

Security Solutions



- ☐ All these problems threaten the prosperity and productivity of corporations and individuals.
 - ⇒ Security is increasingly important.
 - ⇒ Two approaches:
 - "Make Water Clear" "使水变清"
 - "Make Water Chaotic" "把水搅混"
- ☐ One security solution: Authentication
 - ⇒ Verify message and user.
- In fact, there is an ever growing need to identify individuals in e-world.
 - -- Should this person be given access to a secure system?
 - -- Does this person have authorization to perform a given transaction?

Lecture 1 - 5

Biometrics Research Centre (UGC/CRC)

User Authentication Problem



Every day, questions like "Who Are You?" that are related to establishing the identity of individuals are asked *millions times*.

PROBLEM 1: (Credit Card)

Each year, billions of dollars are lost through the fraudulent use of credit cards.

PROBLEM 2: (Benefit Care)

A lot of money losses due to the abuse of health care unemployment insurance benefits and welfare systems.

PROBLEM 3: (Immigration)

Many individuals illegally enter the country each year using falsified travel documents.

User Authentication

• User authentication (identity verification)



- Before it can act on your behalf

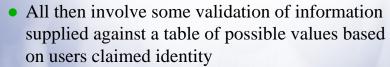
• Sometimes also require that the computer verify its identity with the user

• User authentication: Three methods

What you know

What you have

What you are/do



Lecture 1 - 7

pc012.

Passwords/PINs

What you know

Are/Do Have

Biometrics Research Centre (UGC/CRC) '

Method 1: What You Know

- Passwords or Pass-phrases
- ⇒ Knowledge-based

-- Use "something that you know"

-- Examples: password, PIN

Verify identity by checking that password is correct

- More often use a one-way function, whose output cannot easily be used to find the input value
 - either takes a fixed sized input (e.g., 8 chars)
 - or accept a variable sized input to create the value
- Important that passwords are selected with care to reduce risk of exhaustive search
- Other solution: One-shot (one-time) passwords

Method 2: What You Have

- ⇒ Token-based

 - -- Use "something that you have"
 -- Examples: credit card, smart card, keys
- Verify identity based on possession of some object, often also combined with a password
- Magnetic Card, Magnetic Key
 - possess item with required code value encoded in it
- Smart Card or Calculator
 - may interact with system
 - may require information from use
 - could be used to actively calculat
 - a time dependent password
 - a one-shot password

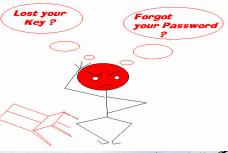


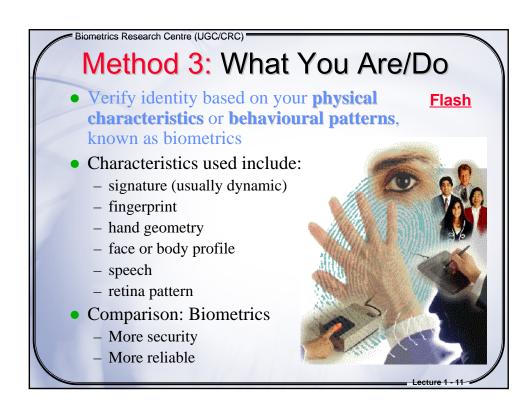
Biometrics Research Centre (UGC/CRC)

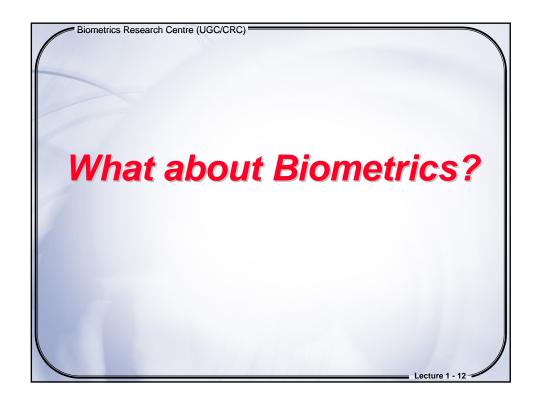
What Problems

- ☐ At the NY Times web site, about 1,000 people per week forget their passwords
- ☐ Common hacker tools can typically guess 30% or more of the passwords on a network
 - Some hackers claim 90% success
- ☐ Tedious, time-consuming, inefficient & expensive









Body Language: Biometrics



- No two people are the same.
- With this in mind, we can use the methods that enable the unique characteristics of eyes, faces and voices to be converted into data keys that enhance security and convenience.

