# COMP5046 Natural Language Processing

Lecture 2: Word Embeddings and Representation

Semester 1, 2020
School of Computer Science
The University of Sydney, Australia



## **LECTURE PLAN**



## **Lecture 2: Word Embeddings and Representation**

- 1. Lab Info
- Previous Lecture Review
  - 1. Word Meaning and WordNet
  - 2. Count based Word Representation
- 3. Prediction based Word Representation
  - 1. Introduction to the concept 'Prediction'
  - 2. Word2Vec
  - FastText
  - 4. Glove
- 4. Next Week Preview

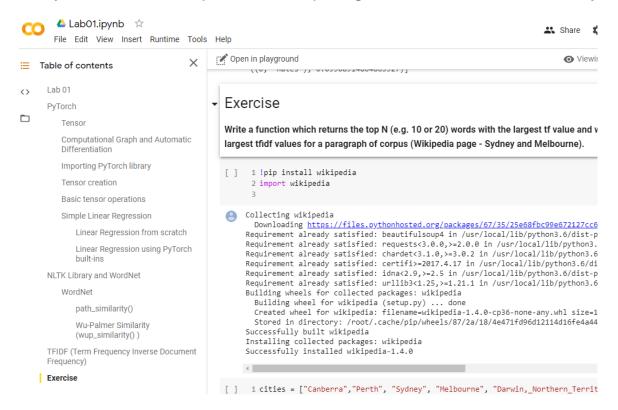
## **Info: Lab Exercise**



#### What do we do during Labs?

#### In Labs, Students will use Google Co Lab

Colaboratory is a free Jupyter notebook environment that requires no setup and runs entirely in the cloud. With Colaboratory you can write and execute code, save and share your analyses, and access powerful computing resources, all for free from your browser.



## **Info: Lab Exercise**



#### **Submissions**

#### **How to Submit**

Students should submit "ipynb" file (Download it from "File" > "Download .ipynb") to Canvas.

#### When to Submit

Students must submit the Lab 1 in Week2 by the day before 5pm in Week3.

- If you were in **Tuesday 4pm or 5pm or 6pm** Lab, you should submit your lab1 work by **Monday 6pm in week3**.
- If you were in Wednesday 4pm or 5pm Lab, you should submit your lab1 work by Tuesday 6pm in week3.



## **Lecture 2: Word Embeddings and Representation**

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## **Previous Lecture...**



## How to represent the meaning of the word?

**Definition: meaning (Collins dictionary).** 

- the idea that it represents, and which can be explained using other words.
- the thoughts or ideas that are intended to be expressed by it.

Commonest linguistic way of thinking of meaning:

signifier (symbol) ⇔ signified (idea or thing) = denotation

"Computer" "Apple"

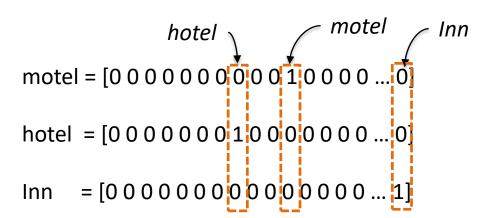


#### Problem with one-hot vectors

#### Problem #1. No word similarity representation

Example: in web search, if user searches for "Sydney motel", we would like to

match documents containing "Sydney Inn"



sydney motel

There is no natural notion of similarity for one-hot vectors!

#### **Problem #2. Inefficiency**

Vector dimension = number of words in vocabulary

Each representation has only a single '1' with all remaining 0s.

## **Previous Lecture...**



## **Problem with BoW (Bag of Words)**

- The intuition is that documents are similar if they have similar content.
   Further, that from the content alone we can learn something about the meaning of the document.
- Discarding word order ignores the context, and in turn meaning of words in the document (semantics). Context and meaning can offer a lot to the model, that if modeled could tell the difference between the same words differently arranged ("this is interesting" vs "is this interesting").

S1= I **love** you but you **hate** me

S2= I **hate** you but you **love** me





## **COUNT based WORD REPRESENTATION**



#### **Term Frequency Inverse Document Frequency**

$$w_{i,j} = tf_{i,j} \times \log\left(\frac{N}{df_i}\right)$$
 1+dfi

 $w_{i,j}$  = weight of term i in document j

Document #1: I like apple

Document #2: I like banana

Document #3: Sweet and yellow banana banana

Document #4: Sweet fruit

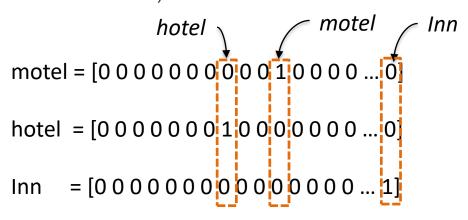
	and	apple	banana	fruit	I	like	sweet	yellow
D#1	0	0.693147	0	0	0.287682	0.287682	0	0
D#2	0	What if	we ius	t use Ter	m Fred	uency?	0	0
D#3	0.6931	·		- v	·······································	-	0.287682	0.693147
D#4	0	0	0	0.693147	0	0	0.287682	0

## **COUNT based WORD REPRESENTATION**



#### **Sparse Representation**

With **COUNT** based word representation (especially, one-hot vector), linguistic information was represented with sparse representations (high-dimensional features)

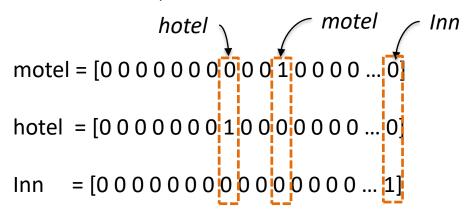


## **COUNT based WORD REPRESENTATION**



#### **Sparse Representation**

With **COUNT** based word representation (especially, one-hot vector), linguistic information was represented with sparse representations (high-dimensional features)



#### A Significant Improvement Required!

- 1. How to get the low-dimensional vector representation
- 2. How to represent the word similarity

Can we use <u>a list of fixed numbers (properties)</u> to represent the word? maybe a low-dimensional vector?

