

Cambridge Part III Maths

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Fluid Dynamics of Climate

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1 Fluid motion in a rotating reference frame

In a non-rotating frame, the *Navier-Stokes* equations are

$$\rho \frac{D\mathbf{u}}{Dt} = -\nabla p - \rho \nabla \phi + \rho \mathbf{F}$$

The body forces are assumed to be conservative with potential ϕ , e.g. $\phi = gz$ for gravitational force. \mathbf{F} is the frictional force.

Consider a reference frame rotating about the z -axis with constant angular velocity $\boldsymbol{\Omega}$. Axes in the inertial frame are denoted with a subscript I and axes in the rotating frame are denoted with a subscript R .

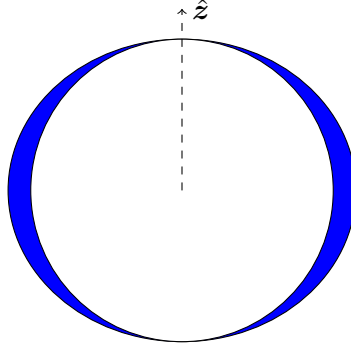
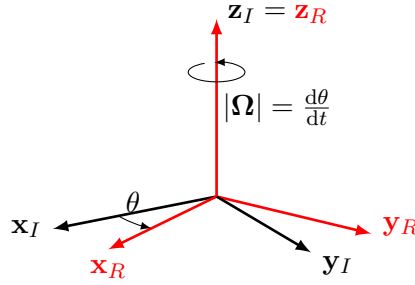


Figure 1: Geopotential ocean surface relative to a spherical Earth.



For a point with position vector \mathbf{x} and velocity $\mathbf{u}_R = \left(\frac{d\mathbf{x}}{dt}\right)_R$ in the rotating reference frame

$$\left(\frac{d\mathbf{x}}{dt}\right)_I = \left(\frac{d\mathbf{x}}{dt}\right)_R + \boldsymbol{\Omega} \times \mathbf{x}$$

or equivalently $\mathbf{u}_I = \mathbf{u}_R + \boldsymbol{\Omega} \times \mathbf{x}$. Hence the acceleration is

$$\begin{aligned} \left(\frac{d\mathbf{u}}{dt}\right)_I &= \left(\frac{d}{dt} [\mathbf{u}_R + \boldsymbol{\Omega} \times \mathbf{x}]\right)_R + \boldsymbol{\Omega} \times (\mathbf{u}_R + \boldsymbol{\Omega} \times \mathbf{x})_R \\ &= \left(\frac{d\mathbf{u}_R}{dt}\right)_R + 2\boldsymbol{\Omega} \times \mathbf{u}_R + \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{x}) \end{aligned}$$

The first term is the acceleration in the rotating frame, the second term is the *Coriolis acceleration* and the third term is the *centrifugal acceleration*. Note that we can write the centrifugal acceleration in the form of a conservative force

$$\begin{aligned} \boldsymbol{\Omega} \times (\boldsymbol{\Omega} \times \mathbf{x}) &= \nabla \phi_c \\ \phi_c &= -\frac{1}{2} |\boldsymbol{\Omega} \times \mathbf{x}|^2 \end{aligned}$$

Hence the Navier-Stokes equations in a rotating reference frame are

$$\rho \left(\frac{D\mathbf{u}}{Dt} + 2\boldsymbol{\Omega} \times \mathbf{u} \right) = -\nabla p - \rho \nabla (\phi + \phi_c) + \rho \mathbf{F} \quad (1)$$

We group the potential terms into a *geopotential* $\Phi \equiv \phi + \phi_c$. The surface of a stationary ocean or atmosphere has a constant *geopotential height* described by an oblate spheroid.

Imagine a spherical earth. At sea level, the polar radius is 21.4km smaller than the equatorial radius: see figure 1. In reality, the surface of the Earth is also very close to a geopotential surface. Hence *geopotential coordinates* are very useful for planetary scale motion.

