



Sequence Labeling

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Lecture outline

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- POS Tagging and Named Entity Recognition (NER)
- Hidden Markov Models for Part-of-Speech Tagging
- Conditional Random Fields
- Evaluation of Named Entity Recognition



Lecture outline

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- Sequence Labeling Problems
- Hidden Markov Models for Part-of-Speech Tagging
- Conditional Random Fields
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Part-of-Speech Tagging

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- Assigning a part-of-speech to each word in a text.
- Words often have more than one POS.
- **book:**
 - VERB: (***Book** that flight*)
 - NOUN: (*Hand me that **book***).



Part-of-Speech Tagging

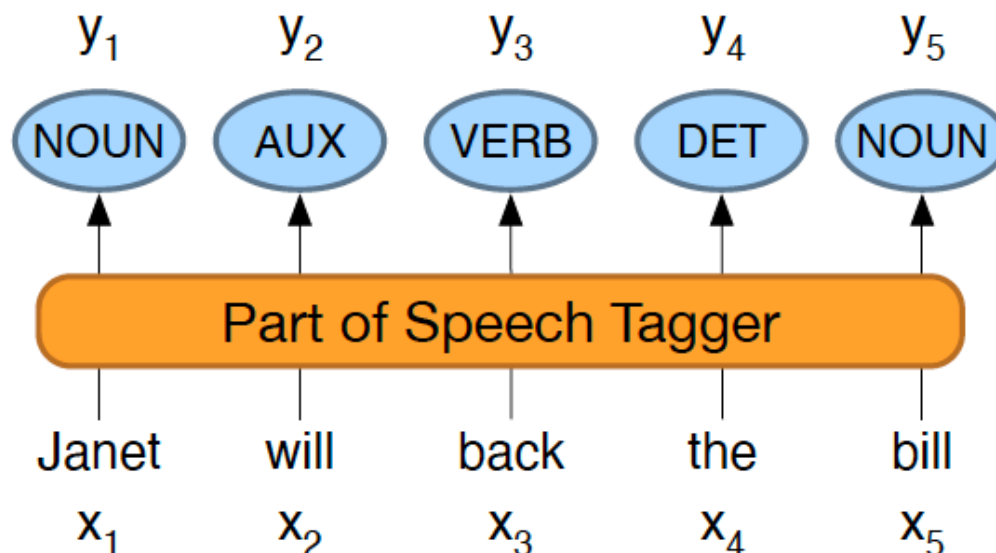
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■ INPUT:

□ Jane will back the bill

■ OUTPUT:

□ Jane/NOUN will/AUX back/VERB the/DET bill/NOUN





Why POS Tagging?

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- Can be useful for other NLP tasks
 - Parsing: POS tagging can improve syntactic parsing
 - MT: reordering of adjectives and nouns (say from Spanish to English)
 - Sentiment or affective tasks: may want to distinguish adjectives or other POS
 - Text-to-speech (how do we pronounce “lead” or “object”?)



Challenges in POS tagging

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- Words have more than one possible POS
 - book that flight
 - hand me that book
- Simple solution with dictionary look-up does not work in practice
 - One needs to determine the POS tag for an instance of a word from its context



Define a tagset

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- We must agree on a standard inventory of word classes
 - Taggers are trained on a labeled corpora
 - The tagset needs to capture semantically or syntactically important distinctions that can easily be made by trained human annotators



Public tagsets in NLP

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- Brown corpus - Francis and Kucera 1961
 - 87 tags
- 45-tag Penn Treebank tagset - - Marcus et al. 1993
 - Hand-annotated corpus of Wall Street Journal, 1M words
 - 45 tags, a simplified version of Brown tag set
 - Standard for English now
 - Most statistical POS taggers are trained on this Tagset



Penn Treebank tagset

| Tag | Description | Example | Tag | Description | Example | Tag | Description | Example |
|------|-------------------------------|---------------------|-------|-----------------------|--------------------|------|----------------------|----------------------|
| CC | coordinating conjunction | <i>and, but, or</i> | PDT | predeterminer | <i>all, both</i> | VBP | verb non-3sg present | <i>eat</i> |
| CD | cardinal number | <i>one, two</i> | POS | possessive ending | <i>'s</i> | VBZ | verb 3sg pres | <i>eats</i> |
| DT | determiner | <i>a, the</i> | PRP | personal pronoun | <i>I, you, he</i> | WDT | wh-determ. | <i>which, that</i> |
| EX | existential 'there' | <i>there</i> | PRP\$ | possess. pronoun | <i>your, one's</i> | WP | wh-pronoun | <i>what, who</i> |
| FW | foreign word | <i>mea culpa</i> | RB | adverb | <i>quickly</i> | WP\$ | wh-possess. | <i>whose</i> |
| IN | preposition/ subordin-conj | <i>of, in, by</i> | RBR | comparative adverb | <i>faster</i> | WRB | wh-adverb | <i>how, where</i> |
| JJ | adjective | <i>yellow</i> | RBS | superlatv. adverb | <i>fastest</i> | \$ | dollar sign | <i>\$</i> |
| JJR | comparative adj | <i>bigger</i> | RP | particle | <i>up, off</i> | # | pound sign | <i>#</i> |
| JJS | superlative adj | <i>wildest</i> | SYM | symbol | <i>+, %, &</i> | “ | left quote | <i>‘ or “</i> |
| LS | list item marker | <i>1, 2, One</i> | TO | “to” | <i>to</i> | ” | right quote | <i>’ or ”</i> |
| MD | modal | <i>can, should</i> | UH | interjection | <i>ah, oops</i> | (| left paren | <i>[, (, {, <</i> |
| NN | sing or mass noun | <i>llama</i> | VB | verb base form | <i>eat</i> |) | right paren | <i>],), }, ></i> |
| NNS | noun, plural | <i>llamas</i> | VBD | verb past tense | <i>ate</i> | , | comma | <i>,</i> |
| NNP | proper noun, sing. | <i>IBM</i> | VBG | verb gerund | <i>eating</i> | . | sent-end punc | <i>. ! ?</i> |
| NNPS | proper noun, plu. | <i>Carolinas</i> | VBN | verb past part. | <i>eaten</i> | : | sent-mid punc | <i>: ; ... - -</i> |



Named Entities

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- Named entity, in its core usage, means anything that can be referred to with a proper name. Most common 4 tags:
 - **PER** (Person): “Marie Curie”
 - **LOC** (Location): “New York City”
 - **ORG** (Organization): “Stanford University”
 - **GPE** (Geo-Political Entity): “Boulder, Colorado”
- Often multi-word phrases
- But the term is also extended to things that aren't entities:
 - dates, times, prices



Named Entity Tagging

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- The task of named entity recognition (NER):
 - find spans of text that constitute proper names
 - tag the type of the entity.



