

How to Search the SEC for a Securitized Trust

Posted on [August 28, 2013](#) by [Deadly Clear](#)



When a unknown bank named as a Trustee for a securitized trust (usually Deutsche Bank, Bank of NY Mellon, US Bank National, etc.) sends you a letter stating you owe them money and you are in default, the first thing you should do is contact a local title company and have them look for an **Assignment of Mortgage** under your address or tax key number (it won't likely be under your name). Chances are the Assignment of Mortgage is fabricated and void; however, this is the breeder document that allows the banksters to foreclose.

The following information will assist you in searching the Securities and Exchange Commission (SEC) for the alleged trust.

Once you have the name of the trust and a copy of the Assignment (if any), the next move is to research the securitized trust on the Securities and Exchange Commission (SEC) website. Here is an example of an Assignment of Mortgage with **Deutsche Bank National Trust Company, as Trustee** of the IndyMac **INDX Mortgage Trust 2007-FLX3**, Mortgage Pass-Through Series 2007-FLX3 under the Pooling and Servicing Agreement Dated April 1, 2007. This assignment was made 3 years after the trust closed.

This is the date the fabricated Assignment recorded

Recording Requested By
And When Recorded Mail To:

ONEWEST BANK FSB
888 EAST WALNUT STREET
PASADENA CA 91101



STATE OF HAWAII
BUREAU OF CONVEYANCES
RECORDED
JUN 18, 2010 08:01 AM
Doc No(s) 2010-084576



for NICKI ANN THOMPSON
REGISTRAR

20 1/1 Z12

1167092-06 *asgntdxc*

This is the name of the Trust:

SPACE ABOVE THIS LINE FOR RECORDER'S USE

T.S. NO. 1167092-06 LOAN NO. XXXXXX3799

ASSIGNMENT OF MORTGAGE

FOR VALUE RECEIVED, the undersigned hereby grants, assigns and transfers to
DEUTSCHE BANK NATIONAL TRUST COMPANY, AS TRUSTEE OF THE INDYMAC INDX MORTGAGE
TRUST 2007-FLX3, MORTGAGE PASS-THROUGH CERTIFICATES, SERIES 2007-FLX3 UNDER THE
POOLING AND SERVICING AGREEMENT DATED APRIL 1, 2007

all beneficial interest under that certain mortgage dated February 01, 2007, executed by
AS TENANT IN SEVERALTY, trustor, and recorded in Bureau of Conveyances
in Regular System Instrument No. 2007-024913 on February 09, 2007 in Book XX page XX, of Official Records in
the County Recorder's office of MAUI County, HAWAII describing land therein as

COMPLETELY DESCRIBED IN SAID MORTGAGE

This is the Cut-Off Date of the Trust

This assignment is made without recourse, representation or warranty, express or implied, by FDIC in any capacity
together with the note or notes therein described or referred to, the money due and to become due thereon with
interest, and all rights accrued or to accrue under said Mortgage.

Dated: 6/10/2010

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)
AS RECEIVER FOR INDYMAC FEDERAL BANK, FSB

NAME Erica A. Johnson-Sack
TITLE: ATTORNEY IN FACT

This is a known robo-signer

This is the Assignment Date

State of Texas)

County of Travis

On 6/10/2010

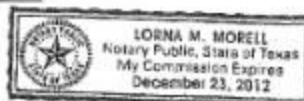
before me, Lorna Morell

a Notary Public, personally appeared Erica A. Johnson-Sack, who proved to
me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of Texas
that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

(Seal)

Signature



The easiest way to find the trust is to open the SEC website – Click [HERE](http://www.sec.gov) to open the SEC website [www.sec.gov]; and go to the top right-hand side of the SEC header and Click “More Search Options”.



You'll find a light blue search box. (1) Click the “● Contains” button and (2) enter the year and trust code, for example: **2007-FLX3**; (3) Click the “Find Companies” at the bottom of the blue box. Click [HERE](#) to reach the “Search Options” page.

Enter your search information.

Company name: (2)

☐ Starts with ☒ Contains (1)

or CIK or Ticker Symbol:

Tickers for 10,000 largest publicly traded companies

or File Number:

State:

Country:

and/or SIC:

and Ownership Forms 3, 4, and 5. ☐ Include ☒ Exclude ☐ Only

(3)

Sometimes it will give you a list of Trusts (identified by 6189 code) to choose from and you will match the rest of the information on the Assignment or Letter from the Trustee to that specific Trust. In this instant – the year and the trust code will take you right to the securitized trust – **IndyMac INDX Mortgage Trust 2007-FLX3**. Below is the picture of the SEC master file for this trust – Click [HERE](#) for the **SEC Master Trust File**.

