

# Computer Vision

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## 1 Image Filtering

### 1.1 Definition

- **Kernel**: a small matrix used to apply effects, e.g. blurring.
- **Separable kernel**: kernels that can be separated as two or more simple filters.
- **Padding**: The action of adding pixels around the borders (e.g. with value 0) so that applying filters will not reduce the size of the image.
- **Low-pass (smoothing) filter**: filters that keep the low-frequency signals, e.g. MA filter
- **High-pass (sharpening) filter**: filters that highlight the high-frequency signals, e.g. (identity + (identity - MA)) filter, or

$$\begin{pmatrix} -\frac{1}{8} & -\frac{1}{8} & -\frac{1}{8} \\ -\frac{1}{8} & 2 & -\frac{1}{8} \\ -\frac{1}{8} & -\frac{1}{8} & -\frac{1}{8} \end{pmatrix}.$$

- **Denoising filter**: filters to remove noise, e.g. median filter, non-local means, block-matching and 3D filtering (BM3D), etc.

### 1.2 Common Filters

#### 1.2.1 Moving Average (MA) Filter

- In a 2D case, the MA kernel is a  $\mathbb{R}^{K \times K}$  matrix in the following form

$$\frac{1}{K^2} \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & 1 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \dots & 1 \end{pmatrix}$$

with a time complexity of  $O(N^2 K^2)$ , where  $N$  is the length of image.

- MA kernel is separable, for instance

$$\begin{pmatrix} \frac{1}{4} & \frac{1}{4} \\ \frac{1}{4} & \frac{1}{4} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \end{pmatrix} * \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix},$$

reducing the time complexity to  $O(N^2K)$ .

- Purpose:
  - remove high-frequency signal (noise or sharpness)
  - result in a smooth but blurry image

### 1.2.2 Identity Filter

The identity filter kernel is

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

### 1.2.3 Gaussian Filter

- The Gaussian kernel is a 2D Gaussian distribution

$$h(i, j) = \frac{1}{2\pi\sigma^2} e^{-\frac{i^2+j^2}{2\sigma^2}}$$

with  $i, j = 0$  as the centre of the kernel.

- While its support is infinite, small values outside  $[-k\sigma, k\sigma]$  can be ignored, e.g.  $k = 3$  or  $k = 4$ .
- 2D Gaussian filter is separable with

$$h(i, j) = h_x(i) * h_y(j)$$

where

$$h_x(i) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{i^2}{2\sigma^2}},$$

because

$$\begin{aligned} f[x, y] * h[x, y] &= \sum_i \sum_j f[x - i, y - j] h[i, j] \\ &= \sum_i \sum_j f[x - i, y - j] \left( \frac{1}{2\pi\sigma^2} e^{-\frac{i^2+j^2}{2\sigma^2}} \right) \\ &= \sum_i \left( \sum_j f[x - i, y - j] \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{j^2}{2\sigma^2}} \right) \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{i^2}{2\sigma^2}} \end{aligned}$$

$$\begin{aligned} &= \sum_i (f * h_y)[x - i] \frac{1}{\sqrt{2\pi}} e^{-\frac{i^2}{2\sigma^2}} \\ &= (f * h_y) * h_x \end{aligned}$$

- Derivative of Gaussian filter  $h$  is

$$\frac{d(f * h)}{dx} = f * \frac{dh}{dx} = f * \frac{-x}{\sqrt{\pi}\sigma^3} e^{-\frac{x^2}{2\sigma^2}}.$$

Thus, the smaller the  $\sigma$ , the more detail in the magnitude map; larger  $\sigma$  suppresses noise and results in a smoother derivative. Different  $\sigma$  help find edges at different scale.

### 1.2.4 Median Filter

- non-linear
- often used for denoising
- Move the sliding window, and replace the centre pixel using the median value in the window.

## 1.3 Impulse Response

- For continuous signal, an impulse is a Dirac delta function  $\delta(x)$ , with

$$\delta(x) = \begin{cases} +\infty, & \text{if } x = 0 \\ 0, & \text{otherwise} \end{cases}$$

such that  $\int_{-\infty}^{\infty} \delta(x) dx = 1$ . For discrete signal, an impulse is a Kronecker delta function  $\delta[i]$ , with

$$\delta[i] = \begin{cases} 1, & \text{if } i = 0 \\ 0, & \text{otherwise.} \end{cases}$$

- The impulse response  $h$  is the output of a filter when the input is an impulse. It completely characterises a linear time-invariant filter.