NER Output

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Citing high fuel prices, [ORG United Airlines] said [TIME Friday] it has increased fares by [MONEY \$6] per round trip on flights to some cities also served by lower-cost carriers. [ORG American Airlines], a unit of [ORG AMR Corp.], immediately matched the move, spokesman [PER Tim Wagner] said. [ORG United], a unit of [ORG UAL Corp.], said the increase took effect [TIME Thursday] and applies to most routes where it competes against discount carriers, such as [LOC Chicago] to [LOC Dallas] and [LOC Denver] to [LOC San Francisco].



Why NER?

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- Sentiment analysis: consumer's sentiment toward a particular company or person?
- Question Answering: answer questions about an entity?
- Information Extraction: Extracting facts about entities from text.



Why NER is hard

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■ Segmentation

- ☐ In POS tagging, no segmentation problem since each word gets one tag.
- ☐ In NER we have to find and segment the entities!

■ Type ambiguity

[PER Washington] was born into slavery on the farm of James Burroughs.
[ORG Washington] went up 2 games to 1 in the four-game series.
Blair arrived in [LOC Washington] for what may well be his last state visit.
In June, [GPE Washington] passed a primary seatbelt law.



BIO Tagging

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- Define many new tags
 - B-PERS, B-DATE,...: beginning of a mention of a person/date...
 - I-PERS, I-DATE,...: inside of a mention of a person/date...
 - O: outside of any mention of a named entity

[PERS Pierre Vinken] , 61 years old , will join
[ORG IBM] 's board as a nonexecutive director
[DATE Nov. 2] .



Pierre_B-PERS Vinken_I-PERS ,_O 61_O years_O old_O ,_O
will_O join_O IBM_B-ORG 's_O board_O as_O a_O
nonexecutive_O director_O Nov._B-DATE 29_I-DATE ._O



BIO Tagging variants: IO and BIOES

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[PER Jane Villanueva] of [ORG United] , a unit of [ORG United Airlines Holding] , said the fare applies to the [LOC Chicago] route.

| Words | IO Label | BIO Label | BIOES Label |
|------------|----------|-----------|-------------|
| Jane | I-PER | B-PER | B-PER |
| Villanueva | I-PER | I-PER | E-PER |
| of | O | O | O |
| United | I-ORG | B-ORG | B-ORG |
| Airlines | I-ORG | I-ORG | I-ORG |
| Holding | I-ORG | I-ORG | E-ORG |
| discussed | O | O | O |
| the | O | O | O |
| Chicago | I-LOC | B-LOC | S-LOC |
| route | O | O | O |
| . | O | O | O |



Standard algorithms for NER

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Supervised Machine Learning given a human-labeled training set of text annotated with tags

- Hidden Markov Models
- Conditional Random Fields (CRF)/ Maximum Entropy Markov Models (MEMM)
- Neural sequence models (RNNs or Transformers)
- Large Language Models (like BERT), finetuned



Word Segmentation as Sequence Labeling

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Chiều 28/2 , Hà Nội đã tổ chức họp trực tuyến về việc phòng chống dịch Covid-19 do ông Nguyễn Đức Chung - Chủ tịch UBND TP Hà Nội chủ trì .



Chiều 28/2 , Hà_Nội đã tổ_chức họp trực_tuyến về việc phòng_chống dịch Covid-19 do ông Nguyễn_Đức_Chung - Chủ_tịch UBND TP Hà_Nội chủ_trì .



BI Tagging

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Chiều 28/2 , Hà Nội đã tổ chức họp trực tuyến về việc phòng chống dịch Covid-19 do ông Nguyễn Đức Chung - Chủ tịch UBND TP Hà Nội chủ trì .



Chiều/B 28/2/B ,/B Hà/B Nội/I đã/B tổ/B chức/I họp/B trực/B tuyến/I về/B việc/B phòng/B chống/I dịch/B Covid-19/B do/B ông/B Nguyễn/B Đức/I Chung/I -/B Chủ/B tịch/I UBND/B TP/B Hà/B Nội/I chủ/B trì/I ./B



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Sequence Labeling

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- Sequence Labeling
 - Input: a word (token) sequence $x_1 \dots x_n$
 - Output: a tag sequence $y_1 \dots y_n$

- In the supervised setting, we have a list of training examples $(x^{(i)}, y^{(i)})$ for $i = 1 \dots m$ where
 - $x^{(i)}$ is a sentence $x_1^{(i)} \dots x_{n_i}^{(i)}$ and $y^{(i)}$ is a tag sequence $y_1^{(i)} \dots y_{n_i}^{(i)}$
 - *We learn a mapping from a word sequence to a tag sequence*



Supervised Learning Problem

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■ Training set:

1 Pierre/NNP Vinken/NNP ,/, 61/CD years/NNS old/JJ ,/, will/MD join/VB the/DT board/NN as/IN a/DT nonexecutive/JJ director/NN Nov./NNP 29/CD ./.

