

# LECTURE 12 – ELEMENTARY GRAPH ALGORITHMS (PART 2)

COMPSCI 308 – DESIGN AND ANALYSIS OF ALGORITHMS

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# SUMMARY

ITA 20.3 Depth-First Search

ITA 20.4 Topological Sort

ITA 20.5 Strongly Connected Components

# ASSIGNMENTS<sup>1</sup>



Practice makes perfect!

## Required Readings:

- Section 20.3.
- Section 20.4.
- Section 20.5.

## Required Exercises:

- Exercises 20.3 — 1–3.
- Exercises 20.4 — 1–3.
- Exercises 20.5 — 1–3.

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<sup>1</sup> ⚪ Assignments will not be collected; however, quiz problems will be selected from them. (This includes both Readings and Exercises.)

## ITA 20.3 DEPTH-FIRST SEARCH

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## A VISUAL COMPARISON WITH BFS

When solving a maze, the BFS strategy explores .

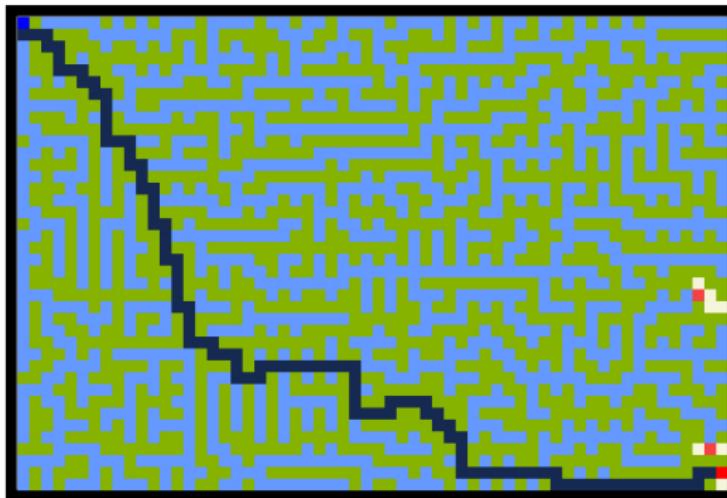


Figure 1: BFS solution

Try it out at <https://brkwok.github.io/Maze-Solver/>.

## A VISUAL COMPARISON WITH BFS

When solving a maze, the DFS goes deep .

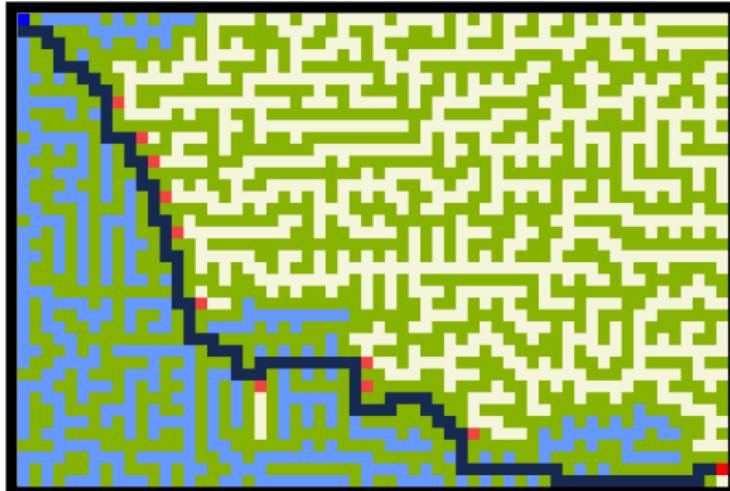
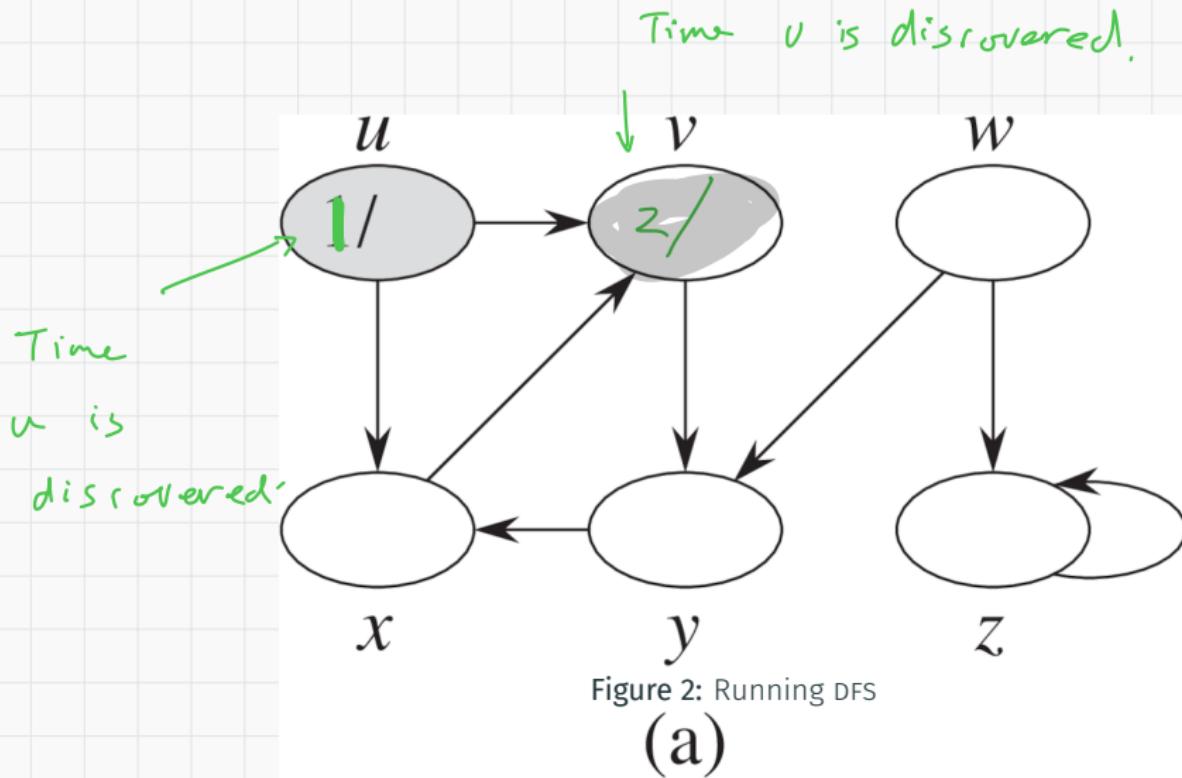


Figure 1: DFS solution

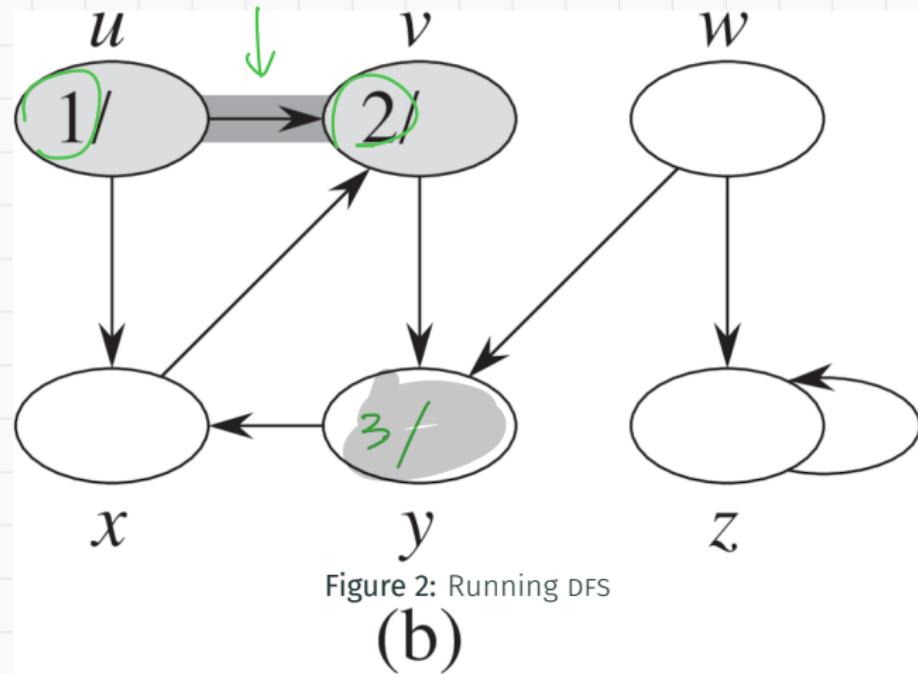
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## EXAMPLE RUNNING OF DFS

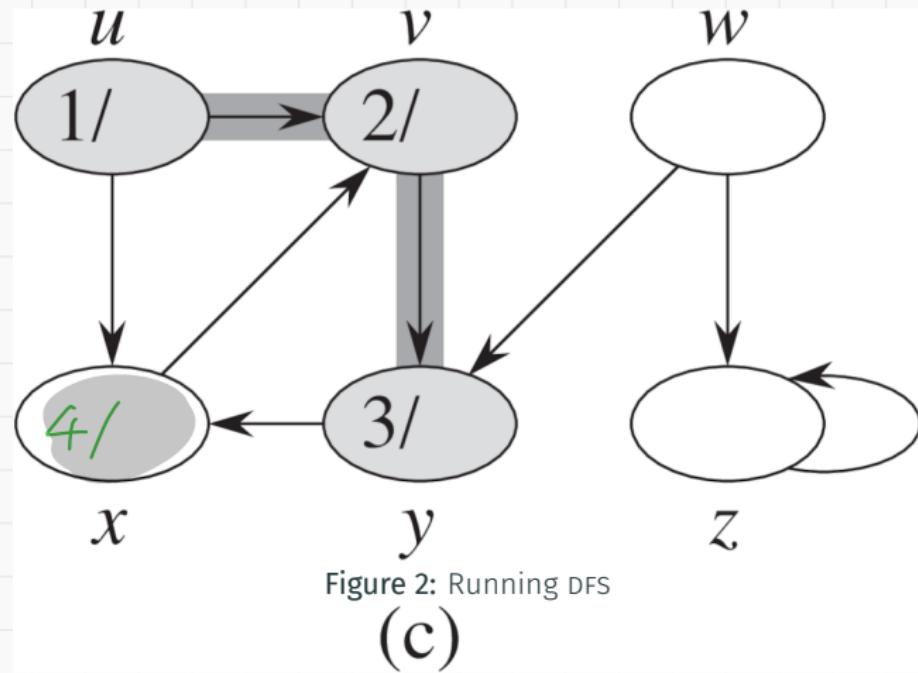


## EXAMPLE RUNNING OF DFS

This edge has been explored.



