

# Quantum Mechanics I - Problem Set 6

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1.

I will use the next identities for the following calculation

$$Fermions \rightarrow aa^\dagger = -a^\dagger a + \underbrace{\{a, a^\dagger\}}_{=1}$$

$$Bosons \rightarrow aa^\dagger = a^\dagger a + \underbrace{[a, a^\dagger]}_{=1}$$

a. We first generally expand the norm

$$\left| \sum_{ij} C_{ij} a_i^\dagger a_j^\dagger |0\rangle \right|^2 = \left| \sum_{ij} C_{ij} (a_j a_i)^\dagger |0\rangle \right|^2 = \sum_{ijkl} C_{ij}^* C_{kl} \langle 0 | a_j a_i a_k^\dagger a_l^\dagger | 0 \rangle$$

Since  $[a_i, a_j^\dagger] = \{a_i, a_j^\dagger\} = \delta_{ij}$ , and  $a_i |0\rangle = 0 \forall i$  all the terms that have three or four different indices are zero.

$$= \sum_{i \neq j} \left[ |C_{ij}|^2 \underbrace{\langle 0 | a_j a_i a_i^\dagger a_j^\dagger | 0 \rangle}_{(1)} + C_{ij}^* C_{ji} \underbrace{\langle 0 | a_j a_i a_j^\dagger a_i^\dagger | 0 \rangle}_{(2)} \right] + \sum_i |C_{ii}|^2 \underbrace{\langle 0 | a_i a_i a_i^\dagger a_i^\dagger | 0 \rangle}_{(3)}$$

Define  $\eta$  to be  $(-1)$  for fermions and  $(+1)$  for bosons

$$(1) = \eta \langle 0 | a_j a_i^\dagger a_i a_j^\dagger | 0 \rangle + \langle 0 | a_j a_j^\dagger | 0 \rangle = \langle 0 | a_j a_i^\dagger a_j^\dagger a_i | 0 \rangle + \eta \langle 0 | a_j^\dagger a_j | 0 \rangle + \langle 0 | 1 | 0 \rangle = 1$$

$$(2) = \eta \langle 0 | a_j a_j^\dagger a_i a_i^\dagger | 0 \rangle = \langle 0 | a_j a_j^\dagger a_i^\dagger a_i | 0 \rangle + \eta \langle 0 | a_j a_j^\dagger | 0 \rangle = \eta$$

For fermion  $(3) = 0$  because  $(a_i^\dagger)^2 |0\rangle = 0$ , calculating for bosons

$$(3) = \langle 0 | a_i a_i^\dagger a_i a_i^\dagger | 0 \rangle + \langle 0 | a_i a_i^\dagger | 0 \rangle = \langle 0 | a_i a_i^\dagger a_i^\dagger a_i | 0 \rangle + 2 \langle 0 | a_i a_i^\dagger | 0 \rangle = 2$$

Now we can combine our calculation to get the final answer

$$\left| \sum_{ij} C_{ij} a_i^\dagger a_j^\dagger |0\rangle \right|^2 = \sum_{ij} (|C_{ij}|^2 + \eta C_{ij}^* C_{ji})$$

b. For fermions we need to demand  $i \neq j \neq k$  to get a state which is not zero. In this case

$$\left| a_i^\dagger a_j^\dagger a_k^\dagger |0\rangle \right|^2 = \langle 0 | a_k a_j a_i a_i^\dagger a_j^\dagger a_k^\dagger | 0 \rangle = \langle 0 | a_k a_j a_j^\dagger a_k^\dagger | 0 \rangle = \langle 0 | a_k a_k^\dagger | 0 \rangle = 1$$

For bosons

$$\begin{aligned} \left| a_i^\dagger a_j^\dagger a_k^\dagger |0\rangle \right|^2 &= \langle 0 | a_k a_j a_i^\dagger a_i a_j^\dagger a_k^\dagger | 0 \rangle (\delta_{ij} + \delta_{ik}) + \langle 0 | a_k a_j a_j^\dagger a_k^\dagger | 0 \rangle \\ &= \langle 0 | a_k a_j a_i^\dagger a_j^\dagger a_i a_k^\dagger | 0 \rangle \delta_{ij} \delta_{ik} + \langle 0 | a_k a_j a_i^\dagger a_k^\dagger | 0 \rangle \delta_{ij} + \langle 0 | a_k a_j a_i^\dagger a_j^\dagger | 0 \rangle \delta_{ik} + \langle 0 | a_k a_j^\dagger a_j a_k^\dagger | 0 \rangle \delta_{jk} + \langle 0 | a_k a_k^\dagger | 0 \rangle \\ &= \langle 0 | a_k a_j a_i^\dagger a_j^\dagger | 0 \rangle \delta_{ij} \delta_{ik} + \langle 0 | a_k a_k^\dagger | 0 \rangle \delta_{ij} + \langle 0 | a_j a_j^\dagger | 0 \rangle \delta_{ik} + \langle 0 | a_k a_j^\dagger | 0 \rangle \delta_{jk} + 1 \\ &= \left( \langle 0 | a_k a_i^\dagger a_j a_j^\dagger | 0 \rangle + \langle 0 | a_k a_k^\dagger | 0 \rangle \right) \delta_{ij} \delta_{ik} + \delta_{ij} + \delta_{ik} + \delta_{jk} + 1 = \left( \langle 0 | a_k a_i^\dagger | 0 \rangle + 1 \right) \delta_{ij} \delta_{ik} + \delta_{ij} + \delta_{ik} + \delta_{jk} + 1 \\ &\Rightarrow \left| a_i^\dagger a_j^\dagger a_k^\dagger |0\rangle \right|^2 = 2\delta_{ij} \delta_{ik} + \delta_{ij} + \delta_{ik} + \delta_{jk} + 1 \end{aligned}$$

