An Opportunity Cost Approach for Job Assignment in a Scalable Computing Cluster

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Abstract—A new method is presented for job assignment to and reassignment between machines in a computing cluster. Our method is based on a theoretical framework that has been experimentally tested and shown to be useful in practice. This "opportunity cost" method converts the usage of several heterogeneous resources in a machine to a single homogeneous "cost." Assignment and reassignment are then performed based on that cost. This is in contrast to traditional, *ad hoc* methods for job assignment and reassignment. These treated each resource as an independent entity with its own constraints, as there was no clean way to balance one resource against another. Our method has been tested by simulations, as well as real executions, and was found to perform well.

Index Terms—Networks, resource allocation, metacomputers.

1 Introduction

The performance of any cluster of workstations improves when its resources are used wisely. A poor job assignment strategy can result in heavily unbalanced loads and thrashing machines, which cripples the cluster's computational power. Resources can be used more efficiently if the cluster can migrate jobs—moving them transparently from one machine to another. The Mosix [1], [2] system, for example, allows this kind of transparent job migration in the Unix environment. However, even systems that can reassign jobs can still benefit from a carefully-chosen assignment strategy.

Job migration is attractive because the arrival rate and resource demands of incoming jobs are unpredictable. In light of this unpredictability, jobs will sometimes be assigned to a nonoptimal machine, and migration gives the system a second (or third, etc.) chance to fix such a mistake. It is intuitively clear that the ability to migrate jobs could lead to better performance—that is, faster completion times for the average job. Unless it is known where a job should be at any given time, however, the reassignment strategy could also make mistakes.

Determining the optimal location for a job is a complicated problem. The most important complication is that the resources available on a cluster of workstations are heterogeneous. In effect, the costs for memory, CPU, process communication and so forth are *incomparable*. They are not even measured in the same units: communication resources are measured in terms of bandwidth, memory in terms of space, and CPU in terms of cycles. The natural

Manuscript 4 Apr. 1999; revised 11 May 1999; accepted 11 Nov. 1999. For information on obtaining reprints of this article, please send e-mail to: tpds@computer.org, and reference IEEECS Log Number 109532.

greedy strategy, balancing the resources across all of the machines, is not even well-defined.

In this paper, we present a new job assignment strategy based on "economic" principles and competitive analysis. This strategy enables us to manage heterogeneous resources in a near-optimal fashion. The key idea of this strategy is to convert the total usage of several heterogeneous resources, such as memory and CPU, into a single homogeneous "cost." Jobs are then assigned to the machine where they have the lowest cost.

This economic strategy provides a unified algorithmic framework for allocation of computation, communication, memory, and I/O resources. It allows the development of near-optimal online algorithms for allocating and sharing these resources.

Our strategy guarantees near-optimal end-to-end performance for the overall system on each single instance of job generation and resource availability. This is accomplished using online algorithms that know nothing about the future, assume no correlation between past and future, and are only aware of the state. In spite of this, one can rigorously prove that their performance will always be comparable to that of the optimal prescient strategy.

This work shows that the unified opportunity cost approach offers good performance in practice. First, we performed tests using a simulated cluster and a "typical" series of incoming jobs. Our method, with and without reassignments, was compared against the methods of PVM, a dominant static job assignment strategy, and Mosix, one of the more successful systems that support transparent process migration. Each method was given an identical stream of jobs. Over 3,000 executions of this Java-based simulation were performed, each representing at least 10,000 simulated seconds. When no reassignments were allowed, our method was shown to be a dramatic improvement over PVM. When reassignments were allowed, our method was substantially better than that of the highly tuned, but ad hoc, Mosix strategy.

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A second series of tests was performed on a real system, to validate this simulation. This system consisted of a collection of Pentium 133, Pentium Pro 200, and Pentium II machines with different memory capacity, connected by Fast Ethernet, running BSD/OS [3]. The physical cluster and the simulated cluster were slightly different, but the proportional performance of the various strategies was very close to that of the Java simulation. This indicates that the simulation appropriately reflects events on a real system.

