Convolutional neural network layers

In this notebook, we will build the convolutional neural network layers. This will be followed by a spatial batchnorm, and then in the final notebook of this assignment, we will train a CNN to further improve the validation accuracy on CIFAR-10.

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, their layer structure, and their implementation of fast CNN layers. This also includes nndl.fc_net, nndl.layers, and nndl.layer_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

```
In [1]: ## Import and setups
        import time
        import numpy as np
        import matplotlib.pyplot as plt
        from nndl.conv layers import *
        from cs231n.data utils import get CIFAR10 data
        from cs231n.gradient_check import eval_numerical_gradient, eval_numerical_gradien
        t array
        from cs231n.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipython
        %load ext autoreload
        %autoreload 2
        def rel_error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

Implementing CNN layers

Just as we implemented modular layers for fully connected networks, batch normalization, and dropout, we'll want to implement modular layers for convolutional neural networks. These layers are in <code>nndl/conv_layers.py</code>.

Convolutional forward pass

Begin by implementing a naive version of the forward pass of the CNN that uses <code>for</code> loops. This function is <code>conv_forward_naive</code> in <code>nndl/conv_layers.py</code>. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a triple <code>for</code> loop.

After you implement conv forward naive, test your implementation by running the cell below.

```
In [2]: x_shape = (2, 3, 4, 4)
        w \text{ shape} = (3, 3, 4, 4)
        x = np.linspace(-0.1, 0.5, num=np.prod(x shape)).reshape(x shape)
        w = np.linspace(-0.2, 0.3, num=np.prod(w shape)).reshape(w shape)
        b = np.linspace(-0.1, 0.2, num=3)
        conv param = {'stride': 2, 'pad': 1}
        out, = conv forward naive(x, w, b, conv param)
        correct_out = np.array([[[[-0.08759809, -0.10987781],
                                   [-0.18387192, -0.2109216]
                                  [[0.21027089, 0.21661097],
                                   [ 0.22847626, 0.23004637]],
                                  [[0.50813986, 0.54309974],
                                   [ 0.64082444, 0.67101435]]],
                                 [[-0.98053589, -1.03143541],
                                   [-1.19128892, -1.24695841]],
                                  [[ 0.69108355, 0.66880383],
                                  [ 0.59480972, 0.56776003]],
                                  [[ 2.36270298, 2.36904306],
                                   [ 2.38090835, 2.38247847]]]])
        # Compare your output to ours; difference should be around 1e-8
        print('Testing conv forward naive')
        print('difference: ', rel_error(out, correct_out))
```

Testing conv_forward_naive difference: 2.2121476417505994e-08

Convolutional backward pass

Now, implement a naive version of the backward pass of the CNN. The function is <code>conv_backward_naive</code> in <code>nndl/conv_layers.py</code>. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a quadruple <code>for loop</code>.

After you implement conv backward naive, test your implementation by running the cell below.

```
In [3]: x = np.random.randn(4, 3, 5, 5)
        w = np.random.randn(2, 3, 3, 3)
        b = np.random.randn(2,)
        dout = np.random.randn(4, 2, 5, 5)
        conv param = {'stride': 1, 'pad': 1}
        out, cache = conv forward naive(x,w,b,conv param)
        dx num = eval numerical gradient array(lambda x: conv forward naive(x, w, b, conv
        _param)[0], x, dout)
        dw num = eval numerical gradient array(lambda w: conv forward naive(x, w, b, conv
        _param)[0], w, dout)
        db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w, b, conv
        _param)[0], b, dout)
        out, cache = conv forward naive(x, w, b, conv param)
        dx, dw, db = conv backward naive(dout, cache)
        # Your errors should be around 1e-9'
        print('Testing conv_backward_naive function')
        print('dx error: ', rel_error(dx, dx_num))
        print('dw error: ', rel_error(dw, dw_num))
        print('db error: ', rel_error(db, db_num))
```

Testing conv_backward_naive function dx error: 8.658306636445945e-10 dw error: 5.524593469842107e-10 db error: 2.5333554482976246e-11

Max pool forward pass

In this section, we will implement the forward pass of the max pool. The function is <code>max_pool_forward_naive</code> in <code>nndl/conv_layers.py</code>. Do not worry about the efficiency of implementation.

After you implement max pool forward naive, test your implementation by running the cell below.

```
In [4]: x_shape = (2, 3, 4, 4)
        x = np.linspace(-0.3, 0.4, num=np.prod(x shape)).reshape(x shape)
        pool param = {'pool width': 2, 'pool height': 2, 'stride': 2}
        out, = max pool forward naive(x, pool param)
        correct_out = np.array([[[[-0.26315789, -0.24842105],
                                  [-0.20421053, -0.18947368]],
                                 [[-0.14526316, -0.13052632],
                                  [-0.08631579, -0.07157895]],
                                 [[-0.02736842, -0.01263158],
                                  [0.03157895, 0.04631579]]],
                                [[[ 0.09052632, 0.10526316],
                                  [0.14947368, 0.16421053]],
                                 [[0.20842105, 0.22315789],
                                 [ 0.26736842, 0.28210526]],
                                 [[0.32631579, 0.34105263],
                                  [ 0.38526316, 0.4
                                                           ]]]])
        # Compare your output with ours. Difference should be around 1e-8.
        print('Testing max pool forward naive function:')
        print('difference: ', rel error(out, correct out))
```

Testing max_pool_forward_naive function: difference: 4.1666665157267834e-08

Max pool backward pass

In this section, you will implement the backward pass of the max pool. The function is <code>max_pool_backward_naive</code> in <code>nndl/conv_layers.py</code>. Do not worry about the efficiency of implementation.

After you implement max pool backward naive, test your implementation by running the cell below.

