Lecture 10: Complexity, Dynamic Programming

PCL II, CL, UZH May 4, 2016



Outline

- Part 1: Complexity
 - Time Complexity
 - Space Complexity
 - Big O Notation

Part 2: Dynamic Programming

Part 1: Complexity



For a program/an algorithm that handles input of varying length

- e.g. string, text, file, sequence of numbers
- How long will it take?
 - Time complexity
- How much memory will it use?
 - Space complexity

(Relative to the size of the input)

Profiling



- understand why the code is running slow
- compare different approaches/methods
- optimize solutions
- Tools:
 - o timeit
 - o cProfile
- → Monitoring and "debugging" complexity



- Hapax legomena: words (word forms) that occur in a text only once
- Useful why?
 - hapax legomena usually form around half of the vocabulary
 - 44.2% of the Brown corpus vocabulary
 - hapax legomena usually constitute a small portion of the running tokens
 - 1.9% of the Brown corpus
 - a good model for unknown words

Counting hapax legomena



```
for token in tokenList:
   tokenCount = 0

   for token2 in tokenList:
      if token2 == token:
          tokenCount += 1

if tokenCount == 1:
      hapaxCount += 1
```

- python hapax.py data.txt 300: 0.186s
- python hapax.py data.txt 400: 0.316s
- python hapax.py data.txt 500: 0.545s
- python hapax.py data.txt 600: 0.816s

Counting hapax legomena



```
frequencies = defaultdict(int)

for token in tokenList:
    frequencies[token] += 1

for token in frequencies:
    if frequencies[token] == 1:
        hapaxCount += 1
```

- python fhapax.py data.txt 1000: 0.033s
- python fhapax.py data.txt 2000: 0.039s
- python fhapax.py data.txt 4000: 0.049s
- python fhapax.py data.txt 8000: 0.077s

Counting hapax legomena



```
hapaxCount = 0
for token in tokenList:
   tokenCount = 0
   for token2 in tokenList:
       if token2 == token:
           tokenCount += 1
   if tokenCount == 1:
       hapaxCount += 1
```

```
frequencies = defaultdict(int)

for token in tokenList:
    frequencies[token] += 1

for token in frequencies:
    if frequencies[token] == 1:
        hapaxCount += 1
```



```
text = ["a", "duck", "is", "only", "a", "duck"]
for word in text:
    c = 0
    for word2 in text:
        if word2 == word:
            c += 1

if c == 1:
    print(word) # is, only
```



Finding hapax legomena:

```
text = ["a", "duck", "is", "only", "a", "duck"]
for word in text:
    c = 0
    for word2 in text:
        if word2 == word:
            c += 1

if c == 1:
    print(word) # is, only
```

How many times are the various blocks of the program executed?



Finding hapax legomena:

How many times are the various blocks of the program executed?



- outer loop: n times (n = size of input)
- inner loop: n^2 times



- Basically: count iterations per loop
- Nested loops: multiply



```
text = ["a", "duck", "is", "only", "a", "duck"]
for word in text:
    c = mycount(text, word)
    if c == 1:
        print(word)

def mycount(txt, w):
    c = 0

for w2 in txt:
    if w2 == w:
        c += 1

return c
```

- Basically: count iterations per loop
- Nested loops: multiply
- Loops can "hide" in functions



```
text = ["a", "duck", "is", "only", "a", "duck"]
for word in text:
    c = text.count(word)
    if c == 1:
        print(word)
```

- Basically: count iterations per loop
- Nested loops: multiply
- Loops can "hide" in functions
 - also applies to built-in and standard functions
 - http://wiki.python.org/moin/TimeComplexity



Finding hapax legomena:

```
text = ["a", "duck", "is", "only", "a", "duck"]
frequencies = defaultdict(int)

for word in text:
    frequencies[word] += 1

for word in frequencies:
    if frequencies[word] == 1
        print(word)
```

Faster Solution: how many iterations?



```
text = ["a", "duck", "is", "only", "a", "duck"]
frequencies = defaultdict(int)

for word in text:
    frequencies[word] += 1

for word in frequencies:
    if frequencies[word] == 1
        print(word)
```