- shifting the input signal  $k$  steps corresponds to the same output signal but shifted by  $k$  steps as well, e.g. assuming  $f[n] = \delta[n]$ ,  $g[n] = h[n]$ ,
  - \*  $g[n] = 10f[n]$  is time-invariant and amplifies the input by a constant.
  - \*  $g[n] = nf[n]$  is *not* time-invariant since the amount it amplifies the input depends on the
- if input  $f_1[n]$  leads to  $g_1[n]$ ,  $f_2[n]$  leads to  $g_2[n]$ , we will have
 
$$\text{output}(\alpha f_1[n] + \beta f_2[n]) = \alpha g_1[n] + \beta g_2[n].$$

## 1.4 Convolution

- **Convolution:** output  $g$  can be described as the convolution between an input  $f$  and impulse response  $h$  as

$$g[n] = f[n] * h[n] = \begin{cases} \sum_{m=-\infty}^{\infty} f[m]h[n-m] & \text{discrete} \\ \int_{m=-\infty}^{\infty} f(m)h(n-m) & \text{continuous} \end{cases}$$

- Note that if we describe input signal  $f[n]$  as

$$f[n] = \sum_{i=0}^n f[i]\delta[n-i]$$

and we know the output of  $\delta[n]$  is  $h[n]$ , we can write the output as

$$g[n] = \sum_{i=0}^n f[i]h[n-i]$$

- commutative, i.e.

$$f[n] * h[n] = h[n] * f[n]$$

- associative, i.e.

$$f * (g * h) = (f * g) * h$$

- distributivity, i.e.

$$f * (g + h) = f * g + f * h \quad \text{and} \quad \frac{d(f * g)}{dx} = \frac{df}{dx} * g = f * \frac{dg}{dx}$$

- In 2D discrete case for image filtering,

$$\begin{aligned} g[m, n] &= f[m, n] * h[m, n] = \sum_{i=-\infty}^{\infty} \sum_{j=-\infty}^{\infty} f[i, j]h[m-i, n-j] \\ &= \sum_{i=-\infty}^{\infty} \sum_{j=-\infty}^{\infty} f[m-i, n-j]h[i, j] \end{aligned}$$

if the dimension of the kernel is  $(2M+1) \times (2N+1)$ , we can write

$$(f * h)[m, n] = \sum_{i=-M}^M \sum_{j=-N}^N f[m-i, n-j]h[i, j]$$

with  $h[0, 0]$  being the centre of the filter,  $(m, n)$  being the location in the image which the kernel's center is on.

- If a big filter  $f_b$  can be separated into convolution  $g$  and  $h$ , we can first convolve with  $g$ , then  $h$

$$f * f_b = f * (g * h) = (f * g) * h.$$

## 2 Edge Detection

### 2.1 Detection

	finite difference	convolution kernel
Forward difference	$f'[x] = f[x+1] - f[x]$	$[1, -1, 0]$
Backward difference	$f'[x] = f[x] - f[x-1]$	$[0, 1, -1]$
Central difference	$f'[x] = (f[x+1] - f[x-1])/2$	$[1, 0, -1]$

## 2.2 Edge Detection Filters

### 2.2.1 Prewitt Filter

Along the  $x$ -axis and the  $y$ -axis, we have respectively

$$\begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{pmatrix}.$$

They are separable, i.e.

$$\begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} * \begin{pmatrix} 1 & 0 & -1 \end{pmatrix}.$$

### 2.2.2 Sobel Filter

Along the  $x$ -axis and the  $y$ -axis, we have respectively

$$\begin{pmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{pmatrix}.$$

They are also separable, i.e.

$$\begin{pmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} * \begin{pmatrix} -1 & 0 & -1 \end{pmatrix}$$

### 2.2.3 Magnitude and Orientation Calculation

Let  $h_x$  denotes the horizontal filter,  $h_y$  denotes the vertical filter, we can compute the magnitude and the orientation as

$$\begin{aligned} g_x &= f * h_x && \text{derivative along } x\text{-axis} \\ g_y &= f * h_y && \text{derivative along } y\text{-axis} \\ g &= \sqrt{g_x^2 + g_y^2} && \text{magnitude of the gradient} \\ \theta &= \arctan(g_y, g_x) && \text{angle of the gradient} \end{aligned}$$

## 2.3 Canny Edge Detection

### 2.3.1 Criteria for Good Edge Detector

- good detection: low probability of FP/FN on marking edge points
- good localisation: mark as close as the centre of true edge
- single response: only one response to a single edge

### 2.3.2 Algorithm

1. perform Gaussian filtering to suppress noise
2. calculate the gradient magnitude  $M(x, y)$  and direction
3. apply Non-Maximum Suppression (NMS) to get a single response for each edge

$$M(x, y) = \begin{cases} M(x, y) & \text{if local maximum} \\ 0 & \text{otherwise} \end{cases}$$

