

LECTURE 11 — ELEMENTARY GRAPH ALGORITHMS (PART 1)

COMPSCI 308 — DESIGN AND ANALYSIS OF ALGORITHMS

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SUMMARY

ITA 20.1 Representation of Graphs

ITA 20.2 Breadth-First Search

ASSIGNMENTS¹



Practice makes perfect!

Introduction to Algorithms (ITA)

📘 Required Readings:

- Section 20.1.
- Section 20.2.

✏️ Required Exercises:

- Exercises 20.1 – 1, 3–6.
- Exercises 20.2 – 1–6.

¹ ⚪ Assignments will not be collected; however, quiz problems will be selected from them. (This includes both Readings and Exercises.)

ITA 20.1 REPRESENTATION OF GRAPHS

FRIENDSHIPS

We can model friendships as a graph in which each vertex (node) represents a friend and each edge (line) represents a friendship.

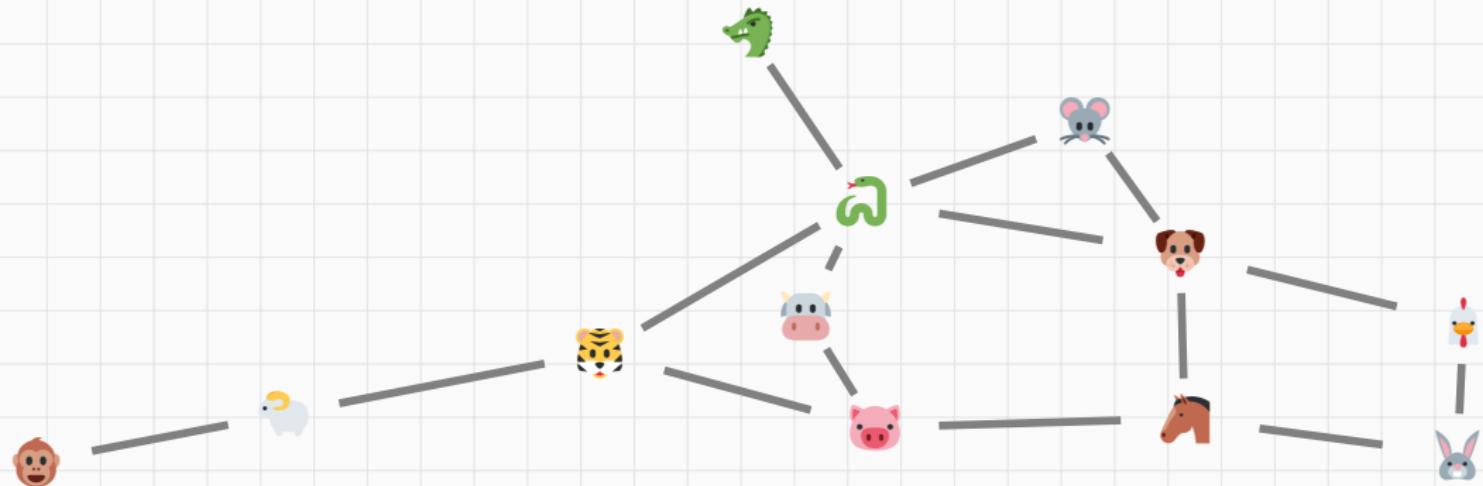


Figure 1: Friendships in COMPSCI 308

METRO NETWORK AS A GRAPH

A metro network can also be modeled as a graph.



Figure 2: Mini Metro game screenshot. Source — [Wikipedia](#).

DEFINITION OF GRAPH

A **graph** G is defined as a pair (V, E) , where V is the **vertex set**, and E is the **edge set**.

An element in V is called a **vertex** or **node**.

An element in E is called an **edge**.

🎂 What is V and E of the following graph?

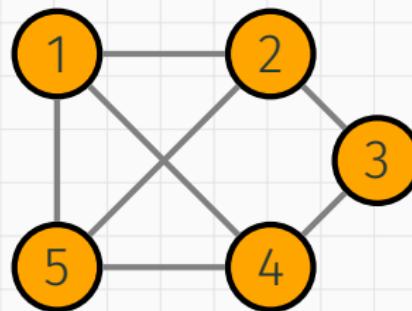


Figure 3: An undirected graph G

DEFINITION OF GRAPH

If $(x, y) \in E$, then x and y are adjacent, and the edge (x, y) is incident to both x and y .

If the edges are undirected, i.e., (x, y) and (y, x) are the same edge, the graph is said to be undirected.

🍰 What is the maximum number of edges a graph with $|V|$ vertices can have?

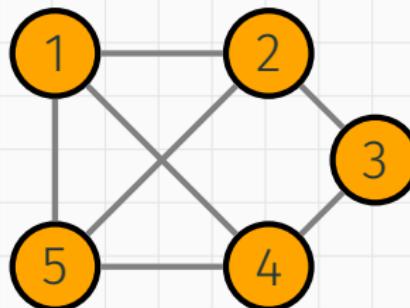


Figure 3: An undirected graph G

DEFINITION OF GRAPH

If the edges are directed, i.e., (x, y) and (y, x) are different edges, the graph is said to be **directed** or a **digraph**.

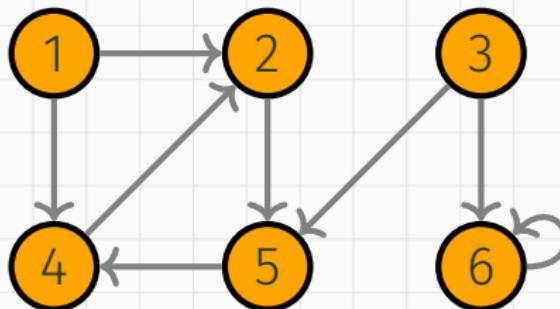


Figure 3: A directed graph G

ADJACENCY LIST REPRESENTATION OF A GRAPH

If G is **sparse**, i.e., $|E| = o(|V|^2)$, then we often represent G in **adjacency-list** form.