## EXAMPLE RUNNING OF DFS



## EXAMPLE RUNNING OF DFS

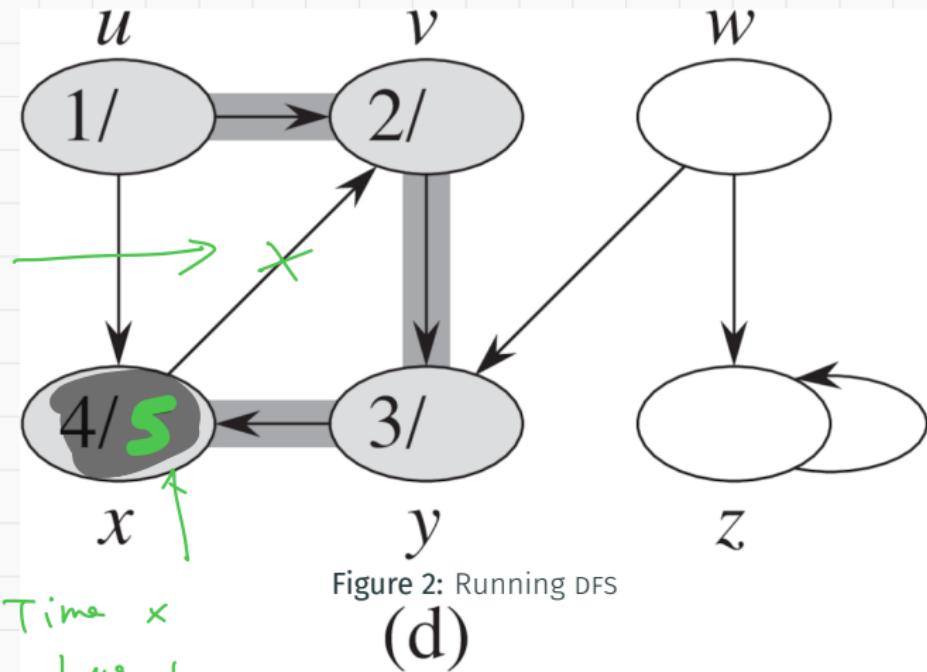
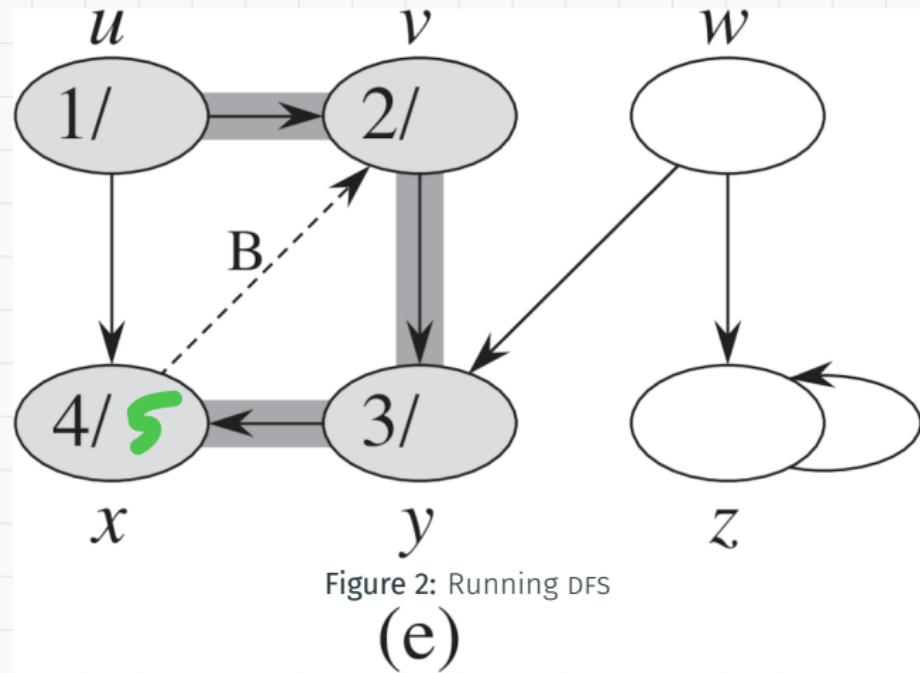


Figure 2: Running DFS  
(d)

## EXAMPLE RUNNING OF DFS



## EXAMPLE RUNNING OF DFS

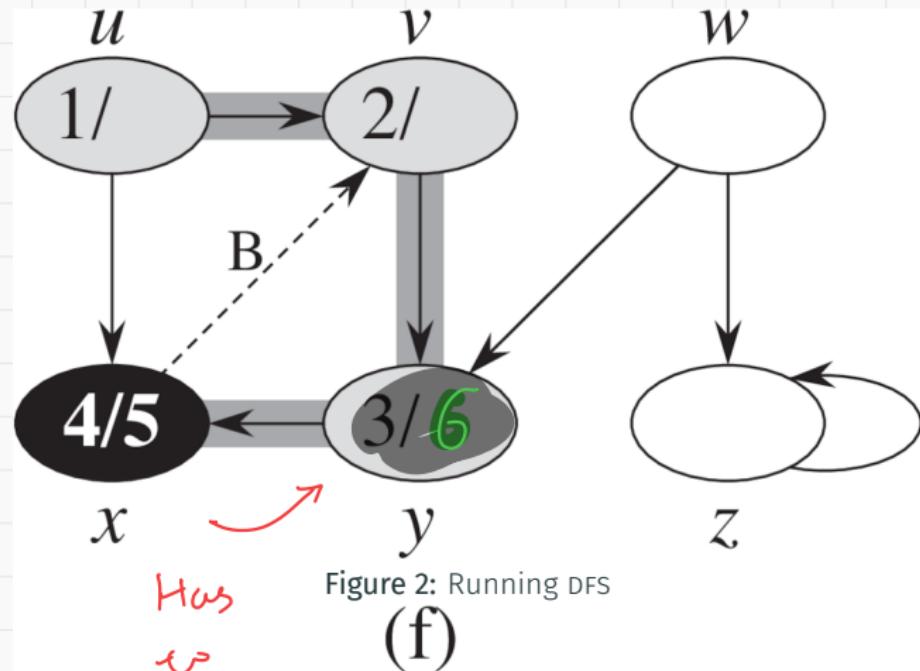
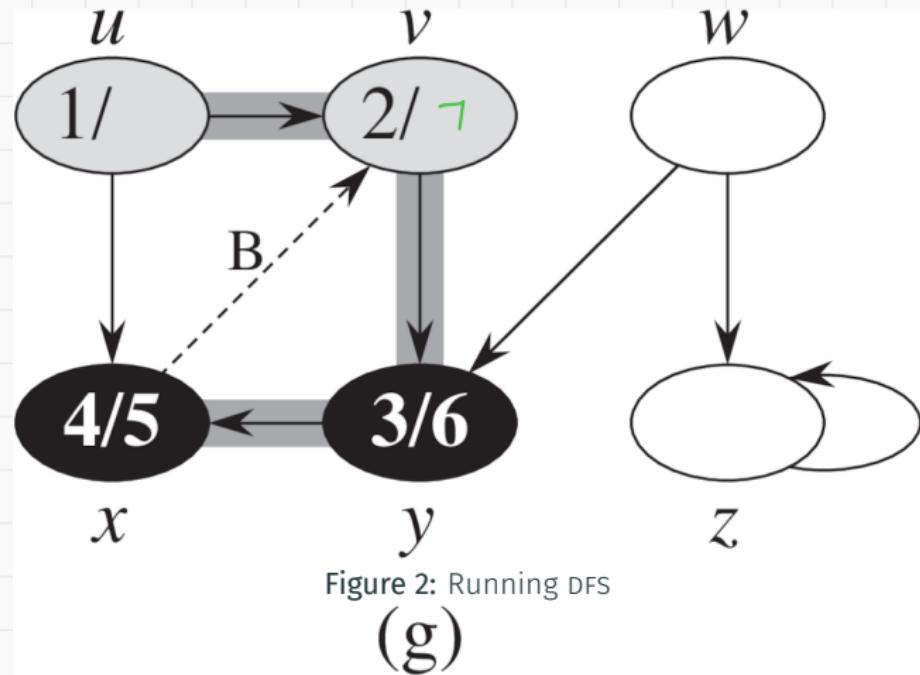


Figure 2: Running DFS

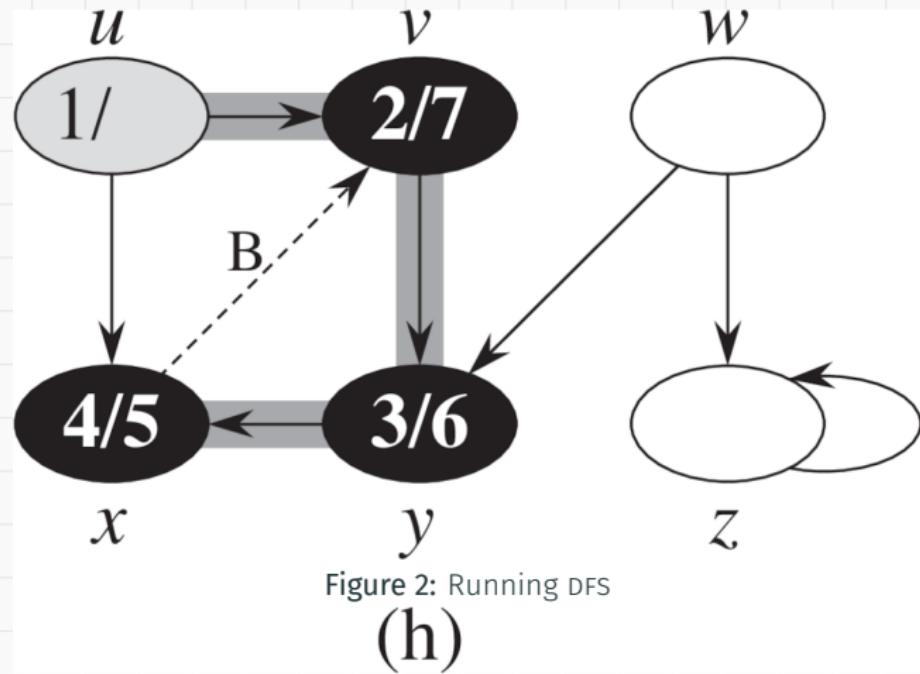
(f)