4. perform hysteresis thresholding to find potential edges with two thresholds  $t_{\text{low}}$  and  $t_{\text{high}}$

$$\begin{cases} M(x, y) \geq t_{\text{high}} & \text{accept} \\ M(x, y) < t_{\text{low}} & \text{reject} \\ \text{Otherwise} & \text{iteratively check neighbouring pixels and} \\ & \text{accept if connected to an edge pixel.} \end{cases}$$

5. evaluation/performance

- good detection — FP reduced by Gaussian smoothing and FN reduced by hysteresis thresholding to find weak edges
- good localisation — NMS finds locations based on gradient magnitude and direction
- single response — NMS finds one single point in the neighbourhood

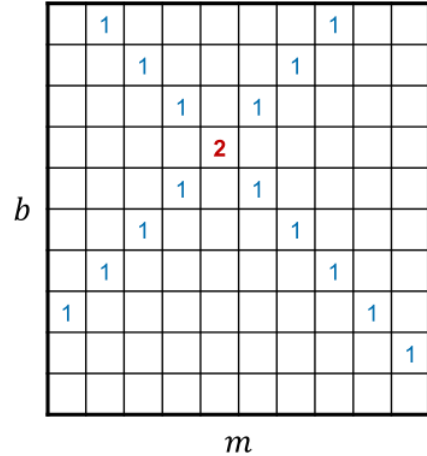


Figure 1: hough transform grid

### 3 Hough Transform

- **Hough transform** is a transform from image space to parameter space, e.g. from an edge map to the two parameters of a line.
- output is a parametric model, given the input edge points
- each edge point vote for possible models in the parameter space
- Example:
  - use slope intercept  $b = y - mx$  to be the line model
  - each edge point poll vote for different  $b$  and  $m$  values (also lines in parameter space)
  - In practice, we use 2D bins to divide the parameter space; each point increases the vote by 1 in one of the bins, as shown in figure 1.
  - But the parameter space could be too large,  $[-\infty, +\infty]$ ; use *normal form* instead

$$x \cos \theta + y \sin \theta = \rho$$

so at least for one dimension,  $\theta \in [0, \pi)$ .

- Line detection by Hough transform:
  1. Initialize the bins  $H(\rho, \theta)$  to all zeros.
  2. For each  $(x, y)$  and each  $\theta$ , calculate  $\rho$  and  $H(\rho, \theta)++$ .
  3. Find  $(\rho, \theta)$  where  $H(\rho, \theta)$  is a local maximum and larger than a threshold.
    - local maximum so there can be multiple solutions, to reduce FN
    - larger than a threshold so as to reduce FP
  4. The detected lines are given by  $\rho = x \cos \theta + y \sin \theta$ .
- robust to noise/occlusion
  - edge map is often generated after image smoothing
  - broken/unoccluded edge points can still vote and contribute to line detection
- Circle detection by Hough transform
  - parameter space also forms circles
  - If radius  $r$  is also unknown, then 3D parameter space  $H(a, b, r)$
  - parameterize to be  $x = a + r \cos \theta$  and  $y = b + r \sin \theta$ , since we know  $\theta$  from edge detection, we can narrow the voting area to move along  $\theta$  for a distance  $r$ .
- Pros and Cons:
  - + detects multiple instances
  - + robust to image noise
  - + robust to occlusion
  - Computational complexity is quite high. For each edge point, we need to vote to a 2D or even 3D parameter space.
  - need to carefully set parameters such as those for edge detectors, the threshold for the accumulator or range of circle radius.

## 4 Interest Point Detection

### 4.1 Definition

- **Interest point**: points that we are interested in and are useful for subsequent image processing and analysis. They are also called *key-points*, *landmarks*, *low-level* features.

### 4.2 Harris Detection

- small windows to tell if it is a corner
  - flat — change of intensity in neither direction
  - edge — change of intensity along just one direction
  - corner — change of intensity along both direction
- If the window shifts by  $[u, v]$ , together with Taylor expansion

$$I(x + u, y + v) = I(x, y) + uI_x(x, y) + vI_y(x, y) + \dots$$

the change of intensities is given by

$$\begin{aligned} E(u, v) &= \sum_{(x, y) \in W} w(x, y) [I(x + u, y + v) - I(x, y)]^2 \\ &= \sum w(x, y) [uI_x(x, y) + vI_y(x, y)]^2 \\ &= \sum w(x, y) (u^2 I_x^2 + 2uv I_x I_y + v^2 I_y^2) \\ &= \sum w(x, y) \begin{pmatrix} u & v \end{pmatrix} \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} \\ &= \begin{pmatrix} u & v \end{pmatrix} \sum w(x, y) \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} \\ &:= \begin{pmatrix} u & v \end{pmatrix} M \begin{pmatrix} u \\ v \end{pmatrix} \end{aligned}$$

