



# Modeling Data Durability and Availability

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# Outline

- Modeling Failures
  - Weibull Distribution
- Erasure Coding(EC)
  - General formalism, RAID 5& 6
- Hard Errors ( UREs )
  - Modeling durability with UREs
- Distributed Parity
  - Improving data durability with ADAPT
- Multi-Layer EC
  - Improving durability with two layers of EC
- Availability
  - Modeling data availability
- Appendix
  - MACH2 and ReMan



# Goals & Summary

## Goals

- Provide a quick review of available models to compute data durability,
- Present an accurate and rigorous model,
- Establish a common language to compute these metrics.

## Summary

A quick survey on literature

- The durability and availability of data can be predicted accurately with Markov Chains:
  - Based on rigorous math, and verified with Monte Carlo simulations.
  - Supports Distributed Parity, ReMan, UREs, Weibull failure modes, and multi-layer EC.
  - Developed in collaboration with the CORTX architects & sales team.
- Advanced features, such as Online ReMan, can be modeled too:
  - We continue to work on modeling latest and greatest CORTX features.

## Modeling component failures

We will assume that individual component failures can be described by a Weibull distribution [10]. The failure probability density, cumulative failure distributions and the hazard rate (failure rate) are defined as follows:

$$f_{\alpha,\beta}(t) = \frac{\beta}{\alpha} \left( \frac{t}{\alpha} \right)^{\beta-1} e^{-\left(\frac{t}{\alpha}\right)^\beta}, \quad (1)$$

$$F_{\alpha,\beta}(t) = \int_0^t d\tau f_{\alpha,\beta}(\tau) = 1 - e^{-\left(\frac{t}{\alpha}\right)^\beta}, \quad (2)$$

$$h_{\alpha,\beta}(t) = \frac{f_{\alpha,\beta}(t)}{1 - F_{\alpha,\beta}(t)} = \frac{\beta}{\alpha} \left( \frac{t}{\alpha} \right)^{\beta-1}. \quad (3)$$

The actual values of  $\alpha$  and  $\beta$  vary from product to product:  $\beta$  is expected to be between 1 and 2.

$\beta = 1$  gives the exponential distribution, which has completely random head failure times with a fixed failure(hazard) rate:  $h_{\alpha,\beta}(t) = \frac{1}{\alpha} \equiv \lambda$ . This simplifies Eqs. (1), (2) and (3) to:

$$f_\lambda(t) = \lambda e^{-\lambda t}, \quad F_\lambda(t) = 1 - e^{-\lambda t}, \text{ and } h_\lambda(t) = \lambda. \quad (4)$$

# Visualizing failure distributions

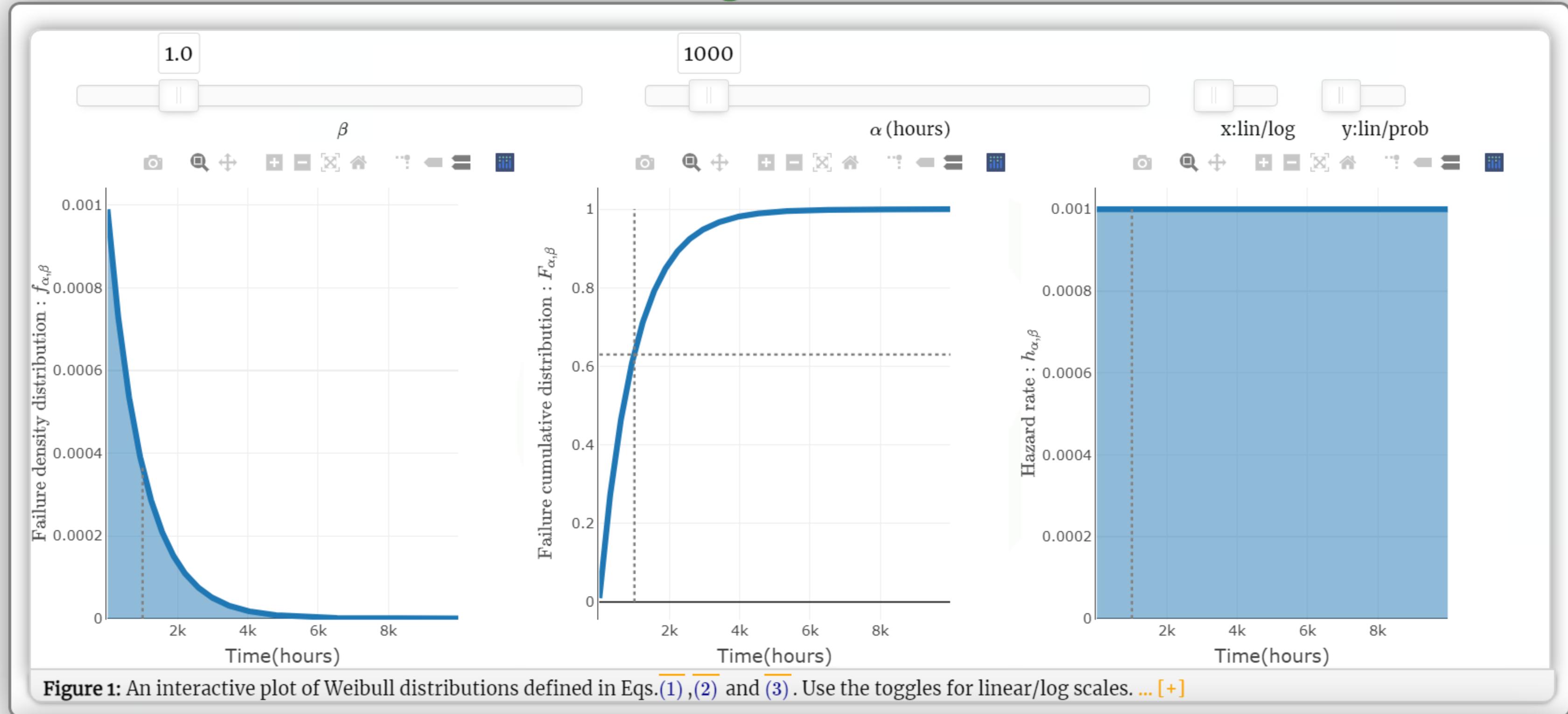


Figure 1: An interactive plot of Weibull distributions defined in Eqs.(1), (2) and (3). Use the toggles for linear/log scales. ... [+]

- It is important to understand how the storage devices fail. Weibull is typically a good fit.
- No matter how reliable individual devices are, failures are inevitable.