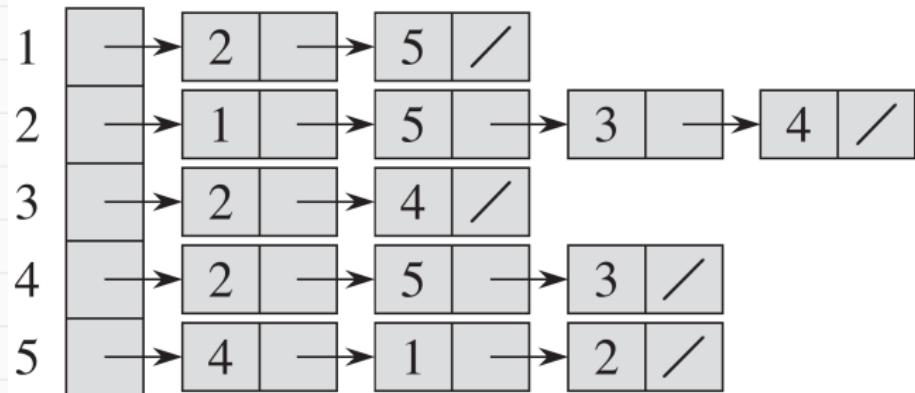
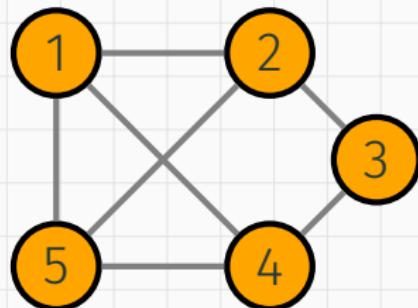
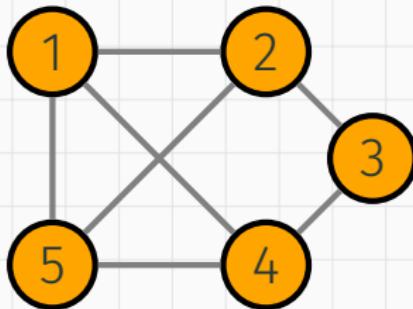


Figure 4: A graph and its adjacency-list representation

ADJACENCY MATRIX REPRESENTATION OF A GRAPH

If G is **dense**, i.e., $|E| = \Theta(|V|^2)$, then we often represent G in **adjacency-matrix** form.



| | 1 | 2 | 3 | 4 | 5 |
|---|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 0 | 1 |
| 2 | 1 | 0 | 1 | 1 | 1 |
| 3 | 0 | 1 | 0 | 1 | 0 |
| 4 | 0 | 1 | 1 | 0 | 1 |
| 5 | 1 | 1 | 0 | 1 | 0 |

Figure 5: A graph and its adjacency-matrix representation

🎂 Why is the adjacency-matrix for an undirected graph symmetric over the diagonal?

REPRESENTATION OF DIGRAPHS

We can also represent a **digraph** in both adjacency-list and adjacency-matrix form.

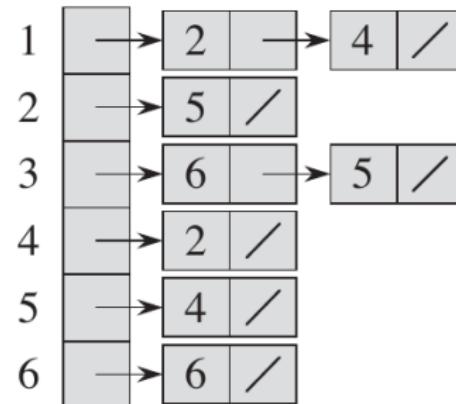
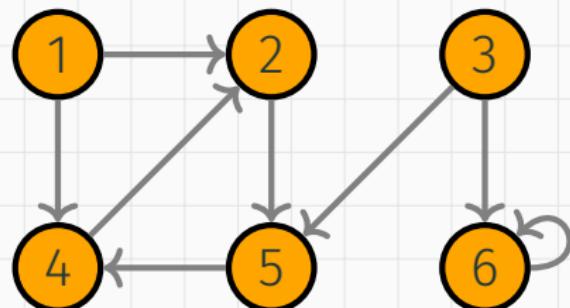
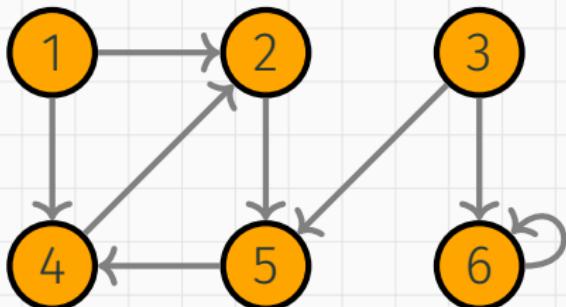


Figure 6: A digraph and its representations

REPRESENTATION OF DIGRAPHS

We can also represent a **digraph** in both adjacency-list and adjacency-matrix form.



| | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 1 | 0 | 0 |
| 2 | 0 | 0 | 0 | 0 | 1 | 0 |
| 3 | 0 | 0 | 0 | 0 | 1 | 1 |
| 4 | 0 | 1 | 0 | 0 | 0 | 0 |
| 5 | 0 | 0 | 0 | 1 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 0 | 1 |

Figure 6: A digraph and its representations

Note that the adjacency matrix is not necessarily symmetric for a digraph.

ATTRIBUTES

We use the notations $u.f$ and $(u, v).f$ to indicate the attributes of a vertex or an edge.

These attributes, such as edge weight, can also be stored in adjacency-list or adjacency-matrix form.