- Faster solution: n + v iterations
- n: text length, v: vocabulary size



```
text = ["a", "duck", "is", "only", "a", "duck"]
frequencies = defaultdict(int)

for word in text:
    frequencies[word] += 1  # 6 times (text length n )

for word in frequencies:
    if frequencies[word] == 1  # 4 times (vocabulary length v )
        print(word)
```

- Faster solution: n + v iterations
- n: text length, v: vocabulary size



- Why does this matter?
- Let's assume that
 - 1 operation takes 1 microsecond
 - = 1 mln operations take 1 second
- Finding hapax legomena in the Brown corpus:
 - \circ *n* = 1161192 (words)
 - v = 44815 (types)
 - o slow solution: $n^2 + 2n$ operations
 - 374.5 hours
 - \circ **faster solution**: n + v operations
 - 1.2 seconds

Algorithmic complexity



- For a program/an algorithm that handles input of varying length
 - e.g. string, text, file, sequence of numbers
- How long will it take?
 - Time complexity
- How much memory will it use?
 - Space complexity

(Relative to the size of the input)

Space complexity



```
fileHandle = open(fileName, 'r')
lines = fileHandle.readlines()
for line in lines:
...
```

Space complexity



```
fileHandle = open(fileName, 'r')
lines = fileHandle.readlines() #['line1', 'line2',...]
for line in lines:
```

used memory = size of the whole file

Space complexity



```
fileHandle = open(fileName, 'r')
lines = fileHandle.readlines() #['line1', 'line2',...]
for line in lines:
```

used memory = size of the whole file

```
fileHandle = open(fileName, 'r')
for line in fileHandle:
...
```

used memory = size of the longest line

Algorithmic complexity



- For a program/an algorithm that handles input of varying length
 - e.g. string, text, file, sequence of numbers
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 - Time complexity
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(Relative to the size of the input)

Big O notation



- O: upper bound of a function
 - "Worst-case scenario"
- hapax legomena:
 - \circ naive: $O(n^2)$
 - o good: O(n + v)
- does not describe the exact number of operations, instead -- shows how quickly a function grows
 - o constant factors shortened, $n + n + n = 3n \in O(n)$
 - o for a combo the fastest growing part counts: $2n + 3n^2 + n^3 \in O(n^3)$

Big O notation



- \bullet O(1): constant
 - o e.g. access to a list element at a known index
- O(log(n)): logarithmic
 - o e.g. search in a sorted list
- O(n): linear
 - e.g. search in a list, adding/deleting elements
- $O(n \cdot log(n))$: n log n/"linearithmic"/loglinear
 - o e.g. sorting a list

Big O notation



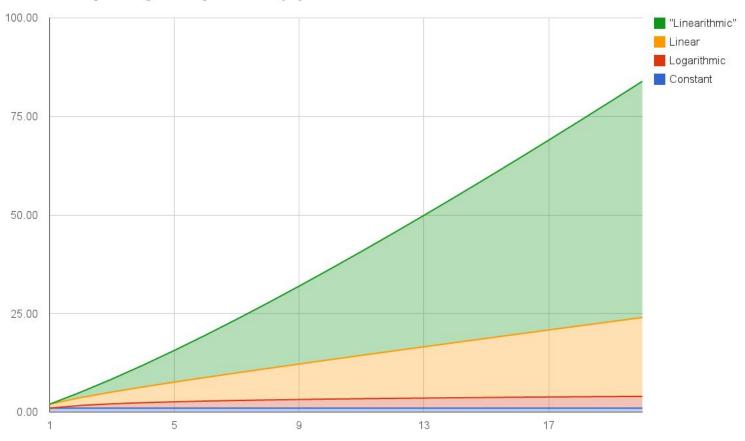
- $O(n^2)$: quadratic
 - o e.g. naive bubble sort
 - any 2 nested loops over the same data