Has  
 $\rightarrow$   
go back

## EXAMPLE RUNNING OF DFS

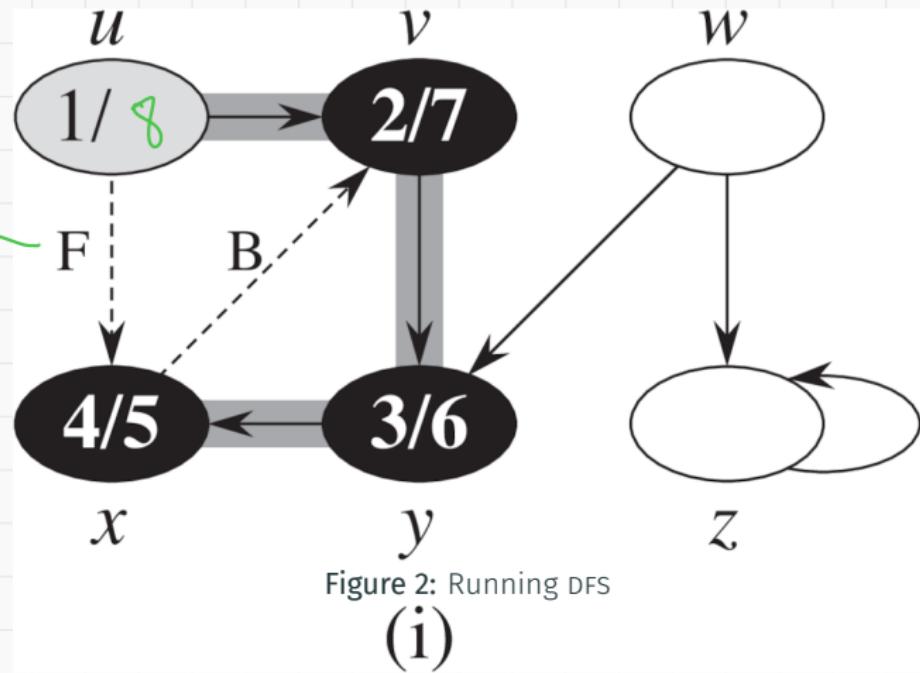


## EXAMPLE RUNNING OF DFS

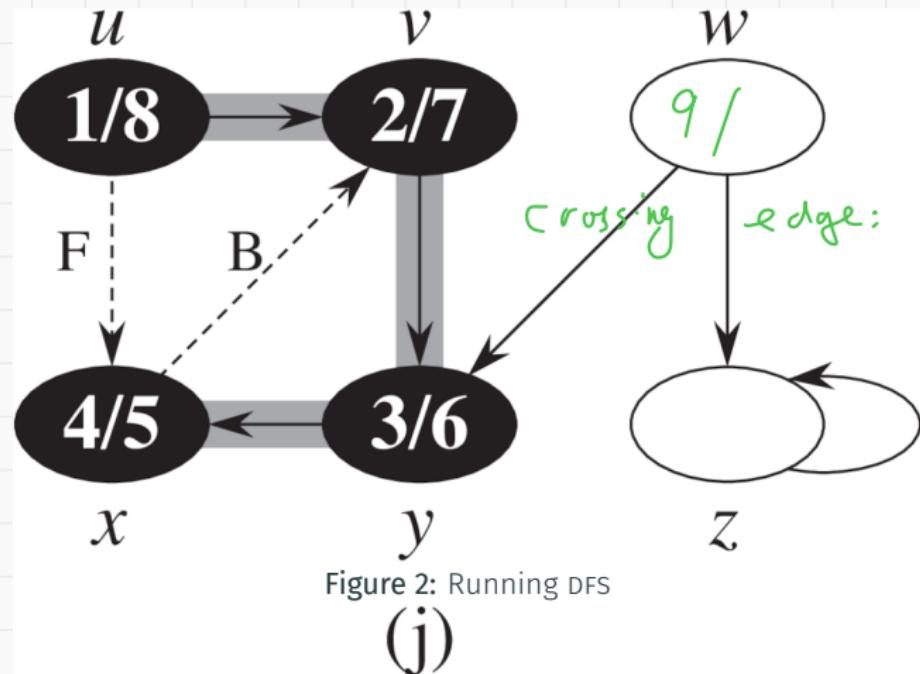


## EXAMPLE RUNNING OF DFS

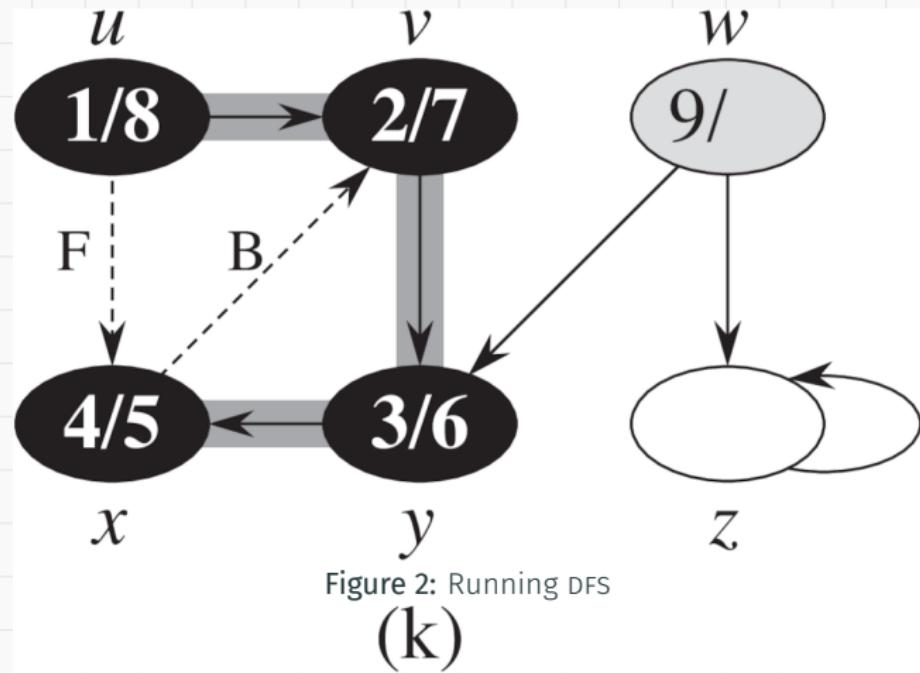
Forward edge:  
Do not follow.



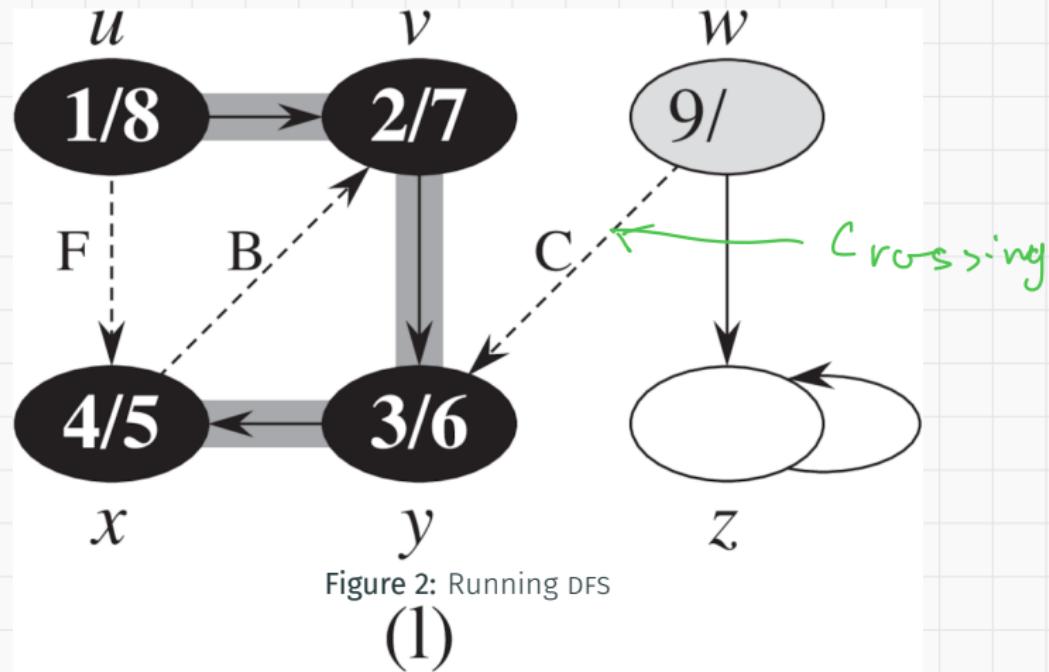
## EXAMPLE RUNNING OF DFS



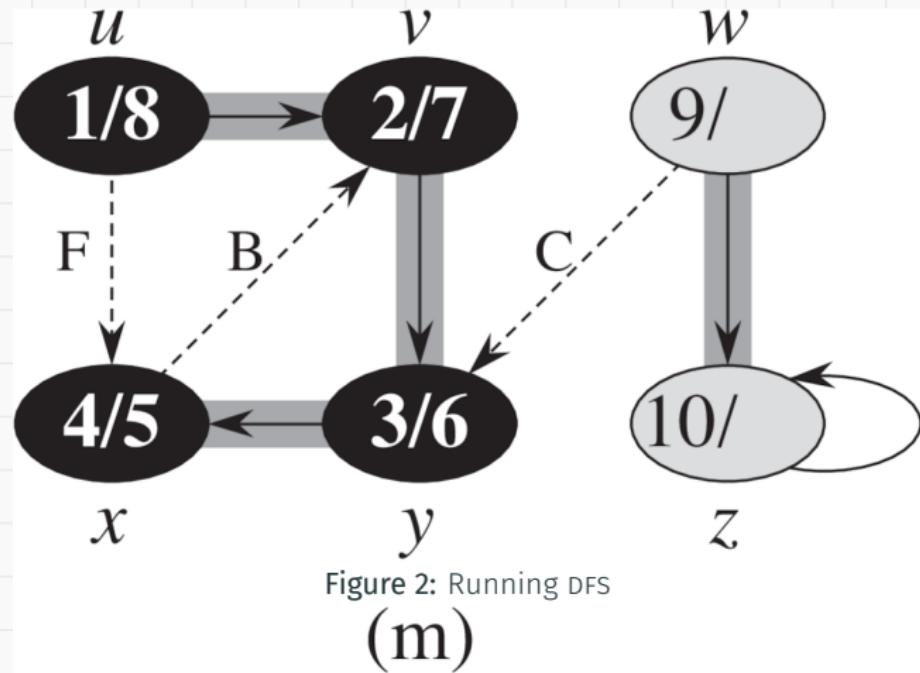
## EXAMPLE RUNNING OF DFS



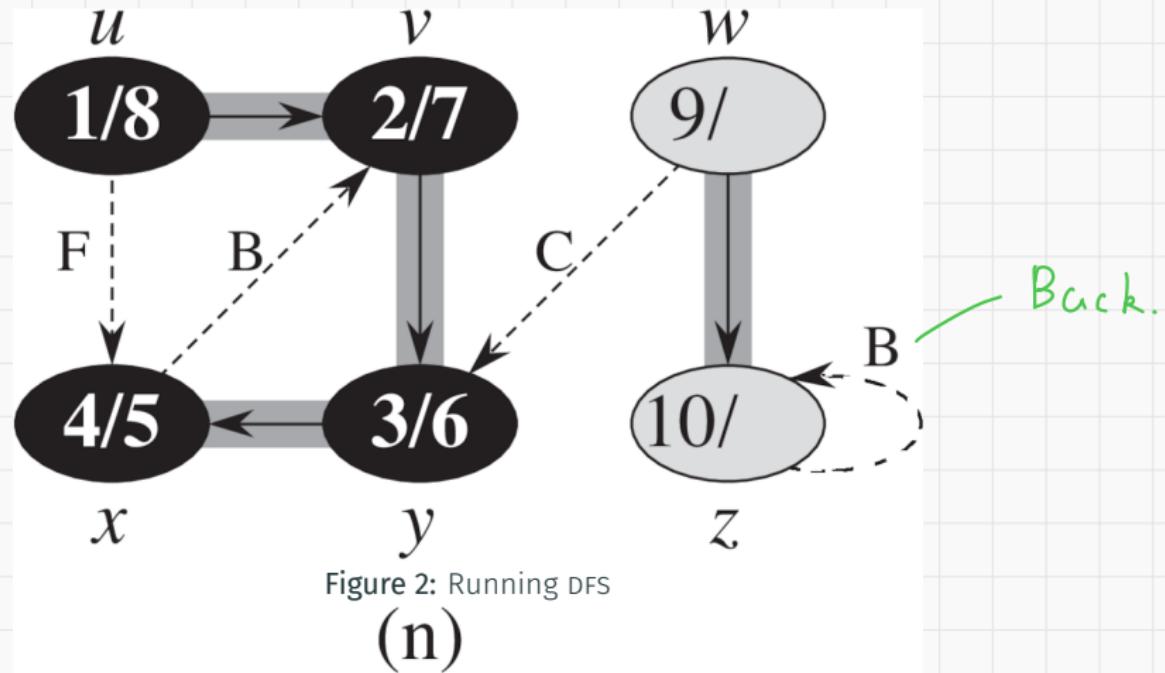
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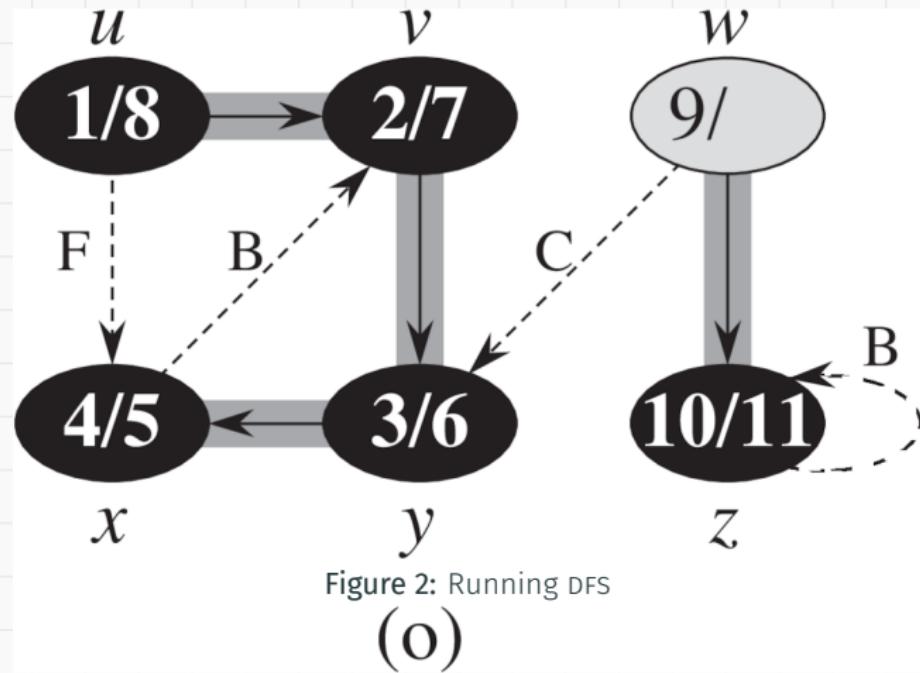
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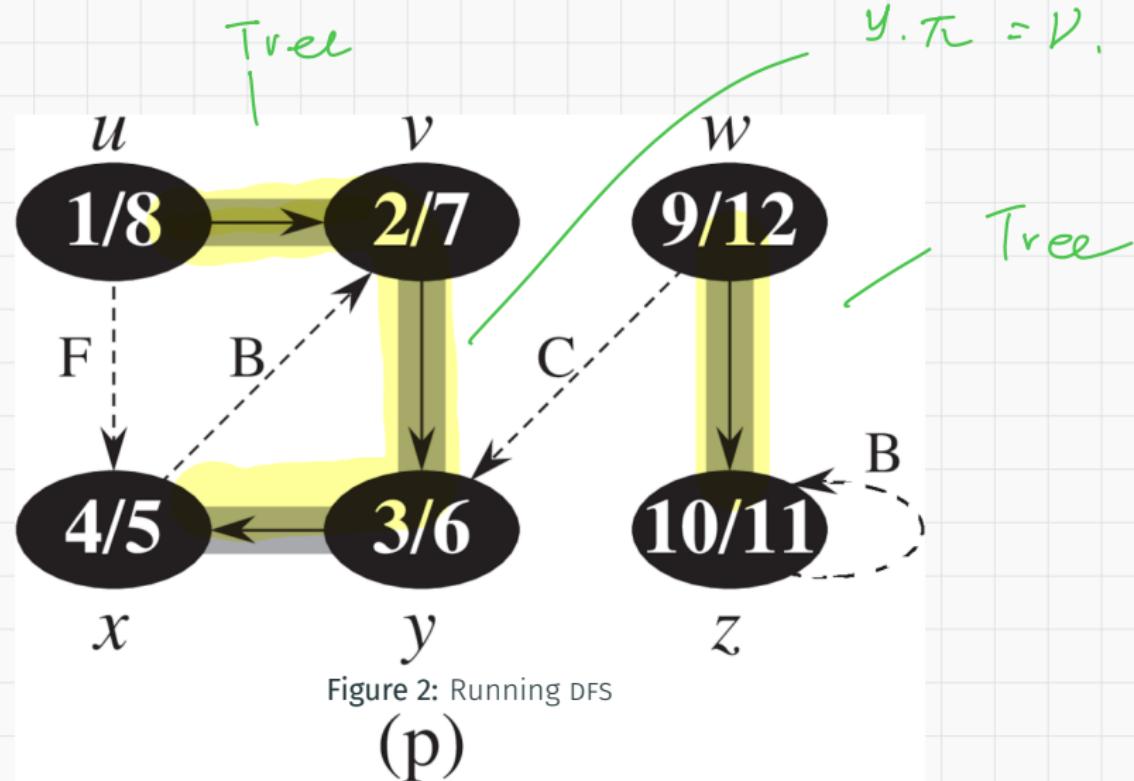
## EXAMPLE RUNNING OF DFS