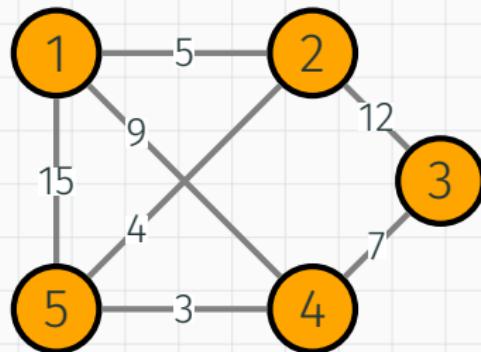


Figure 7: A weighted graph

$$\begin{bmatrix} 0 & 5 & 0 & 9 & 15 \\ 5 & 0 & 12 & 0 & 4 \\ 0 & 12 & 0 & 7 & 0 \\ 9 & 0 & 7 & 0 & 3 \\ 15 & 4 & 0 & 3 & 0 \end{bmatrix}$$

ITA 20.2 BREADTH-FIRST SEARCH

🎂 Can you design an algorithm which moves the tiles to their correct positions using the empty space?

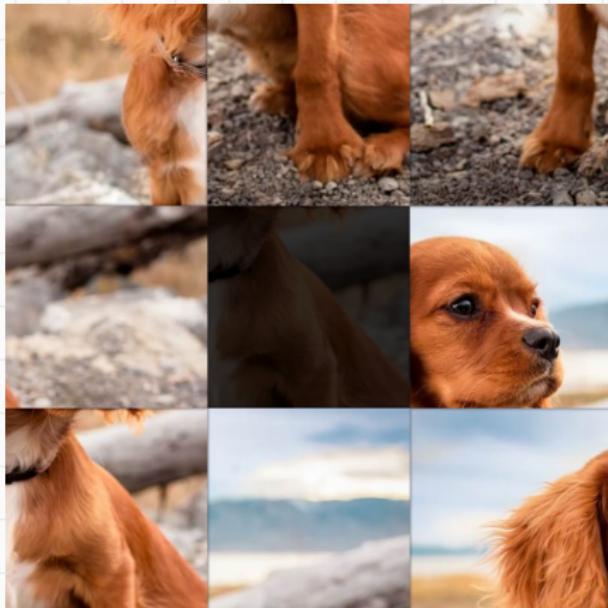


Figure 8: An 8-puzzle

A PANDEMIC ON A GRAPH

Assume that a 😷 is spreading on a graph $G = (V, E)$.

Vertex 1 is infected first on day 0.

Each day, an infected vertex v infects all its neighbours.

How many days will it take for all vertices to become 😷?

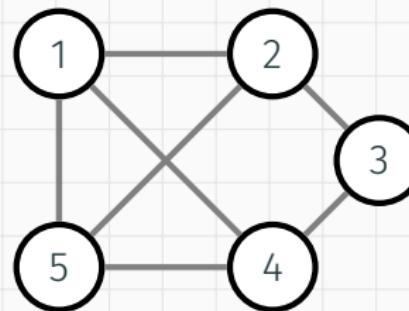


Figure 9: A graph G

EXAMPLE OF BFS

Breadth First Search (BFS) essentially works like the spread of 😊.