Lecture 1 - 13

Biometrics Research Centre (UGC/CRC)

Biometrics Authentication:Security and Privacy Concerns

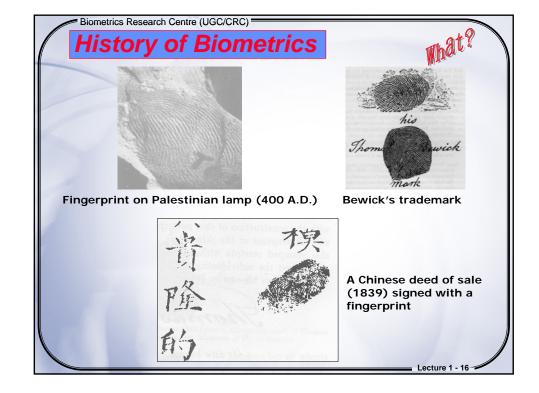
- Biometrics can overcome some of the limitations, hence it offers *greater* security and convenience than traditional methods.
- In some applications, biometrics can **replace** or **supplement** the existing technology. In others, it is the only viable approach.
- Biometrics-based identification is emerging as the most reliable method.

Lacture 1 - 14-

History of Biometrics



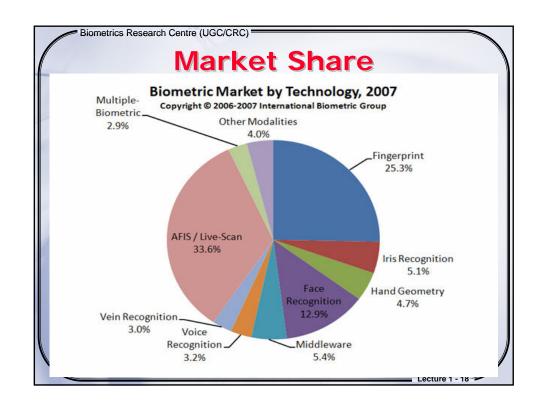
- Biometrics from the worlds "Bio" and "metrics".
 Bio means living things. Metrics means measure.
- Currently, Biometrics stands for measuring human's features for personal identification.
- We have used biometrics more than five thousand years – face recognition
- In the late 19th century, we have used fingerprint for criminal identification.
- We also use signatures for government, legal and commercial transactions long time.



History of Automatic Biometrics



- Since 1960, we started to design automatic methods for biometrics recognition.
 - Automated Fingerprint Identification System 1960
 - Speaker Identification, Science, News Series, vol. 166, no. 3903, 1969.
 - Computer Recognition of Human Faces, T. Kanade, Birkhauser, Basel and Stuttgart, 1977.
 - Retinal Identification," US Patent No. 4109237, 1978.
 - Authentication by Keystroke Timing, Rand Report, R-256, NSF, Rand Corp., 1980.
 - Automatic Signature Verification, IEEE Trans, SMC-C 1983
 - Iris Recognition, J. Daugman, IEEE PAMI 1993
 - Automated personal identification by palmprint, D. Zhang, et al., Optical Engineering, 1998.



Fingerprint Recognition

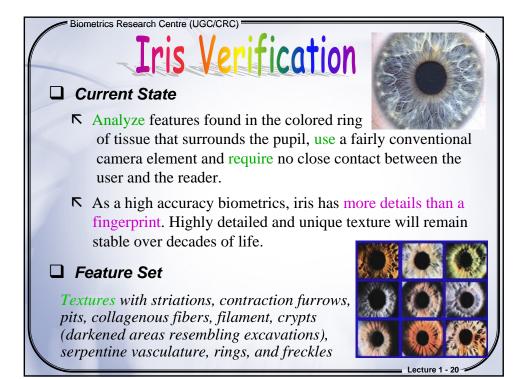
☐ Current State

- Stability and uniqueness: Based on a century of examination, it is estimated that the chance of two people, including twin, having the same print is less than one on a billion.
- Fingerprint identification is the most widespread application in biometrics. First commercial system in 1971.

☐ Feature Set

Minutiae - Endpoint & junction of print ridges, and position, direction & relation between them

Singular Point



Face Recognition

□ Current State

- Face is the most common biometrics. Using the whole face for automatic identification is a complex task because its appearance is constantly changing.
- One effective approach may employ rule-based logic and a neural network for the image classification process. The first face system is introduced in 1992.