In Section 2, we will discuss the model we used and our assumptions. In Sections 3 and 4, we will describe our algorithm and the theoretical guarantees that come with it. In Section 5, we will show our experimental evidence that this strategy is useful in practice. Section 6 concludes the paper. For additional information about this research, consult the following web site: http://www.cnds.jhu.edu/projects/metacomputing.

2 THE MODEL

The goal of this work is to improve performance in a cluster of n machines, where machine i has a CPU resource of speed $r_c(i)$ and a memory resource of size $r_m(i)$. We will abstract out all other resources associated with a machine, although our framework can be extended to handle additional resources.

There is a sequence of arriving jobs that must be assigned to these machines. Each job is defined by three parameters:

- Its arrival time, a(j),
- The number of CPU seconds it requires, t(j), and
- The amount of memory it requires, m(j).

We assume that m(j) is known when a job arrives, but t(j) is not. A job must be assigned to a machine immediately upon its arrival, and may or may not be able to move to another machine later.

Let J(t,i) be the set of jobs in machine i at time t. Then the CPU load and the memory load of machine i at time t are defined by:

$$l_c(t,i) = |J(t,i)|,$$

and

$$l_m(t,i) = \sum_{j \in J(t,i)} m(j),$$

respectively.

We will assume that when a machine runs out of main memory, it is slowed down by a multiplicative factor of τ , due to disk paging. The *effective CPU load* of machine i at time t, L(t, i), is therefore:

$$l_c(t, i)$$
 if $l_m(t, i) < r_m(i)$, and $l_c(t, i)^* \tau$ otherwise.

For simplicity, we will also assume that all machines schedule jobs *fairly*. That is, at time t, each job on machine i will receive 1/L(t,i) of the CPU resource. A job's completion time, c(j), therefore satisfies the following equation:

$$\int_{a(j)}^{c(j)} \frac{r_c(i)}{L(t,i)} = t(j),$$

where i is the machine the job is on at any given time. The slowdown of a job is equal to

$$\frac{c(j) - a(j)}{t(j)}.$$

Our goal in this paper is to develop a method for job assignment and/or reassignment that will minimize the average slowdown over all jobs.

3 SUMMARY OF THEORETICAL BACKGROUND AND RELATED WORK

This section presents the known theoretical background that forms the basis for our work. After presenting the relevant theory, we evaluate the effectiveness of our (online) algorithms using their *competitive ratio*, measured against the performance of an optimal offline algorithm. An online algorithm ALG is c-competitive if for any input sequence I, $ALG(I) \leq c$ $OPT(I) + \alpha$, where OPT is the optimal offline algorithm and α is a constant.

3.1 Introduction and Definitions

The theoretical part of this paper will focus on how to minimize the maximum usage of the various resources on a system—in other words, the best way to balance a system's load. Practical experience suggests that one algorithm for doing so, described in [4], *also* meets our performance goal. This performance goal is to minimize the average slowdown, which corresponds to the sum of the squares of the loads.

In preparation for a discussion of this algorithm, ASSIGN-U, we will examine this minimization problem with three different machine models and two different kinds of jobs. The three machine models are:

- Identical Machines. All of the machines are identical, and the speed of a job on a given machine is determined only by the machine's load.
- 2. **Related Machines.** The machines are identical except that some of them have different speeds—in the model above, they have different r_c values, and the memory associated with these machines is ignored.
- 3. **Unrelated Machines.** Many different factors can influence the effective load of the machine and the completion times of jobs running there. These factors are *known*.

The two possible kinds of jobs are:

- 1. **Permanent Jobs.** Once a job arrives, it executes forever without leaving the system.
- 2. **Temporary Jobs.** Each job leaves the system when it has received a certain amount of CPU time.

We will also examine a related problem, called the *online* routing problem.