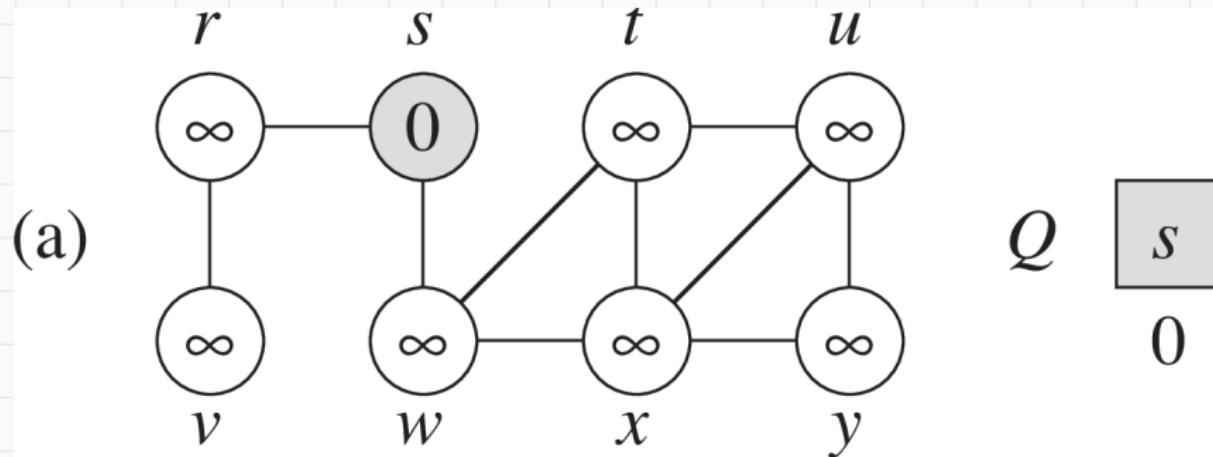


Figure 10: 🚧 Run BFS on this graph

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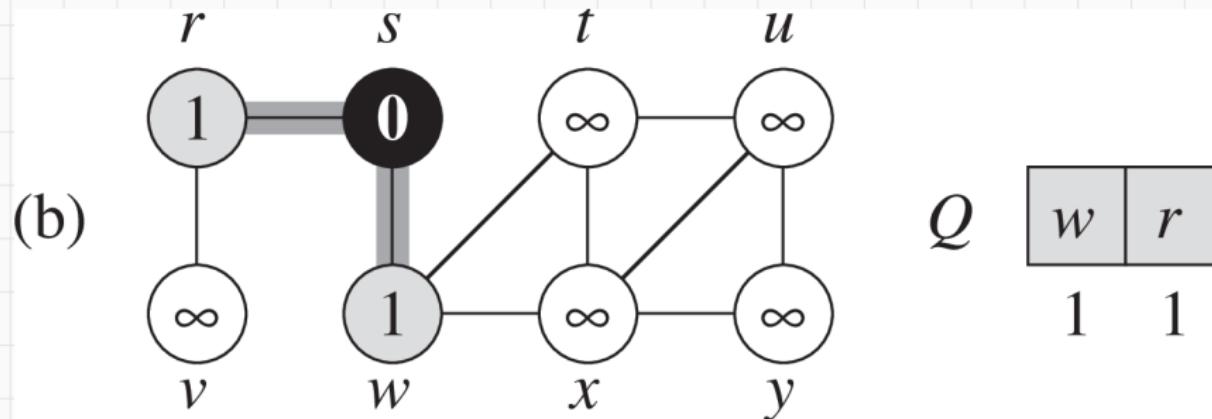


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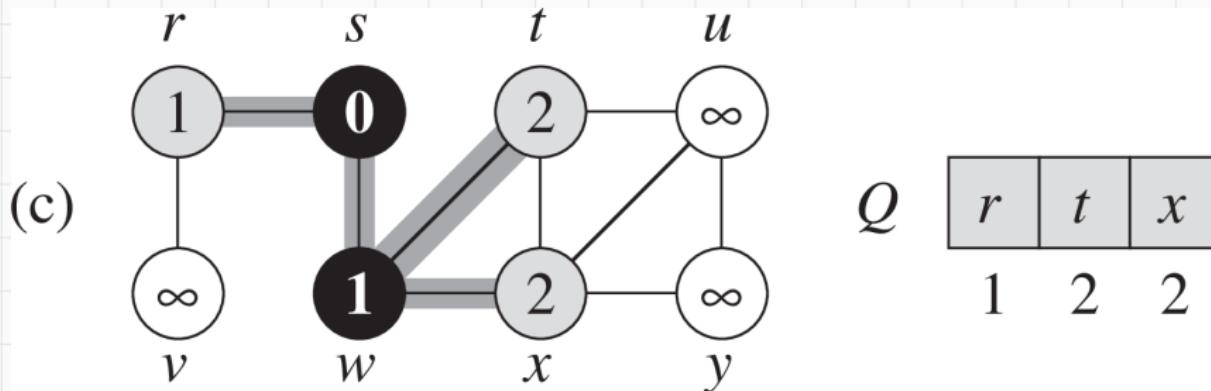


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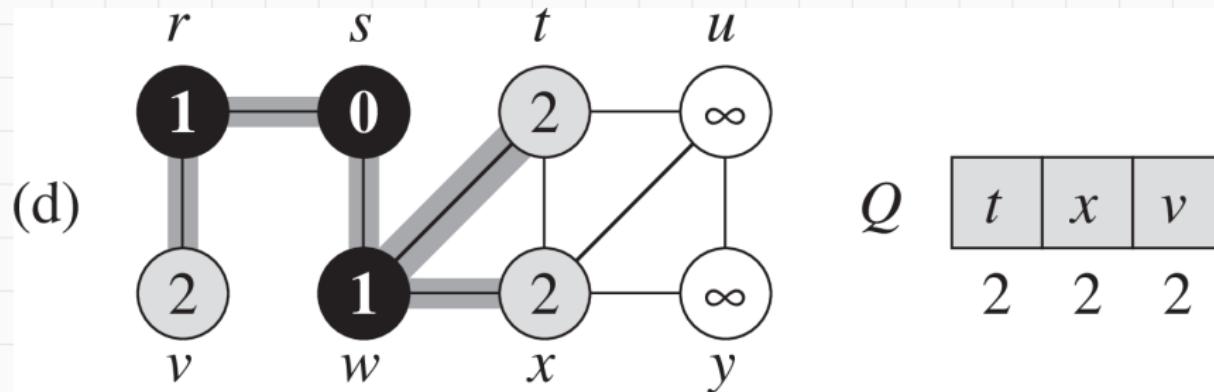


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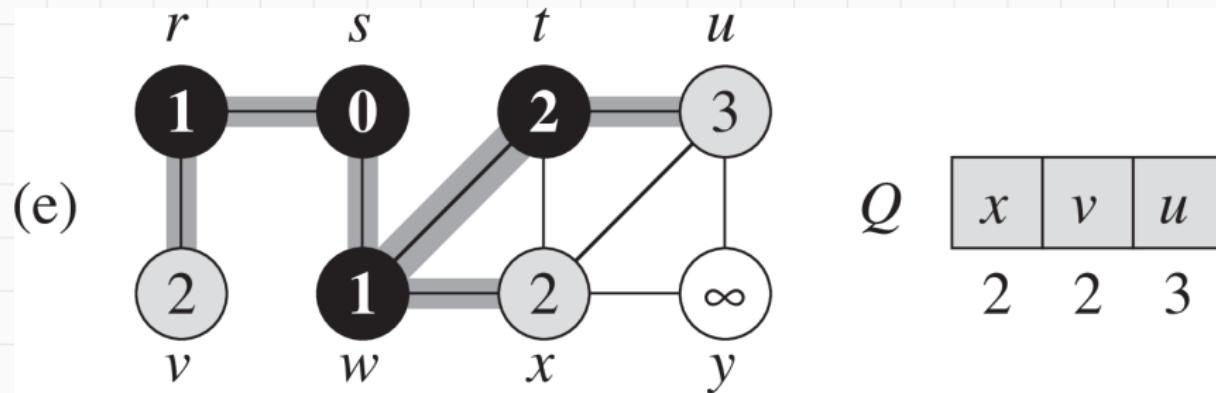