"Sanity check" for  $i = j = k$ . Expect to get norm equals 6, and indeed

$$\begin{aligned} (a^\dagger)^3 |0\rangle &= (a^\dagger)^2 |1\rangle = \sqrt{2} a^\dagger |2\rangle = \sqrt{6} |3\rangle \\ \Rightarrow \left| (a^\dagger)^3 |0\rangle \right|^2 &= \langle 0 | 6 | 0 \rangle = 6 \checkmark \end{aligned}$$

2.

$$H = \sum_{\alpha} \epsilon_{\alpha} a_{\alpha}^{\dagger} a_{\alpha} + \frac{1}{2} \sum_{\alpha\beta} \left( V_{\alpha\beta\alpha\beta} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\alpha} a_{\beta} + V_{\alpha\beta\beta\alpha} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\beta} a_{\alpha} \right)$$

a. It is obvious that this Hamiltonian is invariant under  $a_{\alpha} \rightarrow e^{i\theta_{\alpha}} a_{\alpha} \forall \alpha$ .

Claim: the generators of this symmetry are the number operators  $\hat{n}_{\alpha} = a_{\alpha}^{\dagger} a_{\alpha}$ .

Proof: for an infinitesimal change  $a \rightarrow e^{i\varepsilon} a \approx a(1 + \varepsilon)$ . Make an infinitesimal change using the generator

$$a \rightarrow e^{-i\varepsilon \hat{n}} a e^{i\varepsilon \hat{n}} \approx (1 - i\varepsilon \hat{n}) a (1 + i\varepsilon \hat{n}) = a + \varepsilon [a, \hat{n}] = a(1 + \varepsilon)$$

So  $\hat{n}$  is indeed the generator of the symmetry.

We can now use the fact that the generator is related to a conserved quanta, in this case number of particles per state  $\alpha$ , to diagonalize  $H$  in Fock states  $|\{n_{\alpha}\}\rangle$ .

$$\begin{aligned} H |\{n_{\alpha}\}\rangle &= \left[ \sum_{\alpha} \epsilon_{\alpha} n_{\alpha} + \frac{1}{2} \sum_{\alpha \neq \beta} \left( \eta V_{\alpha\beta\alpha\beta} a_{\alpha}^{\dagger} a_{\alpha} a_{\beta}^{\dagger} a_{\beta} + V_{\alpha\beta\beta\alpha} a_{\alpha}^{\dagger} a_{\alpha} a_{\beta}^{\dagger} a_{\beta} \right) + \sum_{\alpha} V_{\alpha\alpha\alpha\alpha} a_{\alpha}^{\dagger} a_{\alpha}^{\dagger} a_{\alpha} a_{\alpha} \right] |\{n_{\alpha}\}\rangle \\ H |\{n_{\alpha}\}\rangle &= \left[ \sum_{\alpha} \epsilon_{\alpha} n_{\alpha} + \frac{1}{2} \sum_{\alpha \neq \beta} (\eta V_{\alpha\beta\alpha\beta} n_{\alpha} n_{\beta} + V_{\alpha\beta\beta\alpha} n_{\alpha} n_{\beta}) + \begin{cases} \sum_{\alpha} V_{\alpha\alpha\alpha\alpha} n_{\alpha} (n_{\alpha} - 1) & \text{bosons} \\ 0 & \text{fermions} \end{cases} \right] |\{n_{\alpha}\}\rangle \end{aligned}$$

With the same definition for  $\eta$  as in q.1.

b.

### Fermions

$$H = \underbrace{\sum_{\alpha} \epsilon_{\alpha} a_{\alpha}^{\dagger} a_{\alpha}}_{H_0} + \frac{1}{2} \sum_{\alpha\beta} \left( V_{\alpha\beta\alpha\beta} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\alpha} a_{\beta} + V_{\alpha\beta\beta\alpha} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\beta} a_{\alpha} \right)$$

Assume a product state  $|\psi\rangle = A \prod_{k=1}^N b_{i_k}^{\dagger} |0\rangle$ ;  $b_{i_k} \equiv \sum_{\alpha} c_{i_k}(\alpha) a_{\alpha}$ ,  $\langle 0 | b_i b_j^{\dagger} | 0 \rangle = \delta_{ij}$ ,  $A$  is an anti-symmetric combination. There exists  $d_{\alpha}(i)$  s.t.  $a_{\alpha} = \sum_i d_{\alpha}(i) b_i$ , rewrite  $H$  using  $b_i, b_i^{\dagger}$

$$H = \sum_{\alpha} \sum_{ij} \epsilon_{\alpha} d_{\alpha}^{*}(i) d_{\alpha}(j) b_i^{\dagger} b_j + \frac{1}{2} \sum_{\alpha\beta} \sum_{ijkl} \left( V_{\alpha\beta\beta\alpha} d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\beta}(k) d_{\alpha}(l) b_i^{\dagger} b_j^{\dagger} b_k b_l + V_{\alpha\beta\alpha\beta} d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\alpha}(k) d_{\beta}(l) b_i^{\dagger} b_j^{\dagger} b_k b_l \right)$$