## **LECTURE PLAN**



## **Lecture 2: Word Embeddings and Representation**

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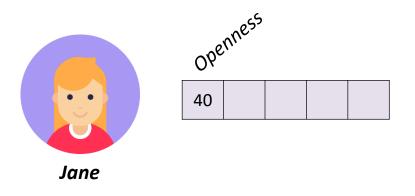
#### 3. Prediction based Word Representation

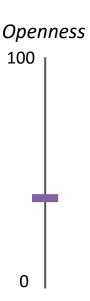
- 1. Word Embedding
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#### Let's get familiar with using vectors to represent things

Assume that you are taking a <u>personality test (the Big Five Personality Traits test)</u>
1)Openness, 2)Agreeableness, 3)Conscientiousness, 4)Negative emotionality, 5)Extraversion

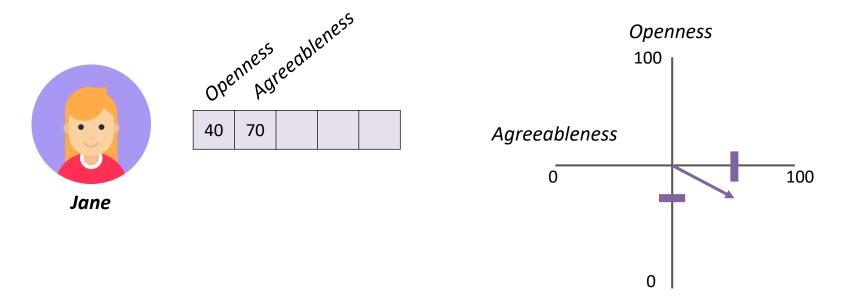






## Let's get familiar with using vectors to represent things

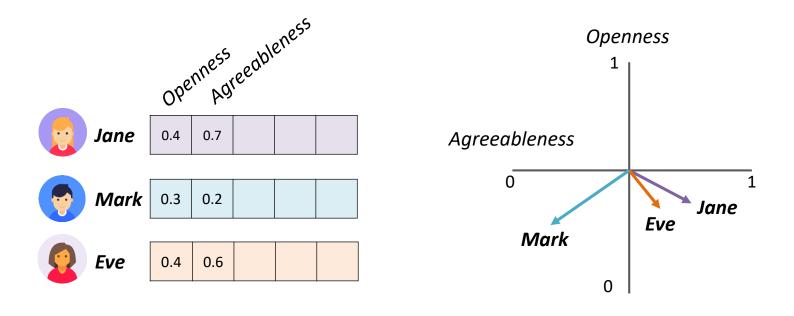
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## Let's get familiar with using vectors to represent things

Assume that you are taking a <u>personality test (the Big Five Personality Traits test)</u>
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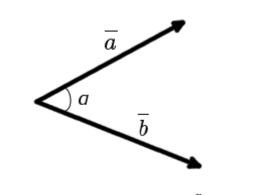


## Let's get familiar with using vectors to represent things

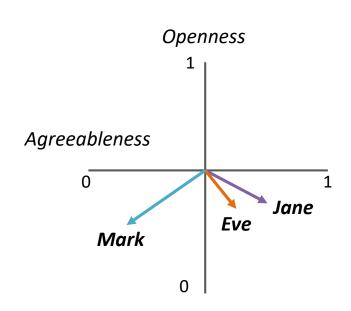
Which of two people (Mark or Eve) is more similar to Jane?

#### **Cosign Similarity**

Measure of similarity between two vectors of inner product space that measures the cosine of the angle between them



$$\cos( heta) = rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}},$$

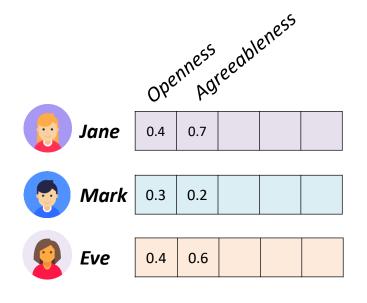


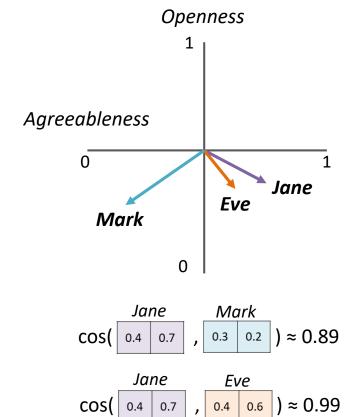




## Let's get familiar with using vectors to represent things

Which of two people (Mark or Eve) is more similar to Jane?



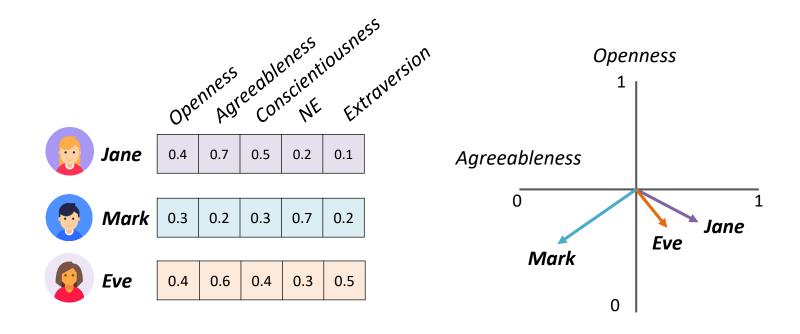






## Let's get familiar with using vectors to represent things

We need all five major factors for represent the personality

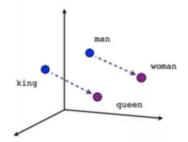


With these embeddings,

- 1. Represent things as vectors of fixed numbers!
- 2. Easily calculate the similarity between vectors



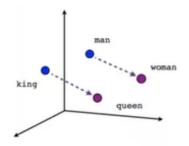
#### Remember? The Word2Vec Demo!