- $E$  is large if image derivatives are large
- We can infer the directions of change from  $M$

- If  $M = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ , this is a flat region.
- If  $M = \begin{pmatrix} 10 & 0 \\ 0 & 0.1 \end{pmatrix}$ , large change if shift along  $u \Rightarrow$  an edge.
- If  $M = \begin{pmatrix} 10 & 0 \\ 0 & 10 \end{pmatrix}$ , large change in whichever way  $\Rightarrow$  a corner.
- If more complicated  $M$ , we can do **eigen decomposition** to obtain diagonal matrix  $\Lambda$ , and compare against the above 3 matrices.

- **Cornerness** metrics:

- Harris and Stephens:

$$R = \lambda_1 \lambda_2 - k(\lambda_1 + \lambda_2)^2 = \det(M) - k(\text{trace}(M))^2$$

where  $k$  is a small number,  $k = 0.05$ .

- Kanade and Tomasi:

$$R = \min(\lambda_1, \lambda_2)$$

- Noble:

$$R = \frac{\lambda_1 \lambda_2}{\lambda_1 + \lambda_2 + \epsilon}$$

- also detects blobs and textures
- algorithm:

1. compute  $x$  and  $y$  derivative of an image

$$I_x = G_x * I, I_y = G_y * I$$

where  $G$  can be e.g. sobel filter

2. at each pixel, compute

$$M = \sum_{x, y} w(x, y) \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix}$$

3. calculate detector response and detect interest point which are local maxima and whose  $R$  is above threshold.

- scaled Harrison detector:

$$M = \sum_{x,y} w(x,y) \sigma^2 \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix}$$

so that

- at each scale  $\sigma$ , determine the scale which gives us the largest detector response
- prevent "the larger the scale, the smaller the derivative magnitude" problem
- Algorithm: perform original Harrison detector steps for each scale, and then determine the interest point.

### 4.3 Laplacian of Gaussian (LOG)

- First perform Gaussian smoothing, then Laplacian Operator, i.e.

$$\Delta(f * h) = \frac{\partial^2(f * h)}{\partial x^2} + \frac{\partial^2(f * h)}{\partial y^2} = f * \left( \frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} \right)$$

where  $h$  is the Gaussian kernel and  $\Delta f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$ , with the following laplacian filter

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 1 & -2 & 1 \\ 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 & 0 \\ 0 & -2 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

Since we know the formation of a 2D Gaussian, we can derive the following:

$$\frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} = -\frac{1}{\pi \sigma^4} \left( 1 - \frac{x^2 + y^2}{2\sigma^2} \right) e^{-\frac{x^2 + y^2}{2\sigma^2}}$$

- To ensure comparability between scales, we do

$$\text{LoG}_{\text{norm}}(x, y, \sigma) = \sigma^2 (I_{xx}(x, y, \sigma) + I_{yy}(x, y, \sigma))$$

similar to Harrison detector.

### 4.4 Difference of Gaussian (DoG)

- DoG is defined as

$$\text{DoG}(x, y, \sigma) := I * G(k\sigma) - I * G(\sigma) \approx (k-1)\sigma^2 \nabla^2 G(x, y, \sigma)$$

as an approximation of  $\text{LoG}_{\text{norm}}$  using Gaussian filters at different scales.

- DoG filters are used in SIFT, which is a pipeline for detecting and describing interest points.
- Also scale-invariant, and follow similar procedures as above.

## 5 Feature Description

### 5.1 Simple Descriptors

- Pixel intensity
  - sensitive to absolute intensity value — same object with different illumination can have different intensity
  - not very discriminative — a single pixel doesn't represent any local content
- Patch intensities
  - + represent local pattern
  - + perform well if the images are of similar intensities and roughly aligned
  - sensitive to absolute intensity value
  - not rotation-invariant
- Gradient orientation
  - + sensitive to intensity changes
  - + orientation is robust to scaling
  - not rotation-invariant
- Histogram



- + robust to rotation
- + robust to scaling
- sensitive to intensity changes

## 5.2 Scale-Invariant Feature Transform (SIFT)

- detects and describe local features in images
- transforms an image into a large set of interest points, each of which is described by a feature vector that is invariant to translation, scaling, and rotation.
- Algorithm:
  1. detection of scale-space extrema (minima/maxima)
 

search across scales and pixel locations, looking for interest points using DoG.
  2. keypoint localisation
 

refine the estimate coordinates by fitting a quadratic curve to the neighbouring pixels and compute the new extrema.

