

# *In silico* and *in vitro* characterization of anti-amyloidogenic activity of vitamin K3 analogues for Alzheimer's disease

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## ABSTRACT

**Background:** Aggregation of amyloid-beta (A $\beta$ ) has been proposed as the main cause of Alzheimer's disease (AD). Vitamin K deficiency has been linked to the pathogenesis of AD. Therefore, 15 synthesized vitamin K3 (VK3) analogues were studied for their anti-amyloidogenic activity.

**Methods:** Biological and spectroscopic assays were used to characterize the effect of VK3 analogues on amyloidogenic properties of A $\beta$ , such as aggregation, free radical formation, and cell viability. Molecular dynamics simulation was used to calculate the binding affinity and mode of VK3 analogue binding to A $\beta$ .

**Results:** Both numerical and experimental results showed that several VK3 analogues, including VK3-6, VK3-8, VK3-9, VK3-10, and VK3-224 could effectively inhibit A $\beta$  aggregation and conformational conversion. The calculated inhibition constants were in the  $\mu$ M range for VK3-10, VK3-6, and VK3-9 which was similar to the IC<sub>50</sub> of curcumin. Cell viability assays indicated that VK3-9 could effectively reduce free radicals and had a protective effect on cytotoxicity induced by A $\beta$ .

**Conclusions:** The results clearly demonstrated that VK3 analogues could effectively inhibit A $\beta$  aggregation and protect cells against A $\beta$  induced toxicity. Modified VK3 analogues can possibly be developed as effective anti-amyloidogenic drugs for the treatment of AD.

**General significance:** VK3 analogues effectively inhibit A $\beta$  aggregation and are highly potent as anti-amyloidogenic drugs for therapeutic treatment of AD.

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## 1. Introduction

Alzheimer's disease (AD) is the most common form of dementia within the senior population, and is characterized pathologically by the progressive intracerebral accumulation of amyloid-beta (A $\beta$ ) peptides [1,2]. These peptides are proteolytic byproducts of the A $\beta$  protein precursor, and are most commonly composed of 40 (A $\beta$ 1–40) and 42 (A $\beta$ 1–42) amino acids. A $\beta$  peptides appear to be unstructured

in their monomeric state but aggregate to form fibrils with an ordered cross  $\beta$ -sheet pattern [3–6]. Increasing evidence from recent studies indicates that soluble oligomers as well as mature fibrils are the toxic agents [7–9].

Presently there is no cure or treatment for AD, and significant effort has been made to find drugs to cope with this disease. Based on the amyloid cascade hypothesis, small molecules which enable to stabilize the conformation of monomeric A $\beta$  or to inhibit and reverse misfolding and aggregation could be potent drug candidates for the therapeutic treatment of AD [4,5,7,10–13]. In general, two classes of inhibitors are known, bioactive molecules and drugs unrelated to AD [10]. Many of the known compounds such as curcumin [14], polyphenols from wine [15], apomorphine [16], omega-3 fatty acids [17], vitamin A, and  $\beta$ -carotene [18] belong to the first class. The drugs included in the second class are anti-inflammatory [19] and anti-Parkinson agents such as dopamine, selegiline, and L-dopa [20,21].

Moreover, based on their anti-amyloidogenic activities, the compounds which inhibit A $\beta$  aggregation can be further divided into four groups. The strongest anti-amyloidogenic group, including dopamine

**Abbreviations:** A $\beta$ , amyloid-beta; VK, vitamin K; AD, Alzheimer's disease; IC<sub>50</sub>, half maximal inhibitory concentration; ApoE, apolipoprotein E; MD, molecular dynamics; MM-PBSA, molecular mechanics-Poisson–Boltzmann surface area; SI, supplemental information; DCFH-DA, dichlorofluorescein diacetate; DCF, dichlorofluorescein; ThT, thioflavin-T; FT-IR, Fourier-transform infrared; ROS, reactive oxygen species

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and tannic acid, has a half maximal inhibitory concentration ( $IC_{50}$ ) value of 0.01  $\mu M$  [15,20,22]. The second group, including nordihydroguaiaretic acid, curcumin, and myricetin, has an  $IC_{50}$  value of 0.1  $\mu M$  [14,20,23]. The third group, including L-dopa and  $\beta$ -carotene, has an  $IC_{50}$  value of 1  $\mu M$  [18,20]. The fourth group, including tetracycline and rifampicin, has an  $IC_{50}$  value of 10  $\mu M$  [24,25]. The effects of the antioxidant vitamins A, B2, B6, C, and E on the inhibition of A $\beta$  aggregation has been studied [18]. Among these vitamins, vitamin A shows the most potent inhibition of A $\beta$  aggregation *in vitro*. The  $IC_{50}$  of vitamin A is approximately 0.1  $\mu M$  [18], whereas the  $IC_{50}$  for vitamins C and E are much higher, at around 200–500  $\mu M$  for vitamin C [26] and 10  $\mu M$  for vitamin E [21].

The possible role of vitamin K in the pathogenesis of AD was first reported by Allison [27]. When compared to other apolipoprotein E (ApoE 2 and ApoE3) genotypes, the concentration of vitamin K was lower in the circulating blood of ApoE4 carriers, which is a genetic risk factor for late-onset AD. Therefore, it was suggested that vitamin K deficiency may contribute to the pathogenesis of AD and that vitamin K supplementation may have a beneficial effect in preventing or treating AD. Although vitamin K has been shown to regulate functions in the brain, such as sulfotransferase activity, and the activity of a growth factor/tyrosine kinase receptor, the molecular mechanism of action of vitamin K on AD remains unclear. This could be due to a lack of interest because of its neurotoxicity.

In the present study, we experimentally studied the effects of 15 vitamin K3 (VK3) analogues on A $\beta$ 1–40 aggregation and cellular toxicity. Although many VK3 analogues such as VK3–9, VK3–10, and VK3–6 inhibited the aggregation of A $\beta$ 1–40, only VK3–9 was able to protect cells against A $\beta$ 1–40 induced toxicity. The effective dose of VK3–9 was approximately 0.1  $\mu M$ , which is as effective as amyloidogenic compounds such as curcumin [14,15,21]. Further simulation analyses revealed that the electrostatic and van der Waals forces, rather than hydrogen bonding networks, are the key factors governing binding affinities of VK3 analogues to A $\beta$ 1–40. The binding energies of A $\beta$ 1–40–VK3 analogue complexes displayed a high correlation with the experimental aggregation rates. In conclusion, although most VK3 analogues did not protect cells against A $\beta$  induced toxicity, both simulation and experimental results suggest that VK3–9 is a potent compound for preventing aggregation of amyloid peptides. Other VK3 analogues such as VK3–10 and VK3–6 could be further modified for potential use as therapeutic drugs to treat AD.

## 2. Material and methods

### 2.1. Docking of Vitamin K3 analogues to A $\beta$ 1–40

Because A $\beta$  peptides are highly aggregation prone in water, their monomeric structures have not yet been experimentally resolved. Therefore, to obtain suitable A $\beta$ 1–40 structures in an aqueous environment for use in simulation of binding of VK3 analogues, we modeled the A $\beta$  structure in water using the PDB code 1BA4 [28] as the initial structural model. This model is A $\beta$ 1–40 determined in the water-micelle environment. The structure taken from 1BA4 was first heated to  $T = 500$  K. The 5 ns MD simulations [see Supplemental Information (SI) for details on MD simulations] were carried out at this temperature until random coil structures were obtained in explicit water using the GROMOS96 43a1 force field [29]. A random coil structure was used as the starting configuration for subsequent 300 ns MD simulations at  $T = 310$  K. Snapshots collected at equilibrium during the last 200 ns were grouped by the C $\alpha$ -RMSD conformational clustering method implemented in the Gromacs software. With the clustering tolerance of 1 Å, 5 representative structures with the lowest energy (Fig. S3 in SI) were used for further docking of VK3 analogues to A $\beta$ 1–40.