This is a word embedding for the word "king"



#### Remember? The Word2Vec Demo!



#### This is a word embedding for the word "king"

\* Trained by Wikipedia Data, 50-dimension GloVe Vector

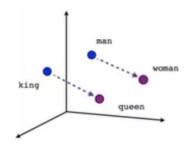
king

[0.50451, 0.68607, -0.59517, -0.022801, 0.60046, 0.08813, 0.47377, -0.61798, -0.31012, -0.066666, 1.493, -0.034173, -0.98173, 0.68229, 0.812229, 0.81722, -0.51722, -744.5.4 1503, -0.55809, 0.66421, 0.1961, -0.1495, -0.033474, -0.30344, 0.41177, -2.223, -1.0756, -0.343554, 0.33505, 1.9927, -0.042434, -0.64519, 0.72519, 0.71419, 0.714319, 0.71419 9159, 0.16754, 0.34344, -0.25663, -0.8523, 0.1661, 0.40102, 1.1685, -1.0137, -0.2155, 0.78321, -0.91241, -1.6626, -0.64426, -0.542102]





#### Remember? The Word2Vec Demo!



#### This is a word embedding for the word "king"

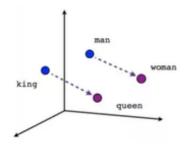
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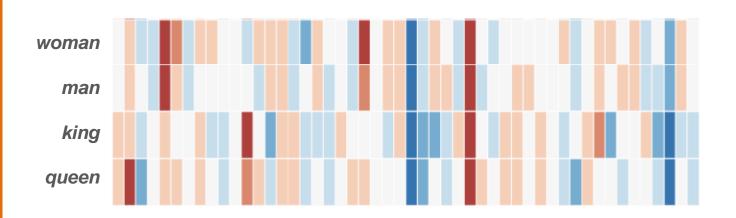


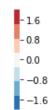


#### Remember? The Word2Vec Demo!



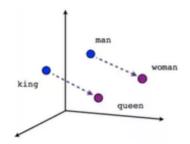
Compare with Woman, Man, King, and Queen



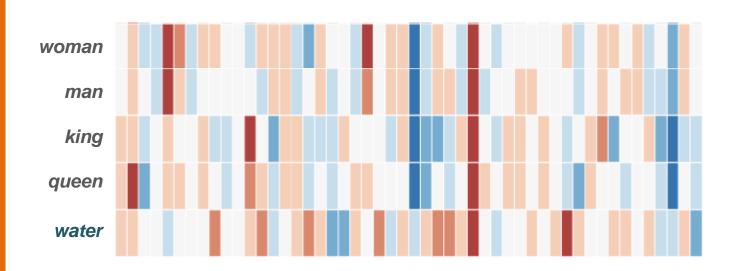


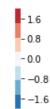


#### Remember? The Word2Vec Demo!



Compare with Woman, Man, King, Queen, and Water





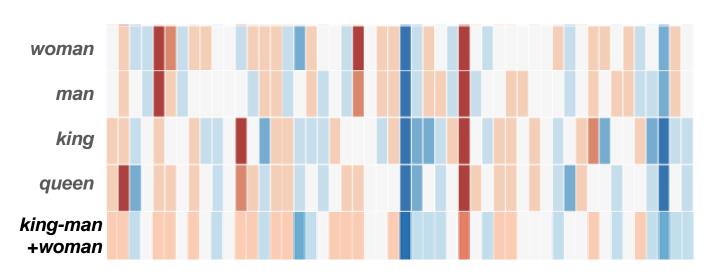


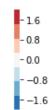


#### Remember? The Word2Vec Demo!



#### **Word Algebra**







#### How to make dense vectors for word representation



Prof. John Rupert Firth

"You shall know a word by the company it keeps"

— (Firth, J. R. 1957:11)

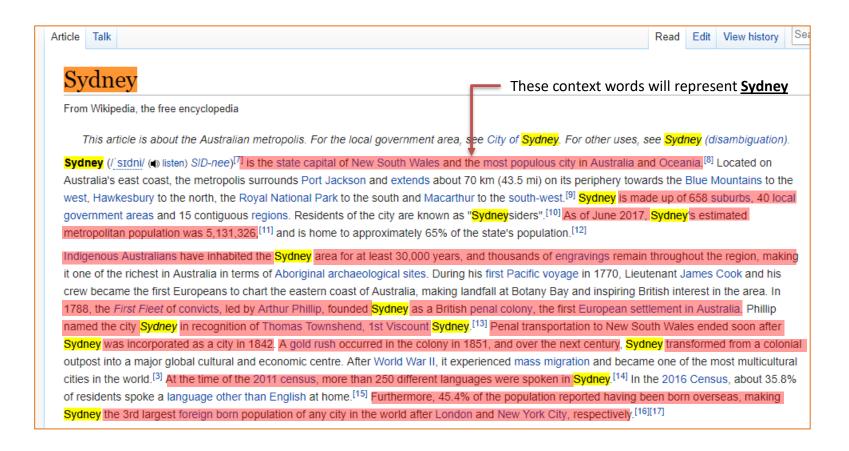
Prof. Firth is noted for drawing attention to the context-dependent nature of meaning with his notion of 'context of situation', and his work on collocational meaning is widely acknowledged in the field of distributional semantics.