# Erasure Coding

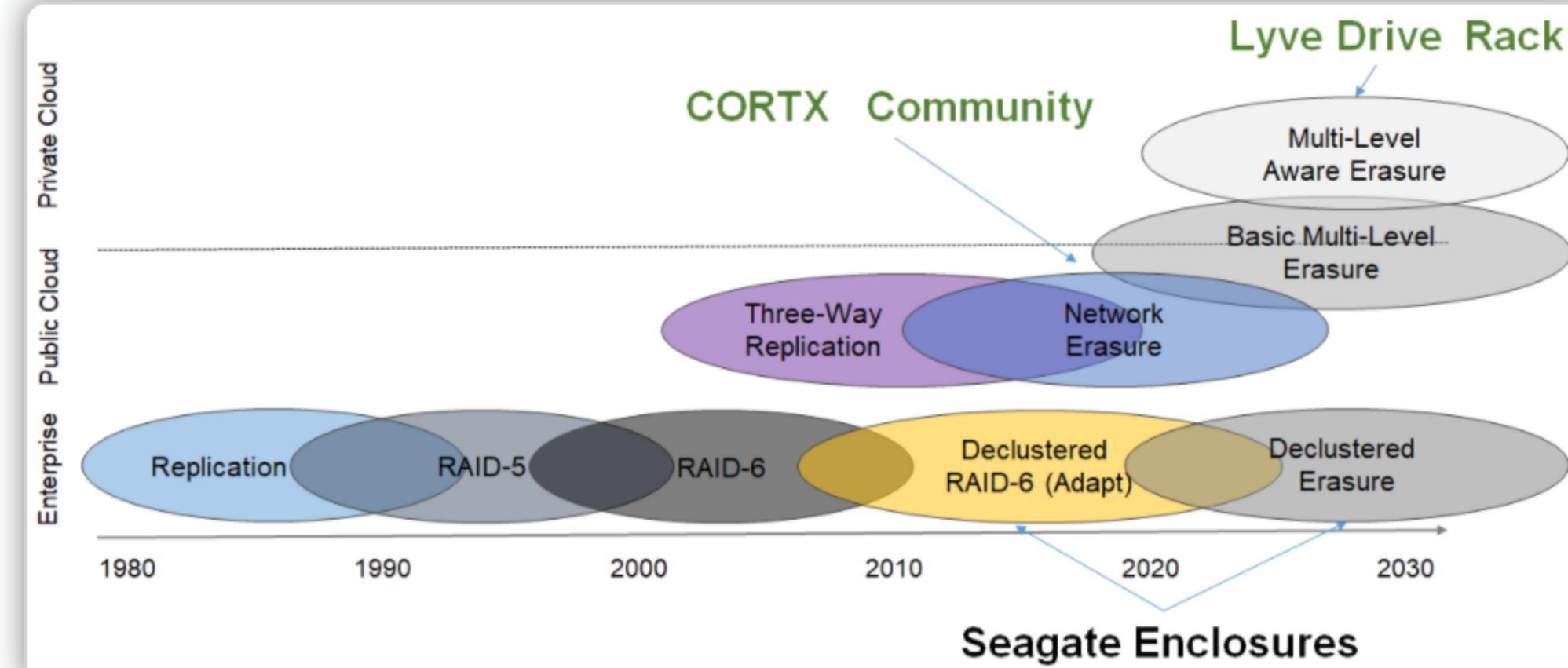


Figure 2: A brief timeline of the erasure coding. ... [+] Credit:John Bent

- The simplest way of creating redundancy is replication, but this has a very poor capacity efficiency.
- RAID 5 and Raid 6 introduce parities to protect data against device failures.
- Seagate enclosures supports declustered RAID6, which can be coupled with a top layer EC in CORTX to get the highest durability with best capacity efficiency.



# Creating Redundancy

- EC adds fault-tolerance by creating redundant data pieces.
- Data is distributed across different storage media.
- Simplest example: compute and store the parity data.
  - Given two bytes of data:  $B_1 = 01001001$  and  $B_2 = 11011010$
  - The parity data:  $P = B_1 \oplus B_2 = 10010011$
- Assume the drive that stored  $B_1$  fails:
  - Compute  $P \oplus B_2$ , which is equal to  $B_1$ .
- The data on the failed drive can be reconstructed.
  - Fault tolerant to one drive failure.
- $c$  pieces of redundancy data:
  - Parities computed using *Reed-Solomon algorithm*.
  - Fault tolerant to  $c$  simultaneous drive failures.
- **Main Question:** What is the probability of having  $c + 1$  simultaneous failures?

# RAID 5 & 6 (with URE)

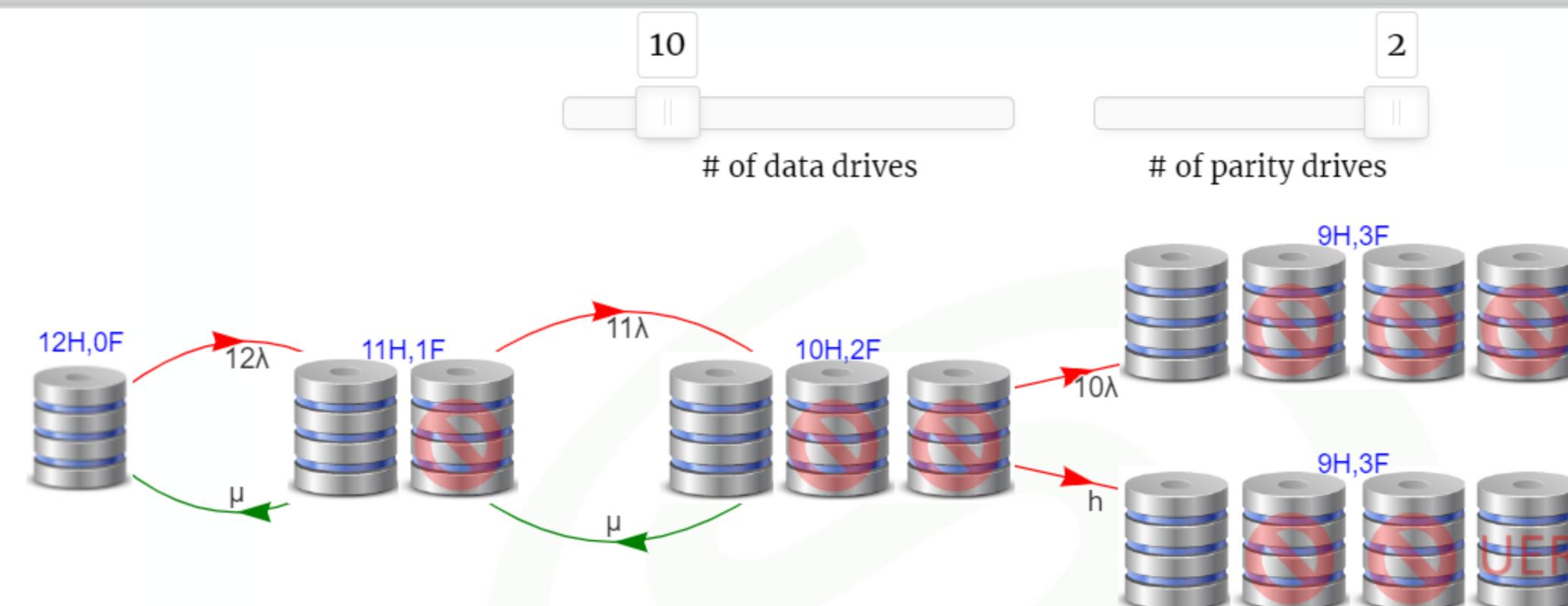


Figure 3: A Symbolic representation of the RAID6 including URE failures. Use the input sliders to change the number of drives or the redundancy. ... [+]

- The **red** arrows represent drive failures. Rate is scaled with the total number of drives:
  - $\lambda$  is the failure rate per drive, and  $n\lambda$  is the total failure rate for  $n$  drives.
  - The arrow denoted with  $h$  represents the data loss due to UREs- to be discussed in more detail later.
- The **green** arrows represent repairs. Failed drives are replaced, and data is rebuilt.
  - The repair time,  $1/\mu$ , depends on capacity and DR. It may be as long as several days.
- Data is lost when the system moves to the right-most state.
  - Key metric: Mean Time to Data Loss (MTTDL): it is a function of  $n$ ,  $c$ ,  $\lambda$ ,  $\mu$ , drive capacity and UER.



# Durability with minimal math

Here we review a few different approaches in the industry to compute data durability.

Press down arrow to navigate this sections.

# The simplest model

Consider the following set up:

(n) drives 20, capacity( $C$ ): 16 TB, redundancy( $c$ ): 3, recovery speed ( $S$ ): 50 MB/s, and AFR: 1 %.

