

Power Electronics

Switched Mode DC-DC Converters I: Concepts and Buck Converter



Switched-mode Power Supplies (SMPS)开关电源

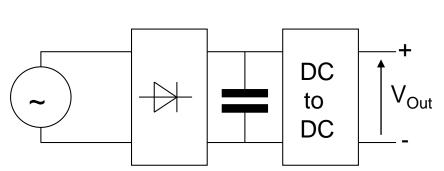
We saw how the linear power supply was very easy to build but suffered from relatively low efficiency. Where efficiency is an important consideration, the SMPS should be the supply of choice. For even moderately-sized power supplies the advantages of the SMPS efficiency can be considerable:

- 1. The transformer size is reduced;
- 2. Rectifier diodes have a smaller current rating;
- 3. Heatsinks 散热片can be smaller;
- 4. A cooling fan is not usually needed;
- 5. The supply can have a wider input voltage range (no voltage selector needed);
- 6. The power density 功率密度(watts per cm³) is greater.
- 7. The weight is lower.

However, against these advantages, the following **disadvantages** must be considered:

- 1. The SMPS is electrically very noisy;
- 2. The filtering necessary to ensure feedback stability does make the transient response of the SMPS much slower (10 X) than an equivalent linear supply.

The principal function 主要功能 of an SMPS is to convert one DC voltage to another very efficiently. In the PC for example the 240V mains is rectified and used as the input to the computer's PSU which is invariably an SMPS.



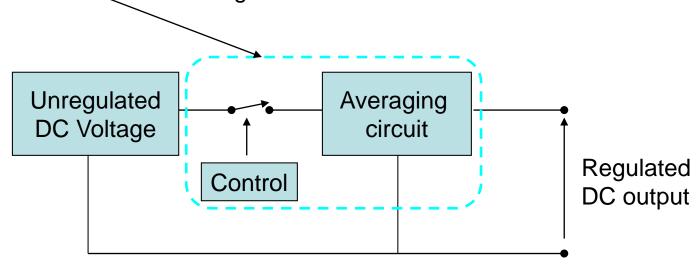


In a mobile phone a miniature SMPS is used to convert the battery voltage into multiple different DC voltages for the microprocessor, display, RF 射频 amplifier and camera.

SMPSs come in many different varieties. For the purposes of this course we will consider three of the most commonly used types:

- 1. The step-down or "buck" converter. $[V_{Out} < V_{in}]$
- 2. The step-up or "boost" converter. $[V_{Out} > V_{in}]$
- 3. The "buck-boost" or flyback converter. $[V_{Out} \iff V_{in}]$

The basic SMPS block diagram is as follows:



The two important components here are the switch (usually a MOSFET) and the averaging circuit that will include an inductor.

Power Supply Specifications 规格

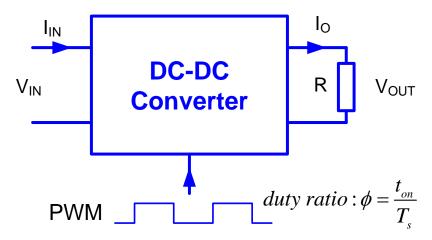
- 1. Input/Output Voltage/Current
- 2. Line Regulation 线电压调整率 the output voltage fluctuation under the input fluctuation

$$= (V_{out(highest input)} - V_{out(lowest input)}) / V_{out(nominal)} \times 100\%$$

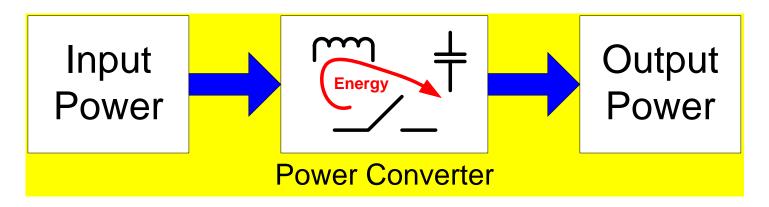
3. Load Regulation 负载电压调整率 - the output fluctuation under the load fluctuation

$$= \left(V_{out(no\,load)} - V_{out(full\,load)}\right) / V_{out(nominal)} \times 100\%$$

4. Output Voltage Ripple 纹波



Switch-Mode Power Converter



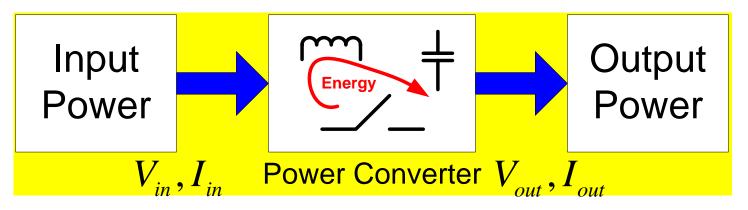
Two Switch Modes: ON and OFF

Switch ON:
 Charging the energy storage components

Switch OFF:

 Discharging the energy storage components

Role of Energy Storage Devices



Voltage/Current Conversion $\Delta V = V_{out} - V_{in}$, $\Delta I = I_{out} - I_{in}$

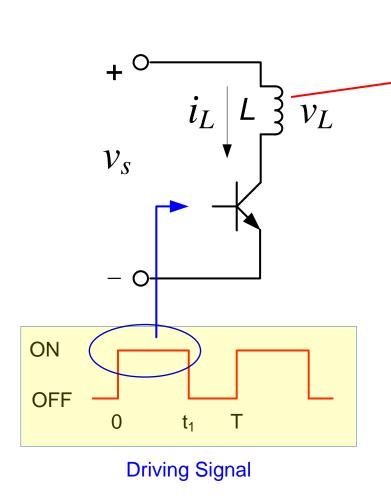
Energy storage devices - inductors, capacitors, transformers ...

$$i_C = C \frac{dv_c}{dt}, \quad E_C = \frac{1}{2} C v_c^2 \qquad v_L = L \frac{di_L}{dt}, \quad E_L = \frac{1}{2} L i_L^2$$

Energy storage devices are employed to trap 捕获or recover/release 释放 energy for filtering and buffering voltage/current fluctuations 波动.

Switches is used to control the power flow by switching. Only one uni-directional power switch is not enough for Energy Recovery in practice.

Energy Trap (Charging)



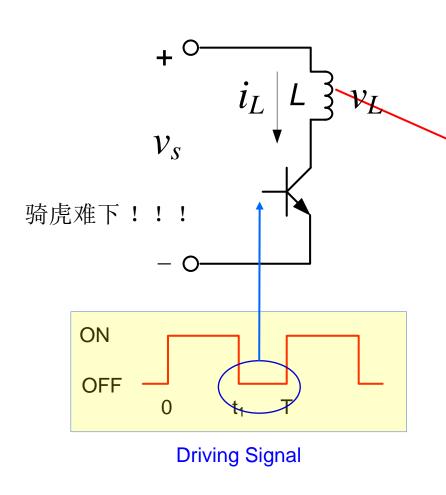
$$i_L = \frac{1}{L} \int_0^{t_1} v_S dt$$

Switch is ON, the inductor *L* will be charged (energized蓄能)

