

# Programming Assignment 1: Learning Distributed Word Representations

**Version:** 1.1

**Changes by Version:**

- (v1.1)
  1. (Part 1) Update `calculate_log_co_occurrence()` to include the count for the 4th word in the sentence for diagonal entries. Remove text on needing to add 1 as it is already done in the code
  2. (1.5) Removed the line defining unnecessary `loss` variable
  3. (1.5) We added a gradient checker function using finite difference called `check_GloVe_gradients()`. You can run the specified cell in the notebook to check your gradient implementation for both the symmetric and asymmetric models before moving forward.
  4. (Part 3) Fixed error with `evaluate()` function when calling `compute_loss()`

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**Due Date:** Friday, Feb. 4, at 11:59pm

Based on an assignment by George Dahl

For CSC413/2516 in Winter 2022 with Professor Jimmy Ba and Professor Bo Wang

**Submission:** You must submit two files through MarkUs:

1. ☐ A PDF file containing your writeup, titled *a1-writeup.pdf*, which will be the PDF export of this notebook (i.e., by printing this notebook webpage as PDF). Your writeup must be typed. There will be sections in the notebook for you to write your responses. Make sure that the relevant outputs (e.g. `print_gradients()` outputs, plots, etc.) are included and clearly visible.
2. ☐ This `a1-code.ipynb` iPython Notebook.

The programming assignments are individual work. See the Course Syllabus for detailed policies.

You should attempt all questions for this assignment. Most of them can be answered at least partially even if you were unable to finish earlier questions. If you think your computational results are incorrect, please say so; that may help you get partial credit.

The teaching assistants for this assignment are Harris Chan and Caroline Malin-Mayor. Send your email with subject "[CSC413] PA1" to mailto:[csc413-2022-01-tas@cs.toronto.edu](mailto:csc413-2022-01-tas@cs.toronto.edu) or post on Piazza with the tag pa1 .

## Introduction

In this assignment we will learn about word embeddings and make neural networks learn about words. We could try to match statistics about the words, or we could train a network that takes a sequence of words as input and learns to predict the word that comes next.

This assignment will ask you to implement a linear embedding and then the backpropagation computations for a neural language model and then run some experiments to analyze the learned representation. The amount of code you have to write is very short but each line will require you to think very carefully. You will need to derive the updates mathematically, and then implement them using matrix and vector operations in NumPy.

### ▼ Starter code and data

First, perform the required imports for your code:

```
import collections
import pickle
import numpy as np
import os
from tqdm import tqdm
import pylab
from six.moves.urllib.request import urlretrieve
import tarfile
import sys
import itertools

TINY = 1e-30
EPS = 1e-4
nax = np.newaxis
```

If you're using colab, this following script creates a folder - here we used 'CSC413/A1' - in order to download and store the data. If you're not using colab, then set the path to wherever you want the contents to be stored at locally.

You can also manually download and unzip the data from [http://www.cs.toronto.edu/~jba/a1\\_data.tar.gz](http://www.cs.toronto.edu/~jba/a1_data.tar.gz) and put them in the same folder as where you store this notebook.

Feel free to use a different way to access the files *data.pk* , *partially\_trained.pk*, and *raw\_sentences.txt*.

The file *raw\_sentences.txt* contains the sentences that we will be using for this assignment. These sentences are fairly simple ones and cover a vocabulary of only 250 words (+ 1 special [MASK] token word).

```
#####
# Setup working directory
#####
# Change this to a local path if running locally
%mkdir -p /content/CSC413/A1/
%cd /content/CSC413/A1

#####
# Helper functions for loading data
#####
# adapted from
# https://github.com/fchollet/keras/blob/master/keras/datasets/cifar10.py

def get_file(fname,
              origin,
              untar=False,
              extract=False,
              archive_format='auto',
              cache_dir='data'):
    datadir = os.path.join(cache_dir)
    if not os.path.exists(datadir):
        os.makedirs(datadir)

    if untar:
        untar_fpath = os.path.join(datadir, fname)
        fpath = untar_fpath + '.tar.gz'
    else:
        fpath = os.path.join(datadir, fname)
```

```

print('File path: %s' % fpath)
if not os.path.exists(fpath):
    print('Downloading data from', origin)

    error_msg = 'URL fetch failure on {}: {} -- {}'
    try:
        try:
            urlretrieve(origin, fpath)
        except URLError as e:
            raise Exception(error_msg.format(origin, e.errno, e.reason))
        except HTTPError as e:
            raise Exception(error_msg.format(origin, e.code, e.msg))
    except (Exception, KeyboardInterrupt) as e:
        if os.path.exists(fpath):
            os.remove(fpath)
        raise

if untar:
    if not os.path.exists(untar_fpath):
        print('Extracting file.')
        with tarfile.open(fpath) as archive:
            archive.extractall(datadir)
    return untar_fpath

if extract:
    _extract_archive(fpath, datadir, archive_format)

return fpath

```

/content/CSC413/A1

```

# Download the dataset and partially pre-trained model
get_file(fname='a1_data',
          origin='http://www.cs.toronto.edu/~jba/a1_data.tar.gz',
          untar=True)

drive_location = 'data'
PARTIALLY_TRAINED_MODEL = drive_location + '/' + 'partially_trained.pk'
data_location = drive_location + '/' + 'data.pk'

File path: data/a1_data.tar.gz
Downloading data from http://www.cs.toronto.edu/~jba/a1\_data.tar.gz
Extracting file.

```

We have already extracted the 4-grams from this dataset and divided them into training,

```
data = pickle.load(open(data_location, 'rb'))
print(data['vocab'][0]) # First word in vocab is [MASK]
print(data['vocab'][1])
print(len(data['vocab'])) # Number of words in vocab
print(data['vocab']) # All the words in vocab
print(data['train_inputs'][:10]) # 10 example training instances
```

```
[MASK]
all
251
['[MASK]', 'all', 'set', 'just', 'show', 'being', 'money', 'over', 'both', 'year
[[ 28  26  90 144]
 [184  44 249 117]
 [183  32  76 122]
 [117 247 201 186]
 [223 190 249   6]
 [ 42  74  26  32]
 [242  32 223  32]
 [223  32 158 144]
 [ 74  32 221  32]
 [ 42 192  91  68]]
```

Now `data` is a Python dict which contains the vocabulary, as well as the inputs and targets for all three splits of the data. `data['vocab']` is a list of the 251 words in the dictionary; `data['vocab'][0]` is the word with index 0, and so on. `data['train_inputs']` is a 372,500 x 4 matrix where each row gives the indices of the 4 consecutive context words for one of the 372,500 training cases. The validation and test sets are handled analogously.

Even though you only have to modify two specific locations in the code, you may want to read through this code before starting the assignment.

## ▼ Part 1: GloVe Word Representations (3pts)

In this section we will be implementing a simplified version of [GloVe](#). Given a corpus with  $V$  distinct words, we define the co-occurrence matrix  $X \in \mathbb{N}^{V \times V}$  with entries  $X_{ij}$  representing the frequency of the  $i$ -th word and  $j$ -th word in the corpus appearing in the same *context* - in our case the adjacent words. The co-occurrence matrix can be *symmetric* (i.e.,  $X_{ij} = X_{ji}$ ) if the order of the words do not matter, or *asymmetric* (i.e.,  $X_{ij} \neq X_{ji}$ ) if

we wish to distinguish the counts for when  $i$ -th word appears before  $j$ -th word. GloVe aims to find a  $d$ -dimensional embedding of the words that preserves properties of the co-occurrence matrix by representing the  $i$ -th word with two  $d$ -dimensional vectors  $\mathbf{w}_i, \tilde{\mathbf{w}}_i \in \mathbb{R}^d$ , as well as two scalar biases  $b_i, \tilde{b}_i \in \mathbb{R}$ . Typically we have the dimension of the embedding  $d$  much smaller than the number of words  $V$ . This objective can be written as:

$$L(\{\mathbf{w}_i, \tilde{\mathbf{w}}_i, b_i, \tilde{b}_i\}_{i=1}^V) = \sum_{i,j=1}^V (\mathbf{w}_i^\top \tilde{\mathbf{w}}_j + b_i + \tilde{b}_j - \log X_{ij})^2$$

Note that each word is represented by two  $d$ -dimensional embedding vectors  $\mathbf{w}_i, \tilde{\mathbf{w}}_i$  and two scalar biases  $b_i, \tilde{b}_i$ . When the bias terms are omitted and we tie the two embedding vectors  $\mathbf{w}_i = \tilde{\mathbf{w}}_i$ , then GloVe corresponds to finding a rank- $d$  symmetric factorization of the co-occurrence matrix.

Answer the following questions:

### ▼ 1.1. GloVe Parameter Count [0pt]

Given the vocabulary size  $V$  and embedding dimensionality  $d$ , how many parameters does the GloVe model have? Note that each word in the vocabulary is associated with 2 embedding vectors and 2 biases.

1.1 (2d+2)V

### ▼ 1.2 Expression for the Vectorized Loss function [0.5pt]

In practice, we concatenate the  $V$  embedding vectors into matrices  $\mathbf{W}, \tilde{\mathbf{W}} \in \mathbb{R}^{V \times d}$  and bias (column) vectors  $\mathbf{b}, \tilde{\mathbf{b}} \in \mathbb{R}^V$ , where  $V$  denotes the number of distinct words as described in the introduction. Rewrite the loss function  $L$  (Eq. 1) in a vectorized format in terms of  $\mathbf{W}, \tilde{\mathbf{W}}, \mathbf{b}, \tilde{\mathbf{b}}, X$ . You are allowed to use elementwise operations such as addition and subtraction as well as matrix operations such as the Frobenius norm and/or trace operator in your answer.

*Hint: Use the all-ones column vector  $\mathbf{1} = [1 \dots 1]^T \in \mathbb{R}^V$ . You can assume the bias vectors are column vectors, i.e. implicitly a matrix with  $V$  rows and 1 column:  $\mathbf{b}, \tilde{\mathbf{b}} \in \mathbb{R}^{V \times 1}$*

1.2 Answer:  $L = ||\mathbf{W}\tilde{\mathbf{W}}^T + \mathbf{b}\mathbf{1}^T + \mathbf{1}\tilde{\mathbf{b}}^T - \log X||_F^2$

### ▼ 1.3. Expression for gradient $\frac{\partial L}{\partial \mathbf{W}}$ [0.5pt]

Write the vectorized expression for  $\frac{\partial L}{\partial \mathbf{W}}$ , the gradient of the loss function  $L$  with respect to the embedding matrix  $\mathbf{W}$ . The gradient should be a function of  $\mathbf{W}$ ,  $\tilde{\mathbf{W}}$ ,  $\mathbf{b}$ ,  $\tilde{\mathbf{b}}$ ,  $X$ .