- At this recovery rate, the recovery time from a drive failure is:  $(16 \text{ TB})/(50 \text{ MB/s}) = 3.7 \text{ days}$ .
- The probability of losing a single drive in 3.7 days is:  $1 \% * (3.7 \text{ days}/365) = 0.01\%$
- The system will lose data when there are 4 failures in 3.7 days, which has the probability:  $(0.01\%)^4$ .
- Data durability = 1 - Probability of 4 failure(s) in 3.7 days =  $1 - (0.01\%)^4 = 15 \text{ nines}$ .

Number of nines is defined as the instances of leading 9's in reliability: 0.998 has 2 nines, 0.9991 has 3 nines.

$$\text{number of nines} = \text{Floor}(-\log_{10}(1 - \text{Durability})) \quad (5)$$

- This model is very simple, but... **it is wrong!**
- The metric calculated is **not** the data durability for an 3-redundant EC, it is for 1+3 [original+3 mirror(s)].
  - Note that the total number of drives, 20, did not even enter the equations!
  - There are binomial(20,4)=4845 combinations to choose 4 failure(s) out of 20 drives.
- This is not even the durability for over a year, it is just over 3.7 days. There are 99 such frames in a year.
- With the binomial coefficients and the # frames/year included, this model over-reports durability by at least 5 nines.
- This model also ignores UREs.

# The BackBlaze Model

This is a model introduced by  BACKBLAZE [11], [12].

(n) drives 20, capacity( $C$ ): 16 TB, redundancy( $c$ ): 3, recovery speed ( $S$ ): 28.5 MB/s, and AFR: 0.4 %.

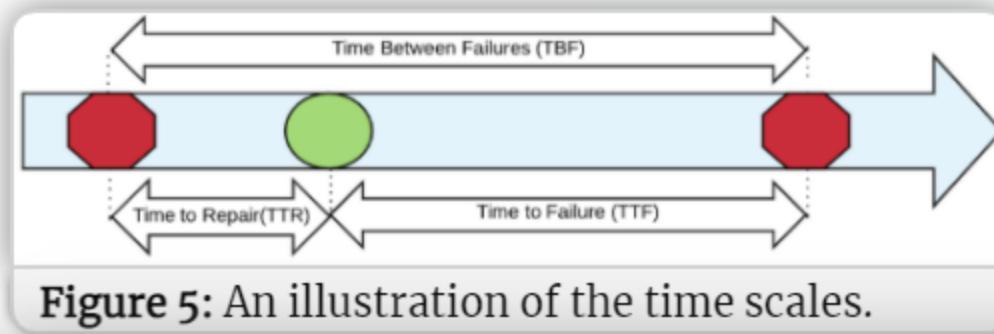
- The recovery time from a drive failure is:  $T \equiv \frac{C}{S} = 6.5$  days.
- The AFR value can be converted to the failure rate as:  $\lambda = -\frac{\ln(1-\text{AFR})}{\text{year}} \simeq \frac{\text{AFR}}{\text{year}} = 0.004/\text{year}$ .
- The probability of a given drive to fail in 6.5 days is:  $p_f \equiv \lambda T = 0.01\%$ .
- The system will **not** lose data when there are at most 3 failures in 6.5 days.
  - The probability of not losing data in 6.5 days is:  $p_{\text{no-loss}} = \sum_{i=0}^c \binom{n}{i} (p_f)^i (1-p_f)^{n-i} = 13 \text{ nines}$ .
- There are  $N_F = \frac{365}{T} = 56$  such frames in a year.
  - The probability of not losing data in a year is:  $(p_{\text{no-loss}})^{N_F} = 11 \text{ nines}$ .



Figure 4: An illustration of the time frames.

- This model is still simple, but... **it has a few issues:**
  - The segmentation of a year into 56 chunks of 6.5 days implies that data is lost only when 4 failures happen in a given frame. The cases of 4 failures within in 6.5 days but spanning two subsequent frames are missed.
  - It is implicitly assumed that every frame starts with a 3-redundant system. In reality, there may be up to 3 ongoing repairs exiting the previous frame, and a single drive failure early in the next frame will cause data loss.
- Ignoring UREs, BackBlaze model works reasonably well in the low AFR limit (up to a factor of  $\sim 2$ ).
- Including UREs will reduce the data durability by  $\sim 2$  nines.

# The most intuitive model



- Figure plotref\_avaldef\_NTT shows the time scales in a repairable system.
- A system of  $n$  drives has the Mean Time To Failure:  $MTTF = 1/(n\lambda)$ .
- A failed drive is replaced, and rebuilt. Mean Time To Repair:  $MTTR = 1/\mu$ .
- Fraction of the time spent for repair:  $\frac{MTTR}{MTTF+MTTR}$

- Fraction of the time spent for repair:  $\frac{MTTR}{MTTF+MTTR} \simeq \frac{MTTR}{MTTF} = \frac{n\lambda}{\mu}$  ( $MTTF \gg MTTR$ ).
- There are  $N - 1$  drives left running. The rate of failure:  $(n - 1)\lambda$
- Multiply this rate with the fraction of time in recovery:  $\frac{n\lambda}{\mu}(n - 1)\lambda = \frac{n(n-1)\lambda^2}{\mu}$ 
  - This is the rate of data loss. Inverting the expression:  $MTTDL_1 = \frac{\mu}{n(n-1)\lambda^2}$
- When there are two parity drives, we can iterate to get:  $MTTDL_2 = \frac{\mu^2}{n(n-1)(n-2)\lambda^3}$

- For  $c$  parity drives, we can recursively iterate to get:  $MTTDL_c = \left[\frac{\mu}{\lambda}\right]^c \frac{(n-c-1)!}{\lambda n!}$
- $c = 1$  is a RAID5, and  $c = 2$  is a RAID6 setup.  $c \geq 3$  cases are referred to as Erasure Coding in general.
- This model is very intuitive, and works great, but... **it is not clear how to include UREs.**

# Durability with rigorous math

- Figure shows the Markov chain for a system of  $n$  drives with one redundancy.
- Markov chain represents state transitions and state probabilities  $p_j(t)$ .
- The change in  $p_j(t)$  is dictated by incoming and outgoing arrows.

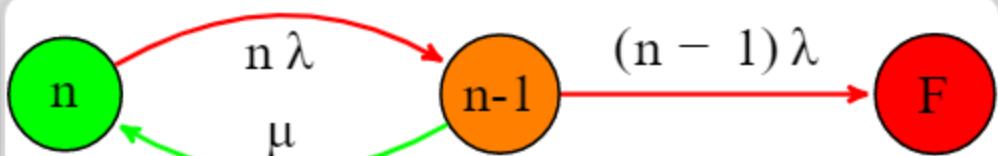


Figure 6: Markov Chain with one redundancy.

