### 1 Q1. Pacman MDP

### 1.1 (a) Single Food Pellet Grid

Grid layout:  $2\times3$  with food at F, discount  $\gamma=0.5$ 

| A | В | С |  |
|---|---|---|--|
| D | Е | F |  |

#### (i) Optimal Policy (1 point)

Using Bellman equation:  $V^*(s) = \max_a \sum_{s'} T(s, a, s') [R(s, a, s') + \gamma V^*(s')]$  Q-value analysis:

- At A: Q(A,East) = Q(A,South) = 0.125
- At B: Q(B,East) = Q(B,South) = 0.25

| State | $\pi(state)$  |  |
|-------|---------------|--|
| A     | East or South |  |
| В     | East or South |  |
| C     | South         |  |
| D     | East          |  |
| E     | East          |  |

### (ii) Optimal Value V\*(A) (1 point)

Working backwards from F:

$$V^*(F) = 0$$

$$V^*(E) = 1$$

$$V^*(C) = 1$$

$$V^*(B) = 0 + 0.5 \times 1 = 0.5$$

$$V^*(D) = 0 + 0.5 \times 1 = 0.5$$

$$V^*(A) = 0 + 0.5 \times 0.5 = 0.25$$

$$V^*(A) = 0.25$$

### (iii) Value Iteration Convergence (1 point)

| k | V(A) | V(B) | V(C) | V(D) | V(E) | V(F) |
|---|------|------|------|------|------|------|
| 0 | 0    | 0    | 0    | 0    | 0    | 0    |
| 1 | 0    | 0    | 1    | 0    | 1    | 0    |
| 2 | 0    | 0.5  | 1    | 0.5  | 1    | 0    |
| 3 | 0.25 | 0.5  | 1    | 0.5  | 1    | 0    |
| 4 | 0.25 | 0.5  | 1    | 0.5  | 1    | 0    |

1

k=3

#### 1.2 (b) Cherries at D and F

State space:  $(position, cherry\_D, cherry\_F)$  where cherry status is 0/1, for disappeared and present.

(i) Optimal Policy with  $\gamma = 1$ , living reward = -1 (1 point)

States with cherries worth 5 points, dot worth 1 point, living cost -1.

| State   | $\pi(state)$  |  |
|---------|---------------|--|
| (A,1,1) | South         |  |
| (A,1,0) | South         |  |
| (A,0,1) | East          |  |
| (A,0,0) | East          |  |
| (C,1,1) | East          |  |
| (C,1,0) | East          |  |
| (C,0,1) | East          |  |
| (C,0,0) | North or East |  |
| (D,0,1) | East          |  |
| (D,0,0) | North         |  |
| (E,1,1) | East          |  |
| (E,1,0) | West          |  |
| (E,0,1) | East          |  |
| (E,0,0) | West          |  |
| (F,1,0) | West          |  |
| (F,0,0) | West          |  |

(ii) Living Reward Range for Exactly One Cherry (1 point)

For  $A \rightarrow C \rightarrow D \rightarrow B$  to be better than both:

- Better than A $\rightarrow$ B:  $6 + 3r > 1 + r \Rightarrow r > -2.5$
- Better than both cherries:  $6 + 3r > 11 + 7r \Rightarrow r < -1.25$

For exactly one cherry: -2.5 < r < -1.25

## 2 Q2. MDP with Exit Action

Grid layout:

# 2.1 (a) Deterministic Actions, $\gamma = \frac{1}{2}$ (1 point)

Using Bellman equation with deterministic transitions.  $V_D = x$ . Need to solve system: Let  $V_A, V_B, V_C$  be the values. Then:

$$V_A = 1 + \frac{1}{2} \max(V_B, x)$$

$$V_B = 1 + \frac{1}{2} \max(V_A, V_C)$$

$$V_C = 1 + \frac{1}{2} \max(V_B, x)$$

Since  $V_A$  and  $V_C$  have same equation,  $V_A = V_C = V$ . Then:  $V_B = 1 + \frac{V}{2}$  and  $V = 1 + \frac{V}{2}$  $\frac{1}{2}\max(V_B, x) = 1 + \frac{1}{2}\max(1 + \frac{V}{2}, x)$ Case 1:  $x \le 2 \to V = 1 + \frac{1}{2}(1 + \frac{V}{2}) = \frac{3}{2} + \frac{V}{4} \to V = 2$ Case 2:  $x > 2 \to V = 1 + \frac{x}{2}$  and  $V_B = \frac{3}{2} + \frac{x}{4}$ 