```
In [5]: x = np.random.randn(3, 2, 8, 8)
    dout = np.random.randn(3, 2, 4, 4)
    pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

    dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_p aram)[0], x, dout)

    out, cache = max_pool_forward_naive(x, pool_param)
    dx = max_pool_backward_naive(dout, cache)

# Your error should be around le-12
    print('Testing max_pool_backward_naive function:')
    print('dx error: ', rel_error(dx, dx_num))
```

Testing max_pool_backward_naive function:
dx error: 3.2756157171995605e-12

Fast implementation of the CNN layers

Implementing fast versions of the CNN layers can be difficult. We will provide you with the fast layers implemented by cs231n. They are provided in cs231n/fast layers.py.

The fast convolution implementation depends on a Cython extension; to compile it you need to run the following from the cs231n directory:

```
python setup.py build ext --inplace
```

NOTE: The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the cell below.

You should see pretty drastic speedups in the implementation of these layers. On our machine, the forward pass speeds up by 17x and the backward pass speeds up by 840x. Of course, these numbers will vary from machine to machine, as well as on your precise implementation of the naive layers.

```
In [6]: from cs231n.fast_layers import conv_forward_fast, conv_backward_fast
        from time import time
        x = np.random.randn(100, 3, 31, 31)
        w = np.random.randn(25, 3, 3, 3)
        b = np.random.randn(25,)
        dout = np.random.randn(100, 25, 16, 16)
        conv param = {'stride': 2, 'pad': 1}
        t0 = time()
        out naive, cache naive = conv_forward_naive(x, w, b, conv_param)
        t1 = time()
        out fast, cache fast = conv forward fast(x, w, b, conv param)
        t2 = time()
        print('Testing conv forward fast:')
        print('Naive: %fs' % (t1 - t0))
        print('Fast: %fs' % (t2 - t1))
        print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('Difference: ', rel_error(out_naive, out_fast))
        t0 = time()
        dx naive, dw naive, db naive = conv backward naive(dout, cache naive)
        t1 = time()
        dx fast, dw fast, db fast = conv backward fast(dout, cache fast)
        t2 = time()
        print('\nTesting conv backward fast:')
        print('Naive: %fs' % (t1 - t0))
        print('Fast: %fs' % (t2 - t1))
        print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('dx difference: ', rel_error(dx_naive, dx_fast))
        print('dw difference: ', rel_error(dw_naive, dw_fast))
        print('db difference: ', rel_error(db_naive, db_fast))
        Testing conv forward fast:
        Naive: 7.150056s
        Fast: 0.035052s
        Speedup: 203.986682x
```

Difference: 7.384927040715952e-11

Testing conv backward fast:

Naive: 12.509643s Fast: 0.022429s Speedup: 557.744393x

dx difference: 3.430777717863996e-11
dw difference: 3.791261763720037e-13
db difference: 7.637275700944883e-15

```
In [7]: | from cs231n.fast_layers import max_pool_forward_fast, max_pool_backward_fast
        x = np.random.randn(100, 3, 32, 32)
        dout = np.random.randn(100, 3, 16, 16)
        pool param = {'pool height': 2, 'pool width': 2, 'stride': 2}
        t0 = time()
        out naive, cache naive = max pool forward naive(x, pool param)
        t1 = time()
        out fast, cache fast = max pool forward fast(x, pool param)
        t2 = time()
        print('Testing pool_forward_fast:')
        print('Naive: %fs' % (t1 - t0))
        print('fast: %fs' % (t2 - t1))
        print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('difference: ', rel error(out naive, out fast))
        t0 = time()
        dx naive = max pool backward naive(dout, cache naive)
        t1 = time()
        dx fast = max pool backward fast(dout, cache fast)
        t2 = time()
        print('\nTesting pool_backward_fast:')
        print('Naive: %fs' % (t1 - t0))
        print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
        print('dx difference: ', rel error(dx naive, dx fast))
        Testing pool forward fast:
```

Testing pool_forward_fast:
Naive: 0.513833s
fast: 0.002673s
speedup: 192.237267x
difference: 0.0

Testing pool_backward_fast:
Naive: 0.604756s
speedup: 35.790817x
dx difference: 0.0

Implementation of cascaded layers

We've provided the following functions in nndl/conv layer utils.py:

```
conv_relu_forwardconv_relu_backwardconv_relu_pool_forwardconv_relu_pool_backward
```

These use the fast implementations of the conv net layers. You can test them below:

```
In [8]: | from nndl.conv_layer_utils import conv_relu_pool_forward, conv_relu_pool_backward
        x = np.random.randn(2, 3, 16, 16)
        w = np.random.randn(3, 3, 3, 3)
        b = np.random.randn(3,)
        dout = np.random.randn(2, 3, 8, 8)
        conv_param = {'stride': 1, 'pad': 1}
        pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
        out, cache = conv relu pool forward(x, w, b, conv param, pool param)
        dx, dw, db = conv relu pool backward(dout, cache)
        dx_num = eval_numerical_gradient_array(lambda x: conv_relu_pool_forward(x, w, b,
        conv param, pool param)[0], x, dout)
        dw num = eval numerical gradient array(lambda w: conv relu pool forward(x, w, b,
        conv param, pool param)[0], w, dout)
        db num = eval numerical gradient array(lambda b: conv relu pool forward(x, w, b,
        conv param, pool param)[0], b, dout)
        print('Testing conv_relu_pool')
        print('dx error: ', rel_error(dx_num, dx))
        print('dw error: ', rel_error(dw_num, dw))
        print('db error: ', rel_error(db_num, db))
        Testing conv relu pool
        dx error: 3.9035485439532574e-08
        dw error: 5.191936231119018e-10
        db error: 4.29850316951301e-10
In [9]: from nndl.conv layer utils import conv relu forward, conv relu backward
        x = np.random.randn(2, 3, 8, 8)
        w = np.random.randn(3, 3, 3, 3)
        b = np.random.randn(3,)
        dout = np.random.randn(2, 3, 8, 8)
        conv_param = {'stride': 1, 'pad': 1}
        out, cache = conv relu forward(x, w, b, conv param)
        dx, dw, db = conv relu backward(dout, cache)
        dx num = eval numerical gradient array(lambda x: conv relu forward(x, w, b, conv
        param)[0], x, dout)
        dw num = eval numerical gradient array(lambda w: conv relu forward(x, w, b, conv
        param)[0], w, dout)
        db num = eval numerical gradient array(lambda b: conv relu forward(x, w, b, conv
        param)[0], b, dout)
        print('Testing conv_relu:')
        print('dx error: ', rel_error(dx_num, dx))
        print('dw error: ', rel_error(dw_num, dw))
        print('db error: ', rel_error(db_num, db))
        Testing conv_relu:
```

dx error: 4.9743478361805337e-08
dw error: 2.1977886692100602e-10
db error: 2.869425594942413e-10

What next?

We saw how helpful batch normalization was for training FC nets. In the next notebook, we'll implement a batch normalization for convolutional neural networks, and then finish off by implementing a CNN to improve our validation accuracy on CIFAR-10.

