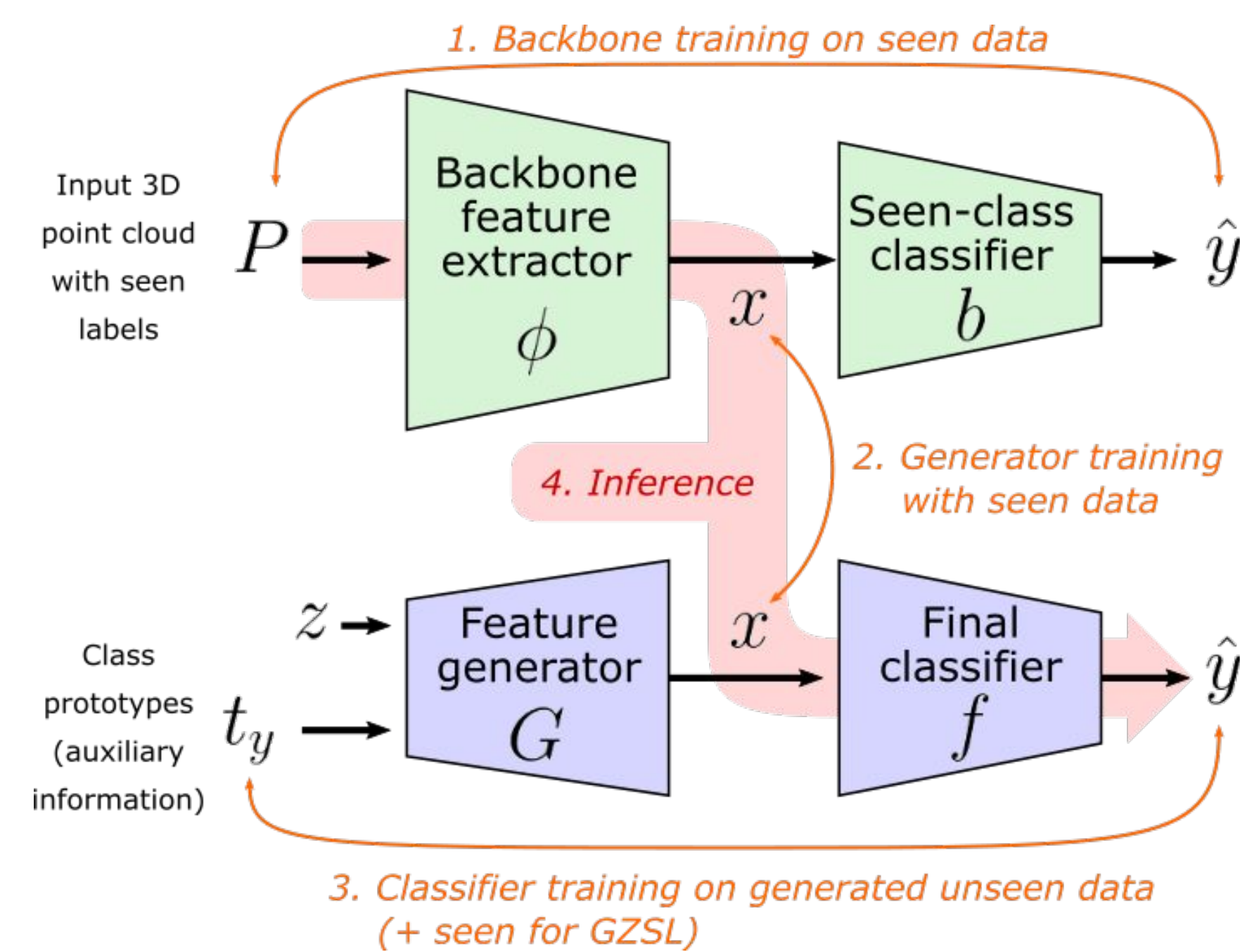


## Zero-shot learning for 3D point clouds (PCs)

- Zero-shot learning (ZSL): detect, at inference time, objects of classes which have not been seen during training.
- We use a generative approach based on [1,2] and adapt it to 3D PCs:
  - A backbone  $\phi(\cdot)$  extracting a meaningful representation  $x$  of 3D point clouds.
  - A feature generator  $G(\cdot)$  learning to generate representations  $x$  based on class prototypes. The generated representations are used to train a classifier for unseen classes.



