

UNIVERSITY BORDEAUX 1
MASTER INFORMATIC - SOFTWARE ENGINEERING

A PROJECT REPORT
ON

FORMAL DESIGN



BY

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ABSTRACT

Formal Methods in System Design allows the designing, implementing, and validating the correctness of the system. In this project, I implemented and proved the correctness of the machines that check a given array if it's sorted in ascending order, sorted (ascending or descending), check two given arrays if they are identicals, if one array included the other, and sort a given array in ascending order

The purpose of this paper, is to deliver the process of proving the proof obligations, those that was proved interactively, as well as the reasoning for the unproved ones.

1 INTRODUCTION

In the last lesson of Formal Design, we were given a project to finish. Our job is to design several machines that :

- check if a given array is sorted in ascending order
- check if a given array is sorted (ascending or descending order)
- check if two given arrays are identical
- given two arrays, check if one array included the other one
- given an array with pairwise distinct values, sort this array in ascending order
- given an array, sort this array in ascending order

I used a software, named **Rodin**, to do this project. Some theories will be proved in this paper, if cannot be proved in the program.

2 Check if array is sorted in ascending order

- Specification: TestAscendingMachine
- Implementation: TestAscendingMachineImplementation

The only PO that need to be proved interactively, is **liveness/WD** int **TestAscendingMachineImplementation**. I proved this using tthe tactic **Disjunction to implication**

3 Check if array is sorted

- Specification: TestSortedMachine
- Implementation: TestSortedMachineImplementation

The POs that need to be proved interactively is :

- inv9/WD
- liveness/WD
- liveness/THM
- INITIALISATION/inv7/INV
- IS_SORTED/grd1/GRD
- LOOP_EQUAL/inv9/INV

The only tatic that I used, is **Disjujction to implication**

4 Check if two given arrays are identical

- Specification: CompareArraysMachine
- Implementation: CompareArraysMachineImplementation

The POs that need to be proved interactively:

- In CompareArraysMachine
 - NOT_IDENTICAL/grd1/WD
- In CompareArraysMachineImplementation
 - liveness/WD
 - IS_IDENTICAL/grd1/GRD
 - NOT_IDENTICAL/grd1/WD

The only tactic used to solve, is **Disjunction to implication**

5 Check if the values of one array is included in another one

- Specification: ValueIncludedMachine
- Implementation:
 - ValueIncludedMachineImplementation
 - ValueIncludedMachineImplementation2

ValueIncludedMachineImplementation is a machine that check the values of the two arrays whether they satisfy :

$$\forall i \cdot i \in \text{dom}(\text{array1}) \Rightarrow (\exists j \cdot j \in \text{dom}(\text{array2}) \Rightarrow \text{array1}(i) = \text{array2}(j))$$

The complexity of this problem is:

$$O(n_1, n_2) = n_1 \cdot n_2 \quad n_1 = \text{card}(\text{dom}(\text{array1})) \wedge n_2 = \text{card}(\text{dom}(\text{array2}))$$

ValueIncludedMachineImplementation2 is a special case of **ValueIncludedMachineImplementation**, where the two given arrays are sorted in ascending order.

$$O(n_1, n_2) = n_1 + n_2 \quad n_1 = \text{card}(\text{dom}(\text{array1})) \wedge n_2 = \text{card}(\text{dom}(\text{array2}))$$

The POs that need to be proved interactively are:

- liveness/WD (in both of the implementations)
- liveness/THM (in both of the implementations)

The tactic that was used to prove, is **Disjunction to implication**

6 Sort an array pairwised distinct values

- Specification: SortArrayDistinctValueMachine
- Implementation: SortArrayDistinctValueAscendingMachineImplementation

In this machine, the POs that need to be proved interactively is many, and the proving process is more complicated than before.

6.1 LOOP_SWAP/inv6/INV

I added some hypotheses:

- $a'(last_index + 1) = a(last_index + 1)$
- $last_index + 1 > indice + 1$
- $i = indice \vee i = indice + 1 \vee (i \neq indice \wedge i \neq indice + 1)$

6.2 LOOP_SWAP/inv7/INV

Hypothese added:

$$i = indice \vee i = indice + 1 \vee i < indice$$

After that, I proved by case. First case, $i < indice$, add hypothese : $a'(i) = a(i)$.