```
In [ ]: import numpy as np
        from nndl.layers import *
        import pdb
        n n n
        This code was originally written for CS 231n at Stanford University
        (cs231n.stanford.edu). It has been modified in various areas for use in the
        ECE 239AS class at UCLA. This includes the descriptions of what code to
        implement as well as some slight potential changes in variable names to be
        consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
        permission to use this code. To see the original version, please visit
        cs231n.stanford.edu.
        def conv forward naive(x, w, b, conv param):
         A naive implementation of the forward pass for a convolutional layer.
          The input consists of N data points, each with C channels, height H and width
          W. We convolve each input with F different filters, where each filter spans
          all C channels and has height HH and width HH.
         Input:
          - x: Input data of shape (N, C, H, W)
          - w: Filter weights of shape (F, C, HH, WW)
          - b: Biases, of shape (F,)
          - conv param: A dictionary with the following keys:
           - 'stride': The number of pixels between adjacent receptive fields in the
             horizontal and vertical directions.
           - 'pad': The number of pixels that will be used to zero-pad the input.
         Returns a tuple of:
          - out: Output data, of shape (N, F, H', W') where H' and W' are given by
           H' = 1 + (H + 2 * pad - HH) / stride
           W' = 1 + (W + 2 * pad - WW) / stride
          - cache: (x, w, b, conv param)
         out = None
          pad = conv param['pad']
         stride = conv param['stride']
          # ------ #
          # YOUR CODE HERE:
             Implement the forward pass of a convolutional neural network.
             Store the output as 'out'.
            Hint: to pad the array, you can use the function np.pad.
          Hprime = int((x.shape[2] + 2 * pad - w.shape[2]) / stride) + 1
         Wprime = int((x.shape[3] + 2 * pad - w.shape[3]) / stride) + 1
         out = np.zeros((x.shape[0], w.shape[0], Hprime, Wprime))
          for i, dp in enumerate(x):
           padded_dp = np.pad(dp, pad_width=[(0, 0), (pad, pad), (pad, pad)], mode='cons
        tant')
           for j, filter in enumerate(w):
             for xpos in range(Hprime):
               xoffset = xpos * stride
               for ypos in range(Wprime):
                 yoffset = ypos * stride
                 out[i, j, xpos, ypos] = np.sum(np.multiply(padded_dp[:, xoffset:xoffset
        + w.shape[2], yoffset:yoffset + w.shape[3]], filter)) + b[j]
```

```
# END YOUR CODE HERE
 cache = (x, w, b, conv param)
 return out, cache
def conv_backward_naive(dout, cache):
 A naive implementation of the backward pass for a convolutional layer.
 Inputs:
 - dout: Upstream derivatives.
 - cache: A tuple of (x, w, b, conv_param) as in conv_forward_naive
 Returns a tuple of:
 - dx: Gradient with respect to x
 - dw: Gradient with respect to w
 - db: Gradient with respect to b
 dx, dw, db = None, None, None
 N, F, out height, out width = dout.shape
 x, w, b, conv_param = cache
 stride, pad = [conv_param['stride'], conv_param['pad']]
 xpad = np.pad(x, ((0,0), (0,0), (pad,pad), (pad,pad)), mode='constant')
 num filts, , f height, f width = w.shape
 # YOUR CODE HERE:
    Implement the backward pass of a convolutional neural network.
    Calculate the gradients: dx, dw, and db.
 # ----- #
 dx = np.zeros(x.shape)
 dxp = np.pad(dx, ((0,0), (0,0), (pad, pad), (pad, pad)), mode='constant')
 dw = np.zeros(w.shape)
 db = np.zeros(b.shape)
 for i, padded dp in enumerate(xpad):
   for j, filter in enumerate(w):
    for xpos in range(dout.shape[2]):
      xoffset = xpos * stride
      for ypos in range(dout.shape[3]):
        yoffset = ypos * stride
        dw[j] += dout[i, j, xpos, ypos] * padded_dp[:, xoffset:xoffset + w.shap
e[2], yoffset: yoffset + w.shape[3]]
        dxp[i, :, xoffset:xoffset + w.shape[2], yoffset: yoffset + w.shape[3]]
+= dout[i, j, xpos, ypos] * w[j]
 db = np.sum(np.sum(np.sum(dout, axis=3), axis=2), axis=0)
 dx = dxp[:,:,pad:-pad,pad:-pad]
 # ------ #
 # END YOUR CODE HERE
 return dx, dw, db
def max pool forward naive(x, pool param):
 A naive implementation of the forward pass for a max pooling layer.
```