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## EXAMPLE RUNNING OF DFS



## PSEUDOCODE

```
1: DFS( $G$ )
2: for each vertex  $u \in G.V$  do
3:    $u.\text{color} \leftarrow \text{WHITE}$     ↳ Not discovered.
4:    $u.\pi \leftarrow \text{NIL}$     ↳ It's parent in DFS forest
5:  $time \leftarrow 0$ 
6: for each vertex  $u \in G.V$  do    ↳ Repeat DFS until
7:   if  $u.\text{color} == \text{WHITE}$  then    all vertices are
8:     DFS-VISIT( $G, u$ )    ↳ Do a DFS from  $u$       black.
```

🎂 The 🕒 complexity?

## PSEUDOCODE

```
1: DFS( $G$ )
2: for each vertex  $u \in G.V$  do
3:    $u.color \leftarrow \text{WHITE}$ 
4:    $u.\pi \leftarrow \text{NIL}$ 
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7:   if  $u.color == \text{WHITE}$  then
8:     DFS-VISIT( $G, u$ )
```

🎂 The 🕒 complexity?

$u$  has been discovered.

Found a white node.

We discover  $v$  from  $u$ .

```
1: DFS-VISIT( $G, u$ )
2:  $time \leftarrow time + 1$  ↗ The clock.
3:  $u.d \leftarrow time$  ↗ The discover time
4:  $u.color \leftarrow \text{GRAY}$ 
5: for each  $v \in G.Adj[u]$  do
6:   if  $v.color == \text{WHITE}$  then
7:      $v.\pi \leftarrow u$ 
8:     DFS-VISIT( $G, v$ )
9:    $u.color \leftarrow \text{BLACK}$  ↗  $u$  has been processed.
10:  $time \leftarrow time + 1$ 
11:  $u.f \leftarrow time$ 
Finishing time  $u$ .
```

# 🤔 THE PARENTHESIS STRUCTURE

🍰 Do you see a pattern?

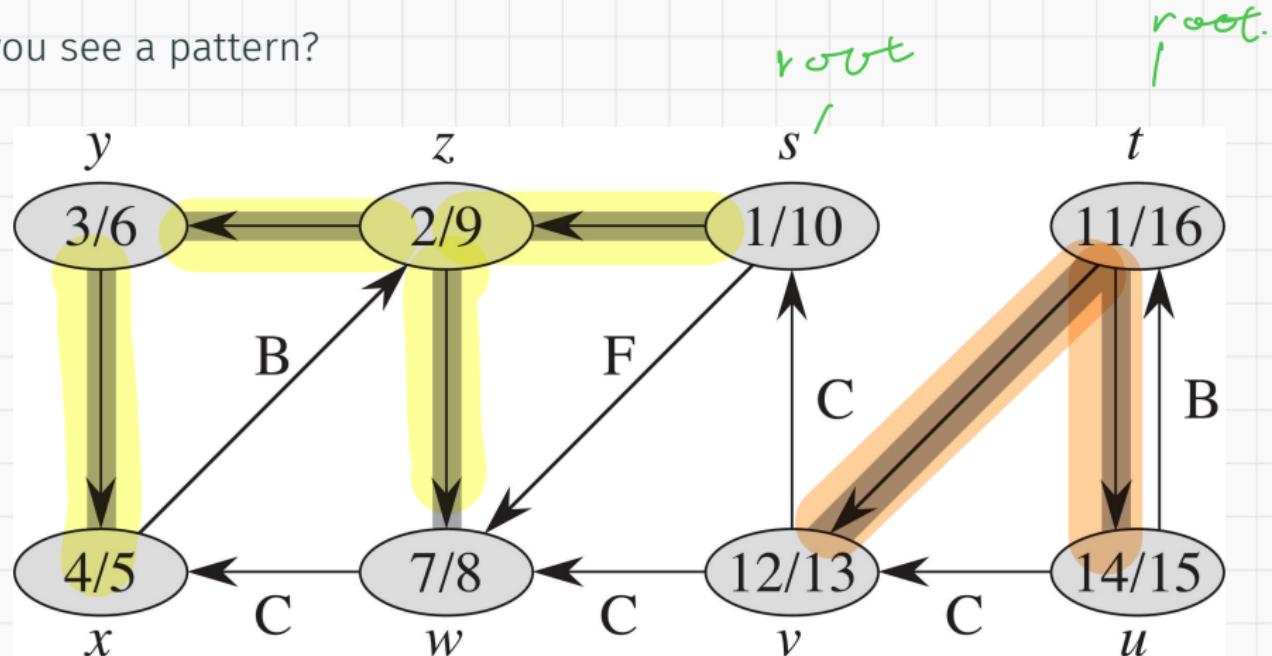


Figure 3: The result of running DFS

# 🤔 THE PARENTHESIS STRUCTURE

🍰 Do you see a pattern?

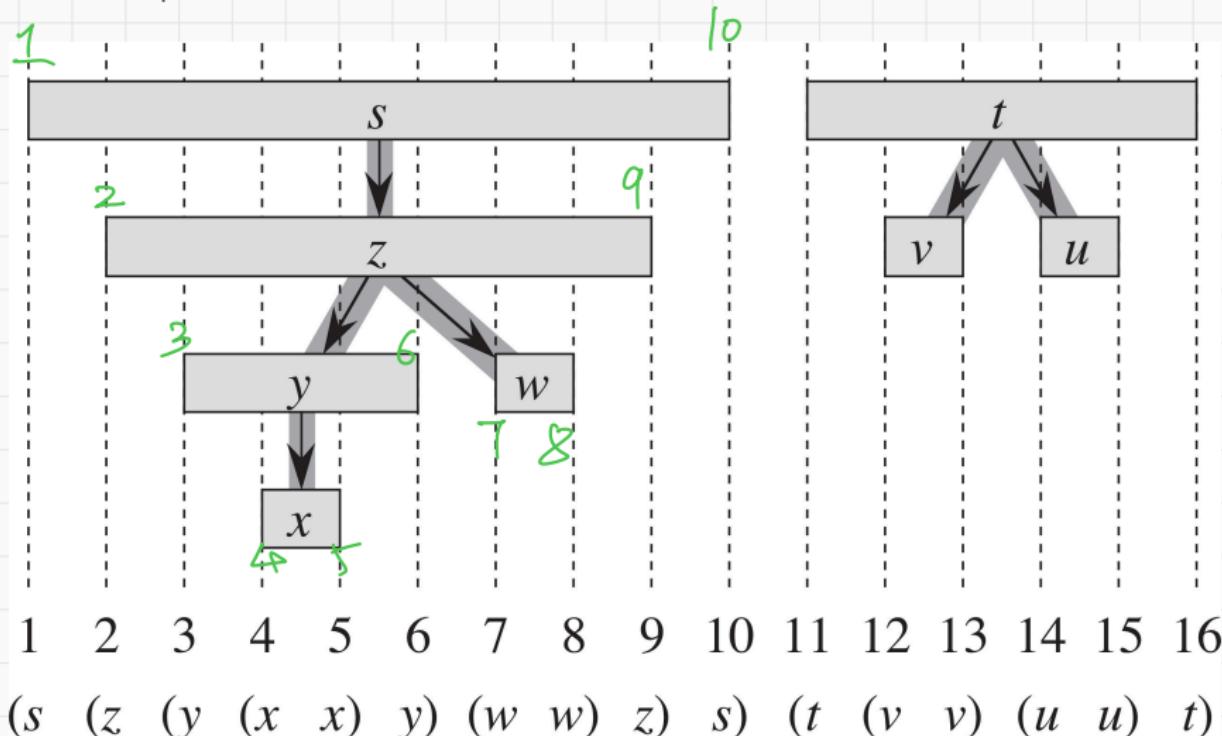


Figure 3: The intervals of discovery and finishing times

## PREDECESSOR SUBGRAPH

For a graph  $G = (V, E)$ , we define the **predecessor subgraph** of a DFS as  
 $G_\pi = (V_\pi, E_\pi)$ , where

$$V_\pi = V$$

and

$$E_\pi = \{(v.\pi, v) : v \in V_\pi, v.\pi \neq \text{NIL}\}.$$

$G_\pi$  for DFS forms a **depth-first forest** comprising **depth-first trees**.

- 💡 We get a **forest** in DFS instead of a tree in BFS.

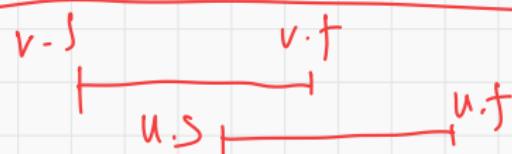
## THEOREM 20.7

In a DFS, for vertices  $u$  and  $v$ , exactly one holds. If  $u$  is a descendant of  $v$ , then  $[u.d, u.f]$  lies within  $[v.d, v.f]$ . If  $v$  is a descendant of  $u$ , then  $[v.d, v.f]$  lies within  $[u.d, u.f]$ . Otherwise the intervals are disjoint and neither is a descendant.

case 1



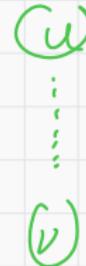
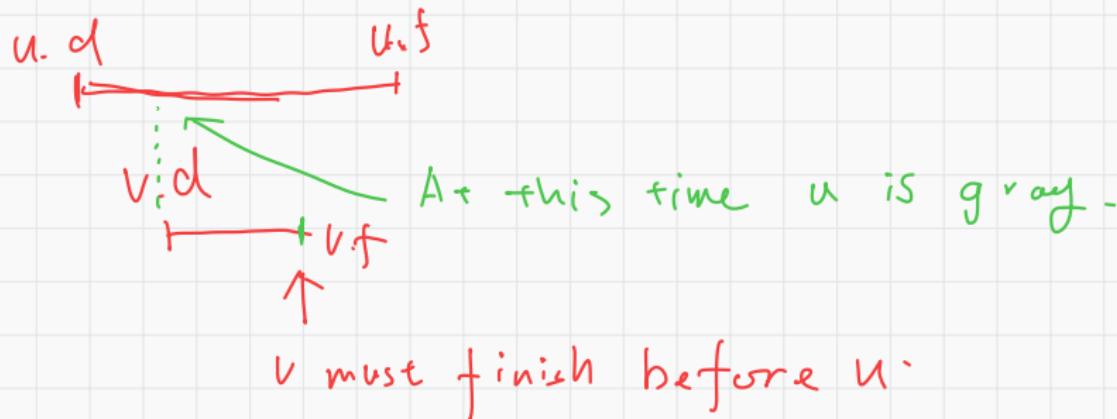
case 2 ..



