

Assignment 3

(Due: Nov 30, 6am)

Question 1: Let $\mathbf{Q} \in \mathbb{R}^{N \times d}$ denote a set of N query vectors, which attend to M key and value vectors, denoted by matrices $\mathbf{K} \in \mathbb{R}^{M \times d}$ and $\mathbf{V} \in \mathbb{R}^{M \times c}$ respectively. For a query vector at position n , the softmax attention function computes the following quantity:

$$\text{Attn}(\mathbf{q}_n, \mathbf{K}, \mathbf{V}) = \sum_{m=1}^M \frac{\exp(\mathbf{q}_n^\top \mathbf{k}_m)}{\sum_{m'=1}^M \exp(\mathbf{q}_n^\top \mathbf{k}_{m'})} \mathbf{v}_m^\top := \mathbf{V}^\top \text{softmax}(\mathbf{K} \mathbf{q}_n), \quad (1)$$

which is an average of the set of value vectors \mathbf{V} weighted by normalized similarity between different queries and keys.

Question 1a: Please briefly explain what is the time and space complexity for the attention computation from query \mathbf{Q} to \mathbf{K}, \mathbf{V} , using the big O notation.

Three steps:

1. $\mathbf{Q}^\top * \mathbf{K} \rightarrow \mathbb{R}^{(N \times M)}$ time complexity: $O(N \times M \times d)$, space complexity: $O(N \times M)$
2. $\text{softmax} \rightarrow \mathbb{R}^{(N \times M)}$ time complexity: $O(N \times M)$, space complexity: $O(1)$
3. $\mathbf{V}^\top * \text{softmax}() \rightarrow \mathbb{R}^{(N \times d)}$ time complexity: $O(N \times M \times d)$, space complexity: $O(N \times d)$

d and c are constant, then after combination:

Time complexity: $O(M \times N)$

Space complexity: $O(M \times N)$

PCFG

Question 2: Consider a probabilistic context-free grammar with the following rules (assume that S is the start symbol):

$S \rightarrow NP VP$	1.0
$VP \rightarrow Vt NP$	0.7
$VP \rightarrow VP PP$	0.3
$NP \rightarrow DT NN$	0.8
$NP \rightarrow NP PP$	0.2
$PP \rightarrow IN NP$	1.0
$Vi \rightarrow sleeps$	1.0
$Vt \rightarrow saw$	1.0
$NN \rightarrow man$	0.1
$NN \rightarrow woman$	0.1
$NN \rightarrow telescope$	0.3
$NN \rightarrow dog$	0.5
$DT \rightarrow the$	1.0
$IN \rightarrow with$	0.6
$IN \rightarrow in$	0.4

Question 2a: What's the most likely parse tree for the following sentence under this PCFG? Show CYK chart you developed below.

the man saw the woman with the dog

hints: we should put terminal rules into account. there are two possible parse trees.

Question 2b: What's the (marginal) probability of the following sentence under this PCFG?

the man saw the woman with the dog

$$5.376 \cdot 10^{-4}$$

hints: the combination of the probabilities of all possible trees

$j = 1$	2	3	4	5	6	7	8	$i = 1$
DT 1.0	NP 0.08			S 0.00448			1. S 3.2256 * 10 ⁻⁴ 2. S 2.1504 * 10 ⁻⁴	
the	NN 0.1							2
man		Vt 1.0		VP 0.056			1. Vt → VP PP 4.032 * 10 ⁻³ 2. Vt → Vt NP 2.688 * 10 ⁻³	3
saw			DT 1.0	NP 0.08			NP 3.84 * 10 ⁻³	4
			the	NN 0.1				5
				woman	IN 0.6		PP 0.24	6
					with	DT 1.0	NP 0.4	7
						the	NN 0.5	8
							dog	

Question 3: A trigram language model is also often referred to as a second-order Markov language model. It has the following form:

$$P(X_1 = x_1, \dots, X_n = x_n) = \prod_{i=1}^n P(X_i = x_i \mid X_{i-2} = x_{i-2}, X_{i-1} = x_{i-1})$$

Question 3a: Could you briefly explain the advantages and disadvantages of a high-order Markov language model when comparing with the second-order one?

Advantages:

Higher-order Markov model considers more information and has the ability to capture long-term dependency.

The longer the context on which we train the model, the more coherent the sentences.

Disadvantages:

increased time and space complexity

sparsity: larger n-gram probability matrices are ridiculously sparse. In other words, sometimes using less context is a good thing, helping to generalize more for contexts that the model hasn't learned much about. We only "back off" to a lower-order n-gram if we have zero evidence for a higher-order n-gram

Question 3b: Could you give some examples in English where English grammar suggests that the second-order Markov assumption is clearly violated.

last night, I ate an apple.
"ate" depends on "last night"

the book that describes the development of ... is very interesting.
"is" depends on "book"