

Data Structures

- So far in this class: designing algorithms
 - Inputs and outputs were specified, we wanted to design the fastest algorithm
 - The representation was fixed (e.g. a sorted array)
- Another important question:
 - How can we represent information so that there are fast algorithms for performing important operations?
 - This is the study of **data structures**

Some important data structures

- arrays
- linked lists
- graphs
- binary search trees
- heaps

What about...

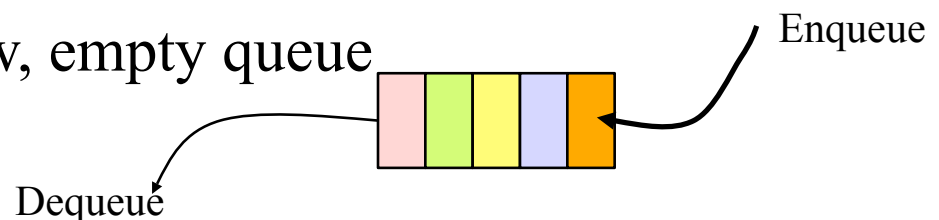
- stacks?
 - queues?
- } Not exactly data structures.
These are **abstract data types**
(note: the text book doesn't distinguish
data structures from abstract data
types, but we will in this class)

Abstract Data Types

- “Interface” between the real data and the outside world
- Collection of operations to be performed on data
- No algorithms!
 - Just a description of desired outcomes
- Important tool in the design of computer programs
 - First, figure out what you need to do with your data
 - Worry about implementing it later.
- Sort of like a “class”, an “interface” or a “template” in object-oriented programming (but not exactly like any of these)

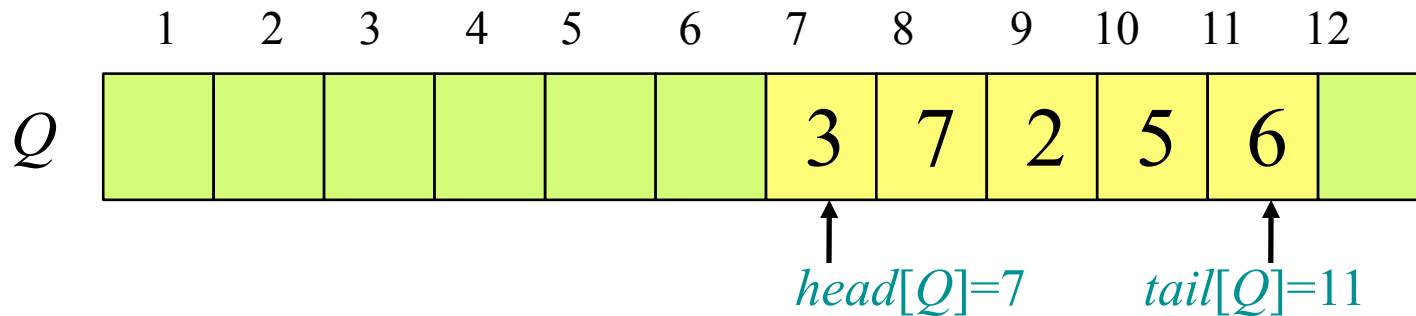
Example: Queues

- Suppose you manage the list of cases waiting for trial at a courthouse
 - You maintain a “bunch” of court cases
 - As cases come in you add them to your list
 - When the court finishes a trial, you find the next case in line and it goes to trial
 - What’s the ADT you’re using?
- A **Queue** holds a *set* of elements and supports
 - Enqueue(Q, x): add x to the rear of the queue
 - Dequeue(Q): get element from the front of the queue and remove it from the queue
 - MakeNew(): create a new, empty queue

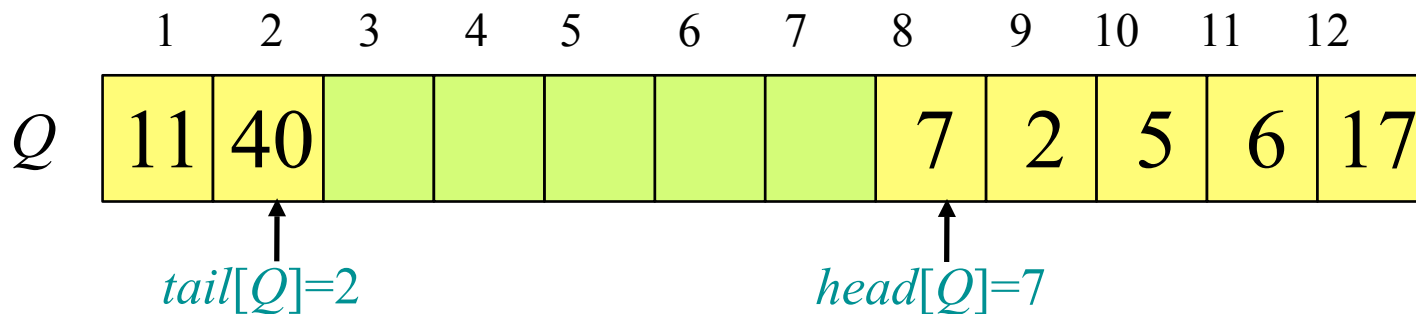


How should we implement a queue?