2 Mr./NNP Vinken/NNP is/VBZ chairman/NN of/IN Elsevier/NNP N.V./NNP ,/, the/DT Dutch/NNP publishing/VBG group/NN ./.

3 Rudolph/NNP Agnew/NNP ,/, 55/CD years/NNS old/JJ and/CC chairman/NN of/IN Consolidated/NNP Gold/NNP Fields/NNP PLC/NNP ,/, was/VBD named/VBN a/DT nonexecutive/JJ director/NN of/IN this/DT British/JJ industrial/JJ conglomerate/NN ./.

...

38,219 It/PRP is/VBZ also/RB pulling/VBG 20/CD people/NNS out/IN of/IN Puerto/NNP Rico/NNP ,/, who/WP were/VBD helping/VBG Hurricane/NNP Hugo/NNP victims/NNS ,/, and/CC sending/VBG them/PRP to/TO San/NNP Francisco/NNP instead/RB ./.

■ From the training set, induce a function/algorithm that maps new sentences to their tag sequences.



Hidden Markov Models (HMM) for Tagging

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- We have an input sentence $x = x_1, x_2, \dots, x_n$
 - (x_i is the i 'th word in the sentence)
- We have a tag sequence $y = y_1, y_2, \dots, y_n$
 - (y_i is the i 'th tag in the sentence)

- We'll use an HMM to define

$$p(x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_n)$$

for any sentence $x_1 x_2 \dots x_n$ and tag sequence $y_1 y_2 \dots y_n$ of the same length.



HMM tagger

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- The most likely tag sequence for x is

$$\begin{aligned} & \arg \max_{y_1 \dots y_n} p(x_1 \dots x_n, y_1 \dots y_n) \\ &= \arg \max_{y_1 \dots y_n} p(y_1 \dots y_n) p(x_1 \dots x_n | y_1 \dots y_n) \end{aligned}$$

- How can we decompose the equation into simpler terms?



Assumptions in first-order HMMs

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- **Markov Assumption:** The probability of a hidden state depends only on its previous hidden state.

$$P(y_i | y_1 \dots y_{i-1}) = P(y_i | y_{i-1})$$

- **Observation Independence Assumption:** The probability of an observation depends only on its associated hidden state.

$$P(x_i | x_1 \dots x_i \dots x_n, y_1 \dots y_i \dots y_n) = P(x_i | y_i)$$



First-order (bigram) Hidden Markov Models

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- For any sentence $x = x_1 \dots x_n$ where $x_i \in V$ for $x = i = 1 \dots n$, and any tag sequence $y = y_1 \dots y_n$ where $y_i \in S$ for $i = 1 \dots n$, and $y_{n+1} = \text{</s>}$, the joint probability of the sentence and tag sequence is

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) \\ \approx \prod_{i=1}^{n+1} P_T(y_i | y_{i-1}) \prod_{i=1}^n P_E(x_i | y_i)$$

Transition probabilities

Emission probabilities



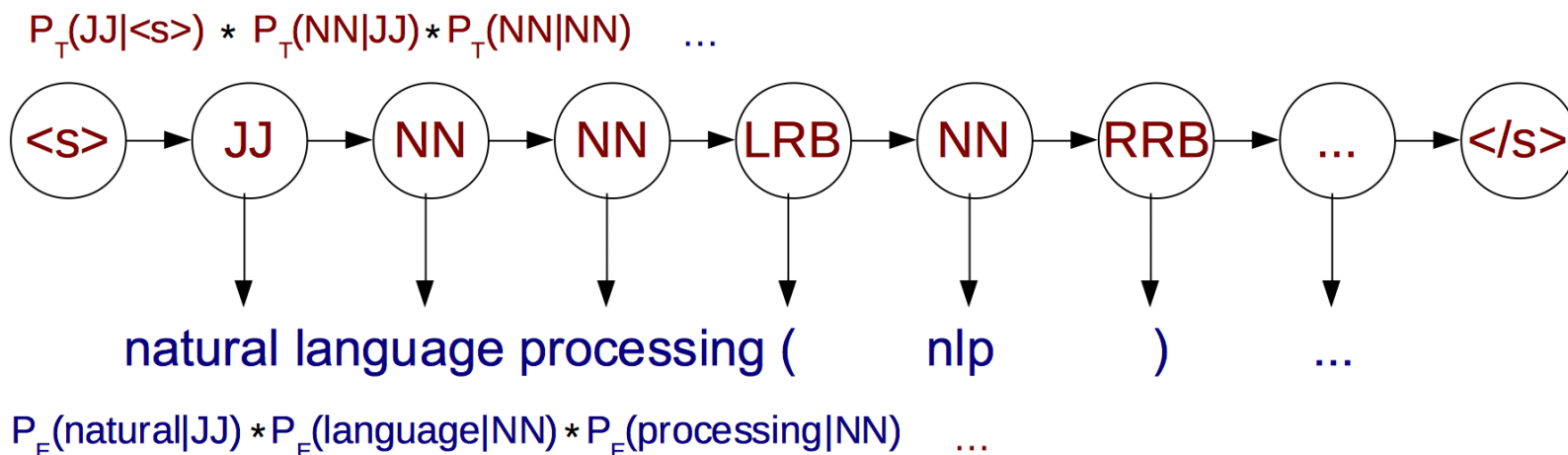
Hidden Markov Models for POS Tagging

- POS → POS transition probabilities

$$P(Y) \approx \prod_{i=1}^{n+1} P_T(y_i | y_{i-1})$$

- POS → Word emission probabilities

$$P(X|Y) \approx \prod_{i=1}^n P_E(x_i | y_i)$$





An Example

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- If we have $n = 3$, $x_1 \dots x_3$ equal to the sentence *the dog laughs* and $y_1 \dots y_4$ equal to the tag sequence $D \ N \ V \ </s>$, then

$$\begin{aligned} P(x_1 \dots x_n, y_1 \dots y_{n+1}) \\ \approx P_T(D|<s>) \times P_T(N|D) \times P_T(V|N) \times P(</s>|V) \times \\ P_E(\textit{the}|D) \times P_E(\textit{dog}|N) \times P_E(\textit{laughs}|V) \end{aligned}$$