```
Inputs:
 - x: Input data, of shape (N, C, H, W)
 - pool_param: dictionary with the following keys:
  - 'pool height': The height of each pooling region
   - 'pool width': The width of each pooling region
   - 'stride': The distance between adjacent pooling regions
 Returns a tuple of:
 - out: Output data
 - cache: (x, pool param)
 out = None
 # YOUR CODE HERE:
 # Implement the max pooling forward pass.
 Hprime = int((x.shape[2] + - pool param['pool height']) / pool param['stride'])
 Wprime = int((x.shape[3] + - pool param['pool width']) / pool param['stride'])
+ 1
 out = np.zeros((x.shape[0], x.shape[1], Hprime, Wprime))
 for i, dp in enumerate(x):
   for 1, layer in enumerate(dp):
    for xpos in range(Hprime):
      xoffset = xpos * pool_param['stride']
      for ypos in range(Wprime):
        yoffset = ypos * pool param['stride']
        out[i, 1, xpos, ypos] = np.amax(layer[xoffset:xoffset + pool param['poo
l_height'], yoffset:yoffset + pool_param['pool_width']])
 # END YOUR CODE HERE
 # ------ #
 cache = (x, pool param)
 return out, cache
def max pool backward naive(dout, cache):
 A naive implementation of the backward pass for a max pooling layer.
 Inputs:
 - dout: Upstream derivatives
 - cache: A tuple of (x, pool param) as in the forward pass.
 Returns:
 - dx: Gradient with respect to x
 n n n
 dx = None
 x, pool param = cache
 pool height, pool width, stride = pool param['pool height'], pool param['pool w
idth'], pool param['stride']
 # YOUR CODE HERE:
    Implement the max pooling backward pass.
 dx = np.zeros(x.shape)
 for i, dp in enumerate(x):
   for 1, layer in enumerate(dp):
    for xpos in range(dout.shape[2]):
```

```
xoffset = xpos * pool param['stride']
      for ypos in range(dout.shape[3]):
        yoffset = ypos * pool_param['stride']
        field = layer[xoffset:xoffset + pool param['pool height'], yoffset:yoff
set + pool param['pool width']]
        ixmax, iymax = np.unravel index(np.argmax(field, axis=None), field.shap
e)
        dx[i, l, ixmax + xoffset, iymax + yoffset] = dout[i, l, xpos, ypos]
 # ------ #
 # END YOUR CODE HERE
 return dx
def spatial_batchnorm_forward(x, gamma, beta, bn_param):
 Computes the forward pass for spatial batch normalization.
 Inputs:
 - x: Input data of shape (N, C, H, W)
 - gamma: Scale parameter, of shape (C,)
 - beta: Shift parameter, of shape (C,)
 - bn param: Dictionary with the following keys:
   - mode: 'train' or 'test'; required
   - eps: Constant for numeric stability
   - momentum: Constant for running mean / variance. momentum=0 means that
    old information is discarded completely at every time step, while
    momentum=1 means that new information is never incorporated. The
    default of momentum=0.9 should work well in most situations.
   - running_mean: Array of shape (D,) giving running mean of features
   - running var Array of shape (D,) giving running variance of features
 Returns a tuple of:
 - out: Output data, of shape (N, C, H, W)
 - cache: Values needed for the backward pass
 out, cache = None, None
 # YOUR CODE HERE:
    Implement the spatial batchnorm forward pass.
   You may find it useful to use the batchnorm forward pass you
   implemented in HW #4.
 # ----- #
 # Manipulate shape
 out = np.zeros(x.shape)
 xF = np.array([x[:, j, :, :].reshape(-1) for j in range(x.shape[1])])
 bn xFT, cache = batchnorm forward(xF.T, gamma, beta, bn param) # batchnorm xfla
ttenedtranspose
 # Unmanipulate shape
 for i in range(bn xFT.shape[1]):
   out[:, i, :, :] = bn_xFT[:, i].reshape(out.shape[0], out.shape[2], out.shape[
3])
 # ----- #
 # END YOUR CODE HERE
 return out, cache
```

```
def spatial_batchnorm_backward(dout, cache):
 Computes the backward pass for spatial batch normalization.
 - dout: Upstream derivatives, of shape (N, C, H, W)
 - cache: Values from the forward pass
 Returns a tuple of:
 - dx: Gradient with respect to inputs, of shape (N, C, H, W)
 - dgamma: Gradient with respect to scale parameter, of shape (C,)
 - dbeta: Gradient with respect to shift parameter, of shape (C,)
 dx, dgamma, dbeta = None, None, None
 # ----- #
 # YOUR CODE HERE:
    Implement the spatial batchnorm backward pass.
    You may find it useful to use the batchnorm forward pass you
    implemented in HW #4.
 # Manipulate shape
 dx = np.zeros(dout.shape)
 dgamma = np.zeros(dout.shape[1])
 dbeta = np.zeros(dout.shape[1])
 doutF = np.array([dout[:,j,:,:].reshape(-1) for j in range(dout.shape[1])])
 dxFT, dgamma, dbeta = batchnorm backward(doutF.T, cache)
 # Unmanipulate shape
 for i in range(dxFT.shape[1]):
   dx[:, i, :, :] = dxFT[:, i].reshape(dx.shape[0], dx.shape[2], dx.shape[3])
 # ----- #
 # END YOUR CODE HERE
 # ----- #
 return dx, dgamma, dbeta
```

Spatial batch normalization

In fully connected networks, we performed batch normalization on the activations. To do something equivalent on CNNs, we modify batch normalization slightly.

Normally batch-normalization accepts inputs of shape (N, D) and produces outputs of shape (N, D), where we normalize across the minibatch dimension N. For data coming from convolutional layers, batch normalization accepts inputs of shape (N, C, H, W) and produces outputs of shape (N, C, H, W) where the N dimension gives the minibatch size and the (H, W) dimensions give the spatial size of the feature map.

How do we calculate the spatial averages? First, notice that for the $\,^{\circ}$ feature maps we have (i.e., the layer has $\,^{\circ}$ filters) that each of these ought to have its own batch norm statistics, since each feature map may be picking out very different features in the images. However, within a feature map, we may assume that across all inputs and across all locations in the feature map, there ought to be relatively similar first and second order statistics. Hence, one way to think of spatial batch-normalization is to reshape the (N, C, H, W) array as an (N*H*W, C) array and perform batch normalization on this array.

Since spatial batch norm and batch normalization are similar, it'd be good to at this point also copy and paste our prior implemented layers from HW #4. Please copy and paste your prior implemented code from HW #4 to start this assignment. If you did not correctly implement the layers in HW #4, you may collaborate with a classmate to use their implementations from HW #4. You may also visit TA or Prof OH to correct your implementation.

You'll want to copy and paste from HW #4:

- layers.py for your FC network layers, as well as batchnorm and dropout.
- layer utils.py for your combined FC network layers.
- optim.py for your optimizers.

Be sure to place these in the nnd1/ directory so they're imported correctly. Note, as announced in class, we will not be releasing our solutions.

If you use your prior implementations of the batchnorm, then your spatial batchnorm implementation may be very short. Our implementations of the forward and backward pass are each 6 lines of code.

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, their layer structure, and their implementation of fast CNN layers. This also includes nndl.fc_net, nndl.layers, and nndl.layer_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

