

LECTURE 1

INTRODUCTION TO CODE-BASED CRYPTOGRAPHY

DECODING A RANDOM CODE

Summer School: *Introduction to Quantum-Safe Cryptography*

Thomas Debris-Alazard

July 01, 2024

Inria, École Polytechnique

- Maxime Bombar (Post-doc at CWI, Netherland)
`maxime.bombar@cwi.nl`
- Thomas Debris-Alazard (Researcher at Inria, France)
`thomas.debris@inria.fr`

Course Content:

1. An Intractable Problem Related to Codes, Decoding
2. Random Codes
3. Information Set Decoding (ISD) Algorithms and Duals Attacks
4. Duality, Fourier Theory and Decoding Self-Reducibility (Worst-to-Average Case Reduction)
5. McEliece and Alekhnovitch Encryption' Schemes (From Original Propositions to Instantiations)

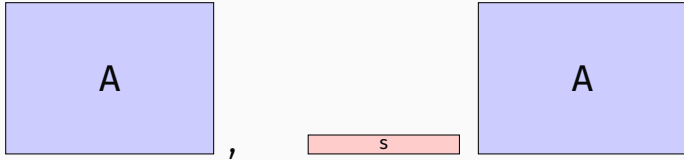
→ 3 lectures notes (long, for further reading): <https://arxiv.org/pdf/2304.03541>

Exercise Sessions:

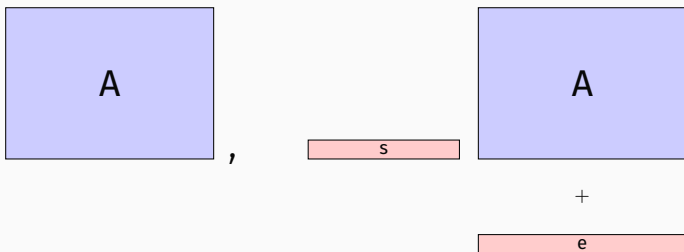
1. Starting Exercises to Get Familiar with Linear Codes & Crypto
2. Programming Session: Implement Basic ISDs and Breaking Challenges
3. Advanced Exercises About Code-Based Cryptography and Duality

→ 2 long exercise sheets: cryptanalyses of code-based encryption schemes

Code-Based Cryptography?



Shannon (1948/1949) introduced the following problem (**decoding**),

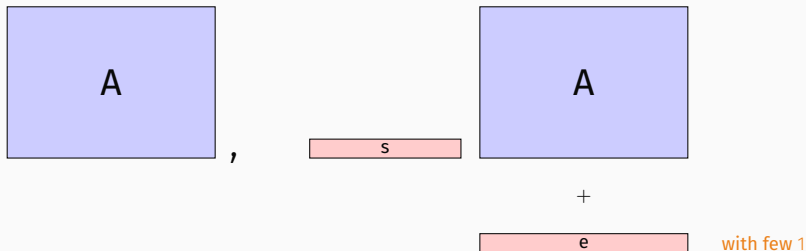


Aim:

Recover

s

Shannon (1948/1949) introduced the following problem (**decoding**),



Aim:

Recover

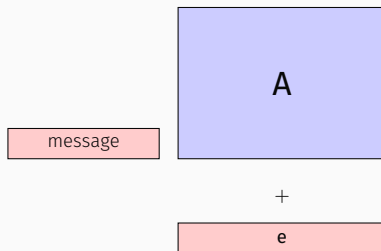
s

→ Matrix A and vectors s, e are **binary** ($\in \mathbb{F}_2$)

THERE ARE TRAPDOORS (i)!