☐ Feature Set

Facial geometry -

Size of eye, distance from eye to mouth, middle of mouth to chin, side of eye to cheek, size of mouth, radius vectors and feature points

Lecture 1 - 21

Biometrics Research Centre (UGC/CRC)

3D Hand Geometry

Hand

Current State

- -- It is a simple biometric, which features are obtained by 3D shape. Analysis is based on measurement and comparison of geometries. Usually a verification system with a smart card.
- -- As a biometric application, one hand geometry identification device is commercially available in 1994.

☐ Feature Set

Geometric feature - shape and characteristics of finger/hand like size of palm, finger length, width, area, thick and their relationship between fingers etc



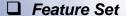
Voice Identification

Voice

Current State

Voice

- ∇ Utilizes the distinctive aspects of the voice to verify the identity of an individual. The least invasive of the biometric recognition technologies and the most natural to use is speech system.
- Have the most potential for growth, because it requires no new hardware most PCs already contain a microphone.
- Just say a phrase, about a second long any language or dialect chosen by the user. A typical case is AT&T Smart Card.



Cadence, frequency, pitch & tone of an individual's voice.



Lecture 1 - 23

Biometrics Research Centre (UGC/CRC)

Signature Recognition

Signature

Current State

Signature

- Analyzes the way a user signs his/her name to measures the physical activity of signing.
- ► It should distinguish between person's habitual parts and those that vary with almost every signing.
- Two methods: **on-line** & **off-line**, where wired pens & sensitive tables are needed for on-line signature.

☐ Feature Set

Behavioral components of the signature, such as shape, velocity, stroke order, off-tablet motion, pen pressure and timing information captured during the act of signing, etc.



Why New Biometrics in the Biometric Family?



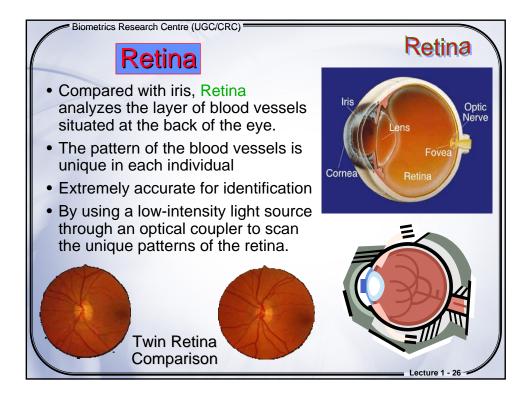
■ Two kinds of biometrics

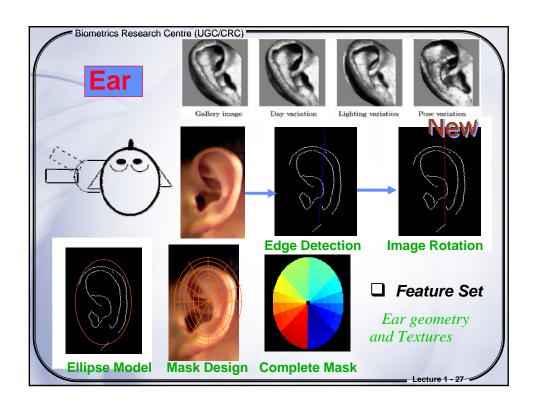
<u>Physical Biometrics</u> *Fingerprint, face, iris, etc.*

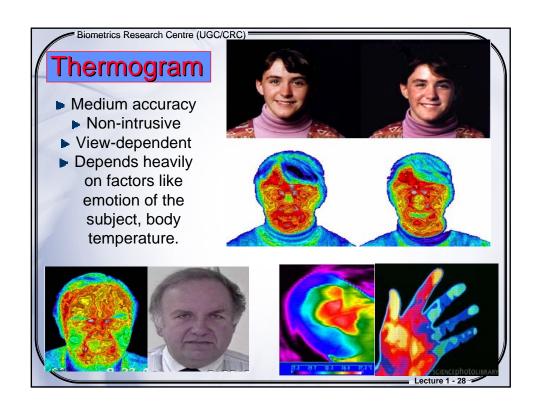
Behavioral Biometrics *Voice, signature, etc.*

⇒ Why do we need new one?