*Hint: Make sure that the shape of the gradient is equivalent to the shape of the matrix. You can use the all-ones vector as in the previous question.*

1.3 Answer:  $2[\mathbf{W}\tilde{\mathbf{W}}^T + (\mathbf{b}\mathbf{1}^T + \mathbf{1}\tilde{\mathbf{b}}^T - \log X)]\tilde{\mathbf{W}}$

### ▼ 1.4 Implement Vectorized Loss Function [1pt]

Implement the `loss_GloVe()` function of GloVe.

**See** YOUR CODE HERE **Comment below for where to complete the code**

Note that you need to implement both the loss for an *asymmetric* model (from your answer in question 1.2) and the loss for a *symmetric* model which uses the same embedding matrix  $\mathbf{W}$  and bias vector  $\mathbf{b}$  for the first and second word in the co-occurrence, i.e.  $\tilde{\mathbf{W}} = \mathbf{W}$  and  $\tilde{\mathbf{b}} = \mathbf{b}$  in the original loss.

*Hint: You may take advantage of NumPy's broadcasting feature for the bias vectors:*

<https://numpy.org/doc/stable/user/basics.broadcasting.html>

We have provided a few functions for training the embedding:

- `calculate_log_co_occurrence` computes the log co-occurrence matrix of a given corpus
- `train_GloVe` runs momentum gradient descent to optimize the embedding
- `loss_GloVe`: **TO BE IMPLEMENTED.**
  - INPUT
    - $V \times d$  matrix  $w$  (collection of  $V$  embedding vectors, each  $d$ -dimensional)
    - $V \times d$  matrix  $w_{\text{tilde}}$
    - $V \times 1$  vector  $b$  (collection of  $V$  bias terms)

- $V \times 1$  vector  $b\_tilde$
- $V \times V$  log co-occurrence matrix.
- OUTPUT
  - loss of the GloVe objective
- `grad_GloVe`: **TO BE IMPLEMENTED.**
  - INPUT:
    - $V \times d$  matrix  $w$  (collection of  $V$  embedding vectors, each  $d$ -dimensional), embedding for first word;
    - $V \times d$  matrix  $w\_tilde$ , embedding for second word;
    - $V \times 1$  vector  $b$  (collection of  $V$  bias terms);
    - $V \times 1$  vector  $b\_tilde$ , bias for second word;
    - $V \times V$  log co-occurrence matrix.
  - OUTPUT:
    - $V \times d$  matrix `grad_w` containing the gradient of the loss function w.r.t.  $w$ ;
    - $V \times d$  matrix `grad_w_tilde` containing the gradient of the loss function w.r.t.  $w\_tilde$ ;
    - $V \times 1$  vector `grad_b` which is the gradient of the loss function w.r.t.  $b$ .
    - $V \times 1$  vector `grad_b_tilde` which is the gradient of the loss function w.r.t.  $b\_tilde$ .

Run the code to compute the co-occurrence matrix.

```
vocab_size = len(data['vocab']) # Number of vocabs

def calculate_log_co_occurrence(word_data, symmetric=False):
    "Compute the log-co-occurrence matrix for our data."
    log_co_occurrence = np.zeros((vocab_size, vocab_size))
    for input in word_data:
        # Note: the co-occurrence matrix may not be symmetric
        log_co_occurrence[input[0], input[1]] += 1
        log_co_occurrence[input[1], input[2]] += 1
        log_co_occurrence[input[2], input[3]] += 1
        # Diagonal entries are just the frequency of the word
        log_co_occurrence[input[0], input[0]] += 1
        log_co_occurrence[input[1], input[1]] += 1
        log_co_occurrence[input[2], input[2]] += 1
        log_co_occurrence[input[3], input[3]] += 1
```



```

# If we want symmetric co-occurrence can also increment for these.
if symmetric:
    log_co_occurrence[input[1], input[0]] += 1
    log_co_occurrence[input[2], input[1]] += 1
    log_co_occurrence[input[3], input[2]] += 1
delta_smoothing = 0.5 # A hyperparameter. You can play with this if you want.
log_co_occurrence += delta_smoothing # Add delta so log doesn't break on 0's.
log_co_occurrence = np.log(log_co_occurrence)
return log_co_occurrence

```

```

asym_log_co_occurrence_train = calculate_log_co_occurrence(data['train_inputs'], symmet
asym_log_co_occurrence_valid = calculate_log_co_occurrence(data['valid_inputs'], symmet

```

- ☐ **TO BE IMPLEMENTED:** Implement the loss function. You should vectorize the computation, i.e. not loop over every word.

```

def loss_GloVe(W, W_tilde, b, b_tilde, log_co_occurrence):
    """ Compute the GloVe loss given the parameters of the model. When W_tilde
    and b_tilde are not given, then the model is symmetric (i.e. W_tilde = W,
    b_tilde = b).

    Args:
        W: word embedding matrix, dimension V x d where V is vocab size and d
            is the embedding dimension
        W_tilde: for asymmetric GloVe model, a second word embedding matrix, with
            dimensions V x d
        b: bias vector, dimension V.
        b_tilde: for asymmetric GloVe model, a second bias vector, dimension V
        log_co_occurrence: V x V log co-occurrence matrix (log X)

    Returns:
        loss: a scalar (float) for GloVe loss
    """
    n, _ = log_co_occurrence.shape
    ones = np.ones(n).reshape(-1, 1)
    # Symmetric Case, no W_tilde and b_tilde
    if W_tilde is None and b_tilde is None:
        # Symmetric model
        ##### YOUR CODE HERE #####
        norm_matrix = np.matmul(W, W.T) + np.matmul(b, ones.T) + np.matmul(ones, b.T) - 1
        loss = np.linalg.norm(norm_matrix)**2
        #####
    else:
        # Asymmetric model

```

```
##### YOUR CODE HERE #####
norm_matrix = np.matmul(W, W_tilde.T) + np.matmul(b, ones.T) + np.matmul(ones, b_
loss = (np.linalg.norm(norm_matrix))**2
#####
return loss
```

## ▼ 1.5. Implement the gradient update of GloVe. [1pt]

Implement the `grad_GloVe()` function which computes the gradient of GloVe.

**See** YOUR CODE HERE **Comment below for where to complete the code**

Again, note that you need to implement the gradient for both the symmetric and asymmetric models.

- ☐ **TO BE IMPLEMENTED:** Calculate the gradient of the loss function w.r.t. the parameters  $W$ ,  $\tilde{W}$ ,  $\mathbf{b}$ , and  $\tilde{\mathbf{b}}$ . You should vectorize the computation, i.e. not loop over every word.

```
def grad_GloVe(W, W_tilde, b, b_tilde, log_co_occurrence):
    """Return the gradient of GloVe objective w.r.t its parameters
    Args:
        W: word embedding matrix, dimension V x d where V is vocab size and d
            is the embedding dimension
        W_tilde: for asymmetric GloVe model, a second word embedding matrix, with
            dimensions V x d
        b: bias vector, dimension V.
        b_tilde: for asymmetric GloVe model, a second bias vector, dimension V
        log_co_occurrence: V x V log co-occurrence matrix (log X)

    Returns:
        grad_W: gradient of the loss wrt W, dimension V x d
        grad_W_tilde: gradient of the loss wrt W_tilde, dimension V x d. Return
            None if W_tilde is None.
        grad_b: gradient of the loss wrt b, dimension V x 1
        grad_b_tilde: gradient of the loss wrt b, dimension V x 1. Return
            None if b_tilde is None.
    """
    n,_ = log_co_occurrence.shape
    ones = np.ones(n).reshape(-1, 1)
    if W_tilde is None and b_tilde is None:
```

```

# Symmetric case
##### YOUR CODE HERE #####
W_matrix = np.matmul(b, ones.T) + np.matmul(ones, b.T) - log_co_occurence
b_matrix = np.matmul(W, W.T) - log_co_occurence

grad_W = 4 * (np.matmul(W, np.matmul(W.T, W))) + 2 * np.matmul((W_matrix + W_matr
grad_b = 4 * np.matmul(b, np.matmul(ones.T, ones)) + 4 * np.matmul(ones, np.matmu
grad_W_tilde = None
grad_b_tilde = None
#####
else:
# Asymmetric case
##### YOUR CODE HERE #####
W_matrix = np.matmul(W, W_tilde.T) + np.matmul(b, ones.T) + np.matmul(ones, b_til
b_matrix = np.matmul(W, W_tilde.T) + np.matmul(ones, b_tilde.T) - log_co_occurenc
b_tilde_matrix = np.matmul(W, W_tilde.T) + np.matmul(b, ones.T) - log_co_occurenc

grad_W = 2 * np.matmul(W_matrix, W_tilde)
grad_W_tilde = 2 * np.matmul(W_matrix.T, W)
grad_b = 2 * np.matmul(np.matmul(b, ones.T), ones) + 2 * np.matmul(b_matrix, ones
grad_b_tilde = 2 * np.matmul(np.matmul(b_tilde, ones.T), ones) + 2 * np.matmul(b_
#####

return grad_W, grad_W_tilde, grad_b, grad_b_tilde

```

To help you debug your GloVe gradient computation, we have included a finite-difference gradient checker function defined below:

```

def relative_error(a, b):
    return np.abs(a - b) / (np.abs(a) + np.abs(b))

def check_GloVe_gradients(W, W_tilde, b, b_tilde, log_co_occurence):
    """Check the computed gradients using finite differences."""
    np.random.seed(0)
    np.seterr(all='ignore') # suppress a warning which is harmless

    # Obtain the analytical gradient
    grad_W, grad_W_tilde, grad_b, grad_b_tilde = grad_GloVe(W, W_tilde, b, b_tilde, 1
    grads_dict = {"W": grad_W, "W_tilde": grad_W_tilde,
                  "b": grad_b, "b_tilde": grad_b_tilde}

    params_dict = {"W": W, "W_tilde": W_tilde, "b": b, "b_tilde": b_tilde}

    # Check that the shapes of the parameters and gradients match
    for name in params_dict:

```

```

if params_dict[name] is None:
    continue
dims = params_dict[name].shape
is_matrix = (len(dims) == 2)
if not is_matrix:
    print()

if params_dict[name].shape != grads_dict[name].shape:
    print('The gradient for {} should be size {} but is actually {}'.format(
        name, params_dict[name].shape, grads_dict[name].shape))
    return

# Run finite difference for that param
for count in range(1000):
    if is_matrix:
        slc = np.random.randint(0, dims[0]), np.random.randint(0, dims[1])
    else:
        slc = np.random.randint(dims[0])

    params_dict_plus = params_dict.copy()
    params_dict_plus[name] = params_dict[name].copy()
    params_dict_plus[name][slc] += EPS
    obj_plus = loss_GloVe(params_dict_plus["W"],
                          params_dict_plus["W_tilde"],
                          params_dict_plus["b"],
                          params_dict_plus["b_tilde"],
                          log_co_occurence)

    params_dict_minus = params_dict.copy()
    params_dict_minus[name] = params_dict[name].copy()
    params_dict_minus[name][slc] -= EPS
    obj_minus = loss_GloVe(params_dict_minus["W"],
                          params_dict_minus["W_tilde"],
                          params_dict_minus["b"],
                          params_dict_minus["b_tilde"],
                          log_co_occurence)

    empirical = (obj_plus - obj_minus) / (2. * EPS)
    exact = grads_dict[name][slc]
    rel = relative_error(empirical, exact)
    if rel > 5e-4:
        print('The loss derivative has a relative error of {}, which is too large f
        return False
print('The gradient for {} looks OK.'.format(name))

```

Run the cell below to check if your `grad_GloVe` function passes the checker. The function will check for both the symmetric and asymmetric loss, for each of the parameter variables whether its gradient computation looks ok. The expected output is:

```
Checking asymmetric loss gradient...
```

```
The gradient for W looks OK.
```

```
The gradient for W_tilde looks OK.
```

```
The gradient for b looks OK.
```

```
The gradient for b_tilde looks OK.
```

```
Checking symmetric loss gradient...
```

```
The gradient for W looks OK.
```

```
The gradient for b looks OK.
```

Note: If you update the `grad_GloVe` cell while debugging, make sure to run the `grad_GloVe` cell again before re-running the cell below to check the gradient.

-  **TODO:** Run this cell below to check the gradient implementation

```
np.random.seed(0)
```

```
# Store the final losses for graphing
```

```
init_variance = 0.05 # A hyperparameter. You can play with this if you want.
```

```
embedding_dim = 16
```

```
W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
```

```
W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
```

```
b = init_variance * np.random.normal(size=(vocab_size, 1))
```

```
b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
```

```
print("Checking asymmetric loss gradient...")
```

```
check_GloVe_gradients(W, W_tilde, b, b_tilde, asym_log_co_occurrence_train)
```

```
print("\nChecking symmetric loss gradient...")
```

```
check_GloVe_gradients(W, None, b, None, asym_log_co_occurrence_train)
```

```
Checking asymmetric loss gradient...
```

```
The gradient for W looks OK.
```

```
The gradient for W_tilde looks OK.
```

```
The gradient for b looks OK.
```

```
The gradient for b_tilde looks OK.
```

```
Checking symmetric loss gradient...
```

```
The gradient for W looks OK.
```

```
The gradient for b looks OK.
```

Now that you have checked taht the gradient is correct, we define the training function for the model given the initial weights and ground truth log co-occurrence matrix:

```
def train_GloVe(W, W_tilde, b, b_tilde, log_co_occurence_train, log_co_occurence_vali
    "Traing W and b according to GloVe objective."
    n,_ = log_co_occurence_train.shape
    learning_rate = 0.05 / n # A hyperparameter. You can play with this if you want.
    train_loss_list = np.zeros(n_epochs)
    valid_loss_list = np.zeros(n_epochs)
    vocab_size = log_co_occurence_train.shape[0]

    for epoch in range(n_epochs):
        grad_W, grad_W_tilde, grad_b, grad_b_tilde = grad_GloVe(W, W_tilde, b, b_tilde, l
        W = W - learning_rate * grad_W
        b = b - learning_rate * grad_b
        if not grad_W_tilde is None and not grad_b_tilde is None:
            W_tilde = W_tilde - learning_rate * grad_W_tilde
            b_tilde = b_tilde - learning_rate * grad_b_tilde
        train_loss, valid_loss = loss_GloVe(W, W_tilde, b, b_tilde, log_co_occurence_trai
        if do_print:
            print(f"Average Train Loss: {train_loss / vocab_size}, Average valid loss: {val
            train_loss_list[epoch] = train_loss / vocab_size
            valid_loss_list[epoch] = valid_loss / vocab_size

    return W, W_tilde, b, b_tilde, train_loss_list, valid_loss_list
```

- ☐ **TODO:** Run this cell below to run an experiment training GloVe model

```
### TODO: Run this cell ###
```

```
np.random.seed(1)
```

```
n_epochs = 500 # A hyperparameter. You can play with this if you want.
```

```
# Store the final losses for graphing
```

```
do_print = False # If you want to see diagnostic information during training
```

```
init_variance = 0.1 # A hyperparameter. You can play with this if you want.
```

```
embedding_dim = 16
```

```
W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
```

```
W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
```

```
b = init_variance * np.random.normal(size=(vocab_size, 1))
```

```
b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
```

```
# Run the training for the asymmetric and symmetric GloVe model
```

```
Asym_W_final, Asym_W_tilde_final, Asym_b_final, Asym_b_tilde_final, Asym_train_loss_l
```

```

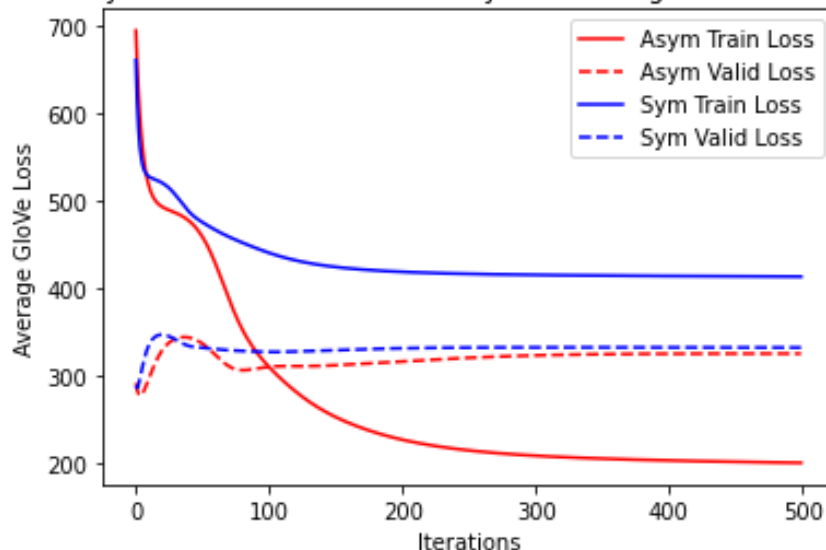
Sym_W_final, Sym_W_tilde_final, Sym_b_final, Sym_b_tilde_final, Sym_train_loss_list,

# Plot the resulting training curve
pylab.plot(Asym_train_loss_list, label="Asym Train Loss", color='red')
pylab.plot(Asym_valid_loss_list, label="Asym Valid Loss", color='red', linestyle='--')
pylab.plot(Sym_train_loss_list, label="Sym Train Loss", color='blue')
pylab.plot(Sym_valid_loss_list, label="Sym Valid Loss", color='blue', linestyle='--')
pylab.xlabel("Iterations")
pylab.ylabel("Average GloVe Loss")
pylab.title("Asymmetric and Symmetric GloVe Model on Asymmetric Log Co-Occurrence (Em
pylab.legend()

```

<matplotlib.legend.Legend at 0x7f5442329ed0>

Asymmetric and Symmetric GloVe Model on Asymmetric Log Co-Occurrence (Emb Dim=16)



## ▼ 1.6 Effects of a buggy implementation [0pt]

Suppose that during the implementation, you initialized the weight embedding matrix  $\mathbf{W}$  and  $\tilde{\mathbf{W}}$  with the same initial values (i.e.,  $\mathbf{W} = \tilde{\mathbf{W}} = \mathbf{W}_0$ ).

What will happen to the values of  $\mathbf{W}$  and  $\tilde{\mathbf{W}}$  over the course of training. Will they stay equal to each other, or diverge from each other? Explain your answer briefly.

Hint: Consider the gradient  $\frac{\partial L}{\partial \mathbf{W}}$  versus  $\frac{\partial L}{\partial \tilde{\mathbf{W}}}$

1.6 Answer: **\*\*TODO: Write Part 1.6 answer here \*\***

## ▼ 1.7. Effect of embedding dimension $d$ [0pt]

Train the both the symmetric and asymmetric GLoVe model with varying dimensionality  $d$  by running the cell below. Comment on:

1. Which  $d$  leads to optimal validation performance for the asymmetric and symmetric models?
2. Why does / doesn't larger  $d$  always lead to better validation error?
3. Which model is performing better, and why?

1.7 Answer: **\*\*TODO: Write Part 1.7 answer here\*\***

Train the GloVe model for a range of embedding dimensions

```
np.random.seed(1)
n_epochs = 500 # A hyperparameter. You can play with this if you want.
embedding_dims = np.array([1, 2, 10, 128, 256]) # Play with this
# Store the final losses for graphing
asymModel_asymCoOc_final_train_losses, asymModel_asymCoOc_final_val_losses = [], []
symModel_asymCoOc_final_train_losses, symModel_asymCoOc_final_val_losses = [], []
Asym_W_final_2d, Asym_b_final_2d, Asym_W_tilde_final_2d, Asym_b_tilde_final_2d = None
W_final_2d, b_final_2d = None, None
do_print = False # If you want to see diagnostic information during training

for embedding_dim in tqdm(embedding_dims):
    init_variance = 0.1 # A hyperparameter. You can play with this if you want.
    W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
    W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
    b = init_variance * np.random.normal(size=(vocab_size, 1))
    b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
    if do_print:
        print(f"Training for embedding dimension: {embedding_dim}")

    # Train Asym model on Asym Co-Oc matrix
    Asym_W_final, Asym_W_tilde_final, Asym_b_final, Asym_b_tilde_final, train_loss_list
    if embedding_dim == 2:
        # Save a parameter copy if we are training 2d embedding for visualization later
        Asym_W_final_2d = Asym_W_final
        Asym_W_tilde_final_2d = Asym_W_tilde_final
        Asym_b_final_2d = Asym_b_final
        Asym_b_tilde_final_2d = Asym_b_tilde_final
    asymModel_asymCoOc_final_train_losses += [train_loss_list[-1]]
    asymModel_asymCoOc_final_val_losses += [valid_loss_list[-1]]
```



```

if do_print:
    print(f"Final validation loss: {valid_loss}")

# Train Sym model on Asym Co-Oc matrix
W_final, W_tilde_final, b_final, b_tilde_final, train_loss_list, valid_loss_list =
if embedding_dim == 2:
    # Save a parameter copy if we are training 2d embedding for visualization later
    W_final_2d = W_final
    b_final_2d = b_final
symModel_asymCoOc_final_train_losses += [train_loss_list[-1]]
symModel_asymCoOc_final_val_losses += [valid_loss_list[-1]]
if do_print:
    print(f"Final validation loss: {valid_loss}")

100%|██████████| 5/5 [00:41<00:00, 8.31s/it]