To calculate  $\langle \psi | H | \psi \rangle$  first calculate

$$\langle \psi | b_i^{\dagger} b_j | \psi \rangle = \delta_{ij} n_i$$

$$\langle \psi | b_i^{\dagger} b_j^{\dagger} b_k b_l | \psi \rangle = n_k n_l (\delta_{jl} \delta_{ik} - \delta_{jk} \delta_{il})$$

$$\Rightarrow \langle \psi | H | \psi \rangle = \sum_{\alpha} \sum_i \epsilon_{\alpha} |d_{\alpha}(i)|^2 n_i + \frac{1}{2} \sum_{\alpha\beta} \sum_{ij} \left[ \begin{array}{l} V_{\alpha\beta\beta\alpha} \left( d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\beta}(i) d_{\alpha}(j) - |d_{\alpha}(i)|^2 |d_{\beta}(j)|^2 \right) \\ + V_{\alpha\beta\alpha\beta} \left( |d_{\alpha}(i)|^2 |d_{\beta}(j)|^2 - d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\alpha}(j) d_{\beta}(i) \right) \end{array} \right] n_i n_j$$

Need to solve  $\delta \left| \langle \psi | H | \psi \rangle - E |\psi|^2 \right| = 0$  for each  $d_{\alpha}(i)$  with  $n_i \neq 0$ . Note that  $E |\psi|^2 = \sum E_i |d_{\alpha}(i)|^2 n_i$ .

$$H.F. \rightarrow \epsilon_{\alpha} d_{\alpha}(i) + \sum_{\beta} \sum_j (V_{\alpha\beta\beta\alpha} - V_{\alpha\beta\alpha\beta}) \left( d_{\beta}^{*}(j) d_{\beta}(i) d_{\alpha}(j) - d_{\alpha}(i) |d_{\beta}(j)|^2 \right) n_j = E_i d_{\alpha}(i)$$

We want a state  $|\psi\rangle$  s.t.  $\langle \psi | H_0 | \psi \rangle = \sum_{i=1}^N \epsilon_i$ . A good guess will be  $b_i^{\dagger} = a_i^{\dagger} \Rightarrow d_{\alpha}(i) = \delta_{i\alpha}$ . Using our guess in H.F. equation

$$\epsilon_i + \sum_j (V_{ijji} - V_{ijij}) n_j = E_i$$

Our guess solved H.F. equation with one particle energy  $E_i$ . So the „optimal” solution in this case is

$$|\psi\rangle = A \prod_{i=1}^N a_i^{\dagger} |0\rangle, \quad E_{\psi} = \sum_{i=1}^N \left[ \epsilon_i + \frac{1}{2} \sum_j (V_{ijji} - V_{ijij}) n_j \right]$$

I used the fact that the energy of a product state is the sum of energies of each state in the product. The  $\frac{1}{2}$  before the second sum is to avoid double summing.

In this case we found that H.F. solved the problem exactly.

### Bosons

Assume a product state  $|\psi\rangle = \left( b_k^{\dagger} \right)^N |0\rangle$ ;  $b_i \equiv \sum_{\alpha} c_i(\alpha) a_{\alpha}$ . There exists  $d_{\alpha}(i)$  s.t.  $a_{\alpha} = \sum_i d_{\alpha}(i) b_i$ , rewrite  $H$  using  $b_i, b_i^{\dagger}$

$$H = \sum_{\alpha} \sum_{ij} \epsilon_{\alpha} d_{\alpha}^{*}(i) d_{\alpha}(j) b_i^{\dagger} b_j + \frac{1}{2} \sum_{\alpha\beta} \sum_{ijkl} \left( V_{\alpha\beta\beta\alpha} d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\beta}(k) d_{\alpha}(l) b_i^{\dagger} b_j^{\dagger} b_k b_l + V_{\alpha\beta\alpha\beta} d_{\alpha}^{*}(i) d_{\beta}^{*}(j) d_{\alpha}(k) d_{\beta}(l) b_i^{\dagger} b_j^{\dagger} b_k b_l \right)$$

To calculate  $\langle \psi | H | \psi \rangle$  first calculate

$$\langle \psi | b_i^{\dagger} b_j | \psi \rangle = \delta_{ij} n_i = N \delta_{ij} \delta_{ik}$$

$$\langle \psi | b_i^{\dagger} b_j^{\dagger} b_n b_l | \psi \rangle = (N^2 - N) \delta_{ij} \delta_{in} \delta_{il} \delta_{ik}$$

$$\Rightarrow \langle \psi | H | \psi \rangle = \sum_{\alpha} \epsilon_{\alpha} |d_{\alpha}(k)|^2 N + \frac{1}{2} \sum_{\alpha\beta} \left[ \begin{array}{l} V_{\alpha\beta\beta\alpha} \left( d_{\alpha}^{*}(k) d_{\beta}^{*}(k) d_{\beta}(k) d_{\alpha}(k) + |d_{\alpha}(k)|^2 |d_{\beta}(k)|^2 \right) \\ + V_{\alpha\beta\alpha\beta} \left( |d_{\alpha}(k)|^2 |d_{\beta}(k)|^2 + d_{\alpha}^{*}(k) d_{\beta}^{*}(k) d_{\alpha}(k) d_{\beta}(k) \right) \end{array} \right] (N^2 - N)$$

Need to solve  $\delta \left| \langle \psi | H | \psi \rangle - E |\psi|^2 \right| = 0$  for each  $d_{\alpha}(i)$  with  $n_i \neq 0$  (only  $i = k$  in this case). Note that  $E |\psi|^2 = E_k |d_{\alpha}(k)|^2 n_k$ .