Hidden Markov Models

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Definition

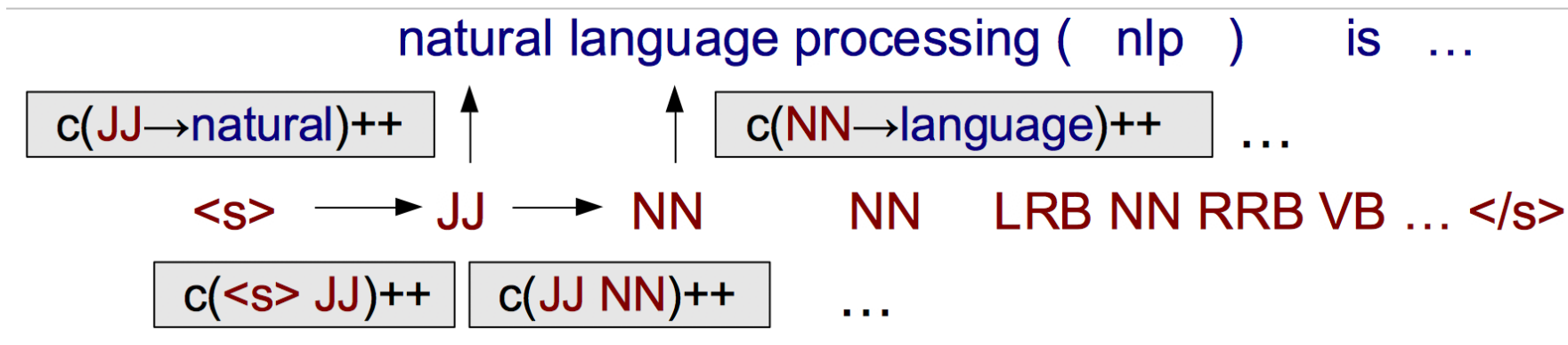
| | |
|--|--|
| $Q = q_1 q_2 \dots q_N$ | a set of N states |
| $A = a_{11} \dots a_{ij} \dots a_{NN}$ | a transition probability matrix A , each a_{ij} representing the probability of moving from state i to state j , s.t. $\sum_{j=1}^N a_{ij} = 1 \quad \forall i$ |
| $O = o_1 o_2 \dots o_T$ | a sequence of T observations , each one drawn from a vocabulary $V = v_1, v_2, \dots, v_V$ |
| $B = b_i(o_t)$ | a sequence of observation likelihoods , also called emission probabilities , each expressing the probability of an observation o_t being generated from a state q_i |
| $\pi = \pi_1, \pi_2, \dots, \pi_N$ | an initial probability distribution over states. π_i is the probability that the Markov chain will start in state i . Some states j may have $\pi_j = 0$, meaning that they cannot be initial states. Also, $\sum_{i=1}^n \pi_i = 1$ |



Learning Hidden Markov Models (with tags)

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- Count the number of occurrences in the corpus and



- Divide by context to get probability

$$P_T(\text{LRB}|\text{NN}) = c(\text{NN LRB})/c(\text{NN}) = 1/3$$

$$P_E(\text{language}|\text{NN}) = c(\text{NN} \rightarrow \text{language})/c(\text{NN}) = 1/3$$



Learning Hidden Markov Models (with tags)

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- Transition probabilities

$$P_T(t_i|t_{i-1}) = \frac{C(t_{i-1}, t_i)}{C(t_{i-1})}$$

- Emission probabilities

$$P_E(w_i|t_i) = \frac{C(t_i, w_i)}{C(t_i)}$$



Note: Smoothing

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- HMM transition probabilities: there are not many tags, so smoothing may not be necessary

$$P(t_i|t_{i-1}) = \lambda_1 \frac{C(t_{i-1}, t_i)}{C(t_{i-1})} + (1 - \lambda_1) \frac{C(t_i)}{C_0}$$

- HMM emission probabilities: smooth for unknown words

$$P_E(w_i|t_i) = \lambda \frac{C(t_i, w_i)}{C(t_i)} + (1 - \lambda) \frac{1}{N}$$