McEliece (1978):

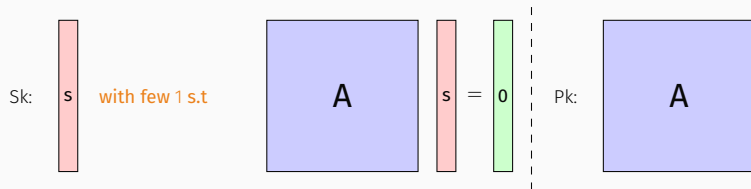
$A \leftarrow \text{Trapdoor}()$: public-key



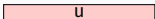
- With the trapdoor: easy to recover message if **e** "short" (with few 1, a lot of 0),
- Without: hard

THERE ARE TRAPDOORS (II)!

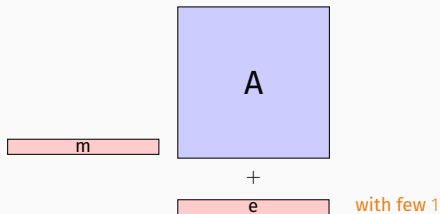
Alekhnovich (2003):



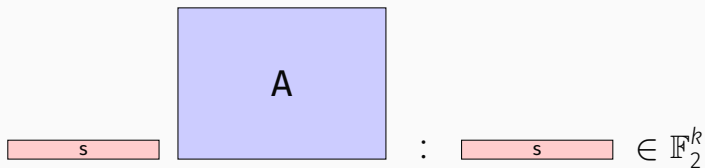
- To encrypt $b = 1$, send

 \leftarrow Unif

- To encrypt $b = 0$, send



But how to decrypt?


$$\boxed{s} \quad \boxed{A} \quad : \quad \boxed{s} \in \mathbb{F}_2^k$$

is known as a **linear code**!

Understanding what is a linear code: useful to

1. build trapdoors
2. understand the hardness of decoding

The first purpose of linear codes was not cryptography. . .

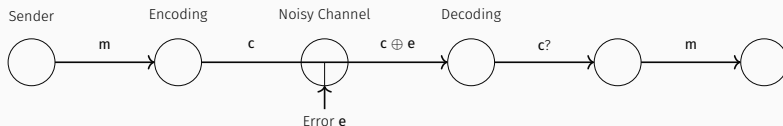
*It was **telecommunication**!*

—→ Codes are at the core of information theory (and friends)

How to transmit k bits over a **noisy channel**?

How to transmit k bits over a **noisy channel**?

1. Fix \mathcal{C} subspace $\subseteq \mathbb{F}_2^n$ of dimension k
2. Map $(m_1, \dots, m_k) \rightarrow \mathbf{c} = (c_1, \dots, c_n) \in \mathcal{C}$ (adding $n - k$ bits redundancy)
3. Send \mathbf{c} across the noisy channel



→ from $\mathbf{c} \oplus e$: how to recover e and then \mathbf{c} ?

(**Decoding Problem**)

Real life scenario: $\mathbf{c} + \mathbf{e}$ with $\mathbf{e} = (e_1, \dots, e_n)$ such that,

$$\forall i \in [1, n], \quad \mathbb{P}(e_i = 1) = p \text{ and } \mathbb{P}(e_i = 0) = 1 - p$$

→ Each bit of \mathbf{c} is flipped with probability p

Given a received corrupted word \mathbf{y} :

$$\mathbb{P}(\mathbf{c} \text{ was sent} \mid \mathbf{y} \text{ is received}) = p^{d_H(\mathbf{c}, \mathbf{y})} (1 - p)^{n - d_H(\mathbf{c}, \mathbf{y})}$$

where $d_H(\mathbf{c}, \mathbf{y}) \stackrel{\text{def}}{=} \# \{i \in [1, n] : c_i \neq y_i\}$ (**Hamming distance**)

Real life scenario: $\mathbf{c} + \mathbf{e}$ with $\mathbf{e} = (e_1, \dots, e_n)$ such that,

$$\forall i \in [1, n], \quad \mathbb{P}(e_i = 1) = p \text{ and } \mathbb{P}(e_i = 0) = 1 - p$$