Trapped Energy in the inductor will be

$$E_L = \frac{1}{2} L i_L^2$$

Energy Discharging Issue



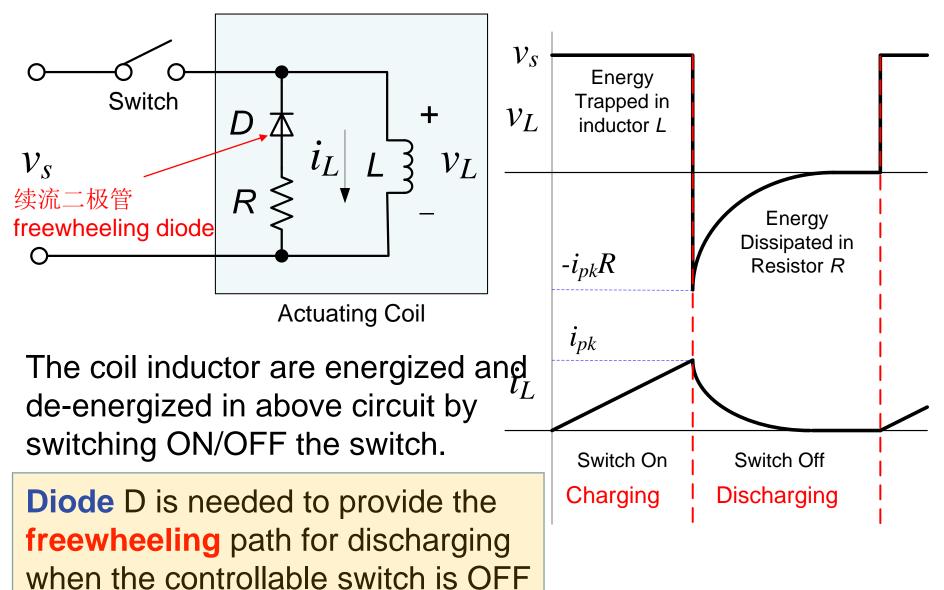
In practice, we can't switch OFF the switch abruptly, because the inductor

$$v_L = L \frac{di_L}{dt}$$

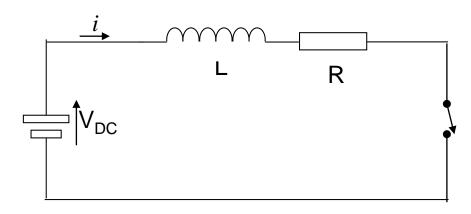
If the inductor current i_L drops to zero immediately, the inductor voltage v_L will become too large. v_L will be beyond devices' rating and destroy the switch and the whole circuit.

Above circuit is impractical for power conversion

Dissipative Energy Recovery

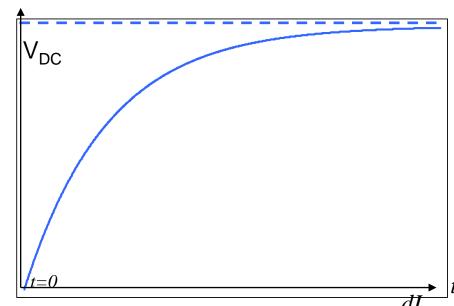


Recall the basic RL series circuit 阻感串联电路:



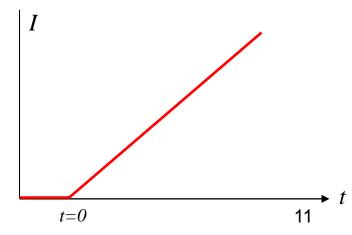
We can write the voltage equation for

this circuit as
$$V_{DC} = V_R + V_L = I_L R_L + L \frac{dI}{dt}$$

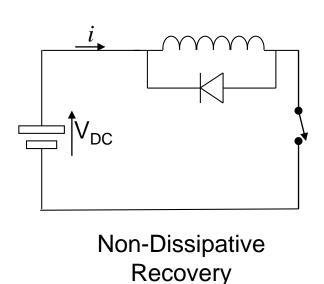


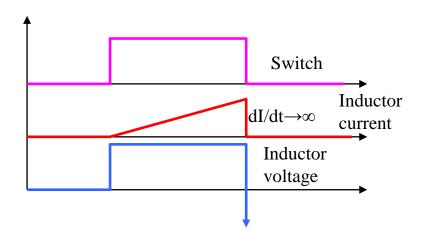
When the value of R is very small we can neglect the IR term and hence $V_L = L \frac{dI}{dt}$

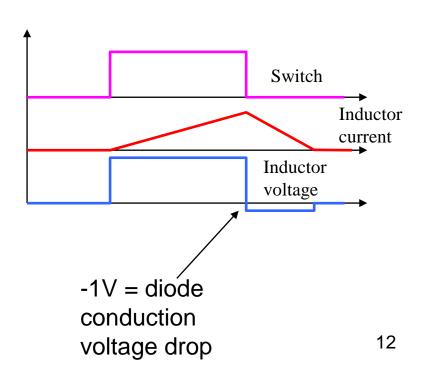
Hence we can approximate the voltage across the inductor to be a constant and therefore the current through the inductor to rise linearly when the switch is closed.



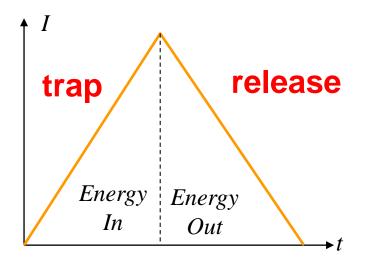
As previously discussed, as the current in the inductor rises, energy is stored in the magnetic field. When the switch is opened, the inductor tries to maintain the current flowing through it and thus the voltage across the inductor reverses. It is necessary to provide a return path for the inductor current when the switch is opened and this is usually done by adding a diode across the inductor.







The energy stored in an inductor is ½LI². When current through the inductor is increasing, additional energy is being stored in the magnetic field. If the current is decreasing then energy is being removed from the magnetic field. Assuming a perfect inductor, the energy (i.e. number of joules) returned to the circuit is exactly the same as was originally added.



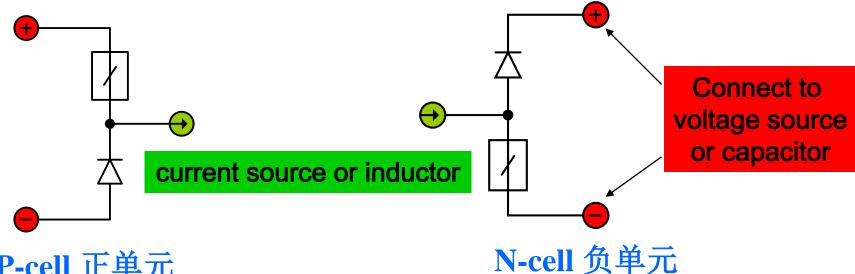
For Power Conversion, released power from energy storage devices is expected to be delivered to the load as much as possible. Dissipative Energy Recovery is not acceptable.

How to recover the trapped energy in switch-mode power conversion?