Training algorithm

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```
# Input data format is “natural language ...”
make a map emit, transition, context
for each line in file
    previous = “<s>”                                # Make the sentence start
    context[previous]++
    split line into wordtags with “ “
    for each wordtag in wordtags
        split wordtag into word, tag with “_”
        transition[previous+“ “+tag]++ # Count the transition
        context[tag]++                # Count the context
        emit[tag+“ “+word]++        # Count the emission
        previous = tag
    transition[previous+“ </s>”]++
# Print the transition probabilities
for each key, value in transition
    split key into previous, word with “ “
    print “T”, key, value/context[previous]
# Do the same thing for emission probabilities with “E”
```



HMM tagging as decoding

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- Given as input HMM $\lambda = (A, B)$, and a sequence of observation $O = o_1, o_2, \dots, o_T$, find the most probable sequence of states $Q = q_1 q_2 \dots q_T$



HMM decoding in POS tagging

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- Input: a sequence of n words $w_1 \dots w_n$
- Output: most probable tag sequence $t_1 \dots t_n$

$$\hat{t}_{1:n} = \operatorname{argmax}_{t_1 \dots t_n} P(t_1 \dots t_n | w_1 \dots w_n)$$



HMM decoding

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$$\hat{t}_{1:n} = \operatorname{argmax}_{t_1 \dots t_n} P(t_1 \dots t_n | w_1 \dots w_n)$$

Applying Bayesion Rule

$$\hat{t}_{1:n} = \operatorname{argmax}_{t_1 \dots t_n} \frac{P(w_1 \dots w_n | t_1 \dots t_n) P(t_1 \dots t_n)}{P(w_1 \dots w_n)}$$

Dropping denominator

$$\hat{t}_{1:n} = \operatorname{argmax}_{t_1 \dots t_n} P(w_1 | \dots w_n | t_1 \dots t_n) P(t_1 \dots t_n)$$



HMM decoding

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Observation independence

$$P(w_1 \dots w_n | t_1 \dots t_n) \approx \prod_{i=1}^n P(w_i | t_i)$$

Markov (bigram) assumption

$$P(t_1 \dots t_n) \approx \prod_{i=1}^n P(t_i | t_{i-1})$$

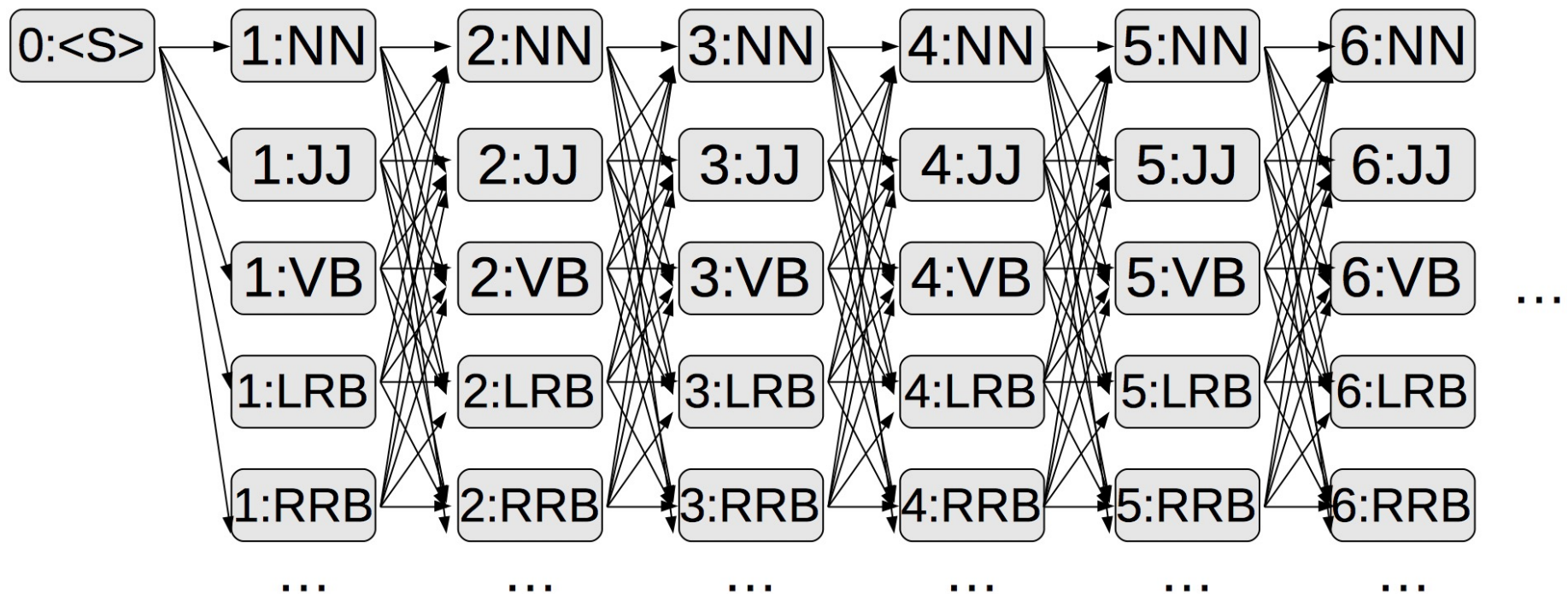
$$\hat{t}_{1:n} = \operatorname{argmax}_{t_1 \dots t_n} P(t_1 \dots t_n | w_1 \dots w_n) \approx \operatorname{argmax}_{t_1 \dots t_n} \prod_{i=1}^n \overbrace{P(w_i | t_i)}^{\text{emission}} \overbrace{P(t_i | t_{i-1})}^{\text{transition}}$$



Finding POS tags with Markov Models

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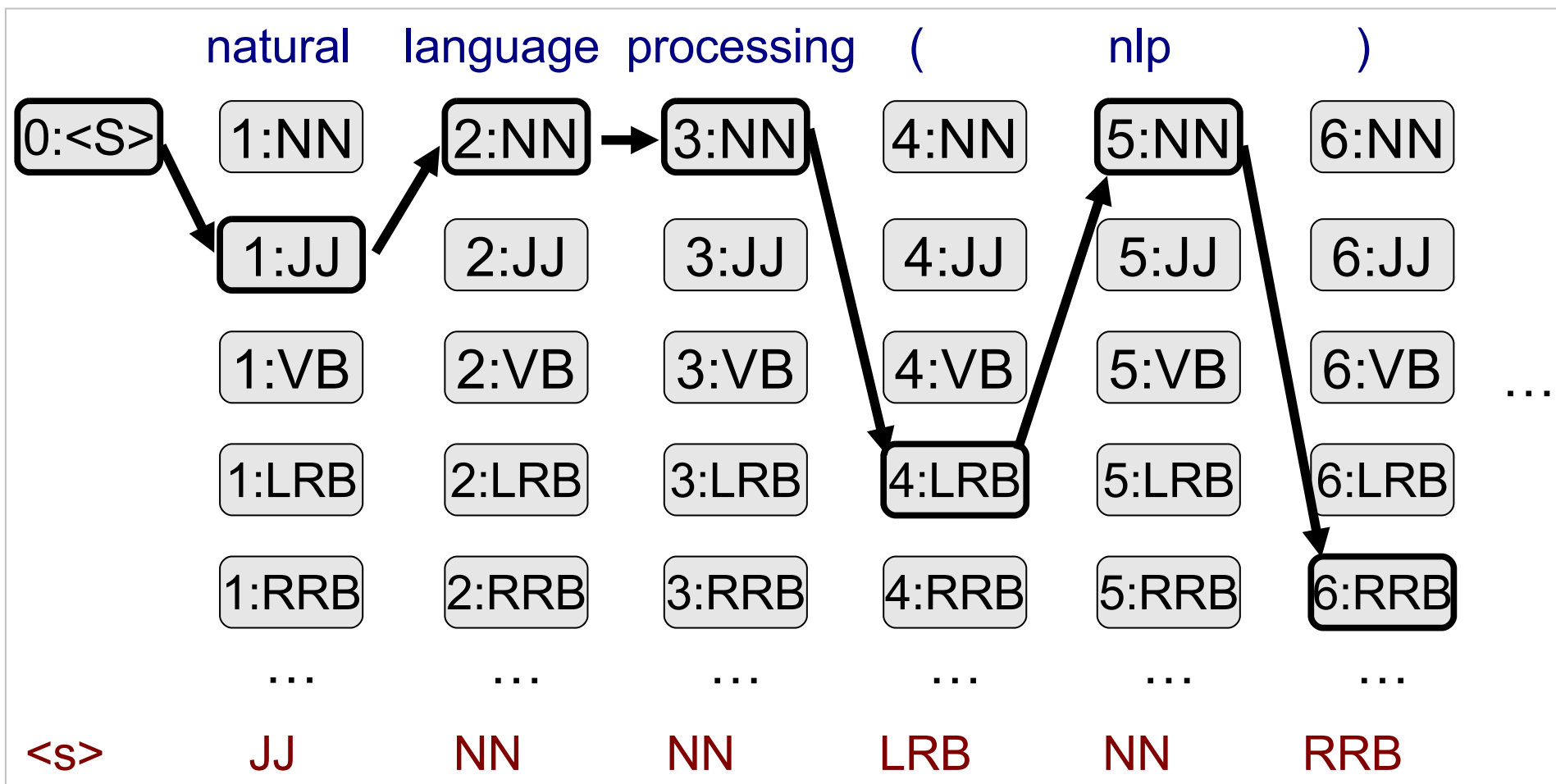
natural language processing (nlp)





Finding POS Tags with Markov Models

- The best path is our POS sequence





Viterbi algorithm

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- At each cell, $v_t(j)$ represents the highest probability for any sequence $q_1 \dots q_t$ ending at the state j