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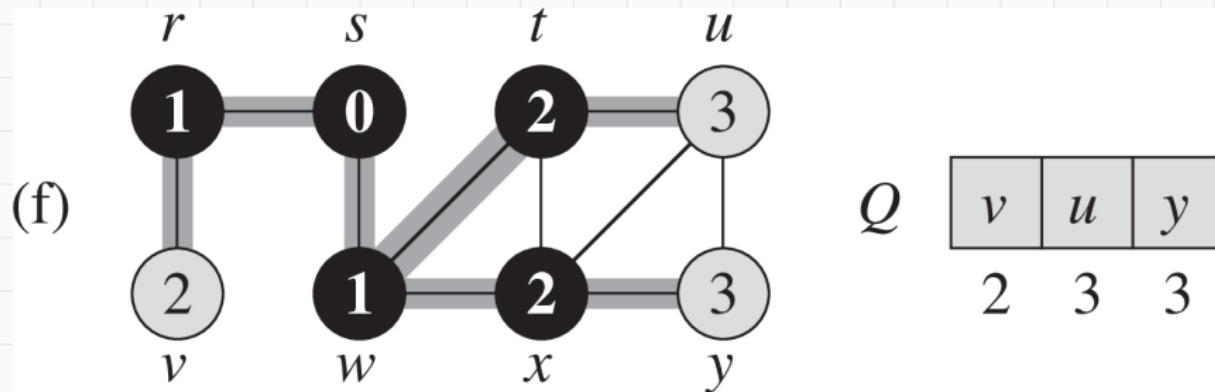


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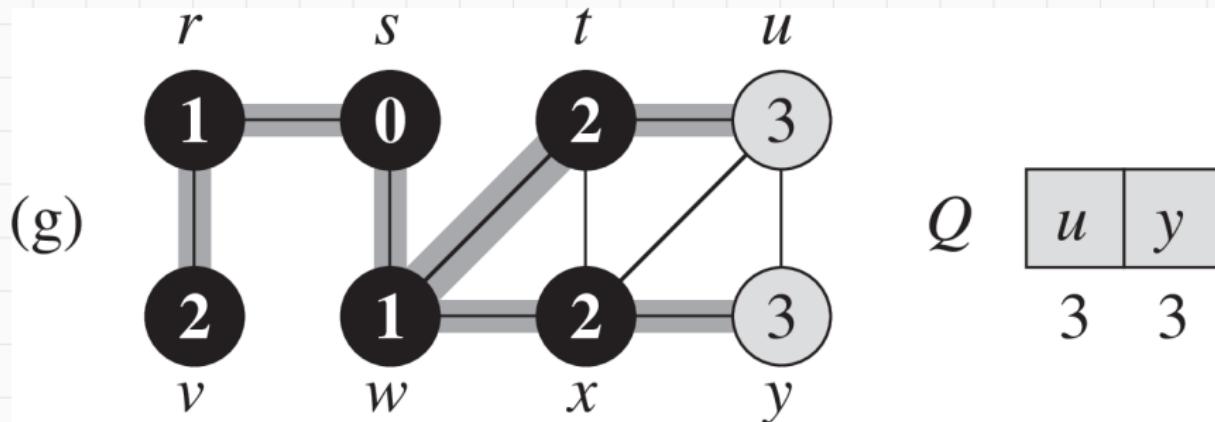


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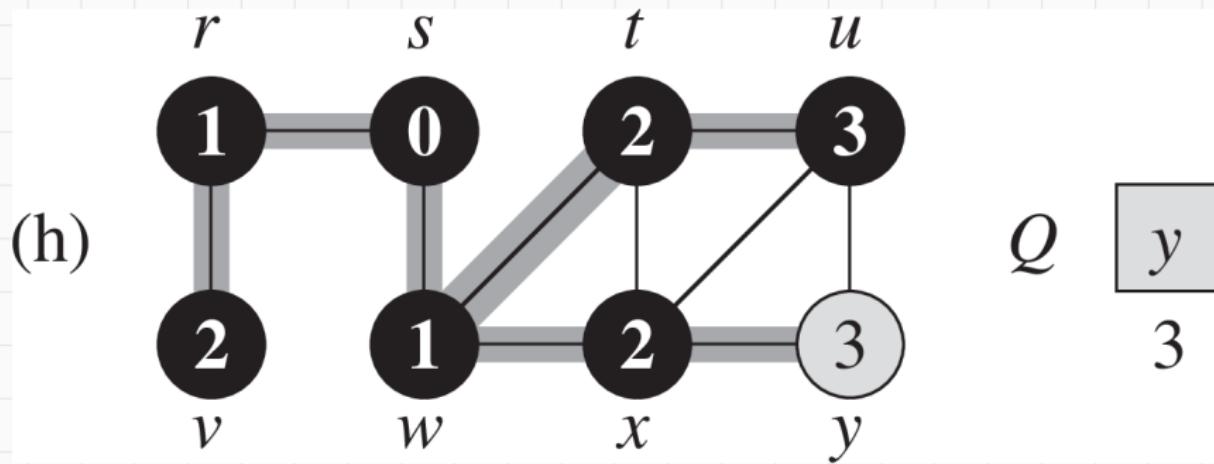