- Two main reasons:
 - -- Current biometric limitations
 - -- Selection of biometrics: Application dependent

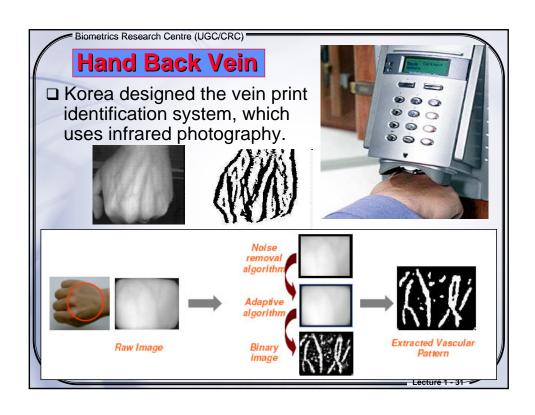


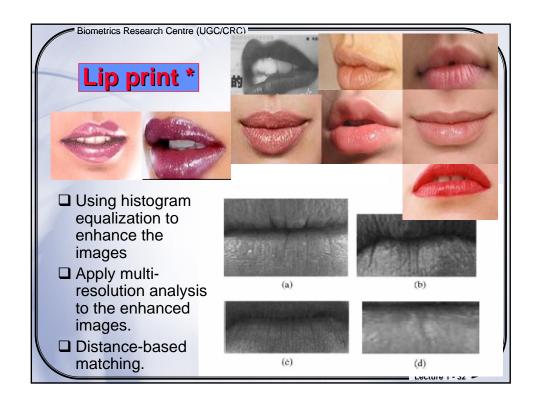


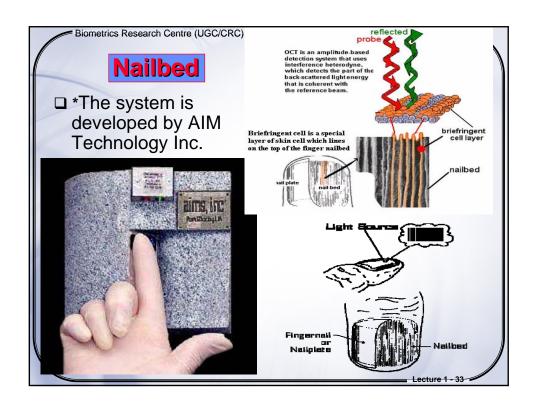


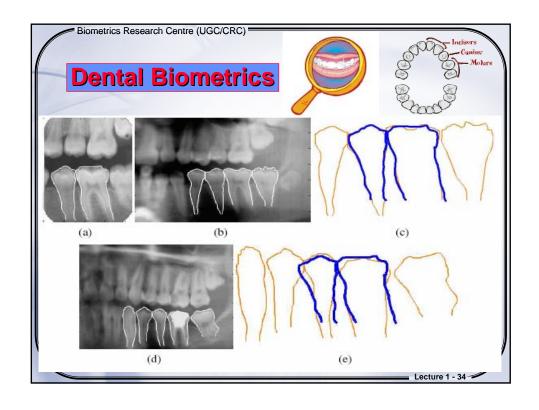


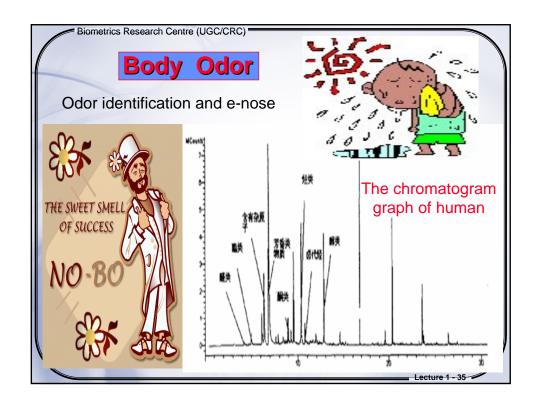


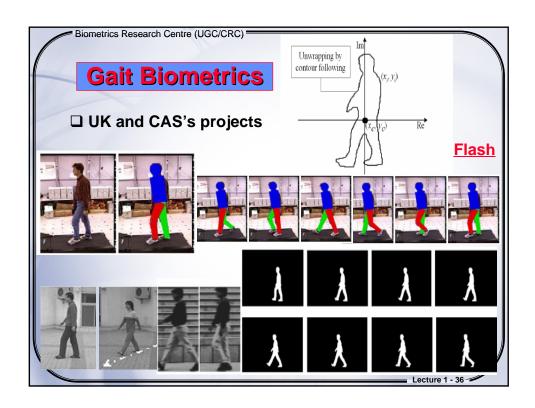


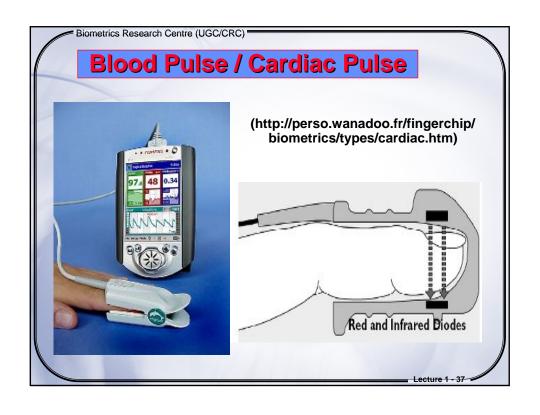














Palm Vein Biometrics

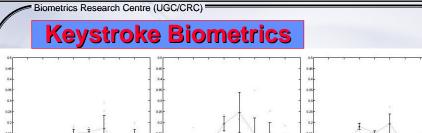
□ A new technology that uses infrared scanners to snap a digital picture of the inside of a person's hand. LiveGrip technology analyzes highly unique internal features

of the human hand such as veins, arteries and fatty tissues.

(http://www.biometricw atch.com/Technolo gies/LiveGrip_recog nition/livegrip.htm)



Lecture 1 - 39



Latencies between keystrokes when writing word "**password**" by three different persons. The word was written several times. The lines represent average latencies and error-bars represent standard deviations.