To dock VK3 analogues to full-length A $\beta$ 1–40, both A $\beta$ 1–40 and VK3 analogues were prepared as PDBQT files using AutodockTools 1.5.4 [30]. The Autodock Vina version 1.1 was employed [31], as it is much more

efficient than Autodock 4.0. To describe atomic interactions, a modified version of the CHARMM force field was implemented [32]. In the Autodock Vina software the Broyden–Fletcher–Goldfarb–Shanno method was employed for local optimization [33]. To obtain reliable results, the exhaustiveness of global search was set to 400, and the maximum energy difference between the best and worse binding mode was chosen as 7. Twenty binding modes (20 modes of docking) were generated with random starting positions of each VK3 analogue, which had fully flexible torsional degrees of freedom. The center grids were placed at the center of the mass of A $\beta$ 1–40, and grid dimensions were  $60 \times 40 \times 40$ , which are large enough to cover the entire A $\beta$ 1–40. In this approach, the binding energy was the average of five obtained A $\beta$ 1–40–VK3 analogue complex models (Fig. S5 in SI).

### 2.2. Molecular mechanics–Poisson–Boltzmann surface area

The details of MM-PBSA are given in SI. Overall, in this method the binding free energy ( $\Delta G_{\text{bind}}$ ) of ligand to receptor is given as:

$$\Delta G_{\text{bind}} = \Delta E_{\text{elec}} + \Delta E_{\text{vdw}} + \Delta G_{\text{sur}} + \Delta G_{\text{PB}} - T\Delta S \quad (1)$$

where  $\Delta E_{\text{elec}}$  and  $\Delta E_{\text{vdw}}$  are contributions from electrostatic and van der Waals interactions, respectively.  $\Delta G_{\text{sur}}$  and  $\Delta G_{\text{PB}}$  are nonpolar and polar solvation energies. The entropic contribution of  $T\Delta S$  was estimated using the normal mode approximation (see SI for more details). To calculate  $\Delta G_{\text{bind}}$ , the MD simulations were carried out using the GROMOS force field 43a1 as described in SI. The structures of A $\beta$ 1–40–VK3 analogue complexes obtained in the best docking mode (see Fig. 6 and snapshot 5 in Fig. S4) were used as starting configurations for MD simulations. For each system, 4–6 MD trajectories of approximately 100 ns were generated. Snapshots collected in equilibrium were used to compute the binding free energy given by Eq. (1).

### 2.3. Synthesis of vitamin K3 analogues

The synthesis procedures of vitamin K3 analogues shown in Fig. 1 are described elsewhere [34]. Analogues were kindly provided by Professor C. P. Chen of National Dong Hwa University.

### 2.4. Synthesis and purification of A $\beta$ 1–40

A $\beta$ 1–40 was synthesized in a solid-phase peptide synthesizer (ABI 433A) using standard Fmoc protocols with HMP resin. After cleavage from the resin with a mixture of trifluoroacetic acid/H<sub>2</sub>O/ethanedithiol thiol anisole/phenol, the peptides were extracted with 1:1 (v:v) ether: H<sub>2</sub>O containing 0.1% 2-mercaptoethanol. The synthesized A $\beta$ 1–40 peptides were purified using a C<sub>18</sub> reverse-phase column with a linear gradient from 0% to 78% acetonitrile. Peptide purity was over 95% as

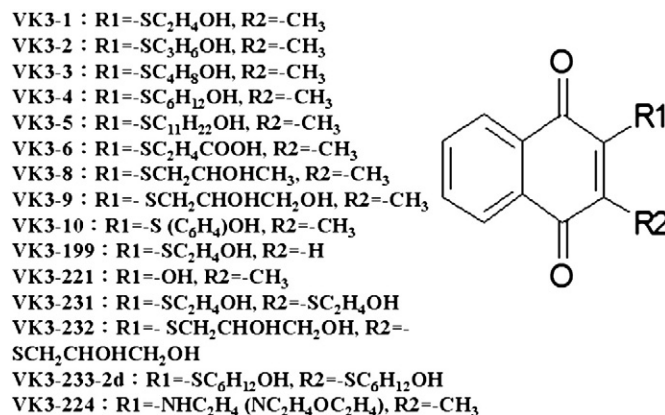


Fig. 1. Structures of the synthesized VK3 analogues.

identified by matrix-assisted laser desorption/ionization-time of flight mass spectrometry as shown in Fig. S1. One milligram of A $\beta$ 1–40 peptide was dissolved in 1000  $\mu$ l trifluoroethanol, and centrifuged (20,000 $\times$  g) to sediment the insoluble particles. This A $\beta$ 1–40 solution was then dried under N<sub>2</sub> gas and resuspended in 1000  $\mu$ l phosphate buffer, pH 7.4, to provide a stock solution, and stored at  $-80^{\circ}\text{C}$  until used.

### 2.5. Free radical assay

The level of free radicals (H<sub>2</sub>O<sub>2</sub>) induced by A $\beta$ 1–40 in cell free conditions was analyzed using the dichlorofluorescein diacetate (DCFH-DA) assay [35]. Dichlorofluorescein (DCF) diacetate was deacetylated with 50% (v/v) 0.05 M NaOH for 30 min and neutralized (pH 7.5) to a final concentration of 200  $\mu$ M as a stock solution. This stock solution was kept on ice in the dark until further use. The reactions were carried out in a 96-well plate (200  $\mu$ l/well) containing 25  $\mu$ M of A $\beta$ 1–40 diluted from the peptide stock solution, 20  $\mu$ M deacetylated DCF, 5  $\mu$ M horseradish peroxidase, in Dulbecco's phosphate-buffered saline, pH 7.5. To determine the inhibitory effects of VK3 analogues on inhibition of free radical formation, VK3 analogues with concentrations of 1–1000 ng/ml were added and incubated at  $37^{\circ}\text{C}$ . Fluorescence readings were recorded on a microplate reader (FlexStation 3, MD) with the excitation wavelength of 485 nm and the emission wavelength of 530 nm. The fluorescence intensity of DCF (H<sub>2</sub>O<sub>2</sub> level) was measured every 6 h and from 0 to 72 h.

### 2.6. Peptide aggregation assay

Thioflavin-T (ThT) was used to monitor the aggregation state of A $\beta$ 1–40. Twenty-five  $\mu$ M of A $\beta$ 1–40 was freshly diluted from peptide stock solution in phosphate buffer, pH 7.4, for peptide aggregation assay. All samples containing a peptide concentration of 25  $\mu$ M in the absence and presence of 100 ng/ml VK3 analogues and 3  $\mu$ M ThT were incubated at  $37^{\circ}\text{C}$ . Samples containing either A $\beta$  peptide only (as a control) or A $\beta$  with VK3 analogues, taken daily from day 0 to day 7, were used to measure the ThT intensity. The fluorescence measurement was performed on a microplate reader (FlexStation 3, MD) at  $37^{\circ}\text{C}$ . The excitation and emission wavelengths were 440 nm and 485 nm, respectively.

