

# Naive RAG, Advance RAG, Agents and How to start in GenAI?

### <u>Instructor</u>

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## Introduction

- Myself Satyam Mittal, currently working as AI Software Engineer in Retail organization(fortune 500).
- Did my research oriented M.S. in Computer Science with thesis in Machine learning.
- Designed an optimized version of Buggy Pinball Optimization algorithm named as Blindfolded Spiderman Optimization in higher dimensions

Linkedin: <u>Link(www.linkedin.com/in/satyam-sm)</u>

→ Github: <u>Link(https://github.com/05satyam)</u>

AI-ML Repository/Resource: <u>Link</u>
(https://github.com/05satyam/AI-ML/blob/main/README.md)



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# Some key terminologies

- LLM (Large Language Model): AI trained on vast datasets to understand and generate human-like text.
- RAG (Retrieval-Augmented Generation): Combines LLMs with retrieval systems for context-aware responses.
- Embeddings: Numerical representation of data for semantic similarity in vector space.
- Fine-Tuning: Customizing a pre-trained model for specific tasks or domains.
- **Prompt Engineering:** Crafting inputs to elicit desired outputs from LLMs.
- Guardrails: Safety mechanisms to ensure reliable, ethical model outputs.
- Vector Database: Specialized database for storing and querying embeddings.
- Agents: Autonomous units in AI systems capable of decision-making and task execution.

### **Transformer**

#### **Encoder-Decoder Structure:**

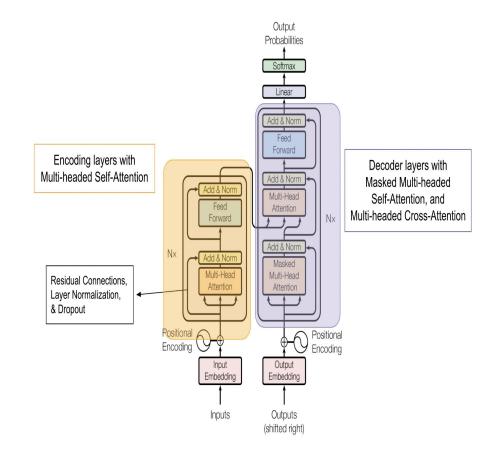
- **Encoder**: Processes input sequence with multi-headed self-attention and feed-forward layers.
- **Decoder**: Generates output sequence using masked multi-headed self-attention, cross-attention, and feed-forward layers.

#### **Key Components:**

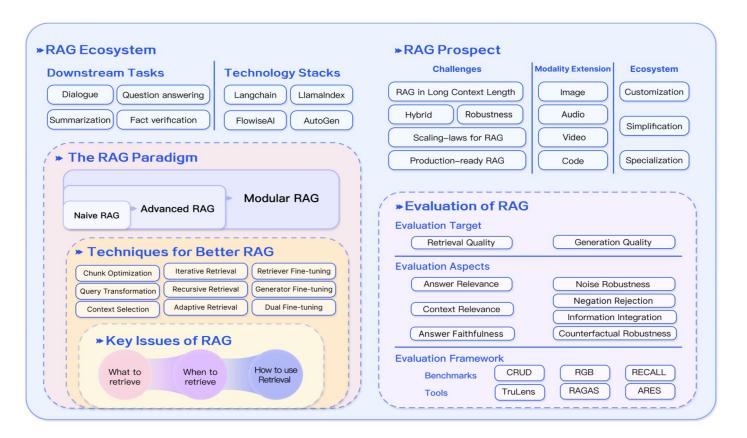
- Multi-Headed Attention:
  - Self-Attention in Encoder: Focuses on relationships within the input sequence.

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- **Feed-Forward Networks**: Add non-linearity and feature transformation
- **Positional Encoding**: Adds order information to sequences.



# **Summary of RAG Ecosystem**



# **Data Preprocessing**

Based on use-case we will have data-preprocessing.

