.2 \pm 0.4$	70.6	$72.6 \pm 1.2$	$72.9 \pm 0.4$	$72.4 \pm 0.5$	out-of-memory	$72.8 \pm 0.5$	$74.8 \pm 0.5$	$74.0 \pm 0.6$
	1000 labels	$75.4 \pm 0.6$	71.9	$74.3 \pm 0.4$	$74.7 \pm 0.5$	$74.1 \pm 0.5$	out-of-memory	$74.7 \pm 0.3$	$76.6 \pm 0.3$	$75.9 \pm 0.3$
STL	100 labels	$66.2 \pm 1.4$	65.2	$66.5 \pm 1.9$	$66.9 \pm 0.5$	$66.5 \pm 0.8$	out-of-memory	$66.4 \pm 0.8$	$67.8 \pm 1.1$	$66.8 \pm 1.1$
	500 labels	$73.0 \pm 0.8$	71.8	$72.5 \pm 0.5$	$72.1 \pm 0.8$	$72.0 \pm 0.8$	out-of-memory	$72.4 \pm 1.3$	$73.7 \pm 0.6$	$72.9 \pm 0.7$
	1000 labels	$75.0 \pm 0.8$	72.7	$74.2 \pm 0.5$	$73.7 \pm 0.4$	$73.9 \pm 0.6$	out-of-memory	$74.3 \pm 0.7$	$76.4 \pm 0.5$	$75.3 \pm 0.6$
20News	1000 labels	$54.1 \pm 0.9$	55.9	$56.3 \pm 1.2$	$56.1 \pm 0.6$	$55.2 \pm 0.8$	$54.6 \pm 0.2$	$56.2 \pm 0.8$	$57.7 \pm 0.3$	$57.9 \pm 0.7$
	2000 labels	$57.8 \pm 0.9$	57.6	$60.0 \pm 0.8$	$60.6 \pm 1.3$	$59.1 \pm 2.2$	$59.3 \pm 1.4$	$60.2 \pm 0.7$	$61.2 \pm 0.6$	$61.3 \pm 1.0$
	4000 labels	$62.4 \pm 0.6$	59.5	$63.6 \pm 0.7$	$64.3 \pm 1.0$	$62.9 \pm 0.7$	$62.4 \pm 1.0$	$64.1 \pm 0.8$	$65.9 \pm 0.8$	$64.8 \pm 1.0$

For image datasets, use a pretrained network to obtain embeddings of images

Use **k-nearest-neighbor** to construct a graph for baseline methods GCN- $k$ NN and GAT- $k$ NN

DIFFormer-s and DIFFormer-a without using any graph structure outperform the competitors

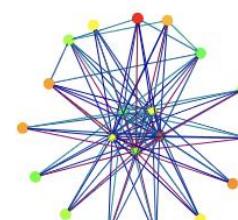
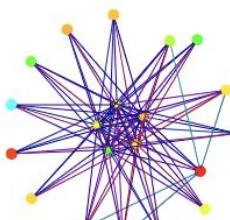
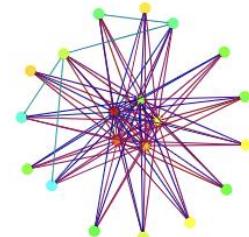
# Results on Spatial-Temporal Prediction

Results of testing mean square error for predicting spatial-temporal dynamics based on history

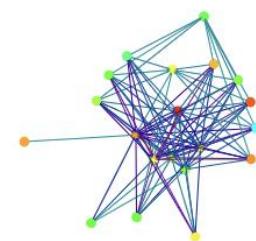
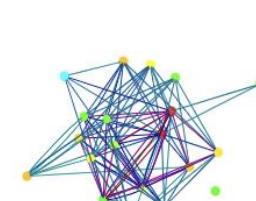
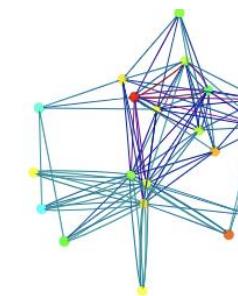
Dataset	MLP	GCN	GAT	Dense GAT	GAT-kNN	GCN-kNN	DIFFORMER-s	DIFFORMER-a	DIFFORMER-s w/o g	DIFFORMER-a w/o g
Chickenpox	0.924 ( $\pm 0.001$ )	0.923 ( $\pm 0.001$ )	0.924 ( $\pm 0.002$ )	0.935 ( $\pm 0.005$ )	0.926 ( $\pm 0.004$ )	0.936 ( $\pm 0.004$ )	<b>0.914 (0.006)</b>	<b>0.915 (0.008)</b>	0.916 ( $0.006$ )	0.916 ( $0.006$ )
Covid	0.956 ( $\pm 0.198$ )	1.080 ( $\pm 0.162$ )	1.052 ( $\pm 0.336$ )	1.524 ( $\pm 0.319$ )	0.861 ( $\pm 0.123$ )	1.475 ( $\pm 0.560$ )	0.779 (0.037)	<b>0.757 (0.048)</b>	0.779 ( $0.028$ )	<b>0.741 (0.052)</b>
WikiMath	1.073 ( $\pm 0.042$ )	1.292 ( $\pm 0.125$ )	1.339 ( $\pm 0.073$ )	0.826 ( $\pm 0.070$ )	0.882 ( $\pm 0.015$ )	1.023 ( $\pm 0.058$ )	0.731 (0.007)	0.763 (0.020)	<b>0.727 (0.025)</b>	<b>0.716 (0.030)</b>