### 2.7. Cell cultures

Human blastoma SH-SY5Y cells were cultured in minimum essential medium supplemented with 10% (v/v) heat-inactivated fetal bovine serum, 50% (v/v) F-12 nutrient mixture, and 1% (v/v) antibiotic mixture comprised of penicillin and streptomycin. Cells were kept at  $37^{\circ}\text{C}$  in a humidified atmosphere of 5% CO<sub>2</sub>. SH-SY5Y cells were plated at a density of  $1 \times 10^5$  viable cells per well in 96-well plates for further analyses.

### 2.8. Cell viability assay

The cell viability was measured using the WST-1 assay [36]. Five hundred micromoles of A $\beta$ 1–40 peptide stock solution were initially prepared by dissolving 1 mg of A $\beta$ 1–40 in 1000  $\mu$ l trifluoroethanol and centrifuging to sediment the insoluble particles. This peptide solution was dried under N<sub>2</sub> gas, redissolved in dimethyl sulfoxide, and incubated at  $4^{\circ}\text{C}$  for 12 h to provide the final peptide stock solution [37]. For the viability assay,  $1 \times 10^5$  cells were incubated in a 96-well microtiter plate containing either 25  $\mu$ M incubated A $\beta$  peptides only (as a positive control), diluted from the incubated peptide stock solution, or 25  $\mu$ M incubated A $\beta$  peptides, in the presence of VK3 analogues with concentrations ranging from 1 to 1000 ng/ml. The reaction was in a total volume of 200  $\mu$ l per well for 24 h at  $37^{\circ}\text{C}$  in a humidified atmosphere containing 5% CO<sub>2</sub> before cell viability was assayed. WST-1 solution (10  $\mu$ l) was added to each well, and the wells were incubated for

another 4–5 h at room temperature. The optical density was determined at 450 nm, using a microplate reader (FlexStation 3, MD).

### 2.9. Fourier-transform infrared (FT-IR) spectroscopy

To investigate the secondary structure of A $\beta$ 1–40 with or without VK3 analogues, a FT-IR spectrometer (Jasco, FT-IR/4100) equipped with an attenuated total reflection accessory was used to determine the conformation of A $\beta$ 1–40 during the aggregative process. One hundred microliters of 0.1 mM A $\beta$  solution was coated on ZnSe crystals and dried overnight in a desiccator at room temperature. The spectra were recorded at 1500–1800  $\text{cm}^{-1}$  with a 1  $\text{cm}^{-1}$  interval. The peak was identified from the first derivation of the IR spectrum in the amide I region, and the secondary structure was analyzed using Original 6.0 software.

## 3. Results

### 3.1. A $\beta$ 1–40 aggregation in the presence and absence of VK3 analogues

Although VK3 is a well-known neurotoxic compound [38,39], its structure also contains several features found in some anti-amyloidogenic compounds [10,18–20] and in reactive oxygen species (ROS) scavenging compounds [40]. Therefore, 15 VK3 analogues, based on the fine structure of VK3 and shown in Fig. 1, were synthesized and used for numerical and experimental studies for their ability to inhibit the aggregation and neurotoxicity of A $\beta$ 1–40.

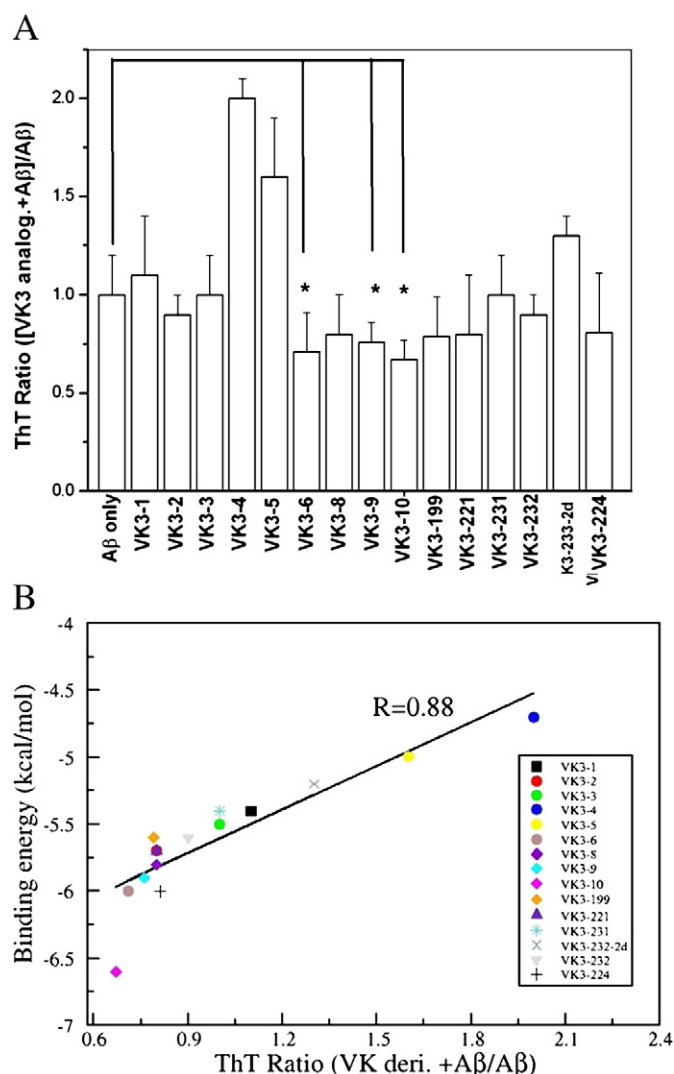
The key hallmark of AD pathogenesis is the formation of toxic A $\beta$ 1–40 plaques in the brain of AD patients [1,2]. Therefore, preventing or reducing the aggregation of A $\beta$  has been the primary goal of a number of therapeutic strategies under development or in clinical trials [11–13]. In the present study, we examined the effects of VK3 analogues on the inhibition of A $\beta$  aggregation using the Th-T fluorescence assay. Fig. 2(A) shows the ratio of Th-T fluorescence intensity of A $\beta$ 1–40 with VK3 analogues/A $\beta$ 1–40 alone at day 5. As shown in Fig. 2(A), several VK3 analogues, including VK3-2, VK3-6, VK3-8, VK3-9, VK3-10, VK3-199, VK3-221, and VK3-224, were found to inhibit the aggregation of A $\beta$ 1–40. Among these VK3 analogues, VK3-10, VK3-6, and VK3-9 were the most effective analogues for the inhibition of A $\beta$ 1–40 aggregation. In contrast, some VK3 analogues such as VK3-1, VK3-4, VK3-5, and VK3-232-2d, and especially VK3-4 and VK3-5 enhanced the aggregation of A $\beta$ 1–40.

### 3.2. Secondary structure of A $\beta$ 1–40 in the presence and absence of VK3 analogues

In the aggregation process, the conformation of A $\beta$ 1–40 is converted from either helix or random coil into  $\beta$ -sheet. Results from the aggregation assay showed that several VK3 analogues can inhibit the aggregation of A $\beta$ 1–40. Therefore, we examined these VK3 analogues to determine if they could also prevent the conformational change of A $\beta$ 1–40. Fig. 3(A–D) show the FT-IR spectra for A $\beta$ 1–40 in the presence of several VK3 analogues at day 1 and day 5. The conformation of A $\beta$ 1–40 in the presence of several VK3 analogues, including VK3-2, VK3-6, VK3-8, VK3-9, VK3-10, VK3-199, VK3-221, and VK3-224, remained largely in a random coil conformation at both day 1 and day 5, as the 1650  $\text{cm}^{-1}$  major peak was an indication of random coil.