```

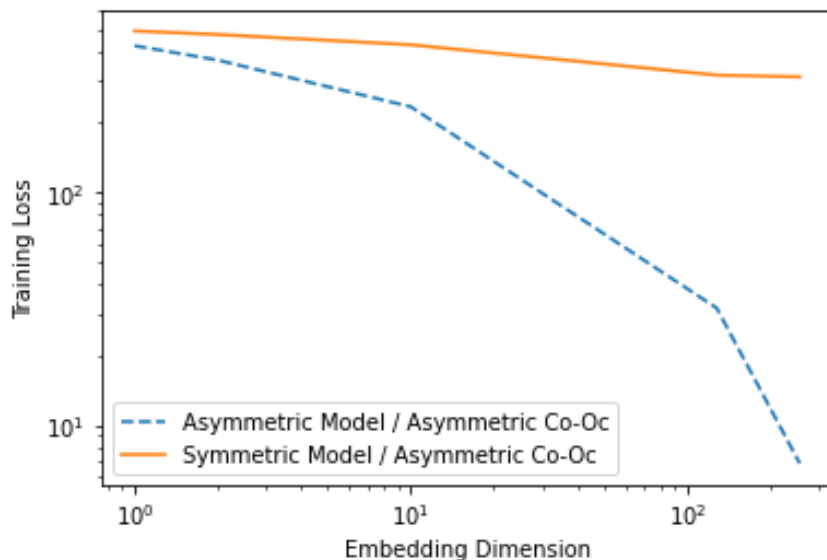
Plot the training and validation losses against the embedding dimension.

```

pylab.loglog(embedding_dims, asymModel_asymCoOc_final_train_losses, label="Asymmetric
pylab.loglog(embedding_dims, symModel_asymCoOc_final_train_losses , label="Symmetric
pylab.xlabel("Embedding Dimension")
pylab.ylabel("Training Loss")
pylab.legend()

```

<matplotlib.legend.Legend at 0x7f5441ddce50>

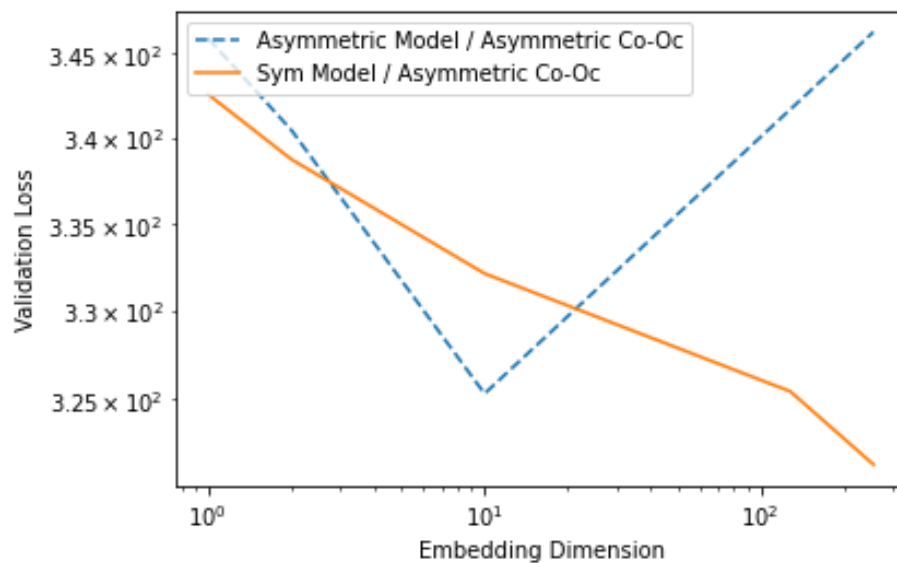


```

pylab.loglog(embedding_dims, asymModel_asymCoOc_final_val_losses, label="Asymmetric M
pylab.loglog(embedding_dims, symModel_asymCoOc_final_val_losses , label="Sym Model /
pylab.xlabel("Embedding Dimension")
pylab.ylabel("Validation Loss")
pylab.legend(loc="upper left")

```

&lt;matplotlib.legend.Legend at 0x7f54419a7a50&gt;



## ▼ Part 2: Network Architecture (1pts)

See the handout for the written questions in this part.

Answer the following questions

### ▼ 2.1. Number of parameters in neural network model [0.5pt]

The trainable parameters of the model consist of 3 weight matrices and 2 sets of biases. What is the total number of trainable parameters in the model, as a function of  $V, N, D, H$ ?

In the diagram given, which part of the model (i.e., `word_embedding_weights`, `embed_to_hid_weights`, `hid_to_output_weights`, `hid_bias`, or `output_bias`) has the largest number of trainable parameters if we have the constraint that  $V \gg H > D > N$ ? Note: The symbol  $\gg$  means "much greater than" Explain your reasoning.

2.1 Answer:

- Total number of trainable parameters:  $NDH + NVH + VD + NV + H$
- The `hid_to_output_weights` has the largest number of trainable parameters.

## ▼ 2.2 Number of parameters in $n$ -gram model [0.5pt]

Another method for predicting the next words is an  $n$ -gram model, which was mentioned in Lecture 3. If we wanted to use an  $n$ -gram model with the same context length  $N - 1$  as our network (since we mask 1 of the  $N$  words in our input), we'd need to store the counts of all possible  $N$ -grams. If we stored all the counts explicitly and suppose that we have  $V$  words in the dictionary, how many entries would this table have?

2.2 Answer:  $V^N$

## ▼ 2.3. Comparing neural network and $n$ -gram model scaling [0pt]

How do the parameters in the neural network model scale with the number of context words  $N$  versus how the number of entries in the  $n$ -gram model scale with  $N$ ? [0pt]

2.3 Answer: **\*\*TODO: Write Part 2.3 answer here\*\***

## ▼ Part 3: Training the model (3pts)

In this part, you will learn to implement and train the neural language model from Figure 1. As described in the previous section, during training, we randomly sample one of the  $N$  context words to replace with a [MASK] token. The goal is for the network to predict the word that was masked, at the corresponding output word position. In practice, this [MASK] token is assigned the index 0 in our dictionary. The weights  $W^{(2)} =$

`hid_to_output_weights` now has the shape  $NV \times H$ , as the output layer has  $NV$  neurons, where the first  $V$  output units are for predicting the first word, then the next  $V$  are for predicting the second word, and so on. We call this as *concatenating* output units across all word positions, i.e. the  $(v + nV)$ -th column is for the word  $v$  in vocabulary for the  $n$ -th output word position. Note here that the softmax is applied in chunks of  $V$  as well, to give a valid probability distribution over the  $V$  words (For simplicity we also include the [MASK] token as one of the possible prediction even though we know the target should

not be this token). Only the output word positions that were masked in the input are included in the cross entropy loss calculation:

$$C = - \sum_i^B \sum_n^N \sum_v^V m_n^{(i)} (t_{v+nV}^{(i)} \log y_{v+nV}^{(i)})$$

Where:

- $y_{v+nV}^{(i)}$  denotes the output probability prediction from the neural network for the  $i$ -th training example for the word  $v$  in the  $n$ -th output word. Denoting  $z$  as the logits output, we define the output probability  $y$  as a softmax on  $z$  over contiguous chunks of  $V$  units (see also Figure 1):

$$y_{v+nV}^{(i)} = \frac{e^{z_{v+nV}^{(i)}}}{\sum_l^V e^{z_{l+nV}^{(i)}}}$$

- $t_{v+nV}^{(i)} \in \{0, 1\}$  is 1 if for the  $i$ -th training example, the word  $v$  is the  $n$ -th word in context
- $m_n^{(i)} \in \{0, 1\}$  is a mask that is set to 1 if we are predicting the  $n$ -th word position for the  $i$ -th example (because we had masked that word in the input), and 0 otherwise

There are three classes defined in this part: `Params`, `Activations`, `Model`. You will make

```
class Params(object):
    """A class representing the trainable parameters of the model. This class has five
        word_embedding_weights, a matrix of size V x D, where V is the number of words
            and D is the embedding dimension.
        embed_to_hid_weights, a matrix of size H x ND, where H is the number of hidden
            columns represent connections from the embedding of the first context word,
            for the second context word, and so on. There are N context words.
        hid_bias, a vector of length H
        hid_to_output_weights, a matrix of size NV x H
        output_bias, a vector of length NV"""

    def __init__(self, word_embedding_weights, embed_to_hid_weights, hid_to_output_weights,
                 hid_bias, output_bias):
        self.word_embedding_weights = word_embedding_weights
        self.embed_to_hid_weights = embed_to_hid_weights
        self.hid_to_output_weights = hid_to_output_weights
        self.hid_bias = hid_bias
        self.output_bias = output_bias
```

```

def copy(self):
    return self.__class__(self.word_embedding_weights.copy(), self.embed_to_hid_w
                           self.hid_to_output_weights.copy(), self.hid_bias.copy())

@classmethod
def zeros(cls, vocab_size, context_len, embedding_dim, num_hid):
    """A constructor which initializes all weights and biases to 0."""
    word_embedding_weights = np.zeros((vocab_size, embedding_dim))
    embed_to_hid_weights = np.zeros((num_hid, context_len * embedding_dim))
    hid_to_output_weights = np.zeros((vocab_size * context_len, num_hid))
    hid_bias = np.zeros(num_hid)
    output_bias = np.zeros(vocab_size * context_len)
    return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output_weight
               hid_bias, output_bias)

@classmethod
def random_init(cls, init_wt, vocab_size, context_len, embedding_dim, num_hid):
    """A constructor which initializes weights to small random values and biases
    word_embedding_weights = np.random.normal(0., init_wt, size=(vocab_size, embe
    embed_to_hid_weights = np.random.normal(0., init_wt, size=(num_hid, context_l
    hid_to_output_weights = np.random.normal(0., init_wt, size=(vocab_size * cont
    hid_bias = np.zeros(num_hid)
    output_bias = np.zeros(vocab_size * context_len)
    return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output_weight
               hid_bias, output_bias)

##### The functions below are Python's somewhat oddball way of overloading opera
##### we can do arithmetic on Params instances. You don't need to understand thi

def __mul__(self, a):
    return self.__class__(a * self.word_embedding_weights,
                           a * self.embed_to_hid_weights,
                           a * self.hid_to_output_weights,
                           a * self.hid_bias,
                           a * self.output_bias)

def __rmul__(self, a):
    return self * a

def __add__(self, other):
    return self.__class__(self.word_embedding_weights + other.word_embedding_weig
                           self.embed_to_hid_weights + other.embed_to_hid_weights,
                           self.hid_to_output_weights + other.hid_to_output_weight
                           self.hid_bias + other.hid_bias,
                           self.output_bias + other.output_bias)