Goal: Given the historical graph snapshot, one needs to predict node labels at the next step

DIFFormer without using graph structure (w/o g) can sometimes yield better prediction

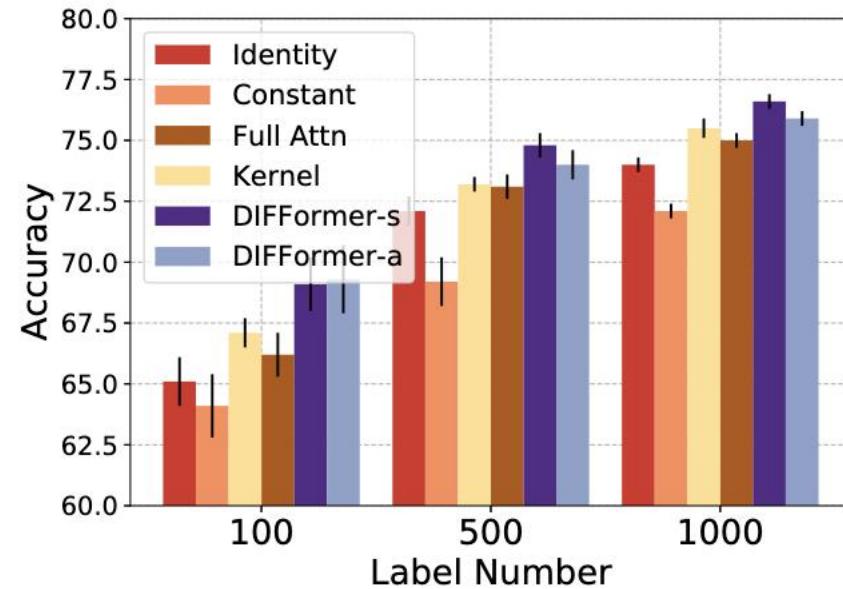


Diffusivity estimates of DIFFormer-s

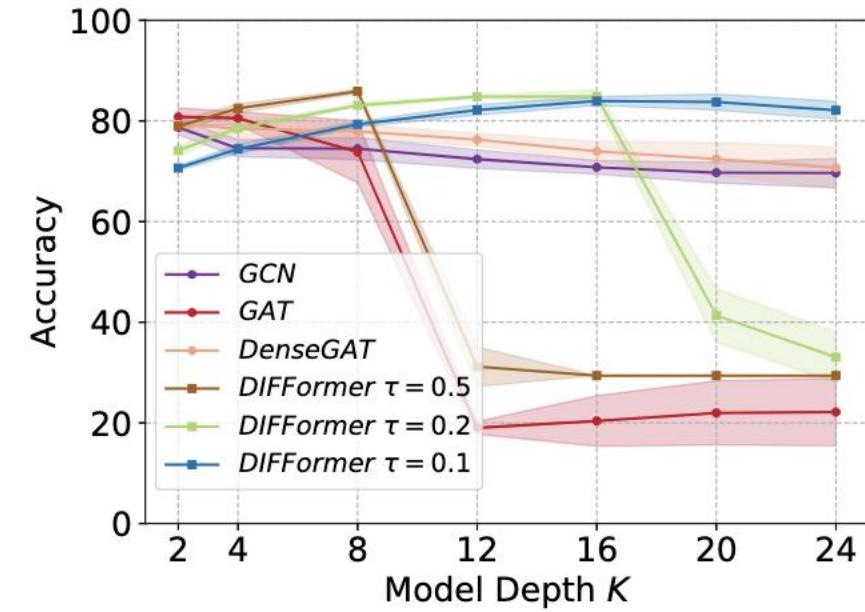


Diffusivity estimates of DIFFormer-a

# Ablation Study and Hyperparameters

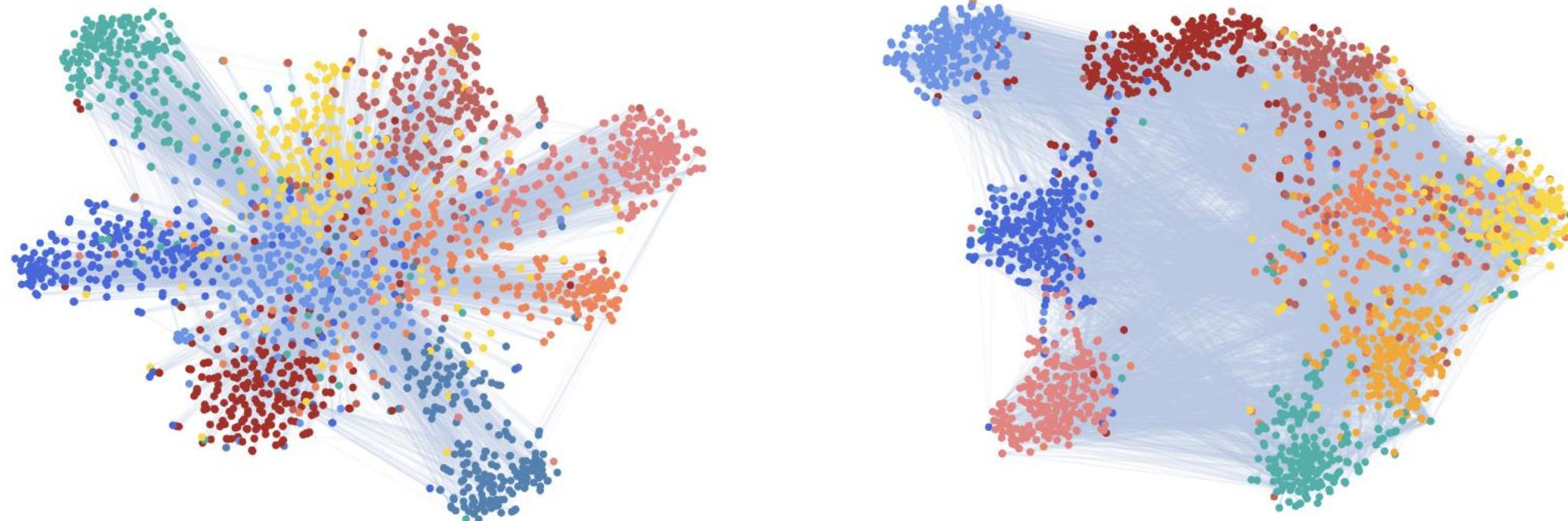


Ablation study on attention functions (i.e., diffusivity parameterization)



Impact of model depth  $K$  and step size  $\tau$  for diffusion iteration

# Visualization of Representations



Instance embeddings (colored by different classes) and attention weights (edges with different strengths) on 20News (the left) and STL-10 (the right)

# Where Are We?

---

*Prior Art*

quadratic complexity (hard to scale to **10K** nodes)  
data-hungry (require abundant labeled information)

*NodeFormer*

linear complexity (largest demonstration on **2M** nodes)

*DIFFormer*

capable of learning with limited labeled rate