Fig. 1. E-PVM pseudocode.

3.2 Identical and Related Machines

For now, we will assume that no reassignments are possible. We also temporarily assume that the only resource is CPU time. Our goal, therefore, is to minimize the maximum CPU load.

When the machines are identical, and no other resources are relevant, the *greedy algorithm* performs well. This algorithm assigns the next job to the machine with the minimum current CPU load. The greedy algorithm has a competitive ratio of 2-1/n (see [5]). When the machines are related, the greedy algorithm has a competitive ratio of log n.

3.3 Unrelated Machines

ASSIGN-U is an algorithm for unrelated machines and permanent job assignments, based on an exponential function for the "cost" of a machine with a given load [6]. This algorithm assigns each job to a machine to minimize the total cost of all of the machines in the cluster. More precisely, let:

- a be a constant, 1 < a < 2,
- l_i(j) be the load of machine i before assigning job j, and
- $p_i(j)$ be the load job j will add to machine i.

The online algorithm will assign j to the machine i that minimizes the marginal cost

$$H_i(j) = a^{l_i(j) + p_i(j)} - a^{l_i(j)}.$$

This algorithm is $O(\log n)$ competitive for unrelated machines and permanent jobs. The work presented in [7] extends this algorithm and competitive ratio to temporary jobs, using up to $O(\log n)$ reassignments per job. A reassignment moves a job from its previously assigned machine to a new machine. In the presence of reassignments, let

• $h_i(j)$ be the load of machine i just before j was last assigned to i.

When any job is terminated, the algorithm of [7] checks a "stability condition" for each job j and each machine M. This stability condition, with i denoting the machine on which j currently resides, is:

$$a^{h_i(j)+p_i(j)} - a^{h_i(j)} \le 2^* (a^{l_M(j)+p_M(j)} - a^{l_M(j)})$$

If this stability condition is not satisfied by some job j, the algorithm reassigns j to machine M that minimizes $H_M(j)$.

For unrelated machines and temporary jobs, without job reassignment, there is no known algorithm with a competitive ratio better than n.

3.4 Online Routing of Virtual Circuits

The ASSIGN-U algorithm above minimizes the maximum usage of a single resource. In order to extend this algorithm to several resources, we examine the related problem of online routing of virtual circuits. The reason this problem is applicable will be discussed shortly. In this problem, we are given:

- A graph G(V,E), with a capacity u(e) on each edge e,
- A maximum load mx, and
- A sequence of independent requests $(s_j, t_j, p: E \rightarrow [0, mx])$ arriving at arbitrary times. s_j and t_j are the source and destination nodes, and p(j) is the required bandwidth. A request that is assigned to some path P from a source to a destination increases the load l_e on each edge $e \in P$ by the amount $p_e(j) = p(j)/u(e)$.

Our goal is to minimize the maximum link congestion, which is the ratio between the bandwidth allocated on a link and its capacity.