## **Word Representations in the context**

When a *word* w appears in a text, its context is the set of words that appear nearby

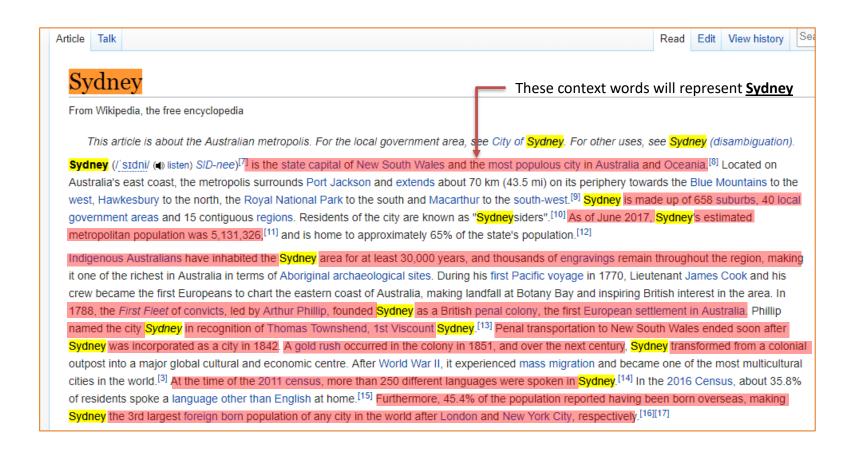
Use the surrounding contexts of w to build up a representation of w





## How can we train the word representation to machine?

**Neural Network!** (Machine Learning)





## **Machine Learning**

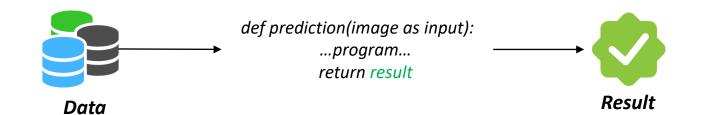
How to classify this with your machine?



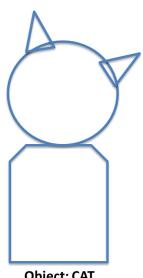
**Object: CAT** 



## **Computer System**









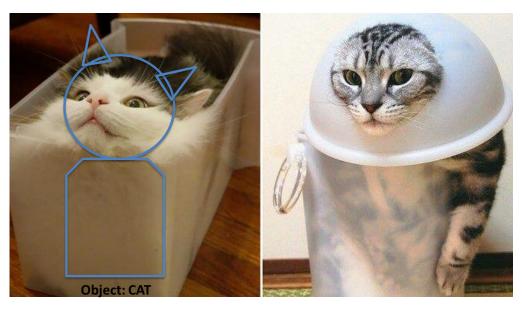


Object: CAT





## Can we classify this with the computer system?



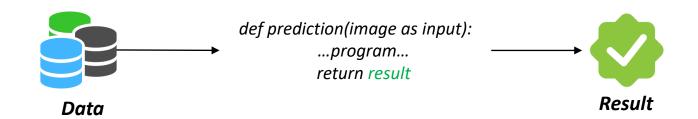


Object: ??? Object: ??? Object

Object: ???



## **Computer System VS Machine Learning**





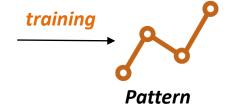
Data: Result Image 1: Dog

Image 1: Dog

Image 3: Dog Image 4: Cat

Image 5: Dog

...

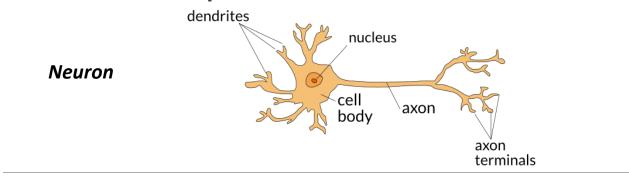


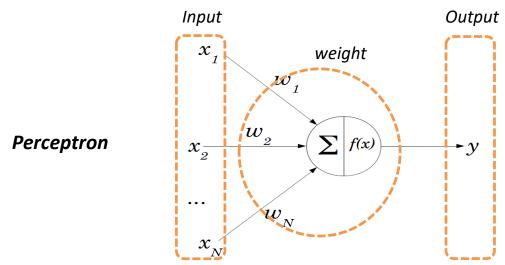
$(x_i, y_i)^{N}_{i=1}$	Xi	Input	words (indices or vectors), sentences, documents, etc.
1, 1, 1, 1-1	Vi	class	What we try to classify/predict



## **Neural Network and Deep Learning**

#### **Neuron and Perceptron**





The detailed neural network and deep learning concept will be covered in the Lecture 3

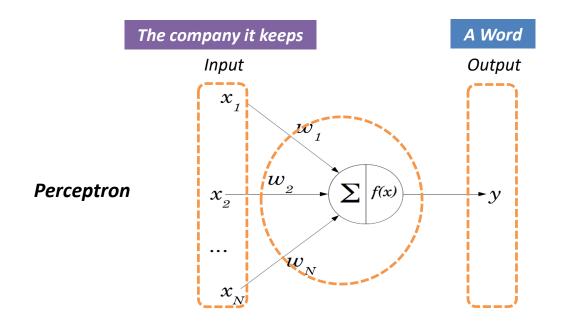


## **Neural Network and Deep Learning in Word Representation**

"You shall know a word by the company it keeps" (Firth, J. R. 1957:11)

Why don't we train a word by the company it keeps?

Why don't we represent a word by the company it keeps?





## **Neural Network and Deep Learning in Word Representation**

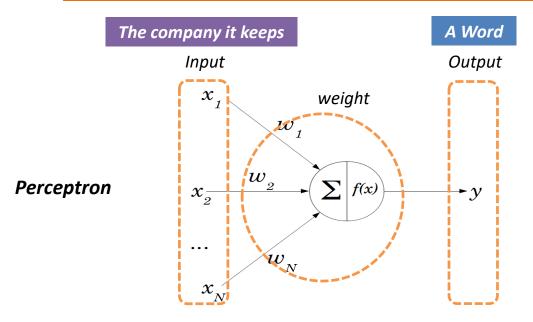
Wikipedia: "Sydney is the state capital of NSW..."

# Sydney

From Wikipedia, the free encyclopedia

This article is about the Australian metropolis. For the local government a

Sydney (/ˈsɪdni/ (♠) listen) SID-nee)[7] is the state capital of New South Wales

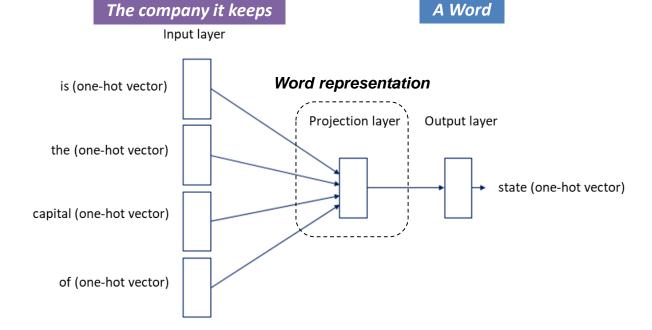




#### **Neural Network and Deep Learning in Word Representation**

Wikipedia: "Sydney is the state capital of NSW..."