Show the details

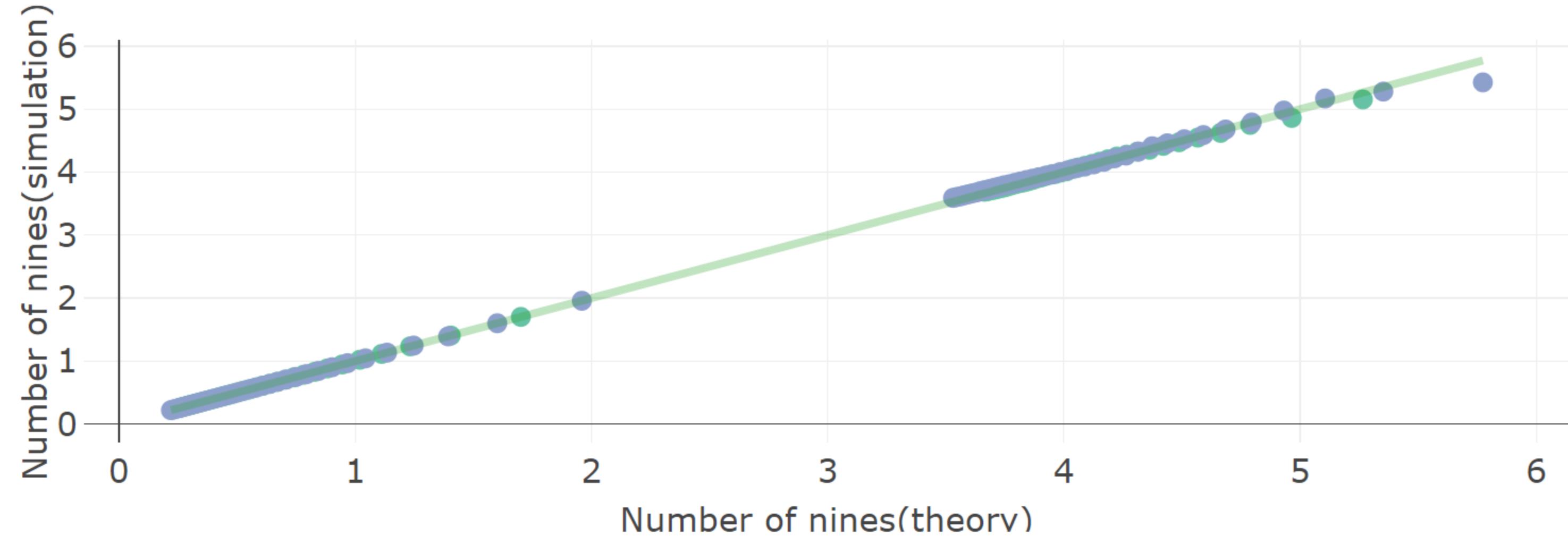
- The state transitions are described by a set of coupled DEs.
- They can be solved by Laplace transforms.
- The equations are solved for the data loss state.
- The reliability:  $R(t) = 1 - p_F(t) \sim e^{-t/\text{MTTDL}_1}$
- $\text{MTTDL}_1 = \frac{1}{n(n-1)\lambda} \frac{\mu}{\lambda}$ .

$$\begin{aligned}\dot{p}_n + n\lambda p_n - \mu p_{n-1} &= 0 \\ \dot{p}_{n-1} + (n-1)\lambda p_{n-1} + \mu p_{n-1} - n\lambda p_n &= 0 \\ \dot{p}_F - (n-1)\lambda p_{n-1} &= 0\end{aligned}\quad (15)$$

- Markov chain analysis is needed to address complicated cases:
  - Declustered Parity (ADAPT),
  - Re-Manufacturing in the field (ReMan),
  - Generic Weibull distribution for drive failures ( $\beta \neq 1$ ),
  - Latent sector errors, i.e., hard errors (UREs).
- Most of the items above will be addressed in this presentation.

# Monte Carlo Simulation

Show the simulation script (JSL)



**Figure 8:** The comparison of theory vs Monte Carlo results with various input parameters. Number of nines is defined in Eq. (5) where flooring is omitted. ... [+]

# Silent data corruption (URE)

- UREs may arise due to thermal decays of bits. They are discovered only when data [is attempted to be] read.
- It is defined as the probability of a corrupted sector **per bits** read. A typical value is  $10^{-15}$ .
- With tens of TBs data, observing at least one corrupted sector is very likely [13].

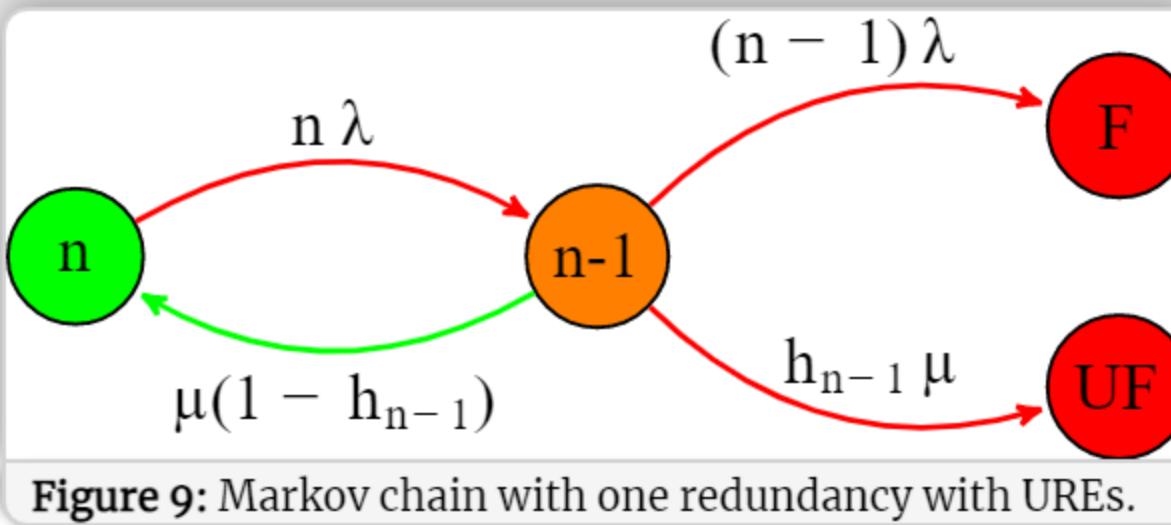


Figure 9: Markov chain with one redundancy with UREs.

- Figure on the left includes failures due to UREs.

$$S = \begin{bmatrix} s + n\lambda & -\mu(1 - h_{n-1}) & 0 \\ -n\lambda & s + (n-1)\lambda + \mu & 0 \\ 0 & -(n-1)\lambda - h_{n-1}\mu & s \end{bmatrix}$$

- $h_{n-1}$  is the probability of observing UER(s) in critical repairs:

$$h_{n-1} \equiv 1 - (1 - \text{UER})^{N_{\text{bits}}} \simeq 1 - e^{-\text{UER} \times N_{\text{bits}}} = 1 - e^{-\text{UER} \times (n-1) \times C_{\text{bits}}} \quad (16)$$

- Finding the poles of the determinant we get:  $\frac{1}{\text{MTTDL}} = \frac{\mu}{n\lambda[(n-1)\lambda]} + \frac{1}{1/(n\lambda)}h_{n-1}$ 
  - This is an harmonic sum of MTTDLs for Drive failure mode and UER failure mode.
  - The analysis can be extended to a generic  $c$  redundancy:  $\frac{1}{\text{MTTDL}_c} = \frac{1}{\text{MTTDL}_{c,\text{DF}}} + \frac{h_{n-1}}{\text{MTTDL}_{c-1,\text{DF}}}$
- For large data [ $\text{UER} \times (n-1) \times C_{\text{bits}} \gg 1$ ],  $h_{n-1}$  is significant, and the second term dominates the durability.
  - Ex:  $\text{UER} = 10^{-15}$ ,  $n = 20$  and  $C = 10\text{TB} \rightarrow h_{n-1} = 1 - (1 - 10^{-15})^{19*8*10^{13}} \simeq 1 - e^{-1.52} = 0.78$ .
- Sector level data durability of an EC with  $c$  parities is at the order of drive level durability with  $c - 1$  parities.

# Distributed Parity (ADAPT)

- Distributed raid (dRAID) uses all the drives in the pool to store data and parities.
- Rebuild is done by reading from all drives in the pool in parallel.
- ADAPT prioritizes the repair of critically damaged stripes<sup>[9]</sup>. This is the main reason for reli gain.