→ Each bit of \mathbf{c} is flipped with probability p

Given a received corrupted word \mathbf{y} :

$$\mathbb{P}(\mathbf{c} \text{ was sent} \mid \mathbf{y} \text{ is received}) = p^{d_H(\mathbf{c}, \mathbf{y})} (1 - p)^{n - d_H(\mathbf{c}, \mathbf{y})}$$

where $d_H(\mathbf{c}, \mathbf{y}) \stackrel{\text{def}}{=} \# \{i \in [1, n] : c_i \neq y_i\}$ (Hamming distance)

Any decoding candidate $\mathbf{c} \in \mathcal{C}$ is even more likely
as it is close to the received message \mathbf{y} for the Hamming distance.

→ It explains why historically the Hamming distance has been the considered metric
when dealing with codes. . .

BASICS ON LINEAR CODES

\mathbb{F}_q : finite field with q elements

Linear Code:

A linear code \mathcal{C} of length n and dimension k ($[n, k]_q$ -code):
subspace of \mathbb{F}_q^n of dimension k

First Examples:

1. $\{(f(x_1), \dots, f(x_n)) : f \in \mathbb{F}_q[X] \text{ and } \deg(f) < k\}$ where the x_i 's are distinct elements of \mathbb{F}_q
is an $[n, k]_q$ -code
2. $\{(\mathbf{u}, \mathbf{u} + \mathbf{v}) : \mathbf{u} \in U \text{ and } \mathbf{v} \in V\}$ where U (resp. V) is an $[n, k_U]_q$ -code (resp. $[n, k_V]_q$ -code)
is an $[2n, k_U + k_V]_q$ -code

Hamming Weight:

Given $\mathbf{x} \in \mathbb{F}_q^n$, its Hamming weight is:

$$|\mathbf{x}| \stackrel{\text{def}}{=} \#\left\{i \in [1, n] : x_i \neq 0\right\}$$

Minimum Distance:

The minimum distance of \mathcal{C} is:

$$d_{\min}(\mathcal{C}) \stackrel{\text{def}}{=} \min \left\{ |\mathbf{c}| : \mathbf{c} \in \mathcal{C}, \mathbf{c} \neq \mathbf{0} \right\}$$

$d_{\min}(\mathcal{C})$ is an important quantity:

“geometry” of \mathcal{C} ; “efficiency” of \mathcal{C} ; “security” of \mathcal{C}

HOW TO REPRESENT A CODE (I)?

\mathcal{C} be an $[n, k]_q$ -code

Basis representation: $\mathbf{g}_1, \dots, \mathbf{g}_k$ basis of \mathcal{C} ,

$$\mathcal{C} = \left\{ \mathbf{m}\mathbf{G} : \mathbf{m} \in \mathbb{F}_q^k \right\} \text{ where the rows of } \mathbf{G} \in \mathbb{F}_q^{k \times n} \text{ are the } \mathbf{g}_i$$

Reciprocally, any $\mathbf{G} \in \mathbb{F}_q^{k \times n}$ of rank k defines the $[n, k]_q$ -code,

$$\mathcal{C} \stackrel{\text{def}}{=} \left\{ \mathbf{m}\mathbf{G} : \mathbf{m} \in \mathbb{F}_q^k \right\}$$

Generator Matrix:

\mathbf{G} is called a generator matrix

HOW TO REPRESENT A CODE (II)?

Dual Code:

Given \mathcal{C} , its dual \mathcal{C}^\perp is the $[n, n - k]_q$ -code,

$$\mathcal{C}^\perp \stackrel{\text{def}}{=} \left\{ \mathbf{c}^\perp \in \mathbb{F}_q^n : \forall \mathbf{c} \in \mathcal{C}, \mathbf{c} \cdot \mathbf{c}^\perp \stackrel{\text{def}}{=} \sum_{i=1}^n c_i c_i^\perp = 0 \in \mathbb{F}_q \right\}$$

→ Wait Lecture 4 to understand the rational behind this definition!