$$v_t(j) = \max_{q_1, \dots, q_{t-1}} P(q_1 \dots q_{t-1}, o_1, o_2, \dots o_t, q_t = j | \lambda)$$

- λ represents the HMM model



Viterbi algorithm

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■ Dynamic programming

$$v_t(j) = \max_i v_{t-1}(i) a_{ij} b_j(o_t)$$

| | |
|--------------|---|
| $v_{t-1}(i)$ | the previous Viterbi path probability from the previous time step |
| a_{ij} | the transition probability from previous state q_i to current state q_j |
| $b_j(o_t)$ | the state observation likelihood of the observation symbol o_t given the current state j |



Viterbi algorithm

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- Dynamic programming

$$v_t(j) = \max_i v_{t-1}(i) a_{ij} b_j(o_t)$$

- In implementation, we will use negative logarithm to avoid underflow problem
- The score of the best path upto the step t and ends with the state j is denoted by $v'_t(j) = -\log v_t(j)$

$$\begin{aligned} v'_t(j) &= \min_i [-\log v_{t-1}(i) + -\log a_{ij} + -\log b_j(o_t)] \\ &= \min_i [v'_{t-1}(i) + -\log a_{ij} + -\log b_j(o_t)] \end{aligned}$$



Viterbi Algorithm Steps

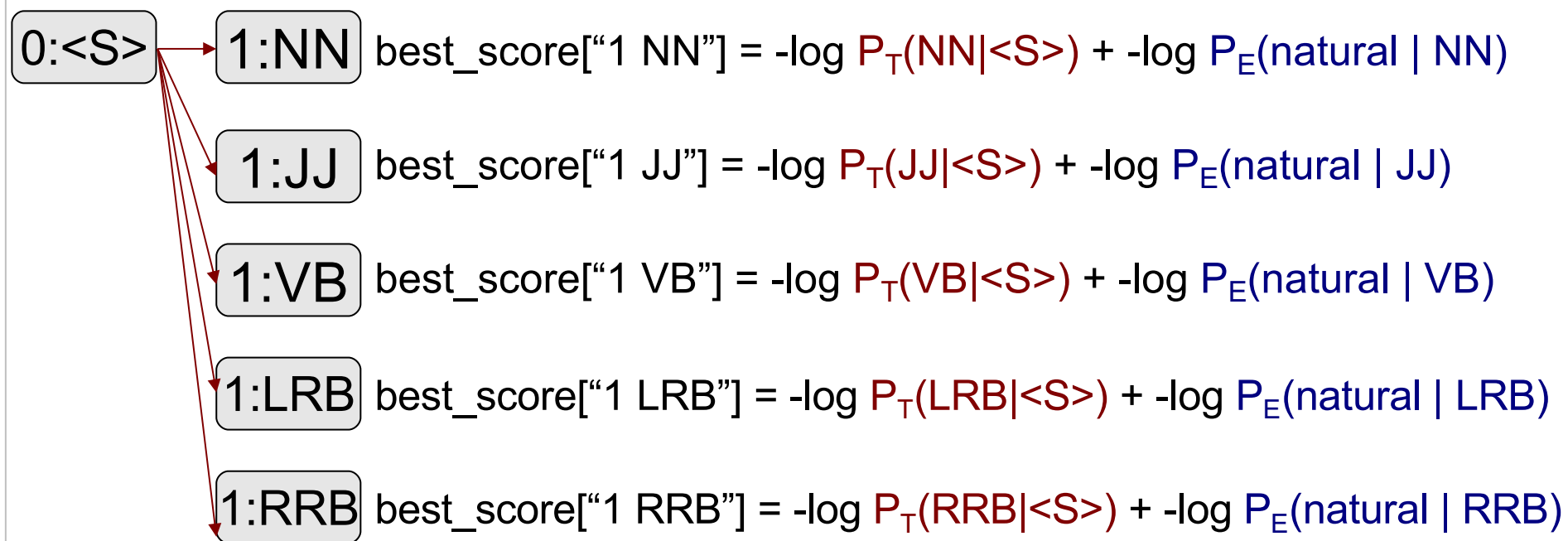
- Forward step, calculate the best path to a node
 - Find the path to each node with the lowest negative log probability
- Backward step, reproduce the path



Forward Step: Part 1

- First, calculate transition from $\langle S \rangle$ and emission of the first word for every POS

natural



...



Forward Step: Middle Parts

- For middle words, calculate the minimum score for all possible previous POS tags

natural language

1:NN → 2:NN

1:JJ → 2:JJ

1:VB → 2:VB

1:LRB → 2:LRB

1:RRB → 2:RRB

...

...

$$\text{best_score}["2 \text{ NN}"] = \min(\begin{aligned} &\text{best_score}["1 \text{ NN}"] + -\log P_T(\text{NN}|\text{NN}) + -\log P_E(\text{language} | \text{NN}), \\ &\text{best_score}["1 \text{ JJ}"] + -\log P_T(\text{NN}|\text{JJ}) + -\log P_E(\text{language} | \text{NN}), \\ &\text{best_score}["1 \text{ VB}"] + -\log P_T(\text{NN}|\text{VB}) + -\log P_E(\text{language} | \text{NN}), \\ &\text{best_score}["1 \text{ LRB}"] + -\log P_T(\text{NN}|\text{LRB}) + -\log P_E(\text{language} | \text{NN}), \\ &\text{best_score}["1 \text{ RRB}"] + -\log P_T(\text{NN}|\text{RRB}) + -\log P_E(\text{language} | \text{NN}), \\ &\dots \end{aligned})$$

$$\text{best_score}["2 \text{ JJ}"] = \min(\begin{aligned} &\text{best_score}["1 \text{ NN}"] + -\log P_T(\text{JJ}|\text{NN}) + -\log P_E(\text{language} | \text{JJ}), \\ &\text{best_score}["1 \text{ JJ}"] + -\log P_T(\text{JJ}|\text{JJ}) + -\log P_E(\text{language} | \text{JJ}), \\ &\text{best_score}["1 \text{ VB}"] + -\log P_T(\text{JJ}|\text{VB}) + -\log P_E(\text{language} | \text{JJ}), \\ &\dots \end{aligned})$$

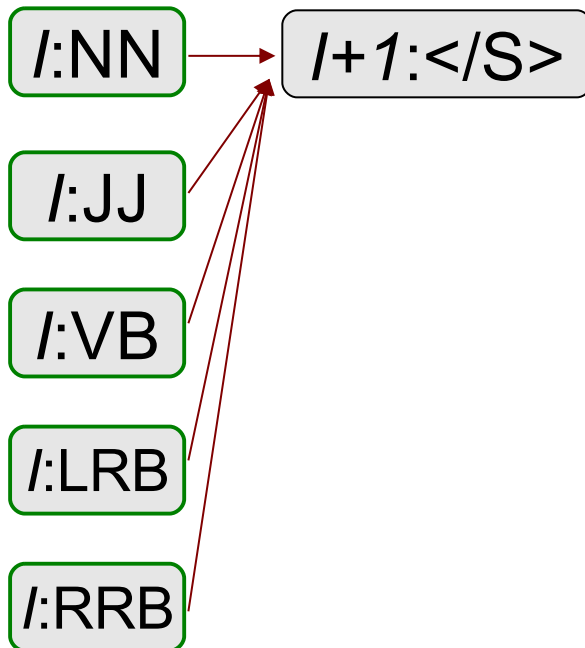
...



Forward Step: Final Part

- Finish up the sentence with the sentence final symbol

science