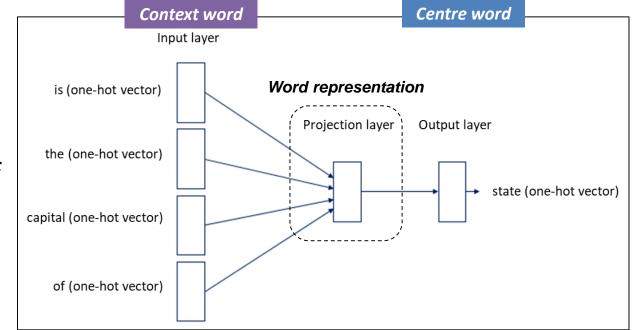




## **Neural Network and Deep Learning in Word Representation**

Wikipedia: "Sydney is the state capital of NSW..."





Word2Vec



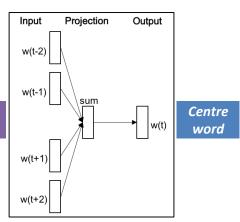
#### Word2Vec

Word2vec can utilize either of two model architectures to produce a distributed representation of words:

#### 1. Continuous Bag of Words (CBOW)

Predict center word from (bag of) context words

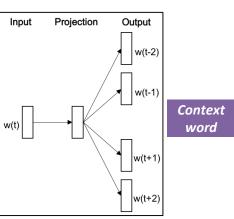
Context word



### 2. Continuous Skip-gram

Predict context ("outside") words given center word

Centre word





### Word2Vec with Continuous Bag of Words (CBOW)

Predict center word from (bag of) context words

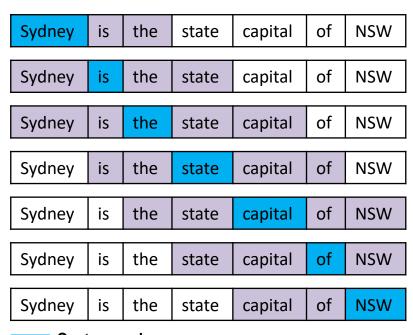
Sentence: "Sydney is the state capital of NSW"

#### **Aim**

Predict the center word

#### Setup

- Window size
  - Assume that the window size is 2



Center word

Context ("outside") word



### Word2Vec with Continuous Bag of Words (CBOW)

Predict center word from (bag of) context words

Sentence: "Sydney is the state capital of NSW"

Using window slicing, develop the training data

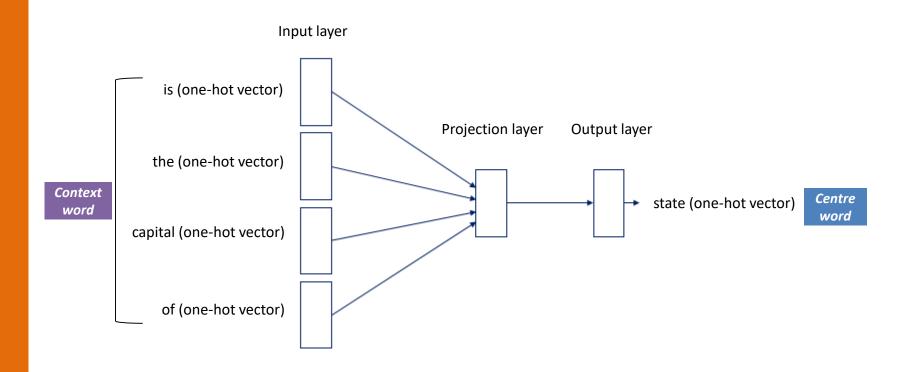
Center word	Context ("outside") word							
[1,0,0,0,0,0,0]	[0,1,0,0,0,0,0], [0,0,1,0,0,0,0]	Sydney	is	the	state	capital	of	NSW
[0,1,0,0,0,0,0]	[1,0,0,0,0,0,0], [0,0,1,0,0,0,0], [0,0,0,1,0,0,0]	Sydney	is	the	state	capital	of	NSW
[0,0,1,0,0,0,0]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0] [0,0,0,1,0,0,0], [0,0,0,0,1,0,0]	Sydney	is	the	state	capital	of	NSW
[0,0,0,1,0,0,0]	[0,1,0,0,0,0,0], [0,0,1,0,0,0,0] [0,0,0,0,1,0,0], [0,0,0,0,0,1,0]	Sydney	is	the	state	capital	of	NSW
[0,0,0,0,1,0,0]	[0,0,1,0,0,0,0], [0,0,0,1,0,0,0] [0,0,0,0,0,1,0], [0,0,0,0,0,0,1]	Sydney	is	the	state	capital	of	NSW
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[0,0,0,0,0,0,1]	[0,0,0,0,1,0,0], [0,0,0,0,0,1,0]	Sydney	is	the	state	capital	of	NSW