```

```
def __sub__(self, other):
    return self + -1. * other
```

```
class Activations(object):
    """A class representing the activations of the units in the network. This class h

        embedding_layer, a matrix of B x ND matrix (where B is the batch size, D is t
            and N is the number of input context words), representing the activat
            layer on all the cases in a batch. The first D columns represent the
            first context word, and so on.
        hidden_layer, a B x H matrix representing the hidden layer activations for a
        output_layer, a B x V matrix representing the output layer activations for a

    def __init__(self, embedding_layer, hidden_layer, output_layer):
        self.embedding_layer = embedding_layer
        self.hidden_layer = hidden_layer
        self.output_layer = output_layer

    def get_batches(inputs, batch_size, shuffle=True):
        """Divide a dataset (usually the training set) into mini-batches of a given size.
        'generator', i.e. something you can use in a for loop. You don't need to understa
        works to do the assignment."""

        if inputs.shape[0] % batch_size != 0:
            raise RuntimeError('The number of data points must be a multiple of the batch
            num_batches = inputs.shape[0] // batch_size

        if shuffle:
            idxs = np.random.permutation(inputs.shape[0])
            inputs = inputs[idxs, :]

        for m in range(num_batches):
            yield inputs[m * batch_size:(m + 1) * batch_size, :]
```

In this part of the assignment, you implement a method which computes the gradient using backpropagation. To start you out, the *Model* class contains several important methods used in training:

- `compute_activations` computes the activations of all units on a given input batch
- `compute_loss_derivative` computes the gradient with respect to the output logits  $\frac{\partial C}{\partial z}$

- `evaluate` computes the average cross-entropy loss for a given set of inputs and targets

You will need to complete the implementation of two additional methods to complete the

### 3.1 Implement gradient with respect to output layer inputs [1pt]

Implement a vectorized `compute_loss` function, which computes the total cross-entropy loss on a mini-batch according to Eq. 2. Look for the `## YOUR CODE HERE ##` comment for where to complete the code. The docstring provides a description of the inputs to the function.

### ▼ 3.2 Implement gradient with respect to parameters [1pt]

`back_propagate` is the function which computes the gradient of the loss with respect to model parameters using backpropagation. It uses the derivatives computed by `compute_loss_derivative`. Some parts are already filled in for you, but you need to compute the matrices of derivatives for `embed_to_hid_weights`, `hid_bias`, `hid_to_output_weights`, and `output_bias`. These matrices have the same sizes as the parameter matrices (see previous section). These matrices have the same sizes as the parameter matrices. Look for the `## YOUR CODE HERE ##` comment for where to complete the code.

In order to implement backpropagation efficiently, you need to express the computations in terms of matrix operations, rather than *for* loops. You should first work through the derivatives on pencil and paper. First, apply the chain rule to compute the derivatives with respect to individual units, weights, and biases. Next, take the formulas you've derived, and express them in matrix form. You should be able to express all of the required computations using only matrix multiplication, matrix transpose, and elementwise operations --- no *for* loops! If you want inspiration, read through the code for `Model.compute_activations` and try to understand how the matrix operations correspond to the computations performed by all the units in the network.

*Hint: Your implementations should also be similar to*

*`hid_to_output_weights_grad`, `hid_bias_grad` in the same function call*

```
class Model(object):
    """A class representing the language model itself. This class contains various me
```

the model and visualizing the learned representations. It has two fields:

```
params, a Params instance which contains the model parameters
vocab, a list containing all the words in the dictionary; vocab[0] is the word
      0, and so on."
```

```
def __init__(self, params, vocab):
    self.params = params
    self.vocab = vocab

    self.vocab_size = len(vocab)
    self.embedding_dim = self.params.word_embedding_weights.shape[1]
    self.embedding_layer_dim = self.params.embed_to_hid_weights.shape[1]
    self.context_len = self.embedding_layer_dim // self.embedding_dim
    self.num_hid = self.params.embed_to_hid_weights.shape[0]

def copy(self):
    return self.__class__(self.params.copy(), self.vocab[:])

@classmethod
def random_init(cls, init_wt, vocab, context_len, embedding_dim, num_hid):
    """Constructor which randomly initializes the weights to Gaussians with standard deviation
    and initializes the biases to all zeros."""
    params = Params.random_init(init_wt, len(vocab), context_len, embedding_dim, num_hid)
    return Model(params, vocab)

def indicator_matrix(self, targets, mask_zero_index=True):
    """Construct a matrix where the (v + n*V)th entry of row i is 1 if the n-th target word
    for example i is v, and all other entries are 0.

    Note: if the n-th target word index is 0, this corresponds to the [MASK] token
    and we set the entry to be 0.
    """
    batch_size, context_len = targets.shape
    expanded_targets = np.zeros((batch_size, context_len * len(self.vocab)))
    offset = np.repeat((np.arange(context_len) * len(self.vocab))[np.newaxis, :], batch_size)
    targets_offset = targets + offset

    for c in range(context_len):
        expanded_targets[np.arange(batch_size), targets_offset[:,c]] = 1.
        if mask_zero_index:
            # Note: Set the targets with index 0, V, 2V to be zero since it corresponds to the [MASK] token
            expanded_targets[np.arange(batch_size), offset[:,c]] = 0.
    return expanded_targets

def compute_loss_derivative(self, output_activations, expanded_target_batch, targets):
    """Compute the gradient of cross-entropy loss wrt output logits z"""
```



For example:

$$[y_{\{0\}} \dots y_{\{V-1\}}] [y_{\{V\}}, \dots, y_{\{2*V-1\}}] [y_{\{2*V\}} \dots y_{\{i,3*V-1\}}] [y_{\{$$

Where for column  $v + n*V$ ,

$$y_{\{v + n*V\}} = e^{\{z_{\{v + n*V\}}\}} / \sum_{m=0}^{V-1} e^{\{z_{\{m + n*V\}}\}}, \text{ for } n=0$$

This function should return a  $dC / dz$  matrix of size  $[batch\_size \times (vocab\_size)]$  where each row  $i$  in  $dC / dz$  has columns  $0$  to  $V-1$  containing the gradient the context word from  $i$ -th training example, then columns  $vocab\_size$  to  $2*vocab\_size$  output context word of the  $i$ -th training example, etc.

$C$  is the loss function summed across all examples as well:

$$C = -\sum_{i,j,n} mask_{\{i,n\}} (t_{\{i, j + n*V\}} \log y_{\{i, j + n*V\}}), \text{ for } j=0$$

where  $mask_{\{i,n\}} = 1$  if the  $i$ -th training example has  $n$ -th context word as the target word, otherwise  $mask_{\{i,n\}} = 0$ .

Args:

`output_activations`: A  $[batch\_size \times (context\_len \times vocab\_size)]$  matrix, for the activations of the output layer, i.e. the  $y_j$ 's.  
`expanded_target_batch`: A  $[batch\_size \times (context\_len \times vocab\_size)]$  matrix, where `expanded_target_batch[i,n*V:(n+1)*V]` is the indicator vector for the  $n$ -th context target word position, i.e. the  $(i, j + n*V)$  entry is 1 if the  $i$ -th example, the context word at position  $n$  is  $j$ , and 0 otherwise.  
`target_mask`: A  $[batch\_size \times context\_len \times 1]$  tensor, where `target_mask[i,n]` is 1 if for the  $i$ -th example the  $n$ -th context word is a target position, otherwise 0.

Outputs:

`loss_derivative`: A  $[batch\_size \times (context\_len \times vocab\_size)]$  matrix, where `loss_derivative[i,0:vocab_size]` contains the gradient  $dC / dz_0$  for the  $i$ -th training example gradient for 1st output context word, and `loss_derivative[i,vocab_size:2*vocab_size]` for the 2nd output context word of the  $i$ -th training example, etc.

"""

```
# Reshape output_activations and expanded_target_batch and use broadcasting
output_activations_reshape = output_activations.reshape(-1, self.context_len,
expanded_target_batch_reshape = expanded_target_batch.reshape(-1, self.context_len,
gradient_masked_reshape = target_mask * (output_activations_reshape - expanded_target_batch_reshape)
gradient_masked = gradient_masked_reshape.reshape(-1, self.context_len * len(vocab))
return gradient_masked
```

```
def compute_loss(self, output_activations, expanded_target_batch, target_mask):
    """Compute the total cross entropy loss over a mini-batch.
```

Args:

output\_activations: [batch\_size x (context\_len \* vocab\_size)] matrix,  
for the activations of the output layer, i.e. the  $y_j$ 's.  
expanded\_target\_batch: [batch\_size x (context\_len \* vocab\_size)] matrix,  
where expanded\_target\_batch[i,n\*V:(n+1)\*V] is the indicator vector for  
the n-th context target word position, i.e. the (i, j + n\*V) entry is  
1 if the n-th context word at position n is j, and 0 otherwise. m  
target\_mask: A [batch\_size x context\_len x 1] tensor, where target\_mask[i,n]  
if for the i-th example the n-th context word is a target position, 0

Returns:

loss: a scalar for the total cross entropy loss over the batch,  
defined in Part 3

"""

```
##### YOUR CODE HERE #####
output_activations_reshape = output_activations.reshape(-1, self.context_len,
expanded_target_batch_reshape = expanded_target_batch.reshape(-1, self.context_len,
middle_result = np.sum(expanded_target_batch_reshape * np.log(output_activations_reshape), axis = 1)
target_mask_reshape = np.squeeze(target_mask, axis = 2)
loss = -np.sum(np.sum(target_mask_reshape * middle_result, 1))
#####
return loss
```

def compute\_activations(self, inputs):