For two last cases, $i = indice \vee i = indice + 1$, it was proved automatic.

6.3 LOOP_SWAP/inv10/INV

Demonstrate:

$$\forall i \cdot i \in last_index + 1..size \wedge i + 1 \in last_index + 1..size \Rightarrow a'(i) \leq a'(i + 1)$$

I applied this hypothese for i and $i + 1$

$$\forall i \cdot i \in 1..size \wedge \neg i = indice \wedge \neg i = indice + 1 \Rightarrow a'(i) = a(i)$$

After that I applied this hypothese, and it was done.

$$\forall i \cdot i \in last_index + 1..size \wedge i + 1 \in last_index + 1..size \Rightarrow a(i) \leq a(i + 1)$$

6.4 LOOP_SWAP/inv12/INV

Demonstrate:

$$\forall i \cdot i \in 1..size \Rightarrow (\exists j \cdot j \in 1..size \Rightarrow array(i) = a'(j))$$

Applying case distinction :

$$i = indice \vee i = indice + 1 \vee (i \neq indice \wedge i \neq indice + 1)$$

After that, each case was proved automatically.

6.5 LOOP_SWAP/act4/FIS

Prove that :

$$\begin{aligned} & \exists a' \cdot a' \in 1..size \rightarrow array[1..size] \\ & \wedge (\forall i \cdot i \in 1..size \wedge i \neq indice \wedge i \neq indice + 1 \Rightarrow a'(i) = a(i)) \\ & \wedge a'(indice) = a(indice + 1) \wedge a'(indice + 1) = a(indice) \end{aligned}$$

Here I chose that $a' = a < + \{indice \mapsto a(indice + 1), indice + 1 \mapsto a(indice)\}$
(I tried to define the function overriding symbol like in Rodin with $< +$)

After that, the PO was proved automatically.

6.6 BUBBLE_SORT/inv10/INV

Demonstrate:

$$\forall i \cdot i \in last_index..size \wedge i + 1 \in last_index..size \Rightarrow a(i) \leq a(i + 1)$$

I applied case distinction tactic, with $i = last_index \vee i > last_index$, and the PO was proved automatically after that.

6.7 RETURN/grd2/GRD

Demonstrate:

$$\forall i \cdot i \in dom(a) \wedge i + 1 \in dom(a) \Rightarrow a(i) \leq a(i + 1)$$

with hypothese:

$$(in_loop = FALSE \wedge swap = FALSE \wedge indice = last_index \wedge last_index > 1) \\ \vee last_index = 1$$

Apply 'proof by case'-tatic in the hypothese.

6.7.1 First case:

We have:

$$(in_loop = FALSE \wedge swap = FALSE \wedge indice = last_index \wedge last_index > 1)$$

Then do case distinction for i : $i < last_index \vee i = last_index \vee i > last_index$

In case $i < last_index$, apply hypothese :

$$\forall i \cdot i \in 1..last_index \wedge i + 1 \in 1..last_index \Rightarrow a(i) \leq a(i + 1)$$

In case $i = last_index$, apply hypothese :

$$last_index < size \Rightarrow (\forall i \cdot i \in 1..last_index \Rightarrow a(i) \leq a(last_index + 1))$$

In case $i > last_index$, apply hypothese :

$$\forall i \cdot i \in last_index + 1..size \wedge i \in last_index + 1..size \Rightarrow a(i) \leq a(i + 1)$$

6.7.2 Second case:

We have: $last_index = 1$

Apply hypothese :

$$\forall i \cdot i \in last_index + 1..size \wedge i + 1 \in last_index + 1..size \Rightarrow a(i) \leq a(i + 1)$$

Then we do case distinction for i : $i = 1 \vee i > 1$

6.8 RETURN/grd3/GRD

Demonstrate :

$$\forall i \cdot i \in dom(array) \Rightarrow (\exists j \cdot j \in dom(a) \Rightarrow array(i) = a(j))$$

This condition is enough to ensure that no member in **array** is missing in **a**, because the number of occurrence of each member is 1. In the next part, sorting a

random array, we have to ensure that the number of occurrences of each member in `array` and `a` are the same