- One option: an array along with two indices *head* and *tail*

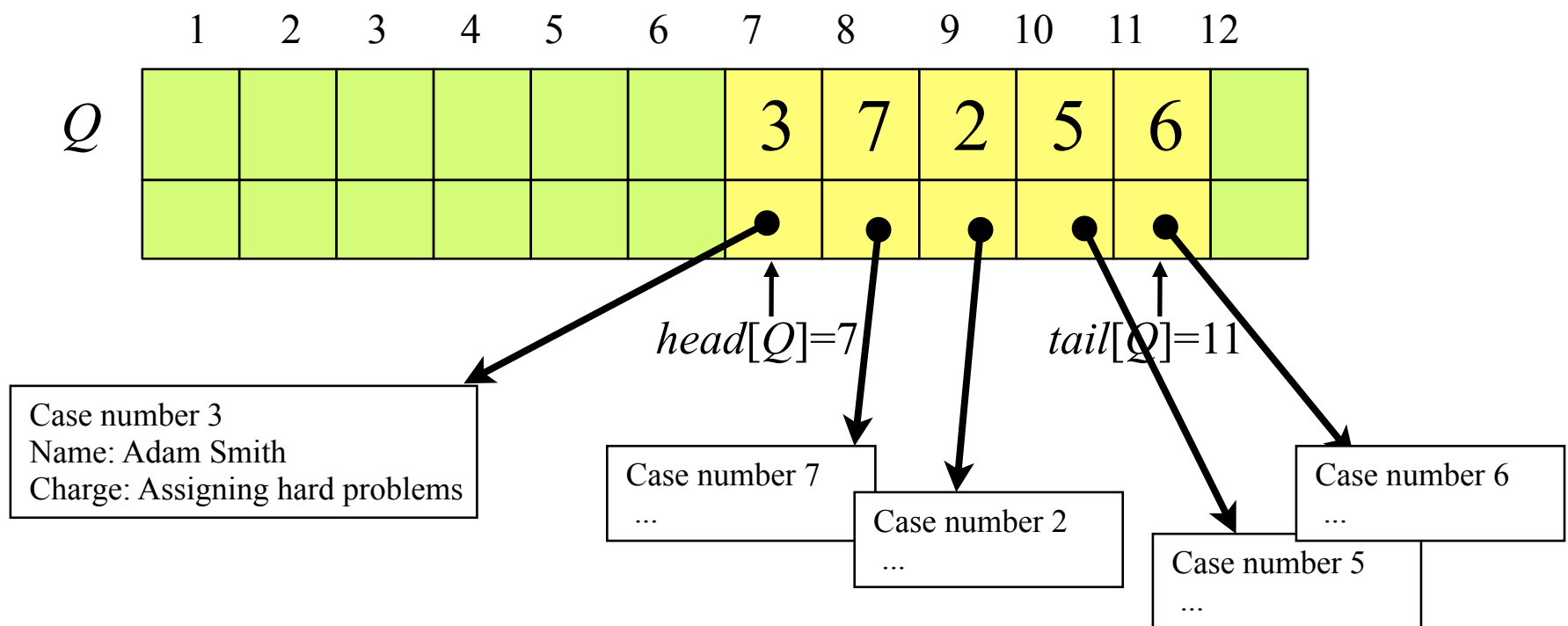


- As elements are added, increment $tail[Q]$
- As elements are removed, increment $head[Q]$
- Wrap around as necessary
- After $Enqueue(Q, 17)$, $Enqueue(Q, 11)$, $Enqueue(Q, 40)$, $Dequeue(Q)$, we get:



Satellite data

- May have other “satellite data” along with each record (case details, name of plaintiff, etc)
- Typically: include a pointer for each element



Pseudocode

ENQUEUE(Q, x)

1. $Q[tail[Q]] \leftarrow x$
2. **if** $tail[Q] = length[Q]$
3. **then** $tail[Q] \leftarrow 1$
4. **else** $tail[Q] \leftarrow tail[Q] + 1$

Notice that this code doesn't handle what happens when the queue fills up or when it is empty!