Not possible.

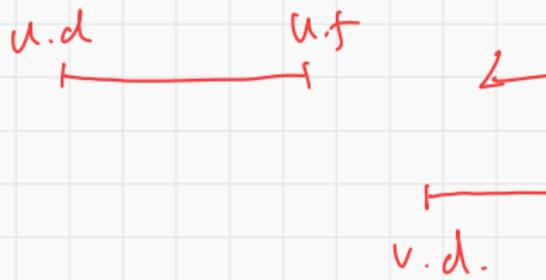
## THEOREM 20.7

**Proof.** Assume  $u.d < v.d$ . If  $v.d < u.f$ , then  $v$  is discovered while  $u$  is gray, so  $v$  is a descendant of  $u$ , and  $v$  finishes before  $u$ ; hence  $[v.d, v.f] \subseteq [u.d, u.f]$ . If  $u.f < v.d$ , then  $u$  finishes before  $v$  is discovered, so the intervals are disjoint and neither is a descendant of the other. The case  $v.d < u.d$  is symmetric.  $\square$



## THEOREM 20.7

**Proof.** Assume  $u.d < v.d$ . If  $v.d < u.f$ , then  $v$  is discovered while  $u$  is gray, so  $v$  is a descendant of  $u$ , and  $v$  finishes before  $u$ ; hence  $[v.d, v.f] \subseteq [u.d, u.f]$ . If  $u.f < v.d$ , then  $u$  finishes before  $v$  is discovered, so the intervals are disjoint and neither is a descendant of the other. The case  $v.d < u.d$  is symmetric.  $\square$



## THEOREM 20.7

### Corollary 20.8

$u \neq v$ .

Vertex  $v$  is a *proper* descendant of vertex  $u$  in the depth-first forest if and only if  $u.d < v.d < v.f < u.f$ .

- 💡 In other words, by looking at the intervals, we will be able to reconstruct the predecessor graph.

## THEOREM 20.9 — WHITE PATH THEOREM

In a depth-first forest, vertex  $v$  is a descendant of vertex  $u$  if and only if at the time  $u.d$ , there is a path from  $u$  to  $v$  consisting entirely of white vertices.

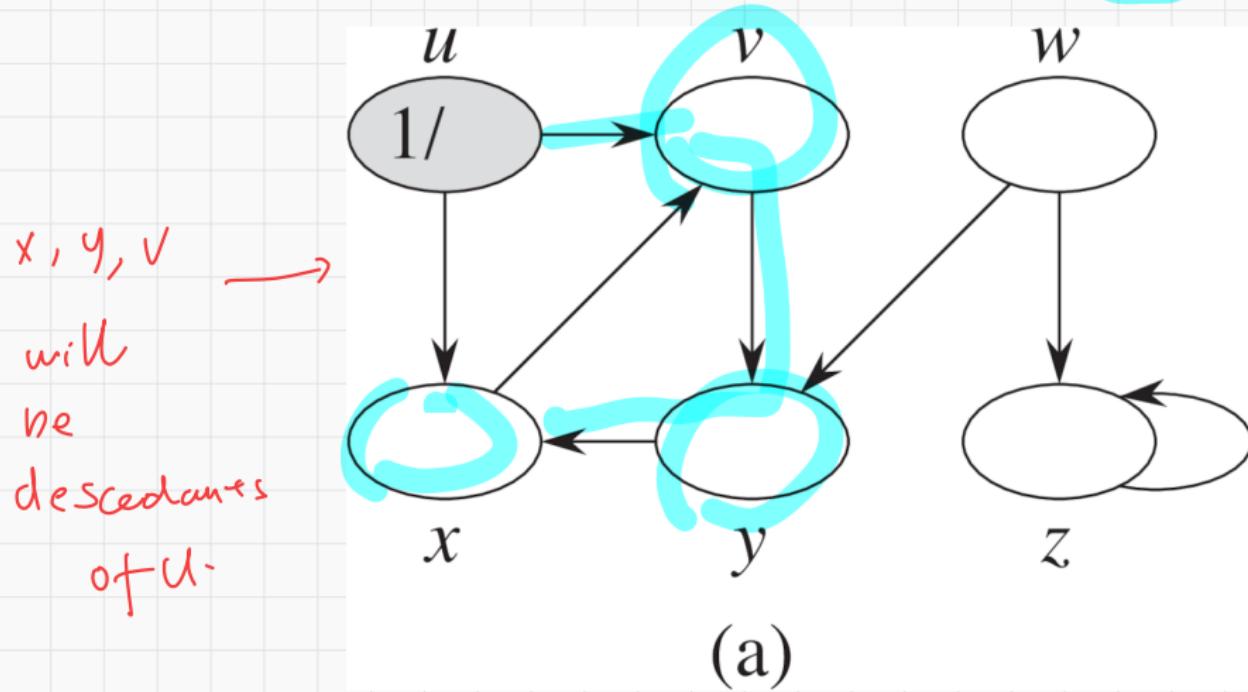


Figure 4: 🎂 Which vertices will be descendants of  $s$ ?

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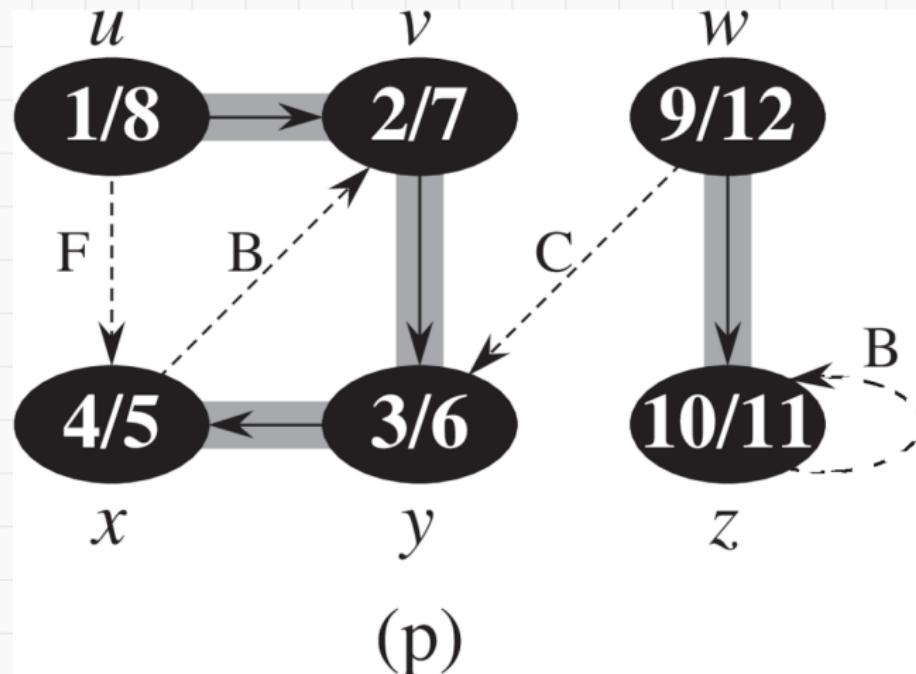


Figure 4: 🎉 Which vertices will be descendants of  $s$ ?

## THEOREM 20.9 — WHITE PATH THEOREM

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**Proof Outline of  $\Rightarrow$**  — Suppose  $v$  is a descendant of  $u$  in the depth-first forest. If  $v = u$ , the path from  $u$  to  $v$  is just  $u$ , which is white at time  $u.d$ .

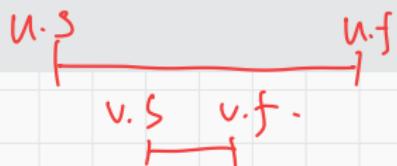
Otherwise,  $v$  is a proper descendant, so by Corollary 20.8 we have  $u.d < v.d < v.f < u.f$ , and  $v$  is white at time  $u.d$ .

Every vertex on the unique simple path from  $u$  to  $v$  in the depth-first forest is discovered after  $u$ , so all are white at time  $u.d$ .

### Corollary 20.8

Vertex  $v$  is a *proper* descendant of vertex  $u$  in the depth-first forest for if and only if

$$u.d < v.d < v.f < u.f.$$



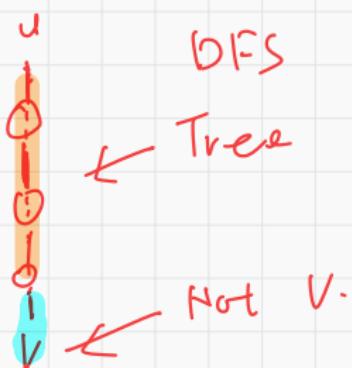
u  
|  
v.

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Proof of  $\Leftarrow$  — Suppose there exists a path of white vertices from  $u$  to  $v$  at time  $u.d$ , but  $v$  is not a descendant of  $u$  in the depth-first tree.

We can assume every vertex on this path, except  $v$ , becomes a descendant of  $u$ .



If not, pick one closer  
to  $u$ .

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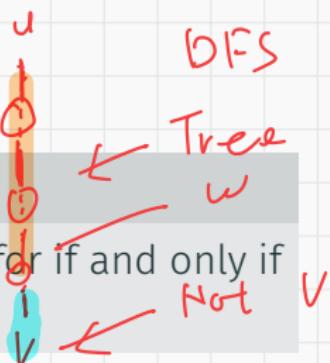
We can assume every vertex on this path, except  $v$ , becomes a descendant of  $u$ .

Let  $w$  be the closest vertex to  $v$  along the path.

Since  $w$  is a descendant of  $u$ ,  $w.f < u.f$  by Corollary 20.8.

### Corollary 20.8

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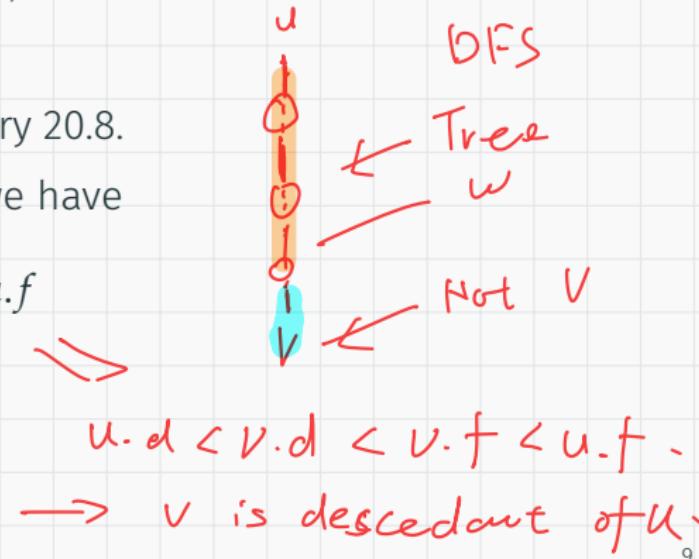
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As  $v$  is discovered after  $u$  and before  $w$  finishes, we have

$$\left. \begin{array}{c} \text{Impossible} \\ \{ \end{array} \right\} \quad \begin{array}{c} u.d & \quad v.d \\ \xrightarrow{\quad} & \quad \xrightarrow{\quad} \\ u.f & \quad w.f \\ \xrightarrow{\quad} & \quad \xrightarrow{\quad} \\ v.d & \quad v.f \\ \xrightarrow{\quad} & \quad \xrightarrow{\quad} \end{array} \quad \begin{array}{c} u.d < v.d < w.f < u.f \\ \Rightarrow \\ u.d < v.d < v.f < u.f \end{array}$$



## THEOREM 20.9 — WHITE PATH THEOREM

In a depth-first forest, vertex  $v$  is a descendant of vertex  $u$  if and only if at the time  $u.d$ , there is a path from  $u$  to  $v$  consisting entirely of white vertices.