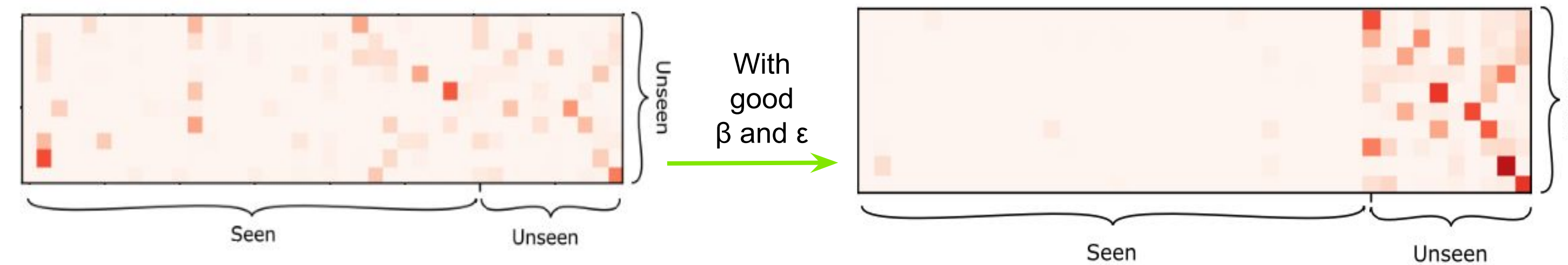
- Existing ZSL methods for 3D point clouds did not make use of generative approaches and do not tackle semantic segmentation.
- Contributions:**
  - A generative framework handling both ZSL and Generalized ZSL (GZSL) for 3D point clouds, for semantic segmentation and classification.
  - 3 benchmarks for 3D semantic segmentation based on SemanticKITTI [8] (outdoor), S3DIS [9] and ScanNet [10] (indoor).
  - 2 additional baselines for 3D GZSL segmentation.

## References

- [1] Bucher et al. Generating visual representations for zero-shot classification. ICCV, 2017.  
 [2] Bucher et al. Zero-shot semantic segmentation. NeurIPS, 2019.  
 [3] Cheraghian et al. Zero-shot learning of 3D point cloud objects. MVA, 2019.  
 [4] Cheraghian et al. Mitigating the hubness problem for zero-shot learning of 3D objects. 2019.  
 [5] Cheraghian et al. Transductive zero-shot learning for 3D point cloud classification. WACV, 2020.  
 [6] Chao et al. An empirical study and analysis of generalized zero-shot learning for object recognition in the wild. ECCV, 2016.  
 [7] Frome et al. DeViSE: A deep visual-semantic embedding model. NIPS, 2013.  
 [8] Behley et al. SemanticKITTI: A dataset for Semantic Scene Understanding of LiDAR Sequences. ICCV, 2019.  
 [9] Armeni et al. 3D semantic parsing of large-scale indoor spaces. CVPR, 2016.  
 [10] Dai et al. ScanNet: Richly-annotated 3d reconstructions of indoor scenes. CVPR, 2017.

## Reducing bias towards seen classes

- In GZSL a strong bias toward seen classes can be observed [6].
- Bias reduction techniques:
  - Class-dependent weighting*: Loss for unseen classes is weighted with a factor  $\beta > 1$  in classifier training.
  - Calibrated Stacking* [6]: At test time a small value  $\epsilon$  is subtracted from the seen-class score (after softmax).
  - $\beta$  and  $\epsilon$  are estimated by cross-validation.
- GZSL is the naturally setting for semantic segmentation.