Possible measurements

Latency between consecutive keystrokes Duration of the keystroke, hold-time Overall typing speed Frequency of errors The habit of using additional keys The force used when hitting keys





Palmprint Biometrics

☐ History

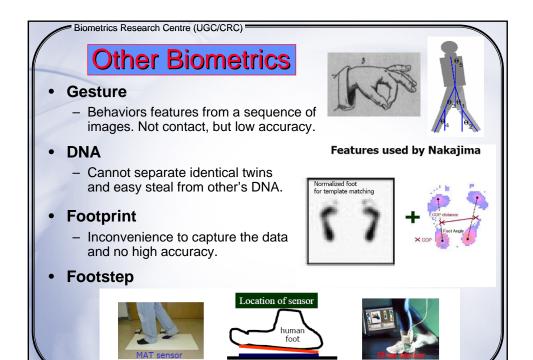
- Palmprint explanation from Chinese Hand Book for fortune telling had been exited over a few thousand years.
- Some useful knowledge about palmprints such as principal lines have been well-defined.
- Some policemen have used palmprints in their inspection for a long time since they are stable physical characteristics.

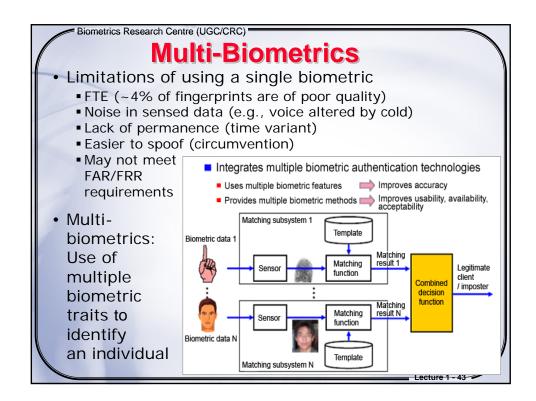


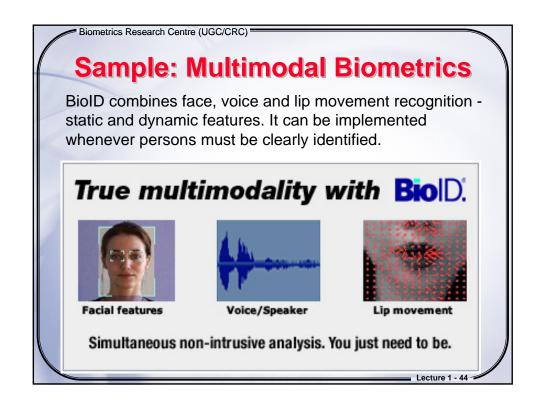


■ New Biometrics

Automated palmprint authentication technology has been developed since 1996 – First research paper (1998); First palmprint identification prototype (2000) and First research book (2004).

