

Bridging the Gap between Training and Inference for Neural Machine Translation

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A brief introduction to NMT

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Notation:

Source sequence $x = \{x_1, \dots, x_{|x|}\}$

Word embeddings e_{x_i} for each $x_i \in x$

Observed translation $y^* = \{y_1^*, \dots, y_{|y^*|}^*\}$

A brief introduction to NMT

Encoder: bidirectional Gated Recurrent Unit (GRU), obtain hidden states $h_i = [\overset{\rightarrow}{h_i}; \overset{\leftarrow}{h_i}]$ where

$$\overset{\rightarrow}{h_i} = \mathbf{GRU}(e_{x_i}, \overset{\rightarrow}{h_{i-1}}) \quad (1)$$

$$\overset{\leftarrow}{h_i} = \mathbf{GRU}(e_{x_i}, \overset{\leftarrow}{h_{i+1}}) \quad (2)$$

A brief introduction to NMT

Attention: attention over source/target words:

$$r_{ij} = \mathbf{v}_a^T \tanh(\mathbf{W}_a s_{j-1} + \mathbf{U}_a h_i) \quad (3)$$

$$\alpha_{ij} = \frac{\exp(r_{ij})}{\sum_{i'=1}^{|\mathbf{x}|} \exp(r_{i'j})} \quad (4)$$

Yields “source context vector” c_j at the j -th time step as a weighted sum of all source annotations:

$$c_j = \sum_{i=1}^{|\mathbf{x}|} \alpha_{ij} h_i \quad (5)$$

A brief introduction to NMT

Decoder: another GRU, given the source context vector c_j “unrolls” the target hidden state s_j at time step j :

$$s_j = \mathbf{GRU}(e_{y_{j-1}^*}, s_{j-1}, c_j) \quad (6)$$

Gives probability distribution P_j over all words in the target vocabulary as follows:

$$t_j = g(e_{y_{j-1}^*}, c_j, s_j) \quad (7)$$

$$o_j = \mathbf{W}_o t_j \quad (8)$$

$$P_j = \text{softmax}(o_j) \quad (9)$$

Motivation

NMT models are trained to predict the next word, given the previous context words.

Learn a distribution!

Motivation

However:

At training time: model uses ground truth words as context to predict the next word (context from data distribution)

At inference time: model uses own previous predictions as context (context from model distribution)

This gap is called *exposure bias*.

Motivation

Training typically uses cross-entropy loss, optimizes target sequence to fit ground truth sequence as closely as possible.

Problem: one sentence can have multiple possible translations.

reference: We should comply with the rule.

cand1: We should abide with the rule.

cand2: We should abide by the law.

cand3: We should abide by the rule.

Loss forces prediction back to ground truth (*overcorrection*).

Contributions

Introduce a method that “bridges the gap” between training and inference.

Improves the model’s ability to recover from overcorrection and overall performance.

Proposed method

Simple idea: Instead of just ground truth, feed the model either previous predicted words or ground truth with a certain probability.

Brings training conditions closer to inference time.

Proposed method

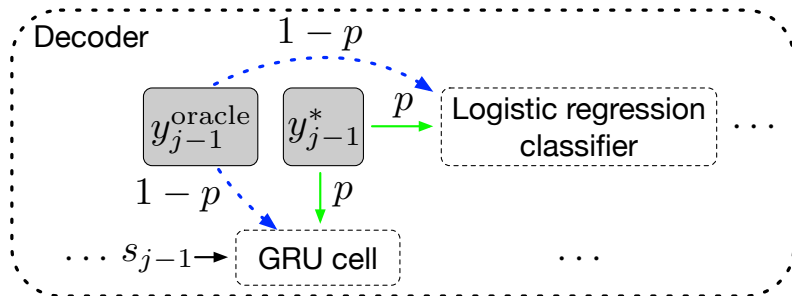


Figure: Sample between ground truth word and oracle word

Proposed method

To predict j -th target word y_j :

Recall:

$$s_j = \mathbf{GRU}(e_{y_{j-1}^*}, s_{j-1}, c_j)$$
$$P_j = \text{softmax} \left(\mathbf{W}_o g \left(e_{y_{j-1}^*}, c_j, s_j \right) \right)$$

where s_j next hidden state and P_j probability distribution over target vocabulary

Proposed method

To predict j -th target word y_j :

1. Select an oracle word y_{j-1}^{oracle} at the $\{j-1\}$ -th step.
2. Sample from the ground truth word y_{j-1}^* with a probability of p or from the oracle word y_{j-1}^{oracle} with a probability of $1-p$.
3. Use the sampled word as context $e_{y_{j-1}^*}$.

Oracle word selection

Two strategies to select the oracle words:

1. word-level oracle (greedy search)
2. sentence-level oracle (select an oracle sequence)

Word-level Oracle

Easiest way to pick an oracle word: pick the word with highest probability from the distribution P_{j-1}