Denote the DoG response as  $D(x, y, \sigma)$  or  $D(\mathbf{x})$ , by Taylor expansion we have

$$D(\mathbf{x} + \Delta\mathbf{x}) = D(\mathbf{x}) + \frac{\partial D}{\partial \mathbf{x}} \mathbf{x} + \frac{1}{2} \Delta\mathbf{x} \frac{\partial^2 D}{\partial \mathbf{x}^2} \Delta\mathbf{x}$$

$$\Rightarrow \frac{\partial D(\mathbf{x} + \Delta\mathbf{x})}{\partial \Delta\mathbf{x}} = \frac{\partial D}{\partial \mathbf{x}} + \frac{\partial^2 D}{\partial \mathbf{x}^2} \Delta\mathbf{x} = 0 \Rightarrow \Delta\mathbf{x} = - \left( \frac{\partial^2 D}{\partial \mathbf{x}^2} \right)^{-1} \frac{\partial D}{\partial \mathbf{x}}$$
  3. orientation assignment
 

determine the dominant orientation  $\theta$  for a neighbourhood of a keypoint

    - (a) an orientation histogram with 36 bins covering 360 degrees is created
    - (b) each pixel votes for an orientation bin, weighted by the gradient magnitude
    - (c) keypoint will be assigned an orientation, which is the peak of the histogram

So now we have the location  $(x, y)$ , scale  $\sigma$ , and dominant orientation  $\theta$ .

### 4. keypoint descriptor

Compute a histogram of gradient orientations. In practice, subregions are used and each subregion has 4x4 samples.

- each subregions has one orientation histogram
- these multiple histograms together describe what it looks like around the keypoint.
- each subregion has an orientation histogram with 8 bins in 8 directions.

If 16 subregions are used, the descriptor (feature vector) has a dimension of  $128 = 16 \times 8$ .

- robust to rotation, scaling, and changes in illumination
  - rotation — relative to dominant direction
  - scaling — draw samples from a window proportional to size
  - changes in illumination — using gradient orientations

## 5.3 Keypoint matching

Suppose we find a keypoint  $(x, y)$  in  $A$  that corresponds to a keypoint  $(u, v)$  in  $B$ . We assume that they are related with an *affine* transformation:

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} m_1 & m_2 \\ m_3 & m_4 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} t_x \\ t_y \end{pmatrix}$$

with many pairs of corresponding keypoints, we can write the equation as:

$$\begin{pmatrix} x_1 & y_1 & 0 & 0 & 1 & 0 \\ 0 & 0 & x_1 & y_1 & 0 & 1 \\ x_2 & y_2 & 0 & 0 & 1 & 0 \\ 0 & 0 & x_2 & y_2 & 0 & 1 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \\ m_3 \\ m_4 \\ t_x \\ t_y \end{pmatrix} = \begin{pmatrix} u_1 \\ v_1 \\ u_2 \\ v_2 \\ \vdots \end{pmatrix}$$

which can be written as a linear system

$$A\mathbf{m} = \mathbf{b}$$

where only  $\mathbf{m}$  is unknown, and solve the least-square problem as

$$\mathbf{m} = (A^T A)^{-1} A^T \mathbf{b}$$

## 5.4 RANSAC

However, the squared difference,  $\|A\mathbf{m} - \mathbf{b}\|^2$ , can be sensitive to outliers(noise) — points that are deemed to be corresponding but actually aren't. To ensure the robustness to outliers. RANSAC(Random Sample Consensus) deals with this.

1. randomly sample some points
2. fit a line along the sampled points
3. find the number of **inliers** within a threshold to the line
4. terminate if enough inliers have been found, or we have reached a certain number of iteration

## 5.5 Acceleration

### 5.5.1 Speeded-Up Robust Features (SURF)

- SURF only computes the gradients along horizontal and vertical directions using **Haar wavelets**.
- SURF applies very simple filters  $d_x$  and  $d_y$

$$d_x = \begin{pmatrix} -1 & 1 \end{pmatrix}, d_y = \begin{pmatrix} -1^T \\ 1^T \end{pmatrix}$$

where  $\mathbf{1}^T = (1 \ 1 \ \dots \ 1)$  called the Haar wavelets

- Summing pixel intensities with weights 1 and -1 is very fast, so the result for each subregion is defined by (the sum of values and the sum of absolute values)

$$\left( \sum d_x, \sum d_y, \sum |d_x|, \sum |d_y| \right)$$

- all four are low — homogeneous subregion
- zebra pattern/strips —  $\sum |d_x|$  or  $\sum |d_y|$  is high
- gradually increasing intensities —  $\sum |d_x|$  and  $\sum d_x$  are high
- Now we need  $16 \times 4 = 64$  dimensions, and around 5 times faster than SIFT with Haar wavelets.