In contrast, the 1650  $\text{cm}^{-1}$  peak that appeared in the FT-IR spectra of A $\beta$ 1–40 alone or in the presence of VK3-1, VK3-3, VK3-5, and VK3-232-2d at day 1 shifted to 1625  $\text{cm}^{-1}$  at day 5. This indicated that A $\beta$ 1–40 converted to an extended  $\beta$ -sheet. These results were consistent with the Th-T aggregation assay, in which VK3-6, VK3-9, VK3-10, VK3-199, and VK3-221 inhibited the conformation change of A $\beta$ 1–40.





**Fig. 2.** Aggregation profile for Aβ1–40 in the presence and absence of VK3 analogues. (A) Aggregation of Aβ1–40 in the presence and absence of VK3 analogues determined by using the ratio of Th-T fluorescence intensity of (Aβ1–40 + VK3 analogues)/(Aβ1–40). The fluorescence intensity ratio presented here was obtained at day 5. VK3-2, VK3-6, VK3-8, VK3-9, VK3-10, VK3-199, VK3-221, VK3-232-2d, and VK3-224 are shown to effectively inhibit Aβ1–40 aggregation (\*,  $P < 0.05$ ). (B) Plot of correlation between the binding energy and aggregation index ( $R = 0.88$ ).

### 3.3. Cell viability in the presence and absence of VK3 analogues

Since previous results showed that several VK3 analogues can prevent Aβ aggregation and conformation change, their effects on the Aβ induced toxicity were then examined by cell viability assay. Fig. 4(A) shows the related cell viability in the presence of 100 ng/ml of VK3 analogue, incubated for 24 h. Unlike the results obtained in aggregation and secondary structural studies the results of Fig. 4(A) showed that only VK3-9 effectively reduced Aβ induced cell death at concentrations <100 ng/ml. Further analyses as depicted in Fig. S2 of SI showed that, with VK3-9 the cell viability was affected in a dose-dependent manner. Cell survival rate was increased to 50% with 1 ng/ml VK3-9, to 88% with 100 ng/ml VK3-9, and to 92% with 1000 ng/ml VK3-9.

For other VK3 analogues, including VK3-1, VK3-2, VK3-3, VK3-8, VK3-4, VK3-5, VK3-6, VK3-10, VK3-119, VK3-221, and VK3-231, the cell survival rate of SH-SY5Y cells was even much lower than in the presence of Aβ1–40 alone. The cell viability in the presence of these VK3 analogues were decreased with an increase of VK3 analogue concentration as depicted in Fig. S2 of SI. For VK3-232, VK3-233-2d, and

VK3-224, the cell viability showed no difference compared to that of 25 μM Aβ1–40 alone (as a positive control).

### 3.4. VK3 analogues attenuated Aβ1–40 induced free radical formation

For some VK3 analogues such as VK3-10, the results obtained from cell viability assays were consistent with that obtained from aggregation assays. Because the production of ROS by Aβ peptide has been proposed as one of the possible mechanisms causing cell death [1,2,36], the role of VK3 analogues in free radical generation induced by Aβ1–40 was studied using the DCF assay. Fig. 5(A) shows the DCF fluorescence intensity of Aβ1–40 alone and in the presence of 100 ng/ml VK3 analogues, when incubated for 36 h. In Fig. 5(A), the DCF fluorescence intensity of Aβ1–40 in the presence of VK3-9 and VK3-5 was lower than the intensities with Aβ1–40 alone or with other VK3 analogues.

In comparison with the DCF intensity of Aβ1–40 alone, the DCF fluorescence intensity was decreased by 20% and 15% in the presence of 100 ng/ml VK3-9 and VK3-5, respectively, when incubated for 36 h. The intensity of DCF fluorescence was even further decreased as incubation time increased to 72 h. The DCF fluorescence intensity was further decreased by 25% and 40% for VK3-5 and VK3-9, at 72 h (Fig. S3 in SI), respectively, indicating that the free radical levels induced by Aβ peptides were effectively lowered by VK3-9 and VK3-5. The results obtained from free radical assays for VK3-9 are in agreement with the cell viability and aggregation assays, suggesting that the protection of SH-SY5Y cells against Aβ toxicity may be through the reduction of Aβ aggregation and subsequent induced free radical damage.

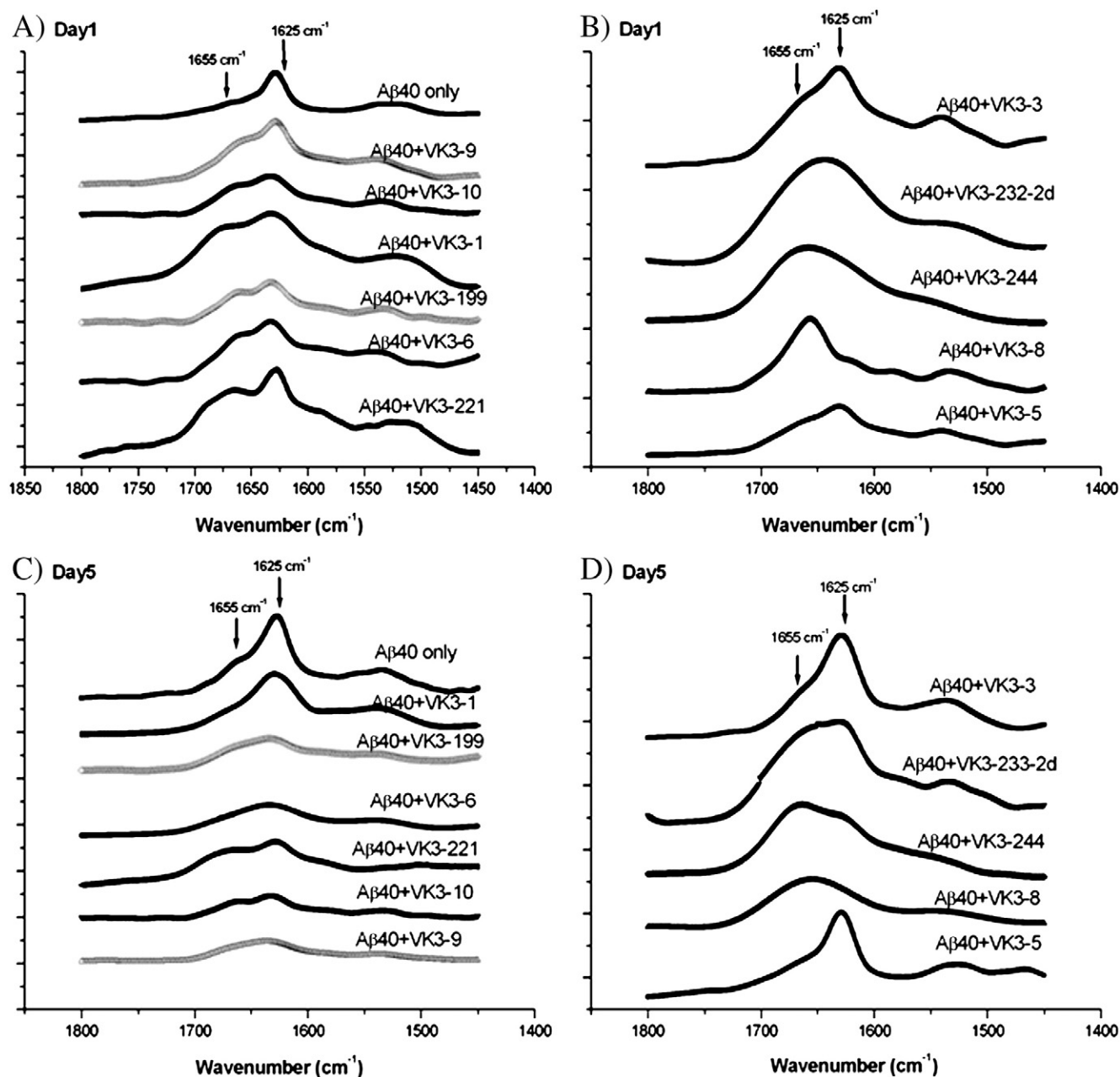
For the other VK3 analogues, the DCF fluorescence intensity of Aβ1–40 in the presence of VK3-1, VK3-2, VK3-3, VK3-8, VK3-10, VK3-199, VK3-231, and VK3-232-2d was even more pronounced than that of Aβ1–40 alone. This suggests that these VK3 analogues may be able to increase free radical levels. The DCF fluorescence intensity of Aβ1–40 in the presence of VK3-4 and VK3-6 was approximately equal to that of Aβ1–40 alone. This result could explain why VK3 analogues, such as VK3-10, prevent Aβ aggregation but fail to protect cells from Aβ induced cell toxicity due to the free radicals produced by these VK3 analogues.