## Results

- Classification:**
  - ZSL and GZSL on ModelNet40 (10 unseen, 30 seen classes).
  - Different auxiliary information: W2V and GloVe

Method		Full super- vision Acc.	ZSL		GZSL						
			W2V Acc.	GloVe Acc.	Bias reduct.	W2V			GloVe		
	Gener- ative	Acc.	Acc.	Acc.		Acc. <i>S</i>	Acc. <i>U</i>	HM	Acc. <i>S</i>	Acc. <i>U</i>	HM
PointNet		89.2									
F-CLSWGAN* [5]	✓		20.7	-		76.3	3.7	7.0	-	-	-
CADA-VAE* [5]	✓		23.0	-		84.7	1.3	2.6	-	-	-
ZSLPC [3]			28.0	20.9		40.1	22.5	28.8	49.2	18.2	26.6
MHPC [4]			<b>33.9</b>	28.7	✓	<b>53.8</b>	26.2	35.2	<b>53.8</b>	25.7	<b>34.8</b>
3DGenZ (ours)	✓		28.6	<b>29.3</b>	✓	48.8	<b>29.3</b>	<b>36.6</b>	44.7	<b>28.4</b>	34.7

\*: adaptation of 2D methods to 3D point clouds, implemented in [5]

- Semantic segmentation**
  - GZSL on S3DIS, ScanNet and SemanticKITTI.
  - 2 Baseline methods + additional bias reduction.

	Training set		S3DIS			ScanNet			SemanticKITTI		
	Backbone	Classifier	mIoU S	mIoU U	HmIoU	mIoU S	mIoU U	HmIoU	mIoU S	mIoU U	HmIoU
<i>Supervised methods with different levels of supervision</i>											
Full supervision	S ∪ U	S ∪ U	74.0	50.0	59.6	43.3	51.9	47.2	59.4	50.3	54.5
ZSL backbone	S	S ∪ U	60.9	21.5	31.8	41.5	39.2	40.3	52.9	13.2	21.2
ZSL-trivial	S	S	70.2	0.0	0.0	39.2	0.0	0.0	55.8	0.0	0.0
<i>Generalized zero-shot-learning methods</i>											
ZSLPC-Seg* [3]†	S	U	65.5	0.0	0.0	28.2	0.0	0.0	49.1	0.0	0.0
DeViSe-3DSeg* [7]†	S	U	70.2	0.0	0.0	20.0	0.0	0.0	49.7	0.0	0.0
ZSLPC-Seg [3]†	S	U	5.2	1.3	2.1	16.4	4.2	6.7	26.4	10.2	14.7
DeViSe-3DSeg [7]†	S	U	3.6	1.4	2.0	12.8	3.0	4.8	42.9	4.2	7.5
3DGenZ (ours)	S	S ∪ U	<b>53.1</b>	<b>7.3</b>	<b>12.9</b>	<b>32.8</b>	<b>7.7</b>	<b>12.5</b>	<b>41.4</b>	<b>10.8</b>	<b>17.1</b>

†Our adaption of the method. \*Direct, unrepaired (failing) adaptation.