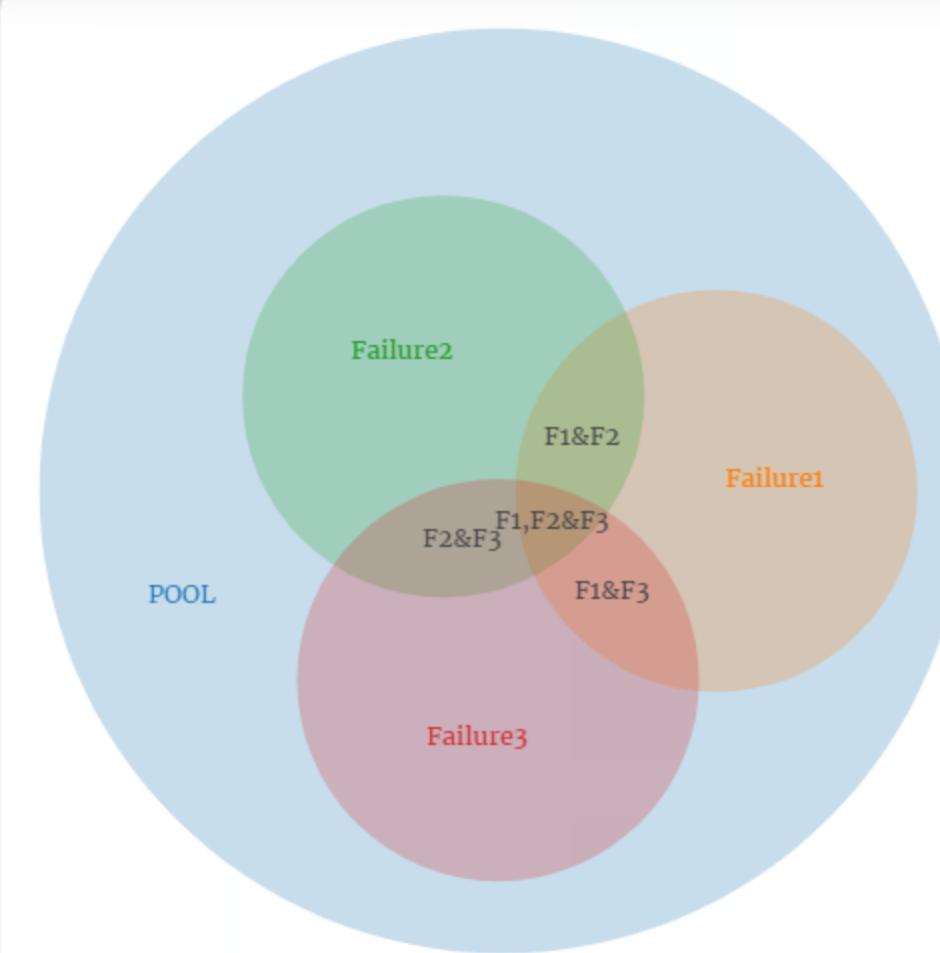


Figure 10: Venn Diagram of overlaps of 3 failures with 53-drive pool and EC size of 10.

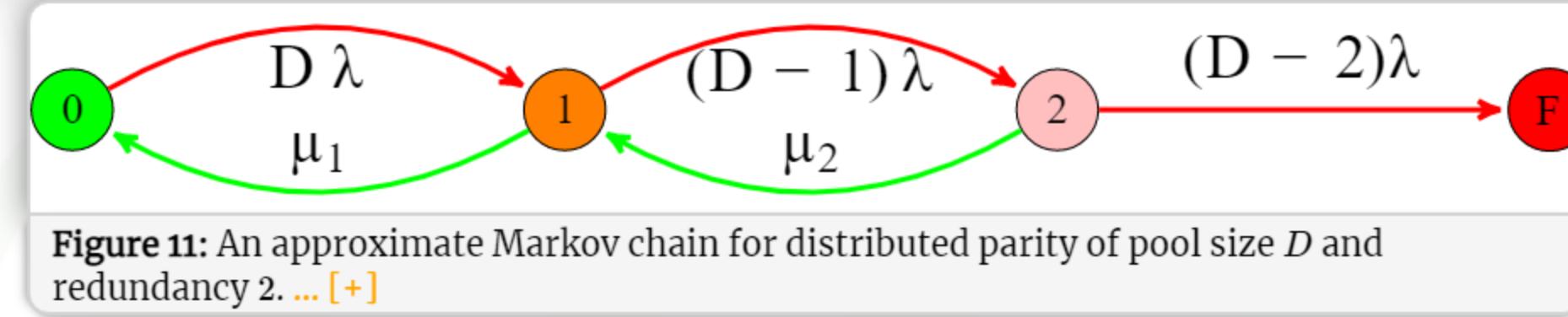
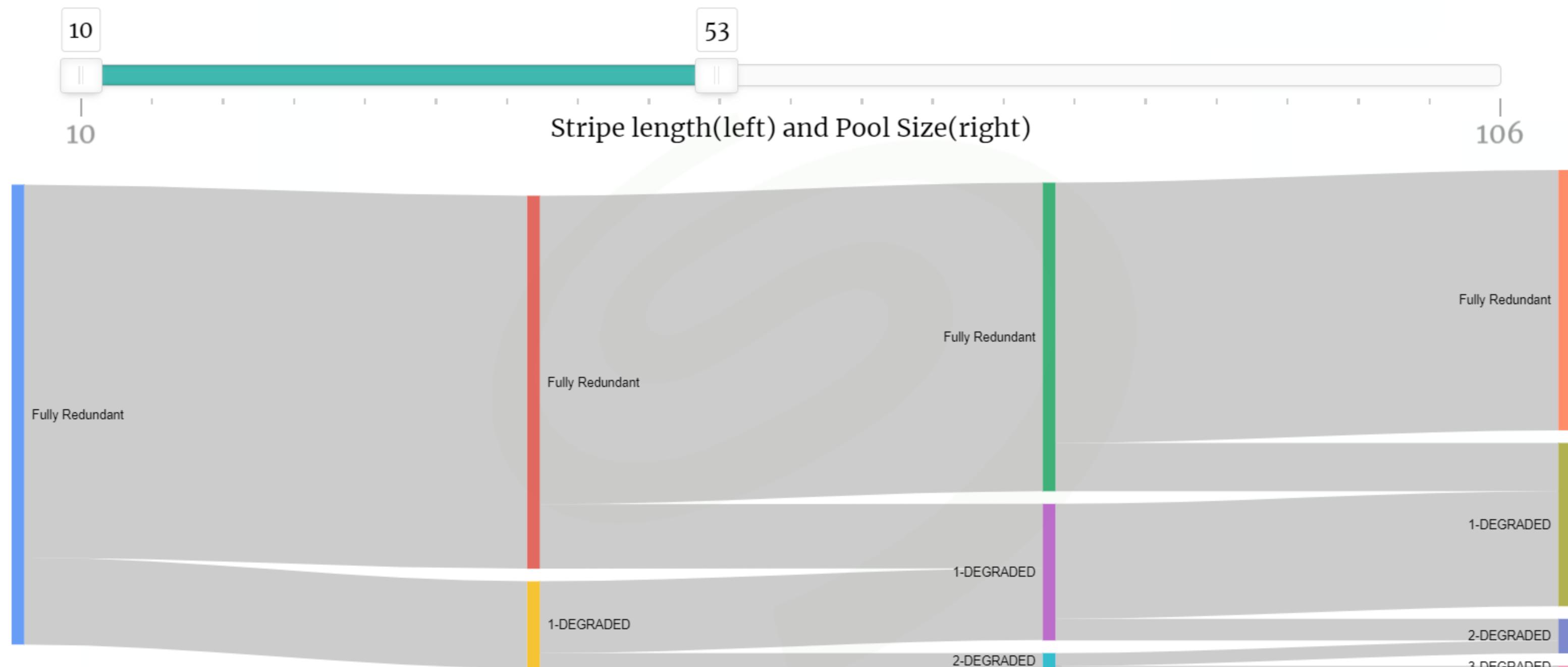