$$G.P. \rightarrow \epsilon_k d_{\alpha}(k) + \sum_{\beta} (V_{\alpha\beta\beta\alpha} + V_{\alpha\beta\alpha\beta}) d_{\alpha}(k) |d_{\beta}(k)|^2 (N - 1) = E_k d_{\alpha}(k)$$

Again guess  $b_k^{\dagger} = a_k^{\dagger} \Rightarrow d_{\alpha}(k) = \delta_{k\alpha}$ . Using our guess in G.P. equation

$$\epsilon_k + V_{kkkk} (N - 1) = E_k$$

Our guess solved H.F. equation with one particle energy  $E_k$ . So the „optimal” solution in this case is

$$|\psi\rangle = \prod_{i=1}^N a_k^{\dagger} |0\rangle, \quad E_{\psi} = N [\epsilon_k + (N - 1) V_{kkkk}]$$

In this case we found that G.P. didn't solve the problem exactly, it neglected all the options with different particles.

c. Using Heisenberg equations of motion  $\dot{A} = \frac{i}{\hbar} [H, A]$  for the creation and annihilation operators we get

$$\dot{a}_k = \frac{i}{\hbar} \sum_{\alpha} \epsilon_{\alpha} [a_{\alpha}^{\dagger} a_{\alpha}, a_k] + \frac{i}{2\hbar} \sum_{\alpha \neq \beta} \left( V_{\alpha\beta\alpha\beta} [a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\alpha} a_{\beta}, a_k] + V_{\alpha\beta\beta\alpha} [a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\beta} a_{\alpha}, a_k] \right)$$

$$\dot{a}_k = \frac{i}{\hbar} \sum_{\alpha} \epsilon_{\alpha} [\hat{n}_{\alpha}, a_k] + \frac{i}{2\hbar} \sum_{\alpha \neq \beta} (V_{\alpha\beta\beta\alpha} - V_{\alpha\beta\alpha\beta}) [\hat{n}_{\alpha} \hat{n}_{\beta}, a_k]$$

Using  $[AB, C] = A \{B, C\} - \{A, C\} B \Rightarrow [\hat{n}_{\alpha}, a_k] = a_{\alpha}^{\dagger} \{a_{\alpha}, a_k\} - \{a_{\alpha}^{\dagger}, a_k\} a_{\alpha} = -\delta_{\alpha k} a_{\alpha}$

$$\dot{a}_k = -\frac{i}{\hbar} \epsilon_k a_k + \frac{i}{2\hbar} \sum_{\alpha \neq \beta} (V_{\alpha\beta\beta\alpha} - V_{\alpha\beta\alpha\beta}) (\hat{n}_{\alpha} [\hat{n}_{\beta}, a_k] + [\hat{n}_{\alpha}, a_k] \hat{n}_{\beta})$$

$$\dot{a}_k = -\frac{i}{\hbar} \epsilon_k a_k + \frac{i}{2\hbar} \sum_{\alpha \neq \beta} (V_{\alpha\beta\beta\alpha} - V_{\alpha\beta\alpha\beta}) (\delta_{\alpha k} a_{\alpha} \hat{n}_{\beta} + \hat{n}_{\alpha} \delta_{\beta k} a_{\beta}) = \frac{i}{\hbar} \left[ -\epsilon_k + \frac{1}{2} \sum_{\alpha \neq k} \underbrace{(V_{k\alpha k\alpha} - V_{k\alpha\alpha k} + V_{\alpha k \alpha k} - V_{\alpha k k \alpha})}_{\equiv -U_{\alpha k}} \hat{n}_{\alpha} \right] a_k$$

$$a_k(t) = a_k \exp \left\{ -\frac{i}{\hbar} \left[ \epsilon_k + \frac{1}{2} \sum_{\alpha \neq k} U_{\alpha k} \hat{n}_\alpha \right] \right\}$$

And in the same way (or simply by taking h.c. remembering that  $\hat{n}_i^\dagger = \hat{n}_i$ ,  $H^\dagger = H$ ) we get

$$a_k^\dagger(t) = a_k^\dagger \exp \left\{ \frac{i}{\hbar} \left[ \epsilon_k + \frac{1}{2} \sum_{\alpha \neq k} U_{\alpha k} \hat{n}_\alpha \right] \right\}$$

If we rewrite  $H$  using  $a_i(t), a_i^\dagger(t)$  we get the same  $H$ , so we can use the same wave functions and energy spectrum we found in a. Now let us calculate the energy difference of adding one particle to a given  $M$  particles Fock state  $|\{n_k\}\rangle$

$$H = \sum_{\alpha} \epsilon_{\alpha} \hat{n}_{\alpha} + \frac{1}{2} \sum_{\alpha \neq \beta} (-V_{\alpha\beta\alpha\beta} \hat{n}_{\alpha} \hat{n}_{\beta} + V_{\alpha\beta\beta\alpha} \hat{n}_{\alpha} \hat{n}_{\beta})$$

$$H a_l^\dagger(t) |\{n_k\}\rangle = H a_k^\dagger \exp \left\{ \frac{i}{\hbar} \left[ \epsilon_k + \frac{1}{2} \sum_{\alpha \neq k} U_{\alpha k} \hat{n}_{\alpha} \right] \right\} |\{n_k\}\rangle$$

Using the fact that  $[H, \hat{n}_{\alpha}] = 0, \forall \alpha$

$$= \exp \left\{ \frac{i}{\hbar} \left[ \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} \hat{n}_{\alpha} \right] \right\} H a_l^\dagger |\{n_k\}\rangle = \exp \left\{ \frac{i}{\hbar} \left[ \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} \hat{n}_{\alpha} \right] \right\} (a_l^\dagger H + [H, a_l^\dagger]) |\{n_k\}\rangle$$

$$= a_l^\dagger(t) \left[ E_{\{n_k\}} + \left( \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} \hat{n}_{\alpha} \right) \right] |\{n_k\}\rangle = E(a_l^\dagger(t) |\{n_k\}\rangle)$$

So the energy of adding one particle is  $\Delta E = \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} (V_{\alpha k k \alpha} - V_{k \alpha k \alpha} + V_{k \alpha \alpha k} - V_{\alpha k \alpha k}) n_{\alpha}$ .