Figure 10: 🚫 Run BFS on this graph

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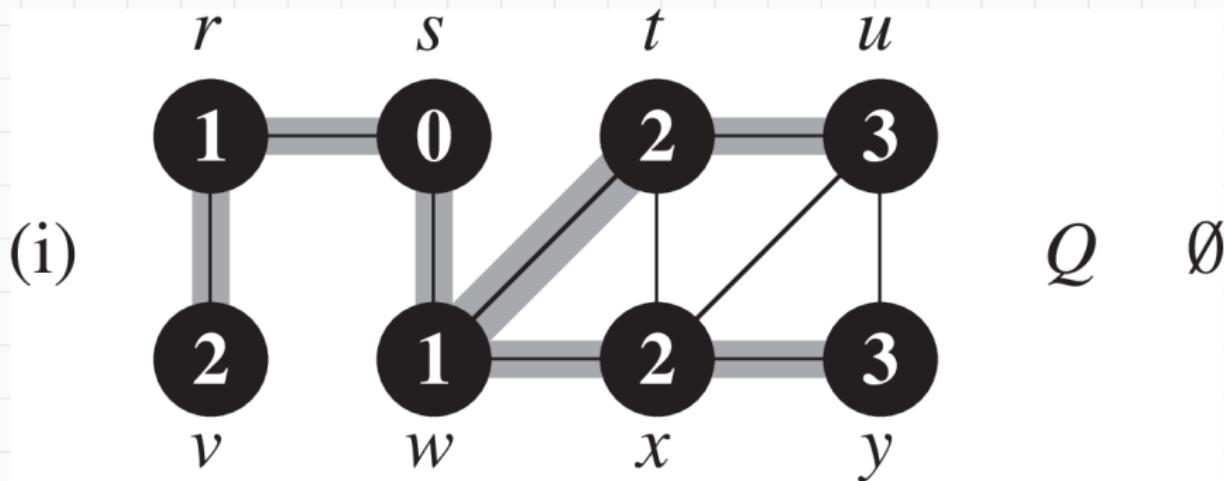


Figure 10: ❤️ Run BFS on this graph

WHAT IS BFS

BFS operates on a graph $G = (V, E)$ with a source vertex s .

The algorithm explores edges of G to find all vertices reachable from s .

BFS computes the distance from s to each vertex, constructing a breadth-first tree.

Paths in the tree represent the shortest paths in G .

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Paths in the tree represent the shortest paths in G .

Vertices are initially white , becoming grey upon discovery, and black once fully explored.

Discovery occurs upon first encounter in the search.

A grey vertex has been discovered but not fully explored.

A black vertex and all its adjacent vertices have been fully explored.

THE QUEUE DATA STRUCTURE

Definition — A queue is a linear data structure that follows the First-In, First-Out (FIFO) principle.

Operations —

- Enqueue — Add an element to the end of the queue.
- Dequeue — Remove an element from the front of the queue.

Real-World Analogy — A line of customers waiting their turn.



Figure 11: A line of patients waiting to see a doctor is a queue

BFS PSEUDOCODE

```
1: BFS( $G, s$ )
2: for each vertex  $u \in G.V - \{s\}$  do
3:    $u.color = \text{WHITE}$ 
4:    $u.d = \infty$ 
5:    $u.\pi = \text{NIL}$ 
6:  $s.color = \text{GRAY}$ 
7:  $s.d = 0$ 
8:  $s.\pi = \text{NIL}$ 
9:  $Q = \emptyset$ 
10: ENQUEUE( $Q, s$ )
```