6.9 liveness/THM

Apply these hypotheses (most of them are logic transformation) :

$$\begin{aligned} & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) > a(indice + 1) \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) \leq a(indice + 1) \wedge last_index > 1) \\ \Leftrightarrow & in_loop = TRUE \wedge indice < last_index \wedge last_index > 1 \end{aligned}$$

$$\begin{aligned} & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) > a(indice + 1) \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) \leq a(indice + 1) \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice = last_index \wedge last_index > 1) \\ \Leftrightarrow & in_loop = TRUE \wedge last_index > 1 \wedge indice \leq last_index \end{aligned}$$

$$\begin{aligned} & (in_loop = TRUE \wedge indice = last_index \wedge last_index > 1) \vee \\ & (in_loop = FALSE \wedge swap = FALSE \wedge indice = last_index \wedge last_index > 1) \vee \\ & (in_loop = FALSE \wedge indice = last_index \wedge swap = TRUE \wedge last_index > 1) \\ \Leftrightarrow & indice = last_index \wedge last_index > 1 \end{aligned}$$

$$\begin{aligned} & (in_loop = FALSE \wedge swap = FALSE \wedge indice = last_index \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) > a(indice + 1) \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice < last_index \wedge a(indice) \leq a(indice + 1) \wedge last_index > 1) \vee \\ & (in_loop = TRUE \wedge indice = last_index \wedge last_index > 1) \vee \\ & (in_loop = FALSE \wedge swap = FALSE \wedge last_index = size \wedge last_index > 1) \vee \\ & (in_loop = FALSE \wedge indice = last_index \wedge swap = TRUE \wedge last_index > 1) \\ \Leftrightarrow & last_index > 1 \wedge \\ & (indice = last_index \vee in_loop = TRUE \vee \\ & (in_loop = FALSE \wedge swap = FALSE \wedge last_index = size)) \end{aligned}$$

7 Sort a random array

- Specification: SortArrayAscendingMachine

- Implementation: SortArrayAscendingMachineImplementation

The algorithm is still the same as the previous machine, since I used the bubble sort algorithm. But we have 2 more conditions to satisfy, since the array is totally random:

- $\text{dom}(\text{new_array}) = \text{dom}(\text{array}) \wedge \text{ran}(\text{new_array}) = \text{ran}(\text{array})$
- $\forall y \cdot y \in \text{ran}(\text{array}) \Rightarrow \text{card}(\{u \mid u \in \text{dom}(\text{array}) \wedge u \mapsto y \in \text{array}\}) = \text{card}(\{x \mid x \in \text{dom}(\text{new_array}) \wedge x \mapsto y \in \text{new_array}\})$

That make the appearance of the POs below, which I had to prove interactively :

- INITIALISATION/inv14/INV
- LOOP_SWAP/inv14/INV
- LOOP_SWAP/inv13/INV

To prove the POs, I need to use the following theorem:

- thm5 : $\forall g, n \cdot n \in \mathbb{N} \wedge g \in 1..n \rightarrow \mathbb{Z} \Rightarrow g = \{i \cdot i \in 1..n \mid i \mapsto g(i)\}$
- thm7 : $\forall g, n \cdot n \in \mathbb{N} \wedge g \mapsto 1..n \rightarrow \mathbb{Z} \Rightarrow g = \{i \cdot i \in 1..n \mid i \mapsto g(i)\}$
- thm8 : $\forall A, B, C \cdot A \subseteq \mathbb{N} \wedge B \subseteq \mathbb{N} \wedge C \subseteq \mathbb{N} \wedge \text{finite}(A) \wedge \text{finite}(B) \wedge \text{finite}(C) \wedge A \cap B = \emptyset \wedge A \cap C = \emptyset \wedge B \cap C = \emptyset \Rightarrow \text{card}(A \cup B \cup C) = \text{card}(A) + \text{card}(B) + \text{card}(C)$
- thm9 : $\forall A, B \cdot A \subseteq \mathbb{N} \wedge B \subseteq \mathbb{N} \wedge A \subseteq B \wedge \text{finite}(A) \wedge \text{finite}(B) \Rightarrow \text{card}(A) \leq \text{card}(B)$
- thm1 : $\forall f, g, h, k \cdot f \in \mathbb{Z} \mapsto \mathbb{Z} \wedge g \in \mathbb{Z} \mapsto \mathbb{Z} \wedge h \in \text{dom}(f) \wedge k \in \text{dom}(f) \wedge h \neq k \wedge g = f < +\{h \mapsto f(k), k \mapsto f(h)\} \wedge \text{finite}(f) \wedge \text{finite}(g) \Rightarrow \text{dom}(g) = \text{dom}(f)$
- thm6 : $\forall g, n, h, k \cdot n \in \mathbb{N} \wedge g \in 1..n \rightarrow \mathbb{Z} \wedge h \in \text{dom}(g) \wedge k \in \text{dom}(g) \Rightarrow \{h, k\} \triangleleft g = i \cdot i \in 1..n \wedge i \neq h \wedge i \neq k \mid i \mapsto g(i)$
- thm2 : $\forall f, g, h, k, n \cdot n \in \mathbb{N} \wedge f \in 1..n \rightarrow \mathbb{Z} \wedge g \in 1..n \rightarrow \mathbb{Z} \wedge h \in \text{dom}(f) \wedge k \in \text{dom}(f) \wedge h \neq k \wedge g = f < +\{h \mapsto f(k), k \mapsto f(h)\} \wedge \text{finite}(f) \wedge \text{finite}(g) \Rightarrow \text{ran}(g) = \text{ran}(f)$
- thm3 : $\forall f, g, h, k, y, n \cdot n \in \mathbb{N} \wedge f \in 1..n \rightarrow \mathbb{Z} \wedge g \in 1..n \rightarrow \mathbb{Z} \wedge h \in \text{dom}(f) \wedge k \in \text{dom}(f) \wedge h \neq k \wedge g = f < +\{h \mapsto f(k), k \mapsto f(h)\} \wedge \text{finite}(f) \wedge \text{finite}(g) \wedge y \in \text{ran}(f) \Rightarrow \text{card}(\{u \cdot u \in \text{dom}(f) \wedge u \mapsto y \in f \mid u\}) = \text{card}(\{x \cdot x \in \text{dom}(g) \wedge x \mapsto y \in g \mid x\})$

- **thm4** : $\forall f, g, h, k, n \cdot n \in \mathbb{N} \wedge f \in 1..n \rightarrow \mathbb{Z} \wedge g \in 1..n \rightarrow \mathbb{Z} \wedge h \in \text{dom}(f) \wedge k \in \text{dom}(f) \wedge h \neq k \wedge \text{finite}(f) \wedge \text{finite}(g) \wedge (\forall i \cdot i \in 1..n \wedge i \neq h \wedge i \neq k \Rightarrow g(i) = f(i)) \wedge g(h) = f(k) \wedge g(k) = f(h) \Rightarrow g = f < +\{h \mapsto f(k), k \mapsto f(h)\}$

7.1 INITIALISATION/inv14/INV

Demonstrate that : $\text{finite}(\text{array})$

By point out that : $\exists f \cdot f \in 1..\text{size} \rightsquigarrow \text{array}$

I chose $f = \{i \cdot i \in 1..\text{size} \mid i \mapsto (i \mapsto \text{array}(i))\}$, and then I repeat the removal of \in in goal to simplify the PO.

7.2 LOOP_SWAP/inv14/INV

Demonstrate that : $\text{finite}(a')$

The process is the same as above, except that this time, I used the hypothese added to make the proving more easier :

$$\{i \cdot i \in 1..\text{size} \mid i \mapsto a'(i)\} = a'$$

7.3 LOOP_SWAP/inv13/INV

Demonstrate that :

$$\forall y \cdot i \in \text{ran}(\text{array}) \Rightarrow \text{card}(\{u \cdot u \in \text{dom}(\text{array}) \wedge u \mapsto y \in \text{array} \mid u\}) = \text{card}(\{x \cdot x \in \text{dom}(a') \wedge x \mapsto y \in a' \mid x\})$$

Use the hypothese : $\text{card}(\{u \cdot u \in \text{dom}(\text{array}) \wedge u \mapsto y \in \text{array} \mid u\}) = \text{card}(\{x \cdot x \in \text{dom}(a) \wedge x \mapsto y \in a \mid x\})$.