HOW TO REPRESENT A CODE (II)?

Dual Code:

Given \mathcal{C} , its dual \mathcal{C}^\perp is the $[n, n - k]_q$ -code,

$$\mathcal{C}^\perp \stackrel{\text{def}}{=} \left\{ \mathbf{c}^\perp \in \mathbb{F}_q^n : \forall \mathbf{c} \in \mathcal{C}, \mathbf{c} \cdot \mathbf{c}^\perp \stackrel{\text{def}}{=} \sum_{i=1}^n c_i c_i^\perp = 0 \in \mathbb{F}_q \right\}$$

→ Wait Lecture 4 to understand the rational behind this definition!

Parity-check representation: $\mathbf{h}_1, \dots, \mathbf{h}_{n-k}$ basis of \mathcal{C}^\perp ,

$$\mathcal{C} = \left\{ \mathbf{c} \in \mathbb{F}_q^n : \mathbf{H}\mathbf{c}^\mathbf{T} = \mathbf{0} \right\} \text{ where the rows of } \mathbf{H} \in \mathbb{F}_q^{(n-k) \times n} \text{ are the } \mathbf{h}_i$$

Reciprocally, any $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ of rank $n - k$ defines the $[n, k]_q$ -code,

$$\mathcal{C} \stackrel{\text{def}}{=} \left\{ \mathbf{c} \in \mathbb{F}_q^n : \mathbf{H}\mathbf{c}^\mathbf{T} = \mathbf{0} \right\}$$

Parity-Check Matrix:

\mathbf{H} is called a parity-check matrix

- $\mathbf{G} \in \mathbb{F}_q^{k \times n}$ generator matrix of \mathcal{C} (i.e., $\mathcal{C} = \{ \mathbf{mG} : \mathbf{m} \in \mathbb{F}_q^k \}$), $\mathbf{S} \in \mathbb{F}_q^{k \times k}$ non-singular,
 $\longrightarrow \mathbf{SG}$ still generator matrix of \mathcal{C}

- $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ parity-check matrix of \mathcal{C} (i.e., $\mathcal{C} = \{ \mathbf{c} \in \mathbb{F}_q^n : \mathbf{Hc}^T = \mathbf{0} \}$), $\mathbf{S} \in \mathbb{F}_q^{(n-k) \times (n-k)}$ non-singular,
 $\longrightarrow \mathbf{SH}$ still parity-check matrix of \mathcal{C}

FROM ONE REPRESENTATION TO THE OTHER?

$\mathbf{G} \in \mathbb{F}_q^{k \times n}$ generator matrix $\xleftrightarrow{\text{easy to compute?}}$ $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ parity-check matrix

FROM ONE REPRESENTATION TO THE OTHER?

$\mathbf{G} \in \mathbb{F}_q^{k \times n}$ generator matrix $\xleftrightarrow{\text{easy to compute?}} \mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ parity-check matrix

Yes!

1. Show that if $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ has rank $n - k$ and $\mathbf{GH}^T = \mathbf{0}$, then \mathbf{H} parity-check (exercise)
2. Perform a Gaussian elimination: $\mathbf{SG} = (\mathbf{I}_k \mid \mathbf{A})$, then $\mathbf{H} = (-\mathbf{A}^T \mid \mathbf{I}_{n-k})$ is a parity-check matrix

Would you rather choose generator or parity-check representation?

Would you rather choose generator or parity-check representation?

Sorry for the team generator matrix :(

Usually, the parity-check representation is more convenient

Let \mathcal{C}_{Ham} be the $[7, 4]_2$ -code of generator matrix:

$$\mathbf{G} \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

$$\mathbf{H} \stackrel{\text{def}}{=} \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix}$$

has rank 3 and verifies $\mathbf{GH}^T = \mathbf{0}$.