BFS PSEUDOCODE

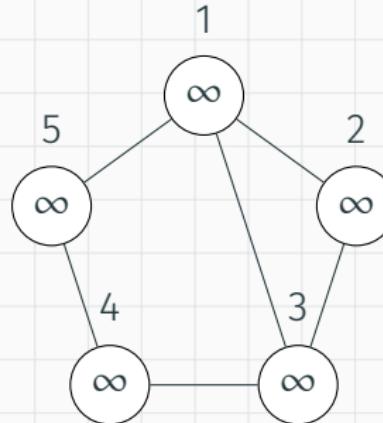
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8:  $s.\pi = \text{NIL}$ 
9:  $Q = \emptyset$ 
10: ENQUEUE( $Q, s$ )
11: while  $Q \neq \emptyset$  do
12:    $u = \text{DEQUEUE}(Q)$ 
13:   for each  $v \in G.Adj[u]$  do
14:     if  $v.color == \text{WHITE}$  then
15:        $v.color = \text{GRAY}$ 
16:        $v.d = u.d + 1$ 
17:        $v.\pi = u$ 
18:       ENQUEUE( $Q, v$ )
19:    $u.color = \text{BLACK}$ 
```



What's the complexity?

🎂 Run BFS on the following graph with $s = 1$ and stops after three vertices become black. What is $4.d$ at this moment?

Assume that the neighbours of a vertex are stored in adjacency-list form, ordered by their labels in increasing order.



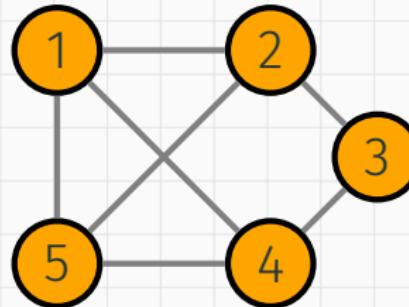
DISTANCE IN A GRAPH

For two vertices u, v in G , the **distance** from u to v is the length of the shortest path from u to v .

We denote this distance by $\delta(u, v)$.

If there is no path from u to v , then $\delta(u, v) = \infty$.

🍰 What are $\delta(1, 2), \delta(1, 3), \delta(5, 3)$?



LEMMA 20.1

Let $G = (V, E)$ be a graph. Let $s \in V$ be an arbitrary vertex.

Then for every edge $(u, v) \in E$,

$$\delta(s, v) \leq \delta(s, u) + 1.$$

LEMMA 20.2

Upon termination, for each vertex $v \in V$, the value $v.d$ computed by BFS satisfies $v.d \geq \delta(s, v)$.

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Proof Sketch –

We use induction on the number of ENQUEUE operations.

Base Case – Initially, only the source s is enqueueued with $s.d = 0 = \delta(s, s)$ and $v.d = \infty \geq \delta(s, v)$ for all other v .

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Base Case — Initially, only the source s is enqueued with $s.d = 0 = \delta(s, s)$ and $v.d = \infty \geq \delta(s, v)$ for all other v .

For the inductive step, when a white vertex v is discovered from u , we set

$$v.d = u.d + 1 \geq \delta(s, u) + 1 \geq \delta(s, v)$$

Since $v.d$ is set only once, this maintains the inductive hypothesis.

LEMMA 20.3

Suppose that during the execution of BFS the queue Q contains the vertices

$$\langle v_1, v_2, \dots, v_r \rangle,$$

where v_1 is the head of Q and v_r is the tail. Then,

$$v_r.d \leq v_1.d + 1$$

and

$$v_i.d \leq v_{i+1}.d \quad \text{for } i \in \{1, \dots, r-1\}.$$

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Corollary 20.4

Suppose that vertices v_i and v_j are enqueued during the execution of BFS, and that v_i is enqueued before v_j . Then $v_i.d \leq v_j.d$ at the time that v_j is enqueued.

PROOF OUTLINE OF LEMMA 20.3 (BY INDUCTION)

Base Case –

- Initially, only the source s is in Q , so the lemma holds.

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Inductive Step –

- *Dequeue Operation –*
 - If v_1 is dequeued, v_2 becomes the new head.
 - By induction, $v_1.d \leq v_2.d$, and $v_r.d \leq v_1.d + 1 \leq v_2.d + 1$, preserving the inequalities.

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 - By induction, $v_1.d \leq v_2.d$, and $v_r.d \leq v_1.d + 1 \leq v_2.d + 1$, preserving the inequalities.
- *Enqueue Operation –*
 - New vertex v becomes v_{r+1} after u is processed.
 - By induction, $v_{r+1}.d = v.d = u.d + 1 \leq v_1.d + 1$.
 - This maintains $v_r.d \leq u.d + 1 = v_{r+1}.d$.

THEOREM 20.5 – CORRECTNESS OF BFS

During its execution, BFS discovers every vertex $v \in V$ that is reachable from the source s , and upon termination, $v.d = \delta(s, v)$ for all $v \in V$.

THEOREM 20.5 – CORRECTNESS OF BFS

During its execution, BFS discovers every vertex $v \in V$ that is reachable from the source s , and upon termination, $v.d = \delta(s, v)$ for all $v \in V$.

Moreover, for any vertex $v \neq s$ that is reachable from s , one of the shortest paths from s to v is a shortest path from s to $v.\pi$ followed by the edge $(v.\pi, v)$.

- 💡 In short, BFS is **correct**.

PROOF OF BFS CORRECTNESS

Assume, for contradiction, that some vertex v has a d -value different from its shortest-path distance $\delta(s, v)$.

Let v be the vertex with minimum $\delta(s, v)$ that receives an incorrect d value; $v \neq s$.

PROOF OF BFS CORRECTNESS

Assume, for contradiction, that some vertex v has a d -value different from its shortest-path distance $\delta(s, v)$.

Let v be the vertex with minimum $\delta(s, v)$ that receives an incorrect d value; $v \neq s$.

By Lemma 20.2, $v.d \geq \delta(s, v)$, so

$$v.d > \delta(s, v).$$

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Let u be the vertex immediately before v on a shortest path from s to v , meaning

$$\delta(s, v) = \delta(s, u) + 1.$$

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Let u be the vertex immediately before v on a shortest path from s to v , meaning

$$\delta(s, v) = \delta(s, u) + 1.$$

Thus, $u.d = \delta(s, u)$, so we have —

$$v.d > \delta(s, v) = \delta(s, u) + 1 = u.d + 1.$$

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When BFS dequeues u , v is either white, gray, or black —

- If v is white, then $v.d = u.d + 1$, contradicting $v.d > u.d + 1$.
- If v is black, then $v.d \leq u.d$ by Corollary 20.4, again a contradiction.
- If v is gray, it was painted gray when dequeuing some vertex w with $w.d \leq u.d$.
So

$$v.d = w.d + 1 \leq u.d + 1,$$

which also contradicts $v.d > u.d + 1$.

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So

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which also contradicts $v.d > u.d + 1$.

Therefore, we have a contradiction and BFS is correct.

PREDECESSOR SUBGRAPH

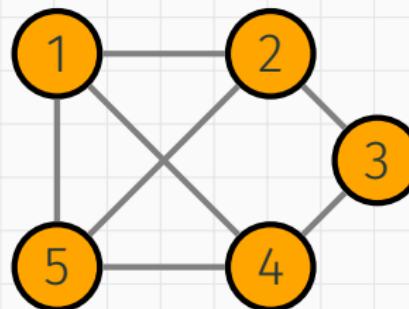
For a graph $G = (V, E)$ with source s , we define the **predecessor subgraph** of a BFS as $G_\pi = (V_\pi, E_\pi)$, where

$$V_\pi = \{v \in V : v.\pi \neq \text{NIL}\} \cup \{s\}$$

and

$$E_\pi = \{(v.\pi, v) : v \in V_\pi - \{s\}\}.$$

🎂 What is G_π for the following graph if $s = 1$?

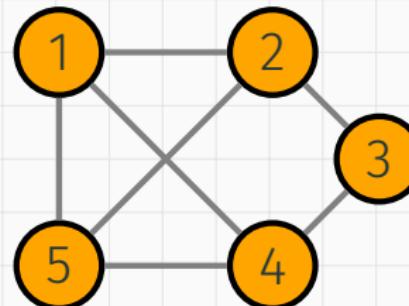


BREADTH-FIRST TREE

A subgraph of G is called a **breadth-first tree** if

- it contains all vertices reachable from s ,
- every path in it is also the shortest path between the two endpoints,
- it is a tree.

🎂 What is a breadth-first tree for the following graph if $s = 1$?



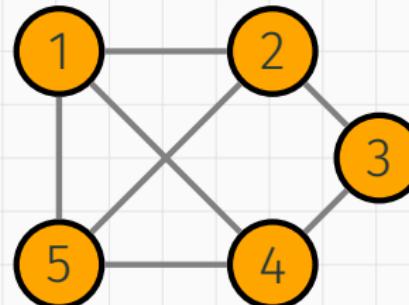
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Can you think of another one?



 LEMMA 20.6

The predecessor subgraph G_π of a graph G , as produced by BFS, is a breadth-first tree.

Proof Sketch —

- G_π is connected because it contains all vertices reachable from s , by Theorem 20.5.

 LEMMA 20.6

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Proof Sketch –

- G_π is connected because it contains all vertices reachable from s , by Theorem 20.5.
- The number of edges in G_π is one less than the number of vertices, as each vertex (except s) has a unique predecessor. Thus, G_π forms a tree.

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- G_π is connected because it contains all vertices reachable from s , by Theorem 20.5.
- The number of edges in G_π is one less than the number of vertices, as each vertex (except s) has a unique predecessor. Thus, G_π forms a tree.
- The distance from s to any vertex v in G_π is $v.d = \delta(s, v)$, by Theorem 20.5. Therefore, the unique path from s to v in G_π represents a shortest path from s to v in G .

FIND THE SHORTEST PATH

Given a graph G whose breadth-first tree has been computed by BFS, we can print the shortest path from s to v using the following algorithm —