Figure 11: An approximate Markov chain for distributed parity of pool size  $D$  and redundancy 2. ... [+]

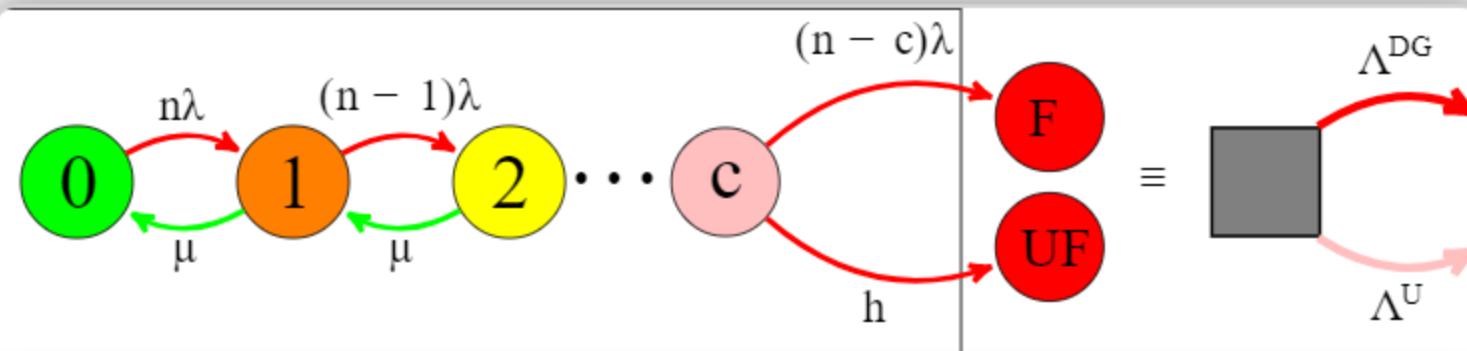
- Consider a system of pool-size  $D$  and EC size  $N$ , and redundancy  $c$ .
  - The overlaps are calculated for  $D = 53$  and  $N = 8 + 2$ .
  - Geometric overlap areas scale with powers of  $N/D$ .
- Recovery rates:  $\mu_1 \propto D$  and  $\mu_2 \propto D^2$ , failure rate:  $\propto D$ .
  - Increase in failure rate cancels with recovery speed up for  $c = 1$ .
  - Reli will benefit from ADAPT only if  $c \geq 2$ .
- ADAPT Reli can be expressed in terms of its RAID counterpart:
  - $\text{MTTDL}_{\text{dRAID}} = \left[\frac{D}{N}\right]^{\frac{c(c-1)}{2}} \text{MTTDL}_{\text{RAID}}$ .
- For  $D = 50$ ,  $N = 10$ , and  $c = 2$ : Adapt reli is 5x better than RAID6 reli.

# Visualizing the damage



# Modeling Multi-Layer Erasure Coding

The overall data durability can be improved by implementing another layer of erasure coding. Top layer is composed of already erasure coded sub-elements.

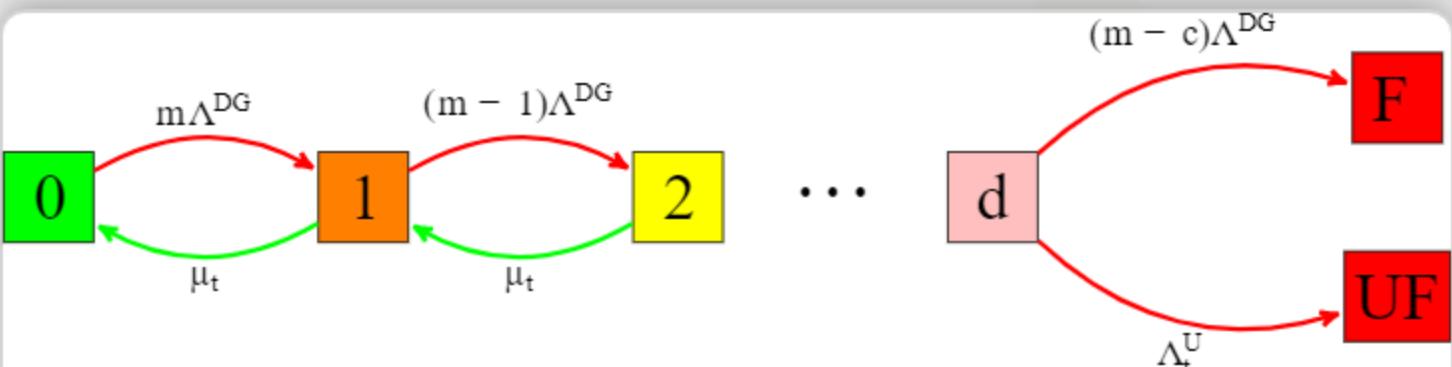


**Figure 13:** Left: the first layer of the overall erasure coding of size  $n$  and  $c$  redundies.

Right: A block diagram representation of the erasure coded system as a single element. ... [+]



**Figure 14:** Calm on the surface, but always paddling like hell underneath. A lot of data paddling inside the enclosure, but from outside, it is just a very reliable petabyte drive.



**Figure 15:** Top layer EC of size  $m$  and  $d$  redundies built with self-erasure coded elements.

$$\frac{1}{\text{MTTDL}_d^t} = \frac{1}{\text{MTTDL}_{d,\text{DG}}^t} + \frac{h_{m-d}^t}{\text{MTTDL}_{d-1,\text{DG}}^t}$$

$$\text{MTTDL}_{d,\text{DG}}^t = \left( \frac{\mu_t}{\Lambda^{\text{DG}}} \right)^d \frac{(m-d-1)!}{\Lambda^{\text{DG}} m!},$$

$$h_{m-d}^t = 1 - (1 - \text{UER})^{(n-c)(m-d)} \text{ probability of URE(s).}$$

- 16+2+Adapt (53-drive-pool) & 7+1 CORTX gives ~13 nines at the overall 73% capacity efficiency (27% overhead).
- ~12 nines can be reached with an 8+5 single layer EC at 62% capacity efficiency (38% overhead).

# System Availability

## Availability with no redundancy

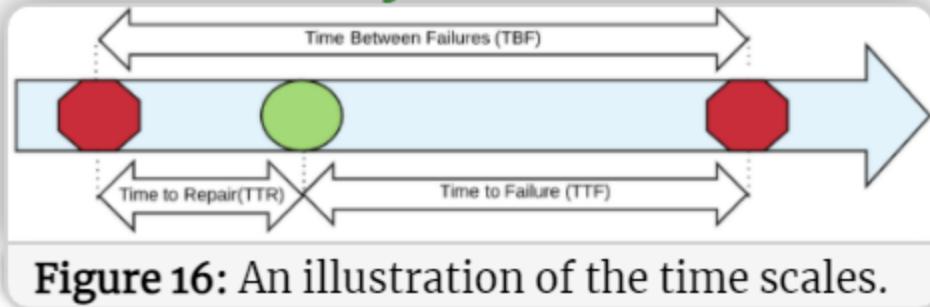


Figure 16: An illustration of the time scales.