### 3.5. Docking of VK3 analogues to Aβ1–40

Experimental studies showed that VK3-9 was the only VK3 analogue capable of protecting SH-SY5Y cells against Aβ induced toxicity. Other VK3 analogues, such as VK3-10 also inhibited Aβ aggregation more effectively than VK3-9. Therefore, we further analyzed the binding affinities of VK3 analogues to Aβ, to determine if additional VK3 analogues could be used as treatments for AD.

For numerical studies, to mimic the conformation of monomeric Aβ, we simulated the monomeric Aβ1–40 structure in an aqueous environment (see Fig. S4 in SI) and used this representative structure as a model for further docking studies of VK3 analogues to Aβ1–40. Fig. 6 shows the typical structure of Aβ1–40 when binding with various VK3 analogues. The related binding energies are summarized in Table 1.

In general, there are two main VK3 binding pockets in Aβ1–40. The first VK3 binding pocket is located at the central hydrophobic region around GLU 11-VAL 22, and the second binding pocket is located at the interface of the N- and C-terminus (Fig. 6). The VK3 analogues interacting at the first binding pocket include VK3-1, VK3-2, VK3-3, VK3-4, VK3-8, VK3-199, VK3-231, VK3-224, and VK3-232, whereas VK3-5, VK3-6, VK3-9, VK3-10, and VK3-221 may interact at the second binding pocket. The binding site of VK3-233-2d is close to the second binding site where it interacts with ASP 1-PHE 4 and ALA 30-VAL 40. Based on the calculated binding energies, the VK3 analogues located at the second binding pocket interact with Aβ with a higher affinity than the VK3 analogues located at the first binding site.



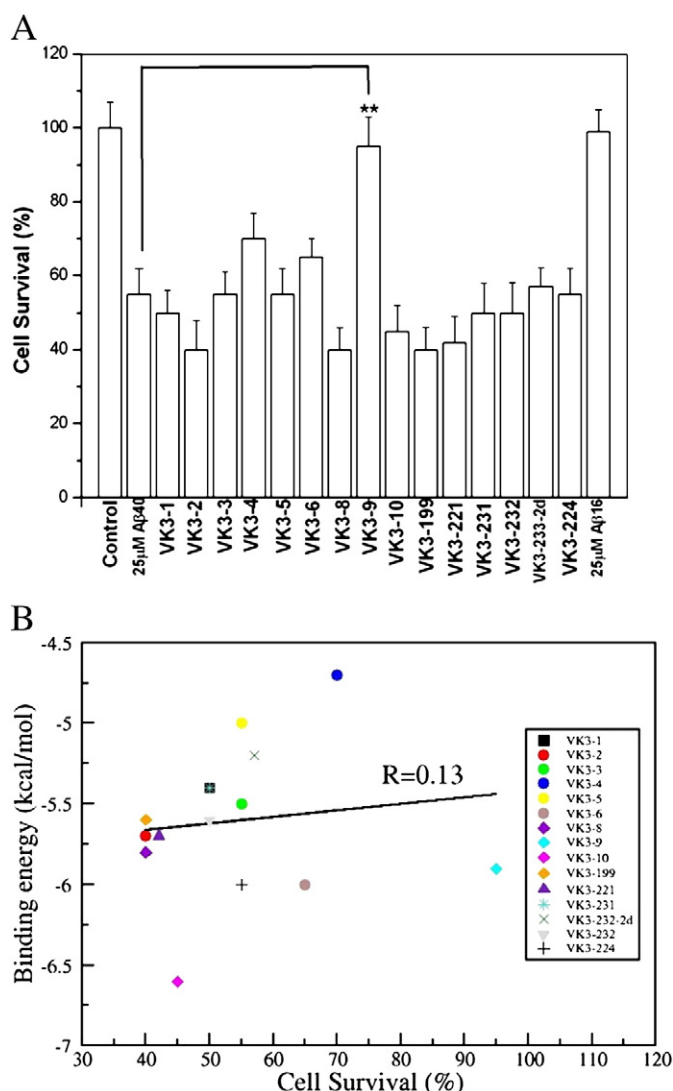
**Fig. 3.** FT-IR spectra of 25  $\mu$ M A $\beta$ 1–40 in the presence of various VK3 analogues at day 1, (A) and (B), and day 5, (C) and (D). The concentration of VK3 analogues is 100 ng/ml. The peak at 1625  $\text{cm}^{-1}$  represents the characteristic of  $\beta$ -sheet conformation.

Furthermore, the VK3 analogues located at the second binding pocket could be divided into two subgroups based on their binding energies. In general, the first subgroup bound to A $\beta$  with a higher affinity than the second group. The first subgroup includes VK3-10, VK3-6, VK3-9, and VK3-221, whereas VK3-5 and VK3-233-2d belong to the second subgroup. Moreover, the binding energy of VK3-10 is the lowest among all VK3 analogues, indicating that this analogue is bound most tightly to A $\beta$ . VK3-10 is surrounded by six residues TYR 10, GLU 11, VAL 12, PHE 20, VAL 36, and VAL 40 which are mainly located in the very central hydrophobic region.

Further analysis of hydrogen bonding patterns for the four typical VK3 analogues, VK3-4, VK3-5, VK3-221, and VK3-10, with different binding energies, is shown in Table I. As shown in Fig. 7(A) and (B), VK3-221 has the highest number of hydrogen bonds, but its binding

affinity is lower than that of VK3-10. VK3-4, VK3-5, and VK3-10 have the same number of hydrogen bonds but their binding affinities are very different, suggesting that hydrogen bonds alone are not the key factor governing the binding affinity of the VK3 analogues to A $\beta$ 1–40. The fact that hydrogen bonding is not a key factor controlling binding affinity of VK3 analogues to A $\beta$  peptides has been also observed in our previous study of  $\beta$ -sheet disrupting peptides [26].