Let $\mathbf{c} + \mathbf{e}$ where $\begin{cases} \mathbf{c} \in \mathcal{C}_{\text{Ham}} \\ |\mathbf{e}| = 1 \end{cases}$: how to easily recover \mathbf{e} ?

Given $\mathbf{c} + \mathbf{e}$: recover \mathbf{e}

→ Make **modulo \mathcal{C}** to extract the information about \mathbf{e}

Coset Space: $\mathbb{F}_q^n / \mathcal{C}$

Given an $[n, k]_q$ -code \mathcal{C} , $\# \mathbb{F}_q^n / \mathcal{C} = q^{n-k}$ and $\mathbb{F}_q^n / \mathcal{C} = \{ \mathbf{x}_i + \mathcal{C} : 1 \leq i \leq q^{n-k} \}$

A natural set of representatives via a parity-check \mathbf{H} : **syndromes**

$\mathbf{x}_i + \mathcal{C} \in \mathbb{F}_q^n / \mathcal{C} \mapsto \mathbf{H}\mathbf{x}_i^T \in \mathbb{F}_q^{n-k}$ (called a **syndrome**)

is an isomorphism

\mathcal{C} be an $[n, k]_q$ -code of parity-check matrix H

| Noisy codeword | Syndrome |
|---------------------------|-----------------|
| $\mathbf{c} + \mathbf{e}$ | $H\mathbf{e}^T$ |

- From $\mathbf{c} + \mathbf{e}$: $H(\mathbf{c} + \mathbf{e})^T = H\mathbf{c}^T + H\mathbf{e}^T = H\mathbf{e}^T$
- From $H\mathbf{e}^T$: compute with linear algebra \mathbf{y} s.t.
$$H\mathbf{y}^T = H\mathbf{e}^T \iff H(\mathbf{y} - \mathbf{e})^T = \mathbf{0} \iff \mathbf{y} - \mathbf{e} \in \mathcal{C} \iff \mathbf{y} = \mathbf{c} + \mathbf{e}$$

THE WORST-CASE DECODING PROBLEM

THE WORST-CASE DECODING PROBLEM

Two formulations for the worst-case decoding:

Problem (Noisy Codeword Decoding):

- **Given:** $G \in \mathbb{F}_q^{k \times n}$ of rank k , $t \in [0, n]$, $y \in \mathbb{F}_q^n$ where $y = c + e$ with $c = mG$ for some $m \in \mathbb{F}_q^k$ and $|e| = t$
- **Find:** e (or equivalently m)

Problem (Syndrome Decoding):

- **Given:** $H \in \mathbb{F}_q^{(n-k) \times n}$ of rank $n - k$, $t \in [0, n]$, $s \in \mathbb{F}_q^{n-k}$ where $He^T = s^T$ with $|e| = t$
- **Find:** e

→ These problems are equivalent!

n length ; k dimension ; t decoding distance

Let, \mathcal{A} be an algorithm such that $\mathcal{A}(\mathbf{G}, \mathbf{mG} + \mathbf{e}) \mapsto \mathbf{e}$

Given $(\mathbf{H}, \mathbf{He}^T)$: our aim, recover \mathbf{e} using \mathcal{A}

1. Compute with linear algebra \mathbf{G} (rank k) such that $\mathbf{GH}^T = \mathbf{0}$
2. Compute (again) with linear algebra \mathbf{y} such that $\mathbf{Hy}^T = \mathbf{He}^T$
3. Notice that $\mathbf{H}(\mathbf{y} - \mathbf{e})^T = \mathbf{0} \iff \mathbf{y} - \mathbf{e} = \mathbf{mG}$ for some $\mathbf{m} \in \mathbb{F}_q^k$
4. Feed (\mathbf{G}, \mathbf{y}) to \mathcal{A} : it recovers \mathbf{e}

Exercise: show that the reciprocal holds

In what follows, we will mainly keep the parity-check representation!

