

## INVESTMENT FORM - INDIVIDUAL

**PERSONAL DATA**

**CLIENT CODE:**

1	NAME					
	IN TRUST FOR					
2	DATE OF BIRTH					
3	OCCUPATION/PROFESSION					
4	PHYSICAL ADDRESS					
5	POSTAL ADDRESS					
6	ID TYPE (Please tick)	DRIVERS LICENCE	NHIS	NATIONAL ID	PASSPORT	VOTERS ID
7	ID NUMBER					
8	ID DETAILS	ISSUE DATE:				
		EXPIRY DATE:				
9	TELEPHONE NUMBERS					
10	EMAIL					
11	NATIONALITY				RESIDENTIAL STATUS	
12	HOMETOWN					
13	PLACE OF BIRTH					
14	PLACE OF WORK					
15	POSITION HELD					
16	MARITAL STATUS				NUMBER OF CHILDREN	
17	SOURCE OF INCOME	PERSONAL SAVINGS				
		SALARY				
		GIFT/ INHERITANCE				

		OTHER	
18	ANNUAL GROSS INCOME	BELOW GHC 5,000.00	
		GHC 5,000.00- GHC 100,000.00	
		ABOVE GHC 100,000.00	

19	POLITICAL EXPOSURE	ARE YOU A POLITICIAN?	YES	NO
		ARE ANY OF YOUR FAMILY MEMBERS POLITICIANS?	YES	NO
20				

#### FOR JOINT ACCOUNT HOLDER

NAME					
DATE OF BIRTH					
POSTAL ADDRESS					
ID TYPE	DRIVERS LICENCE	NHIS	NATIONAL ID	PASSPORT	VOTERS ID
ID NUMBER					
ID DETAILS	ISSUE DATE				
	EXPIRY DATE				
TELEPHONE NUMBER					
EMAIL ADDRESS					

#### PREFERRED MODE OF CONTACT

☐

Telephone

☐

E-mail

## INVESTMENT DETAILS

1	AMOUNT	
2	CURRENCY	
3	FREQUENCY	

### TERM

☐

1 YEAR

☐

2 YEARS

☐

3 YEARS

☐

OTHER (SPECIFY IN DETAILS  
BELOW)

.....  
.

### INTEREST PAYMENT SCHEDULE

☐

SEMI-ANNUALLY

☐

ANNUALLY

\*

\*(DEPENDENT ON INVESTMENT PRODUCT)

### MODE OF INTEREST PAYMENT

☐

CHEQUE

☐

BANK TRANSFER

1	ACCOUNT NAME	
2	BANK DETAILS – BANK NAME	
3	BANK BRANCH	
4	ACCOUNT NUMBER	

**INVESTMENT PRODUCT**

- ☐ FIXED INCOME
- ☐ EQUITY
- ☐ FIXED INCOME AND EQUITY

**INSTRUCTIONS**

- ☐ PAY INTEREST
- ☐ ROLLOVER PRINCIPAL AND INTEREST
- ☐ ROLLOVER PRINCIPAL
- ☐ OTHER - SPECIFY PRODUCT

**NEXT OF KIN DETAILS**

1	NAME OF NEXT OF KIN	
2	RELATIONSHIP	
3	DATE OF BIRTH	
4	CONTACT ADDRESS	
5	CONTACT PHONE NUMBER	

**INVESTMENT INFORMATION (PLEASE CIRCLE ANSWERS)**

1. Parkstone Capital is an investment firm that provides investment solutions in fixed income and equity instruments to its clients. What is your objective for this investment?
  - (a) Emphasis on fixed income investments
  - (b) Emphasis on equity investment (shares)
  - (c) Emphasis on some fixed and equity instruments.
  
2. How long do you hope to hold the investment?
  - (a) Less than a year
  - (b) One to five years
  - (c) Five to ten years
  - (d) More than ten years
  
3. Investment comes with a degree of risk as for some instruments one could lose substantial amounts of money because of the uncertainty of gains. Others are without risk, i.e fixed income instruments like treasury bills. What is your attitude towards risk?
  - (a) Risk averse - you can take a little risk so you can gain substantial returns on investment.
  - (b) Risk Lover - you are aggressive and are prepared to take risk to attain growth on your investment
  - (c) No Risk - You cannot accept any loss of capital
  
4. What is your knowledge about investments?
  - (a) You have some knowledge from your investment in treasury bills and bank savings.
  - (b) You are new to investments
  - (c) You have been an active investor on the Ghana Stock Market
  - (d) You have been a passive investor on the Ghana Stock Market

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**SIGNATURE SPECIMEN FORM**

CLIENT'S NAME	SIGNATURE

ANY ADDITIONAL INFORMATION REQUIRED

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**How did you find out about Parkstone Capital**

☐

Website

☐

Friends

☐

Existing Clients

☐

Parkstone Capital marketing

SIGNATURE OF CLIENT

DATE

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**TERMS AND CONDITIONS**

1. Payment of principal, interest or proceeds shall be effected based on specific instructions provided at time product is chosen, and payment shall be made following satisfactory identification of investment holder.
2. Additions or amendments to instructions may be noted, agreed upon and documented as appropriate, and shall be effective following documentation. Instructions may be received and accepted via email, from a recognized email address.
3. All investments must run until the agreed term expires.
4. Please provide a valid identification document (photocopy to be kept by Parkstone), details of which have been indicated on form.

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**OFFICIAL USE ONLY**

1. Cleared funds received ☐
2. Satisfactory identification completed ☐
3. Negotiated interest rate for primary investment
4. Primary Investment Period
5. Identification document received
6. Signature of Official

Investment Product Specified

Approved By

Date:

Investor No: