

Notes for the reading club

Reading Club

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Abstract

The Yellow Book Notes. It is good to write notes!

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1 Preliminary

1.1 Conventions

Metric tensor and Coordinate.– The metric tensor in Minkowski and Eclidean space-time is

defined as

$$\eta = \begin{pmatrix} +1 & & \\ & -1 & \\ & & \dots \end{pmatrix} \quad (1)$$

and

$$\eta = \begin{pmatrix} +1 & & \\ & +1 & \\ & & \dots \end{pmatrix} \quad (2)$$

respectively, where the first index is the time. In the Yellow Book, without specifications, we are working in Euclidean space. The coordinate is defined as $x^\mu = \{t, \vec{x}\}$. So that the norm of a vector in Minkowski space-time is $x^\mu x_\mu = t^2 - r^2$.

γ matrices.– The γ matrices follow the Clifford algebra

$$\{\gamma^a, \gamma^b\} = 2\eta^{ab}. \quad (3)$$

In Minkowski space time, the γ matrices can be chosen as

$$\begin{aligned} \gamma^0 &= \sigma^x \\ \gamma^1 &= -i\sigma^y, \end{aligned} \quad (4)$$

while in Euclidean space, they can be chosen as

$$\begin{aligned} \gamma^0 &= \sigma^x \\ \gamma^1 &= \sigma^y. \end{aligned} \quad (5)$$

1.2 Free fermions

In Minkowski space time, the Lagrange density for the free fermion reads

$$\mathcal{L} = \frac{g}{2} (\psi^1 i(\partial_t + \partial_x) \psi^1 + \psi^2 i(\partial_t - \partial_x) \psi^2). \quad (6)$$

In terms of $\psi = (\psi^1, \psi^2)$, one can write the theory as

$$\begin{aligned} \mathcal{L} &= \frac{g}{2} (\psi^\dagger i\partial_t \psi + \psi^\dagger \sigma^z i\partial_x \psi) \\ &= \frac{g}{2} (\psi^\dagger \sigma^x \sigma^x i\partial_t \psi + \psi^\dagger - i\sigma^x \sigma^y i\partial_x \psi) \\ &= \frac{g}{2} \psi^\dagger \sigma^x (\sigma^x i\partial_t - i\sigma^y i\partial_x) \psi \\ &= \frac{g}{2} \psi^\dagger \gamma^0 i\gamma^\mu \partial_\mu \psi \end{aligned} \quad (7)$$

where we used

$$\gamma^0 = \sigma^x \quad \gamma^1 = -i\sigma^y \quad (8)$$

1.2.1 Wick rotation

It is usually more convenient to work in Euclidean space rather than Minkowski space time. Upon doing the Wick rotation, the action changes as

$$i S_M \rightarrow -S_E. \quad (9)$$

Specifically,

$$\begin{aligned}
 i S[\psi] &= i \int dx dt \frac{g}{2} \psi^\dagger \gamma^0 i \gamma^\mu \partial_\mu \psi \\
 &= i^2 \int dx dt \frac{g}{2} \psi^\dagger \partial_t \psi + i^2 \int dx dt \frac{g}{2} \psi^\dagger \sigma^x (-i) \sigma^y \partial_x \psi \\
 &= - \int dx d\tau \frac{g}{2} \psi^\dagger \partial_\tau \psi - \int dx d(-it) \frac{g}{2} \psi^\dagger \sigma^x \sigma^y \partial_x \psi \\
 &= - \int dx d\tau \frac{g}{2} \psi^\dagger \sigma^x \sigma^x \partial_\tau \psi - \int dx d\tau \frac{g}{2} \psi^\dagger \sigma^x \sigma^y \partial_x \psi \\
 &= - \int dx d\tau \frac{g}{2} \psi^\dagger \gamma_E^0 \gamma_E^\mu \partial_\mu \psi
 \end{aligned} \tag{10}$$

where $\tau = -it$. The Eulidean space action can be written as

$$S_E = \int d^2x \frac{g}{2} \psi^\dagger \gamma_E^0 \gamma_E^\mu \partial_\mu \psi \tag{11}$$