Worst-Case Decisional Decoding Problem

- Input: $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$, $\mathbf{s} \in \mathbb{F}_q^{n-k}$ where $n, k \in \mathbb{N}$ with $k \leq n$ and an integer $t \leq n$.
- Decision: it exists $\mathbf{e} \in \mathbb{F}_q^n$ of Hamming weight t such $\mathbf{H}\mathbf{e}^\top = \mathbf{s}^\top$?

This problem is NP-complete

Is it useful?

Be careful of the input set!

The above NP-completeness shows that (if $P \neq NP$)

We cannot easily solve the decoding problem for **all codes and all decoding distances**. . .

→ There are codes for which decoding is hard!

Not a safety guarantee for cryptographic applications!

Is decoding hard for all codes?

No! (remember Hamming code. . .)

Generalized Reed-Solomon (GRS) Codes:

Given $\mathbf{z} \in (\mathbb{F}_q^*)^n$ and $\mathbf{x} \in \mathbb{F}_q^n$ s.t. $x_i \neq x_j$ (in particular $n \leq q$) and $k \leq n$.

The code $\text{GRS}_k(\mathbf{x}, \mathbf{z})$ is defined as:

$$\text{GRS}_k(\mathbf{x}, \mathbf{z}) \stackrel{\text{def}}{=} \left\{ (z_1 f(x_1), \dots, z_n f(x_n)) : f \in \mathbb{F}_q[X] \text{ and } \deg(f) < k \right\}$$

→ GRS are used in QR-codes!

Exercise: $\text{GRS}_k(\mathbf{x}, \mathbf{z})$ has generator matrix:

$$\mathbf{G} \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 1 & \dots & 1 \\ x_1 & x_2 & \dots & x_n \\ x_1^2 & x_2^2 & \dots & x_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ x_1^k & x_2^k & \dots & x_n^k \end{pmatrix} \begin{pmatrix} z_1 & & & 0 \\ & z_2 & & \\ & & \ddots & \\ 0 & & & z_n \end{pmatrix}$$

Decoding Algorithm:

Given, $\text{GRS}_k(\mathbf{x}, \mathbf{z})$ and $\mathbf{c} + \mathbf{e}$ such that
$$\begin{cases} \mathbf{c} \in \text{GRS}_k(\mathbf{x}, \mathbf{z}) \\ |\mathbf{e}| \leq \left\lfloor \frac{n-k}{2} \right\rfloor \end{cases}$$

Then, we can recover (\mathbf{c}, \mathbf{e}) in polynomial time in the size of inputs, *i.e.*, $O(n^\ell)$ for some ℓ .

→ See Exercise Session

- There are codes for which decoding is hard (NP-Completeness)
- Decoding is easy for some family of codes (for instance Generalized-Reed-Solomon codes)

Is decoding hard for almost all codes?

AVERAGE DECODING PROBLEM

THE AVERAGE DECODING PROBLEM

$DP(n, q, R, \tau)$, $k \stackrel{\text{def}}{=} Rn$ and $t \stackrel{\text{def}}{=} \tau n$

Sample: $\boxed{H} \leftarrow \text{Unif}(\mathbb{F}_q^{(n-k) \times n})$, $\boxed{x} \leftarrow \text{Unif}(z : |z| = t)$

Input: \boxed{H} , $\boxed{s} = \boxed{H} \boxed{x}$

Recover: \boxed{e} s.t. $\boxed{H} \boxed{e} = \boxed{s}$ and $\boxed{e} \in \{z : |z| = t\}$

For a fixed $R = k/n$, with respect to $\tau = t/n$, the solution will be unique or not!

AVERAGE HARDNESS?