```
In [1]: ## Import and setups
        import time
        import numpy as np
        import matplotlib.pyplot as plt
        from nndl.conv layers import *
        from cs231n.data_utils import get_CIFAR10_data
        from cs231n.gradient check import eval numerical gradient, eval numerical gradien
        from cs231n.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipython
        %load ext autoreload
        %autoreload 2
        def rel error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

Spatial batch normalization forward pass

Implement the forward pass, spatial_batchnorm_forward in nndl/conv_layers.py . Test your implementation by running the cell below.

```
In [2]: # Check the training-time forward pass by checking means and variances
        # of features both before and after spatial batch normalization
        N, C, H, W = 2, 3, 4, 5
        x = 4 * np.random.randn(N, C, H, W) + 10
        print('Before spatial batch normalization:')
        print(' Shape: ', x.shape)
        print(' Means: ', x.mean(axis=(0, 2, 3)))
        print(' Stds: ', x.std(axis=(0, 2, 3)))
        # Means should be close to zero and stds close to one
        gamma, beta = np.ones(C), np.zeros(C)
        bn param = {'mode': 'train'}
        out, = spatial batchnorm forward(x, gamma, beta, bn param)
        print('After spatial batch normalization:')
        print(' Shape: ', out.shape)
        print(' Means: ', out.mean(axis=(0, 2, 3)))
        print(' Stds: ', out.std(axis=(0, 2, 3)))
        # Means should be close to beta and stds close to gamma
        gamma, beta = np.asarray([3, 4, 5]), np.asarray([6, 7, 8])
        out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
        print('After spatial batch normalization (nontrivial gamma, beta):')
        print(' Shape: ', out.shape)
        print(' Means: ', out.mean(axis=(0, 2, 3)))
        print(' Stds: ', out.std(axis=(0, 2, 3)))
        Before spatial batch normalization:
          Shape: (2, 3, 4, 5)
          Means: [11.34592147 9.64806625 10.49672924]
          Stds: [4.69225273 3.4007622 3.78221833]
        After spatial batch normalization:
          Shape: (2, 3, 4, 5)
          Means: [ 9.99200722e-17 6.66133815e-17 -3.33066907e-17]
          Stds: [0.99999977 0.99999957 0.99999965]
        After spatial batch normalization (nontrivial gamma, beta):
          Shape: (2, 3, 4, 5)
```

Spatial batch normalization backward pass

Stds: [2.99999932 3.99999827 4.99999825]

Means: [6. 7. 8.]

Implement the backward pass, spatial_batchnorm_backward in nndl/conv_layers.py . Test your implementation by running the cell below.

```
In [3]: N, C, H, W = 2, 3, 4, 5
        x = 5 * np.random.randn(N, C, H, W) + 12
        gamma = np.random.randn(C)
        beta = np.random.randn(C)
        dout = np.random.randn(N, C, H, W)
        bn_param = {'mode': 'train'}
        fx = lambda x: spatial batchnorm forward(x, gamma, beta, bn param)[0]
        fg = lambda a: spatial batchnorm forward(x, gamma, beta, bn param)[0]
        fb = lambda b: spatial batchnorm forward(x, gamma, beta, bn param)[0]
        dx num = eval numerical gradient array(fx, x, dout)
        da_num = eval_numerical_gradient_array(fg, gamma, dout)
        db num = eval numerical gradient array(fb, beta, dout)
        _, cache = spatial_batchnorm_forward(x, gamma, beta, bn_param)
        dx, dgamma, dbeta = spatial batchnorm backward(dout, cache)
        print('dx error: ', rel_error(dx_num, dx))
        print('dgamma error: ', rel_error(da_num, dgamma))
        print('dbeta error: ', rel_error(db_num, dbeta))
```

dx error: 9.971961087703966e-08 dgamma error: 4.21185456225215e-12 dbeta error: 8.532218482360866e-12

Convolutional neural networks

In this notebook, we'll put together our convolutional layers to implement a 3-layer CNN. Then, we'll ask you to implement a CNN that can achieve > 65% validation error on CIFAR-10.

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, their layer structure, and their implementation of fast CNN layers. This also includes nndl.fc_net, nndl.layers, and nndl.layer_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

If you have not completed the Spatial BatchNorm Notebook, please see the following description from that notebook:

Please copy and paste your prior implemented code from HW #4 to start this assignment. If you did not correctly implement the layers in HW #4, you may collaborate with a classmate to use their layer implementations from HW #4. You may also visit TA or Prof OH to correct your implementation.

You'll want to copy and paste from HW #4:

- layers.py for your FC network layers, as well as batchnorm and dropout.
- layer utils.py for your combined FC network layers.
- optim.py for your optimizers.