DEQUEUE(Q, x) ^{head}

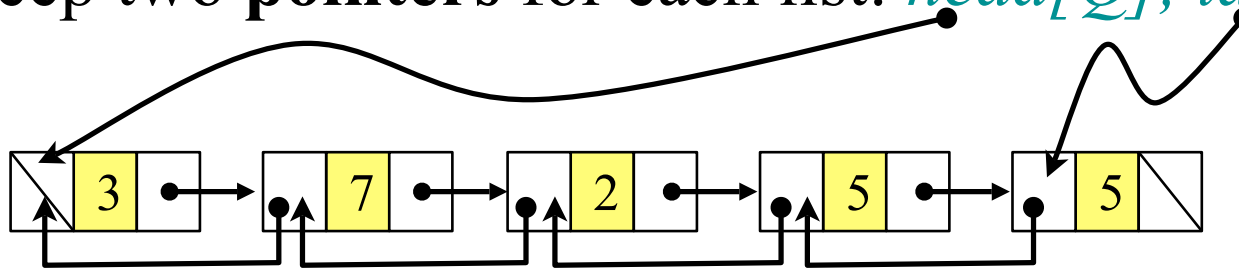
1. $x \leftarrow Q[\text{~~tail~~}[Q]]$
2. **if** $head[Q] = length[Q]$
3. **then** $head[Q] \leftarrow 1$
4. **else** $head[Q] \leftarrow head[Q] + 1$
5. **return** x

How long do the operations take?

- Enqueue: $O(1)$
- Dequeue: $O(1)$
- MakeNew: $O(1)$ if memory implemented well
- Storage space = length of array n
 - Maximum queue size limited to n
 - Wastes space if size of L is much smaller than n
- What do you do when queue is full?
 - Crash the program? (sometimes)
 - Better solution: allocate bigger array

What about using a linked list?

- Dynamic structure uses memory flexibly
- **Doubly linked list** is a data structure
 - collection of nodes
 - Each node has at least three fields
 - next (pointer)
 - previous (pointer)
 - key (depends on application: case number?)
 - may have satellite data here too
 - Keep two **pointers** for each list: *head[Q]*, *tail[Q]*



Pseudocode for list-based queue

ENQUEUE(Q, x)

1. $newnode \leftarrow$ New node
2. $key[newnode] \leftarrow x$
3. $prev[newnode] \leftarrow tail[Q]$
4. $next[newnode] \leftarrow \text{NIL}$
5. $next[tail[Q]] \leftarrow newnode$
6. $tail[Q] \leftarrow newnode$

▷ Note no check for an empty list.

DEQUEUE(Q, x)

1. $oldnode \leftarrow head[Q]$
2. $head[Q] \leftarrow next[oldnode]$
3. $prev[head[Q]] \leftarrow \text{NIL}$
4. **return** $key[oldnode]$

▷ Note: no deallocation, no check for an empty list.

What about using a linked list?

- How long do operations take?
 - Enqueue: $O(1)$
 - Dequeue: $O(1)$
 - MakeNew: $O(1)$
 - Storage: $O(\text{size}(Q))$, i.e. the number of elements currently in the queue
- Better storage use than array, right?
 - But constants are better for arrays
 - Clever allocation of memory can make array also use $O(\text{size}(Q))$ memory (we may see this in later lectures)

Stacks

- A **stack** holds a set of elements and supports
 - $\text{Push}(S, x)$: add element x to the top of stack S
 - $\text{Pop}(S)$: remove the top element from the stack and return its value
 - $\text{MakeNew}()$: Create a new, empty stack

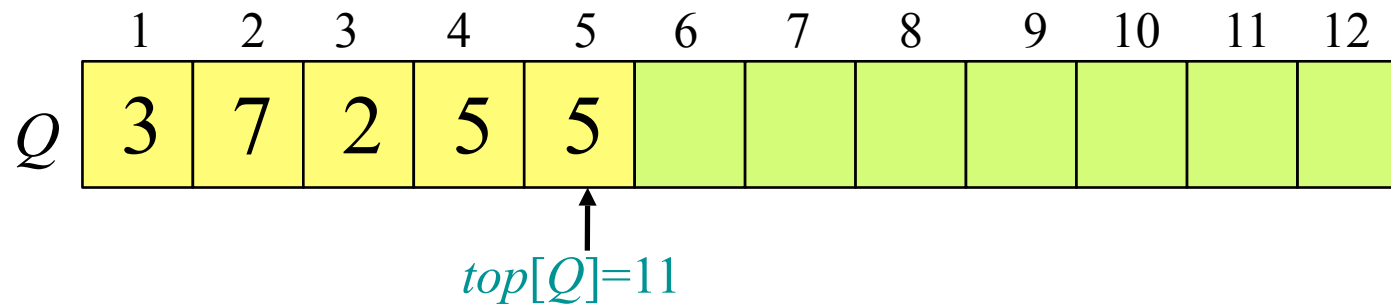
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Example: Stacks