*Follow-up open questions:*

(issue: the complicated architectures limit the efficiency and scalability)

Can Transformer architectures be simplified and scale to web-scale graphs?

# SGFormer: Simplified Graph Transformers

---

**Observation:** one-layer all-pair attention can propagate information among arbitrary node pairs

**SGFormer:** one-layer single-head global attention + auxiliary GNN

- Simple attention with linear complexity:

$$\mathbf{Q} = f_Q(\mathbf{Z}), \quad \tilde{\mathbf{Q}} = \frac{\mathbf{Q}}{\|\mathbf{Q}\|_{\mathcal{F}}}, \quad \mathbf{K} = f_K(\mathbf{Z}), \quad \tilde{\mathbf{K}} = \frac{\mathbf{K}}{\|\mathbf{K}\|_{\mathcal{F}}}, \quad \mathbf{V} = f_V(\mathbf{Z}),$$

$$\mathbf{D} = \text{diag} \left( \mathbf{1} + \frac{1}{N} \tilde{\mathbf{Q}} (\tilde{\mathbf{K}}^\top \mathbf{1}) \right), \quad \mathbf{Z} = \beta \mathbf{D}^{-1} \left[ \mathbf{V} + \frac{1}{N} \tilde{\mathbf{Q}} (\tilde{\mathbf{K}}^\top \mathbf{V}) \right] + (1 - \beta) \mathbf{Z}^{(0)}$$