"""Compute the activations on a batch given the inputs. Returns an Activation  
You should try to read and understand this function, since this will give you  
how to implement back\_propagate."""

```
batch_size = inputs.shape[0]
if inputs.shape[1] != self.context_len:
    raise RuntimeError('Dimension of the input vectors should be {}, but is {}'
                       .format(self.context_len, inputs.shape[1]))

# Embedding layer
# Look up the input word indices in the word_embedding_weights matrix
embedding_layer_state = self.params.word_embedding_weights[inputs.reshape([-1, self.context_len])]

# Hidden layer
inputs_to_hid = np.dot(embedding_layer_state, self.params.embed_to_hid_weight)
inputs_to_hid += self.params.hid_bias
# Apply logistic activation function
hidden_layer_state = 1. / (1. + np.exp(-inputs_to_hid))

# Output layer
inputs_to_softmax = np.dot(hidden_layer_state, self.params.hid_to_output_weight)
inputs_to_softmax += self.params.output_bias
```

```

# Subtract maximum.
# Remember that adding or subtracting the same constant from each input to a
# softmax unit does not affect the outputs. So subtract the maximum to
# make all inputs <= 0. This prevents overflows when computing their exponent
inputs_to_softmax -= inputs_to_softmax.max(1).reshape((-1, 1))

# Take softmax along each V chunks in the output layer
output_layer_state = np.exp(inputs_to_softmax)
output_layer_state_shape = output_layer_state.shape
output_layer_state = output_layer_state.reshape((-1, self.context_len, len(se
output_layer_state /= output_layer_state.sum(axis=-1, keepdims=True) # Softma
output_layer_state = output_layer_state.reshape(output_layer_state_shape) # F

return Activations(embedding_layer_state, hidden_layer_state, output_layer_st

def back_propagate(self, input_batch, activations, loss_derivative):
    """Compute the gradient of the loss function with respect to the trainable pa
    of the model.

    Part of this function is already completed, but you need to fill in the deriv
    computations for hid_to_output_weights_grad, output_bias_grad, embed_to_hid_w
    and hid_bias_grad. See the documentation for the Params class for a descripti
    these matrices represent.

    Args:
        input_batch: A [batch_size x context_length] matrix containing the
            indices of the context words
        activations: an Activations object representing the output of
            Model.compute_activations
        loss_derivative: A [batch_size x (context_len * vocab_size)] matrix,
            where loss_derivative[i,0:vocab_size] contains the gradient
            dC / dz_0 for the i-th training example gradient for 1st output
            context word, and loss_derivative[i,vocab_size:2*vocab_size] for
            the 2nd output context word of the i-th training example, etc.
            Obtained from calling compute_loss_derivative()

    Returns:
        Params object containing the gradient for word_embedding_weights_grad,
            embed_to_hid_weights_grad, hid_to_output_weights_grad,
            hid_bias_grad, output_bias_grad
    """

    # The matrix with values dC / dz_j, where dz_j is the input to the jth hidden
    # i.e.  $h_j = 1 / (1 + e^{-z_j})$ 
    hid_deriv = np.dot(loss_derivative, self.params.hid_to_output_weights) \
        * activations.hidden_layer * (1. - activations.hidden_layer)

```

```

hid_to_output_weights_grad = np.dot(loss_derivative.T, activations.hidden_layer)

##### YOUR CODE HERE #####
output_bias_grad = loss_derivative.sum(0)
embed_to_hid_weights_grad = np.dot(hid_deriv.T, activations.embedding_layer)
#####

hid_bias_grad = hid_deriv.sum(0)

# The matrix of derivatives for the embedding layer
embed_deriv = np.dot(hid_deriv, self.params.embed_to_hid_weights)

# Word Embedding Weights gradient
word_embedding_weights_grad = np.dot(self.indicator_matrix(input_batch.reshape(
    embed_deriv.reshape([-1, self.embedding_dim])))

return Params(word_embedding_weights_grad, embed_to_hid_weights_grad, hid_to_output_weights_grad,
               hid_bias_grad, output_bias_grad)

def sample_input_mask(self, batch_size):
    """Samples a binary mask for the inputs of size batch_size x context_len
    For each row, at most one element will be 1.
    """
    mask_idx = np.random.randint(self.context_len, size=(batch_size,))
    mask = np.zeros((batch_size, self.context_len), dtype=np.int)# Convert to one
    mask[np.arange(batch_size), mask_idx] = 1
    return mask

def evaluate(self, inputs, batch_size=100):
    """Compute the average cross-entropy over a dataset.

    inputs: matrix of shape D x N"""

    ndata = inputs.shape[0]

    total = 0.
    for input_batch in get_batches(inputs, batch_size):
        mask = self.sample_input_mask(batch_size)
        input_batch_masked = input_batch * (1 - mask)
        activations = self.compute_activations(input_batch_masked)
        expanded_target_batch = self.indicator_matrix(input_batch)
        target_mask = np.expand_dims(mask, axis=2)
        cross_entropy = self.compute_loss(activations.output_layer, expanded_target_batch, target_mask)
        total += cross_entropy

```

```

return total / float(ndata)

def display_nearest_words(self, word, k=10):
    """List the k words nearest to a given word, along with their distances."""

    if word not in self.vocab:
        print('Word "{}" not in vocabulary.'.format(word))
        return

    # Compute distance to every other word.
    idx = self.vocab.index(word)
    word_rep = self.params.word_embedding_weights[idx, :]
    diff = self.params.word_embedding_weights - word_rep.reshape((1, -1))
    distance = np.sqrt(np.sum(diff ** 2, axis=1))

    # Sort by distance.
    order = np.argsort(distance)
    order = order[1:1 + k]  # The nearest word is the query word itself, skip tha
    for i in order:
        print('{}: {}'.format(self.vocab[i], distance[i]))

def word_distance(self, word1, word2):
    """Compute the distance between the vector representations of two words."""

    if word1 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))

    idx1, idx2 = self.vocab.index(word1), self.vocab.index(word2)
    word_rep1 = self.params.word_embedding_weights[idx1, :]
    word_rep2 = self.params.word_embedding_weights[idx2, :]
    diff = word_rep1 - word_rep2
    return np.sqrt(np.sum(diff ** 2))

```

### ▼ 3.3 Print the gradients [1pt]

To make your life easier, we have provided the routine `check_gradients`, which checks your gradients using finite differences. You should make sure this check passes before continuing with the assignment. Once `check_gradients()` passes, call `print_gradients()` and include its output in your write-up.

```

def relative_error(a, b):
    return np.abs(a - b) / (np.abs(a) + np.abs(b))

```

```

def check_output_derivatives(model, input_batch, target_batch, mask):
    def softmax(z):
        z = z.copy()
        z -= z.max(-1, keepdims=True)
        y = np.exp(z)
        y /= y.sum(-1, keepdims=True)
        return y

    batch_size = input_batch.shape[0]
    z = np.random.normal(size=(batch_size, model.context_len, model.vocab_size))
    y = softmax(z).reshape((batch_size, model.context_len * model.vocab_size))
    z = z.reshape((batch_size, model.context_len * model.vocab_size))

    expanded_target_batch = model.indicator_matrix(target_batch)
    target_mask = np.expand_dims(mask, axis=2)
    loss_derivative = model.compute_loss_derivative(y, expanded_target_batch, target_

    if loss_derivative is None:
        print('Loss derivative not implemented yet.')
        return False

    if loss_derivative.shape != (batch_size, model.vocab_size * model.context_len):
        print('Loss derivative should be size {} but is actually {}'.format(
            (batch_size, model.vocab_size), loss_derivative.shape))
        return False

    def obj(z):
        z = z.reshape((-1, model.context_len, model.vocab_size))
        y = softmax(z).reshape((batch_size, model.context_len * model.vocab_size))
        return model.compute_loss(y, expanded_target_batch, target_mask)

    for count in range(1000):
        i, j = np.random.randint(0, loss_derivative.shape[0]), np.random.randint(0, 1

        z_plus = z.copy()
        z_plus[i, j] += EPS
        obj_plus = obj(z_plus)

        z_minus = z.copy()
        z_minus[i, j] -= EPS
        obj_minus = obj(z_minus)

        empirical = (obj_plus - obj_minus) / (2. * EPS)
        rel = relative_error(empirical, loss_derivative[i, j])
        if rel > 1e-4:

```

```

    print('The loss derivative has a relative error of {}'.format(rel), which is too large
    return False

```

```

print('The loss derivative looks OK.')
return True

```

```

def check_param_gradient(model, param_name, input_batch, target_batch, mask):
    activations = model.compute_activations(input_batch)
    expanded_target_batch = model.indicator_matrix(target_batch)
    target_mask = np.expand_dims(mask, axis=2)
    loss_derivative = model.compute_loss_derivative(activations.output_layer, expanded_target_batch, target_mask)
    param_gradient = model.back_propagate(input_batch, activations, loss_derivative)

def obj(model):
    activations = model.compute_activations(input_batch)
    return model.compute_loss(activations.output_layer, expanded_target_batch, target_mask)

dims = getattr(model.params, param_name).shape
is_matrix = (len(dims) == 2)

if getattr(param_gradient, param_name).shape != dims:
    print('The gradient for {} should be size {} but is actually {}'.format(
        param_name, dims, getattr(param_gradient, param_name).shape))
    return

for count in range(1000):
    if is_matrix:
        slc = np.random.randint(0, dims[0]), np.random.randint(0, dims[1])
    else:
        slc = np.random.randint(dims[0])

    model_plus = model.copy()
    getattr(model_plus.params, param_name)[slc] += EPS
    obj_plus = obj(model_plus)

    model_minus = model.copy()
    getattr(model_minus.params, param_name)[slc] -= EPS
    obj_minus = obj(model_minus)

    empirical = (obj_plus - obj_minus) / (2. * EPS)
    exact = getattr(param_gradient, param_name)[slc]
    rel = relative_error(empirical, exact)
    if rel > 5e-4:
        print('The loss derivative has a relative error of {}'.format(rel), which is too large
        return False