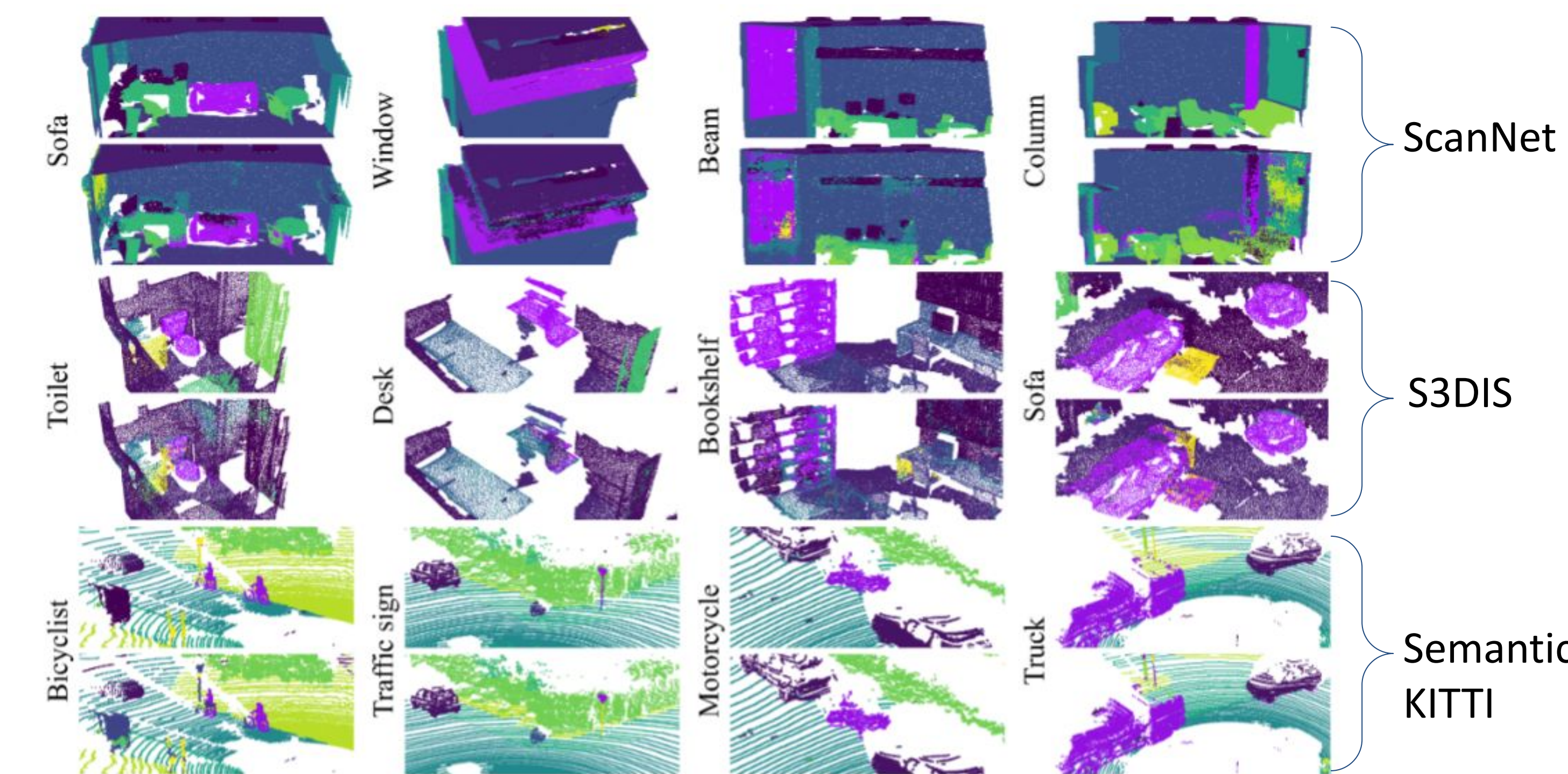
## Image based prototypes

- Alternative auxiliary information based on image representations.
- Images capturing the appearance of objects, perhaps a better link to the object geometry.
- Description of an object class with a small set of images → generate a visual representation by averaging features extracted using a pre-trained CNN (ResNet).

Representation	Classif. ModelNet40		Segmentation ScanNet KITTI	
	ZSL	GZSL	HmIoU	
W2V+GloVe (self-sup.)	36.8	<b>41.3</b>	12.5	<b>17.1</b>
ResNet-18 (sup.)	<b>43.6</b>	40.0	13.9	3.6
ResNet-50 (self-sup.)	37.0	36.5	<b>15.5</b>	5.3

← Only image based

## Visualizations



Top: Ground Truth, Bottom: Ours  
 ■ Unseen class

## Summary

- Zero shot learning for 3D point clouds with generative approach:
  - reaches state of the art in classification with additional bias reduction.
  - outperforms natural baselines in GZSL for semantic segmentation.
- We proposed to use image-based auxiliary information.