13

Switch-Cells for Energy Trap/Recovery: 开关单元 Single-Switch Solution

A switch-cell is a tri-port Single Pole Double Throw (SPDT单刀双掷) switch which consists of a power switch and a freewheeling diode

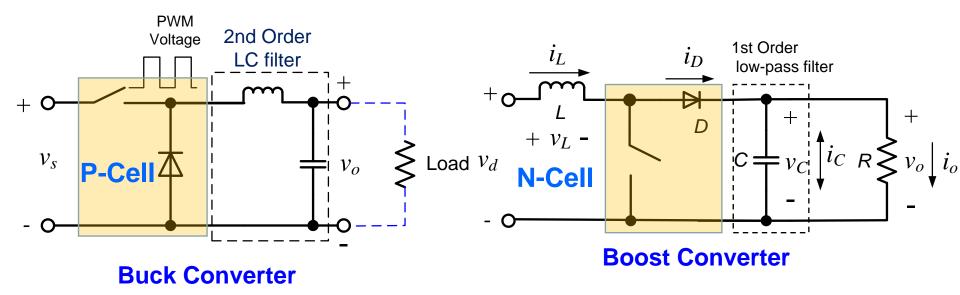


P-cell 正单元

Controllable Switch is connected to Positive pole of voltage source Controllable Switch is connected to Negative pole of voltage source

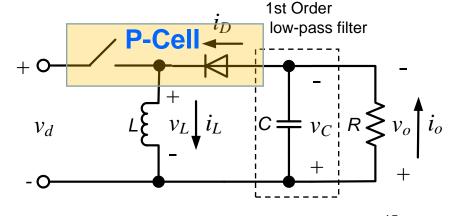
Both the Switch and the diode will not be in conduction at the same time !!!

Single-Switch DC-DC Converters



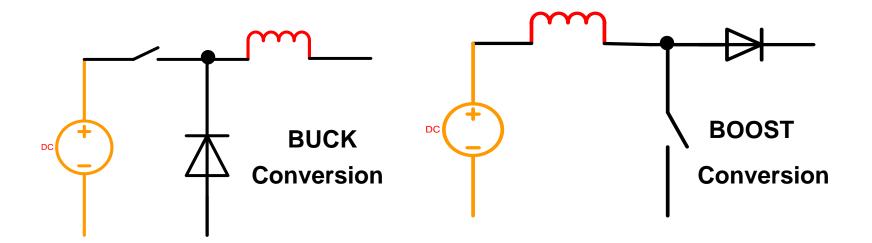
Identical components, different functions !!!

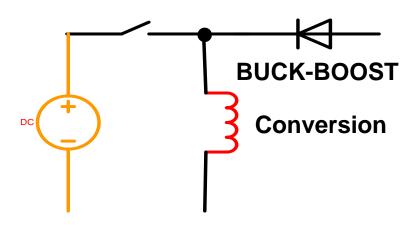
How do we know a circuit is a buck, boost or buck-boost converter ?!



Buck-Boost Converter

Inductors in Converters

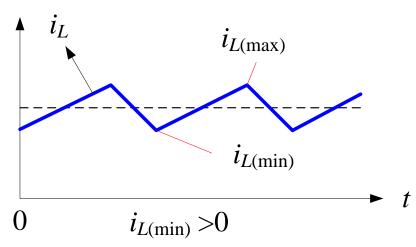


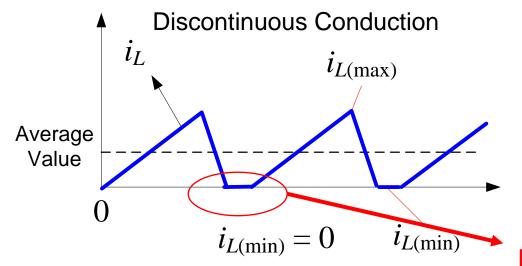


The Switch Cell is actually used to control the charging (switch is on, diode is off) and discharging (diode is on, switch is off) of the inductor in single-switch DC-DC converters.

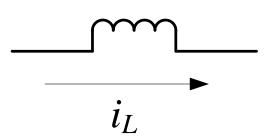
Two Conduction Modes of Inductor







Inductor L

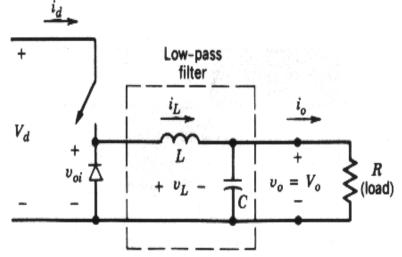


Inductor conduction mode (导通模式) has significant impacts on the circuit properties of three DC-DC converters

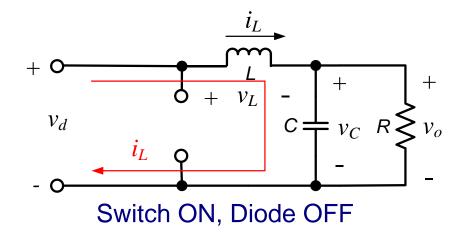
Fully Discharged

Example 1: Buck Converter

Continuous Conduction Mode (CCM) i∟>0



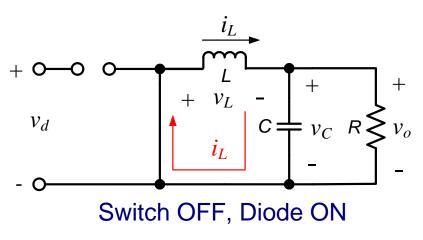
Buck Converter



Circuit State 1 (Charging)

When the inductor current is continuous, the conduction statuses of the switch and diode are complementary, that is, Switch is On, Diode is Off; or Switch is Off, Diode is on.

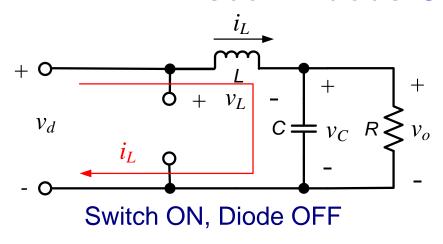
Switching Buck Converters actually switch between two circuit states 电路状态 during their operation.



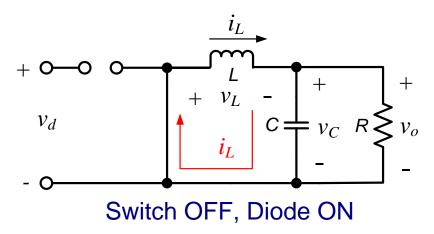
Circuit State 2 (Discharging)

Example 1: Buck Converter

Discontinuous Conduction Mode



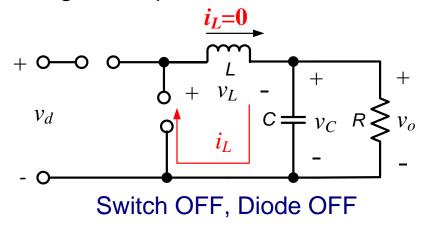
Circuit State 1 (Charging充电)



Circuit State 2 (Discharging放电)

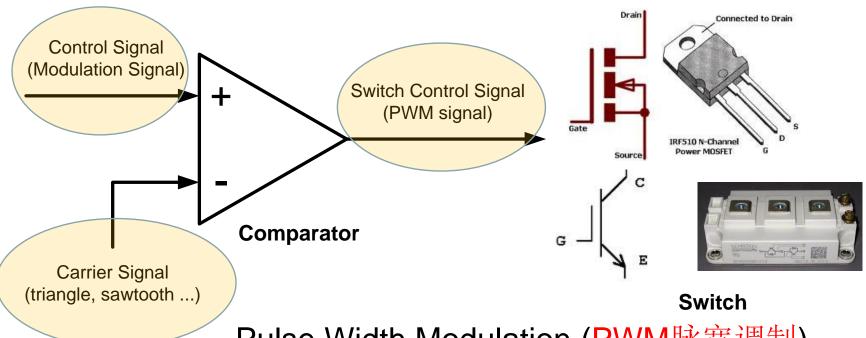
When the inductor current is discontinuous, the conduction statuses of the switch and diode have 3 combinations: Switch is On, Diode is Off; Switch is Off, Diode is on; Switch is Off, diode is Off.