- Add an auxiliary GNN at the output layer:

$$\mathbf{Z}_O = (1 - \alpha) \mathbf{Z} + \alpha \text{GN}(\mathbf{Z}^{(0)}, \mathbf{A}), \quad \hat{Y} = f_O(\mathbf{Z}_O)$$

# Comparison of Existing Graph Transformers

	pos emb	multi-head	pre-processing	all-pair expressivity	complexity	largest demo of #nodes
GraphTransformer [Dwivedi et al. 2020]	R	R	R	yes	$O(N^2)$	0.2K
Graphomer [Ying et al. 2021]	R	R	R	yes	$O(N^2)$	0.3K
SAT [Chen et al. 2022]	R	R	R	yes	$O(N^2)$	0.2K
GraphGPS [Rampáse et al. 2022]	R	R	R	yes	$O(N^2)$	1.0K
ANS-GT [Zhang et al. 2022]	R	R	R	no	$O(Nsm^2)$	20K
NodeFormer [Wu et al. 2022]	R	R	-	yes	$O(N + E)$	2M
SGFormer	-	-	-	yes	$O(N + E)$	0.1B

# Experiment Results

## *Results on large node classification graphs*

Method	ogbn-proteins	Amazon2m	pokec	ogbn-arxiv	ogbn-papers100M
# nodes	132,534	2,449,029	1,632,803	169,343	111,059,956
# edges	39,561,252	61,859,140	30,622,564	1,166,243	1,615,685,872
MLP	$72.04 \pm 0.48$	$63.46 \pm 0.10$	$60.15 \pm 0.03$	$55.50 \pm 0.23$	$47.24 \pm 0.31$
GCN	$72.51 \pm 0.35$	$83.90 \pm 0.10$	$62.31 \pm 1.13$	$71.74 \pm 0.29$	OOM
SGC	$70.31 \pm 0.23$	$81.21 \pm 0.12$	$52.03 \pm 0.84$	$67.79 \pm 0.27$	$63.29 \pm 0.19$
GCN-NSampler	$73.51 \pm 1.31$	$83.84 \pm 0.42$	$63.75 \pm 0.77$	$68.50 \pm 0.23$	$62.04 \pm 0.27$
GAT-NSampler	$74.63 \pm 1.24$	$85.17 \pm 0.32$	$62.32 \pm 0.65$	$67.63 \pm 0.23$	$63.47 \pm 0.39$
SIGN	$71.24 \pm 0.46$	$80.98 \pm 0.31$	$68.01 \pm 0.25$	$70.28 \pm 0.25$	$65.11 \pm 0.14$
NodeFormer	$77.45 \pm 1.15$	$87.85 \pm 0.24$	$70.32 \pm 0.45$	$59.90 \pm 0.42$	-
SGFormer	$79.53 \pm 0.38$	$89.09 \pm 0.10$	$73.76 \pm 0.24$	$72.63 \pm 0.13$	$66.01 \pm 0.37$

SGFormer can be trained in full-graph manner on obgn-arxiv

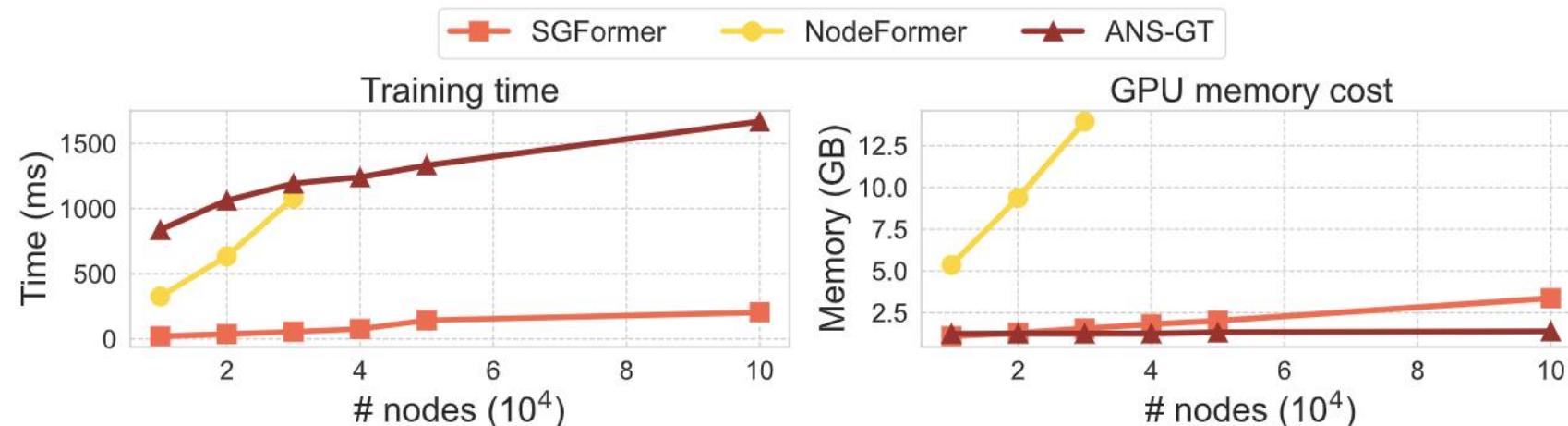
Mini-batch training for proteins, Amazon2M, pokec with batch size 10K/100K