### 5.5.2 Binary Robust Independent Elementary Features (BRIEF)

- we compare an interest point  $p$  to another interest point  $q$  and get a binary value as output

$$\tau(p, q) = \begin{cases} 1 & \text{if } I(p) < I(q) \\ 0 & \text{otherwise.} \end{cases}$$

- randomly sample  $n_d$  pairs of points for binary tests; random pattern is only determined once and same pattern applied to all intersect points.
  - If  $n_d = 256$ , we have 256 tests in total, with a total of 32 bytes (SURF uses 64 bytes).
  - fast to compute with bit-shifting operator  $\ll$  and  $\gg$ .
  - use bitwise XOR between two descriptors to get the **hamming distance** instead of Euclidean distance, which is the bit count of the result.
- ignores rotation and scaling, assume images are taken from a moving camera with only translation.
- about 40-fold faster than SURF, which is around 200 times faster than SIFT.

### 5.5.3 Histograms of Oriented Gradient(HOG)

- we can extend the idea of feature descriptors to describe the feature of a large region, even a whole image.
- HOG divides a large region into a (dense) grid of cells, describes each cell, and concatenates the local descriptions to form a global description.
- The description vector  $\mathbf{v}$  for each cell is normalized to form a locally normalized descriptor as

$$\mathbf{v}_{\text{norm}} = \frac{\mathbf{v}}{\sqrt{\|\mathbf{v}\|_2^2 + \epsilon^2}}$$

with  $\epsilon$  to ensure numerical stability

## 6 Image Classification

### 6.1 Classification concepts

See Intro to ML notes for  $k$ -NN, distance metrics, parameter tuning, cross-validation, stochastic gradient descent, multi-layer perceptron, forward/backward propagation

### 6.2 Support Vector Machine (SVN)

- If used as a *linear* SVN, the model is formulated as

$$\mathbf{w} \cdot \mathbf{x} + b = 0$$

The rule is to assign a class  $c$  to data  $x$  with

$$c = \begin{cases} 1 & \text{if } \mathbf{w} \cdot \mathbf{x} + b \geq 0 \\ -1 & \text{otherwise} \end{cases}$$

so we need to estimate  $\mathbf{w}$  and  $b$  to determine the decision boundary or *hyperplane*.

- The problem could be transformed to an optimisation problem of

$$\min_{\mathbf{w}, b} \|\mathbf{w}\|^2$$

subject to  $y_i(\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1$  for  $i = 1, 2, \dots, N$ . Or formulate as

$$\min_{\mathbf{w}, b} \|\mathbf{w}\|^2 + C \sum_{i=1}^N \max(0, 1 - y_i(\mathbf{w} \cdot \mathbf{x}_i + b))$$

since we cannot guarantee the data to be linearly separable. The second term of the above equation is called the **hinge loss**. The gradient of the loss function is

$$\nabla_{\mathbf{w}} L = 2\mathbf{w} - C \sum_{i=1}^N \nabla_{\mathbf{w}} h$$

where

$$\nabla_{\mathbf{w}} h = \begin{cases} -y_i \mathbf{x}_i & \text{if } y_i(\mathbf{w} \cdot \mathbf{x}_i + b) < 1 \\ 0 & \text{otherwise} \end{cases}$$

so at each iteration,

$$\mathbf{w}^{(k+1)} = \mathbf{w}^{(k)} - \eta \nabla_{\mathbf{w}} L(\mathbf{w}^{(k)}, b^{(k)})$$

### 6.3 Convolutional Neural Network

- In CNN, each neuron only see a small local region in the layer before it, called the **receptive field**.
- e.g. A neuron can depend on a  $5 \times 5 \times C$  cube of the input, where  $C$  refers to the number of color channels.
- More output neurons can be added as well, to form a  $D \times 1 \times 1$  cube, where  $D$  denotes depth.
- Mathematically, a **convolution layer** is

$$a_d = f \left( \sum_{ijk} W_{dijk} x_{ijk} + b_d \right)$$

where  $d$  is the depth of output,  $ij$  is the dimension coordinate, and  $k$  is the input channel/depth.