Switching Buck Converters actually switch between three circuit states during their operation.



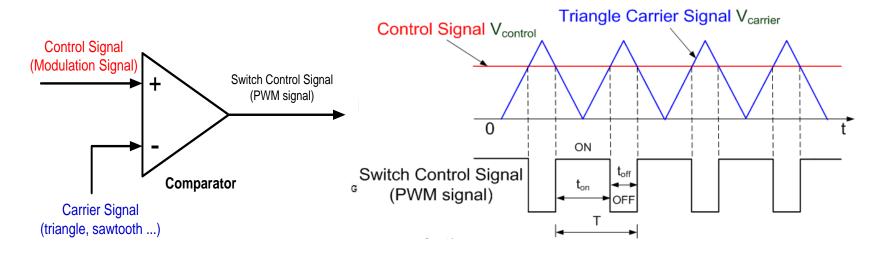
Circuit State 3 (Idle空闲)

Switching On/Off Methods: PWM Generation



- Pulse Width Modulation (PWM脉宽调制)
- 1. Constant Switching (Carrier) Frequency
- 2. Carrier 载波 Frequency >> Control Signal Frequency
- 3. Control Signal Peak <= Carrier Signal Peak
- 4. Output pulse width is proportional to input control signal

PWM Generation

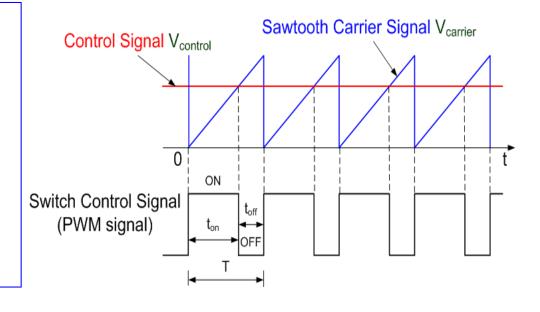


switching frequency:

$$f_s = \frac{1}{T} = \frac{1}{t_{on} + t_{off}}$$

duty ratio:

$$\phi = \frac{t_{on}}{T} = \frac{V_{control}}{V_{carrier(peak)}}, 0 \le \phi \le 1$$



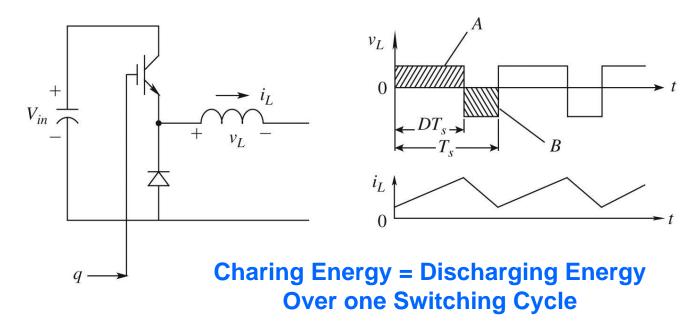
Switching Converter Circuit Steady-State Analysis

- How do we know a circuit is a buck降压, boost升压 or buck-boost升降压 converter ?!
 - How do we analyse their circuit characteristics?

Steady-State Circuit

All DC-DC converters discussed here, are in **steady state**. That means that

- All power waveforms repeats, unchanged from one switching cycle to the next
- The switching duty-ratio 占空比 remains constant.



Steady-State Inductor

For an inductor, steady state means that charged energy is equal to discharged energy in the inductor over one switching period.

$$E_{L} = \frac{1}{2}Li_{L}^{2}$$

$$\Delta E_{L} = 0$$

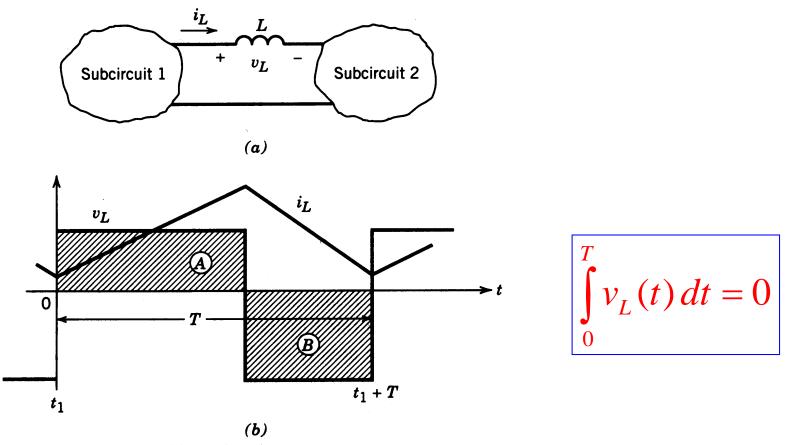
$$\Rightarrow \Delta I = i_{L}(t+T) - i_{L}(t) = 0$$

$$\left. \frac{L\frac{di_L}{dt} = v_L}{dt} \right\} \Rightarrow \frac{1}{L} \int_0^T v_L(t) dt = 0 \quad \Rightarrow \int_0^T v_L(t) dt = 0$$

$$i_L(t+T) = i_L(t)$$

Its average voltage change per switching period = 0

Inductor Voltage and Current in Steady State



• Volt-seconds 伏-秒乘积 over *T* equal zero.

Steady-State Capacitor

For a capacitor in DC-DC converters, steady state means that energy rise is equal to energy fall in the capacitor over one switching period.

$$E_{C} = \frac{1}{2}Cv_{C}^{2}$$

$$\Delta E_{C} = 0$$

$$\Rightarrow \Delta v = v_{C}(t+T) - v_{C}(t) = 0$$

$$C\frac{dv_{C}}{dt} = i_{C}$$

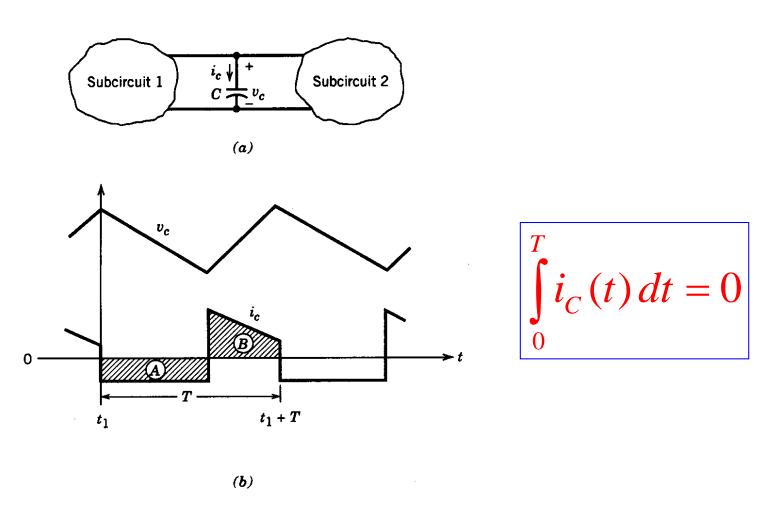
$$v_{C}(t+T) = v_{C}(t)$$

$$\Rightarrow \frac{1}{C}\int_{0}^{T} i_{C}(t) dt = 0$$

$$\Rightarrow \int_{0}^{T} i_{C}(t) dt = 0$$

Its average current per switching period = 0

Capacitor Voltage and Current in Steady State



• Amp-seconds over *T* equal zero.