- A set of  $n$  devices:  $\overline{\text{MTTF}} = 1/(n\lambda_s)$ , and  $\overline{\text{MTTR}} = 1/\mu_s$ .
- Fraction of time spent for repair:  $\frac{\overline{\text{MTTR}}}{\overline{\text{MTTF}} + \overline{\text{MTTR}}} \simeq \frac{\overline{\text{MTTR}}}{\overline{\text{MTTF}}} = \frac{n\lambda_s}{\mu_s}$ .
- Availability=1-Fraction of time spent for repair:  $A_0 \simeq 1 - \frac{n\lambda_s}{\mu_s}$ . (17)

Show a rigorous proof

## Availability with one redundancy

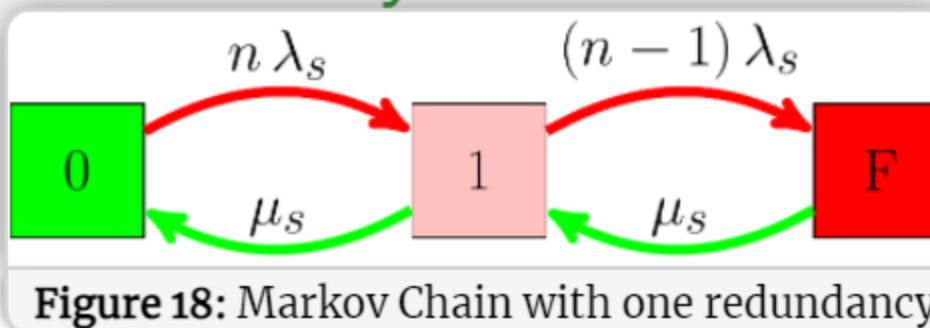


Figure 18: Markov Chain with one redundancy.

- With one redundancy, the data will be unavailable if  $2^+$  systems are down.
- Such a system can be modeled with the Markov chain shown on the left.
- The availability can be computed as  $A_1 = 1 - \left[ n \frac{\lambda_s}{\mu_s} \right] \left[ (n-1) \frac{\lambda_s}{\mu_s} \right]$  (22)

$$A_1 = 1 - \left[ n \frac{\lambda_s}{\mu_s} \right] \left[ (n-1) \frac{\lambda_s}{\mu_s} \right] \quad (22)$$

Show a rigorous proof

## Availability with $c$ redundancies

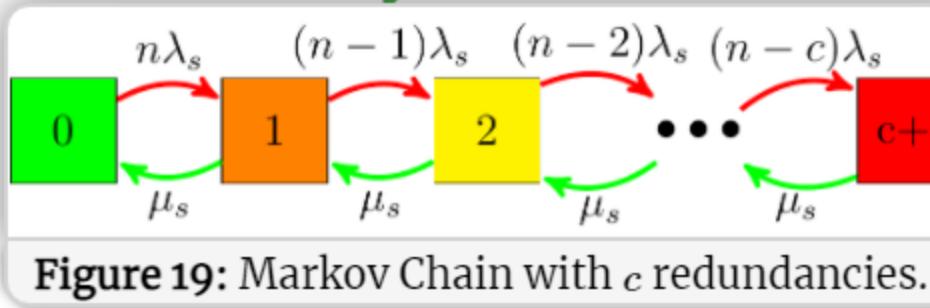


Figure 19: Markov Chain with  $c$  redundancies.

Recognizing the patterns in Eqs. (17) and (22) we can generalize the formula to a system with  $c$  redundancies:

$$A_c \simeq 1 - \frac{n!}{(n-c-1)!} \left[ \frac{\lambda_s}{\mu_s} \right]^{c+1} \quad (28)$$



# Appendix

Here we look at the data durability with dual actuator.

Press down arrow to navigate this sections.



# Durability with Mach2

Show the GIF

- The reliability of RAID critically depends on the speed of the recovery.
- MACH2 doubles the data transfer  $\Rightarrow$  **2x/4x** reliability improvement for RAID5/6.

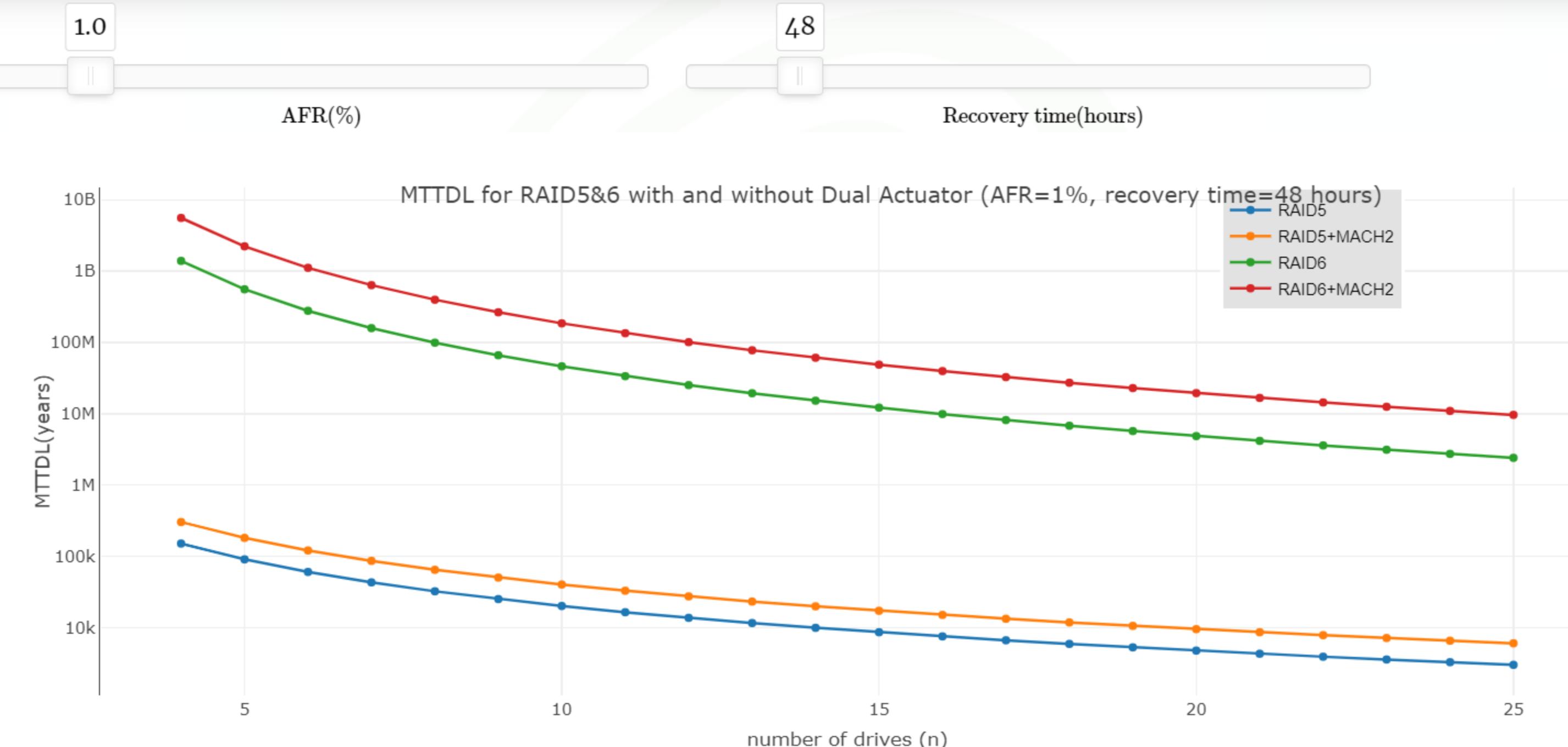
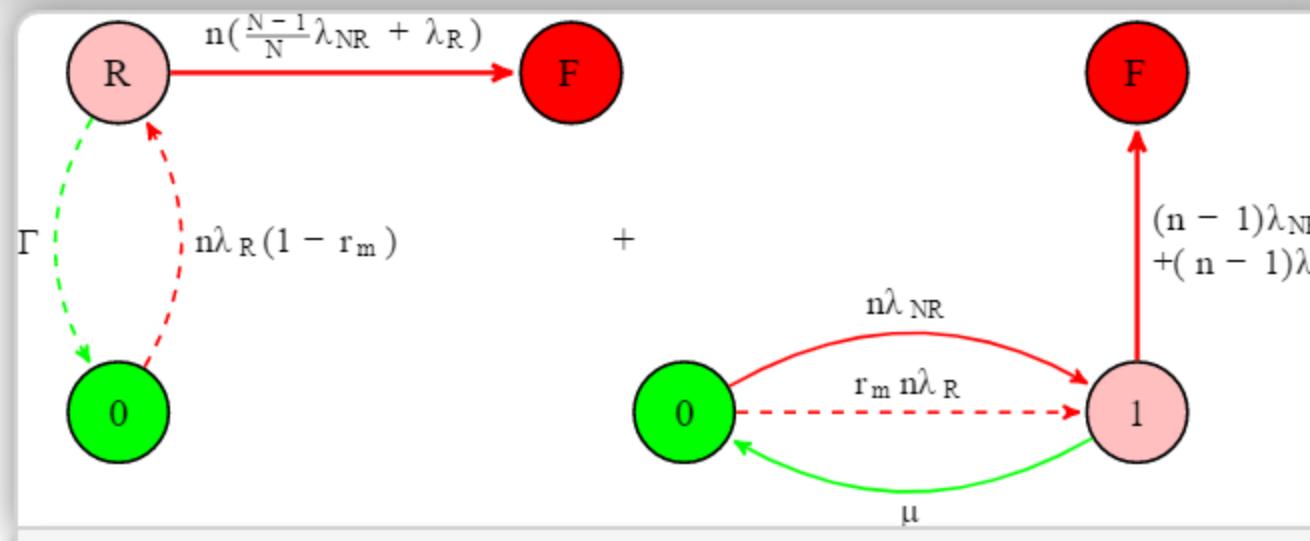