Be sure to place these in the <code>nndl/</code> directory so they're imported correctly. Note, as announced in class, we will not be releasing our solutions.

```
In [1]: # As usual, a bit of setup
        import numpy as np
        import matplotlib.pyplot as plt
        from nndl.cnn import *
        from cs231n.data utils import get CIFAR10 data
        from cs231n.gradient_check import eval_numerical_gradient_array, eval_numerical_g
        radient
        from nndl.layers import *
        from nndl.conv layers import *
        from cs231n.fast layers import *
        from cs231n.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipython
        %load ext autoreload
        %autoreload 2
        def rel error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

```
In [2]: # Load the (preprocessed) CIFAR10 data.

data = get_CIFAR10_data()
    for k in data.keys():
        print('{}: {} '.format(k, data[k].shape))

X_train: (49000, 3, 32, 32)
        y_train: (49000,)
        X_val: (1000, 3, 32, 32)
        y_val: (1000,)
        X_test: (1000, 3, 32, 32)
        y_test: (1000,)
```

Three layer CNN

In this notebook, you will implement a three layer CNN. The ThreeLayerConvNet class is in nnd1/cnn.py. You'll need to modify that code for this section, including the initialization, as well as the calculation of the loss and gradients. You should be able to use the building blocks you have either earlier coded or that we have provided. Be sure to use the fast layers.

The architecture of this CNN will be:

```
conv - relu - 2x2 max pool - affine - relu - affine - softmax
```

We won't use batchnorm yet. You've also done enough of these to know how to debug; use the cells below.

Note: As we are implementing several layers CNN networks. The gradient error can be expected for the eval_numerical_gradient() function. If your W1 max relative error and W2 max relative error are around or below 0.01, they should be acceptable. Other errors should be less than 1e-5.

```
In [ ]: | num inputs = 2
        input dim = (3, 16, 16)
        req = 0.0
        num classes = 10
        X = np.random.randn(num inputs, *input dim)
        y = np.random.randint(num classes, size=num inputs)
        model = ThreeLayerConvNet(num filters=3, filter size=3,
                                   input dim=input dim, hidden dim=7,
                                   dtype=np.float64)
        loss, grads = model.loss(X, y)
        for param name in sorted(grads):
            f = lambda _: model.loss(X, y)[0]
            param grad num = eval numerical gradient(f, model.params[param name], verbose
        =False, h=1e-6)
            e = rel error(param grad num, grads[param name])
            print('{} max relative error: {}'.format(param name, rel error(param grad num
        , grads[param name])))
```

Overfit small dataset

To check your CNN implementation, let's overfit a small dataset.

```
small data = {
          'X_train': data['X_train'][:num_train],
          'y_train': data['y_train'][:num_train],
          'X val': data['X val'],
          'y val': data['y val'],
        model = ThreeLayerConvNet(weight scale=1e-2)
        solver = Solver(model, small data,
                        num epochs=10, batch size=50,
                         update rule='adam',
                         optim config={
                           'learning rate': 1e-3,
                         verbose=True, print_every=1)
        solver.train()
In [ ]: plt.subplot(2, 1, 1)
        plt.plot(solver.loss history, 'o')
        plt.xlabel('iteration')
        plt.ylabel('loss')
        plt.subplot(2, 1, 2)
        plt.plot(solver.train acc history, '-o')
        plt.plot(solver.val acc history, '-o')
        plt.legend(['train', 'val'], loc='upper left')
        plt.xlabel('epoch')
        plt.ylabel('accuracy')
        plt.show()
```

Train the network

In []: | num_train = 100

Now we train the 3 layer CNN on CIFAR-10 and assess its accuracy.

Get > 65% validation accuracy on CIFAR-10.

In the last part of the assignment, we'll now ask you to train a CNN to get better than 65% validation accuracy on CIFAR-10.

Things you should try:

- Filter size: Above we used 7x7; but VGGNet and onwards showed stacks of 3x3 filters are good.
- Number of filters: Above we used 32 filters. Do more or fewer do better?
- Batch normalization: Try adding spatial batch normalization after convolution layers and vanilla batch normalization aafter affine layers. Do your networks train faster?
- Network architecture: Can a deeper CNN do better? Consider these architectures:
 - [conv-relu-pool]xN conv relu [affine]xM [softmax or SVM]
 - [conv-relu-pool]XN [affine]XM [softmax or SVM]
 - [conv-relu-conv-relu-pool]xN [affine]xM [softmax or SVM]

Tips for training

For each network architecture that you try, you should tune the learning rate and regularization strength. When doing this there are a couple important things to keep in mind:

- If the parameters are working well, you should see improvement within a few hundred iterations
- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of hyperparameters for just a few training iterations to find the combinations of parameters that are working at all.
- Once you have found some sets of parameters that seem to work, search more finely around these parameters. You may need to train for more epochs.

```
In [10]: | # ========= #
      # YOUR CODE HERE:
       Implement a CNN to achieve greater than 65% validation accuracy
      # on CIFAR-10.
      model = ThreeLayerConvNet( filter_size=3,
                        num_filters=128,
                        weight scale=0.001,
                        hidden dim=1024,
                        reg=0.002)
      solver = Solver(model, data,
                num_epochs=20, batch_size=128,
                update rule='adam',
                optim config={
                  'learning_rate': 5e-4,
                verbose=True, print every=100)
      solver.train()
      # ----- #
      # END YOUR CODE HERE
      # ============ #
```