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E-Mosix functions similarly:
Max_jobs = 1;
while () {
when a new job j arrives:
 machine_pick = 1; cost = MAX_COST;
 for (each machine m) {
 marginal_cost = power(n, percentage memory utilization on m if j was added) +
  power(n, (jobs on m + 1) / max_jobs) - power(n, memory use on m) -
  power(n, jobs on m / max_jobs);
  if (marginal_cost < cost) { machine_pick = m; }
 assign job to machine_pick;
 if (jobs on machine_pick > max_jobs) max_jobs = max_jobs * 2;
every X seconds:
 for (each machine m) {
 for (each job j on m) {
  current_cost = power(n, percentage memory utilization on m) +
   power(n, jobs on m / max_jobs) -
   power(n, percentage memory utilization on m if j is migrated away) -
   power(n, ((jobs on m) - 1) / max_jobs);
  for (each machine m2 from a small randomly picked set) {
   marginal_cost = power(n, percentage memory utilization on m2 if i was added) +
   power(n, (jobs on m2 + 1) / max_{jobs}) - power(n, memory use on m2) -
   power(n, jobs on m2 / max jobs);
   if (marginal cost < current cost) {
   transfer j to m2;
   if (jobs on m2 > max_jobs) max_jobs = max_jobs * 2;
```

Fig. 2. E-MOSIX pseudocode.

Minimizing the maximum usage of CPU and memory, where memory usage is measured in the fraction of memory consumed, can be reduced to the online routing problem. This reduction works as follows: create two nodes, $\{s,t\}$ and n nonoverlapping two-edge paths from s to t. Machine I is represented by one of these paths, with a memory edge with capacity $r_m(i)$ and a CPU edge with capacity $r_c(i)$. Each job j is a request with s as the source, t as the sink, and p a function that maps memory edges to the memory requirements of the job and CPU edges to 1. The maximum link congestion is the larger of the maximum CPU load and the maximum memory (over) usage.

ASSIGN-U is extended further in [6] to address the online routing problem. The algorithm computes the marginal cost for each possible path P from s_j to t_j as follows:

$$H_P(j) = \sum a^{l_e+p_e(j)} - a^{l_e},$$

and assigns request j to a path P that yields a minimum marginal cost.

This algorithm is $O(\log n)$ competitive [6]. By reduction, it produces an algorithm for managing heterogeneous resources that is $O(\log n)$ competitive in its maximum usage of each resource.

4 FROM THEORY TO PRACTICE

For each machine in a cluster of n machines, with resources $r_1 \dots r_k$, we define that machine's cost to be:

$$\sum_{i=1}^{k} f(n, utilization \ of \ r_i),$$

Machine Type	# of these Machines	Processing Speed	Installed Memory
Pentium Pro	3	200 MHz.	64 MB of RAM
Pentium	2	133 MHz.	32 MB of RAM
Laptop w/ Ethernet	1	90 MHz.	24 MB of RAM

TABLE 1
The Simulated Cluster

where f is some function. In practice, using ASSIGN-U, we choose f so that this sum is equal to:

$$\sum_{i=1}^{n} a^{load \ on \ resource \ i},$$

where 1 < a < 2.

The *load* on a given resource equals its usage divided by its capacity. We assign each resource a capacity equal to its size times some constant factor δ . For convenience, we choose δ so that the optimal prescient algorithm achieves a maximum load of 1. Our algorithm can achieve loads as high as $O(\log n)$. We can therefore rewrite the summation above as:

$$\sum_{i=1}^{n} a^{O(\log n) * \frac{utilized \, r_i}{\max usage \, of \, r_i}},$$

or, with the right a,

$$\sum_{i=1}^{k} n^{\frac{utilized \, r_i}{\max usage \, of \, r_i}}.$$

The *marginal cost* of assigning a job to a given machine is the amount by which this sum increases when the job is assigned there. An "opportunity cost" approach to resource allocation assigns jobs to machines in a way that minimizes this marginal cost. ASSIGN-U uses an opportunity cost approach.

In this paper, we are interested in only two resources, CPU and memory, and we will ignore other considerations. Hence, the above theory implies that given logarithmically more memory than an optimal offline algorithm, ASSIGN-U will achieve a maximum slowdown within $O(\log n)$ of the optimal algorithm's maximum slowdown.