Case 1: 
$$x \le 2 \xrightarrow{2} V = 1 + \frac{1}{2}(1 + \frac{V}{2}) = \frac{3}{2} + \frac{V}{4} \to V = 2$$

$$V^*(D) = x \tag{1}$$

$$V^*(D) = x$$

$$V^*(A) = V^*(C) = \begin{cases} 2 & \text{if } x \le 2\\ 1 + \frac{x}{2} & \text{if } x > 2 \end{cases}$$

$$V^*(B) = \begin{cases} 2 & \text{if } x \le 2\\ \frac{3}{2} + \frac{x}{4} & \text{if } x > 2 \end{cases}$$
(2)

$$V^*(B) = \begin{cases} 2 & \text{if } x \le 2\\ \frac{3}{2} + \frac{x}{4} & \text{if } x > 2 \end{cases}$$
 (3)

### (b) Stochastic Actions, Success Probability = $\frac{1}{2}$ (1 point)

With 50% success rate, otherwise stay with reward 0. Need new value functions for stochastic case: Let  $V_A^s, V_B^s, V_C^s$  be stochastic values. Then:

$$\begin{split} V_A^s &= \max(\frac{1}{2}[1+\frac{x}{2}] + \frac{1}{4}V_A^s, \frac{1}{2}[1+\frac{V_B^s}{2}] + \frac{1}{4}V_A^s) \\ V_B^s &= \max(\frac{1}{2}[1+\frac{V_A^s}{2}] + \frac{1}{4}V_B^s, \frac{1}{2}[1+\frac{V_C^s}{2}] + \frac{1}{4}V_B^s) \\ V_C^s &= \max(\frac{1}{2}[1+\frac{x}{2}] + \frac{1}{4}V_C^s, \frac{1}{2}[1+\frac{V_B^s}{2}] + \frac{1}{4}V_C^s) \end{split}$$

For 
$$Q^*(A; DOWN) = Q^*(A; RIGHT)$$
:  $\frac{1}{2} + \frac{x}{4} + \frac{V_A^s}{4} = \frac{1}{2} + \frac{V_B^s}{4} + \frac{V_A^s}{4}$ 

From A: 
$$V = \frac{1}{2} + \frac{x}{4} + \frac{V}{4} \Rightarrow \frac{3V}{4} = \frac{1}{2} + \frac{x}{4} \Rightarrow V = \frac{2}{3} + \frac{x}{3}$$

This simplifies to:  $x = V_B^s$  With  $V_A^s = V_C^s = V$  and  $V_B^s = x$ : From A:  $V = \frac{1}{2} + \frac{x}{4} + \frac{y}{4} \Rightarrow \frac{3V}{4} = \frac{1}{2} + \frac{x}{4} \Rightarrow V = \frac{2}{3} + \frac{x}{3}$  From B:  $x = \frac{1}{2} + \frac{V}{4} + \frac{x}{4} \Rightarrow \frac{3V}{4} = \frac{1}{2} + \frac{V}{4} \Rightarrow V = \frac{2}{3} + \frac{x}{3}$  Substituting:  $\frac{3x}{4} = \frac{1}{2} + \frac{1}{4}(\frac{2}{3} + \frac{x}{3}) = \frac{1}{2} + \frac{1}{6} + \frac{x}{12} = \frac{2}{3} + \frac{x}{12}$  Solving:  $\frac{3x}{4} - \frac{x}{12} = \frac{2}{3} \Rightarrow \frac{9x - x}{12} = \frac{2}{3} \Rightarrow \frac{8x}{12} = \frac{2}{3} \Rightarrow x = 1$ 

x = 1

#### 2.3 (c) Modified Bellman Equation with Dice (1 point)

With dice roll adding 1-6 to reward (expected value = 3.5):

$$V_{k+1}(s) = \max_{a} \sum_{s'} T(s, a, s') [R(s, a, s') + 3.5 + \gamma V_k(s')]$$