```

```

print('The gradient for {} looks OK.'.format(param_name))

def load_partially_trained_model():
    obj = pickle.load(open(PARTIALLY_TRAINED_MODEL, 'rb'))
    params = Params(obj['word_embedding_weights'], obj['embed_to_hid_weights'],
                    obj['hid_to_output_weights'], obj['hid_bias'],
                    obj['output_bias'])

    vocab = obj['vocab']
    return Model(params, vocab)

def check_gradients():
    """Check the computed gradients using finite differences."""
    np.random.seed(0)

    np.seterr(all='ignore') # suppress a warning which is harmless

    model = load_partially_trained_model()
    data_obj = pickle.load(open(data_location, 'rb'))
    train_inputs = data_obj['train_inputs']
    input_batch = train_inputs[:100, :]
    mask = model.sample_input_mask(input_batch.shape[0])
    input_batch_masked = input_batch * (1 - mask)

    if not check_output_derivatives(model, input_batch_masked, input_batch, mask):
        return

    for param_name in ['word_embedding_weights', 'embed_to_hid_weights', 'hid_to_outp
                      'hid_bias', 'output_bias']:
        check_param_gradient(model, param_name, input_batch_masked, input_batch, mask)

def print_gradients():
    """Print out certain derivatives for grading."""
    np.random.seed(0)

    model = load_partially_trained_model()
    data_obj = pickle.load(open(data_location, 'rb'))
    train_inputs = data_obj['train_inputs']
    input_batch = train_inputs[:100, :]

    mask = model.sample_input_mask(input_batch.shape[0])
    input_batch_masked = input_batch * (1 - mask)
    activations = model.compute_activations(input_batch_masked)
    expanded_target_batch = model.indicator_matrix(input_batch)
    target_mask = np.expand_dims(mask, axis=2)

```



```

loss_derivative = model.compute_loss_derivative(activations.output_layer, expande
param_gradient = model.back_propagate(input_batch, activations, loss_derivative)

print('loss_derivative[46, 785]', loss_derivative[46, 785])
print('loss_derivative[46, 766]', loss_derivative[46, 766])
print('loss_derivative[5, 42]', loss_derivative[5, 42])
print('loss_derivative[5, 31]', loss_derivative[5, 31])
print()
print('param_gradient.word_embedding_weights[27, 2]', param_gradient.word_embeddi
print('param_gradient.word_embedding_weights[43, 3]', param_gradient.word_embeddi
print('param_gradient.word_embedding_weights[22, 4]', param_gradient.word_embeddi
print('param_gradient.word_embedding_weights[2, 5]', param_gradient.word_embeddin
print()
print('param_gradient.embed_to_hid_weights[10, 2]', param_gradient.embed_to_hid_w
print('param_gradient.embed_to_hid_weights[15, 3]', param_gradient.embed_to_hid_w
print('param_gradient.embed_to_hid_weights[30, 9]', param_gradient.embed_to_hid_w
print('param_gradient.embed_to_hid_weights[35, 21]', param_gradient.embed_to_hid_
print()
print('param_gradient.hid_bias[10]', param_gradient.hid_bias[10])
print('param_gradient.hid_bias[20]', param_gradient.hid_bias[20])
print()
print('param_gradient.output_bias[0]', param_gradient.output_bias[0])
print('param_gradient.output_bias[1]', param_gradient.output_bias[1])
print('param_gradient.output_bias[2]', param_gradient.output_bias[2])
print('param_gradient.output_bias[3]', param_gradient.output_bias[3])

```

```

# Run this to check if your implement gradients matches the finite difference within
# Note: this may take a few minutes to go through all the checks
check_gradients()

```

```

The loss derivative looks OK.
The gradient for word_embedding_weights looks OK.
The gradient for embed_to_hid_weights looks OK.
The gradient for hid_to_output_weights looks OK.
The gradient for hid_bias looks OK.
The gradient for output_bias looks OK.

```

```

# Run this to print out the gradients
print_gradients()

```

```

loss_derivative[46, 785] 0.7137561447745507
loss_derivative[46, 766] -0.9661570033238931
loss_derivative[5, 42] -0.0
loss_derivative[5, 31] 0.0

```

```

param_gradient.word_embedding_weights[27, 2] 0.0
param_gradient.word_embedding_weights[43, 3] 0.011596892511489458
param_gradient.word_embedding_weights[22, 4] -0.0222670623817297
param_gradient.word_embedding_weights[2, 5] 0.0

param_gradient.embed_to_hid_weights[10, 2] 0.3793257091930164
param_gradient.embed_to_hid_weights[15, 3] 0.01604516132110917
param_gradient.embed_to_hid_weights[30, 9] -0.4312854367997419
param_gradient.embed_to_hid_weights[35, 21] 0.06679896665436337

param_gradient.hid_bias[10] 0.023428803123345148
param_gradient.hid_bias[20] -0.024370452378874197

param_gradient.output_bias[0] 0.000970106146902794
param_gradient.output_bias[1] 0.16868946274763222
param_gradient.output_bias[2] 0.0051664774143909235
param_gradient.output_bias[3] 0.15096226471814364

```

### ▼ 3.4 Run model training [Opt]

Once you've implemented the gradient computation, you'll need to train the model. The function *train* implements the main training procedure. It takes two arguments:

- `embedding_dim`: The number of dimensions in the distributed representation.
- `num_hid`: The number of hidden units

As the model trains, the script prints out some numbers that tell you how well the training is going. It shows:

- The cross entropy on the last 100 mini-batches of the training set. This is shown after every 100 mini-batches.
- The cross entropy on the entire validation set every 1000 mini-batches of training.

At the end of training, this function shows the cross entropies on the training, validation and test sets. It will return a *Model* instance.

```

_train_inputs = None
_train_targets = None
_vocab = None

DEFAULT_TRAINING_CONFIG = {'batch_size': 100, # the size of a mini-batch
                           'learning_rate': 0.1, # the learning rate
                           'momentum': 0.9, # the decay parameter for the momentum v
                           'epochs': 50, # the maximum number of epochs to run
                           'init_wt': 0.01, # the standard deviation of the initial

```

```
'context_len': 4, # the number of context words used
'show_training_CE_after': 100, # measure training error a
'show_validation_CE_after': 1000, # measure validation er
}
```

```
def find_occurrences(word1, word2, word3):
    """Lists all the words that followed a given tri-gram in the training set and the
    times each one followed it."""

    # cache the data so we don't keep reloading
    global _train_inputs, _train_targets, _vocab
    if _train_inputs is None:
        data_obj = pickle.load(open(data_location, 'rb'))
        _vocab = data_obj['vocab']
        _train_inputs, _train_targets = data_obj['train_inputs'], data_obj['train_targets']

    if word1 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))
    if word3 not in _vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word3))

    idx1, idx2, idx3 = _vocab.index(word1), _vocab.index(word2), _vocab.index(word3)
    idxs = np.array([idx1, idx2, idx3])

    matches = np.all(_train_inputs == idxs.reshape((1, -1)), 1)

    if np.any(matches):
        counts = collections.defaultdict(int)
        for m in np.where(matches)[0]:
            counts[_vocab[_train_targets[m]]] += 1

        word_counts = sorted(list(counts.items()), key=lambda t: t[1], reverse=True)
        print('The tri-gram "{} {} {}" was followed by the following words in the training set:'.format(
            word1, word2, word3))
        for word, count in word_counts:
            if count > 1:
                print('    {} ({} times)'.format(word, count))
            else:
                print('    {} (1 time)'.format(word))
    else:
        print('The tri-gram "{} {} {}" did not occur in the training set.'.format(word1, word2, word3))
```

```
def train(embedding_dim, num_hid, config=DEFAULT_TRAINING_CONFIG):
    """This is the main training routine for the language model. It takes the parameters
    embedding_dim, num_hid, and config as input. It returns the trained model and the training history.
    The training history is a dictionary with keys 'loss', 'validation_loss', and 'perplexity'.
```

```

THIS IS THE MAIN TRAINING ROUTINE FOR THE LANGUAGE MODEL. IT TAKES TWO PARAMETERS:

    embedding_dim, the dimension of the embedding space
    num_hid, the number of hidden units."""
# For reproducibility
np.random.seed(123)

# Load the data
data_obj = pickle.load(open(data_location, 'rb'))
vocab = data_obj['vocab']
train_inputs = data_obj['train_inputs']
valid_inputs = data_obj['valid_inputs']
test_inputs = data_obj['test_inputs']

# Randomly initialize the trainable parameters
model = Model.random_init(config['init_wt'], vocab, config['context_len'], embedding_dim, num_hid)

# Variables used for early stopping
best_valid_CE = np.infty
end_training = False

# Initialize the momentum vector to all zeros
delta = Params.zeros(len(vocab), config['context_len'], embedding_dim, num_hid)

this_chunk_CE = 0.
batch_count = 0
for epoch in range(1, config['epochs'] + 1):
    if end_training:
        break

    print()
    print('Epoch', epoch)

    for m, (input_batch) in enumerate(get_batches(train_inputs, config['batch_size'])):
        batch_count += 1

        # For each example (row in input_batch), select one word to mask out
        mask = model.sample_input_mask(config['batch_size'])
        input_batch_masked = input_batch * (1 - mask) # We only zero out one word

        # Forward propagate
        activations = model.compute_activations(input_batch_masked)

        # Compute loss derivative
        expanded_target_batch = model.indicator_matrix(input_batch)
        loss_derivative = model.compute_loss_derivative(activations.output_layer,
        loss_derivative /= config['batch_size']

```

```

# Measure loss function
cross_entropy = model.compute_loss(activations.output_layer, expanded_target)
this_chunk_CE += cross_entropy
if batch_count % config['show_training_CE_after'] == 0:
    print('Batch {} Train CE {:.3f}'.format(
        batch_count, this_chunk_CE / config['show_training_CE_after']))
    this_chunk_CE = 0.

# Backpropagate
loss_gradient = model.back_propagate(input_batch, activations, loss_derivative)

# Update the momentum vector and model parameters
delta = config['momentum'] * delta + loss_gradient
model.params -= config['learning_rate'] * delta

# Validate
if batch_count % config['show_validation_CE_after'] == 0:
    print('Running validation...')
    cross_entropy = model.evaluate(valid_inputs)
    print('Validation cross-entropy: {:.3f}'.format(cross_entropy))

    if cross_entropy > best_valid_CE:
        print('Validation error increasing! Training stopped.')
        end_training = True
        break

    best_valid_CE = cross_entropy

print()
train_CE = model.evaluate(train_inputs)
print('Final training cross-entropy: {:.3f}'.format(train_CE))
valid_CE = model.evaluate(valid_inputs)
print('Final validation cross-entropy: {:.3f}'.format(valid_CE))
test_CE = model.evaluate(test_inputs)
print('Final test cross-entropy: {:.3f}'.format(test_CE))

return model

```

Run the training.

```

embedding_dim = 16
num_hid = 128
trained_model = train(embedding_dim, num_hid)