```
best_score["/+1 </S>"] = min(  
    best_score["/ NN"] + -log  $P_T(</S>|NN)$ ,  
    best_score["/ JJ"] + -log  $P_T(</S>|JJ)$ ,  
    best_score["/ VB"] + -log  $P_T(</S>|VB)$ ,  
    best_score["/ LRB"] + -log  $P_T(</S>|LRB)$ ,  
    best_score["/ NN"] + -log  $P_T(</S>|RRB)$ ,  
    ...  
)
```



Implementation: Model Loading

```
make a map for transition, emission, possible_tags  
  
for each line in model_file  
    split line into type, context, word, prob  
    possible_tags[context] = 1 # We use this to  
                                # enumerate all tags  
  
    if type = "T"  
        transition["context word"] = prob  
    else  
        emission["context word"] = prob
```




Implementation: Forward Step

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split *line* into words

$l = \text{length}(\text{words})$

make maps *best_score*, *best_edge*

best_score["0 <s>"] = 0 **# Start with <s>**

best_edge["0 <s>"] = NULL

for i in 0 ... $l-1$:

for each *prev* in keys of *possible_tags*

for each *next* in keys of *possible_tags*

if *best_score*[" i *prev*"] **and** *transition*["*prev next*"] **exist**

 score = *best_score*[" i *prev*"] +

$-\log P_T(\text{next}|\text{prev}) + -\log P_E(\text{word}[i]|\text{next})$

if *best_score*[" $i+1$ *next*"] **is new or** $>$ score

best_score[" $i+1$ *next*"] = score

best_edge[" $i+1$ *next*"] = "*i prev*"

Finally, do the same for </s>



Implementation: Backward Step

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```
tags = []  
next_edge = best_edge[ "/ </s>" ]  
while next_edge != "0 <s>"  
    # Add the substring for this edge to the words  
    split next_edge into position, tag  
    append tag to tags  
    next_edge = best_edge[ next_edge ]  
tags.reverse()  
join tags into a string and print
```



Lecture outline

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- Sequence Labeling Problems
- Hidden Markov Models for Part-of-Speech Tagging
- **Conditional Random Fields**
- Evaluation of Named Entity Recognition



Problems of HMM tagger

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- Unknown words
 - ☐ Proper names and accronyms
 - ☐ New common verbs and nouns
- Difficult to incorporate attribiary features to HMM