This does not guarantee that an algorithm based on ASSIGN-U will be competitive in its average slowdown over all processes. It also does not guarantee that such an algorithm will improve over existing techniques. Our next

TABLE 2 Average Slowdown in the Java Simulation for the Different Methods

Slowdown	PVM	E-PVM	Mosix	E-Mosix
Jobs	15.404	10.701	9.421	8.203
Executions	14.334	9.795	8.557	7.479

step was to verify that such an algorithm does, in fact, improve over existing techniques in practice.

The memory resource easily translates into ASSIGN-U's resource model. The cost for a certain amount of memory usage on a machine is n^u , where u is the proportional memory utilization (used memory/total memory.) For the CPU resource, we must know the maximum possible load. Drawing on the theory, we will assume that L, the smallest integer power of two greater than the largest load we have seen at any given time, is the maximum possible load. This assumption, while inaccurate, does not change the competitive ratio of ASSIGN-U.

The cost for a given machine's CPU and memory load, using our method, is:

$$n^{\frac{used\ memory}{total\ memory}} + n^{\frac{CPU\ load}{L}}$$

In general, we assign or reassign jobs so as to minimize the sum of the costs of all the machines in the cluster.

To examine the behavior of this "opportunity cost" approach, we evaluated four different methods for job assignment. PVM and MOSIX are standard systems. E-PVM and E-MOSIX are schedulers of our own design, using this algorithm to assign and reassign jobs.

- 1. **PVM** (for "Parallel Virtual Machine") is a popular metacomputing environment for systems without preemptive process migration. Unless the user of the system specifically intervenes, PVM assigns jobs to machines using a strict Round-Robin strategy. It does not reassign jobs once they begin execution.
- 2. Enhanced PVM (see Fig. 1) is our modified version of PVM. It uses the opportunity cost-based strategy to assign each job as it arrives to the machine where the job has the smallest marginal cost at that time. No other factors come into play. As with PVM, initial assignments are permanent. We sometimes abbreviate Enhanced PVM as E-PVM.
- 3. **Mosix** is a set of kernel enhancements to BSD/OS that allows the system to migrate processes from one machine to another without interrupting their work. Mosix uses an adaptive load-balancing strategy that also endeavors to keep some memory free on all machines. In Mosix, a process becomes a candidate for migration when the difference between the relative loads of a source machine and a target machine is above a certain threshold. Priority to migrate is given to older processes that are CPU

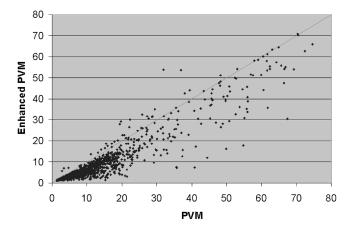


Fig. 3. PVM vs. Enhanced PVM (simulation).

bound. Mosix machines accumulate information about the processes at regular intervals (e.g., every second) and then exchange this information with other machines. Mosix is not omniscient; when the system is exchanging process information in preparation for possible process reassignment, each machine is only in contact with a limited selection of other machines. The limitations on Mosix's knowledge make it possible to make decisions quickly. The waiting period between migrations minimizes the migration overhead.

4. **Enhanced Mosix** (see Fig. 2) is our modified version of Mosix, which uses the opportunity cost-based strategy for process migration. It assigns or reassigns jobs to minimize the sum of the costs of all of the machines. Enhanced Mosix has the same limits on its knowledge and migrations as unenhanced Mosix. We sometimes abbreviate Enhanced Mosix as E-Mosix.

5 EXPERIMENTAL RESULTS

Our first test of the ASSIGN-U algorithm was a Java simulation of the four job (re)assignment methods. We based our simulated cluster on the local cluster of six Pentium machines, described in Table 1. Each incoming job

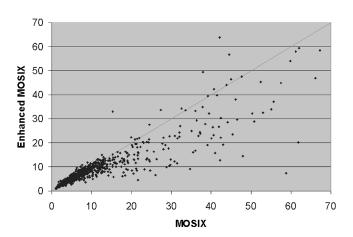


Fig. 4. Mosix vs. Enhanced Mosix (simulation).

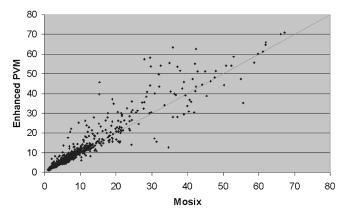


Fig. 5. Mosix vs. Enhanced PVM (simulation).