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We can assume every vertex on this path, except  $v$ , becomes a descendant of  $u$ .

Let  $w$  be the closest vertex to  $v$  along the path.

Since  $w$  is a descendant of  $u$ ,  $w.f < u.f$  by Corollary 20.8.

As  $v$  is discovered after  $u$  and before  $w$  finishes, we have

$$u.d < v.d < w.f < u.f$$

By Theorem 20.7, this implies that

$$u.d < v.d < v.f < u.f$$

implying  $v$  is indeed a descendant of  $u$ , a contradiction.

## FOUR TYPES OF EDGES

We can categorize edges encountered in a DFS into four distinct types:

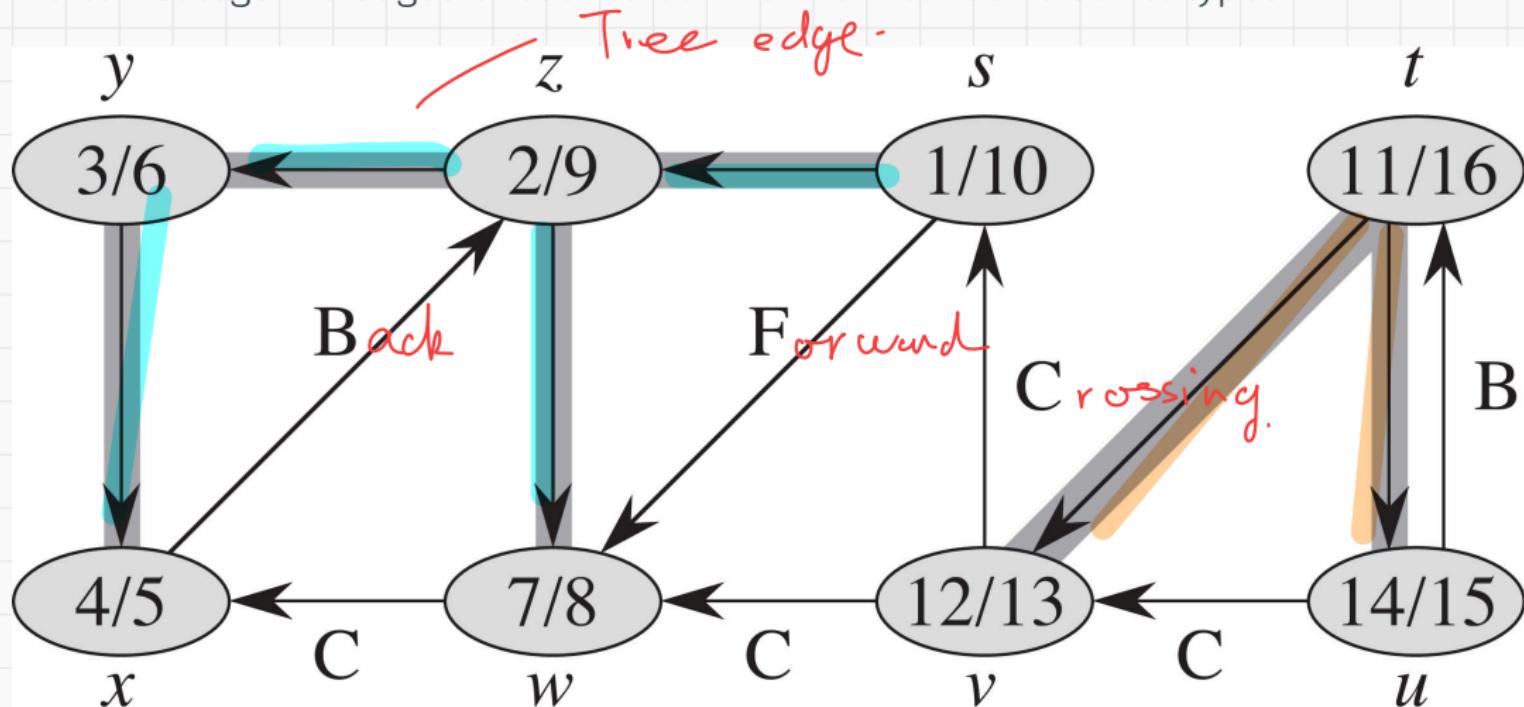


Figure 4: The four types of edges – tree, back, forward, and cross

## DISTINGUISH TYPES OF EDGES

When we first explore an edge  $(a, b)$ , the color of vertex  $b$  tells us something about the edge –

- white indicates a tree edge,

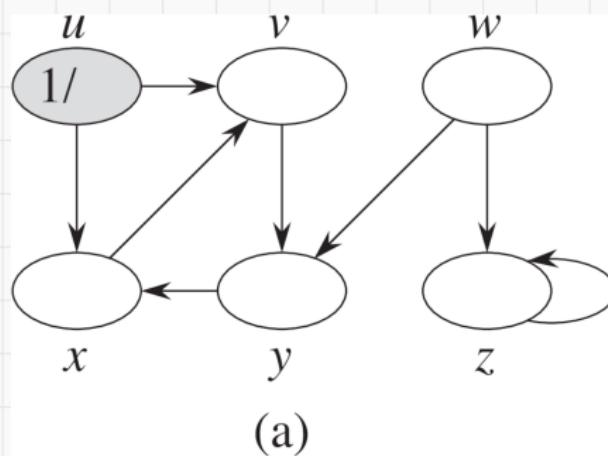


Figure 5: A tree edge

## DISTINGUISH TYPES OF EDGES

When we first explore an edge  $(a, b)$ , the color of vertex  $b$  tells us something about the edge –

- white indicates a tree edge,
- gray indicates a back edge, and

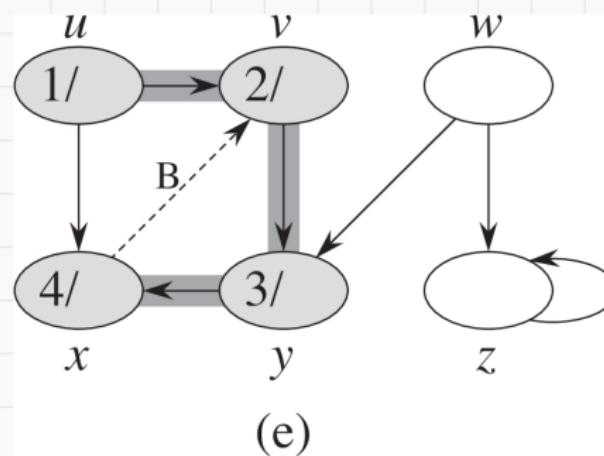


Figure 5: A back edge

## DISTINGUISH TYPES OF EDGES

When we first explore an edge  $(a, b)$ , the color of vertex  $b$  tells us something about the edge –

- \_\_\_\_\_ indicates a tree edge,
- \_\_\_\_\_ indicates a back edge, and
- black indicates a forward edge.

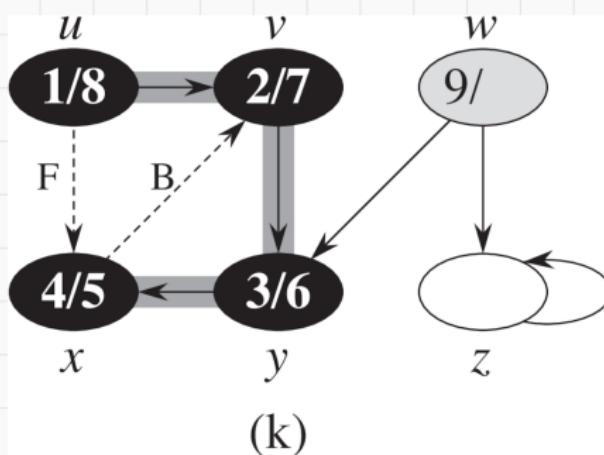


Figure 5: A forward edge

## DISTINGUISH TYPES OF EDGES

When we first explore an edge  $(a, b)$ , the color of vertex  $b$  tells us something about the edge –

- \_\_\_\_\_ indicates a tree edge,
  - \_\_\_\_\_ indicates a back edge, and
  - black indicates a forward or cross edge.
- By intervals.

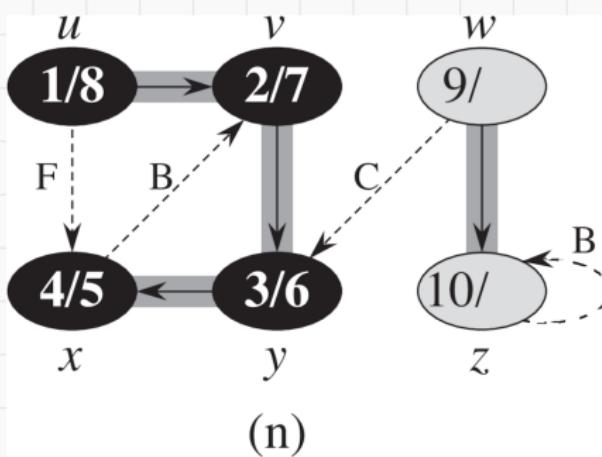


Figure 5: A cross edge

## THEOREM 20.10

In a DFS search of an undirected graph  $G$ , every edge is either a tree edge or a back edge.