- operations during convolution:
  - **padding**
  - **stride**
  - **dilation**: make a neuron look at a larger region (increase receptive field) by downsample image or increase kernel size
- **Pooling layer**:

- operation to make feature maps/representations smaller
- similar to image downsampling, e.g. if max pooling,

$$\begin{pmatrix} 1 & 2 \\ 5 & 6 \end{pmatrix} \Rightarrow (6)$$

pick the max element, and reduce the dimension

- issue:
  - *exploding* gradient — clip it, such as clip by value

$$\mathbf{g}_i = \begin{cases} v_{\min} & \text{if } g_i < v_{\min} \\ v_{\max} & \text{if } g_i > v_{\max} \\ \mathbf{g}_i & \text{otherwise} \end{cases}$$

or clip by norm

$$\mathbf{g} = \begin{cases} \frac{\mathbf{g}}{\|\mathbf{g}\|} v & \text{if } \|\mathbf{g}\| > v \\ \mathbf{g} & \text{otherwise} \end{cases}$$

- *vanishing gradient* — use different activation functions, e.g. ReLU, leaky ReLU, or
- \* Parametric ReLU

$$f(z) = \begin{cases} az & z < 0 \\ z & z \geq 0 \end{cases}$$

- \* Exponential Linear Unit

$$f(z) = \begin{cases} a(e^z - 1) & z < 0 \\ z & z \geq 0 \end{cases}$$

- Representative CNN: LeNet, AlexNet, VGG

## 7 Object Detection

### 7.1 Approaches

- general ideas
  - at each sliding window, perform two tasks.
  - Firstly, classification (whether this is a cat or not)
  - secondly, localisation (bounding box coordinates) using CNN to predict bounding coordinates
  - however, too expensive to apply CNN on each pixel of the image
- two-stage detection:
- make an initial guess, propose some possible regions of interest
- classify what these regions are and predict the bounding boxes
- One-stage detection:
  - do not guess, but simply divide the image into grid cells
  - classify these grid cells and predict the bounding boxes

## 7.2 Two-stage Object Detection Methods

### 7.2.1 Selective Search

We can look at image features such as greyscale or gradients to separate the image into regions with similar features.

### 7.2.2 Faster R-CNN

#### RPN

- A Region Proposal Network (RPN) is used.
- The input image is fed into a CNN, gives the image's feature map.
- The feature map goes into a RPN, outputting interesting regions to be looked at.
- Using AlexNet/VGG-16 as backbone networks, using their last convolution layer as a feature map, we can use a  $3 \times 3$  sliding window to perform binary classification at each location, giving it 0 if it isn't interesting, and 1 if it is.
- it handles objects of different sizes / aspect ratio by making  $k$  predictions(bounding boxes). e.g.  $128^2$ ,  $256^2$ ,  $512^2$ ,  $1:1$ ,  $1:2$ ,  $2:1$ . These bounding boxes are called anchors.
- A bounding box can be described by its centre coordinates  $(x, y)$  and size  $(w, h)$ .
- For a convolution feature map of  $W \times H$ ,  $W \times H \times k$  anchors are predicted, and only the highest scoring boxes are kept.
- The loss is defined as

$$L(p, t) = \underbrace{\sum_{i=1}^{n_{\text{anchor}}} L_{\text{cls}}(p_i, p_i^*)}_{\text{classification loss}} + \lambda \underbrace{\sum_{i=1}^{n_{\text{anchor}}} 1_{y=1} L_{\text{loc}}(t_i, t_i^*)}_{\text{localisation loss}}$$

where \* denotes the ground truth

- we predict how to transform this anchor into the ground truth bounding box using:

$$\begin{aligned}
\text{anchor} &= (x_a, y_a, w_a, h_a) \\
\text{predicted bounding box} &= (x, y, w, h) \\
\text{predicted transformation} &= (t_x, t_y, t_w, t_h) \\
t_x &= \frac{x - x_a}{w_a} \\
t_y &= \frac{y - y_a}{h_a} \\
t_w &= \log \frac{w}{w_a} \\
t_h &= \log \frac{h}{h_a}
\end{aligned}$$

### RoI

- Combining classification and localisation, we have features for each region known as **RoI** (Region of Interest). We can use an RoI pooling layer, which we can calculate RoI location and size on the feature map and convert to a fixed size to be provided to the classifier.
- For each RoI, the classifier predicts the label class and refines the bounding box estimate:

$$L(p, t) = L_{\text{cls}}(p, y) + \lambda \cdot 1_{y \geq 0} L_{\text{loc}}(t, t^*)$$

where  $y$  denotes the ground truth, and is now a multi-class classification problems.

### Comparison between RPN and Detection Network

Please see table 1.

## 7.3 One-stage Object Detection Methods

- e.g. YOLO, SSD. The RPN is changed from using a binary value for the classification loss to using a multi-class classifier, which predicts the object for each anchor.
- Faster R-CNN is more accurate but slower, and vice versa for SSD, since RPN estimates the regions size before looking closely at features and then refining it.