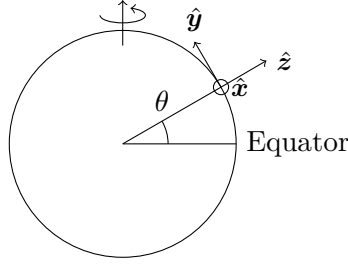


Figure 2: Local Cartesian coordinates

1.1 Local Cartesian coordinates

For small motions, it is much more convenient to define *local Cartesian coordinates* (figure 2). In this coordinate system $\mathbf{\Omega} = (0, \Omega \cos \theta, \Omega \sin \theta)$. Hence if $\mathbf{u} = (u, v, w)$ then

$$\begin{aligned} 2\mathbf{\Omega} \times \mathbf{u} &= (2\Omega w \cos \theta - 2\Omega v \sin \theta, 2\Omega u \sin \theta, -2\Omega u \cos \theta) \\ &= (-fv + f^*w, fu - f^*u) \end{aligned}$$

where $f \equiv 2\Omega \sin \theta$ is the *Coriolis parameter* and $f^* \equiv 2\Omega \cos \theta$.

Example. In Cambridge, $\theta = 52.1^\circ N$ so

$$\begin{aligned} f &= 2\Omega \sin \theta \\ &= 2 \cdot \frac{2\pi}{3600 \cdot 24} \cdot 0.79 s^{-1} \\ &\approx 1.14 \times 10^{-4} s^{-1} \end{aligned}$$

At mid-latitudes, $f \sim 10^{-4}$ is a good approximation.

We can simplify the Coriolis acceleration expression; often $f^*w \ll fv$ and $f^*u \ll g$. Hence

$$2\mathbf{\Omega} \times \mathbf{u} \approx (-fv, fu, 0) = f\hat{\mathbf{z}} \times \mathbf{u}$$

This is the *traditional approximation*. This is *not* always a good approximation, particularly at intermediate scales.

1.2 Scale analysis.

Define characteristic scales for length L , time T , and velocity U . Non-dimensional variables are denoted with a superscript star: $\mathbf{u}^* = \mathbf{u}/U$, etc.

Using these scalings with $\mathbf{F} = \nu \nabla^2 \mathbf{u}$ we have

$$\frac{U}{T} \frac{\partial \mathbf{u}^*}{\partial t^*} + \frac{U^2}{L} \mathbf{u}^* \cdot \nabla^* \mathbf{u}^* + fU \hat{\mathbf{z}} \times \mathbf{u}^* = -\frac{1}{\rho} \nabla (p + \rho\Phi) + \frac{\nu U}{L^2} \nabla_*^2 \mathbf{u}^*$$

Dividing through by fU leaves the Coriolis acceleration term $\text{ord}(1)$ with other terms scaled relatively.

$$\frac{1}{fT} \frac{\partial \mathbf{u}^*}{\partial t^*} + \text{Ro} \mathbf{u}^* \cdot \nabla^* \mathbf{u}^* + \hat{\mathbf{z}} \times \mathbf{u}^* = -\frac{1}{\rho fU} \nabla (p + \rho\Phi) + \text{E} \nabla_*^2 \mathbf{u}^*$$

where $\text{Ro} \equiv \frac{U}{fL}$ is the *Rossby number* and $\text{E} \equiv \frac{\nu}{fL^2}$ is the *Ekman number*.

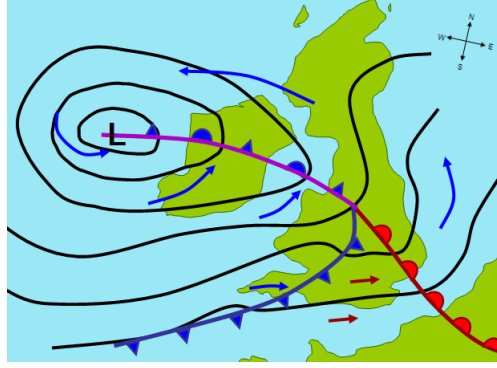


Figure 3: Lines of constant pressure p act as streamlines for the horizontal flow.

Example. For an atmospheric storm, $U \sim 10 \text{ m s}^{-1}$, $L \sim 1000 \text{ km}$, $f \sim 10^{-4} \text{ s}^{-1}$. Thus $\text{Ro} \sim 0.1$, $\text{E} \sim 10^{-13}$.

Further, if $T = L/U$, then $\text{Ro} = U/fL = 1/fT$. For small Ro , E , on surfaces of constant Φ , $f\hat{\mathbf{z}} \times \mathbf{u} \approx -\frac{1}{\rho}\nabla p$. This is *geostrophic balance*. In components, we have

$$\begin{aligned} -fv &= -\frac{1}{\rho}\frac{\partial p}{\partial x} \\ fu &= -\frac{1}{\rho}\frac{\partial p}{\partial y} \end{aligned}$$

The equations of geostrophic balance can be arranged to give the horizontal velocity: \mathbf{u}_H

$$\mathbf{u}_H \equiv (u, v) = \frac{1}{\rho f} \hat{\mathbf{z}} \times \nabla p$$

Horizontal velocity is perpendicular to ∇p and hence parallel to isobars (lines of constant p), i.e. pressure acts like a streamfunction (see figure 3).