For ingesting into vector-database, we also add **chunking-strategies** 



**Fixed-size chunking** is a simple technique that splits text into chunks of a predetermined size, regardless of content structure. While it's cost-effective, it lacks contextual awareness. This can be improved by using overlapping chunks, allowing adjacent chunks to share some content.

Recursive chunking offers more flexibility by initially splitting text

Quantum entanglement is a key concept in quantum physics. It occurs when particles become linked, so the state of one instantly affects the state of another, no matter the distance between them

using a primary separator (like paragraphs) and then applying secondary separators (like sentences) if chunks are still too large. This technique respects the document's structure and adapts well

This connection challenges our understanding of space and time. When you measure one entangled particle, the other's state changes instantly.

to various use cases.

# HeadingThis is a heading. --- ## SubheadingThis is a subheading. We can continue withmore content here.

**Document-based chunking** creates chunks based on the natural divisions within a document, such as headings or sections. It's particularly effective for structured data like HTML, Markdown, or code files but less useful when the data lacks clear structural elements.

The water cycle is a continuous process by which water moves through the Earth and atmosphere. It involves processes such as evaporation, condensation, previolation, and collection. Evaporation occurs when the sun heats up water in rivers, lakes, or occess, tuning it into appor or steam. This vapor rises into the air and code down, forming clouds. Eventually, the clouds become heavy and water rists back to the earth as precipitation, which can be rain, snow, deet, or hall. This water then collects in bodies of water, confinding the cycle.

**Semantic chunking** divides text into meaningful units, which are then vectorized. These units are then combined into chunks based on the cosine distance between their embeddings, with a new chunk formed whenever a significant context shift is detected. This method balances semantic coherence with chunk size.

Input text

LLM Propositions

Also visited the library.

Into Lower reading.

Alex Lower reading.

**LLM-based chunking** is an advanced technique that uses an LLM to generate chunks by processing text and creating semantically isolated sentences or propositions. While highly accurate, it's also the most computationally demanding approach.

Image credit: Weaviate

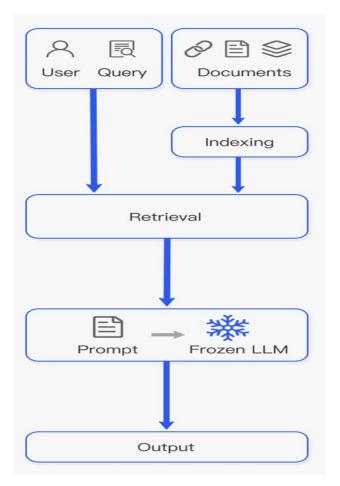
# **Naive RAG**

The workflow starts with a **user query**, paired with **indexed documents** for efficient retrieval.

Relevant documents are retrieved and combined with the query to create a **contextual prompt**.

A **frozen LLM** processes the prompt, leveraging retrieved context to generate accurate outputs.

This approach ensures **domain-specific responses** without costly model fine-tuning.



Google colab: Link

## **Advance RAG**

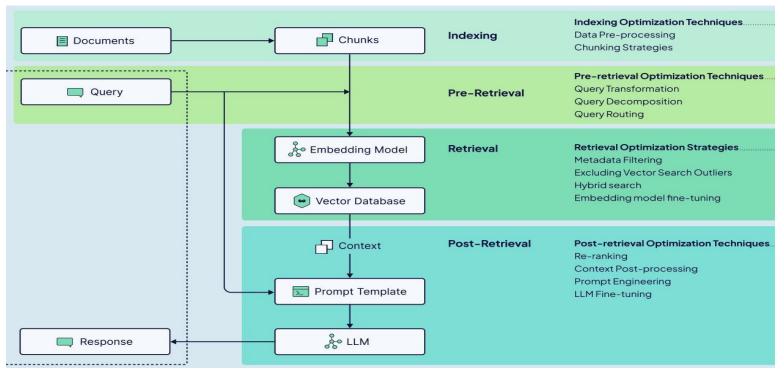


Image credit: Weaviate

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## **Advance RAG Continued...**

Pre-Retrieval Optimization: Enhances query quality through query rewriting, expansion, and routing for better retrieval accuracy.