Center word
Context ("outside") word



#### **CBOW – Neural Network Architecture**

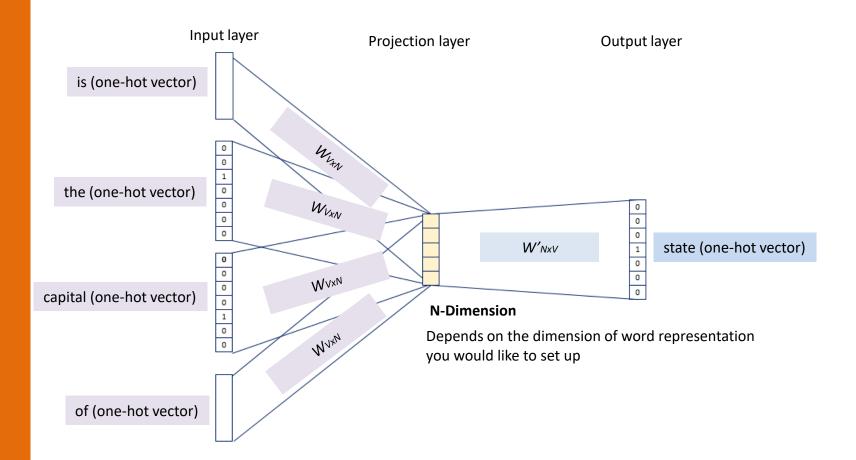
Predict center word from (bag of) context words





#### **CBOW – Neural Network Architecture**

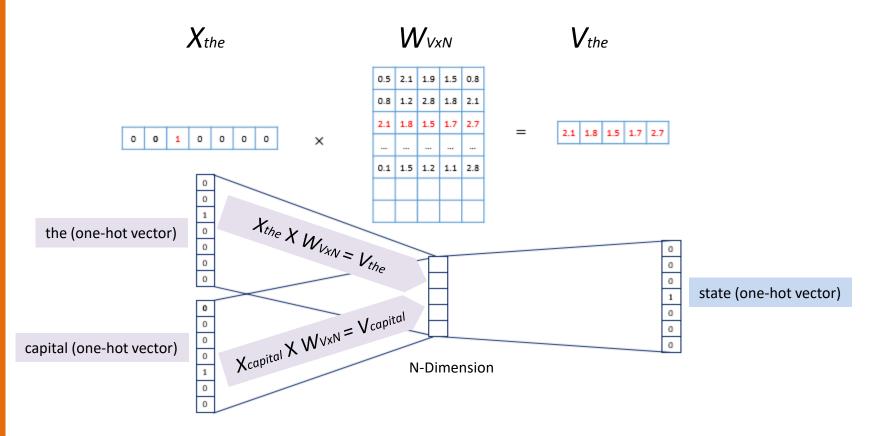
Predict center word from (bag of) context words





#### **CBOW – Neural Network Architecture**

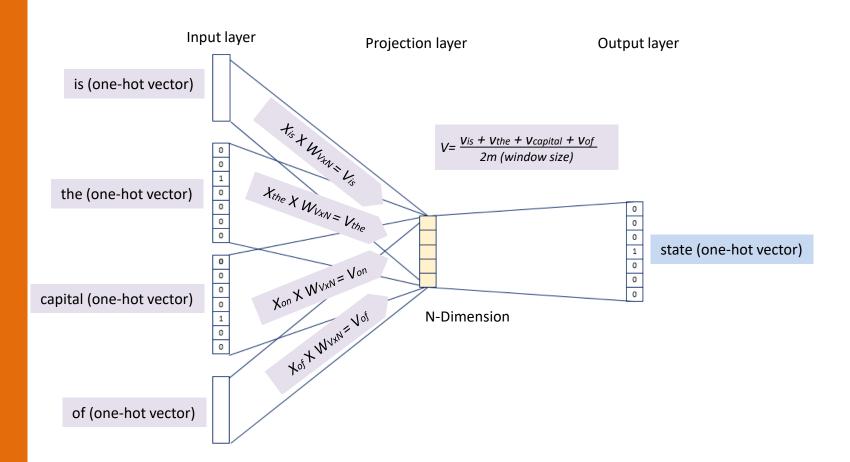
Predict center word from (bag of) context words





#### **CBOW – Neural Network Architecture**

Predict center word from (bag of) context words

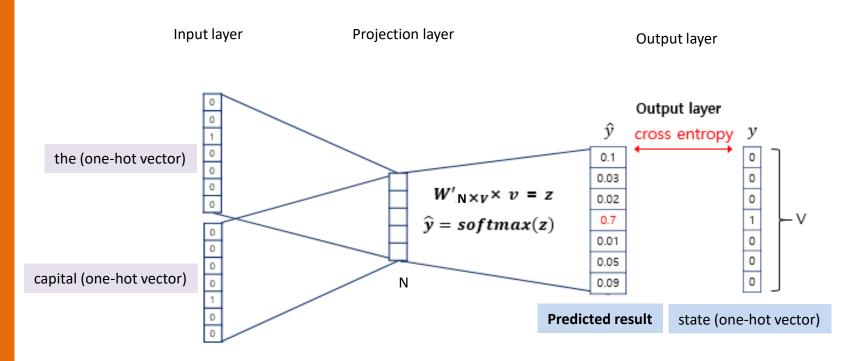




#### **CBOW – Neural Network Architecture**

Predict center word from (bag of) context words

Sentence: "Sydney is the state capital of NSW"



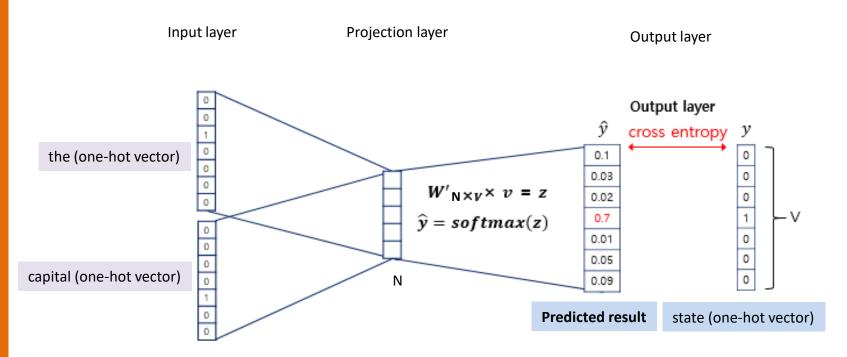
**Softmax:** outputs a vector that represents the probability distributions (sum to 1) of a list of potential outcome



#### **CBOW – Neural Network Architecture**

Predict center word from (bag of) context words

Sentence: "Sydney is the state capital of NSW"