To gain more insight into the binding affinity mechanisms of VK3 analogues to the aggregated form of A $\beta$ , we performed docking studies of VK3 analogues to fibril forms of A $\beta$ , using the structure previously reported [41], as shown in Fig. S8. As with the monomeric structure (Fig. 6), VK3 analogues have different binding positions in the best docking mode (Fig. S8(A)). The binding energies also displayed high correlation with the aggregation indices (Fig. S8(B)) with correlation



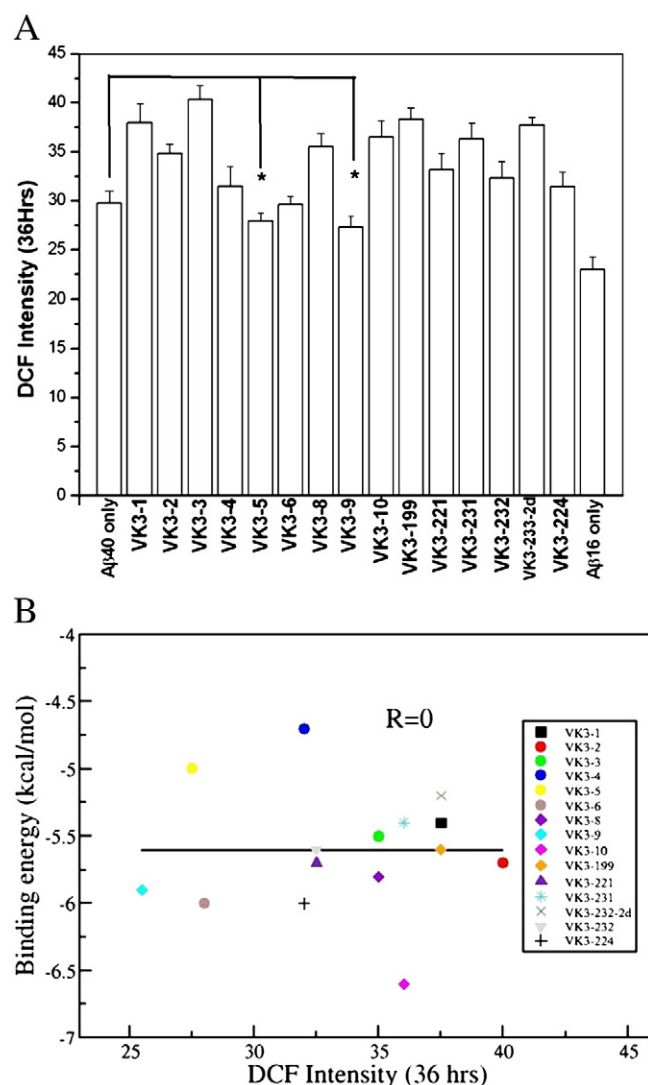
**Fig. 4.** Cell viability assay for the toxicity induced by Aβ1–40 in the presence and absence of VK3 analogues. (A) Relative cell viability assessed by WST-1 assay in 25 μM of Aβ1–40 alone (as positive control) and in 25 μM of Aβ1–40 in the presence of 100 ng/ml of VK3 analogues incubated for 24 h. In the last column, Aβ1–16 which is not toxic to cells was used as a negative control. Results demonstrate that only VK3-9 effectively protect cells against Aβ-induced neurotoxicity (\*\*,  $P < 0.01$ ). (B) The correlation between the binding energy and cell survival rate ( $R = 0.13$ ).

level  $R = 0.75$ . VK3-10 has the highest binding affinity, whereas VK3-9 is ranked fifth, suggesting that these compounds associate strongly with Aβ fibrils.

### 3.6. MM-PBSA simulation

To determine the binding properties, we computed the binding free energy  $\Delta G_{\text{bind}}$  using the more accurate MM-PBSA method, which calculates several other energy terms (see SI for more details). Because MM-PBSA simulation is very time-consuming, we only choose representative compounds VK3-221, VK3-2, VK3-6, VK3-9, and VK3-10 based on previous docking results, and focused on the last two compounds because VK3-10 has the strongest binding affinity, whereas VK3-9, as shown by our results, is the most promising compound.

MD simulations of various times were performed for VK3-221, VK3-2, VK3-6, VK3-9, and VK3-10. As shown in Figs. S6 and S7, the equilibrium of complexes were reached at different times when the interaction energies between VK3 analogues and Aβ1–40 became

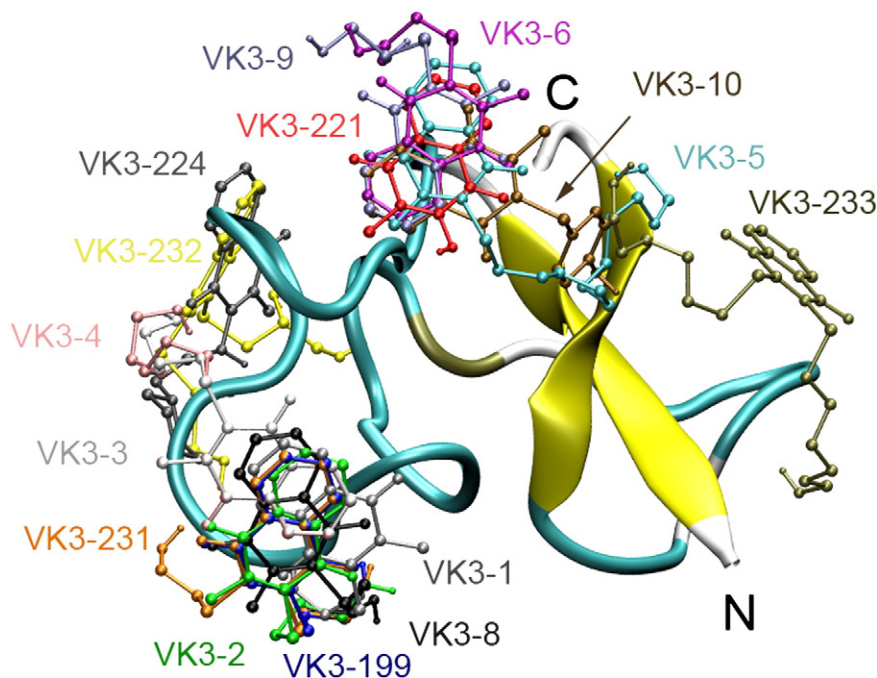


**Fig. 5.** Free radical assay for Aβ1–40 in the presence and absence of VK3 analogues. (A) Characterization of free radical generation for either 25 μM of Aβ1–40 alone or 25 μM of Aβ1–40 in the presence of 100 ng/ml of VK3 analogues, assessed by DCF-fluorescence assay. In the last column, Aβ1–16 which cannot produce free radicals was used as a negative control. Both VK3-9 and VK3-5 inhibit the free radicals induced by Aβ1–40 (\* =  $P < 0.05$ ). (B) The correlation between the binding energy and DCF intensity ( $R = 0.0$ ).

saturated. As an example, for VK3-9, in trajectory 6 the system reached equilibrium within 22 ns, and the equilibration time was approximately 260 ns for the second trajectory (Fig. S6). A similar situation was also observed for VK3-10 (Fig. S7) and for other VK3 analogues (data not shown).

Snapshots collected in an equilibrium state were used to estimate  $\Delta G_{\text{bind}}$  by the MM-PBSA method (see SI for more details). The final results are shown in Table 2. In agreement with docking and experimental results, VK3-9 and VK3-10 have the lowest  $\Delta G_{\text{bind}}$ , indicating that they have higher binding affinities than VK3-221, VK3-2, and VK3-6. With the exception of VK3-221, the entropic contributions are almost the same for the other VK3 analogues (Table 2). For VK3-6 and VK3-10, the contributions of vdW interactions dominated over that of electrostatic forces, and for VK3-9, VK3-221, and VK3-2, the VdW and electrostatic interactions had almost the same contributions. The nonpolar energies,  $\Delta G_{\text{sur}}$ , were not sensitive but this does not apply to the polar energies,  $\Delta G_{\text{PB}}$ .