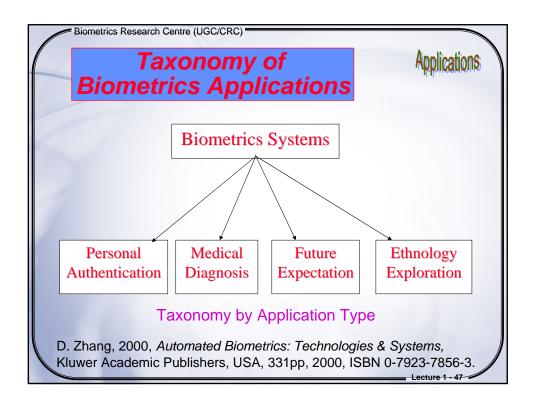












Biometric Applications

Four general classes:

Biometrics

- Access (Cooperative, known subject)
- Logical Access (Access to computer networks, systems, or files)
 - Physical Access (access to physical places or resources)
- Transaction Logging
- Surveillance (Non-cooperative, known subject)
- Forensics (Non-cooperative or unknown subject)
- There are two types of identification system. The first one can reject unknown user. The second one only return a userID, whose feature is the most similar to the input features.
 - The first approach normally uses for commercial applications
 - The second approach normally uses for forensic applications

Selecting Biometrics Technology

- Selection of biometrics technology: Application dependent
- Different technologies may be appropriate for different applications, depending on perceived user profiles, the need to interface with other systems or databases, environmental conditions, and a host of other application-specific parameters

Two kinds of Criteria

User Criteria	Technology Criteria
1. Effort	1. Cost
How much time and effort is required on the part of the	Cost of hardware capture device
user. 2. Intrusiveness	2. Accuracy
How intrusive the users perceives the system to be.	How well the system identifies individuals

Biometrics Research Centre (UGC/CRC)

Issues to Consider

Applications

- 1. Size of user group.
- 2. Place of use and mobility of user.
- 3. Ease of use & user training.
- 4. Error incidence (age, environment, health).
- 5. Security & Accuracy requirement.
- 6. User acceptance, privacy & anonymity.
- 7. Long term stability (mature tech, standard, support).
- 8. Cost -

Research & evaluation
Hardware & Software
Installation & testing
User education & productivity
Exception handling for those who can't use
Maintenance

Applications

Factors for Choosing Biometrics

Distinguished trait

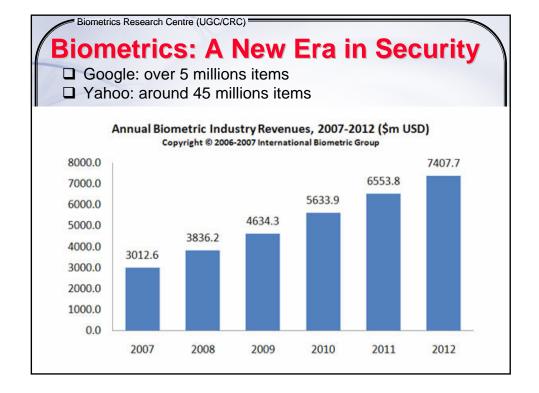
- Is the trait being measured unique?
- i.e. Fingerprints 100 years of history, well accepted
- Height and weight not very unique, subject to change

Easy to sample

- Time needed to collect a sample
- User acceptance: face scan is good, but blood sample is bad
- Cost for sampling equipment

Low system cost

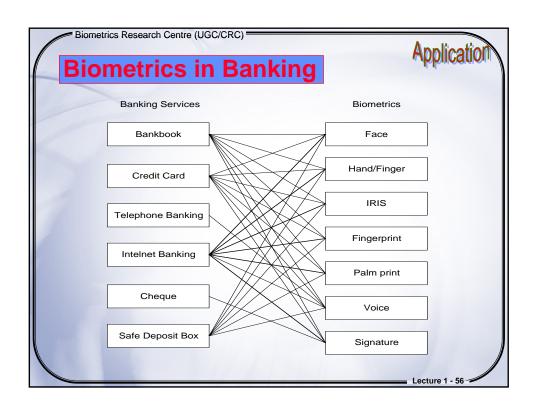
- Total cost of purchasing equipment, enrolling users, etc
- Bottom Line
- Fast and accurate, low-cost, and easy to use.



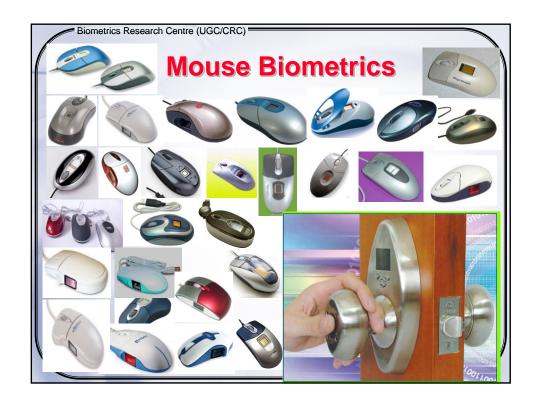


	Biometrics Research Centre (Applications	Applications
	Forensic	Government	Commercial
	Corpse Identification	National ID Card Biometric Passport	ATM Internet Banking
1	Criminal Investigation	Driver's License Voter Registration	Access Control Computer Login
	Parenthood Determination	Welfare Disbursement	Cell Phone
	Missing Children	Border Crossing	E-Commerce Smart Card
			Lecture 1 - 54