```
(Iteration 1 / 7640) loss: 2.336331
(Epoch 0 / 20) train acc: 0.098000; val acc: 0.088000
(Iteration 101 / 7640) loss: 1.670949
(Iteration 201 / 7640) loss: 1.511465
(Iteration 301 / 7640) loss: 1.482682
(Epoch 1 / 20) train acc: 0.538000; val acc: 0.529000
(Iteration 401 / 7640) loss: 1.593825
(Iteration 501 / 7640) loss: 1.343916
(Iteration 601 / 7640) loss: 1.368077
(Iteration 701 / 7640) loss: 1.415682
(Epoch 2 / 20) train acc: 0.645000; val acc: 0.616000
(Iteration 801 / 7640) loss: 1.417564
(Iteration 901 / 7640) loss: 1.178932
(Iteration 1001 / 7640) loss: 1.110138
(Iteration 1101 / 7640) loss: 1.101641
(Epoch 3 / 20) train acc: 0.716000; val acc: 0.622000
(Iteration 1201 / 7640) loss: 1.276990
(Iteration 1301 / 7640) loss: 1.147939
(Iteration 1401 / 7640) loss: 1.121312
(Iteration 1501 / 7640) loss: 1.105080
(Epoch 4 / 20) train acc: 0.680000; val acc: 0.641000
(Iteration 1601 / 7640) loss: 1.257500
(Iteration 1701 / 7640) loss: 1.118692
(Iteration 1801 / 7640) loss: 1.162882
(Iteration 1901 / 7640) loss: 1.152434
(Epoch 5 / 20) train acc: 0.728000; val acc: 0.647000
(Iteration 2001 / 7640) loss: 0.933882
(Iteration 2101 / 7640) loss: 1.007060
(Iteration 2201 / 7640) loss: 1.018173
(Epoch 6 / 20) train acc: 0.740000; val acc: 0.626000
(Iteration 2301 / 7640) loss: 1.053089
(Iteration 2401 / 7640) loss: 0.852686
(Iteration 2501 / 7640) loss: 0.836276
(Iteration 2601 / 7640) loss: 0.973918
(Epoch 7 / 20) train acc: 0.772000; val acc: 0.655000
(Iteration 2701 / 7640) loss: 0.833156
(Iteration 2801 / 7640) loss: 0.960028
(Iteration 2901 / 7640) loss: 0.869738
(Iteration 3001 / 7640) loss: 0.894365
(Epoch 8 / 20) train acc: 0.755000; val acc: 0.638000
(Iteration 3101 / 7640) loss: 1.066237
(Iteration 3201 / 7640) loss: 0.925506
(Iteration 3301 / 7640) loss: 0.901143
(Iteration 3401 / 7640) loss: 0.895855
(Epoch 9 / 20) train acc: 0.780000; val acc: 0.620000
(Iteration 3501 / 7640) loss: 1.014221
(Iteration 3601 / 7640) loss: 0.876468
(Iteration 3701 / 7640) loss: 0.873967
(Iteration 3801 / 7640) loss: 0.898300
(Epoch 10 / 20) train acc: 0.780000; val acc: 0.630000
(Iteration 3901 / 7640) loss: 0.906659
(Iteration 4001 / 7640) loss: 0.734977
(Iteration 4101 / 7640) loss: 0.798377
(Iteration 4201 / 7640) loss: 0.797348
(Epoch 11 / 20) train acc: 0.824000; val acc: 0.670000
(Iteration 4301 / 7640) loss: 0.720607
(Iteration 4401 / 7640) loss: 0.774881
(Iteration 4501 / 7640) loss: 0.938662
(Epoch 12 / 20) train acc: 0.826000; val acc: 0.661000
(Iteration 4601 / 7640) loss: 0.838905
(Iteration 4701 / 7640) loss: 0.690243
```

```
(Iteration 4801 / 7640) loss: 0.606958
(Iteration 4901 / 7640) loss: 0.607002
(Epoch 13 / 20) train acc: 0.814000; val acc: 0.643000
(Iteration 5001 / 7640) loss: 0.661225
(Iteration 5101 / 7640) loss: 0.810502
(Iteration 5201 / 7640) loss: 0.741615
(Iteration 5301 / 7640) loss: 0.676790
(Epoch 14 / 20) train acc: 0.822000; val acc: 0.661000
(Iteration 5401 / 7640) loss: 0.763202
(Iteration 5501 / 7640) loss: 0.799008
(Iteration 5601 / 7640) loss: 0.720595
(Iteration 5701 / 7640) loss: 0.797355
(Epoch 15 / 20) train acc: 0.833000; val acc: 0.655000
(Iteration 5801 / 7640) loss: 0.644746
(Iteration 5901 / 7640) loss: 0.649774
(Iteration 6001 / 7640) loss: 0.674265
(Iteration 6101 / 7640) loss: 0.644854
(Epoch 16 / 20) train acc: 0.867000; val acc: 0.679000
(Iteration 6201 / 7640) loss: 0.759045
(Iteration 6301 / 7640) loss: 0.766314
(Iteration 6401 / 7640) loss: 0.625347
(Epoch 17 / 20) train acc: 0.866000; val acc: 0.649000
(Iteration 6501 / 7640) loss: 0.650522
(Iteration 6601 / 7640) loss: 0.564891
(Iteration 6701 / 7640) loss: 0.890038
(Iteration 6801 / 7640) loss: 0.613686
(Epoch 18 / 20) train acc: 0.860000; val acc: 0.650000
(Iteration 6901 / 7640) loss: 0.586294
(Iteration 7001 / 7640) loss: 0.735426
(Iteration 7101 / 7640) loss: 0.626093
(Iteration 7201 / 7640) loss: 0.606037
(Epoch 19 / 20) train acc: 0.856000; val acc: 0.650000
(Iteration 7301 / 7640) loss: 0.767815
(Iteration 7401 / 7640) loss: 0.694980
(Iteration 7501 / 7640) loss: 0.537208
(Iteration 7601 / 7640) loss: 0.575530
(Epoch 20 / 20) train acc: 0.890000; val acc: 0.667000
```