**Fig. 6.** The best docking mode of VK3 analogue binding sites obtained using Model-5 of A $\beta$ 1–40. The binding site residues which are close to VK3 analogues are listed as follows: **VK3-1:** GLU11, LEU17, VAL18, PHE19, and PHE20; **VK3-2:** GLU11, LEU17, VAL18, PHE19, PHE20, and ALA21; **VK3-3:** GLU11, VAL12, HIS13, HIS14, GLN15, LYS16, PHE19, and PHE20; **VK3-4:** TYR10, GLU11, VAL12, HIS13, HIS14, GLN15, LYS16, PHE19, and PHE20; **VK3-5:** TYR10, GLU11, VAL12, HIS13, GLN15, PHE20, VAL36, VAL39, and VAL40; **VK3-6:** SER8, TYR10, GLU11, VAL12, and HIS13; **VK3-8:** GLU11, LEU17, VAL18, PHE19, PHE20, and ALA21; **VK3-9:** SER8, TYR10, GLU11, VAL12, HIS13, and VAL40; **VK3-10:** TYR10, GLU11, VAL12, PHE20, VAL36, and VAL40; **VK3-199:** GLU11, LEU17, VAL18, PHE19, and PHE20; **VK3-221:** TYR10, GLU11, VAL12, HIS13, and PHE20; **VK3-224:** ASP7, HIS14, LYS16, LEU17, GLU22, ASP23, VAL24, and SER26; **VK3-231:** GLU11, LYS16, LEU17, VAL18, PHE19, PHE20, and ALA21; **VK3-232:** ASP7, HIS14, LYS16, LEU17, VAL18, GLU22, ASP23, VAL24, and SER26; **VK3-233-2d:** ASP1(N), ALA2, PHE4, TYR10, PHE20, ALA30, ILE31, ILE32, VAL36, GLY38, and VAL40.

### 3.7. Correlation of binding energy and experimental properties

The correlation between binding energies and the experimental properties, such as aggregation index, free radical levels, and cell viability are shown in Figs. 2(B), 4(B) and 5(B). As shown, only the aggregation indices and binding energies show a good correlation, and there was no correlation between binding energies and free radical levels or cell viability. The correlation index, R value, of aggregation and binding energy was  $>0.8$ , indicating that VK3 analogues bind to A $\beta$  and inhibit aggregation.

## 4. Discussion

ROS are major toxic species which damage neurons, induce cell death, and which are highly correlated with A $\beta$  aggregation [1,2,36]. The development of anti-amyloidogenic and antioxidant agents has been the main target for the therapeutic treatment of Alzheimer's disease. Numerous studies have focused on identifying effective compounds which inhibit the aggregation of monomeric A $\beta$  or prevent formation of the ROS generated by A $\beta$  [11,13].

The deficiency of vitamin K has been demonstrated to be related to pathogenesis of AD [27,42]. Supplementation of vitamin K may prevent AD [27,42]. Therefore, based on previous studies [27,42], we synthesized 15 VK3 analogues and determined their effects on A $\beta$  induced neurotoxicity using numerical and experimental approaches. Our results show that several VK3 analogues such as VK3-10, VK3-9, and VK3-6 can strongly bind to A $\beta$ 1–40 and inhibit the aggregation of A $\beta$  *in vitro*, but only VK3-9 has a protective effect by reducing A $\beta$  induced toxicity. MD simulation studies show that VK3-10, VK3-9, and VK3-6 strongly interact with A $\beta$  and possibly inhibit its aggregation.

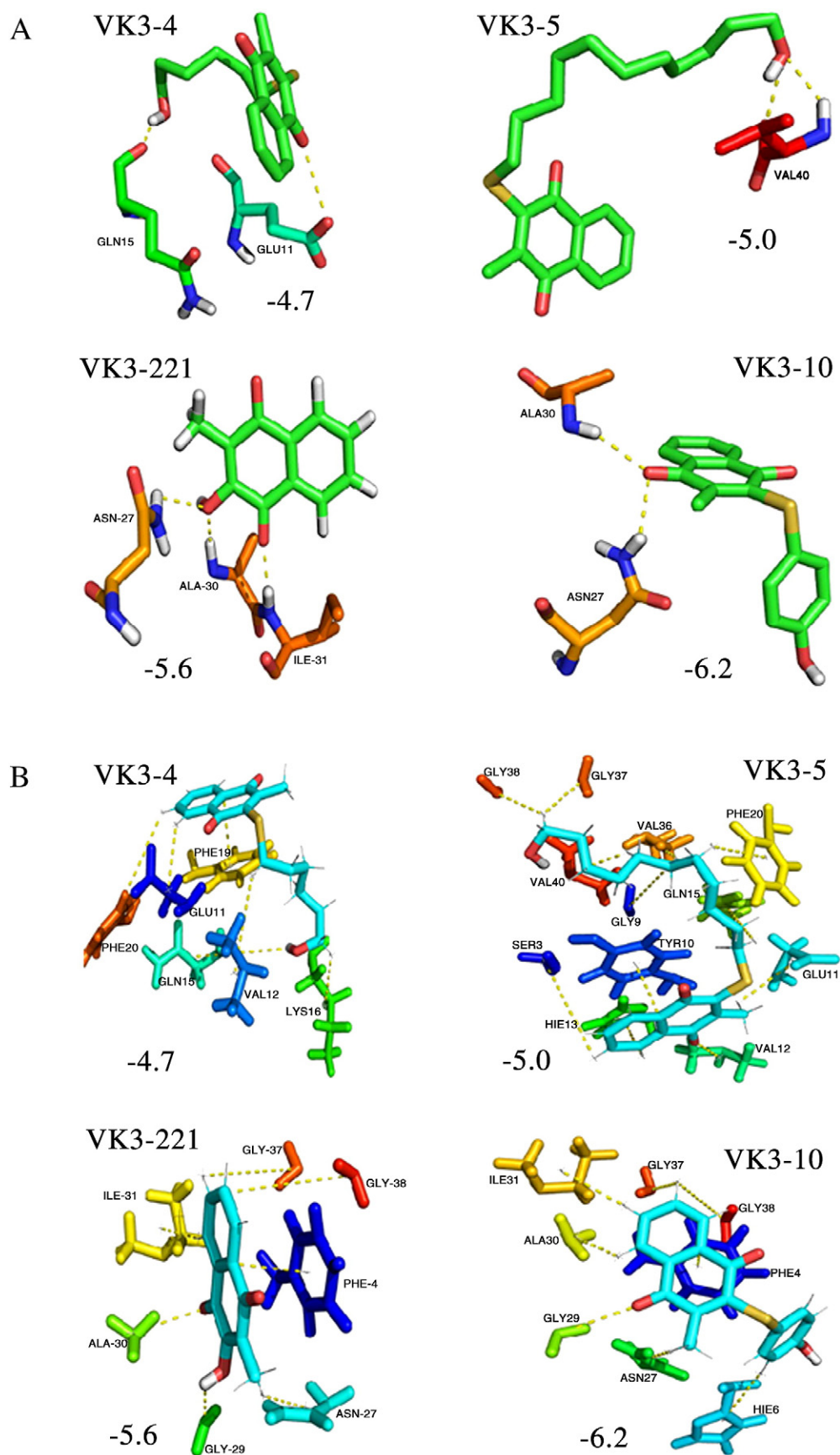
The reason that most VK3 analogues failed to protect SH-Y5Y cells against A $\beta$  induced neurotoxicity may be because most VK3 analogues can also produce free radicals and cause the death of these cells. It is interesting to note that VK3-4 and VK3-5 promoted the aggregation of

A $\beta$ 1–40. A possible reason for the effects of VK3-4 and VK3-5 on A $\beta$  aggregation may be similar to that of Congo Red at low concentrations, which can enhance the aggregation of A $\beta$  into fibrils [43]. However, a detailed mechanism will require further investigation.