Let, $\varepsilon = \mathbb{P}_{\mathbf{H}, \mathbf{x}} \left(\mathcal{A}(\mathbf{H}, \mathbf{s} = \mathbf{xH}^T) = \mathbf{e} \text{ such that } |\mathbf{e}| = t \text{ and } \mathbf{eH}^T = \mathbf{s} \right)$

Using the **law of total probability**:

$$\varepsilon = \frac{1}{q^k \times (n-k) \times (q-1)^t \binom{n}{t}} \sum_{\substack{\mathbf{x}_0 \in \mathbb{F}_q^n, |\mathbf{x}_0| = t \\ \mathbf{H}_0 \in \mathbb{F}_q^{(n-k) \times n}}} \mathbb{P} \left(\mathcal{A}(\mathbf{H}_0, \mathbf{s} = \mathbf{x}_0 \mathbf{H}_0^T) = \mathbf{e} \text{ s.t. } |\mathbf{e}| = t \text{ and } \mathbf{eH}^T = \mathbf{s} \right)$$

→ ε is the **average** success probability of \mathcal{A} over all fixed possible inputs

(above probabilities are computed over the internal randomness of \mathcal{A})

Consequence:

If ε is negligible, then \mathcal{A} fails to decode almost all codes

Exponential Complexity for Decoding in Average:

For all known algorithms \mathcal{A} (T running time of one iteration \mathcal{A})

$$\frac{T}{\varepsilon} = 2^{\alpha(q,R,\tau) n(1+o(1))} \text{ for some } \alpha(q, R, \tau) \geq 0$$

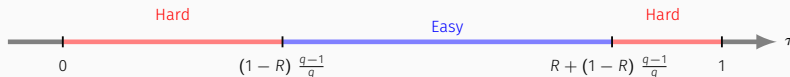


Figure 1: Hardness of $DP(n, q, R, \tau)$ as function of τ

Exponential Complexity for Decoding in Average:

For all known algorithms \mathcal{A} (T running time of one iteration \mathcal{A})

$$\frac{T}{\varepsilon} = 2^{\alpha(q,R,\tau) n(1+o(1))} \text{ for some } \alpha(q,R,\tau) \geq 0$$

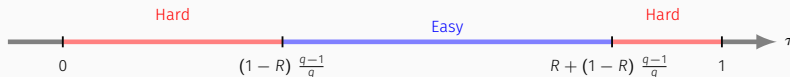


Figure 1: Hardness of $\text{DP}(n, q, R, \tau)$ as function of τ

- ▶ McEliece encryption: $t = \tau n = \Theta\left(\frac{n}{\log n}\right)$
- ▶ Other encryptions: $t = \tau n = \Theta(\sqrt{n})$
- ▶ Authenticated protocols: $t = \tau n = Cn$ where C constant quite small
- ▶ Wave Signature: $t = \tau n = Cn$ where C large constant, $C \approx 0.95$

AND THE GENERATOR MATRIX REPRESENTATION?

$\text{DP}'(n, q, R, \tau)$. Let $k \stackrel{\text{def}}{=} \lfloor Rn \rfloor$ and $t \stackrel{\text{def}}{=} \lfloor \tau n \rfloor$

- **Input:** $(\mathbf{G}, \mathbf{y} \stackrel{\text{def}}{=} \mathbf{sG} + \mathbf{x})$ where \mathbf{G}, \mathbf{s} and \mathbf{x} are uniformly distributed over $\mathbb{F}_q^{k \times n}, \mathbb{F}_q^k$ and words of Hamming weight t in \mathbb{F}_q^n .
- **Output:** an error $\mathbf{e} \in \mathbb{F}_q^n$ of Hamming weight t such that $\mathbf{y} - \mathbf{e} = \mathbf{mG}$ for some $\mathbf{m} \in \mathbb{F}_q^k$.

Exercise Session:

For any algorithm \mathcal{A} solving DP' with probability ε and time T :

Describe an algorithm \mathcal{B} solving DP in the \approx same time with probability $\geq \varepsilon - O\left(q^{-\min(k, n-k)}\right)$
(and the reciprocal)

→ Same average hardness with syndromes or noisy codewords formalism!