IndyMac INDX Mortgage Loan Trust 2007-FLX3 CIK#: 0001396130 (see all company filings)

Business Address
135 NORTH LAKE AVENUE
PASADENA CA 91101
0006692300

Mailing Address
135 NORTH LAKE AVENUE
PASADENA CA 91101

SEC: 8188 - ASSET-BACKED SECURITIES

State location: CA

(Assistant Director Office: 8)

Filter Results:

Filing Type:

Prior to: (YYYYMMDD):

Ownership?
☐ include ☒ exclude ☐ only

Limit Results Per Page
40 Entries

Items 1 - 17

| Filings | Format | Description | Filing Date | File/Item Number |
|---------|---------------------------|--|-------------|----------------------------|
| 10-K | Documents | Annual report [Section 13 and 15(d), not S-K Item 405] Acc-no: 0001020242-08-000262 (34 Act) Size: 128 KB | 2008-03-31 | 333-132042-68 08720901 |
| 15-15D | Documents | Suspension of duty to report [Section 13 and 15(d)] Acc-no: 0001020242-08-000143 (34 Act) Size: 4 KB | 2008-01-17 | 333-132042-68 08536083 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-08-000029 (34 Act) Size: 635 KB | 2008-01-03 | 333-132042-68 08505371 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-001273 (34 Act) Size: 840 KB | 2007-12-04 | 333-132042-68 071284974 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-001160 (34 Act) Size: 632 KB | 2007-11-01 | 333-132042-68 071206875 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-001078 (34 Act) Size: 635 KB | 2007-10-05 | 333-132042-68 071160152 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-000961 (34 Act) Size: 631 KB | 2007-09-05 | 333-132042-68 071069120 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-000866 (34 Act) Size: 621 KB | 2007-08-08 | 333-132042-68 071026387 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-000765 (34 Act) Size: 619 KB | 2007-07-03 | 333-132042-68 07091782 |
| 10-Q/A | Documents | (Amend) Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-000719 (34 Act) Size: 661 KB | 2007-06-06 | 333-132042-68 07093750 |
| 10-Q | Documents | Asset-Backed Issuer Distribution Report [Section 13 or 15(d) of the Securities Exchange Act of 1934] Acc-no: 0001020242-07-000694 (34 Act) Size: 616 KB | 2007-06-01 | 333-132042-68 07085187 |
| 8-K | Documents | Current report, Items 8.01 and 9.01 Acc-no: 0000995148-07-003797 (34 Act) Size: 948 KB | 2007-05-16 | 333-132042-68 07086621 |
| 424B5 | Documents | Prospectus [Rule 424(b)(5)] Acc-no: 0000995148-07-002859 (33 Act) Size: 1 MB | 2007-05-01 | 333-132042-68 07085013 |
| FWP | Documents | Filing under Securities Act Rules: 163/433 of free writing prospectuses Acc-no: 0000995148-07-003494 (34 Act) Size: 209 KB | 2007-05-01 | 333-132042-68 07084481 |
| FWP | Documents | Filing under Securities Act Rules: 163/433 of free writing prospectuses Acc-no: 0000995148-07-003469 (34 Act) Size: 839 KB | 2007-04-30 | 333-132042-68 07739273 |
| 8-K | Documents | Current report, Item 9.01 Acc-no: 0000995148-07-003448 (34 Act) Size: 13 KB | 2007-04-27 | 333-132042-68 07736098 |
| FWP | Documents | Filing under Securities Act Rules: 163/433 of free writing prospectuses Acc-no: 0000995148-07-003119 (34 Act) Size: 283 KB | 2007-04-05 | 333-132042-68 07751139 |

The entire Trust is worth reading and will give you a better picture of the securitization scheme. You will note hundreds of warning to investors that these trusts are really risky. Starting from the bottom the **FWP** is an acronym for **FREE WRITING PROSPECTUS SUPPLEMENT**. In some trusts you will find what they call a “loan tape” where alleged loans are listed, not by name, but by identifiers. In this example, the loan tape is in the FWP as noted above – Click [HERE](#) for the loan tape example.