Figure 21: An interactive plot showing the gains with MACH2. ... [+]

# Durability with ReMAN

- Consider with the simplest case[14]: one redundancy. Given a head/drive failure, there are two paths to losing data:
  - A second failure while recovering from a head failure: less likely due to faster recovery.
  - A second failure while recovering from a drive failure: more likely due to longer recovery.



**Figure 22:** Markov chain split into two parallel paths: recovery from subcomponent failures and recovery from device failures.

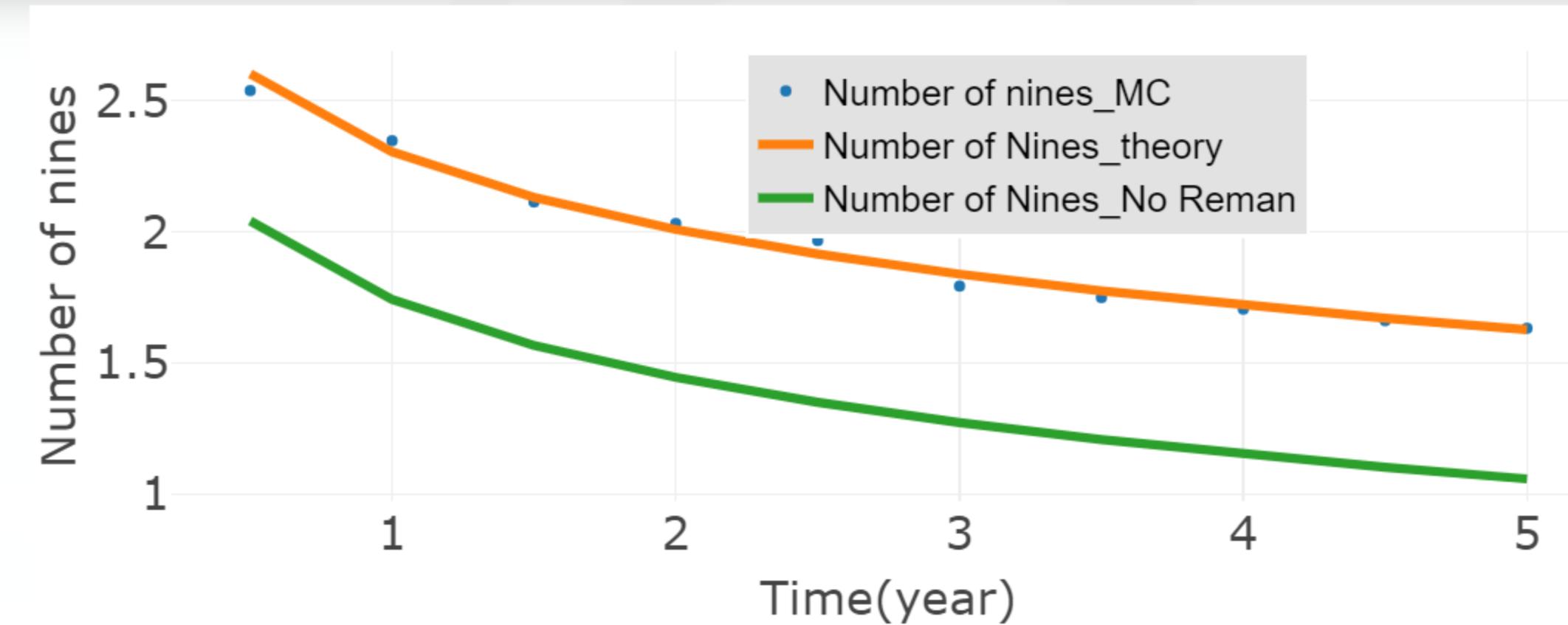
- The plot on the left shows two parallel Markov chains:
  - Left-most shows data recovery for a ReMan'able failure.
  - Right-most shows the standard recovery when a drive fails.
- $r_m$  term represents the maximally ReMan'ed drive population.
  - ReMan'able failures on maximal drives trigger replacement.
  - This is represented by the dashed red curves.
- The transition rates are time dependent, and involve  $r_m$  functions.

- $\mathcal{R}_1^{(1)}(t) = \exp\left(-\frac{\mathcal{C}}{2\Gamma}\left[t + \frac{1-e^{-2\lambda_R t}}{2\lambda_R}\right] - \frac{\mathcal{C}}{2\mu}\left[(1+2\kappa)t - \frac{1-e^{-2\lambda_R t}}{2\lambda_R}\right]\right)$  where  $\mathcal{C} \equiv n^2 \lambda_R^2 (1 + \kappa)$ , and  $\kappa \equiv \frac{\lambda_{NR}}{\lambda_R}$ 
  - $n$  : number of drives in the EC scheme,  $N$  : number of heads per drive,
  - $\Gamma$  : ReMan Repair rate,  $\mu$  : drive repair rate,  $\lambda_R$ : ReMan'able failure rate,  $\lambda_{NR}$ : non-ReMan'able failure rate.
- This is the expression when we allow for 1 ReMan/drive. Similar formulas are calculated for  $R_{max} = 2, 3$ .

- We have an analytical model of Erasure Coded systems that support ReMan.
- The closed mathematical form can be computed instantly enabling a real-time web application.

# Simulation with online ReMan

- Below is a comparison of Monte Carlo Simulation and theoretical results:
  - ReMan'able AFR= 8% and non ReMan'able AFR=2%.
  - Note that AFRs are take unrealistically high to show the functional behavior.
  - Assuming 1-ReMan per drive is allowed.
- The plot shows the theoretical prediction is remarkably accurate.
  - The gain in durability coming from ReMan is about 4x.



Number of nines with  $\lambda_R = 1/12$  and  $\lambda_{NR} = 1/50$  (1/year), which correspond to 8% and 2% AFR respectively.

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