From H.F. we know that the energy of one particle state is  $\Delta E = \epsilon_i + \sum_j (V_{ijji} - V_{ijij}) n_j$ . So if  $V$  is real (so also symmetric due to the Hamiltonian hermiticity) the energy due to adding one particle is the same as a one particle state energy calculated using the H.F. approximation.

In the same way, the energy difference of taking out a particle will be

$$H a_l(t) |\{n_k\}\rangle = \exp \left\{ -\frac{i}{\hbar} \left[ \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} \hat{n}_{\alpha} \right] \right\} (a_l H + [H, a_l]) |\{n_k\}\rangle$$

$$= a_l(t) \left[ E_{\{n_k\}} - \left( \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} \hat{n}_{\alpha} \right) \right] |\{n_k\}\rangle \Rightarrow \Delta E = - \left( \epsilon_l + \frac{1}{2} \sum_{\alpha \neq l} U_{\alpha l} n_{\alpha} \right)$$

The same (but the sign) as for adding a particle.

### 3.

The Schrodinger equation for a single particle  $\psi(r, t)$  in an external potential  $U(r)$  is

$$i\hbar \frac{\partial}{\partial t} \psi(r, t) = \left( -\frac{\hbar^2}{2m} \nabla^2 + U(r) \right) \psi(r, t)$$

Insert  $\psi = \sqrt{\rho}e^{i\chi}$ ,  $\chi, \rho \in \mathbb{R}$

$$i\hbar \left( \frac{1}{2\sqrt{\rho}} \frac{\partial \rho}{\partial t} + i\sqrt{\rho} \frac{\partial \chi}{\partial t} \right) e^{i\chi} = \left[ -\frac{\hbar^2}{2m} \left( \frac{1}{2\sqrt{\rho}} \nabla^2 \rho - \frac{1}{4\rho^{3/2}} (\nabla \rho)^2 + \sqrt{\rho} \left( i\nabla^2 \chi - (\nabla \chi)^2 \right) + \frac{i}{\sqrt{\rho}} \nabla \rho \nabla \chi \right) + U(r) \sqrt{\rho} \right] e^{i\chi}$$

Multiplying both sides by  $e^{i\chi} \rho^{-1/2}$  to get

$$i\hbar \left( \frac{1}{2\rho} \frac{\partial \rho}{\partial t} + i \frac{\partial \chi}{\partial t} \right) = -\frac{\hbar^2}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 + i\nabla^2 \chi - (\nabla \chi)^2 + \frac{i}{\rho} \nabla \rho \cdot \nabla \chi \right) + U(r)$$

Demanding equality on both real and imaginary parts gives two equations

$$(1) \quad \frac{1}{\rho} \frac{\partial \rho}{\partial t} = -\frac{\hbar}{m} \left( \nabla^2 \chi + \frac{1}{\rho} \nabla \rho \cdot \nabla \chi \right)$$

$$(2) \quad \hbar \frac{\partial \chi}{\partial t} = \frac{\hbar^2}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 - (\nabla \chi)^2 \right) - U(r)$$

Introduce the „velocity field“  $v = \nabla \chi$  into (2)

$$\frac{\partial \rho}{\partial t} = -\frac{\hbar}{m} (\rho \nabla \cdot v + \nabla \rho \cdot v) = -\frac{\hbar}{m} \nabla \cdot (\rho v)$$

So if we define (naturally)  $\frac{\hbar}{m} \rho v = j$  we got

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot j$$

Now by taking  $\nabla(1)$  we can write

$$(3) \quad \hbar \frac{\partial v}{\partial t} = \nabla \left[ \frac{\hbar^2}{4m} \left( \frac{1}{\rho} \nabla^2 \rho - \frac{1}{2\rho^2} (\nabla \rho)^2 - v^2 \right) - U(r) \right]$$

We can rewrite (3) as

$$\frac{\partial v}{\partial t} + \underbrace{\frac{\hbar}{m} v \cdot \nabla v}_{\equiv u} = \nabla \left[ \frac{\hbar}{4m\sqrt{\rho}} \nabla \left( \frac{1}{\sqrt{\rho}} \nabla \rho \right) - \frac{1}{\hbar} U(r) \right]$$

Define  $\nabla P = \rho \nabla \left[ \frac{-\hbar^2}{4m^2\sqrt{\rho}} \nabla \left( \frac{1}{\sqrt{\rho}} \nabla \rho \right) + \frac{1}{m} U(r) \right]$  to get Euler equation

$$\rho \left( \frac{\partial}{\partial t} + u \cdot \nabla \right) u + \nabla P = 0$$

Need to verify that  $P$  as defined is well defined, will do so by integrating over all space

$$P = \int \rho \nabla \left[ \frac{-\hbar^2}{4m^2} \nabla \left( \frac{1}{\rho} \nabla^2 \rho - \frac{1}{2\rho^2} (\nabla \rho)^2 \right) + \frac{1}{m} U(r) \right] dV$$