```



```
Epoch 1
Batch 100 Train CE 4.793
Batch 200 Train CE 4.645
Batch 300 Train CE 4.649
Batch 400 Train CE 4.629
Batch 500 Train CE 4.633
Batch 600 Train CE 4.648
Batch 700 Train CE 4.617
Batch 800 Train CE 4.607
Batch 900 Train CE 4.606
Batch 1000 Train CE 4.615
Running validation...
Validation cross-entropy: 4.615
Batch 1100 Train CE 4.615
Batch 1200 Train CE 4.624
Batch 1300 Train CE 4.608
Batch 1400 Train CE 4.595
Batch 1500 Train CE 4.611
Batch 1600 Train CE 4.598
Batch 1700 Train CE 4.577
Batch 1800 Train CE 4.578
Batch 1900 Train CE 4.568
Batch 2000 Train CE 4.589
Running validation...
Validation cross-entropy: 4.589
Batch 2100 Train CE 4.573
Batch 2200 Train CE 4.611
Batch 2300 Train CE 4.562
Batch 2400 Train CE 4.587
Batch 2500 Train CE 4.589
Batch 2600 Train CE 4.587
Batch 2700 Train CE 4.561
Batch 2800 Train CE 4.544
Batch 2900 Train CE 4.521
Batch 3000 Train CE 4.524
Running validation...
Validation cross-entropy: 4.496
Batch 3100 Train CE 4.504
Batch 3200 Train CE 4.449
Batch 3300 Train CE 4.384
Batch 3400 Train CE 4.352
Batch 3500 Train CE 4.324
Batch 3600 Train CE 4.261
Batch 3700 Train CE 4.267
```

```
Epoch 2
Batch 3800 Train CE 4.208
Batch 3900 Train CE 4.168
Batch 4000 Train CE 4.117
Running validation...
Validation cross-entropy: 4.112
```

```
Batch 4100 Train CE 4.105
Batch 4200 Train CE 4.049
Batch 4300 Train CE 4.008
Batch 4400 Train CE 3.986
Batch 4500 Train CE 3.924
```



To convince us that you have correctly implemented the gradient computations, please include the following with your assignment submission:

- ☐ You will submit `a1-code.ipynb` through MarkUs. You do not need to modify any of the code except the parts we asked you to implement.
- ☐ In your writeup, include the output of the function `print_gradients`. This prints out part of the gradients for a partially trained network which we have provided, and we will check them against the correct outputs. **Important:** make sure to give the output of `print_gradients`, **not** `check_gradients`.

## ▼ Part 4: Bias in Word Embeddings (2pts)

Unfortunately, stereotypes and prejudices are often reflected in the outputs of natural language processing algorithms. For example, Google Translate is more likely to translate a non-English sentence to "*He* is a doctor" than "*She* is a doctor" when the sentence is ambiguous. In this section, you will explore how bias enters natural language processing algorithms by implementing and analyzing a popular method for measuring bias in word embeddings.

Note: In AI and machine learning, **bias** generally refers to prior information, a necessary prerequisite for intelligent action. However, bias can be problematic when it is derived from aspects of human culture known to lead to harmful behaviour, such as stereotypes and prejudices.

### ▼ 4.1 WEAT method for detecting bias [1pt]

Word embedding models such as GloVe attempt to learn a vector space where semantically similar words are clustered close together. However, they have been shown to learn problematic associations, e.g. by embedding "man" more closely to "doctor" than "woman" (and vice versa for "nurse"). To detect such biases in word embeddings,

## "Semantics derived automatically from language corpora contain human-like biases"

introduced the Word Embedding Association Test (WEAT). The WEAT test measures whether two *target* word sets (e.g., {programmer, engineer, scientist, ...} and {nurse, teacher, librarian, ...}) have the same relative association to two *attribute* word sets (e.g., man, male, ... and woman, female ...).

There is an excellent blog on bias in word embeddings and the WEAT test [here](#).

In the following section, you will run a WEAT test for a given set of target and attribute words. Specifically, you must implement the function `weat_association_score` and then run the remaining cells to compute the p-value and effect size. Before you begin, make sure you understand the formal definition of the WEAT test given in section 4.1 of the handout.

Run the following cell to download pretrained GloVe embeddings.

```
import gensim.downloader as api

glove = api.load("glove-wiki-gigaword-50")
num_words, num_dims = glove.vectors.shape
print(f"Downloaded {num_words} word embeddings of dimension {num_dims}.")
```

[=====] 100.0% 66.0/66.0MB download  
Downloaded 400000 word embeddings of dimension 50.

Before proceeding, you should familiarize yourself with the `similarity` method, which computes the cosine similarity between two words. You will need this method to implement `weat_association_score`. Some examples are given below.

Can you spot the gender bias between occupations in the examples below?

```
print(glove.similarity("man", "scientist"))
print(glove.similarity("man", "nurse"))
print(glove.similarity("woman", "scientist"))
print(glove.similarity("woman", "nurse"))
```

0.49226817



```
0.5718704
0.43883628
0.715502
```

Below, we define our target words (occupations) and attribute words (A and B). Our target words consist of *occupations*, and our attribute words are *gendered*. We will use the WEAT test to determine if the word embeddings contain gender biases for certain occupations.

```
# Target words (occupations)
occupations = ["programmer", "engineer", "scientist", "nurse", "teacher", "librarian"]
# Two sets of gendered attribute words, A and B
A = ["man", "male", "he", "boyish"]
B = ["woman", "female", "she", "girlish"]
```

- ☐ **TODO:** Implement the following function, `weat_association_score` which computes the association of a word  $w$  with the attribute:

$$s(w, A, B) = \text{mean}_{a \in A} \cos(w, a) - \text{mean}_{b \in B} \cos(w, b)$$

```
def weat_association_score(w, A, B, glove):
    """Given a target word w, the set of attribute words A and B,
    and the GloVe embeddings, returns the association score s(w, A, B).
    """
    ##### YOUR CODE HERE #####
    similarity_A = []
    similarity_B = []

    for word_A in A:
        similarity_A.append(glove.similarity(w, word_A)) # compute the cosine similarit
    for word_B in B:
        similarity_B.append(glove.similarity(w, word_B)) # compute the cosine similarit

    similarity_A = np.array(similarity_A)
    similarity_B = np.array(similarity_B)
    return np.mean(similarity_A) - np.mean(similarity_B) # compute the average and th
    #####
```

Use the following code to check your implementation:

```
np.isclose(weat_association_score("programmer", A, B, glove), 0.019615129)
```

True

Now, compute the WEAT association score for each element of occupations and the attribute sets A and B. Include the printed out association scores in your pdf.

```
# TODO: Print out the weat association score for each occupation
##### YOUR CODE HERE #####
for word in occupations:
    print("The WEAT association score for the word \"{}\" is: {}".format(word, weat_ass
#####

The WEAT association score for the word "programmer" is: 0.01961512863636017
The WEAT association score for the word "engineer" is: 0.05364735424518585
The WEAT association score for the word "scientist" is: 0.06795814633369446
The WEAT association score for the word "nurse" is: -0.09486913681030273
The WEAT association score for the word "teacher" is: -0.01893031597137451
The WEAT association score for the word "librarian" is: -0.024141326546669006
```

## ▼ 4.2 Reasons for bias in word embeddings [0pt]

Based on these WEAT association scores, do the pretrained word embeddings associate certain occupations with one gender more than another? What might cause word embedding models to learn certain stereotypes and prejudices? How might this be a problem in downstream applications?

4.2 Answer: **\*\*TODO: Write Part 4.2 answer here\*\***

## ▼ 4.3 Analyzing WEAT [1pt]

While WEAT makes intuitive sense by asserting that closeness in the embedding space indicates greater similarity, more recent work ([Ethayarajh et al. \[2019\]](#)) has further analyzed the mathematical assertions and found some flaws with this method. Analyzing edge cases is a good way to find logical inconsistencies with any algorithm, and WEAT in particular can behave strangely when A and B contain just one word each.

### ▼ 4.3.1 1-word subsets [0.5 pts]

Find 1-word subsets of the original A and B that reverse the sign of the association score for at least some of the occupations

```
## Original sets provided here for convenience - try commenting out all but one word
# Two sets of gendered attribute words, C and D
C = [# "man",
     "male",
     # "he",
     # "boyish"
     ]
D = [# "woman",
     "female",
     # "she",
     # "girlish"
     ]

# TODO: Print out the weat association score for each word in occupations, with regard
##### YOUR CODE HERE #####
for word in occupations:
    print("The WEAT association score for the word \"{}\" is: {}".format(word, weat_ass))
#####

The WEAT association score for the word "programmer" is: -0.05163091421127319
The WEAT association score for the word "engineer" is: -0.08600875735282898
The WEAT association score for the word "scientist" is: -0.06417012214660645
The WEAT association score for the word "nurse" is: -0.03331434726715088
The WEAT association score for the word "teacher" is: -0.039715707302093506
The WEAT association score for the word "librarian" is: -0.03422388434410095
```

### ▼ 4.3.2 How word frequency affects embedding similarity [0.5 pts]

Consider the fact that the squared norm of a word embedding is linear in the log probability of the word in the training corpus. In other words, the more common a word is in the training corpus, the larger the norm of its word embedding. (See handout for more thorough description)

Briefly explain how this fact might contribute to the results from the previous section when using different attribute words. Provide your answers in no more than three sentences.

*Hint 2: The paper cited above is a great resource if you are stuck.*

4.3 Answer: When we fix the occupational word and compare this word to the attributed word, it may happen to be that the current occupational word co-occurs more often with some attributed word but not the others. In other words, assume that  $\log X_{jj}$  and  $\log X_{kk}$  are the same, the value of  $\log X_{ij}$  and  $\log X_{ik}$  may vary through different  $j, k$ . Therefore, one could play with the set of the attribute words so that by changing the value of  $\log X_{ij}$  and  $\log X_{ik}$ , the WEAT association scores will change from word to word in the attributed set.

### ▼ 4.3.3 Relative association between two sets of target words [0 pts]

In the original WEAT paper, the authors do not examine the association of individual words with attributes, but rather compare the relative association of two sets of target words. For example, are insect words more associated with positive attributes or negative attributes than flower words.

Formally, let  $X$  and  $Y$  be two sets of target words of equal size. The WEAT test statistic is given by:

$$s(X, Y, A, B) = \sum_{x \in X} s(x, A, B) - \sum_{y \in Y} s(y, A, B)$$

Will the same technique from the previous section work to manipulate this test statistic as well? Provide your answer in no more than 3 sentences.

4.3.3 Answer: **TODO: Write 4.3.3 answer here**

### ▼ What you have to submit

Refer to the handout for the checklist