Table 1: RPN v.s. RoI

RPN	Detection network
The input to RPN is a $3 \times 3$ window on conv5 feature map.	The input to detection network is a proposed region, thus contains more accurate features.
It is class-agnostic. It only checks whether this is an RoI or not.	It classifies the region into a number of classes.
RPN needs to use a lot of anchors, because we do not know what the object looks like yet	It does not need to use anchors. We already know a rough size from the proposal.

- Backbone networks (VGG/AlexNet) can be changed out to improve performance.

## 8 Image Segmentation

### 8.1 Thresholding

This converts a greyscale image to a binary label map. At each pixel, the label is defined as

$$f(x) = \begin{cases} 1 & \text{if } I(x) \geq \text{threshold} \\ 0 & \text{otherwise.} \end{cases}$$

This doesn't require any training data, and only needs threshold as the parameter.

### 8.2 K-means

- unsupervised method
- Represents each cluster by its centre. Each data point (pixel intensity) is associated to the nearest cluster centre.
- It can be formulated as

$$\min \sum_{k=1}^K \sum_{x \in C_k} (x - \mu_k)^2 \quad \text{or} \quad \min \sum_{k=1}^K \sum_x \delta_{x,k} (x - \mu_k)^2 \quad (1)$$

where  $\delta_{x,k}$  denotes membership of  $x$  in cluster  $k$ ,  $\mu_k$  denotes the centre of cluster  $k$ .

- Algorithm
  1. initialize  $\mu_k$ , where  $k = 1, 2, \dots, K$ .
  2. For each iteration
    - (a) compute  $\delta_{x,k}$  for each data point, assigning  $x$  to the nearest cluster centre  $\mu_k$ .
    - (b) update  $\mu_k$  according to the membership  $\delta_{x,k}$ .
    - (c) repeat until  $\delta_{x,k}$  no longer changes or the maximum number of iterations is reached.
- To determine which  $K$  to use, plot equation (1) with different  $K$ , and use the “elbow” method to determine the best value.
- clustering can also be performed base on
  - color similarity
  - position + color similarity
  - other features

so that  $x$  becomes a feature vector instead of a scalar.

### 8.3 Gaussian Mixture Model (GMM)

- unsupervised method
- GMM performs a *soft* assignment by assuming a Gaussian distribution for each cluster, formulated as

$$P(y_j = k | x_j, \pi_k, \mu_k, \sigma_k) = \pi_k \cdot \frac{1}{\sqrt{2\pi}\sigma_k} e^{-\frac{(x_j - \mu_k)^2}{2\sigma_k^2}}$$

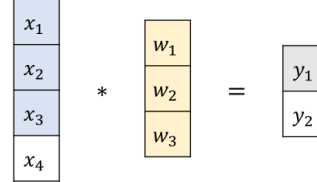
where  $x_j$  is the intensity of feature for data point  $j$ ,  $\pi_k$  is the mixing component for class  $k$ ,  $y_j$  denotes the group which point  $j$  belongs to.

- See intro to ML for detailed algorithm.

### 8.4 CNN

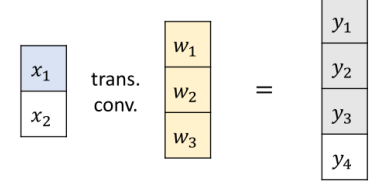
- supervised method
- we can e.g. remove the fully connected layers and directly infer pixel-wise classification results from the  $13 \times 13$  feature map
- **Fully convolutional network**: all layers are convolutional layers.
- **Convolutionalization**: replaces the fully connected layers with convolutional layers
- Need to apply **upsampling** operation to obtain a pixel-wise prediction
  - e.g. transposed convolution. For  $\mathbb{R}^{4 \times 4} \mapsto \mathbb{R}^{8 \times 8}$ , we can have one input correspond to a  $3 \times 3$  region in the output, with some weight, with a stride of 2.
  - convolution in 1D as matrix operation v.s. 2D, see figure 2.

Convolution in 1D



$$\begin{bmatrix} w_1 & w_2 & w_3 & 0 \\ 0 & w_1 & w_2 & w_3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$$

Transposed convolution in 1D



$$\begin{bmatrix} w_1 & 0 \\ w_2 & w_1 \\ w_3 & w_2 \\ 0 & w_3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix}$$

The weight matrix is transposed.

Figure 2: convolution v.s. transposed convolution in Matrix operation

- Thus a classification network can be transformed to a segmentation network by replacing the fully connected layers with convolutional and transposed convolutional layers.
- **Mask R-CNN**

- the input is the convolution feature map
- fed into two branches: detection and segmentation
- segmentation branch is fully convolutional