• $O(n^c)$, c > 1: polynomial

• $O(c^n)$: exponential

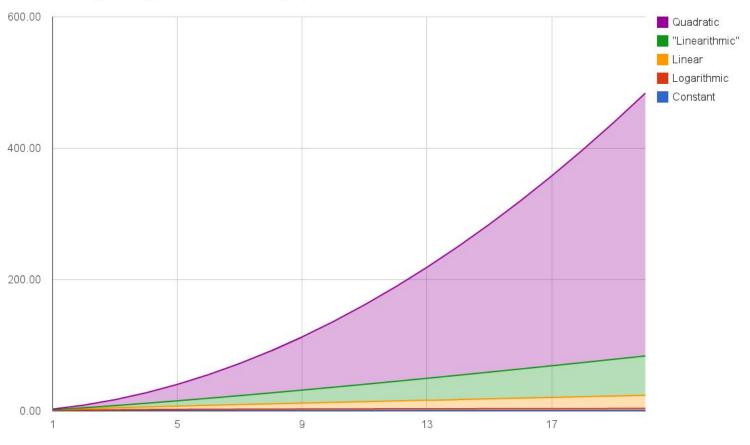
http://wiki.python.org/moin/TimeComplexity

Complexity comparison (1)



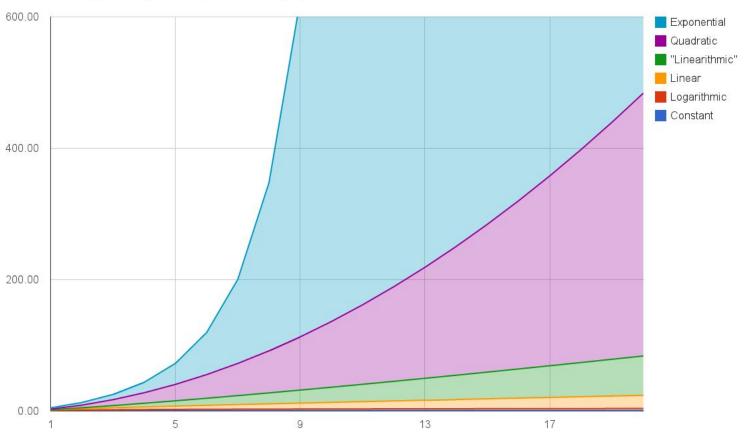
Input size

Complexity comparison (2)



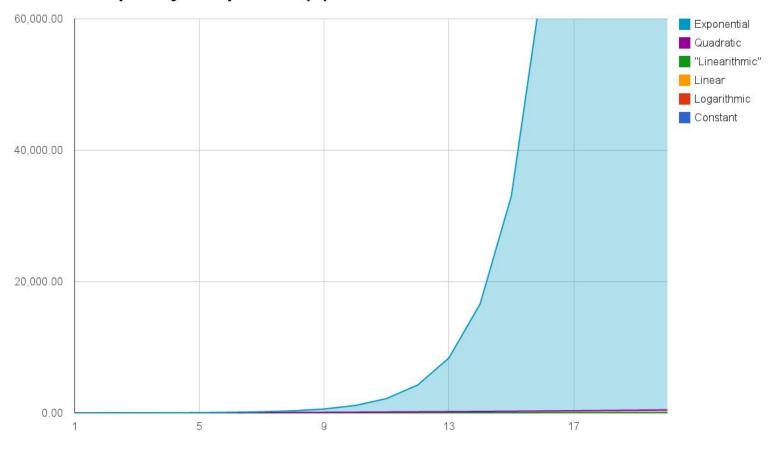
Input size

Complexity comparison (3)



Input size

Complexity comparison (4)



Input size

Processing time difference



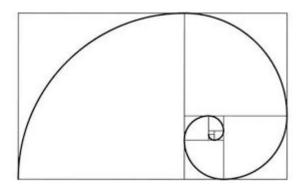
Assuming 1 mln operations per second:

n=	10	20	30
O(1)	1µs	1µs	1µs
O(log(n))	2.3µs	3.0µs	3.4µs
O(n)	10µs	20µs	30µs
$O(n^2)$	0.1ms	0.4ms	0.9ms
$O(n^4)$	10ms	160ms	810ms
$O(2^n)$	1ms	1 sec	18 min



- Fib(1) = 1
- Fib(2) = 1
- Fib(n) = Fib(n-1) + Fib(n-2), for n > 2

1 1 2 3 5 8 13 21 34 55 89 144...