Biome	etrics A	pplications Applicati		
Biometrics	Applications	Summary of Application		
Dental	Recognition	Forensics		
DNA	Recognition	Forensics, medicine, genetics		
Fingerprint	Recognition/ Verification	Immigration & naturalization, welfare distribution, military identification, forensics, access control		
Face	Recognition/ Verification	Suspect description & identification, missing persons, licenses, credit card, welfare distribution		
Hand	Verification	Access control, immigration and naturalization, services distribution		
Signature	Recognition/ Verification	Signature verification, identification from handwriting		
Iris	Verification	Access control		
Voice	Recognition/ Verification	Speaker verification, phone service, speaker verification, access control		











Citizen-facing Applications

- EU visa system, the biggest biometric project in the world,
 70 million datasets, fingerprints & faces (under proposed)
- UN tests iris recognition systems on refugee
- Biometric ID cards, Hong Kong/Malaysia, fingerprint & face
- Security in Casino, Foxwoods, facial recognition
- Border security, Germany, face
- Passport, UK government, fingerprint, face, iris (under discussion)



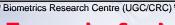




Hand Geometry for Immigration

* All above projects have been reported in Biometric Technology Today.

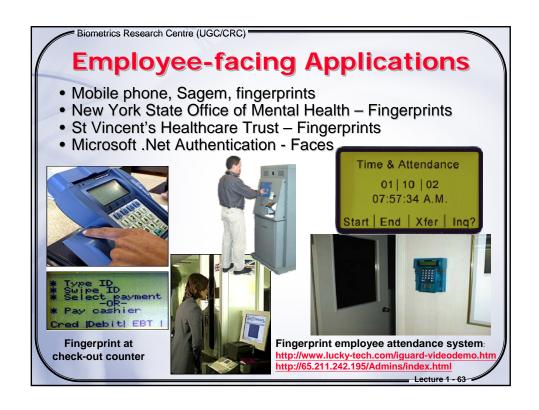
Lecture 1 - 61



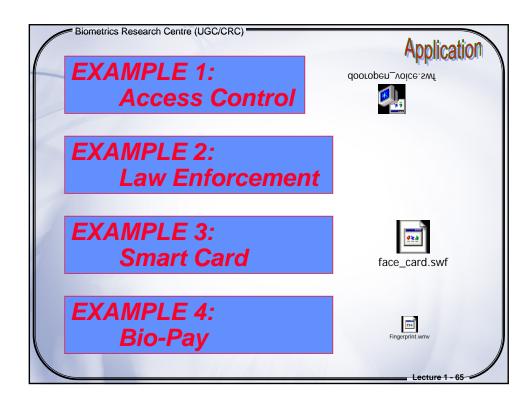
Forensic-facing Applications

- Smart gun, Smith & Wesson, the world's largest handgun manufacturer, fingerprints
- Florida Supreme Court Fingerprints
- Car Security, BMW, fingerprint
- School Security, National School Fitness Foundation, fingerprint.









Popular Biometric Organizations

- Biometric consortium (USA) (http://www.biometrics.org/)
- Association for biometrics (UK) (http://www.afb.org.uk/)
- National Institute of Standards and Technology, Speech Group (USA) (http://www.itl.nist.gov/iad/894.01/)
- National Institute of Standards and Technology, Image Group, fingerprint (USA)
 - (http://www.itl.nist.gov/iad/894.03/databases /defs/nist_nfis.html)
- National Institute Technical Authority for Information Assurance (UK) (http://www.cesq.gov.uk/)
- International Biometric Group (http://www.biometricgroup.com/)
- Biometric Digest (http://www.biodigest.com/)
- Japan Biometric Authentication Association (http://www.biometrics.gr.jp/)

