



Zaiku Group
Quantum Formalism

Mathematical Tools of Quantum Mechanics: Introduction to Hilbert Spaces

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Vector spaces

- Linear subspaces

- Inner product spaces

Norms and normed spaces

- Inner product spaces as normed spaces

- Parallelogram law

Convergent sequences, Cauchy sequences, completeness

- Characterization of Hilbert Spaces

- Examples of Hilbert spaces

Let \mathbb{F} be a field and V be a set. Consider the following two operations:

$$\begin{aligned} + : V \times V &\rightarrow V, & \cdot : \mathbb{F} \times V &\rightarrow V \\ (u, v) &\mapsto u + v & (\lambda, v) &\mapsto \lambda \cdot v. \end{aligned}$$

Suppose that V satisfies the following:

- $u + v = v + u$, for every $u, v \in V$;
- $u + (v + w) = (u + v) + w$, for every $u, v, w \in V$;
- there exists a zero vector, 0_V such that $0_V + v = v$, for every $v \in V$;
- for every $v \in V$, there exists a vector $-v$ such that $-v + v = 0_V$;
- $1 \cdot v = v$, for every $v \in V$;
- $\lambda \cdot (\mu v) = (\lambda\mu) \cdot v$, for every $v \in V$, $\lambda \in \mathbb{F}$;
- $\lambda \cdot (u + v) = \lambda \cdot u + \lambda \cdot v$, for every $u, v \in V$, $\lambda, \mu \in \mathbb{F}$;
- $(\lambda + \mu) \cdot v = \lambda \cdot v + \mu \cdot v$, for every $v \in V$, $\lambda, \mu \in \mathbb{F}$.

Definition

Let V be a set that satisfies all of the above. Then we say that V is a \mathbb{F} -*vector space* or a *vector space over the field* \mathbb{F}

We will focus in $\mathbb{F} = \mathbb{R}$ or \mathbb{C} .

The following are \mathbb{R} -vector spaces

- The set of \mathbb{R}^n for any $n \in \mathbb{N}$.
- The set of $M_n(\mathbb{R})$, square $n \times n$ matrices with entries on \mathbb{R} , with the usual addition and scalar multiplication.
- The set of all functions from \mathbb{R} to \mathbb{R} where the addition is

$$(f + g)(x) = f(x) + g(x) \quad (f, g : \mathbb{R} \rightarrow \mathbb{R})$$

and the scalar multiplication is

$$(\lambda f)(x) = \lambda f(x) \quad (\lambda \in \mathbb{F}, f : \mathbb{R} \rightarrow \mathbb{R})$$

Definition

Let V be a vector space and let $W \subset V$ be a subset. We say that W is a subspace of V if it satisfies the following:

- $0_V \in W$;
- for every $\lambda \in \mathbb{F}$ and $w \in W$, $\lambda \cdot w \in W$;
- for every $w, v \in W$, $w + v \in W$,

where $+$ and \cdot are the restriction of the addition and scalar multiplication from V to W .

Definition

Let V be a vector space over \mathbb{C} . A mapping $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{C}$ is an *inner product* if it satisfies the following, for every $x, y, z \in V$ and $\lambda, \mu \in \mathbb{C}$:

- $\langle x, y \rangle = \overline{\langle y, x \rangle}$;
- $\langle \alpha x + \beta y, z \rangle = \alpha \langle x, z \rangle + \beta \langle y, z \rangle$;
- $\langle x, x \rangle \geq 0$ and $\langle x, x \rangle = 0 \iff x = 0$.

Inner products are conjugate linear in the second variable

Take $x, y \in V$ and $\alpha \in \mathbb{C}$

$$\langle x, \alpha y \rangle = \overline{\langle \alpha y, x \rangle} = \overline{\alpha \langle y, x \rangle} = \overline{\alpha} \overline{\langle y, x \rangle} = \overline{\alpha} \langle x, y \rangle. \quad \square$$

Remark

A vector space with an inner product is called an *inner product space* or a *pre-Hilbert space*.

Definition

Let E be a vector space. A *norm* is a mapping

$$\| \cdot \| : E \rightarrow \mathbb{R}$$

that satisfies the following conditions:

- $\|x\| \geq 0$ and $\|x\| = 0 \iff x = 0$;
- $\|\lambda x\| = |\lambda| \|x\|$, for every $x \in E$ and $\lambda \in \mathbb{C}$;
- $\|x + y\| \leq \|x\| + \|y\|$, for every $x, y \in E$.

A *normed space* is a vector space together with a norm.

Remark

Let $(E, \langle \cdot, \cdot \rangle)$ be an inner product space. Consider $\|x\| = \sqrt{\langle x, x \rangle}$. Then $(E, \|\cdot\|)$ is a normed space.

Proof

We need to verify:

- $\|x\| \geq 0$ and $\|x\| = 0 \iff x = 0$. Follows from the definition of inner product.
- $\|\lambda x\| = |\lambda| \|x\|$, for every $x \in E$ and $\lambda \in \mathbb{C}$.

$$\|\lambda x\|^2 = \langle \lambda x, \lambda x \rangle = \lambda \bar{\lambda} \langle x, x \rangle$$

- $\|x + y\| \leq \|x\| + \|y\|$, for every $x, y \in E$.

Cauchy-Schwarz's Inequality

Theorem: Cauchy-Schwarz's Inequality

Let $(E, \langle \cdot, \cdot \rangle)$ be an inner product space. Then, for any $x, y \in E$ we have

$$|\langle x, y \rangle| \leq \|x\| \|y\|.$$

Furthermore, $|\langle x, y \rangle| = \|x\| \|y\|$ if and only if x and y are linearly dependent.

If x and y are linearly dependent, there exists $\alpha \in \mathbb{C}$ such that $x = \alpha y$, and so $\|x\|\|y\| = |\alpha|\|y\|^2$. Also

$$|\langle x, y \rangle| = |\langle \alpha y, y \rangle| = |\alpha| |\langle y, y \rangle| = |\alpha| \|y\|^2,$$

so the result holds.

$$|\langle x, y \rangle| \leq \|x\|\|y\| \iff |\langle x, y \rangle|^2 \leq \langle x, x \rangle \langle y, y \rangle.$$

Let's consider x, y linearly independent. (In particular $y \neq 0$). Then, for any $\alpha \in \mathbb{C}$,

$$\begin{aligned} 0 &< \langle x + \alpha y, x + \alpha y \rangle = \langle x, x \rangle + \alpha \langle y, x \rangle + \bar{\alpha} \langle x, y \rangle + |\alpha|^2 \langle y, y \rangle \\ &= \langle x, x \rangle + 2\operatorname{Re}(\alpha \langle y, x \rangle) + |\alpha|^2 \langle y, y \rangle \end{aligned}$$

Take $\alpha = -\frac{\langle x, y \rangle}{\langle y, y \rangle}$

$$\begin{aligned} 0 &< \langle x, x \rangle - 2\operatorname{Re} \left(\frac{\langle x, y \rangle}{\langle y, y \rangle} \overline{\langle x, y \rangle} \right) + \left| \frac{-\langle x, y \rangle}{\langle y, y \rangle} \right|^2 \langle y, y \rangle \\ &= \frac{\langle x, x \rangle \langle y, y \rangle}{\langle y, y \rangle} - \frac{|\langle x, y \rangle|^2}{\langle y, y \rangle} \end{aligned}$$

which is equivalent to $|\langle x, y \rangle|^2 < \langle x, x \rangle \langle y, y \rangle = \|x\| \|y\|$. \square

- $\|x + y\| \leq \|x\| + \|y\|$, for every $x, y \in E$.

For $x, y \in E$

$$\begin{aligned}\|x + y\|^2 &= \langle x + y, x + y \rangle = \langle x, x \rangle + 2\operatorname{Re}(\langle y, x \rangle) + \langle y, y \rangle \\ &\leq \langle x, x \rangle + 2|\langle y, x \rangle| + \langle y, y \rangle \\ &\leq \|x\|^2 + 2\|y\|\|x\| + \|y\|^2 = (\|x\| + \|y\|)^2. \quad \square\end{aligned}$$

Theorem: Parallelogram Law

Let E be an inner product space. Then for $x, y \in E$

$$\|x + y\|^2 + \|x - y\|^2 = 2\|x\|^2 + 2\|y\|^2.$$

Reminder

Let $A \neq \emptyset$ be a set. A sequence is a list of objects of A with a defined order (a_1, a_2, \dots) . Mathematically, it is defined as a map $f : \mathbb{N} \rightarrow A$, where $f(n) = a_n$.

Definition

A sequence (x_n) in a normed space E is said to be **convergent** to a limit $x \in E$ if, for every $\varepsilon > 0$, there exists a positive integer N such that for all $n \geq N$, the following inequality holds:

$$\|x_n - x\| < \varepsilon.$$

Cauchy sequences

Definition

A sequence (x_n) in a normed space E is said to be a **Cauchy sequence** if, for every $\varepsilon > 0$, there exists a positive integer N such that for all $m, n \geq N$, the following inequality holds:

$$\|x_m - x_n\| < \varepsilon.$$

Examples

Consider \mathbb{Q} with the norm $|\cdot|$ and the sequence (x_n) where $x_n = 10^{-n} \lfloor 10^n \pi \rfloor$. Then (x_n) is Cauchy but it is not convergent. It would be convergent in \mathbb{R} .

Definition

A normed space E is said **complete** when for every Cauchy sequence (x_n) there exists an element $x \in E$ such that $(x_n) \rightarrow x$. A complete normed space is called a **Banach space**.

Definition: Hilbert spaces

A complete inner product space is called a *Hilbert space*.

A Hilbert space \mathcal{H} :

- is a vector space over a field $\mathbb{F}(= \mathbb{R}, \mathbb{C})$;
- it has an inner product $\langle \cdot, \cdot \rangle : H \times H \mapsto \mathbb{C}$;
- it is a normed space with the norm induced by the inner product $\|x\| = \sqrt{\langle x, x \rangle}$;
- it is complete.

So, every Hilbert space is a Banach space, but not the other way around.

Characterization of Hilbert Spaces

Theorem

Let $(E, \|\cdot\|)$ be a Banach space. Then E is a Hilbert space (i.e. there exists an inner product on E that induces the norm $\|\cdot\|$) if and only if $\|\cdot\|$ satisfies the parallelogram law. In this case

$$\langle x, y \rangle = \frac{1}{4} \sum_{n=0}^3 i^n \|x + i^n y\|^2 \quad (x, y \in E)$$

defines such inner product on E .

Examples of Hilbert spaces

- \mathbb{R}^n with the standard dot product (inner product) defined in the following way: for $x, y \in \mathbb{R}^n$

$$\langle x, y \rangle = x \cdot y = \sum_{i=1}^n x_i y_i$$

- \mathbb{C}^n with the standard dot product (inner product) defined in the following way: for $x, y \in \mathbb{C}^n$

$$\langle x, y \rangle = \sum_{i=1}^n x_i \overline{y_i}$$

where $\overline{y_i}$ is the complex conjugate of y_i .

- The space $\ell^2(\mathbb{C})$ consists of sequences of complex numbers (x_1, x_2, x_3, \dots) which are square sumable, meaning that

$$\sum_{n=1}^{\infty} |x_n|^2 < \infty,$$

with the inner product given by:

$$\langle x, y \rangle = \sum_{i=1}^{\infty} x_i \overline{y_i}$$

where $\overline{y_i}$ represents the complex conjugate of y_i .

- The space $L^2(\mathbb{R})$, which is the space of Lebesgue measurable functions $f : \mathbb{R} \rightarrow \mathbb{C}$ which are square-integrable. The inner product in this space is given by:

$$\langle f, g \rangle = \int_{-\infty}^{\infty} f(x) \overline{g(x)} dx.$$



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Thank you for your attention

Recommended Bibliography:

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