Using integration by parts to get

$$\begin{aligned} P &= \int \frac{\hbar^2}{4m^2} \left( \frac{1}{\rho} \nabla^2 \rho - \frac{1}{2\rho^2} (\nabla \rho)^2 \right) \nabla \rho + \frac{1}{m} \int \rho \nabla U(r) dV = \int \frac{\hbar^2}{8m^2} \nabla \left( \frac{1}{\rho} (\nabla \rho)^2 \right) + \frac{1}{m} \int \rho \nabla U(r) dV \\ &\Rightarrow P = \frac{\hbar^2}{8m^2 \rho} (\nabla \rho)^2 + \int \frac{\rho}{m} \nabla U(r) dV \end{aligned}$$

So  $P$  we defined was OK. Defining  $F \equiv -\nabla U$  the second term of the pressure looks like „regular” pressure and the  $\hbar$  dependent part can be thought as „quantum” pressure.

We saw that  $\rho$  &  $\nabla\chi$  can be thought of as classical density and velocity that obey Euler equation of fluid mechanics by adding another „quantum” term to the pressure.

4.

a. The time dependent Gross-Pitaevskii equation without external potential and short range two body interaction is

$$i\hbar \frac{\partial}{\partial t} \psi(\mathbf{r}, t) = -\frac{\hbar^2}{2m} \nabla^2 \psi(\mathbf{r}, t) + V_0 |\psi(\mathbf{r}, t)|^2 \psi(\mathbf{r}, t)$$

Inserting  $\psi(\mathbf{r}, t) = \sqrt{\rho(\mathbf{r}, t)} e^{i\chi(\mathbf{r}, t)}$  to GP and using the results from q.3

$$i\hbar \left( \frac{1}{2\rho} \frac{\partial \rho}{\partial t} + i \frac{\partial \chi}{\partial t} \right) = -\frac{\hbar^2}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 + i \nabla^2 \chi - (\nabla \chi)^2 + \frac{i}{\rho} \nabla \rho \cdot \nabla \chi \right) + V_0 \rho$$

$$(1) \quad \frac{\partial \rho}{\partial t} = -\frac{\hbar}{m} (\rho \nabla^2 \chi + \nabla \rho \cdot \nabla \chi)$$

$$(2) \quad \frac{\partial \chi}{\partial t} = \frac{\hbar}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 - (\nabla \chi)^2 \right) - \frac{V_0}{\hbar} \rho$$

To show that  $\rho, \chi$  are canonical variables we need to write the Hamiltonian explicitly

$$H = \int d^3x \psi^* \left( -\frac{\hbar^2}{2m} \nabla^2 + V_0 |\psi|^2 \right) \psi = \int d^3x \left[ \psi^* \frac{\hbar^2}{2m} \nabla^2 \psi + \frac{V_0}{2} |\psi|^4 \right]$$

Integration by part yields

$$H = \int d^3x \left[ \frac{\hbar^2}{2m} \nabla \psi^* \nabla \psi + \frac{V_0}{2} |\psi|^4 \right]$$

$$\nabla \psi = \left( \frac{1}{2\sqrt{\rho}} \nabla \rho + \sqrt{\rho} i \nabla \chi \right) e^{i\chi} \Rightarrow \nabla \psi^* \nabla \psi = \left( \frac{1}{4\rho} (\nabla \rho)^2 + \rho (\nabla \chi)^2 \right)$$

So we can write  $H$  for  $\rho, \chi$

$$H = \int d^3x \left[ \frac{\hbar^2}{2m} \left( \frac{1}{4\rho} (\nabla \rho)^2 + \rho (\nabla \chi)^2 \right) + \frac{V_0}{2} \rho^2 \right]$$

Taking the variation of  $H$

$$\delta H = \int d^3x \left[ \frac{\hbar^2}{2m} \left( \frac{1}{4(\rho + \delta\rho)} (\nabla(\rho + \delta\rho))^2 + (\rho + \delta\rho) (\nabla(\chi + \delta\chi))^2 \right) + \frac{V_0}{2} (\rho + \delta\rho)^2 \right] - H(\rho, \chi)$$

$$\delta H = \int d^3x \left[ \frac{\hbar^2}{2m} \left\{ \frac{1}{4\rho} \left( 1 - \frac{\delta\rho}{\rho} \right) \left( (\nabla \rho)^2 + 2\nabla \rho \nabla(\delta\rho) \right) + (\rho + \delta\rho) \left( (\nabla \chi)^2 + 2\nabla \chi \nabla(\delta\chi) \right) \right\} + \frac{V_0}{2} (\rho^2 + 2\rho\delta\rho) \right] - H(\rho, \chi)$$

Using integration by parts

$$\int \frac{1}{2\rho} \nabla \rho \nabla(\delta\rho) = - \int \nabla \left( \frac{1}{2\rho} \nabla \rho \right) \delta\rho = \int \left( \frac{1}{2\rho^2} (\nabla \rho)^2 - \frac{1}{2\rho} \nabla^2 \rho \right) \delta\rho$$

$$\int 2\rho \nabla \chi \nabla (\delta \chi) = - \int 2 (\nabla \rho \nabla \chi + \rho \nabla^2 \chi) \delta \chi$$

We find

$$\delta H = \int d^3x \frac{\hbar^2}{2m} \left[ \left( \frac{1}{4\rho^2} (\nabla \rho)^2 - \frac{1}{2\rho} \nabla^2 \rho + (\nabla \chi)^2 + \frac{2mV_0}{\hbar^2} \rho \right) \delta \rho - 2 (\nabla \rho \nabla \chi + \rho \nabla^2 \chi) \delta \chi \right]$$