Post-Retrieval Processing: Refines retrieved results using reranking, summarization, and fusion to ensure high relevance and contextual alignment.

Adaptive Prompting: Combines processed retrieval data into a dynamic, structured prompt for the frozen LLM, enhancing response precision.

# **AI AGENTS - Frameworks for Development**

## What is an Al Agent?

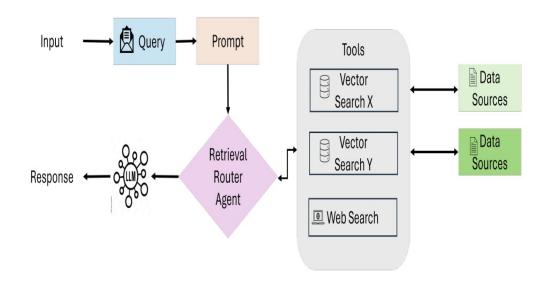


**Detailed Article:** Link

# **Agentic RAG**

### **Single-Agent Agentic RAG:**

A centralized decision-making system where a single agent manages the retrieval, routing, and integration of information



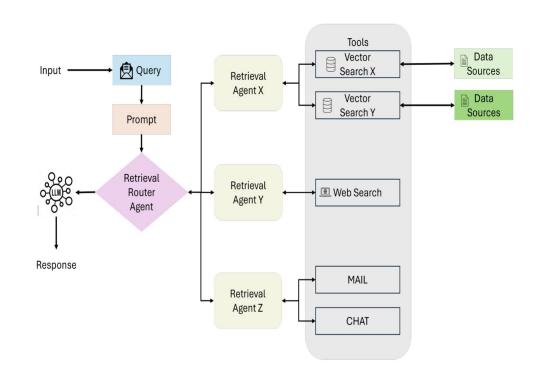
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https://arxiv.org/pdf/2501.09136

# **Multi-Agent RAG**

Instead of relying on a single agent to manage all tasks—reasoning, retrieval, and response generation

—This system distributes responsibilities across multiple agents, each optimized for a specific role or data source.



Google colab: Link

https://arxiv.org/pdf/2501.09136

### Hands-on Google colab: Link

Or

### Copy below given URL:

https://colab.research.google.com/drive/1\_Uhu5jVfW3MqlaqCWrUscqU0lS5Wb33t #scrollTo=ERQf6jqBWkc5

## **Poc2Production continue: Evaluations**

**Holistic Evaluation**: Evaluate each stage separately while measuring end-to-end impact. Some top-of-mind metrics are:

### **Landexing Optimization:**

- Chunk Overlap Ratio: Redundancy in chunking.

- Token Utilization Efficiency: % of useful tokens.

- Indexing Latency: Time taken for processing.

### **&** Retrieval Optimization

- Recall@K, MRR, NDCG: Ranking effectiveness.

- Embedding Cosine Similarity: Relevance score.

- Retrieval Latency: Speed of document retrieval.

#### **LLM Generation Quality**

- Faithfulness & Relevance Score: Accuracy of responses.

- Exact Match / F1-score: Correctness vs. ground truth.

- Hallucination Rate: % of unsupported/generated content.

#### **End-to-End & UX Metrics**

- Latency Analysis: Speed from query to response.

- User Satisfaction: A/B testing, surveys.

- Diversity & Readability: Response variability & clarity.