```
Print-Path( $G, s, v$ )
if  $v == s$  then
    print  $s$ 
else if  $v.\pi == \text{NIL}$  then
    print "no path from"  $s$  "to"  $v$ 
else
    PRINT-PATH( $G, s, v.\pi$ )
    print  $v$ 
```

SOLVE THE MAZE



How can we solve this maze using BFS?

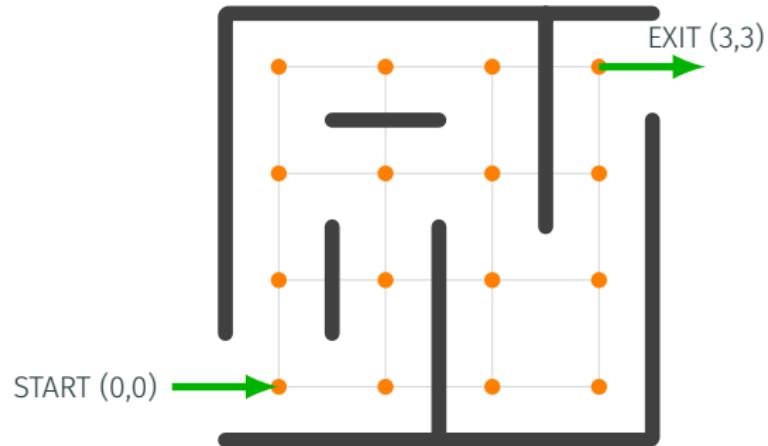


Figure 12: 4x4 Maze for BFS Visualization

Try it out at <https://brkwok.github.io/Maze-Solver/>.

SOLVE THE 8-Puzzle



How can we solve the 8-puzzle using BFS?

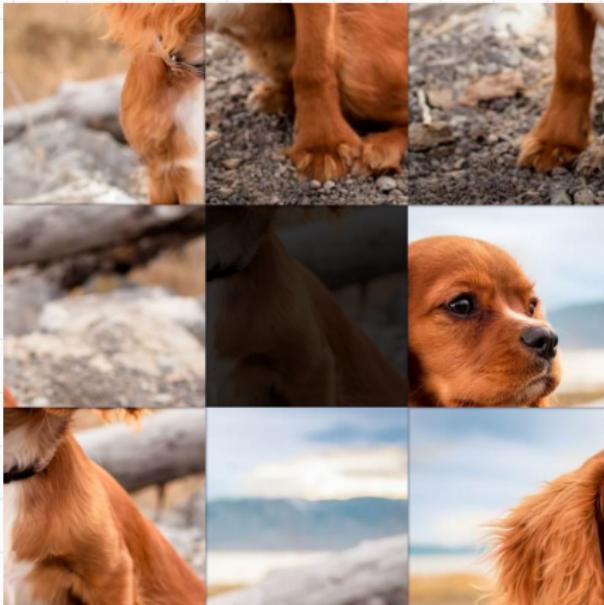


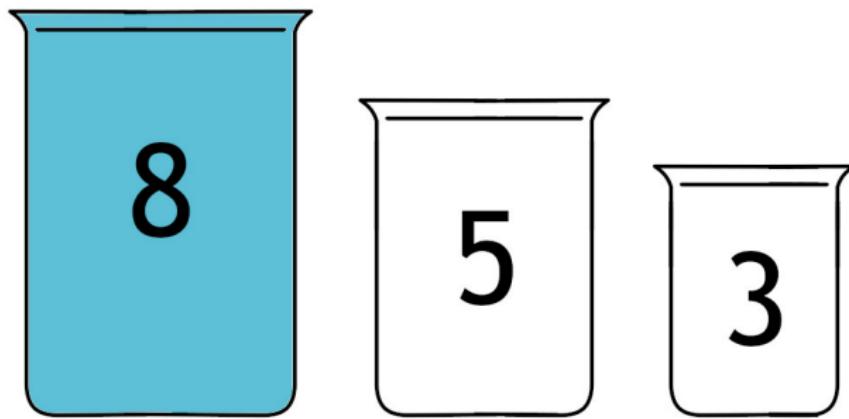
Figure 13: An 8-puzzle

WATER POURING PUZZLE

We have a jug filled with 8 units of water, and two empty jugs of sizes 5 and 3.

How can we make the first and second jugs both contain 4 units, and the third is empty, without using any extra tools?

💡 How to solve this puzzle using BFS?



WHAT ARE YOUR MAIN TAKEAWAYS TODAY? ANY QUESTIONS?