1.2.2 1+1d free fermions: Legendre transformation

A lattice version free fermion theory Eq. 2.38 reads

$$\mathcal{L} = \frac{i}{2} \sum_n (\psi_n \dot{\psi}_n + \psi_n \psi_{n+1}). \tag{12}$$

The canonical momentum corresponding to ψ_n is

$$\pi_n = \frac{\partial \mathcal{L}}{\partial \dot{\psi}_n} = -\frac{i}{2} \psi_n. \tag{13}$$

So that the Hamiltonian is

$$\begin{aligned}
 \mathcal{H} &= \sum_n \pi_n \dot{\psi}_n - \mathcal{L} \\
 &= -\frac{i}{2} \sum_n \psi_n \dot{\psi}_n - \frac{i}{2} \sum_n (\psi_n \dot{\psi}_n + \psi_n \psi_{n+1}) \\
 &= -i \sum_n \psi_n \dot{\psi}_n - \frac{i}{2} \sum_n \psi_n \psi_{n+1}.
 \end{aligned} \tag{14}$$

While it should be

$$\mathcal{H} = -\frac{i}{2} \sum_n \psi_n \psi_{n+1}. \tag{15}$$

If we'd like to keep defining the derivative of Grassmann number according to the order of left-to-right, we need to define the Hamiltonian as

$$\mathcal{H} = \sum_n \dot{\psi}_n \pi_n - \mathcal{L} = -\frac{i}{2} \sum_n \psi_n \psi_{n+1} \tag{16}$$

1.3 Free boson

The action for the free boson in the Minkowski space time reads

$$S = \frac{1}{2}g \int dx dt \partial_\mu \phi \partial^\mu \phi, \quad (17)$$

where ϕ is a real scalar field. After Wick rotation $\tau = it$, it becomes

$$\begin{aligned} i S &= \frac{i}{2}g \int dx dt \partial_t \phi \partial_t \phi - \frac{i}{2}g \int dx dt \partial_x \phi \partial_x \phi \\ &= -\frac{1}{2}g \int dx d\tau \partial_\tau \phi \partial_\tau \phi - \frac{1}{2}g \int dx d\tau \partial_x \phi \partial_x \phi \\ &= -\frac{1}{2}g \int dx d\tau \partial_\mu \phi \partial^\mu \phi \end{aligned} \quad (18)$$

The Euclidean action reads

$$S_E = \frac{1}{2}g \int d^2x \partial_\mu \phi \partial^\mu \phi. \quad (19)$$

The two point correlation up to a constant term is

$$\langle \phi(x) \phi(y) \rangle = -\frac{1}{2\pi g} \ln(\rho). \quad (20)$$

1.4 Symmetries at the classical level

The action becomes different after a coordinate transformation. We say it has a symmetry if it remains unchanged and a Noether current can be derived from the symmetry. The coordinate transformation is denoted as

$$x'^\mu = x^\mu + \omega_a \frac{\delta x^\mu}{\delta \omega_a} \quad (21)$$

and the field changes according to

$$\phi'(x') = \phi(x) + \omega_a \frac{\delta F}{\delta \omega_a}(x) \quad (22)$$

where ω_a is a constant and small parameter.

By definition, the change of the action δS disappears for a symmetric transformation. We can get nothing new from this. If we allow ω_a to be arbitrary, the leading contribution to δS becomes

$$\delta S = - \int d^2x j^\mu \partial_\mu \omega_a, \quad (23)$$

where we introduced the the current j^μ . We assume it decreases fast when approaching infinite. So that one obtains

$$\delta S = \int d^2x \partial_\mu j^\mu \omega_a. \quad (24)$$

This equations holds for all the field configurations. If we require the field configuration to be the one obeying the equation, the action should be invariant for arbitrary coordinate transformation and one finds the conservation of j^μ

$$\partial_\mu j^\mu = 0. \quad (25)$$

1.4.1 Energy-momentum tensor

The canonical energy-momentum tensor is defined to be the Noether current of the translation transformation

$$x'^{\mu} = x^{\mu} + \epsilon^{\nu} \delta_{\nu}^{\mu} \quad (26)$$

$$T^{\mu\nu} = -\eta^{\mu\nu} L + \frac{\partial L}{\partial(\partial_{\mu}\phi)} \partial_{\nu}\phi. \quad (27)$$

This definition of $T^{\mu\nu}$ is not guaranteed to be symmetric between the two indices (The requirement of a symmetric $T^{\mu\nu}$ will be clear later).

Another definition that makes the energy-momentum tensor symmetric follows. In the coordinate transformation, if we also consider the variance of the metric tensor (which means the theory is coupled with the dynamical background)

$$\delta g_{\mu\nu} = -\partial_{\mu}\epsilon_{\nu} - \partial_{\nu}\epsilon_{\mu} \quad (28)$$

the action remains invariant since this is nothing but a reparametrization of the theory (general coordinate covariance). So that one finds

$$\delta S = 0 = -\frac{1}{2} \int d^d x \left(\partial_{\mu}\epsilon_{\nu} + \partial_{\nu}\epsilon_{\mu} \right) \left(T^{\mu\nu} + 2 \frac{\delta S}{\delta g_{\mu\nu}} \right). \quad (29)$$

So that one can define the energy-momentum tensor as

$$T^{\mu\nu} = -2 \frac{\delta S}{\delta g_{\mu\nu}} \quad (30)$$

up to a surface term.

Another way to make the energy-momentum tensor symmetric is add a surface term to the canonical one. One can show that with rotation symmetry, such a term can be constructed to make $T^{\mu\nu}$ symmetric.

1.5 Symmetry at the quantum level

All the field configurations contribute to the quantum theory, so that one has no Noether current in general. Still the symmetry has constraints to the quantum theory. For the n -point correlation functions, one has

$$\langle \phi(x'_1) \dots \phi(x'_n) \rangle = \frac{1}{Z} \int [D\phi] \phi(x'_1) \dots \phi(x'_n) e^{-S[\phi]} \quad (31)$$

$$= \frac{1}{Z} \int [D\phi'] \phi'(x'_1) \dots \phi'(x'_n) e^{-S'[\phi']} \quad (32)$$

$$= \frac{1}{Z} \int [D\phi] F(\phi(x_1)) \dots F(\phi(x_n)) e^{-S[\phi]} \quad (33)$$

$$= \langle F(\phi(x_1)) \dots F(\phi(x_n)) \rangle \quad (34)$$

in which we assumed the functional integral measure does not change and the coordinate transformation is a rigid one (ω_a is a constant).

1.5.1 Ward identity

As stated above there is no conserved current at the quantum level. The infinitesimal coordinate transformation at the quantum level results in the so-called Ward identity.

We denote the change of fields as

$$\phi'(x) = \phi(x) - i\omega_a G_a \phi(x). \quad (35)$$

The infinitesimal coordinate transformation (ω_a now is arbitrary) changes the correlation as (We only consider the first order perturbation contribution)

$$\langle \phi'(x_1) \dots \phi'(x_n) \rangle = \langle \phi(x_1) \dots \phi(x_n) \rangle \quad (36)$$

$$= \frac{1}{Z} \int [D\phi'] (X + \delta X) e^{-S[\phi] - \int d^d x \partial_\mu j^\mu \omega_a} \quad (37)$$

$$= \frac{1}{Z} \int [D\phi] (X + \delta X) e^{-S[\phi] - \int d^d x \partial_\mu j^\mu \omega_a} \quad (38)$$

$$= \langle X \rangle - \int [D\phi] \int d^d x X \partial_\mu j^\mu \omega_a e^{-S[\phi]} - \int [D\phi] \delta X e^{-S[\phi]} \quad (39)$$

so that one finds

$$\langle \delta X \rangle = \int d^d x \partial_\mu \langle j^\mu X \rangle \omega_a(x). \quad (40)$$

As

$$\delta X = -i \sum_i \phi(x_1) \dots G_a \phi(x_i) \dots \phi(x_n) \omega_a(x_i) \quad (41)$$

$$= -i \int d^d x \sum_i \phi(x_1) \dots G_a \phi(x_i) \dots \phi(x_n) \delta(x - x_i) \omega_a(x) \quad (42)$$

Since ω_a is arbitrary, one obtains the Ward identity

$$\partial_\mu \langle j^\mu X \rangle = -i \sum_i \delta(x - x_i) \langle \phi(x_1) \dots G_a \phi(x_i) \dots \phi(x_n) \rangle. \quad (43)$$

So that for each symmetry, there exists a Ward identity, i.e., a constraint to the correlation function. With enough symmetries, one can get all the information of the correlation functions.

1.6 Renormalization group

1.6.1 Dimensional analysis and renormalizability of QFT

Let's start with the canonical dimension of fields and couplings in the action,

$$S = \int d^d x \mathcal{L}(\phi, \lambda). \quad (44)$$

Since the action is dimensionless, every term in \mathcal{L} has an energy scaling dimension of

$$\Delta(\mathcal{L}) = [\mathcal{L}] = \omega^d \quad (45)$$

which determines the canonical dimension fields and couplings. The renormalizability of a QFT is directly obtained from the energy dimension of Feynman diagrams,

$$\mathcal{D} = d - E_\phi \Delta(\phi) - \Delta(\lambda_i) \quad (46)$$

where E_ϕ is the number of external fields and λ_i the couplings in the theory. A nice discussion about renormalizability can be found online (<https://web2.ph.utexas.edu/vadim/Classes/2022f/notes.html>).

Super-renormalizable theories have only couplings with positive dimensions. For such theories, there are finite Feynman diagrams become divergent in the perturbation calculation. Renormalizable theories have couplings with non-negative dimensions, in which a finite number of couplings have zero dimensions. There exists infinite number of divergent Feynman diagrams, but the number of divergent amplitudes is finite. If there is at least one coupling with a negative dimension, the theory is non-renormalizable.

1.6.2 Wilson-Kadanoff renormalization scheme

The renormalization group (RG) builds up the modern understanding of QFT, which is regarded as an *effective field theory*. In the history, many different RG schemes have been developed, which are suitable for very different theories. Most of them are realized in a perturbation way around a known RG fixed point. Here we briefly recall the most popular one, i.e. the Wilson-Kadanoff RG scheme.

In this scheme, a momentum cutoff $k < \Lambda$ is introduced. One first divides modes into fast $\Lambda/s < k < \Lambda$ and slow $k < \Lambda/s$ parts. The fast modes are integrated out to result in a new theory

$$e^{-S'(\phi)_{\Lambda/s}} = \int D\phi_{\Lambda/s < k < \Lambda} e^{-S_\Lambda(\phi)} \quad (47)$$

with a smaller cutoff Λ/s (Usually one can not integral out high energy modes exactly, hence perturbations based on Feynmann diagramm have to be adopted). This theory can not be compared with the original one, since they have different cutoffs. Another rescaling step

$$k' = ks \quad (48)$$

is required to restore the cutoff or energy scale. So that one obtains a new theory at the same cutoff but with different parameters

$$S(\phi, \lambda')_\Lambda = -\log \left(\int D\phi_{\Lambda/s < k < \Lambda} e^{-S_\Lambda(\phi)} \right), \quad (49)$$

where we assumed the theory $S(\phi, \lambda)$ remains the same structure. Keep doing such RG procedures, one can find how the parameters $\lambda_i(s)$ flow in the parameter space along with the RG time s .

The fixed points are special in the parameter space, since they are scale invariant. The parameter near a fixed point λ^* is called relevant or irrelevant when it flows away or close to λ^* , respectively. A RG program is to find all fixed points and analyse how the parameters flow near fixed points. One needs to solve the so-called β equation

$$\beta_i(\lambda_j) = \frac{\partial \lambda_i}{\partial \log(s)}. \quad (50)$$

The zero points of the β function are solutions of fixed points of the RG program

$$\beta_i(\lambda_j^*) = 0. \quad (51)$$

Near the fixed point, usually one can approximate the beta function as an linear eigen problem. Eigenvalues of the RG transformation imply how fast λ_i flow to or away from λ^* , which are nothing but the scaling dimensions $\Delta(\tilde{\lambda}_i)$ of the corresponding parameter

$$\frac{\partial \tilde{\lambda}_i}{\partial \log(s)} = \Delta(\tilde{\lambda}_i) \tilde{\lambda}_i \quad (52)$$

where $\tilde{\lambda}_i$ is usually a linear combination of the original parameters (here we shifted the fixed point to be zero and $\tilde{\lambda}_i$ means the distance to the fixed point).

There also exist many other RG schemes. One may integrate out all high-energy modes $|k| > \Lambda$. Usually one encounters divergence at low dimensions. A popular way to deal with the divergence is to continue the space dimension d as a continuous number and make perturbation around the upper or lower critical dimension, which is denoted as $d \mp \epsilon$ expansion. Another popular and also elegant RG scheme is to introduce a real space short distance cut-off a . One can use operator product expansion (OPE) to write down the β function. In this approach, one only needs to know the OPE coefficients at a known fixed point and does not need to do usual Feynmann diagram calculations.

1.6.3 Example: perturbative RG analysis of ϕ^4 theory

1.6.4 Example: perturbative RG analysis of BKT transition

2 Conformal group in $d=2$

In $d = 2$ we have infinitely many *local* conformal transformations. The 6 parameter subgroup of conformal transformations that are everywhere well defined is the *global* conformal group $SL(2, \mathbb{C})/\mathbb{Z}_2$.

A Central extensions of Lie algebras

In this section $\mathfrak{g}, \mathfrak{h}, \dots$ denote (possibly infinite) Lie algebras over some field $\mathbb{K} = \mathbb{R}, \mathbb{C}$. This section is mainly based on Wikipedia and [1].

A.1 Extensions

Definition: A *Lie algebra extension* is a short exact sequence of Lie algebras:

$$\mathfrak{h} \xrightarrow{\iota} \mathfrak{e} \xrightarrow{\pi} \mathfrak{g}. \quad (53)$$

One calls \mathfrak{e} an extension of \mathfrak{g} by \mathfrak{h} . By exactness of the sequence one has $\mathfrak{g} \cong \mathfrak{e}/\text{Im } \iota$.

Definition: A *central extension* is an extension \mathfrak{e} of \mathfrak{g} by \mathfrak{h} , such that $\text{Im } \iota$ is contained in the center of \mathfrak{e} , $\iota(\mathfrak{h}) \subseteq Z(\mathfrak{e})$.

Notice that for a central extension \mathfrak{h} is necessarily abelian. We now introduce a notion of trivial central extensions as follows:

Definition: A Lie algebra extension

$$\mathfrak{h} \xrightarrow{\iota} \mathfrak{e} \xrightarrow{\pi} \mathfrak{g} \quad (54)$$

splits if there exists a Lie algebra morphism $\beta : \mathfrak{g} \rightarrow \mathfrak{e}$ such that $\pi \circ \beta = \text{id}_{\mathfrak{g}}$. β is called a *splitting map*.

A central extension

$$\mathfrak{h} \xrightarrow{\iota} \mathfrak{e} \xrightarrow{\pi} \mathfrak{g}. \quad (55)$$

that splits is trivial in the sense that it is equivalent¹ to one where $\mathfrak{e} \cong \mathfrak{g} \oplus \mathfrak{h}$.

¹To do: introduce the notion of equivalent extensions.

Let us now consider a central extension and a map (not necessarily a Lie algebra homomorphism) $\beta : \mathfrak{g} \rightarrow \mathfrak{e}$ such that $\pi \circ \beta = \text{id}_{\mathfrak{e}}$. From this map construct $\Theta : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{h}$ as follows:

$$\Theta(x, y) := [\Theta(x), \Theta(y)] - \Theta([x, y]). \quad (56)$$

This map is:

1. Antisymmetric.
2. Bilinear.
3. Satisfies $\Theta(x, [y, z]) + \Theta(y, [z, x]) + \Theta(z, [x, y]) = 0$.

Given Θ one can now show that there is an isomorphism between the vector spaces $\mathfrak{e} \cong \mathfrak{g} \oplus \mathfrak{h}$ that is given by:

$$\Psi : \mathfrak{g} \oplus \mathfrak{h} \mapsto \mathfrak{e} : (x, y) \mapsto \beta(x) + y. \quad (57)$$

A Lie bracket on $\mathfrak{g} \oplus \mathfrak{h}$ is given by:

$$[x \oplus z, y \oplus z']_{\mathfrak{e}} := [x, y]_{\mathfrak{g}} + \Theta(x, y). \quad (58)$$

Lemma: In the above construction β is a splitting map if and only if

$$\Theta(x, y) = \mu([x, y]), \quad (59)$$

for some $\mu \in \text{Hom}(\mathfrak{g}, \mathfrak{h})$.

Now comes the classification of central extensions of Lie algebras:

Theorem: Every central extension comes from a map Θ that satisfies the above properties (1-3). Conversely, every central extension gives rise to a map Θ that satisfies the above properties (1-3).

A.2 Lie algebra cohomology

The classification of Lie algebra extensions is very satisfying. It smells a lot like a cohomological classification. Indeed, the extensions are classified by functions depending on two variables satisfying the condition (3) that is exactly the one needed to fulfill the Jacobi identity of the central extension. Moreover, the central extension is trivial if the 2-cocycle Θ is trivial in the following sense: $\Theta(x, y) = \mu([x, y])$. This is reminiscent of considering 2-cocycles to be trivial if they are equal to a coboundary. Let us put this on a bit more rigorous footing.

Definitions:

1. $Z^2(\mathfrak{g}, \mathfrak{h}) = \{\Theta \in \Lambda^2(\mathfrak{g}, \mathfrak{h}) \mid \Theta : (3)\}$.
2. $B^2(\mathfrak{g}, \mathfrak{h}) = \{\Theta : \mathfrak{g} \times \mathfrak{g} \mapsto \mathfrak{h} \mid \exists \mu \in \text{Hom}(\mathfrak{g}, \mathfrak{h}) : \Theta(-, -) = \mu([- , -])\}$.
3. $H^2(\mathfrak{g}, \mathfrak{h}) := Z^2(\mathfrak{g}, \mathfrak{h}) / B^2(\mathfrak{g}, \mathfrak{h})$.

H^2 is of course called the second cohomology group. We thus obtain the following reformulation of the classification of central extensions:

Theorem: The equivalence classes of central extensions

$$\mathfrak{h} \xrightarrow{\iota} \mathfrak{e} \xrightarrow{\pi} \mathfrak{g} \quad (60)$$

are in one-to-one correspondence with the elements of $H^2(\mathfrak{g}, \mathfrak{h})$.

For completeness, let us introduce a notion of cochain complexes for Lie algebras. A cochain f is a alternating multilinear map f :

$$f : \Lambda^n \mathfrak{g} \mapsto \mathfrak{h}. \quad (61)$$

Here, \mathfrak{h} is considered a \mathfrak{g} -module or \mathfrak{g} -representation.

The differential of an n -cochain is given by

$$\begin{aligned} (df)(x_1, \dots, x_{n+1}) = & \sum_i (-1)^{i+1} x_i f(x_1, \dots, \hat{x}_i, \dots, x_{n+1}) + \\ & \sum_{i < j} (-1)^{i+j} f([x_i, x_j], x_1, \dots, \hat{x}_i, \dots, \hat{x}_j, \dots, x_{n+1}), \end{aligned} \quad (62)$$

so for example, with trivial action we obtain

$$(df)(x_1, x_2) = f([x_1, x_2]), \quad (63)$$

and

$$\begin{aligned} (df)(x_1, x_2, x_3) = & -f([x_1, x_2], x_3) + f([x_1, x_3], x_2) - f([x_2, x_3], x_1) \\ = & -f([x_1, x_2], x_3) - f([x_3, x_1], x_2) - f([x_2, x_3], x_1) \\ = & f(x_3, [x_1, x_2]) + f(x_2, [x_3, x_1]) + f(x_1, [x_2, x_3]). \end{aligned} \quad (64)$$

So clearly, $Z^2(\mathfrak{g}, \mathfrak{h})$ defined above is the group of 2-cocycles satisfying $d\Theta = 0$ and $B^2(\mathfrak{g}, \mathfrak{h})$ the set of coboundaries: $\Theta = d\mu$.

References

- [1] M. Schottenloher, *Central extensions of lie algebras and Bargmann's theorem*, In *A Mathematical Introduction to Conformal Field Theory*, pp. 63–73. Springer (2008).