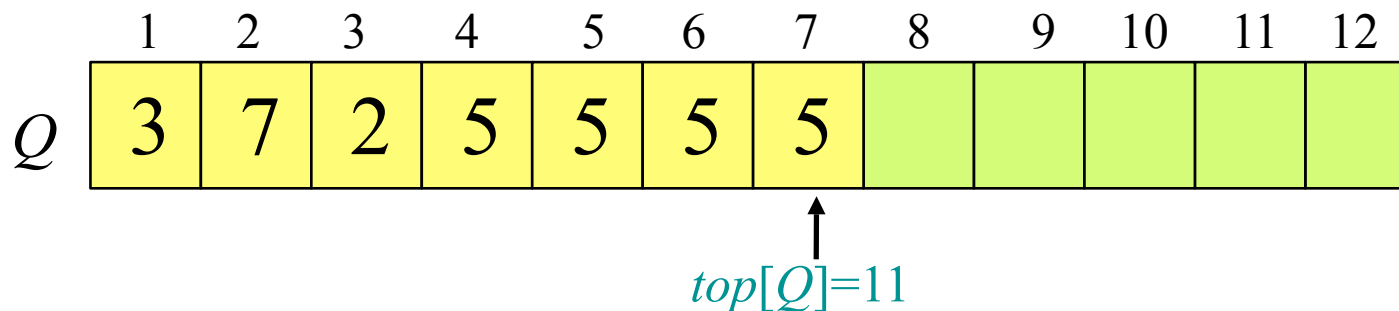
- Suppose you need to check if delimiters (parentheses and brackets) are properly balanced
 $\{((\{\})\{O\})\}$ versus $\{((\{\})\{\})\{O\}\}$
- Scan through the input, keeping a list of currently open delimiters
 - When I meet an opening delimiter, add it to my list
 - When I meet a closing delimiter,
 - check if the last thing I added to my list was of the same type.
 - If so, remove it and continue.
 - If not, then output “delimiters not matched.”

How should we implement a stack?

- Usually: an array along with an index top



- As elements are added, increment $top[Q]$
- As elements are removed, decrement $top[Q]$



Algorithms and Data Structures

CMPSC 465

Priority Queues and Binary Heaps

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based on slides by S. Razkhodnikova, A. Smith, K. Wayne, C. Leiserson and E. Demaine.

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Priority Queue Abstract Data Type

- Dynamic set of pairs (key, data), called elements
- Supports operations:
 - **MakeNewPQ()**
 - **Insert**(S, x) where S is a PQ and x is a (key, data) pair
 - **Extract-Max**(S) removes and returns the element with the highest key value (or one of them if several have the same value)
- Example: managing jobs on a processor, want to execute job in queue with the highest priority
- Can also get a “min” version, that supports **Extract-Min** instead of **Extract-Max**
- Sometimes support additional operations like **Delete**, **Increase-Key**, **Decrease-Key**, etc.

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Rooted trees

- Rooted Tree: collection of nodes and edges
 - Edges go down from root (from parents to children)
 - No two paths to the same node
 - Sometimes, children have “names” (e.g. left, right, middle, etc)

Heaps: Tree Structure

- Data Structure that implements Priority Queue
 - Conceptually: binary tree
 - In memory: (often) stored in an array
- Max-Heap property:
 - For every node other than root, $key[Parent(i)] \geq key[i]$
- Recall: *complete* binary tree
 - all leaves at the same level, and
 - every internal node has exactly 2 children
- Heaps are nearly complete binary trees
 - Every level except possibly the bottom one is full
 - Bottom layer is filled left to right

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Height

- Heap Height =
 - length of longest simple path from root to some leaf
 - e.g. a one-node heap has height 0, a two- or three-node heap has height 1, ...
- Exercises:
 - What is max. and min. number of elements in a heap of height h ?
 - ans: $\min = 2^h$, $\max = 2^{h+1} - 1$
 - What is height as a function of number of nodes n ?
 - ans: $\text{floor}(\log(n))$

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Array representation

- Instead of dynamically allocated tree, heaps often represented in a fixed-size array
 - smaller constants, works well in hardware.
- Idea: store elements of tree layer by layer, top to bottom, left to right
- Navigate tree by calculating positions of neighboring nodes:
 - $Left(i) := 2i$
 - $Right(i) := 2i+1$
 - $Parent(i) := \text{floor}(i/2)$
- Example: [20 15 8 10 7 5 6]

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Review Questions

- Is the following a valid max-heap?
 - 20 10 4 9 6 3 2 8 7 5 12 1
 - (If not, repair it to make it valid)
- Is an array sorted in decreasing order a valid max-heap?