Some Biometric Conferences

- International Conf. on Biometrics, 5-7 Jan 2006, Hong Kong (http://www4.comp.polyu.edu.hk/~icba/)
- Workshop on Multimodal User Authentication, Santa Barbara, CA, USA, Dec 11-12, 2003 (http://mmua.cs.ucsb.edu/)
- Biometric Technology for Human Identification (OR30), SPIE International Symposium on Defense and Security, 12-16 April, 2004, Orlando, USA (http://biometrics.cse.msu.edu/DSS CFP.pdf)
- The Speaker and Language Recognition Workshop, 31 May- 4 June, 2004, Toledo Spain (http://www.odyssey04.org/home.asp)
- The Government Security Expo and Conference, July 28-29, 2004 Washington, DC, USA (http://www.govsecinfo.com/)
- IEEE Symposium on Security and Privacy, May 9-12, 2004, California, USA (http://www.cs.berkeley.edu/~daw/oakland04-cfp.html)
- IEEE Conference on Technologies for Homeland Security, 21-22 April, 2004, Cambridge, MA, USA (http://www.ieeeboston.org/homeland 2004.pdf)
- International Symposium on the Forensic Sciences, Wellington, New Zealand, 28 March-2 April 2004. (http://www.anzfss2004.org.nz/main_page.htm)

Lecture 1 - 67

Biometrics Research Centre (UGC/CRC)

Useful Links

Researchers

http://www.cse.msu.edu/~jain/

http://bias.csr.unibo.it/research/biolab/bio tree.html

http://www.cl.cam.ac.uk/users/jgd1000/

http://www.engr.sjsu.edu/biometrics/index.htm

http://bias.csr.unibo.it/maio/

http://www.citer.wvu.edu/research/index.php http://www.sinobiometrics.com/index.html

Researching Company

http://www.biometricgroup.com/ or http://www.ibgweb.com/

Biometric Glossary

http://www.resonancepub.com/biometricgl.htm http://www.afb.org.uk/docs/glossary.htm

Public Domain

http://directory.google.com/Top/Computers/Security/Biometrics/ http://dmoz.org/Computers/Security/Biometrics/ http://groups.yahoo.com/group/biometrics/messages

Useful Links

BioAPI Consortium

http://www.bioapi.org/

Biometric Identification (Online Resources)

http://www.tml.hut.fi/Opinnot/Tik-

110.501/1998/papers/12biometric/biometric.htm

Fake Fingerprint

http://cryptome.org/fake-prints.htm

Face Recognition Vendor Tests

http://www.frvt.org/

Fingerprint Verification Competitions

http://bias.csr.unibo.it/fvc2000/

http://bias.csr.unibo.it/fvc2002/

http://bias.csr.unibo.it/fvc2004/

Signature Verification Competition

http://www.cs.ust.hk/~dyyeung/

Biometrics Research Centre (UGC/CRC)

Useful Links

http://www.crimescope.com/home.htm (fingerprint and palmprint)

http://www.afix.net/ (fingerprint)

http://www.authentec.com/ (fingerprint)
http://www.biomet.ch/index.htm (fingerprint)

http://www.timetrak.com/text/products/hardware/T016.htm (fingerprint)

http://www.biometricaccess.com/ (fingerprint)

http://www.secugen.com/ (fingerprint)
http://www.sw.nec.co.jp/english/pid_e/mechanism.html (fingerprint matching mechanism)

http://www.identix.com/products/pro_livescan_TPpro.html (fingerprint/ palmprint) http://www.necsolutions-am.com/idsolutions/products/Identification.cfm (fingerprint/ palmprint) http://www.crossmatch.net/products_livescan_id10.html (fingerprint/ palmprint)

http://www.printquest-apis.com/ (fingerprint/ palm)

http://www.iridiantech.com/ (iris) http://www.sensar.com/ (iris)

http://www.eyenetwatch.com/iris/scanners.htm (iris)

http://www.viisage.com/ (face)
http://www-white.media.mit.edu/vismod/demos/facerec/ (face)
http://www.cs.colostate.edu/evalfacerec/index.html (face)

http://www.recogsys.com/products/personal id.htm (hand) http://pr.fujitsu.com/en/news/2003/03/31.html (palm vein)

http://pr.fujitsu.com/en/news/2002/08/28.html (palm vein) http://www.mmigroup.net/en/index_en.php (signature)

http://www.cybersign.com/ (signature) http://www.topazsystems.com/ (signature) http://www.nuance.com/ (speech)

http://www.buytel.com/ (voice) http://www.verivoice.com/ (voice)

http://www.voice-security.com/Biomet.html (voice)

Questions?

- 1. Suppose that you could understand what about biometrics. Do you have any idea to find at least one new biometrics from human body except the current biometrics list in this lecture note?
- 2. Biometrics technology could be a good solution in security problem. Do you think other applications using biometrics? Could you also list at least one application which may use biometrics technology as well?