**Cross Entropy:** can be used as a loss function when optimizing classification

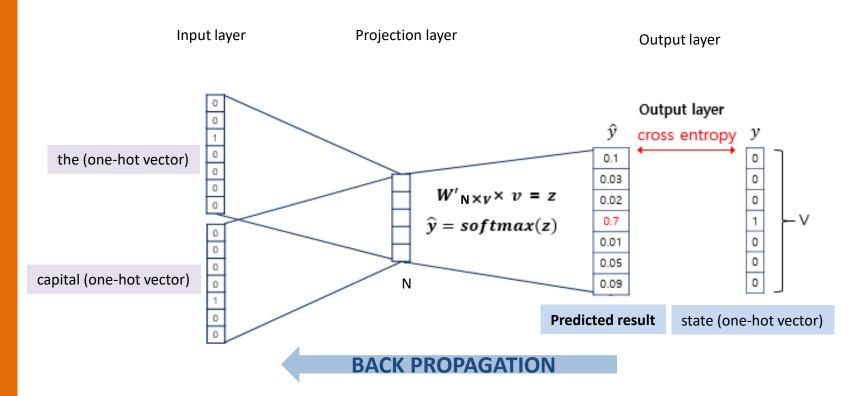
**Loss Function (Cross Entropy)** 

$$H(\hat{y}, y) = -\sum_{j=1}^{|V|} y_j \log(\hat{y}_j)$$



#### **CBOW – Neural Network Architecture**

Predict center word from (bag of) context words



# **ARE WE DONE YET?**

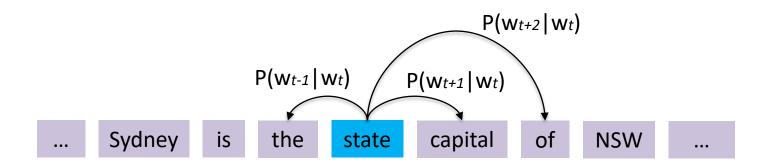






### **Skip Gram**

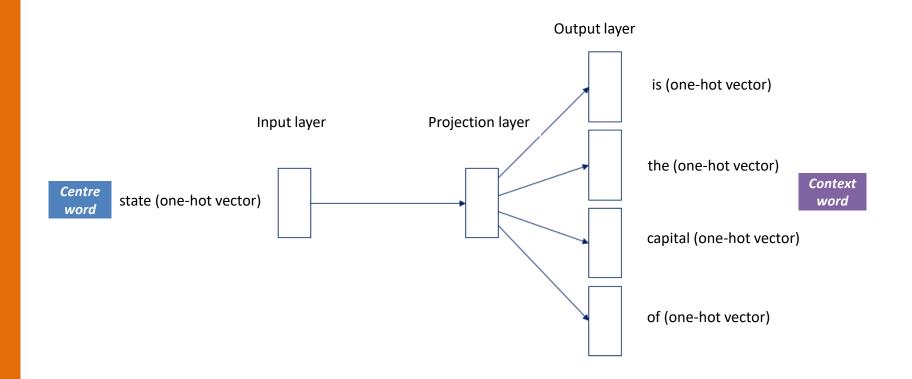
Predict context ("outside") words (position independent) given center word Sentence: "Sydney is the state capital of NSW"





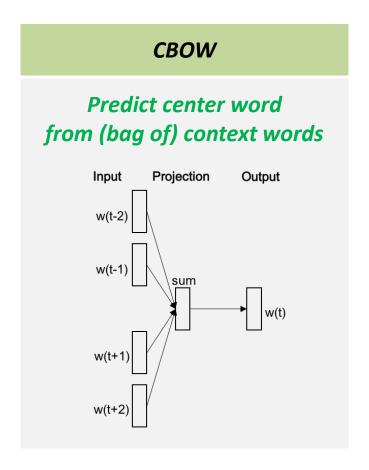
### **Skip Gram**

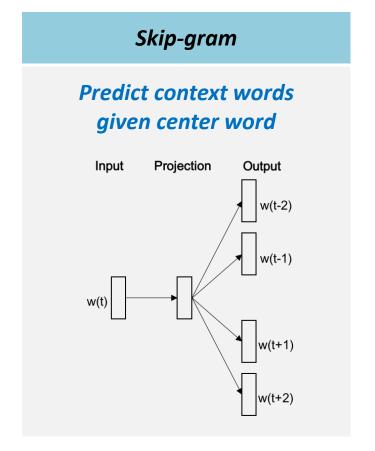
Predict context ("outside") words (position independent) given center word Sentence: "Sydney is the state capital of NSW"





### **CBOW vs Skip Gram Overview**







### **Key Parameter (1) for Training methods: Window Size**

Different tasks are served better by different window sizes.

**Smaller window sizes (2-15)** lead to embeddings where high similarity scores between two embeddings indicates that the words are interchangeable.

Larger window sizes (15-50, or even more) lead to embeddings where similarity is more indicative of relatedness of the words





### **Key Parameter (2) for Training methods: Negative Samples**

The number of negative samples is another factor of the training process.

Negative samples to our dataset – samples of words that are not neighbors

Negative sample: 2

Input word	Output word	Target		
eat	mango	1		
eat	exam	0		
eat	tobacco	0		

\*1= Appeared, O=Not Appeared

Negative sample: 5

Input word	Output word	Target	
eat	mango	1	
eat	exam	0	
eat	tobacco	0	
eat	pool	0	
eat	supervisor	0	

The original paper prescribes 5-20 as being a good number of negative samples. It also states that 2-5 seems to be enough when you have a large enough dataset.