Recall Hamilton equation of motion  $\dot{q} = \frac{\delta H}{\delta p}$ ,  $\dot{p} = -\frac{\delta H}{\delta q}$ , so for  $q \rightarrow \rho$ ,  $q \rightarrow \chi$  we get

$$\begin{aligned} \frac{1}{\hbar} \frac{\delta H}{\delta \chi} &= -\frac{\hbar}{m} (\nabla \rho \nabla \chi + \rho \nabla^2 \chi) \underbrace{=}_{(1)} \dot{\rho} \\ -\frac{\delta H}{\delta \rho} &= \hbar \left[ \frac{\hbar}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 - (\nabla \chi)^2 \right) - \frac{V_0}{\hbar} \rho \right] \underbrace{=}_{(2)} \hbar \dot{\chi} \end{aligned}$$

Defining  $\chi' = \hbar \chi \Rightarrow$

$$\dot{\rho} = \frac{\delta H}{\delta \chi'}, \quad \chi' = -\frac{\delta H}{\delta \rho}$$

Looking for uniform stationary solution ( $\nabla \chi' = \nabla \rho = 0$ )

$$\frac{\partial \rho}{\partial t} = 0 \Rightarrow \rho = \rho_0; \quad \frac{\partial \chi'}{\partial t} = -V_0 \rho_0 \Rightarrow \chi' = -V_0 \rho_0 t + \chi_0$$

Using the time independent Hamiltonian

$$\underbrace{-\frac{\hbar^2}{2m} \nabla^2 \psi(t) + V_0 |\psi(t)|^2 \psi(t)}_{=0} = E \psi \Rightarrow V_0 \rho_0^{3/2} = E \rho_0^{1/2}$$

$$\rho = \rho_0 = \frac{E}{V_0}; \quad \chi = -\frac{E}{\hbar} t + \chi_0$$

For small oscillations  $\rho = \rho_0 + \delta \rho$ ,  $\chi = -\frac{E}{\hbar} t + \chi_0 + \delta \chi$  the equations of motion (up to first order in  $\delta \chi, \delta \rho$ ) take the form of

$$\begin{aligned} \frac{\partial (\delta \rho)}{\partial t} &= -\frac{\hbar}{m} \left( (\rho_0 + \delta \rho) \nabla^2 \left( -\frac{E}{\hbar} t + \chi_0 + \delta \chi \right) + \nabla ((\rho_0 + \delta \rho)) \cdot \nabla \left( -\frac{E}{\hbar} t + \chi_0 + \delta \chi \right) \right) \\ &\Rightarrow \frac{\partial (\delta \rho)}{\partial t} = -\frac{\hbar E}{m V_0} \nabla^2 (\delta \chi) \\ -\frac{E}{\hbar} + \frac{\partial (\delta \chi)}{\partial t} &= \frac{\hbar}{2m} \left( \frac{1}{2\rho} \nabla^2 (\delta \rho) \right) - \frac{V_0}{\hbar} (\rho_0 + \delta \rho) \\ &\Rightarrow \frac{\partial (\delta \chi)}{\partial t} = \frac{\hbar V_0}{4m E} \nabla^2 (\delta \rho) - \frac{V_0}{\hbar} \delta \rho \end{aligned}$$

We can decouple the equations by taking time derivatives

$$\frac{\partial^2 (\delta \rho)}{\partial t^2} = -\frac{\hbar^2}{4m^2} \nabla^2 (\nabla^2 (\delta \rho)) + \frac{E}{m} \nabla^2 (\delta \rho)$$



$$\frac{\partial^2 (\delta\chi)}{\partial t^2} = -\frac{\hbar^2}{4m^2} \nabla^2 (\nabla^2 (\delta\chi)) + \frac{E}{m} \nabla^2 (\delta\chi)$$

We found (the same) wave equation for  $\delta\chi, \delta\rho$  with dispersion relation (calculated by solving using a plain wave)

$$\omega^2 = \frac{\hbar^2 k^4}{4m^2} + \frac{E}{m} k^2$$

Solving with waves  $\delta\rho = a \cos(\mathbf{k} \cdot \mathbf{r} - \omega t)$ ,  $\delta\chi = b \sin(\mathbf{k} \cdot \mathbf{r} - \omega t)$  the original equations we find the relation between  $a$  and  $b$ .

$$\omega a \sin(\mathbf{k} \cdot \mathbf{r} - \omega t) = \frac{\hbar E k^2}{m V_0} b \sin(\mathbf{k} \cdot \mathbf{r} - \omega t) \Rightarrow a = \frac{\hbar E k^2}{\omega m V_0} b$$

Taking the limit  $k \rightarrow 0$  we find the dispersion relation is  $\omega^2 \approx \frac{E}{m} k^2 \Rightarrow \omega \approx \sqrt{\frac{E}{m}} k$ . In this approximation

$$\begin{aligned} \rho &= \rho_0 + \delta\rho \approx \frac{E}{V_0} + a \cos\left(k \left(\hat{k} \cdot \mathbf{r} - \sqrt{\frac{E}{m}} t\right)\right) \approx \frac{E}{V_0} + a \\ \chi &\approx -\frac{E}{\hbar} t + \chi_0 + b \sin\left(k \left(\hat{k} \cdot \mathbf{r} - \sqrt{\frac{E}{m}} t\right)\right) \approx -\frac{E}{\hbar} t + \chi_0 + b \left(\mathbf{k} \cdot \mathbf{r} - \sqrt{\frac{E}{m}} k t\right) \\ &\Rightarrow \psi(\mathbf{r}, t) = \sqrt{\frac{E}{V_0}} + a \exp\left\{b \left(\mathbf{k} \cdot \mathbf{r} - \left(\sqrt{\frac{E}{m}} k - \frac{E}{\hbar}\right) t\right) + \chi_0\right\} \end{aligned}$$

Since  $k \rightarrow 0 \Rightarrow \lambda \rightarrow \infty$  so we see a long wave length oscillations in the phase.