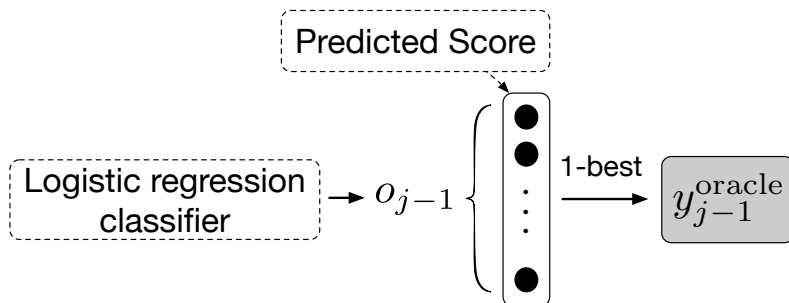


Figure: Word-level oracle without noise

Word-level Oracle

Better: Add noise to the the scores for a better sample

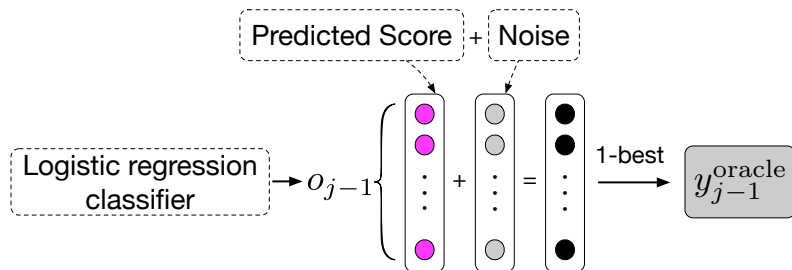


Figure: Word-level oracle with Gumbel noise

Word-level Oracle

Gumbel-Max technique:

Simple, efficient way to sample from categorical distribution

Given Gumbel noise η and a temperature τ , obtain

$$\tilde{o}_{j-1} = (o_{j-1} + \eta) / \tau \quad (10)$$

$$\tilde{P}_{j-1} = \text{softmax}(\tilde{o}_{j-1}) \quad (11)$$

and select the 1-best word from \tilde{P}_{j-1} .

Optimal temperature: $\tau = 0.5$ (found in experiments)

Sentence-level Oracle

Enlarge the search space: perform beam search, apply Gumbel noise at every word generation and get k -best candidate translations

Rank candidates according to some sentence-level metric (here: BLEU), best sentence is used as *oracle sentence*

Force Decoding

Problem

What if oracle sentence and ground truth do not have the same length?

Use **force decoding** to force oracle sentence length to be $|y^*|$ (length of ground truth). Modify beam search as follows:

If $j \leq |y^*|$ and top first word is $\langle \text{EOS} \rangle$: select second word in \tilde{P}_j for this candidate sentence

If $\langle \text{EOS} \rangle$ not top first word in $\tilde{P}_{|y^*|+1}$: select $\langle \text{EOS} \rangle$ as $\{|y^*| + 1\}$ -th word for this candidate sentence

Sampling with decay

Convergence of the model depends on the choice of sampling probability p .

p too low: Sample from the ground-truth too often

p too high: Slow or no convergence

Sampling with decay

Let p decay as training progresses so we progressively sample more often from the model distribution and select oracle words.

Start with $p = 1$. Define it dependent on training epoch e :

$$p = \frac{\mu}{\mu + \exp(e/\mu)} \quad (12)$$

with hyperparameter μ .

Evaluation

Systems	Architecture	MT03	MT04	MT05	MT06	Average
<i>Existing end-to-end NMT systems</i>						
Tu et al. (2016)	Coverage	33.69	38.05	35.01	34.83	35.40
Shen et al. (2016)	MRT	37.41	39.87	37.45	36.80	37.88
Zhang et al. (2017)	Distortion	37.93	40.40	36.81	35.77	37.73
<i>Our end-to-end NMT systems</i>						
this work	RNNsearch	37.93	40.53	36.65	35.80	37.73
	+ SS-NMT	38.82	41.68	37.28	37.98	38.94
	+ MIXER	38.70	40.81	37.59	38.38	38.87
	+ OR-NMT	40.40^{††*}	42.63^{††*}	38.87^{††*}	38.44[†]	40.09
	Transformer	46.89	47.88	47.40	46.66	47.21
	+ word oracle	47.42	48.34	47.89	47.34	47.75
	+ sentence oracle	48.31*	49.40*	48.72*	48.45*	48.72

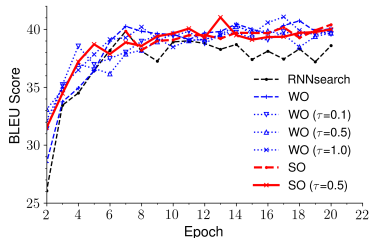
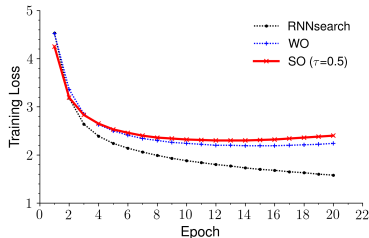
Figure: Case-insensitive BLEU scores (%) on Zh→En translation task.

Factor analysis

Systems	Average
RNNsearch	37.73
+ word oracle	38.94
+ noise	39.50
+ sentence oracle	39.56
+ noise	40.09

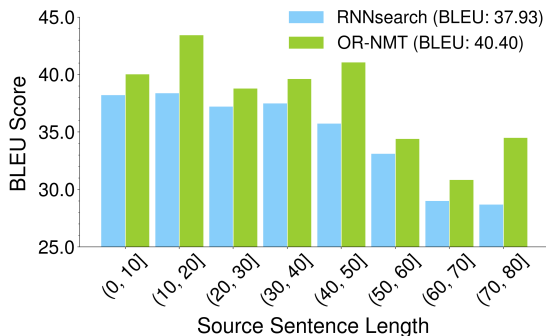
Figure: Factor analysis on Zh→En translation, the results are average BLEU scores on MT03~06 datasets.

Convergence



Slower convergence but loss does not keep decreasing (intuition: WO and SO models help avoid overfitting)

Sentence length analysis



Cross-entropy loss requires predicted sequence to be same as ground truth, difficult for long sentences! Oracle helps recover from overcorrection

Conclusion

To conclude: Zhang et al. proposed a new training technique that helps to recover from overcorrection.

Outperforms previous methods as well as the transformer baseline.

Technique can be used with a variety of models.

Thank you for your attention.