Buck Converter Continuous Conduction Mode Steady-State Average Analysis

The Buck Converter Switch on Charging Circuit State I

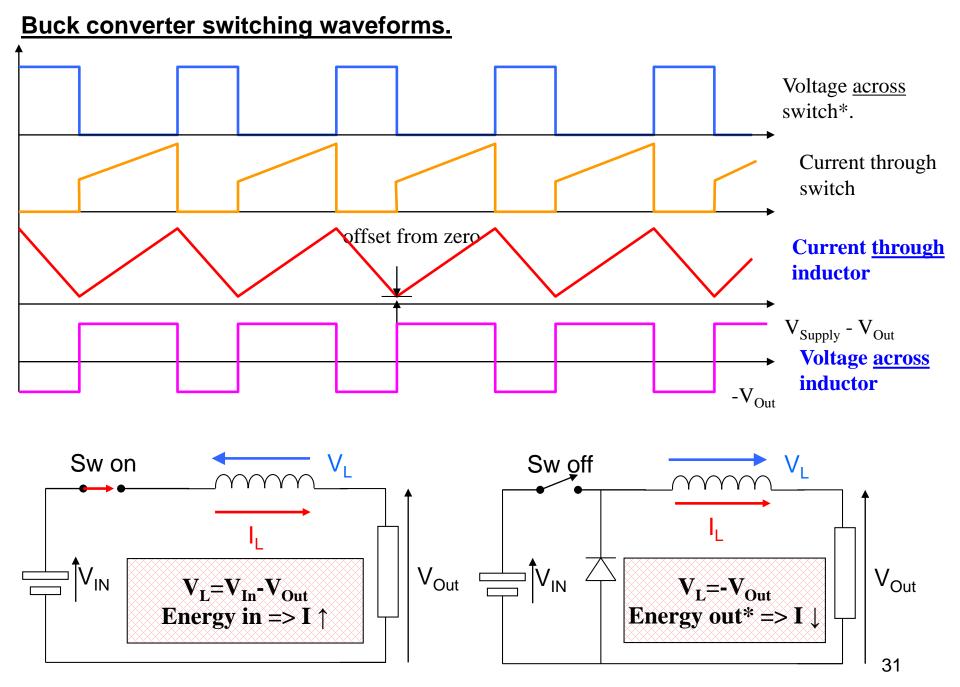
The circuit shows the SMPS circuit for a buck converter. When the switch is first closed, current starts to flow through the inductor and into the capacitor. The voltage across the inductor, V_L , is the difference between the input voltage V_{ln} and the output voltage V_{out} . The diode is reverse biased f ("off") by the supply voltage V_{ln} and does not conduct any current. The rate of change of current into the capacitor is limited by the inductor ($V = L \frac{di}{dt}$). At some point T_{off} the switch is opened. At this point the current through the inductor has risen to I_{Max} and the energy in the inductor (½LI²) is stored in its magnetic field.

Switch Switch off Control Control Countrol Count

As soon as the switch is opened, the potential on the switched side of the inductor goes sharply negative (but the current continues to flow) as the energy in the magnetic field tries to maintain the current through the inductor. This has the effect of bringing the diode into conduction (hence $V_L = -V_{Out} + 1V$), thereby completing the circuit and enabling the current to continue to flow into the capacitor. As the energy in the inductor falls so the current diminishes but the capacitor continues to be charged (more slowly). If we ignore the diode voltage drop, the voltage across the inductor is now $-V_{Out}$.

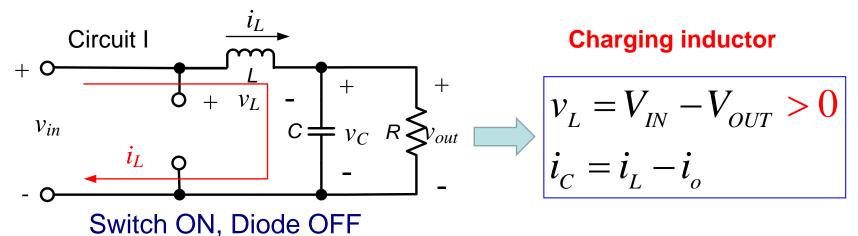
The switching frequency, supply voltage and inductor value are all chosen such that there is always current flowing in the inductor. This is called <u>continuous mode</u>, i.e., continuous current in the inductor.

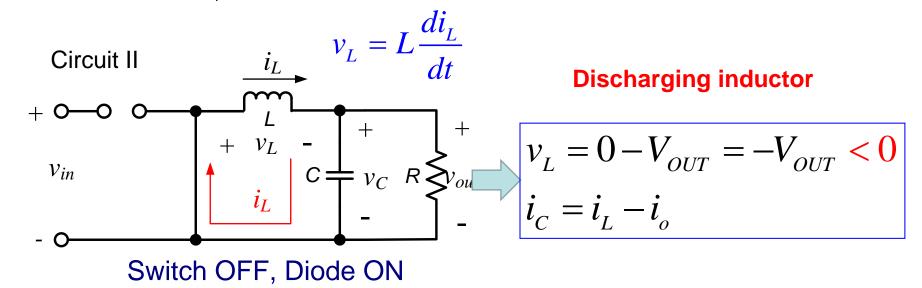
All of the circuitry necessary to implement the control function is available in an IC.



^{*} Ignoring the voltage drop across the diode, which we will do to simplify the analysis.

Modeling: Two Switching Circuit States

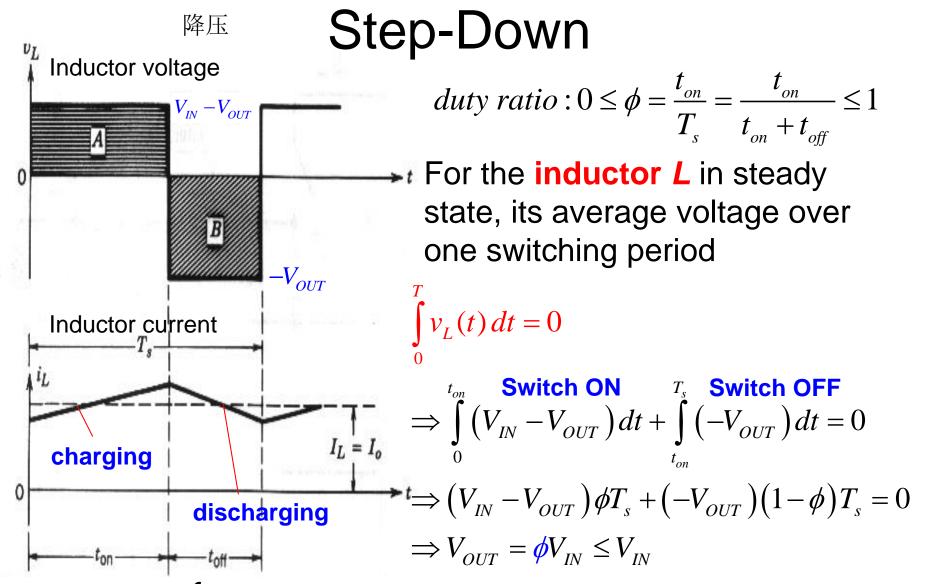




Variable Structure Circuit

Circuit Equations

Input-Output Voltage Conversion:



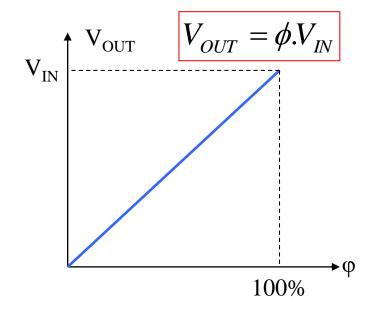
Waveforms Input-Output (average) Voltage Step-Down Converter

Buck converter in Continuous Conduction Mode.