Recursive implementation:

```
def fib(a):
    if (a == 1 or a == 2):
        return 1
    else:
        return fib(a-1) + fib(a-2)
```



Recursive implementation:

```
def fib(a):
     if (a == 1 \text{ or } a == 2):
          return 1
    else:
          return fib(a-1) + fib(a-2)
```

- fib(3): 2 steps
- fib(4): 3 steps fib(7): 13 steps
- fib(5):**5 steps**
- fib(6): 8 steps
- fib(8):21 steps

Fibonacci series, recursive implementation



- fib(3): 2 steps fib(6): 8 steps
- fib(4): **3 steps**
- fib(5): **5 steps**
- fib (7): 13 steps
- fib(8): 21 steps

What is the complexity?

Fibonacci series, recursive implementation



- fib(3): 2 steps
- fib(4): 3 steps
- fib(5): **5 steps**
- fib(6):8 steps
- fib(20):6765

- fib(7): 13 steps
- fib(8): 21 steps
- fib(9): **34 steps**
- fib(10): **55** steps
- fib(30): **832 040**
- fib(40): 102 334 155 steps

What is the complexity?

Fibonacci series, recursive implementation



- fib(3): 2 steps
- fib(4): 3 steps
- fib(5): **5 steps**
- fib(6):8 steps
- fib(20):6765

- fib(7): 13 steps
- fib(8):21 steps
- fib(9): 34 steps
- fib(10): **55** steps
- fib(30): **832 040**
- fib(40): 102 334 155 steps

What is the complexity?

 $O(c^x)$, 1 < c < 2; exponential on input value x

Tagging



- Sentence with n words given
- One of m tags can be assigned to each word
- Task: find the most likely tag sequence
- Naive solution:
 - generate all possible tag sequences
 - select the one with the highest probability
- Complexity?

Tagging



- Sentence with n words given
- One of m tags can be assigned to each word
- Task: find the most likely tag sequence
- Naive solution:
 - generate all possible tag sequences
 - select the one with the highest probability
- Complexity:
 - \circ there is m^n possible tag sequences
 - $\circ \in O(m^n)$, exponential on sentence length

Tagging



- n = 20 words in a sentence
- each word has one of m = 50 tags
- number of different ways of tagging the sentence: $m^n = 9.537 \times 10^{33}$
 - \circ 3.2 \times 10²⁰ years
 - \circ earth is only $\sim 4.5 \times 10^9$ years old

Outline

- Part 1: Complexity
- Part 2: Dynamic Programming

Dynamic programming



A way of optimizing complex tasks and avoiding bad complexity

Applications:

- Syntax parsing
- Sentence alignment
- Tagging

Dynamic programming



Basic idea:

- split the task into smaller sub-tasks
- solve the sub-tasks, saving the intermediate results
- the solution to the final task might use only some intermediate results
 - unless it's possible to tell which will or will not be used, all sub-tasks are solved

Fibonacci series Up-down



```
def fib(a):
    if (a == 1 or a == 2):
        return 1
    else:
         return fib(a-1) + fib(a-2)
print(fib(40))
```

Fibonacci series Up-down, Memoization



Memoization (NOT Memorization)

```
def fib(a):
    memory = {} # using a dict as a memory
    if a in memory: # if already computed
        return memory[a] # then retrieve solution from memory
    if (a == 1 \text{ or } a == 2):
        return 1
    else:
        memory[a] = fib(a-1) + fib(a-2) # new sub-solution into memory
        return memory[a]
print(fib(40))
```

Fibonacci series Bottom-up



```
def fib(a):
    if a < 3:
         return 1
    else:
        pprev = 1
         prev = 1
         for i in range (3, a + 1):
             curr = pprev + prev
             pprev = prev
             prev = curr
         return curr
print(fib(40))
```

no recursion

Fibonacci series Bottom-up



```
def fib(a):
    memory = []
    for i in range(a + 1):
         if i < 3:
             memory.append(1)
        else:
             memory.append(memory[-1] + memory[-2])
    return memory[-1]
print(fib(40))
```

no recursion

Fibonacci series



- Naive solution: $O(c^n)$
- Dynamic programming solutions: O(n)
 - the sub-tasks overlap
 - naive algorithm solves the same sub-tasks over and over again
- Strategies:
 - solve each sub-task once and store in memory solve task based on memorised sub-task solutions