In the Northern Hemisphere, air moves clockwise around high p and anticlockwise around low p . A *cyclonic* rotation is in the same sense as $\mathbf{\Omega}$, *anticyclonic* in the opposite sense as $\mathbf{\Omega}$.

1.3 Taylor-Proudman Theorem

Consider an incompressible, ideal fluid in geostrophic balance (small Ro , E)

$$\begin{aligned} \nabla \cdot \mathbf{u} &= 0 \\ 2\mathbf{\Omega} \times \mathbf{u} &= -\frac{1}{\rho}\nabla p \end{aligned} \tag{2}$$

Taking the curl of (2) we have

$$\begin{aligned} \nabla \times (\mathbf{\Omega} \times \mathbf{u}) &= \varepsilon_{ijk} \partial_j \varepsilon_{klm} \Omega_l u_m \\ &= \varepsilon_{kij} \varepsilon_{klm} \Omega_l \partial_j u_m \\ &= (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl}) \Omega_l \partial_j u_m \\ &= \Omega_i \partial_j u_j - \Omega_j \partial_j u_i \end{aligned}$$

2.1 Inertial (free) oscillations

Assume $\nabla p = \mathbf{0}$. The x and y components of geostrophic balance (3), (4) give

$$u_{tt} + f^2 u = 0$$

Thus $u = U \sin ft$ where f is the *inertial frequency*. Similarly, we have $v = U \cos ft$. For a particle with position (x_p, y_p) floating on an ocean surface $z = 0$ moving with the fluid velocity, we have

$$\begin{aligned} \frac{dx_p}{dt} = u &\implies x_p = -\frac{U}{f} \cos ft + x_0 \\ \frac{dy_p}{dt} = v &\implies y_p = -\frac{U}{f} \sin ft + y_0 \end{aligned}$$

Thus the motion of fluid particles describes *inertial circles* with radius $\frac{2U}{f}$.

2.2 Ekman layer

Look for a *steady* ocean response to a constant wind stress $\boldsymbol{\tau}_w$. Use local Cartesian coordinates and make the following assumptions:

1. Steady, i.e. $\partial_t \equiv 0$
2. Neglect horizontal variations, i.e. $\partial_x = \partial_y = 0$
3. Neglect surface waves, i.e. $w(z=0) = 0$
4. No flow in deep ocean, i.e. $\lim_{z \rightarrow -\infty} \mathbf{u} = \mathbf{0}$
5. Constant density ρ
6. Traditional approximation

Continuity (incompressibility) says $u_x + v_y + w_z = 0$. Assumptions 2 and 3 then imply $w = 0$ everywhere. The horizontal momentum equations are

$$-fv = \nu u_{zz} \tag{7}$$

$$fu = \nu v_{zz} \tag{8}$$

Define the *complex velocity* $\mathcal{V} \equiv u + iv$. Then

$$\mathcal{V}_{zz} = \frac{if}{\nu} \mathcal{V} \tag{9}$$

Without loss of generality, assume $\boldsymbol{\tau}_w$ is aligned with the x -axis: $\boldsymbol{\tau}_w = (\tau_w, 0) = (\rho\nu u_z, 0)$. Boundary conditions for (9) are

$$\mathcal{V}_z = \left(\frac{\tau_w}{\rho\nu}, 0 \right) \quad \text{at } z = 0$$

$$\mathcal{V} = (0, 0) \quad \text{as } z \rightarrow -\infty$$

Thus $\mathcal{V} = Ae^{(1+i)z/\delta}$ where $\delta = \sqrt{\frac{2\nu}{f}}$, $A = \frac{\tau_w \delta(1-i)}{2\rho\nu}$. In terms of the velocity components, we have

$$\begin{aligned} u &= \frac{\tau_w}{\rho\sqrt{\nu f}} e^{z/\delta} \cos\left(-\frac{z}{\delta} + \frac{\pi}{4}\right) \\ v &= -\frac{\tau_w}{\rho\sqrt{\nu f}} e^{z/\delta} \sin\left(-\frac{z}{\delta} + \frac{\pi}{4}\right) \end{aligned}$$

A top view of the ocean shows an *Ekman spiral*: see figure 4.