For VK3-9, 100 ng/ml was sufficient to protect SH-Y5Y cells against A $\beta$  induced toxicity, and cell survival rate was 90%. Furthermore, VK3-9 alone was not toxic and was capable of inhibiting the free radical generation and aggregation of A $\beta$ . The effective dose of VK3-9 for a 90% cell survival rate was approximately 100 ng/ml ( $\sim 0.36 \mu\text{M}$ ), which was comparable to the  $\text{IC}_{50}$  of curcumin (0.19–0.98  $\mu\text{M}$ ) [14,15,20,21].

The simulation of VK3 analogue docking to A $\beta$  monomer suggests that there are two main VK3 binding sites. One is located at the central hydrophobic region, and the other is located at the N- and C-terminal interfaces (Fig. 6). The strongest VK3 analogues, such as VK3-10 and VK3-9, interact with A $\beta$  at both the central and C-terminal hydrophobic regions, indicating that the hydrophobic region may play a key role in the aggregation and toxicity of A $\beta$ . In the case of mature fibrils, binding sites are more scattered when compared to the monomeric state (Fig. S8). However, VK3-9 and VK3-10 are located at the same position and this is also valid for the monomeric state (Fig. 6). It would be very interesting to verify our prediction on location of binding sites of VK3 analogues by experimental results. It is also important to stress that the simulation provides insights on the binding mechanism, suggesting that the binding of VK3 analogues to A $\beta$  are mainly governed by van der Waals and electrostatic interactions and not by hydrogen bonding interactions. Knowledge of the contributions of each interaction strategy to binding affinity is very useful for designing new potential lead drugs for successful AD treatment. In this regard, MD simulation is a good complement to experiment tools for drug design.

The structural features of VK3 analogues are similar in configuration to other anti-amyloidogenic compounds such as curcumin and myricetin, which contain aromatic moieties [44]. Furthermore, the interaction modes of VK3 analogues with A $\beta$  are very similar to that of curcumin binding to A $\beta$  [43]. Recently, a study of the interaction of A $\beta$ 1–42 and



**Fig. 7.** Typical hydrogen bond networks (A) and side chain contacts (B) for backbone of VK3-4, VK3-5, VK3-221, and VK3-10. There are 6, 12, 7, and 8 side chain contacts for VK3-4, VK3-5, VK3-221, and VK3-10, respectively. Binding energies (in kcal/mol) are shown next to the depictions.



**Table 1**

Binding free energies (in kcal/mol) of 15 VK3 analogues to A $\beta$ 1–40 peptide obtained by the docking method. Experimental results on cell survival rate, free radical generation, and aggregation percentages are also shown.

VK3 analogues	$\Delta G_{\text{bind}}$ (kcal/mol)	Cell survival rate (%)	Free radical generation	Aggregation percentage
VK3-1	−5.4	50	37.5	1.1
VK3-2	−5.7	40	40	0.8
VK3-3	−5.5	55	35	1
VK3-4	−4.7	70	32	2
VK3-5	−5.0	55	27.5	1.6
VK3-6	−6.0	65	28	0.71
VK3-8	−5.8	40	35	0.8
VK3-9	−5.9	95	25.5	0.76
VK3-10	−6.6	45	36	0.67
VK3-119	−5.6	40	37.5	0.79
VK3-221	−5.7	42	32.5	0.8
VK3-231	−5.4	50	36	1
VK3-232	−5.6	50	32.5	0.9
VK3-233-2d	−5.2	57	37.5	1.3
VK3-224	−6	55	32	0.81

VK3, vitamin K3; A $\beta$ , amyloid-beta.

curcumin by solid state nuclear magnetic resonance indicated that curcumin may interact with residues 12 and 17–21 of A $\beta$ 1–42 through an aromatic group [44]. The simulation models of VK3-10 and A $\beta$ 1–40 complexes as shown in Fig. 6(B) also suggest a similar interaction mode as curcumin and A $\beta$ 1–42, in which VK3-9 and VK3-10 may interact with residues of SER 8-PHE 20 of A $\beta$ 1–40 and the aromatic carbons adjacent to the methoxy and/or hydroxy group known to form hydrogen bonds with residues of A $\beta$ 1–40.

Further MM-PBSA simulation also indicates that the binding affinities of these VK3 analogues are also comparable to curcumin. The binding free energies for VK3-9 and VK3-10 are  $\Delta G_{\text{bind}} = -15.41 \pm 3.44$  and  $-12.42 \pm 3.87$  kcal/mol (Table 2), respectively. Within error bars,  $\Delta G_{\text{bind}}$  of VK3-9 and VK3-10 are the same as that obtained by MM-PBSA for curcumin, with  $\Delta G_{\text{bind}} = -14.3$  kcal/mol [45]. This value is very close to the experimental estimate  $\Delta G_{\text{bind}} = -13.3$  kcal/mol [46]. Taken together, our numerical and experimental results show that VK3-9 and VK3-10 are as potent as curcumin in preventing aggregation of A $\beta$ , with inhibition constants of approximately a 0.1  $\mu\text{M}$  range [14].

In conclusion, our present study shows that VK3-9 can effectively inhibit the aggregation of A $\beta$ , reduce the free radicals produced by A $\beta$ , and protect cells against A $\beta$  induced toxicity. Although most VK3 analogues do not have protective effects for cells against A $\beta$  induced toxicity, our simulation study indicates that some of the VK3 analogues, such as VK3-10, have a high potential for further development as anti-amyloidogenic drugs for AD treatment.

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.bbagen.2012.12.026>.

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**Table 2**

Binding free energies (in kcal/mol) of VK3 analogues to A $\beta$ 1–40 peptide obtained by the MM-PBSA method.

VK3 analogues	$\Delta E_{\text{elec}}$	$\Delta E_{\text{vdw}}$	$\Delta G_{\text{sur}}$	$\Delta G_{\text{PB}}$	$-\Delta S$	$\Delta G_{\text{bind}}$
VK3-221	$-13.77 \pm 1.02$	$-17.05 \pm 2.59$	$-2.09 \pm 0.23$	$20.91 \pm 1.87$	$5.88 \pm 0.12$	$-6.12 \pm 3.25$
VK3-2	$-19.59 \pm 8.75$	$-21.76 \pm 5.37$	$-2.73 \pm 0.51$	$24.41 \pm 6.27$	$10.27 \pm 0.47$	$-9.41 \pm 6.30$
VK3-6	$41.66 \pm 22.0$	$-19.99 \pm 5.18$	$-2.49 \pm 0.55$	$-32.15 \pm 24.30$	$9.60 \pm 1.80$	$-3.37 \pm 3.72$
VK3-9	$-29.06 \pm 9.67$	$-27.75 \pm 3.29$	$-3.35 \pm 0.24$	$31.94 \pm 7.85$	$12.81 \pm 0.52$	$-15.41 \pm 3.44$
VK3-10	$-6.83 \pm 2.12$	$-29.31 \pm 6.32$	$-3.21 \pm 0.40$	$14.91 \pm 3.99$	$12.03 \pm 0.48$	$-12.42 \pm 3.87$

MM-PBSA, molecular mechanics-Poisson Boltzmann surface area; VK3, vitamin K3; A $\beta$ , amyloid-beta.

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