OR

 bottom-up: start with the smallest sub-tasks and reach the full task "at the top", to replace recursion

Longest Common Subsequence (LCS)



- Given two strings, finds <u>a</u> longest common sequence of characters
 - the subsequence can have gaps (≠substring)

Longest Common Subsequence (LCS)



- Given two strings, finds <u>a</u> longest common sequence of characters
 - the subsequence can have gaps (≠substring)
- For example

```
lcs ("börsenstraße", "boersenstrasse") =
  ["b", "r", "s", "e", "n", "s", "t", "r", "a", "e"]
lcs ("jumper", "jumps") = ["j", "u", "m", "p"]
```

- Applications:
 - highlight/correct differences between two texts
 - diff command
 - bioinformatics (gene sequence comparison)

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LCS via Dyn. Prog.



Given x[1..m]and y[1..n]

Simplification:

- Look at length of LCS (x, y)
- Extend the algorithm to find LCS itself.

How to split lcs(x, y) into sub-tasks?

LCS via Dyn. Prog.



Given x[1..m]and y[1..n]

Simplification:

- Look at length of LCS (x, y)
- Extend the algorithm to find LCS itself.

How to split lcs(x, y) into sub-tasks?

- Assume we know the C[i-1, j-1] = | LCS(x[1..i-1], y[1..j-1] |
 - If x[i] = y[j] → the last character of x and y is the same? C[i, j] = C[i-1, j-1] + 1
 - the last character of xs and ys is different?
 C[i, j] = max{ C[i, j-1], C[i-1, j]}, otherwise

LCS Up-down, recursive



```
#Recursive
#Simplification: Length
def lcs len(x, y, i, j):
      if (x and y): #for both non-empty strings
         if x[i] == y[j]: #equal last characters
            return lcs len(x, y, i-1, j-1) + 1
         else:
                             #different last characters
            return max (lcs len(x, y, i-1, j), lcs len(x, y, i, j-1))
       else:
               #for strings, one of which is empty
            return 0 #if one of the strings is empty, the LCS
print lcs len("jumper", "jumps", 6, 5) # LCS length = 4
```

LCS Up-down, recursive



```
#Recursive, Memoization (NOT Memorization)
#Simplification: Length
from collections import defaultdict
def lcs len(x, y, i, j):
   global matrix
   if not matrix[i][j]: #check if solution is already memorized
      if (x and y): #for non-empty strings
         if x[i] == y[j]: #equal last characters
            matrix[i][j] = lcs len(x, y, i-1, j-1) + 1
                              #different last characters
         else:
            matrix[i][j] = max(lcs len(x, y, i-1, j), lcs len(x, y, i, j-1))
   return matrix[i][j]
matrix = defaultdict(lambda: defaultdict(list)) #initialize memory
print lcs len("jumper", "jumps", 6, 5) # LCS length = 4
```



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø					
<u>f</u>					
f <u>a</u>					
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fai <u>r</u>					



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0				
<u>f</u>					
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	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
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	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
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fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
f	0	f = f			
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f = f			
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f = f 1			
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f = f 1	e≠f		
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f —		
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1		
f <u>a</u>	0				
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
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fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
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fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
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fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1		
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a	
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0				
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1			
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1		
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0				



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1			



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1		



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	3



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	3

r



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	3

a r



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	3

far



	Ø	<u>f</u>	f <u>e</u>	fe <u>a</u>	fea <u>r</u>
Ø	0	0	0	0	0
<u>f</u>	0	f=f 1	e≠f - 1	a≠f 1	r≠f 1
f <u>a</u>	0	f≠a 1	e≠a 1	a = a 2	r≠a 2
fa <u>i</u>	0	1	1	2	2
fai <u>r</u>	0	1	1	2	3

far

Plan for next lecture:



More dynamic programming:

- Levenshtein distance
- Sentence alignment
- Tagging: Viterbi algorithm

Lecture 10: Complexity, Dynamic Programming

PCL II, CL, UZH May 4, 2016