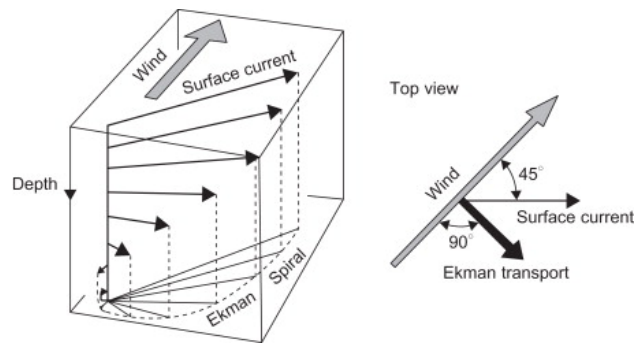


Figure 4: Ekman spiral.

2.3 Ekman transport

Integrate the horizontal momentum equations (7),(8) to the base of the Ekman layer where $\nu \mathbf{u}_z \approx 0$ at $z = -h$. Since $\nu \mathbf{u}_z(z = 0) = (\tau_w/\rho, 0)$, the *Ekman transport* \mathbf{U}_T is

$$U_T \equiv \int_{-h}^0 u \, dz = 0$$

$$V_T \equiv \int_{-h}^0 v \, dz = -\frac{\tau_w}{\rho f}$$

This is the net transport of fluid in the Ekman layer and is oriented 90° to the right of the applied wind shear stress (in the Northern Hemisphere).

2.4 Ekman pumping

Consider a wind stress $\tau_w(y)$ that varies over large scales. Then from incompressibility

$$\int_{-h}^0 w_z \, dz = -\int_{-h}^0 u_x \, dz - \int_{-h}^0 v_y \, dz$$

Thus for h constant,

$$-w(z = -h) = -\frac{\partial V_T}{\partial y} = \frac{\partial}{\partial y} \left(\frac{\tau_w}{\rho f} \right)$$

In general we have

$$w(z = -h) = \hat{\mathbf{z}} \cdot \nabla \times \frac{\boldsymbol{\tau}_w}{\rho f}$$

3 Rotating shallow water equations

Consider a thin layer of fluid with constant density ρ . Define characteristic scales

- length $L = \text{horiz.}, H = \text{vert.}$
- velocity U
- time T
- pressure P

such that $\partial_x, \partial_y \sim \frac{1}{L}, \partial_z \sim \frac{1}{H}$. Define the *aspect ratio* $\delta \equiv H/L$. We will assume $\delta \ll 1$. From continuity (incompressibility) we have

$$\begin{aligned} \frac{\partial w}{\partial z} &= -\frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \\ \implies \frac{w}{H} &= \mathcal{O}(U/L) \\ \implies w &= \mathcal{O}(\delta U) \end{aligned}$$

Using the traditional approximation and assuming the fluid is inviscid, the x -momentum equation

$$\begin{aligned} \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} - fv &= -\frac{1}{\rho} \frac{\partial p}{\partial x} \quad (10) \\ \text{scaling: } \frac{U}{T} \quad \frac{U^2}{L} \quad \frac{U^2}{L} \quad \frac{wU}{H} \quad fU &= \frac{P}{\rho L} \end{aligned}$$

Thus if p_x appears at leading order then

$$P \sim \rho U \max(L/T, U, fL)$$

Similarly the z -momentum equation and its scalings are

$$\begin{aligned} \frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + v \frac{\partial w}{\partial y} + w \frac{\partial w}{\partial z} &= -\frac{1}{\rho} \frac{\partial p}{\partial z} - g \quad (11) \\ \text{scaling: } \frac{w}{T} \quad \frac{Uw}{L} \quad \frac{Uw}{L} \quad \frac{w^2}{H} &= \frac{P}{\rho H} \end{aligned}$$

Hence $\frac{Dw}{Dt} \sim \max(\frac{w}{T}, \frac{Uw}{L})$. Comparing with the pressure term, we have

$$\begin{aligned} \frac{\frac{Dw}{Dt}}{\frac{1}{\rho} \frac{\partial p}{\partial z}} &\sim \frac{\max(\frac{w}{T}, \frac{Uw}{L})}{\frac{U}{H} \max(\frac{L}{T}, \frac{U}{L}, f)} \\ &\sim \delta^2 \frac{\max(\frac{1}{T}, \frac{U}{L})}{\max(\frac{1}{T}, \frac{U}{L}, f)} \end{aligned}$$

Therefore to $\mathcal{O}(\delta^2)$ we have *hydrostatic balance*. To this order, (11) becomes

$$\frac{\partial p}{\partial z} - \rho g \implies p = \rho g(\eta - z)$$

assuming $p = 0$ at $z = \eta(x, y, t)$. Similarly, we have $\frac{1}{\rho} p_x = g\eta_x$ and $\frac{1}{\rho} p_y = g\eta_y$. Hence horizontal acceleration (i.e. the LHS of (10)) is independent of z . Motivated by this, we *assume* that horizontal velocity is also independent of z . For $Ro \ll 1$, this follows from the Taylor-Proudman theorem.