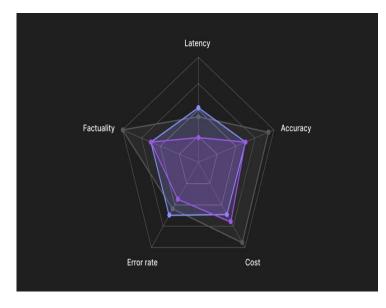


Image credit: https://lnkd.in/dCSJi-pB

#### Some available frameworks for evaluation:

Ragas( <a href="https://lnkd.in/d5yk686K">https://lnkd.in/d5yk686K</a>); <a href="LangChain">LangChain</a> evaluation tools; <a href="LlamaIndex">LlamaIndex</a> evaluation library; <a href="OpenAI">OpenAI</a> Evals;

## **Poc2Production continued:**

### 2. LLM Observability \*\* RAG based application

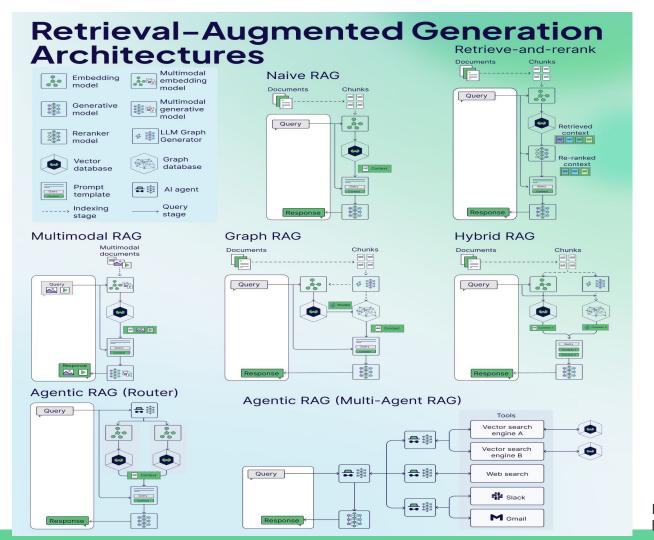
#### Observability lets us:

- Spot slowdowns or errors early, pinpointing root causes quickly.
- Identify resource bottlenecks, optimizing our infrastructure.
- Gain insights into user behavior and system health.

## Some of the good Observability Tools for LLM Applications \*\*:

- ✓ LlamaTrace (Hosted Arize Phoenix)
- Langsmith
- OpenLLMetry : Open-source project based on OpenTelemetry
- Literal AI: Designed for team collaboration on LLM evaluation and observability
- Comet Opik
- Langfuse (YC W23)
- Weights & Biases and Biases Prompts

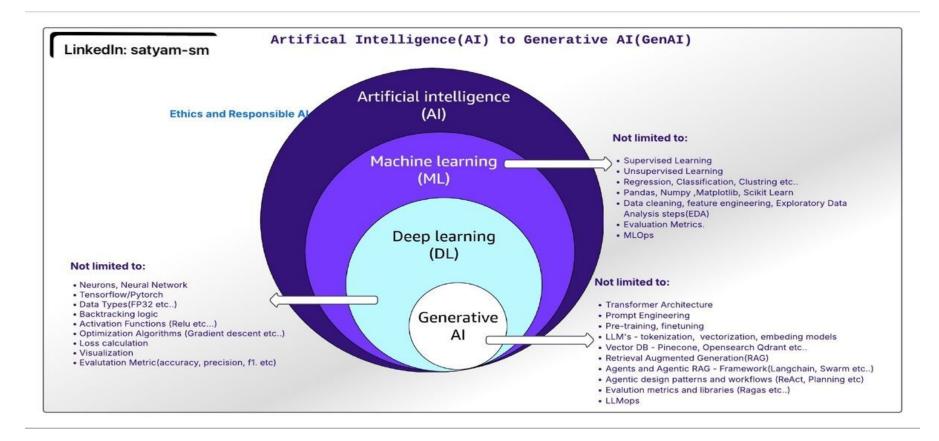
Google colab: Link



Different types of RAG Architectures

Image credit: RAG architectures by Weaviate

# How to start in AI and especially in GenAI?



# How to start in AI and especially in GenAI continue?

### **Basic Courses:**

- 1. Basics of Python in AI: <u>Link</u>
- 2. Basics of AI: Link
- 3. Basics of GenAi: Link
- 4. Courses from WeCloudData

Advance Course: Post Link



Post Link

# **Question and Answer**

Thank You!