#### Word2Vec Overview

Word2vec (Mikolov et al. 2013) is a framework for learning word vectors

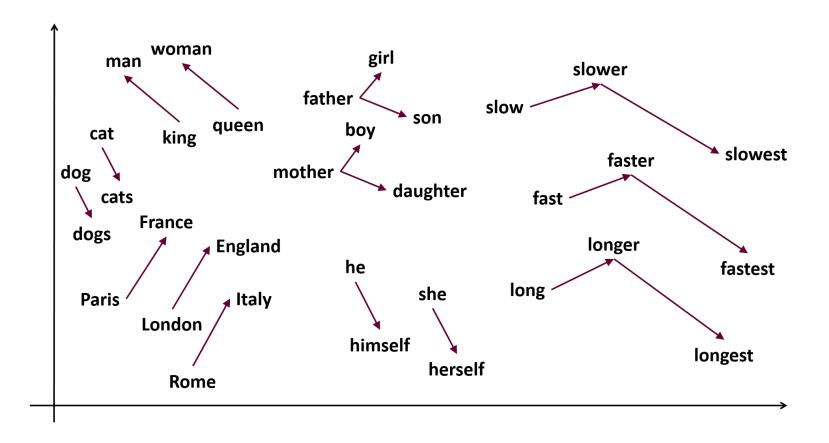
#### Idea:

- Have a large corpus of text
- Every word in a fixed vocabulary is represented by a vector
- Go through each position t in the text, which has a center word c and context ("outside") words o
- Use the similarity of the word vectors for c and o to calculate the probability of o given c (or vice versa)
- Keep adjusting the word vectors to maximize this probability





### Let's try some Word2Vec!



Gensim: https://radimrehurek.com/gensim/models/word2vec.html

Resources: https://wit3.fbk.eu/

https://github.com/3Top/word2vec-api#where-to-get-a-pretrained-models



#### **Limitation of Word2Vec**

#### Issue#1: Cannot cover the morphological similarity

 Word2vec represents every word as an independent vector, even though many words are morphologically similar, like: teach, teacher, teaching

#### Issue#2: Hard to conduct embedding for rare words

 Word2vec is based on the Distribution hypothesis. Works well with the frequent words but does not embed the rare words.

(same concept with the under-fitting in machine learning)

### Issue#3: Cannot handle the Out-of-Vocabulary (OOV)

Word2vec does not work at all if the word is not included in the Vocabulary



#### **FastText**

- Deal with this Word2Vec Limitation
- Another Way to transfer WORDS to VECTORS

# **fast**Text

- FastText is a library for learning of word embeddings and text classification created by Facebook's Al Research lab. The model allows to create an unsupervised learning or supervised learning algorithm for obtaining vector representations for words.
- Extension to Word2Vec
  - Instead of feeding individual words into the Neural Network, FastText breaks words into several n-grams (sub-words)



### **FastText with N-gram Embeddings**

- N-grams are simply all combinations of adjacent words or letters of length n that you can find in your source text. For example, given the word apple, all 2-grams (or "bigrams") are ap, pp, pl, and le
- The tri-grams (n=3) for the word apple is **app, ppl**, and **ple** (ignoring the starting and ending of boundaries of words). The word embedding vector for apple will be the sum of all these n-grams.



- After training the Neural Network (either with skip-gram or CBOW), we will have word embeddings for all the n-grams given the training dataset.
- Rare words can now be properly represented since it is highly likely that some of their n-grams also appears in other words.



#### Word2Vec VS FastText

#### Find synonym with Word2vec

```
from gensim.models import Word2Vec
cbow_model = Word2Vec(sentences=result, size=100, window=5, min_count=5, workers=4, sg=0)
a=cbow_model.wv.most_similar("electrofishing")
pprint.pprint(a)
```

#### Find synonym with FastText

```
from gensim.models import FastText
FT_model = FastText(sentences=result, size=100, window=5, min_count=5, workers=4, sg=0)
a=FT_model.wv.most_similar("electrofishing")
pprint.pprint(a)
```



### **Global Vectors (GloVe)**

Deal with this Word2Vec Limitation

"Methods like skip-gram may do better on the analogy ask, but they poorly utilize the statistics of the corpus since they train on separate local context windows instead of on **global co-occurrence counts**."

(PeddingLon et al., 2014)

Focus on the Co-occurrence

Probability and Ratio	k = solid	k = gas	k = water	k = fashion
P(k ice)	$1.9 \times 10^{-4}$	$6.6 \times 10^{-5}$	$3.0 \times 10^{-3}$	$1.7\times10^{-5}$
P(k steam)	$2.2 \times 10^{-5}$	$7.8\times10^{-4}$	$2.2\times10^{-3}$	$1.8\times 10^{-5}$
P(k ice)/P(k steam)	8.9	$8.5\times10^{-2}$	1.36	0.96



### **Limitation of Prediction based Word Representation**

l like \_\_\_\_ apple banana fruit

- Training dataset reflect the word representation result
  - The word similarity of the word 'software' the model learned by Google News corpus can be different from the one from Twitter.

### **NEXT WEEK PREVIEW...**

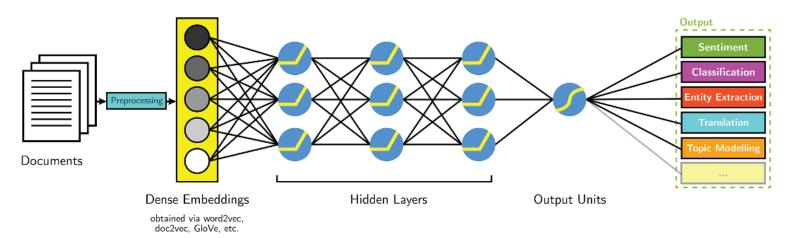


### **Word Embeddings**

Finalisation!

### Machine Learning/ Deep Learning for Natural Language Processing

#### **Deep Learning-based NLP**





#### Reference for this lecture

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#### Word2vec

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#### FastText

- Bojanowski, P., Grave, E., Joulin, A., & Mikolov, T. (2017). Enriching word vectors with subword information. Transactions of the Association for Computational Linguistics, 5, 135-146.
- Mikolov, T., Grave, E., Bojanowski, P., Puhrsch, C., & Joulin, A. (2017). Advances in pre-training distributed word representations. arXiv preprint arXiv:1712.09405.