Re-writing (10) with these results we have

$$u_t + uu_x + vu_y - fv = -g\eta_x \quad (12)$$

$$v_t + uv_x + vv_y + fu = -g\eta_y \quad (13)$$

since $u_z = v_z = 0$ by assumption. Integrating the continuity equation gives

$$w = -z(u_x + v_y) + A(x, y, t)$$

where A is to be determined by the boundary conditions. Requiring no normal flow at $z = -H_0 + h_b$ is imposed by $\mathbf{u} \cdot \hat{\mathbf{n}} = 0$ where $\mathbf{n} = \nabla(z - h_b)$. Thus

$$-u \frac{\partial h_b}{\partial x} - v \frac{\partial h_b}{\partial y} + w = 0$$

Hence

$$A(x, y, t) = u \frac{\partial h_b}{\partial x} + v \frac{\partial h_b}{\partial y} + (-H_0 + h_b)(u_x + v_y)$$

The kinematic boundary condition at $z = \eta$ is $\frac{D\eta}{Dt} = w$ which may be written as

$$\eta_t + u\eta_x + v\eta_y - w = 0$$

where $w = -\eta(u_x + v_y) + u \frac{\partial h_b}{\partial x} + v \frac{\partial h_b}{\partial y} + (-H_0 + h_b)(u_x + v_y)$. Combining these boundary conditions gives

$$\eta_t + [(H_0 - h_b + \eta)u]_x + [(H_0 - h_b + \eta)v]_y = 0 \quad (14)$$

If $H \equiv H_0 - h_b + \eta$ is the total depth of the fluid, then since $H_t = \eta_t$,

$$H_t + (uH)_x + (vH)_y = 0 \quad (15)$$

which is a statement of the conservation of volume (equivalently mass, since ρ is constant). Equations (12), (13), and (14) are the *rotating shallow water* (SW) equations.

3.1 Potential vorticity (PV)

Denote the vertical vorticity by $\zeta = v_x - u_y$. Consider $\partial_x(13) - \partial_y(12)$, which gives

$$\zeta_t + u\zeta_x + v\zeta_y + vf_y = -(\zeta + f)(u_x + v_y)$$

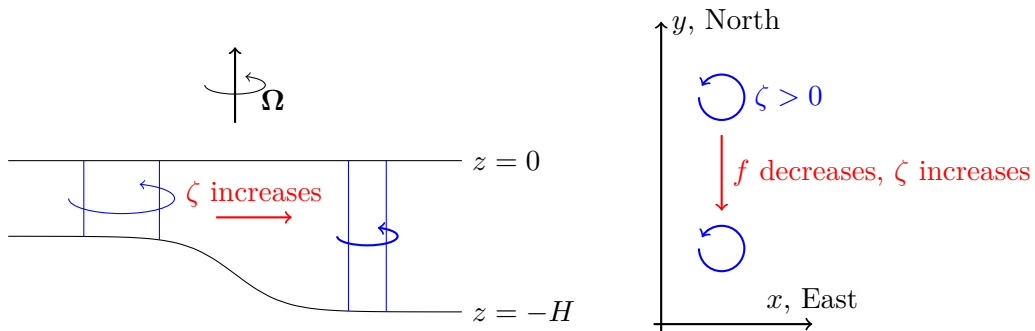
Now from conservation of volume (15),

$$u_x + v_y = -\frac{1}{H} \frac{DH}{Dt}$$

Combining these relates the material derivative of ζ and H by

$$\frac{D\zeta}{Dt} + \frac{Df}{Dt} = \frac{\zeta + f}{H} \frac{DH}{Dt} \implies \frac{D}{Dt} \left(\frac{\zeta + f}{H} \right) = 0 \quad (16)$$

Let $q \equiv \frac{\zeta + f}{H}$, the *shallow water potential vorticity* (SWPV). SWPV is conserved following fluid motion. We call ζ the *relative vorticity* and f the *planetary vorticity*. ζ and f will change as a fluid moves to conserve SWPV (changing f) and angular momentum (changing depth).