```
In [ ]: import numpy as np
        from nndl.layers import *
        from nndl.conv layers import *
        from cs231n.fast layers import *
        from nndl.layer utils import *
        from nndl.conv_layer_utils import *
        import pdb
        n n n
        This code was originally written for CS 231n at Stanford University
        (cs231n.stanford.edu). It has been modified in various areas for use in the
        ECE 239AS class at UCLA. This includes the descriptions of what code to
        implement as well as some slight potential changes in variable names to be
        consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
        permission to use this code. To see the original version, please visit
        cs231n.stanford.edu.
        class ThreeLayerConvNet(object):
          A three-layer convolutional network with the following architecture:
          conv - relu - 2x2 max pool - affine - relu - affine - softmax
          The network operates on minibatches of data that have shape (N, C, H, W)
          consisting of N images, each with height H and width W and with C input
          channels.
          def __init__(self, input_dim=(3, 32, 32), num filters=32, filter size=7,
                       hidden dim=100, num classes=10, weight scale=1e-3, reg=0.0,
                       dtype=np.float32, use batchnorm=False):
            .....
            Initialize a new network.
            Inputs:
            - input dim: Tuple (C, H, W) giving size of input data
            - num filters: Number of filters to use in the convolutional layer
            - filter size: Size of filters to use in the convolutional layer
            - hidden_dim: Number of units to use in the fully-connected hidden layer
            - num classes: Number of scores to produce from the final affine layer.
            - weight scale: Scalar giving standard deviation for random initialization
              of weights.
            - req: Scalar giving L2 regularization strength
            - dtype: numpy datatype to use for computation.
            self.use batchnorm = use batchnorm
            self.params = {}
            self.reg = reg
            self.dtype = dtype
            # YOUR CODE HERE:
               Initialize the weights and biases of a three layer CNN. To initialize:
            #
                  - the biases should be initialized to zeros.
                  - the weights should be initialized to a matrix with entries
            #
                     drawn from a Gaussian distribution with zero mean and
                      standard deviation given by weight scale.
```

```
# ----- #
   self.params['W1'] = np.random.normal(0, weight scale, [num filters, input dim
[0], filter size, filter size])
   self.params['b1'] = np.zeros(num filters)
   W1 lenx = int((input dim[1] - 2) / 2) + 1 # b/c pad is set such that conv lay
er doesn't shrink it, but there is a pool
   W1 leny = int((input dim[2] - 2) / 2) + 1
   self.params['W2'] = np.random.normal(0, weight scale, [W1 lenx * W1 leny * nu
m filters, hidden dim])
   self.params['b2'] = np.zeros(hidden dim)
   self.params['W3'] = np.random.normal(0, weight scale, [hidden dim, num classe
s])
   self.params['b3'] = np.zeros(num_classes)
   if self.use batchnorm:
       self.bn params = [{'mode': 'train', 'eps': 1e-5, 'momentum': 0.9} for i i
n np.arange(self.num layers - 1)]
       self.params['gamma1'] = np.ones(input dim[0])
       self.params['beta1'] = np.zeros(input dim[0])
       self.params['gamma2'] = np.ones()
       self.params['beta2'] = np.zeros()
   # END YOUR CODE HERE
   # ------ #
   for k, v in self.params.items():
     self.params[k] = v.astype(dtype)
 def loss(self, X, y=None):
   Evaluate loss and gradient for the three-layer convolutional network.
   Input / output: Same API as TwoLayerNet in fc net.py.
   W1, b1 = self.params['W1'], self.params['b1']
   W2, b2 = self.params['W2'], self.params['b2']
   W3, b3 = self.params['W3'], self.params['b3']
   # pass conv param to the forward pass for the convolutional layer
   filter size = W1.shape[2]
   conv param = {'stride': 1, 'pad': (filter size - 1) / 2}
   # pass pool_param to the forward pass for the max-pooling layer
   pool param = {'pool height': 2, 'pool width': 2, 'stride': 2}
   scores = None
   # =============== #
   # YOUR CODE HERE:
     Implement the forward pass of the three layer CNN. Store the output
   # scores as the variable "scores".
   # ================ #
   if not self.use batchnorm:
       # conv - relu - 2x2 max pool - affine - relu - affine - softmax
       p1, p1 cache = conv relu pool forward(X, W1, b1, conv param, pool param)
# a1 -> h1 -> p1
       h2, h2 cache = affine relu forward(p1, W2, b2) # p1 \rightarrow a2 \rightarrow h2
       scores, a3 cache = affine forward(h2, W3, b3)
```

```
else:
      # conv - sbn - relu - 2x2 max pool - affine - bn - relu - affine - softma
\boldsymbol{X}
      a, conv cache = conv forward fast(x, w, b, conv param)
      out, cache = spatial batchnorm forward(x, gamma, beta, bn param)
      s, relu cache = relu forward(a)
      out, pool cache = max pool forward fast(s, pool param)
      out, cache = affine forward(x, w, b)
      out, cache = batchnorm forward(x, gamma, beta, bn param)
      s, relu cache = relu forward(a)
      out, cache = affine forward(x, w, b)
   # END YOUR CODE HERE
   # ------ #
   if y is None:
    return scores
   loss, grads = 0, {}
   # ------ #
   # YOUR CODE HERE:
      Implement the backward pass of the three layer CNN. Store the grads
      in the grads dictionary, exactly as before (i.e., the gradient of
     self.params[k] will be grads[k]). Store the loss as "loss", and
     don't forget to add regularization on ALL weight matrices.
   # ------ #
   loss, dx = softmax loss(scores, y)
   loss += 0.5 * self.reg * np.sum([np.sum(self.params['W{}'.format(layer + 1)]
** 2) for layer in range(3)])
   if not self.use batchnorm:
      dl dh2, grads['W3'], grads['b3'] = affine backward(dx, a3 cache)
      grads['W3'] += self.reg * self.params['W3']
      dl_dp1, grads['W2'], grads['b2'] = affine_relu_backward(dl_dh2, h2_cache)
      grads['W2'] += self.reg * self.params['W2']
      dl dx, grads['W1'], grads['b1'] = conv relu pool backward(dl dp1, p1 cach
e)
      grads['W1'] += self.reg * self.params['W1']
   else:
   # END YOUR CODE HERE
   return loss, grads
pass
```