For Papers100M, using batch size 0.4M only requires 3.5 hours on a 24GB GPU

# Experiment Results

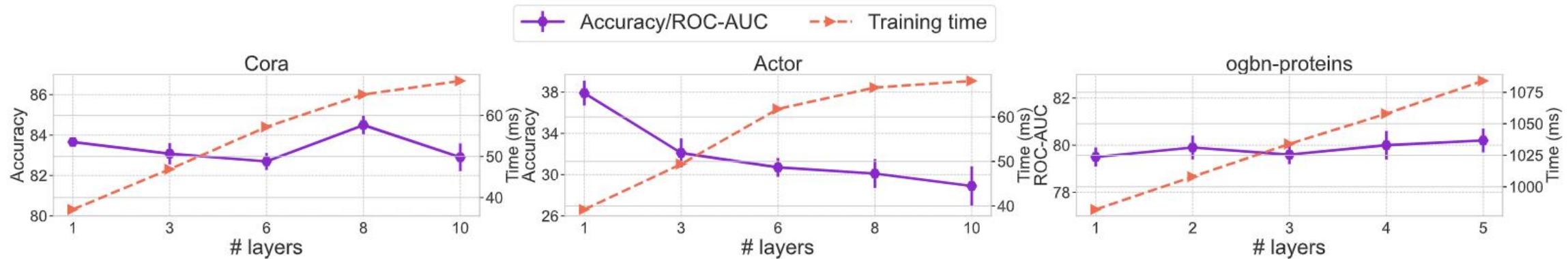
*Comparison of training/inference time per epoch and memory cost*

Method	Cora			PubMed			Amazon2M		
	Tr (ms)	Inf (ms)	Mem (GB)	Tr (ms)	Inf (ms)	Mem (GB)	Tr (ms)	Inf (ms)	Mem (GB)
Graphomer	215.8	63.6	5.0	-	-	-	-	-	-
GraphTrans	160.4	40.2	3.8	-	-	-	-	-	-
ANS-GT	570.1	539.2	1.0	511.9	461.0	2.1	-	-	-
NodeFormer	68.5	30.2	1.2	321.4	135.5	2.9	5369.5	1410.0	4.6
<b>SGFormer</b>	<b>15.0</b>	<b>3.8</b>	<b>0.9</b>	<b>15.4</b>	<b>4.4</b>	<b>1.0</b>	<b>2481.4</b>	<b>382.5</b>	<b>2.7</b>



*Scalability test of training time/memory costs w.r.t. number of nodes*

# Experiment Results



*Obs 1: one-layer attention of SGFormer is highly competitive and efficient as well*



*Obs 2: one-layer attention of other (all-pair) models can also yield promising acc*

# Conclusions

Graph Transformers can overcome several limitations of GNNs

- Some open problems:*
- 1) poor scalability (quadratic complexity)
  - 2) lack of principled guidance for attention designs
  - 3) inefficiency, complicated model

[1] NodeFormer: A Scalable Graph Structure Learning Transformer for Node Classification, in NeurIPS 2022  
all-pair message passing with linear complexity    scale to 2M nodes    handle no-graph tasks

[2] DIFFFormer: Scalable (Graph) Transformers Induced by Energy Constrained Diffusion, in ICLR 2023  
principled global attention designs    superiority for low labeled rates

[3] SGFormer: Simplifying and Empowering Transformers for Large-Graph Representations, in NeurIPS 2023  
simple attention (one-layer single-head)    30x inference speed-up    scale to 0.1B nodes

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