4 Small amplitude motions in rotating SW

Consider a stationary fluid with depth $H_s(x, y) = H_0 - h_b$. The fluid surface is then perturbed by $\eta(x, y, t)$ where $\eta \ll H_s$. The total depth is $H(x, y, t) = H_s + \eta$. For $|\mathbf{u}|^2 \ll |\mathbf{u}|$, linearise the shallow water equations:

$$u_t - fv = -g\eta_x \quad (17)$$

$$v_t + fu = -g\eta_y \quad (18)$$

$$\eta_t + (uH_s)_x + (vH_s)_y = 0$$

Assuming f is constant, we have from $\partial_x(17) + \partial_y(18)$ and $\partial_y(17) - \partial_x(18)$:

$$\partial_t \left[(\partial_t^2 + f^2) \eta - \nabla \cdot (gH_s \nabla \eta) \right] - fgJ(H_s, \eta) = 0 \quad (19)$$

where the Jacobian $J(a, b) = a_x b_y - a_y b_x$. For the velocity components we have

$$(\partial_t^2 + f^2) u = -g(\eta_{xt} + f\eta_y) \quad (20)$$

$$(\partial_t^2 + f^2) v = -g(\eta_{yt} + f\eta_x) \quad (21)$$

4.1 Steady flows

We now assume $\partial_t = 0$. From (20), (21),

$$u = -\frac{g}{f}\eta_y, \quad v = \frac{g}{f}\eta_x$$

This is *shallow water geostrophic balance*: the surface displacement η acts as a streamfunction. Applying the steady assumption to (19) gives $J(H_s, \eta) = 0$ which implies $\eta = \eta(H_s(x, y))$. Hence linearised steady geostrophic flow in shallow water follows contours of constant depth. Steady PV conservation follows from (16) with $\partial_t = 0$ and assuming $\zeta \ll f$

$$\mathbf{u} \cdot \nabla \frac{f}{H_s} = 0$$

Thus when f varies, the flow follows contours of constant f/H_s .

4.2 Waves in an unbounded domain

Assume H_s is constant. From (19), we have

$$(\partial_t^2 + f^2) \eta - gH_s \nabla^2 \eta = 0$$

Seek plane wave solutions to this wave equation with ansatz $\eta = \eta_0 \exp(i(kx + ly - \omega t))$. The dispersion relation is then

$$\omega^2 = f^2 + gH_s(k^2 + l^2) \quad (22)$$

If $f = 0$, i.e. no rotation, then the frequency is $\omega = \pm \sqrt{gH_s} |\mathbf{k}| = \omega_0$ and the phase speed is $|c_p| = \frac{|\omega|}{|\mathbf{k}|} = \sqrt{gH_s} = c_0$. For $f \neq 0$, we get *Poincaré* waves with

$$\omega^2 > \omega_0^2, \quad |c_p| > c_0$$

i.e. rotation increases the frequency and phase speed. Define the *Rossby deformation scale* $R_D \equiv \frac{c_0}{f}$. From (22),

$$\frac{\omega^2}{f^2} = 1 + R_D^2 |\mathbf{k}|^2$$

Without loss of generality, let $l = 0$, by reorienting x and y . If $\eta = \eta_0 \cos(kx - \omega t)$ then (20), (21) imply the fluid velocity is

$$\begin{aligned} u &= \frac{\omega_0 \eta_0}{k H_s} \cos(kx - \omega t) \\ v &= \frac{f \eta_0}{k H_s} \end{aligned}$$

Thus the motion is an ellipse, also known as a *tidal ellipse*, which reduces to inertial circles if $\omega_0 = f$:

$$u^2 + \frac{\omega_0^2}{f^2} v^2 = \frac{\omega_0^2 \eta_0^2}{k^2 H_s^2}$$

Since $\omega > f$, the fluid moves anticyclonically. The Rossby deformation scale R_D is the length scale for which rotation becomes important. Consider short and long waves:

- Short waves: $|\mathbf{k}| R_D \gg 1$. We have $\omega^2 \rightarrow g H_s |\mathbf{k}|^2$ i.e. non-rotating shallow water gravity waves.
- Long waves: $|\mathbf{k}| R_D \ll 1$. We have $\omega^2 \rightarrow f^2$ i.e. inertial waves where fluid moves in inertial circles. Gravity is not involved.