- 1. The output voltage never exceeds the input voltage with $0 \le \Phi \le 1$.
- 2. The output voltage is independent of the load on the output. The power delivered to the load is proportional to the current in the inductor.

[Actually there is a small reduction due to losses in the system that have not been included in the analysis – but assume lossless for this course.)

Note that this relationship is true only if current is always flowing in the inductor, i.e. the SMPS is operating in *continuous conduction mode*.



Sticking with our assumption of a lossless system:

Output power = input power

$$=> V_{OUT}I_{OUT(Mean)} = V_{IN}I_{IN(Mean)}$$

Setting $V_{OUT} = \Phi V_{IN}$, we have $I_{OUT(Mean)} = I_{IN(Mean)} / \Phi$

(Remember for a DC voltage, average power = $V_{DC} \times I_{Mean}$)

34

Note that if the smoothing capacitor 平滑电容 on the output is sufficiently large then the output voltage is essentially constant. The typical PWM switching frequency is > 20KHz (above audible frequency音频) so ΔT on the capacitor is < $50\mu S$.

We can use the preceding 先前的 current equation to make two very important observations 观察结果:

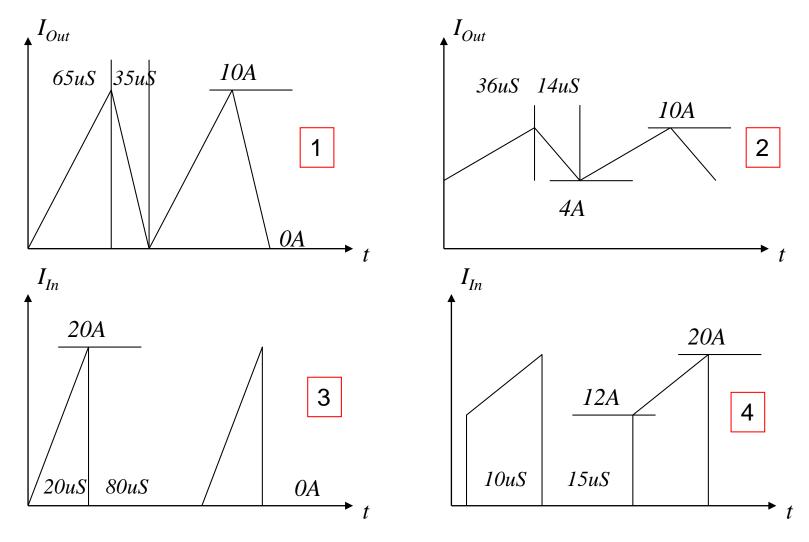
1. The average current in the inductor is the average load current.

E.g.
$$P_{Load} = 100W$$
 and $V_{Load} = 5V => I_{Load} = I_{Inductor} = 20A$

2. The <u>average</u> input current is less than the output current for ϕ < 100%. In the linear power supply the output current could never exceed the input current.

Buck tutorial.

Determine the mean output voltage, current and power for the following operating points. Assume 100% conversion efficiency and continuous mode operation. V_{ln} =100V



Solutions to Buck tutorial questions

1.
$$V_{ln} = 100V$$
 $V_{Out} = \Phi.V_{ln} = 65V$ $I_{Out (Ave)} = 5A$ $P_{Out} = 325W$

2.
$$V_{ln} = 100V$$

 $V_{Out} = \Phi.V_{ln} = 72V$
 $I_{Out (Ave)} = 7A$
 $P_{Out} = 504W$

3.
$$V_{In} = 100V$$
 $V_{Out} = \Phi.V_{In} = 20V$ $I_{In(Ave)} = 2A$ $I_{Out (Ave)} = 10A$ $P_{Out} = 200W$

4.
$$\begin{split} &V_{ln} = 100V \\ &V_{Out} = \Phi.V_{ln} = 40V \\ &I_{ln(Ave)} = 16A \times 40\% = 6.4A \\ &I_{Out \; (Ave)} = 16A \\ &P_{Out} = 640W \end{split}$$

Analysis and Design: Inductor Current Ripple

For the **inductor** *L* in steady state,

$$i_L\left(t+T_s\right)=i_L\left(t\right)$$

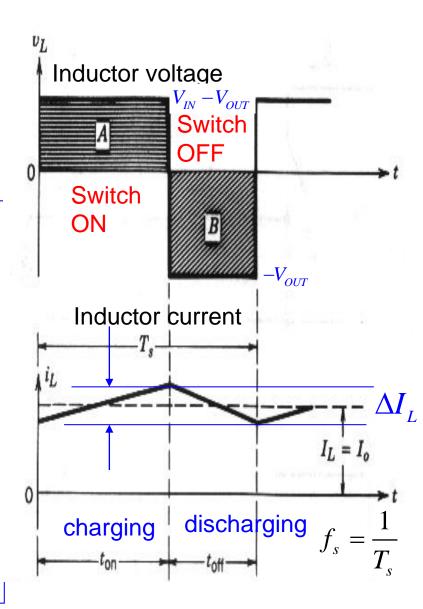
$$\Rightarrow \Delta I_L = \left| \Delta i_{L^+} \right| = \left| \Delta i_{L^-} \right| = I_{\max} - I_{\min}$$

Charging:

$$\Delta i_{L+} = \frac{1}{L} \int_{0}^{\phi T_s} \left(v_{IN} - v_{OUT} \right) dt = \frac{v_{IN} - v_{OUT}}{L} \phi T_s$$

Discharging:

$$\Delta i_{L-} = \frac{1}{L} \int_{\phi T_s}^{I_s} (-v_L) dt = \frac{-v_{OUT}}{L} (1-\phi) T_s$$



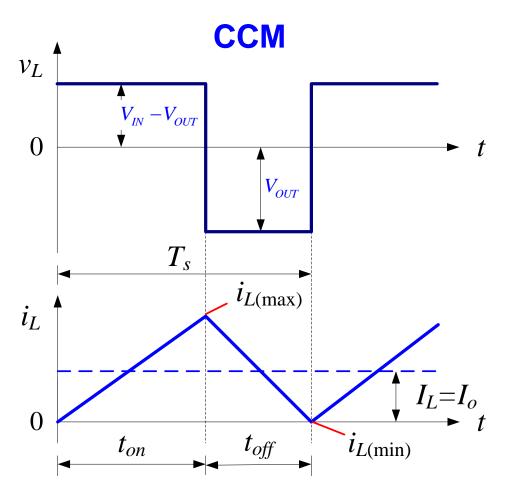
Absolute value

Relative value

To increase switching frequency f_s and inductor value L, the inductor current ripple can be reduced;

Small duty ratio Φ and light load R will increase the inductor current ripple.