required 2/r seconds of CPU time on the fastest machine and (1/m) percent of the largest machine memory, where r and m were independently generated random numbers between 0 and 1. (This distribution is based on the observations of real-life processes in [8].) Since these algorithms are meant for metacomputing clusters, five percent of all jobs instead required 20/r seconds, and were divided into one to 20 parallel components. Jobs arrived at about one per ten seconds for ten thousand simulated seconds, distributed randomly to provide a variety of load conditions to each of our methods. All random distributions were uniform.

In each execution of the simulation, all four methods were provided with an identical scenario, where the same jobs arrived at the same rate. Mosix has been engineered to minimize migration overhead. Therefore, to keep the number of simulation parameters low, the cost of migration was ignored in the Java simulation. Other tests, described in Section 5.2, actually migrated jobs on a Mosix system, incurring all the normal costs.

We picked these simulation parameters, and miscellaneous factors, such as the thrashing constant τ (10), conservatively. Our goal was to provide E-PVM and

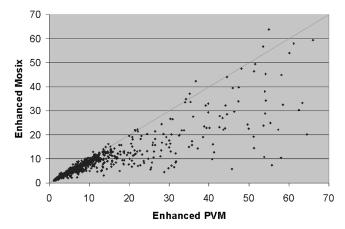


Fig. 6. Enhanced PVM vs. Enhanced Mosix (simulation).

TABLE 3
Average Slowdown in the Real Cluster for Three (Re)assignment Methods

Slowdown	PVM	E-PVM	Mosix
Jobs	19.818	12.272	8.475
Executions	18.835	11.698	8.683

E-Mosix with a harsh testing environment less favorable to them than the real world.

5.1 Simulation Results

The results of the simulations were evaluated in two different ways:

- An important concern is the overall slowdown experienced using each of the four methods. The average slowdown by execution is an unweighted average of all of the simulation results, regardless of the number of jobs in each execution. The average slowdown by job is the average slowdown over all of the jobs in all of the executions of the simulation. These results, incorporating 3000 executions, are given in Table 2.
- The behavior of Enhanced PVM and Enhanced Mosix is significantly different in lightly loaded and heavily loaded scenarios. This behavior is illustrated in Figs. 3, 4, 5, and 6, detailing the first 1,000 executions of the simulation.

Each point in the figures above represents a single execution of the simulation for the two named methods. In Fig. 3, the X axis is the average slowdown for PVM, and the Y axis is the average slowdown for enhanced PVM. Similarly, in Fig. 4, the X axis is the average slowdown for Mosix, and the Y axis is the average slowdown for enhanced Mosix. The light line is defined by "x = y." Above this line, the unenhanced algorithm does better than the enhanced algorithm. Below this line, the enhanced algorithm.

Enhanced PVM, as Table 2 has already shown, does significantly better than straight PVM in almost every

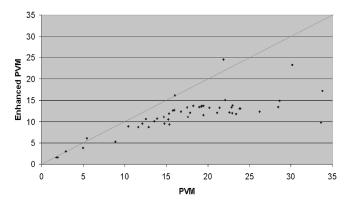


Fig. 7. PVM vs. Enhanced PVM (real executions).

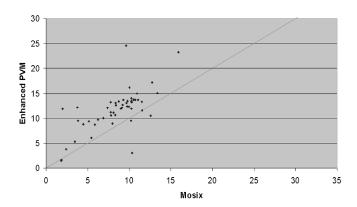


Fig. 8. Mosix vs. Enhanced PVM (real executions).

circumstance. More interesting, however, is the behavior of enhanced Mosix when compared to Mosix. The larger Mosix's average slowdown was on a given execution, the more improvement our enhancement gave. Intuitively, when an execution was hard for all four models, Enhanced Mosix did much better than unenhanced Mosix. If a given execution was relatively easy, and the system was not heavily loaded, the enhancement had less of a positive effect.

This can be explained as follows. When a machine becomes heavily loaded or starts thrashing, it does not just affect the completion time for jobs already submitted to the system. If the machine does not become unloaded before the next set of large jobs is submitted to the system, it is effectively unavailable to them, increasing the load on all other machines. If many machines start thrashing or become heavily loaded, this effect will build on itself. Every incoming job will take up system resources for a much longer span of time, increasing the slowdown experienced by jobs that arrive while it computes. Because of this pyramid effect, a "wise" initial assignment of jobs and careful rebalancing can result (in the extreme cases) in a significant improvement over standard Mosix, as shown in some of the executions in Fig. 4.