We then need to prove :

$$\text{card}(\{x \cdot x \in \text{dom}(a') \wedge x \mapsto y \in a' \mid x\}) = \text{card}(\{u \cdot u \in \text{dom}(a) \wedge u \mapsto y \in a \mid u\})$$

Use the **thm4** to precise that $a' = a < +\{\text{indice} \mapsto a(\text{indice} + 1), \text{indice} + 1 \mapsto a(\text{indice})\}$

Then use **thm3** to demonstrate $\text{card}(\{x \cdot x \in \text{dom}(a') \wedge x \mapsto y \in a' \mid x\}) = \text{card}(\{u \cdot u \in \text{dom}(a) \wedge u \mapsto y \in a \mid u\})$

Now the main point is, to prove all the added theorem.**thm1**, **thm5**, **thm7**, **thm9** are pretty much auto proved.

7.4 thm8/THM

This theorem is proved by using the original formular of $\text{card}(A \cup B \cup C)$, and the fact that intersection between A, B or C is empty.

7.5 thm6/THM

This theorem is proved by adding :

$$\{h, k\} \triangleleft g = \{j \cdot j \in \text{dom}(g) \setminus \{h, k\} \mid j \mapsto g(j)\}$$

7.6 thm2/THM

This theorem was proved by re-define the overriding operation, and then apply **thm6**, and then apply the classic process :

$$A = B \Leftrightarrow A \subseteq B \wedge B \subseteq A$$

After re-define the overriding operation, we get the new PO :

$$\text{ran}((\{h, k\} \triangleleft f \cup \{h \mapsto f(k), k \mapsto f(h)\})) = \text{ran}(f)$$

This proposition $\text{ran}((\{h, k\} \triangleleft f \cup \{h \mapsto f(k), k \mapsto f(h)\})) \subseteq \text{ran}(f)$ is proved automatically. We need to prove :

$$\text{ran}(f) \subseteq \text{ran}((\{h, k\} \triangleleft f \cup \{h \mapsto f(k), k \mapsto f(h)\}))$$

This is proved by point out : $\forall x0 \mapsto x \in f$, we prove that $x \in \text{ran}((\{h, k\} \triangleleft f \cup \{h \mapsto f(k), k \mapsto f(h)\}))$

By using case distinction : $x0 = h \vee x0 = k \vee (x0 \neq h \wedge x0 \neq k)$, we can prove this easily.

7.7 thm4/THM

This theorem is proved by demonstrate 2 propositions :

- $g \subseteq f < +\{h \mapsto f(k), k \mapsto f(h)\}$
- $f < +\{h \mapsto f(k), k \mapsto f(h)\} \subseteq g$

Then apply **thm5** on each side, and then divide after $\text{dom}(f)$ and $\{h, k\}$.

7.8 thm3/THM

This theorem is the main point, that lead to the demonstration of **LOOP_SWAP/inv13/INV**.

To do this, there're somethings we need to pay attention :

$$\forall y \in \text{rang}(f) \cdot \{u \cdot u \in 1..n \wedge u \mapsto y \in f \mid u\} = \{u \cdot u \in 1..n \wedge u \neq h \wedge u \neq k \wedge u \mapsto y \in f \mid u\} \cup \{u \cdot u = h \wedge u \mapsto y \in f \mid u\} \cup \{u \cdot u = k \wedge u \mapsto y \in f \mid u\}$$

We have 3 new subsets, and intersection between each pair, is null. Apply **thm8** for both f and g 's side. Then prove the followings :

- $\text{card}(\{u \cdot u \in 1..n \wedge u \neq h \wedge u \neq k \wedge u \mapsto y \in f \mid u\}) = \text{card}(\{u \cdot u \in 1..n \wedge u \neq h \wedge u \neq k \wedge u \mapsto y \in g \mid u\})$
- $\text{card}(\{u \cdot u = h \wedge u \mapsto y \in f \mid u\}) = \text{card}(\{u \cdot u = k \wedge u \mapsto y \in g \mid u\})$
- $\text{card}(\{u \cdot u = k \wedge u \mapsto y \in f \mid u\}) = \text{card}(\{u \cdot u = h \wedge u \mapsto y \in g \mid u\})$

This can be done by using the definition of function g .

8 CONCLUSION

After finish this project, I have some new experiences. Each condition added must be logic, and must consider the relation between it and others. The invariant's placement is important also, since one theorem cannot use the one that is defined after it. And math logic is very important also. Sometimes it's better to re-define even the definition of some characteristic, so you can always re-use it, better than have to re-proving each time it comes in need.