Boundary Between CCM & DCM



 I_L : average inductor current > 0

 I_o : average output/load current >0

When inductor current i_L goes to zero at the end of switch off period, circuit reaches the boundary between **CCM** and **DCM**.

Average inductor current at this boundary I_{LB} :

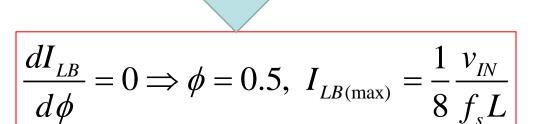
$$\begin{split} I_{LB} &= \frac{1}{2} \Delta i_L \\ &= \frac{1}{2} \Big(i_{L(\text{max})} - i_{L(\text{min})} \Big) = \frac{1}{2} i_{L(\text{max})} \end{split}$$

Boundary Inductor Current

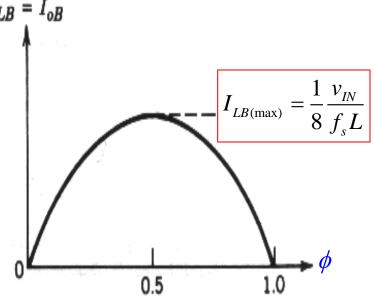
$$\Delta I_{L} = \frac{v_{IN}}{f_{s}L}\phi(1-\phi) = \frac{v_{OUT}}{f_{s}L}(1-\phi)$$

$$I_{LB} = \frac{1}{2}i_{L(\max)} = \frac{1}{2}\Delta I_{L}$$

$$\Rightarrow I_{LB} = I_{OB} = \frac{1}{2}\frac{v_{IN}}{f_{s}L}\phi(1-\phi)$$



For a given L, if $I_{LB} < I_{LB,max}$, then I_L will become <u>discontinuous</u>.



CCM Inductor Value Selection

$$I_{LB} = I_{OB} = \frac{1}{2} \frac{v_{IN}}{f_s L} \phi (1 - \phi)$$

CCM

$$v_{OUT} = \phi v_{IN} \qquad \qquad v_{OUT} = I_o R$$

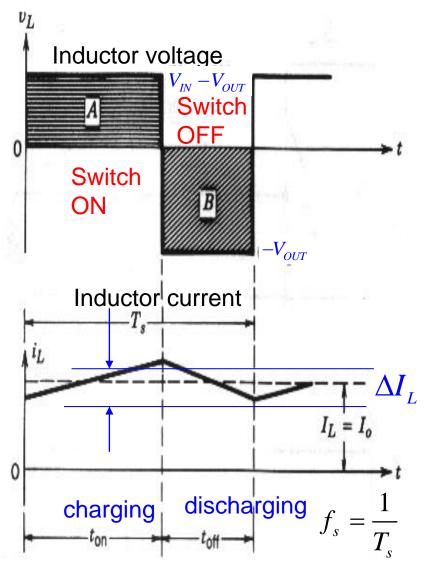
Resistive Load

$$L_{\min} = \frac{v_{IN}}{2f_s I_o} \phi (1 - \phi) = \left(\frac{v_{OUT}}{I_o}\right) \frac{1}{2f_s} (1 - \phi) = \frac{R}{2f_s} (1 - \phi)$$

For a given load current I_0 , if the inductor value L is less than L_{min} , i_L will become discontinuous.

Analysis and Design:

Switch Peak Current

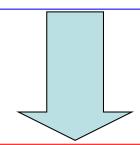


Device rating

$$i_{peak} = i_{L(max)} = I_L + \frac{1}{2}\Delta I_L$$

$$\Delta I_L = \frac{v_{IN}}{f_s L} \phi (1 - \phi) = \frac{v_{OUT}}{f_s L} (1 - \phi)$$

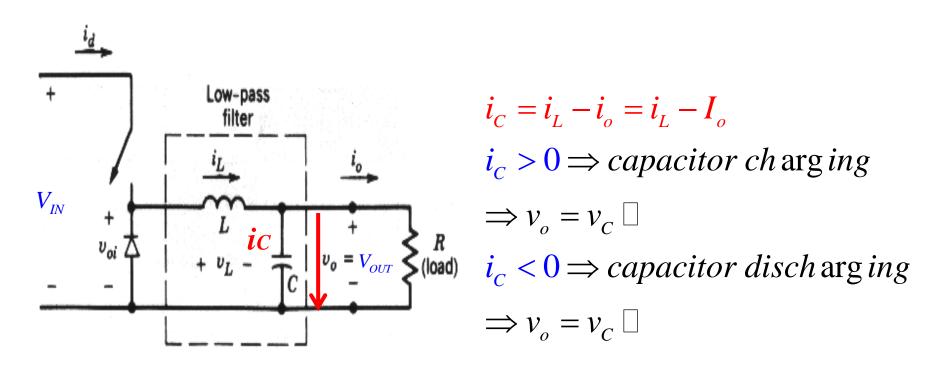
$$I_L = I_o$$

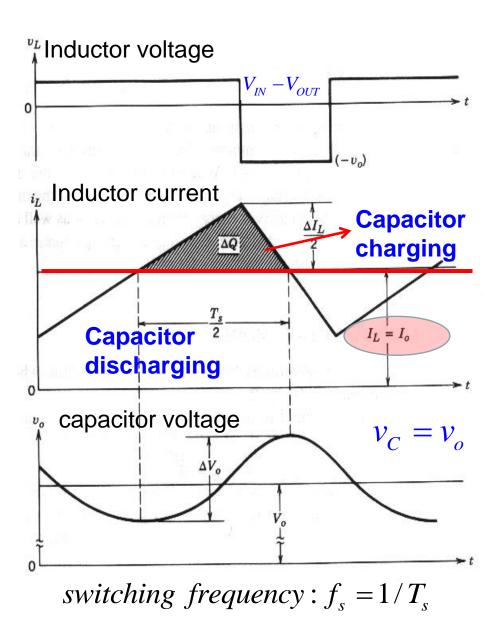


$$i_{L(\text{max})} = \frac{1}{2} \frac{v_{OUT}}{f_s L} \phi (1 - \phi) + I_o$$

Analysis and Design:

Capacitor Voltage Ripple





Output Voltage Ripples

$$\begin{split} &i_{C} = i_{L} - I_{o} = C \frac{dv_{C}}{dt} \\ &\Rightarrow \Delta v_{C} = \frac{1}{C} \left(\int i_{C} dt \right) = \frac{\Delta Q}{C} \\ &\Delta v_{C} = \frac{\Delta Q}{C} = \frac{1}{C} \left[\frac{1}{2} \left(\frac{\Delta I_{L}}{2} \right) \left(\frac{T_{S}}{2} \right) \right] \\ &= \frac{\Delta I_{L}}{8} \cdot \frac{1}{f_{S}C} \\ &\Rightarrow \Delta v_{C} \propto \Delta I_{L} \end{split}$$

A function of inductor current ripple

LC filter cutoff frequency :
$$f_c = \frac{1}{2\pi\sqrt{LC}}$$
 Filter bandwidth

Absolute value

$$\therefore \Delta I_L = \frac{v_{IN}}{f_s L} \phi (1 - \phi) \qquad v_{OUT} = \phi v_{IN}$$

$$\therefore \Delta v_C = \frac{v_{IN}}{8f_s^2 LC} \phi (1 - \phi)$$

$$= \frac{v_{OUT}}{8f_s^2 LC} (1 - \phi) = \frac{\pi^2}{2} \left(\frac{f_c}{f_s}\right)^2 (1 - \phi) v_C$$