It is particularly interesting to note that, as seen in Table 2 and Fig. 5, the enhanced PVM method, which makes no reassignments at all, manages to achieve respectable (though inferior) performance compared to Mosix. This emphasizes the power of the opportunity cost approach. Its performance on a normal system is not overwhelmed by the performance of a much superior system that can correct initial assignment mistakes.

The importance of migration is demonstrated by Fig. 6. Even when using the opportunity cost algorithm, it is still very useful to have the migration ability in the system. In fact, Enhanced Mosix outperformed Enhanced PVM in almost all of the cases, often considerably.

Slowdown on Real System for	PVM vs. Mosix	E-PVM vs. Mosix	PVM vs. E-PVM
Jobs	2.282	1.413	1.615
Executions	2.222	1.380	1.610
Slowdown in Simulation for	PVM vs. Mosix	E-PVM vs. Mosix	PVM vs. E-PVM
Slowdown in Simulation for Jobs	PVM vs. Mosix 1.635	E-PVM vs. Mosix 1.139	PVM vs. E-PVM 1.440

TABLE 4
Average Relative Slowdowns for Three Job (Re)assignment Methods

5.2 Real System Executions

Our algorithms were also tested on a real cluster. The same model for incoming jobs was used, each implemented with a program that cycled through an array of the appropriate size, performing calculations on the elements therein, for the appropriate length of time. The jobs were assigned using the PVM, Enhanced PVM, and Mosix strategies. Enhanced Mosix has not yet been implemented on a real system.

Table 3 shows the slowdowns for 50 executions on this real cluster. Figs. 7 and 8 show the results point-by-point. The results of the real system executions are as follows.

The test results in Table 3 imply that the real-life thrashing constant and various miscellaneous factors increased the average slowdown. This is the expected result of picking conservative simulation parameters. More importantly, these results do not substantially change the relative values. Mosix performed substantially better on a real system, as expected, but Enhanced PVM also performed better, compared to regular PVM. We consider this to be a strong validation of our initial Java simulations and of the merits of this opportunity cost approach.

Table 4 shows the ratio of the slowdowns for the various methods. In the table, column 2 shows the performance ratio between PVM's static and Mosix's adaptive job assignments. This demonstrates the value of job migration. Column 3 shows that the opportunity cost approach closes a considerable portion of that gap. Column 4 shows the impact of the opportunity cost approach on the static system.

The results presented in Table 2, Table 3, and Table 4 give strong indications that the opportunity cost approach is among the best methods for adaptive resource allocation in a scalable computing cluster.

6 Conclusions

The opportunity cost approach is a universal framework for efficient allocation of heterogeneous resources. The theoretical guarantees are weak: one can only prove a logarithmic bound on the performance difference between the algorithm and the optimum offline schedule. However, the optimum offline schedule is not really an option. In reality, our algorithm competes with online heuristics that lack *any* guarantee of comparable scope.

In practice, this approach yields simple algorithms that significantly outperform widely used and carefully optimized methods. We conclude that the theoretical guarantees of logarithmic optimality is a good indication that the algorithm will work well in practice.

ACKNOWLEDGMENTS

The authors would like to thank Yossi Azar for his valuable contribution to this work. His clarifications of aspects of the theoretical background helped us translate it into practice. This research was supported, in part, by the U.S. Defense Advanced Research Projects Agency (DARPA) under grant F306029610293 to Johns Hopkins University.

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