The Pooling and Servicing Agreement (PSA) is sometimes found under the FWPs and in this case it is under the 8K. An easy way to spot the PSA is by the size of the file. It is usually the largest file in the group and that’s a good place to start. Click [HERE](#) for the PSA example.

| Form 8-K - Current report | | | | |
|---|---|--|--|--------|
| Filing Date 2007-05-16 Accepted 2007-05-16 17:08:50 Documents 7 | Period of Report 2007-04-27 Filing Date Changed 2007-05-16 | Items Item 8.01: Other Events Item 9.01: Financial Statements and Exhibits | | |
| Document Format Files | | | | |
| Seq | Description | Document | Type | Size |
| 1 | | efc7-1393_6212074form8k.txt | 8-K | 7714 |
| 2 | 99.1 is usually the PSA. It also the largest file | efc7-1393_6186327ex99.1.txt | EX-99.1 | 858748 |
| 3 | | efc7-1393_6194324ex99.2.txt | EX-99.2 | 80398 |
| 4 | | efc7-1393_6194325ex99.3.txt | EX-99.3 | 89305 |
| 5 | | efc7-1393_6194327ex99.4.txt | EX-99.4 | 57936 |
| 6 | | efc7-1393_6194329ex99.5.txt | EX-99.5 | 22890 |
| 7 | | efc7-1393_6188435ex99.6.txt | EX-99.6 | 27480 |
| | Complete submission text file | 0000905148-07-003797.txt | | 946367 |
| IndyMac INDX Mortgage Loan Trust 2007-FLX3 (Filer) CIK: 0001395130 (see all company filings) | | | Business Address 155 NORTH LAKE AVENUE PASADENA CA 91101 8006692300 | |
| Type: 8-K Act: 34 File No.: 333-132042-68 Film No.: 07858621 SIC: 6189 Asset-Backed Securities Assistant Director 5 | | | | |

The PSA will provide you with pertinent information as it is the controlling document of the trust. Article One will give you the Definitions. If you have a “Find” control on your computer it makes it easier to search for the Closing Date, the Cut-Off Date, the Governing Law and the Conveyance of Mortgage Loans, which is traditionally located under Article II, 2.01.

Read **Article Two Conveyance of Mortgage Loans** very carefully. It will tell you how and when the mortgage loans were to have sold, assigned, and transferred to the trust. If the trust is governed under New York law, **“Under New York Trust Law, every sale, conveyance or other act of the trust on contravention of the trust is void. EPTL §7-2.4. Therefore, the acceptance of the note and mortgage by the trustee after the date the trust closed, would be void.”** See **Wells Fargo Bank, N.A. v. Erobobo**, 042913 NYMISC, 2013-50675.



ORDER CERTIFIED COPIES OF THE TRUST DOCUMENTS FROM THE SEC

Now, after you have located your trust – you can order a “certified” copy from the SEC. It will come with blue ribbons and a gold seal – all dressed up for the Judge.

Click [HERE](#) for the Request for Certification and refer to your specific SEC Master Trust File. You can request all of the documents in the trust and the SEC will send you a bill with the documents (make sure you pay it immediately) – even to Hawaii the entire certified trust documents cost \$32.

You will primarily want the PSA and the Prospectus.

| Filing Date | File/Film Number |
|-------------|---------------------------|
| 2008-03-31 | 333-132042-68 08720901 |
| 2008-01-17 | 333-132042-68 08536083 |
| 2008-01-03 | 333-132042-68 08505371 |

Red
Black

You are going to order by the **FILE/FILM NUMBER**. When you order – put the red number / and the black one underneath.

One more thought to consider - WHISTLEBLOWER

Blow the Whistle if your assignment was made after the Closing Date of the trust. You can file a form and upload your fabricated Assignment of Mortgage.

A whistleblower who knows of possible securities law violations can be among the most powerful weapons in the law enforcement arsenal of the Securities and Exchange Commission. Through their knowledge of the circumstances and individuals involved, whistleblowers can help the Commission identify possible fraud and other violations much earlier than might otherwise have been possible. That allows the Commission to minimize the harm to investors, better preserve the integrity of the United States’ capital markets, and more swiftly hold accountable those responsible for unlawful conduct.



The Commission is authorized by Congress to provide monetary awards to eligible individuals who come forward with high-quality original

information that leads to a Commission enforcement action in which over \$1,000,000 in sanctions is ordered. The range for awards is between 10% and 30% of the money collected. To file a **whistleblower** form and upload your fabricated Assignment of Mortgage – Click [HERE](#).

Maybe if we all start uploading our fabricated, bogus, fraudulent Assignments of Mortgage by the hundreds – the government will begin to get a better picture of the fraud.

Good luck to all.

If you still cannot find the loan in the trust or want details about the tranches that the loan may be in send a note to: report@doctelportal.com and we'll give you some options for your research.