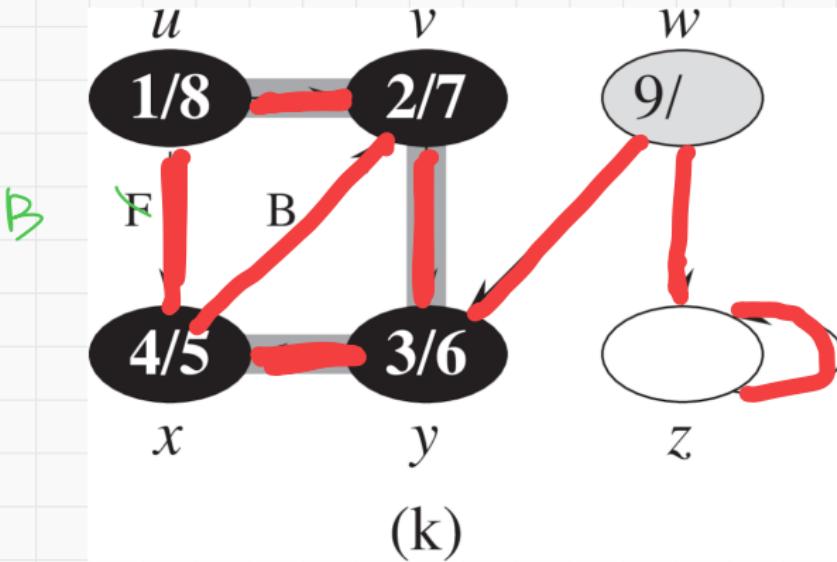


Figure 6: Not happening in an undirected graph

## THEOREM 20.10

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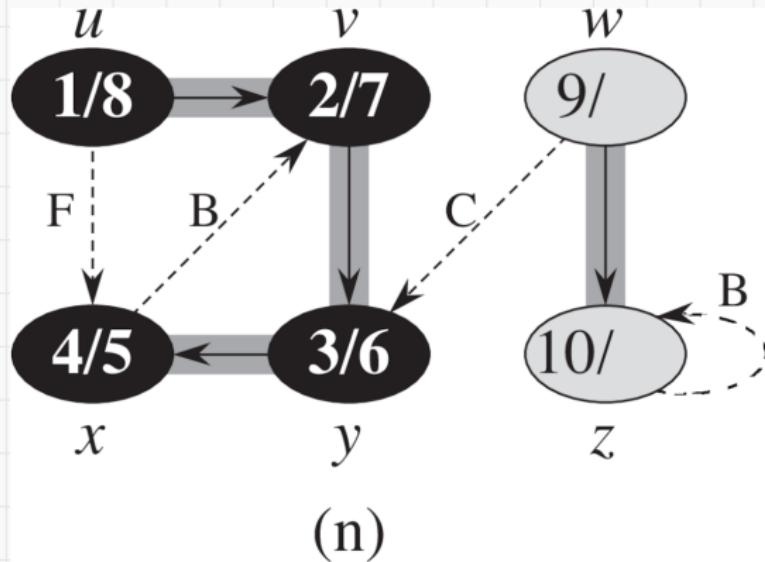
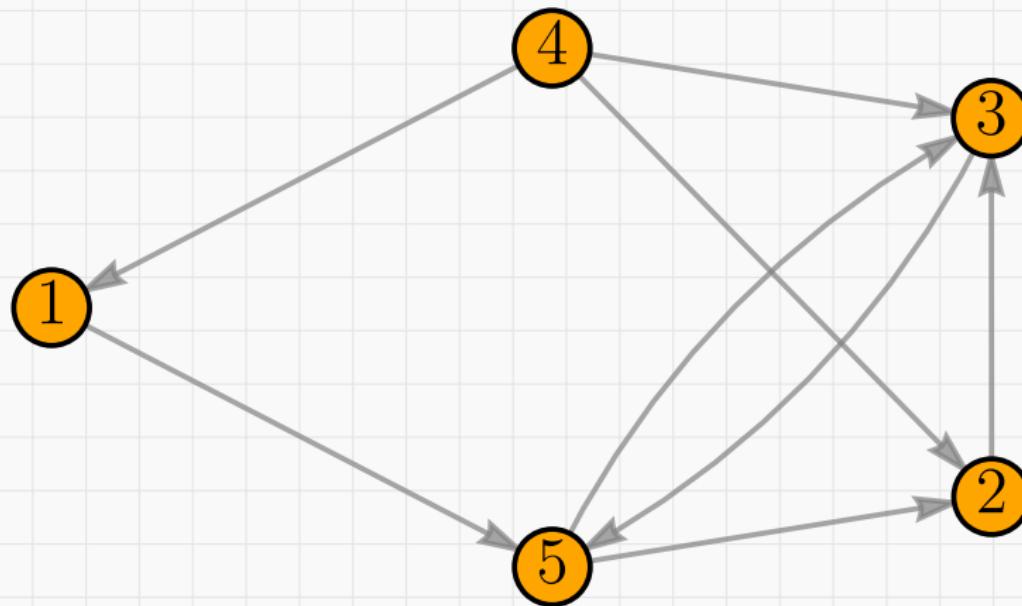


Figure 6: Not happening in an undirected graph

If we start with a DFS search on the following graph, starting from vertex 1, what is the finishing time of vertex 4?



## ITA 20.4 TOPOLOGICAL SORT

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## SEQUENCING TASKS – THE RIGHT ORDER MATTERS

Imagine a 🐰 that wakes up late for class.

The 🐰 must put on its clothes, but some items must be worn before others.

For instance, 🧦 should be on before 🧵.

What sequence allows the 🐰 to dress in a correct order?



Figure 7: A bunny getting ready in haste

## DAGS IN TASK SCHEDULING

A **Directed Acyclic Graph (DAG)** is a digraph without cycles.

It can be used to represent the dependencies between tasks, as the dependencies of a task should not be circular.

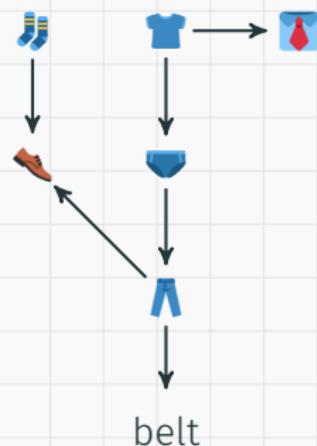


Figure 8: Example of a DAG

## TOPOLOGICAL SORTING VIA DEPTH-FIRST SEARCH

Topological sorting arranges a directed graph's vertices **linearly**, ensuring that for every directed edge  $u \rightarrow v$ , vertex  $u$  precedes  $v$ .

This ordering facilitates determining a sequence to execute tasks without violating dependencies.

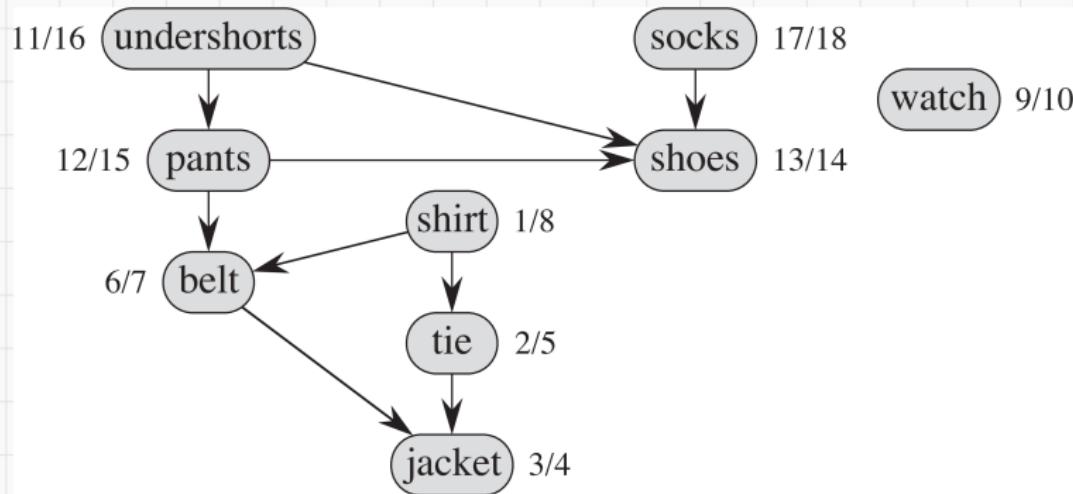


Figure 9: Illustration of Topological Sorting

## TOPOLOGICAL SORTING VIA DEPTH-FIRST SEARCH

Implementing this involves performing a DFS and positioning the vertices in descending order of their finish times.

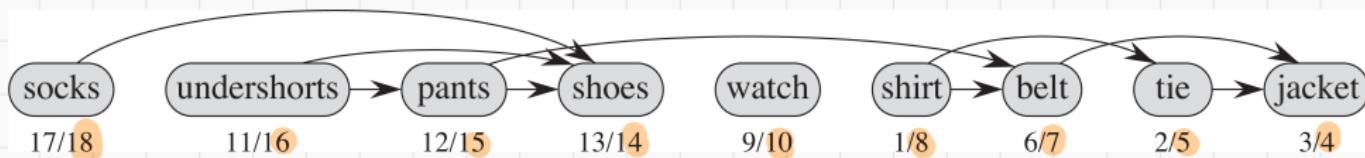


Figure 9: Illustration of Topological Sorting

# THE CORRECTNESS OF THE ALGORITHM

## Lemma 20.11

A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges.

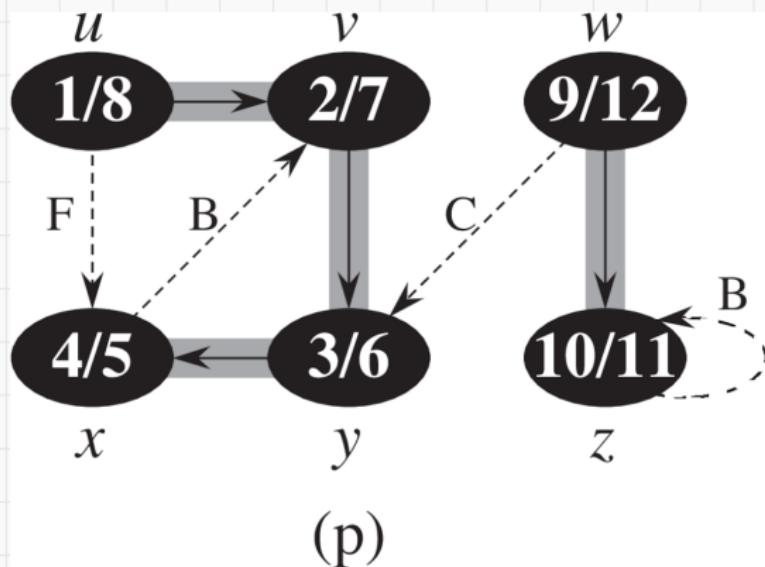


Figure 10: 🎂 How to make this digraph a DAG

# THE CORRECTNESS OF THE ALGORITHM

## Lemma 20.11

A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges.

## Theorem 20.12

The following TOPOLOGICAL-SORT produces a topological sort of  $G$  if it is a DAG.

### Topological-Sort( $(G)$ )

DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$

as each vertex is finished, insert it onto the front of a linked list

**return** the linked list of vertices

☺ Proof not required.

SCC

## ITA 20.5 STRONGLY CONNECTED COMPONENTS

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# STRONGLY CONNECTED COMPONENTS

An **Strongly Connected Component (scc)** is a maximal subgraph of a directed graph  $G$  in which **every vertex is reachable from every other vertex**.

Many graph algorithms first decompose a graph into sccs.

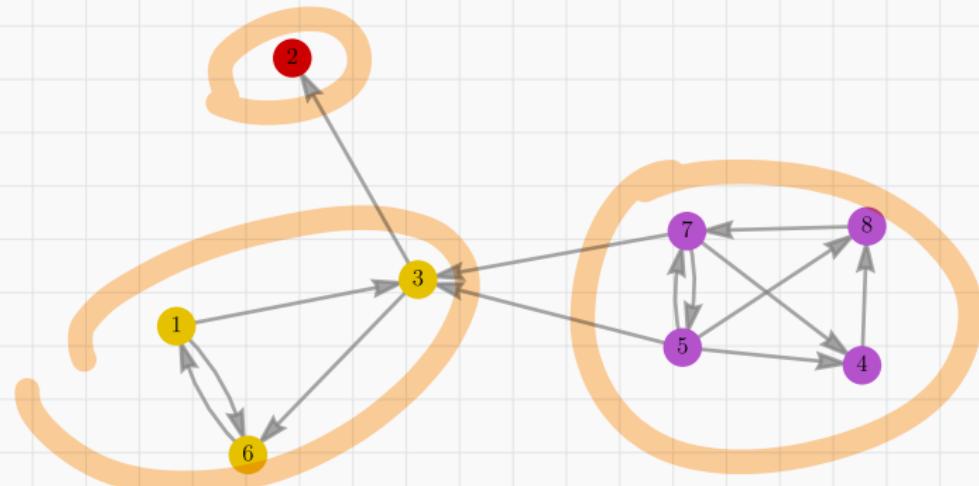


Figure 10: 🚀 Example of sccs

## THE transpose of a directed graph

Given a directed graph  $G$ , the transpose of  $G$  is the directed graph  $G^T$  in which every edge is reversed.

🎂 Why do  $G$  and  $G^T$  have the same sccs?