Relative value

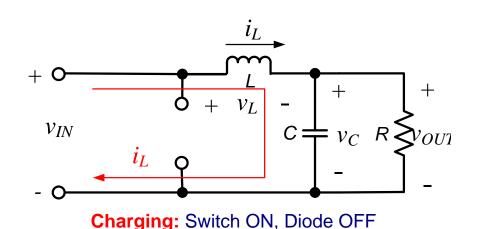
$$\Delta v_C = \frac{v_C}{8f_s^2 LC} (1 - \phi)$$

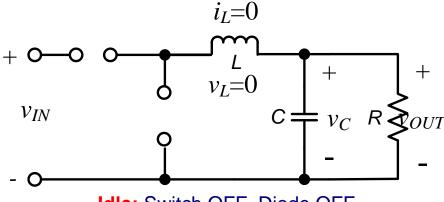
$$\Rightarrow \frac{\Delta v_C}{v_C} = \frac{\pi^2}{2} \left(\frac{f_c}{f_s}\right)^2 (1 - \phi)$$

Obviously, to increase switching frequency f_s or low LC bandwidth f_c can significantly reduce the capacitor voltage ripple, while high duty ratio Φ will reduce voltage ripple too.

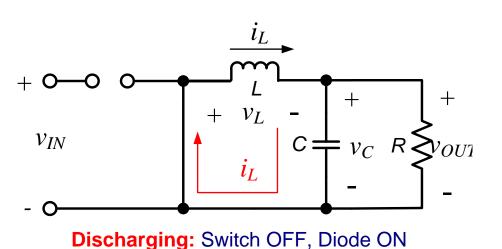
Buck Converter Discontinuous Conduction Mode (DCM)

Circuit States in DCM





Idle: Switch OFF, Diode OFF

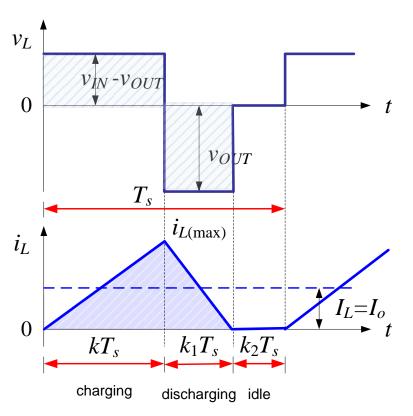


$$v_{L} = \begin{cases} v_{IN} - v_{OUT} & \text{charging} \\ -v_{OUT} & \text{discharging} \\ 0 & \text{idle} \end{cases}$$

Steady State

$$\Rightarrow \int_{0}^{T} v_{L}(t) dt = 0, \quad \int_{0}^{T} i_{C}(t) dt = 0$$

Voltage Conversion Ratio in DCM



Inductor L in steady state

$$\int_{0}^{T} v_{L}(t) dt = 0$$

$$\Rightarrow \int_{0}^{\phi T_{s}} \left(v_{IN} - v_{OUT} \right) dt + \int_{\phi T_{s}}^{(\phi + \phi_{1})T_{s}} \left(-v_{OUT} \right) dt = 0$$

$$\Rightarrow \frac{v_{OUT}}{v_{IN}} = \frac{\phi}{\phi + \phi_{1}}, \quad 0 \le \phi + \phi_{1} < 1 \quad DCM$$

$$\frac{v_{OUT}}{v_{IN}} = \phi, \quad \phi + \phi_1 = 1 \quad CCM$$

Average output current in DCM:

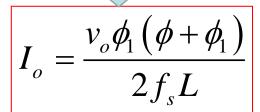
$$I_o = I_L = \frac{1}{T} \int_0^T i_L dt$$

$$= \frac{1}{T} \left(\frac{I_{L(\text{max})} \phi T_s}{2} + \frac{I_{L(\text{max})} \phi_1 T_s}{2} \right)$$

$$= \frac{1}{2} I_{L(\text{max})} \left(\phi + \phi_1 \right) < \frac{1}{2} I_{L(\text{max})}$$

Discharging inductor:

$$I_{L(\text{max})} = \frac{1}{L} \int_{\phi T}^{(\phi + \phi_1)T_s} v_{OUT} dt = \frac{v_{OUT} \phi_1 T_s}{L}$$



Discharging time Φ_1 T_s depends on the load current I_o

$$I_o = \frac{v_{OUT}\phi_1(\phi + \phi_1)}{2f_s L}$$
$$\frac{v_{OUT}}{v_{IN}} = \frac{\phi}{\phi + \phi_1}$$

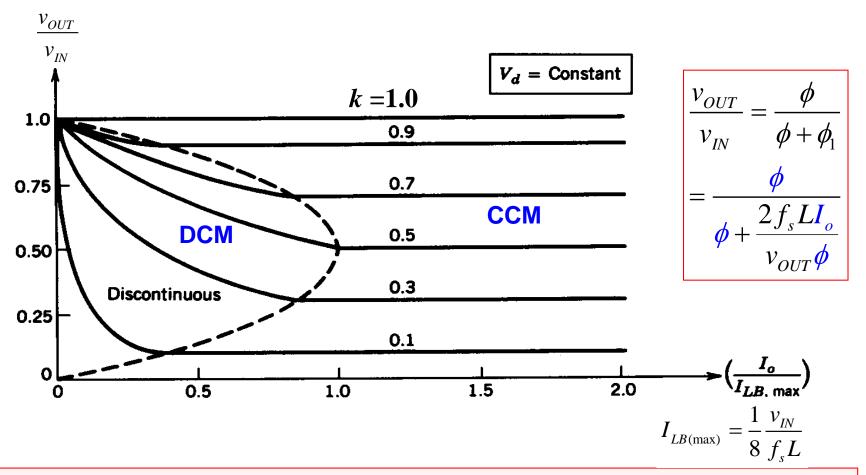
$$I_o = \frac{v_{OUT}\phi_1(\phi + \phi_1)}{2f_sL} = \frac{v_{IN}\phi_1\phi}{2f_sL}$$

$$\Rightarrow \phi_1 = \frac{2f_s LI_o}{v_d \phi}$$

$$\frac{v_{OUT}}{v_{IN}} = \frac{\phi}{\phi + \phi_1} = \frac{\phi}{\phi + \frac{2f_sLI_o}{v_{OUT}\phi}}$$

Conversion Ratio in DCM

Voltage Conversion Ratio in CCM is only dependent on the duty ratio. It is easy to control the converter in CCM.



The duty-ratio of 0.5 has the highest value of the critical current Voltage Conversion Ratio in DCM is dependent on both the duty ratio and the load. It is not easy to control the converter in DCM.

Typical DC to DC Converter applications.

- * DC Motor drives (e.g. Robotics)
- * Power Factor Correction (PFC功率因数校正) and Active Filters有源滤波器.
- * Photovoltaic光伏 systems e.g. peak power tracking converters to transfer energy from the PV array to the load (satellites).
- * Automotive汽车 applications raising the battery voltage to another voltage e.g. High Intensity Discharge headlamps.
- * Solar vehicles