From this we see that the uniform stationary solution was changed due to a small change of  $\chi$ , i.e. the global gauge symmetry ( $\psi \rightarrow e^{i\theta} \psi$ ) is broken by the uniform solution.

In the non-interacting case ( $V_0 = 0$ ) we will find that the wave equations for  $\chi, \rho$  has a dispersion relation of the form  $\omega = \frac{\hbar k^2}{2m}$ . There will be no first order correction term to the phase which means that the global symmetry will be kept (at least for small changes of phase).

b. Adding a Coulomb interaction to the GP equation we get

$$i\hbar \frac{\partial}{\partial t} \psi(\mathbf{r}, t) = -\frac{\hbar^2}{2m} \nabla^2 \psi(\mathbf{r}, t) + V_0 |\psi(\mathbf{r}, t)|^2 \psi(\mathbf{r}, t) + \int d^3 r' \left( \frac{q^2 |\psi(\mathbf{r}', t)|^2}{|\mathbf{r} - \mathbf{r}'|} - \rho_N \right) \psi(\mathbf{r}, t)$$

I defined  $\rho_N$  such that the total charge of the system is zero i.e.

$$\int d^3 r' \frac{q^2 |\psi(\mathbf{r}', t)|^2}{|\mathbf{r} - \mathbf{r}'|} = \int d^3 r' \rho_N$$

We can rewrite the equations for  $\rho, \chi$  for this new case

$$(3) \quad \frac{\partial \rho}{\partial t} = -\frac{\hbar}{m} (\rho \nabla^2 \chi + \nabla \rho \cdot \nabla \chi)$$

$$(4) \quad \frac{\partial \chi}{\partial t} = \frac{\hbar}{2m} \left( \frac{1}{2\rho} \nabla^2 \rho - \frac{1}{4\rho^2} (\nabla \rho)^2 - (\nabla \chi)^2 \right) - \frac{V_0}{\hbar} \rho - \frac{1}{\hbar} \int d^3 r' \left( \frac{q^2 \rho(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|} - \rho_N \right)$$

Looking for uniform stationary solution ( $\nabla \chi = \nabla \rho = 0$ )

$$\rho = \rho_0, \chi = -\frac{V_0}{\hbar} \rho t - \underbrace{\frac{1}{\hbar} \int d^3 r' \left( \frac{q^2 \rho_0}{|\mathbf{r} - \mathbf{r}'|} - \rho_N \right)}_{=0} + \chi_0$$

The same as we found in a.

For small oscillations  $\rho = \rho_0 + \delta\rho$   $\chi = -\frac{E}{\hbar}t + \chi_0 + \delta\chi$  the equations of motion (up to first order in  $\delta\chi, \delta\rho$ ) take the form of

$$\frac{\partial(\delta\rho)}{\partial t} = -\frac{\hbar E}{mV_0} \nabla^2(\delta\chi)$$

$$\frac{\partial(\delta\chi)}{\partial t} = \frac{\hbar V_0}{4mE} \nabla^2(\delta\rho) - \frac{V_0}{\hbar} \delta\rho - \frac{q^2}{\hbar} \int d^3 r' \frac{\delta\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

Again we can decouple the equations by taking time derivatives

$$\frac{\partial^2(\delta\rho)}{\partial t^2} = -\frac{\hbar^2}{4m^2} \nabla^2(\nabla^2(\delta\rho)) + \frac{E}{m} \nabla^2(\delta\rho) - \frac{q^2 E}{mV_0} \int d^3 r' \underbrace{\nabla_r^2 \left( \frac{1}{|\mathbf{r} - \mathbf{r}'|} \right)}_{=-4\pi\delta(\mathbf{r}-\mathbf{r}')} \delta\rho(\mathbf{r}')$$

$$\frac{\partial^2(\delta\rho)}{\partial t^2} = -\frac{\hbar^2}{4m^2} \nabla^2(\nabla^2(\delta\rho)) + \frac{E}{m} \nabla^2(\delta\rho) + \frac{4\pi q^2 E}{mV_0} \delta\rho$$

The new dispersion relation is then

$$\omega^2 = \frac{\hbar^2 k^4}{4m^2} + \frac{E}{m} k^2 + \frac{4\pi q^2 E}{mV_0}$$

For large enough  $k$ 's the dispersion relation will be the same as the one in a. but for  $k \rightarrow 0$  we get a constant frequency  $\omega \approx \sqrt{\frac{4\pi E}{mV_0}} q \neq 0$ . If we relate the frequency to energy using special relativity equation

$$(\hbar\omega)^2 = (\hbar kc)^2 + (m^* c^2)^2 \Rightarrow \omega(k=0) = \frac{c^2}{\hbar} m^* \Rightarrow m^* = \sqrt{\frac{4\pi E}{mV_0}} \frac{\hbar q}{c^2}$$

we can say that the interaction with the field added a mass term ( $m^*$ ) to the field.