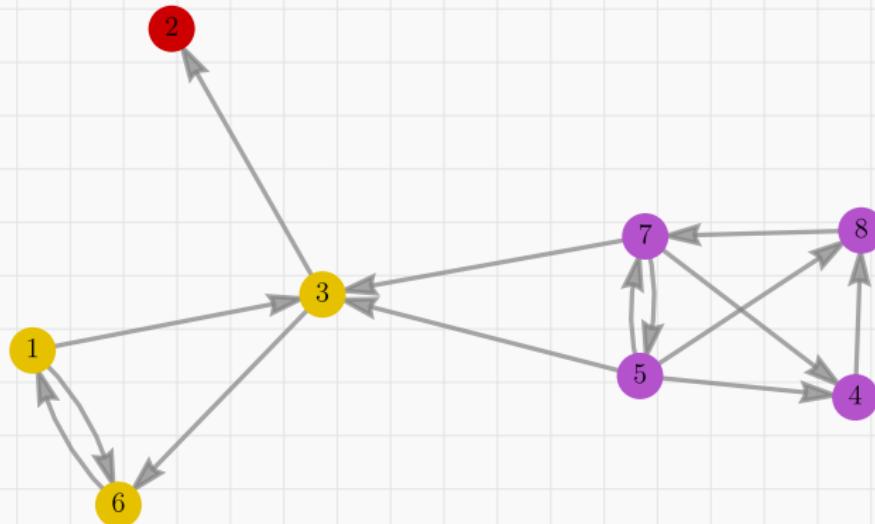


Figure 11:  $G$

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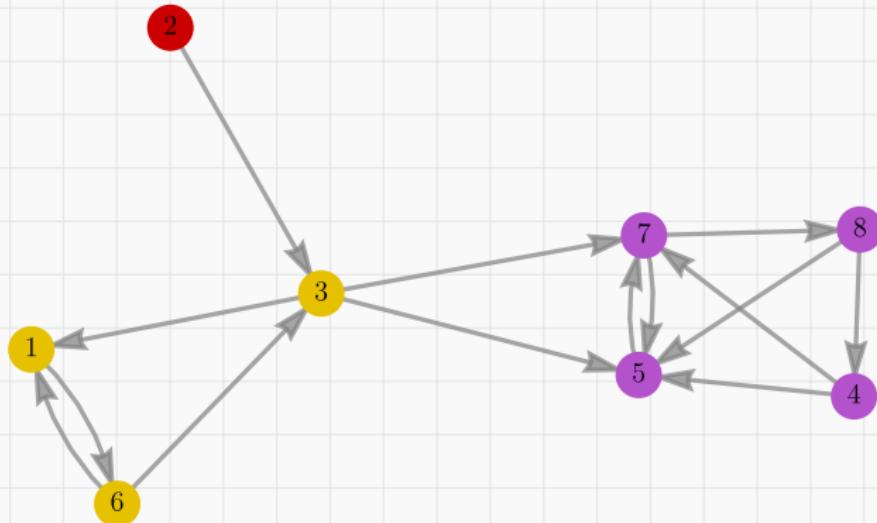
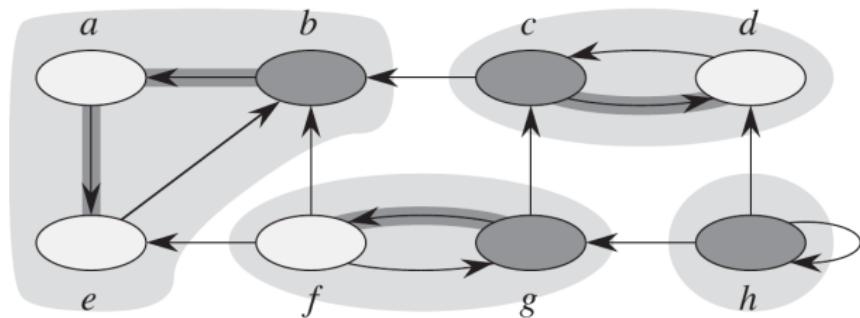
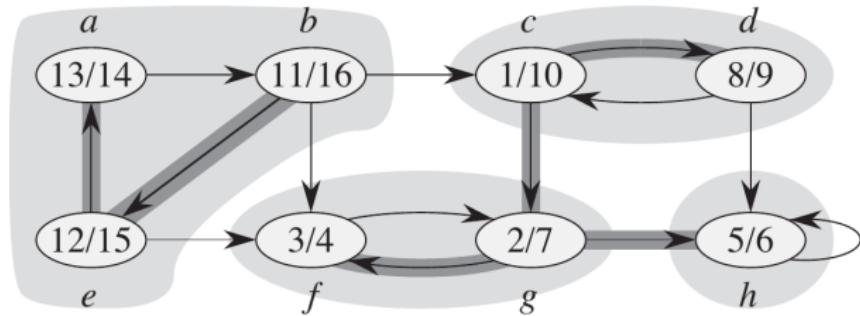


Figure 11:  $G^T$

## EXAMPLE OF FINDING SCC



## IDEA OF THE ALGORITHM

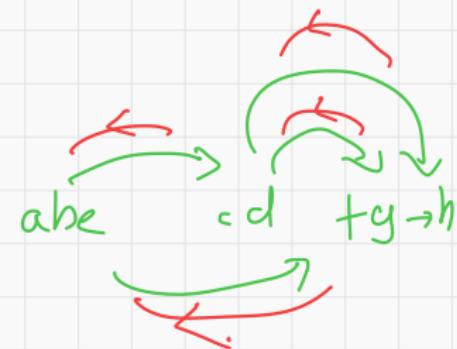
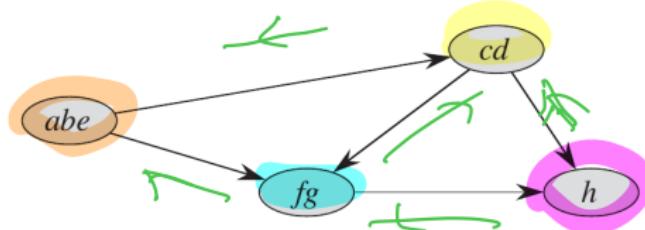
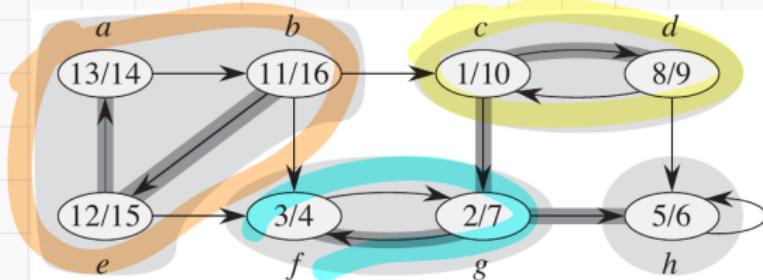
If we contract each scc into a single vertex, then the result is a DAG, which we call the **component graph** of  $G$  and denote it by  $G^{scc}$ .

🎂 Why is  $G^{scc}$  a DAG?

Directed

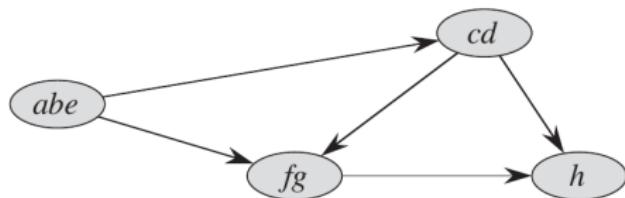
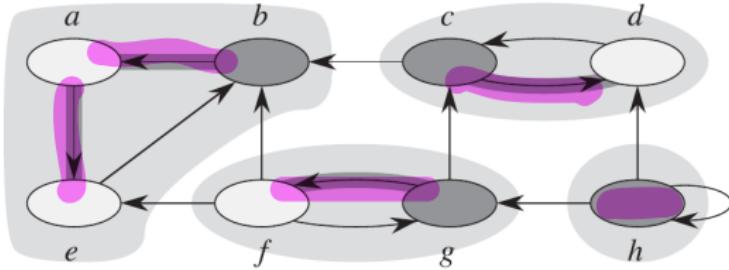
Acylic

Graph-



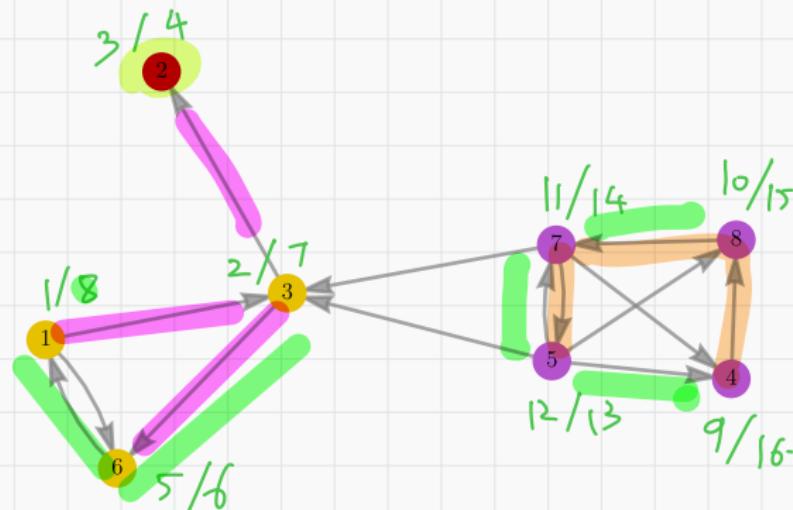
## IDEA OF THE ALGORITHM

💡 The second DFS essentially visits the SCCs in topologically sorted order of  $G^{scc}$ .



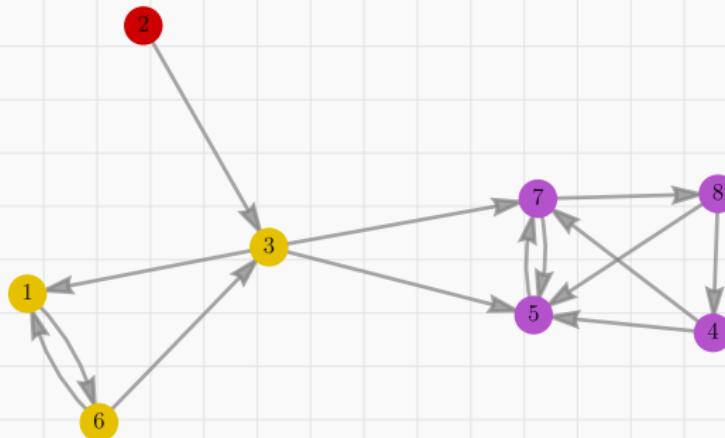
## USING DFS TO FIND SCC

- 1: Strongly-Connected-Components( $G$ )
- 2: DFS( $G$ ) to compute finishing times  $u.f$  for each vertex  $u$
- 3: Compute  $G^T$
- 4: DFS( $G^T$ ), but in the main loop of DFS, consider the vertices in order of decreasing  $u.f$  (as computed in line 2)
- 5: Output the vertices of each tree in the depth-first forest formed in line 4 as a separate strongly connected component



## USING DFS TO FIND SCC

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### Lemma 20.13

Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G = (V, E)$ , let  $u, v \in C$ , let  $u', v' \in C'$ , and suppose that  $G$  contains a path  $u \rightarrow u'$ . Then  $G$  cannot also contain a path  $v' \rightarrow v$ .

 THE CORRECTNESS OF THE ALGORITHM

We define **finishing time** for an scc  $C$

$$f(C) = \max_{u \in C} u.f$$

#### Lemma 20.14

Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G = (V, E)$ . Suppose that there is an edge  $(u, v) \in E$ , where  $u \in C$  and  $v \in C'$ . Then  $f(C) > f(C')$ .

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## Corollary 20.15

Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G = (V, E)$ . Suppose that there is an edge  $(u, v) \in E^T$ , where  $u \in C$  and  $v \in C'$ . Then  $f(C) < f(C')$ .

 THE CORRECTNESS OF THE ALGORITHM

## Theorem 20.16

The procedure STRONGLY-CONNECTED-COMPONENTS correctly computes the strongly connected components of the directed graph  $G$  provided as its input.

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The proof uses induction on the number of depth-first trees formed during the DFS of  $G^T$ .

The base case, when there are no trees, is trivial.

The inductive hypothesis assumes the first  $k$  trees each correspond to a scc.

### Theorem 20.16

The procedure STRONGLY-CONNECTED-COMPONENTS correctly computes the strongly connected components of the directed graph  $G$  provided as its input.

In the inductive step, we consider the  $(k + 1)$ -th tree, rooted at  $u$  in the component  $C$ .

By construction,  $u$ 's finish time ensures that any other component  $C'$  processed later has vertices marked white when DFS reaches  $u$ .

Thus, all vertices in  $C$  are reachable from  $u$  in  $G^T$  and they have not been visited yet.

### Theorem 20.16

The procedure STRONGLY-CONNECTED-COMPONENTS correctly computes the strongly connected components of the directed graph  $G$  provided as its input.

Corollary 20.15 guarantees that edges in  $G^T$  leaving from  $C$  lead only to completed SCC.

Therefore, no vertex outside  $C$  can become a descendant of  $u$  in the second run of DFS.

WHAT ARE YOUR MAIN TAKEAWAYS TODAY? ANY QUESTIONS?