Conditional Random Fields (CRF)

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- A discriminative sequence model based on log-linear models

Given $X = x_1^n = x_1 \dots x_n$. We want to compute sequence of output tags $Y = y_1^n = y_1 \dots y_n$

- CRF computes posterior probability $P(Y|X)$ directly

$$\hat{Y} = \arg \max_Y P(Y|X)$$



Conditional Random Fields

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- CRF models $P(Y|X)$ by using feature functions f

$$p(Y|X) = \frac{\exp \left(\sum_{k=1}^K w_k F_k(X, Y) \right)}{\sum_{Y' \in \mathcal{Y}} \exp \left(\sum_{k=1}^K w_k F_k(X, Y') \right)}$$

- We call $F_k(X, Y)$ **global features**
 - Each one is a property of the entire input sequence X and output sequence Y



Global Features

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- Decompose global features into a sum of local features for each position i in Y

$$F_k(X, Y) = \sum_{i=1}^n f_k(y_{i-1}, y_i, X, i)$$



Features in a CRF POS Tagger

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- Each local feature depends on any information from (y_{i-1}, y_i, X, i)
 - $I(x_i = \textit{the}, y_i = \text{DET})$
 - $I(y_i = \text{PROPN}, x_{i+1} = \textit{Street}, y_{i-1} = \text{NUM})$
 - $I(y_i = \text{VERB}, y_{i-1} = \text{AUX})$
 - $I\{x\}$ is an indicator function
 - 1 if x is true, 0 otherwise



Features in a CRF POS Tagger

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- Feature templates
 - $\langle y_i, x_i \rangle, \langle y_i, y_{i-1} \rangle, \langle y_i, x_{i-1}, x_{i+2} \rangle$
- Features generated for the example *Janet/NNP will/MD back/VB the/DT bill/NN* when x_i is the word *back*
 - $f_{2341}: y_i = \text{VB}$ and $x_i = \text{back}$
 - $f_{100}: y_i = \text{VB}$ and $y_{i-1} = \text{MD}$
 - $f_{99451}: y_i = \text{VB}$ and $x_{i-1} = \text{will}$ and $x_{i+2} = \text{bill}$



Features in CRF POS Tagger

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- Features that help with unknown words

- ☐ Word shape features
- ☐ Prefix and suffix features

- E.g., features for the word Hà_Nội

$\text{prefix}(x_i) = \text{H}$

$\text{prefix}(x_i) = \text{Hà}$

$\text{suffix}(x_i) = \text{ội}$

$\text{suffix}(x_i) = \text{i}$

$\text{word-shape}(x_i) = \text{Xx_Xxx}$

$\text{short-word-shape}(x_i) = \text{Xx_Xx}$



Features for CRF Named Entity Recognizers

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■ Features are very similar features to a POS tagger

identity of w_i , identity of neighboring words

embeddings for w_i , embeddings for neighboring words

part of speech of w_i , part of speech of neighboring words

presence of w_i in a gazetteer

w_i contains a particular prefix (from all prefixes of length 4)

w_i contains a particular suffix (from all suffixes of length 4)

word shape of w_i , word shape of neighboring words

short word shape of w_i , short word shape of neighboring words

gazetteer features



Features for CRF Named Entity Recognizers

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- E.g., features for entity token *L'Occitane*

$\text{prefix}(x_i) = \text{L}$

$\text{suffix}(x_i) = \text{tane}$

$\text{prefix}(x_i) = \text{L'}$

$\text{suffix}(x_i) = \text{ane}$

$\text{prefix}(x_i) = \text{L'O}$

$\text{suffix}(x_i) = \text{ne}$

$\text{prefix}(x_i) = \text{L'Oc}$

$\text{suffix}(x_i) = \text{e}$

$\text{word-shape}(x_i) = \text{X'Xxxxxxxx}$ $\text{short-word-shape}(x_i) = \text{X'Xx}$



Inference and Training for CRFs

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$$\begin{aligned}\hat{Y} &= \operatorname{argmax}_{Y \in \mathcal{Y}} P(Y|X) \\ &= \operatorname{argmax}_{Y \in \mathcal{Y}} \frac{1}{Z(X)} \exp \left(\sum_{k=1}^K w_k F_k(X, Y) \right) \\ &= \operatorname{argmax}_{Y \in \mathcal{Y}} \exp \left(\sum_{k=1}^K w_k \sum_{i=1}^n f_k(y_{i-1}, y_i, X, i) \right) \\ &= \operatorname{argmax}_{Y \in \mathcal{Y}} \sum_{k=1}^K w_k \sum_{i=1}^n f_k(y_{i-1}, y_i, X, i) \\ &= \operatorname{argmax}_{Y \in \mathcal{Y}} \sum_{i=1}^n \sum_{k=1}^K w_k f_k(y_{i-1}, y_i, X, i)\end{aligned}$$



Viterbi equation for CRFs

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Use Viterbi algorithm in decoding

$$v_t(j) = \max_{i=1 \dots N} v_{t-1}(i) \sum_{k=1}^K w_k f_k(y_{t-1}, y_t, X, t)$$

$$1 \leq j \leq N, 1 < t \leq T$$

Learning in CRFs relies on the same supervised learning algorithm presented in logistic regression

- Stochastic Gradient Descent



Libraries that implement CRFs

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- C++
 - CRF++
 - CRFSuite
- Mallet
- Python Wrappers
 - sklearn-crfsuite
 - python-crfsuite
 - Python wrapper in CRF++



Lecture outline

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Evaluation

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- Part-of-Speech tagging is evaluated by accuracy
 - Ratio of correct labeled tags to total tags

- Named Entity Recognition is evaluated by Precision, Recall, F1
 - Recall is the ratio of the number of correctly labeled responses to the total that should have been labeled;
 - Precision is the ratio of the number of correctly labeled responses to the total labeled;
 - F -measure is the harmonic mean of the two.

- We often use segeval for evaluating sequence labeling tasks